

## Triviality in ideal class groups of Iwasawa-theoretical abelian number fields

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**Abstract.** Let  $S$  be a non-empty finite set of prime numbers and, for each  $p$  in  $S$ , let  $\mathbf{Z}_p$  denote the ring of  $p$ -adic integers. Let  $F$  be an abelian extension over the rational field such that the Galois group of  $F$  over some subfield of  $F$  with finite degree is topologically isomorphic to the additive group of the direct product of  $\mathbf{Z}_p$  for all  $p$  in  $S$ . We shall prove that each of certain arithmetic progressions contains only finitely many prime numbers  $l$  for which the  $l$ -class group of  $F$  is nontrivial. This result implies our conjecture in [3] that the set of prime numbers  $l$  for which the  $l$ -class group of  $F$  is trivial has natural density 1 in the set of all prime numbers.

### Introduction.

Let  $\mathbf{C}$  denote the field of complex numbers,  $\mathbf{Q}$  the field of rational numbers, and  $\mathbf{P}$  the set of all prime numbers. By a number field, we mean an algebraic extension of  $\mathbf{Q}$  in  $\mathbf{C}$ , not necessarily finite over  $\mathbf{Q}$ . When  $k$  is any number field, we let  $C_k$  denote the ideal class group of  $k$  and, for each  $l \in \mathbf{P}$ , we let  $C_k(l)$  denote the  $l$ -class group of  $k$ , namely, the  $l$ -primary component of  $C_k$ . A number field is called abelian if it is an abelian extension over  $\mathbf{Q}$ . We put, in  $\mathbf{C}$ ,

$$\zeta_m = e^{2\pi i/m}$$

for each positive integer  $m$ .

Now, let  $S$  be a non-empty finite set of prime numbers:

$$S \subset \mathbf{P}, \quad 1 \leq |S| < \infty.$$

For each  $p \in \mathbf{P}$ , let  $\mathbf{Z}_p$  denote the ring of  $p$ -adic integers, and let

$$\tilde{p} = p \quad \text{or} \quad \tilde{p} = 4$$

according as  $p > 2$  or  $p = 2$ . Let  $\mathbf{Q}^S$  denote the abelian number field such that the Galois group  $\text{Gal}(\mathbf{Q}^S/\mathbf{Q})$  is isomorphic, as a profinite group, to the additive group of the direct product  $\prod_{p \in S} \mathbf{Z}_p$ . Let  $F$  be an abelian number field which is a finite extension of  $\mathbf{Q}^S$ . In this paper, we shall prove:

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**THEOREM 1.** *Let  $m_0$  be any positive integer divisible by  $\tilde{p}$  for every prime number  $p$  in  $S$ . Then there exist only finitely many prime numbers  $l$  such that  $C_F(l)$  is non-trivial and that  $\mathbf{Q}(\zeta_{m_0})$  contains the decomposition field of  $l$  for the abelian extension  $\mathbf{Q}^S(\zeta_{m_0})/\mathbf{Q}$ .*

Most of the paper consists of the proof of the above theorem including not a few preliminaries. To explain briefly the heart of the proof, let  $F^+$  be the maximal real subfield of  $F$ , and  $C_F^-$  the kernel of the norm map of  $C_F$  into  $C_{F^+}$ ; for each  $l \in \mathbf{P}$ , let  $C_F^-(l)$  denote the  $l$ -primary component of  $C_F^-$ . Obviously,  $C_F^-$  is trivial if  $F$  itself is real. We have actually shown in [3] that, under the hypothesis of Theorem 1, there exist only finitely many prime numbers  $l$  such that  $C_F^-(l)$  is nontrivial and such that  $\mathbf{Q}(\zeta_{m_0})$  contains the decomposition field of  $l$  for  $\mathbf{Q}^S(\zeta_{m_0})/\mathbf{Q}$  (for the basic case where  $|S| = 1$ , see Washington [6, IV]). With this fact in mind, we shall naturally concentrate on the study of primary subgroups of  $C_{F^+}$ , which is based on the algebraic interpretation by Leopoldt [5], involving circular units in  $F^+$ , of the analytic class number formula for subfields of  $F^+$  with finite degrees. In the major part §§1–4 of the paper, conforming to the description of [5], we shall generalize or pursue many of our arguments in [3]. We shall prove Theorem 1 in §5 by means of results in [3], [5] and the preceding sections. Finally, in §6, some problems together with some additional facts will be mentioned in relation to Theorem 1.

Let us now give a consequence of the theorem. Take a real variable  $x$ , and let

$$\pi(x) = |\{l \mid l \in \mathbf{P}, l \leq x\}|$$

as usual. Let  $\mathbf{P}_F(x)$  denote the set of prime numbers  $l \leq x$  for which  $C_F(l)$  is trivial. Let  $m_0$  be the same as in Theorem 1, and let  $\mathbf{P}_0(x)$  denote the set of prime numbers  $l \leq x$  such that  $\mathbf{Q}(\zeta_{m_0})$  contains the decomposition field of  $l$  for  $\mathbf{Q}^S(\zeta_{m_0})/\mathbf{Q}$ . We then easily see that the decomposition field of a prime number  $l \notin S$  for  $\mathbf{Q}^S(\zeta_{m_0})/\mathbf{Q}$  is contained in  $\mathbf{Q}(\zeta_{m_0})$  if and only if  $l^{\varphi(\tilde{p})} \not\equiv 1 \pmod{\mu_p \tilde{p}}$  for any  $p \in S$ . Here  $\varphi$  denotes the Euler function and, for each  $p \in S$ ,  $\mu_p$  denotes the  $p$ -part of  $m_0$ , that is, the highest power of  $p$  dividing  $m_0$ . Hence Theorem 1, together with the prime number theorem for arithmetic progressions, shows that

$$\liminf_{x \rightarrow \infty} \frac{|\mathbf{P}_F(x)|}{\pi(x)} \geq \lim_{x \rightarrow \infty} \frac{|\mathbf{P}_0(x)|}{\pi(x)} = \prod_{p \in S} \left(1 - \frac{1}{\mu_p}\right).$$

However, for all  $p \in S$ ,  $\mu_p$  can be arbitrarily large independent of  $F$ . We thus obtain the following result conjectured in [3, §3]:

**THEOREM 2.**

$$\lim_{x \rightarrow \infty} \frac{|\mathbf{P}_F(x)|}{\pi(x)} = 1.$$

**REMARK.** Among a number of important results on subgroups of  $C_F$  provided by Iwasawa theory (see Friedman [1], Washington [7], etc.), it is known not only that  $C_F(l)$

is finite for every  $l$  in  $\mathbf{P} \setminus S$  but that, if  $F$  is imaginary, then there exist infinitely many  $l$  in  $\mathbf{P}$  for which  $C_{\overline{F}}(l)$  is nontrivial (cf. [6, V]).

Throughout the paper,  $\mathbf{R}$  will denote the field of real numbers, and  $\mathbf{Z}$  the ring of (rational) integers. For any finite extension  $k'/k$  of number fields, we let  $N_{k'/k}$  denote the norm map of  $k'$  into  $k$ . For each complex number  $z \neq 0$ , we let  $\langle z \rangle$  denote the cyclic group generated by  $z$  in the multiplicative group  $\mathbf{C}^\times = \mathbf{C} \setminus \{0\}$ :  $\langle z \rangle = \{z^a \mid a \in \mathbf{Z}\}$ . All Dirichlet characters are assumed to be primitive.

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1.

We shall first give several definitions, mainly following [5].

Let  $\psi$  be any (primitive) Dirichlet character, and let  $f_\psi$  denote the conductor of  $\psi$ . Then  $\psi$  defines a homomorphism  $\psi^*$  of  $\text{Gal}(\mathbf{Q}(\zeta_{f_\psi})/\mathbf{Q})$  into  $\mathbf{C}^\times$  such that, for each  $u \in \mathbf{Z}$  relatively prime to  $f_\psi$ ,  $\psi(u)$  is the image under  $\psi^*$  of the automorphism in  $\text{Gal}(\mathbf{Q}(\zeta_{f_\psi})/\mathbf{Q})$  mapping  $\zeta_{f_\psi}$  to  $\zeta_{f_\psi}^u$ . Let  $g_\psi$  denote the order of  $\psi$ , and let  $K_\psi$  denote the fixed field of  $\text{Ker}(\psi^*)$  in  $\mathbf{Q}(\zeta_{f_\psi})$ ;

$$\text{Gal}(\mathbf{Q}(\zeta_{f_\psi})/K_\psi) = \text{Ker}(\psi^*).$$

It follows that  $K_\psi$  is a cyclic extension over  $\mathbf{Q}$  of degree  $g_\psi$  with conductor  $f_\psi$ .

We assume from now that  $\psi$  is even or, equivalently,  $K_\psi$  is real:

$$\psi(-1) = 1, \quad K_\psi \subset \mathbf{R}.$$

Let  $E_\psi$  denote the group of units  $\varepsilon$  of  $K_\psi$  such that  $N_{K_\psi/k}(\varepsilon) = \pm 1$  for every proper subfield  $k$  of  $K_\psi$ . Note that

$$E_\psi \supseteq \langle -1 \rangle = \{\pm 1\}$$

and that every conjugate over  $\mathbf{Q}$  of an element of  $E_\psi$  also belongs to  $E_\psi$ . If a unit  $\varepsilon$  in  $E_\psi$  belongs to a proper subfield  $k$  of  $K_\psi$ , then  $\varepsilon^{2[K_\psi:k]} = N_{K_\psi/k}(\varepsilon)^2 = 1$  so that  $\varepsilon^2 = 1$ . Thus

$$K_\psi = \mathbf{Q}(\varepsilon) \quad \text{for every } \varepsilon \text{ in } E_\psi \setminus \{\pm 1\}. \tag{1}$$

REMARK 1. The elements of  $E_\psi$  are the proper  $\widehat{\psi}$ -relative units in the sense of Leopoldt (cf. [5, §4]), where  $\widehat{\psi}$  denotes the rational irreducible character of  $\text{Gal}(\mathbf{Q}(\zeta_{f_\psi})/\mathbf{Q})$  such that

$$\widehat{\psi}(\tau) = \sum_u \psi^*(\tau)^u \quad \text{for all } \tau \in \text{Gal}(\mathbf{Q}(\zeta_{f_\psi})/\mathbf{Q}),$$

the sum taken over the positive integers  $u \leq g_\psi$  with  $\gcd(u, g_\psi) = 1$ .

Next, let  $\sigma$  be any generator of  $\text{Gal}(K_\psi/\mathbf{Q})$ , and let  $\alpha$  run through  $\mathbf{Z}[\zeta_{g_\psi}]$ . For each  $\alpha$ , there uniquely exist integers  $a_1, \dots, a_{\varphi(g_\psi)}$  satisfying

$$\alpha = \sum_{j=1}^{\varphi(g_\psi)} a_j \zeta_{g_\psi}^{j-1},$$

so we define

$$\alpha_\sigma = \sum_{j=1}^{\varphi(g_\psi)} a_j \sigma^{j-1}$$

in  $\mathbf{Z}[\text{Gal}(K_\psi/\mathbf{Q})]$ , the group ring of  $\text{Gal}(K_\psi/\mathbf{Q})$  over  $\mathbf{Z}$ . It follows that  $\varepsilon^{\alpha_\sigma}$  always belongs to  $E_\psi$  as  $\varepsilon$  runs through  $E_\psi$ . The map  $(\alpha, \varepsilon^2) \mapsto \varepsilon^{2\alpha_\sigma}$  then defines an action of the Dedekind domain  $\mathbf{Z}[\zeta_{g_\psi}]$  on the abelian group  $E_\psi^2 = \{\varepsilon^2 \mid \varepsilon \in E_\psi\}$ , since the definition of  $E_\psi$  implies that  $\varepsilon^2$  is annihilated by

$$\sum_{u=1}^{g_\psi/n} \sigma^{(u-1)n}$$

for all positive divisors  $n$  of  $g_\psi$  smaller than  $g_\psi$ , and since the  $g_\psi$ -th cyclotomic polynomial in an indeterminate  $y$  is the monic greatest common divisor in  $\mathbf{Z}[y]$  of

$$\sum_{u=1}^{g_\psi/n} y^{(u-1)n} = \frac{y^{g_\psi} - 1}{y^n - 1}$$

for all positive divisors  $n$  of  $g_\psi$  smaller than  $g_\psi$ . At the same time, the quotient group  $E_\psi/\langle -1 \rangle$  is made into a unitary  $\mathbf{Z}[\zeta_{g_\psi}]$ -module by the map  $(\alpha, \{\pm\varepsilon\}) \mapsto \{\pm\varepsilon^{\alpha_\sigma}\}$ , and the map  $\varepsilon^2 \mapsto \{\pm\varepsilon\}$  defines a  $\mathbf{Z}[\zeta_{g_\psi}]$ -isomorphism

$$\iota_\psi : E_\psi^2 \xrightarrow{\sim} E_\psi/\langle -1 \rangle.$$

Henceforth, we assume further that the even Dirichlet character  $\psi$  is nonprincipal. It is verified in [5, §§5-6] that the  $\mathbf{Z}[\zeta_{g_\psi}]$ -modules  $E_\psi^2, E_\psi/\langle -1 \rangle$  are isomorphic to a nonzero ideal of  $\mathbf{Z}[\zeta_{g_\psi}]$ . Now we let

$$\theta_\psi = \prod_b \left( \zeta_{2f_\psi}^b - \zeta_{2f_\psi}^{-b} \right),$$

with the product taken over the integers  $b$  satisfying

$$\psi(b) = 1, \quad 2 \nmid b, \quad 0 < b < \frac{f_\psi}{\gcd(2, f_\psi)}.$$

Note that the number of such integers  $b$  is  $\varphi(f_\psi)/2g_\psi$ . Take an automorphism  $\mathbf{s}_\psi$  in  $\text{Gal}(\mathbf{Q}(\zeta_{f_\psi})/\mathbf{Q})$  for which

$$\psi^*(\mathbf{s}_\psi) = \zeta_{g_\psi},$$

so that the restriction  $\mathbf{s}_\psi|_{K_\psi}$  is a generator of the cyclic group  $\text{Gal}(K_\psi/\mathbf{Q})$ . Fix an extension  $\sigma(\psi)$  of  $\mathbf{s}_\psi$  in  $\text{Gal}(\mathbf{Q}(\zeta_{2f_\psi})/\mathbf{Q})$ , and put

$$\Delta(\psi) = \prod_p (1 - \sigma(\psi)^{g_\psi/p})$$

in  $\mathbf{Z}[\text{Gal}(\mathbf{Q}(\zeta_{2f_\psi})/\mathbf{Q})]$ , where  $p$  ranges over the prime divisors of  $g_\psi$ . Considering  $\mathbf{Q}(\zeta_{2f_\psi})^\times$  to be a module over  $\mathbf{Z}[\text{Gal}(\mathbf{Q}(\zeta_{2f_\psi})/\mathbf{Q})]$  in the obvious manner, we then let

$$\eta_\psi = \theta_\psi^{\Delta(\psi)}.$$

This belongs to  $E_\psi$ ; because the real number  $\theta_\psi^{1-\sigma(\psi)}$  is a unit of  $K_\psi$ ,  $\theta_\psi^2$  is the product of  $(-1)^{\varphi(f_\psi)/2g_\psi}$  and the norm of  $1 - \zeta_{f_\psi}$  for  $\mathbf{Q}(\zeta_{f_\psi})/K_\psi$ , and

$$N_{K_\psi/k}(\theta_\psi^2)^{\Delta(\psi)} = 1$$

for each subfield  $k$  of  $K_\psi$  with  $[K_\psi : k] \in \mathbf{P}$ . We also easily see that the class  $\{\pm\eta_\psi\}$  in  $E_\psi/\langle -1 \rangle$  as well as  $\eta_\psi^2$  in  $E_\psi^2$  does not depend on the choice of  $\mathbf{s}_\psi$  or  $\sigma(\psi)$  but depends only on  $\psi$ .

REMARK 2. Unless  $g_\psi$  is 2 or a power of an odd prime,  $\eta_\psi$  itself depends only on  $\psi$ .

Let  $H_\psi$  denote the subgroup of  $E_\psi$  generated by  $-1$  and by all conjugates of  $\eta_\psi$  over  $\mathbf{Q}$ . It then follows from the class number formula for  $K_\psi$  that the index of  $H_\psi$  in  $E_\psi$  is finite (cf. [5, §8]). We write  $h_\psi$  for the index:

$$h_\psi = (E_\psi : H_\psi) < \infty.$$

On the other hand,  $H_\psi^2$  becomes a cyclic  $\mathbf{Z}[\zeta_{g_\psi}]$ -submodule of  $E_\psi^2$  generated by  $\eta_\psi^2$ , the quotient group  $H_\psi/\langle -1 \rangle$  becomes a cyclic  $\mathbf{Z}[\zeta_{g_\psi}]$ -submodule of  $E_\psi/\langle -1 \rangle$  generated by  $\{\pm\eta_\psi\}$  so that the quotient group  $E_\psi/H_\psi$  becomes a  $\mathbf{Z}[\zeta_{g_\psi}]$ -module, and  $\iota_\psi$  induces  $\mathbf{Z}[\zeta_{g_\psi}]$ -isomorphisms

$$H_\psi^2 \xrightarrow{\sim} H_\psi/\langle -1 \rangle, \quad E_\psi^2/H_\psi^2 \xrightarrow{\sim} E_\psi/H_\psi.$$

Thus the  $\mathbf{Z}[\zeta_{g_\psi}]$ -modules  $H_\psi^2, H_\psi/\langle -1 \rangle$  are isomorphic to  $\mathbf{Z}[\zeta_{g_\psi}]$ .

2.

The purpose of this section is to prove some preliminary results for the proof of Theorem 1. Let  $\chi$  be a nonprincipal even Dirichlet character, which will be fixed throughout the section:

$$\chi(-1) = 1, \quad g_\chi \geq 2, \quad f_\chi \geq 5.$$

We shall put, for simplicity,

$$f = f_\chi, \quad g = g_\chi$$

in the proofs of our assertions.

PROPOSITION 1. *Let  $l$  be a prime number not dividing  $g_\chi$ ,  $\sigma$  a generator of  $\text{Gal}(K_\chi/\mathbf{Q})$ , and  $k$  an extension in  $\mathbf{Q}(\zeta_{g_\chi})$  of the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_{g_\chi})/\mathbf{Q}$ . Then  $l$  divides  $h_\chi$  if and only if there exists a prime ideal  $\mathfrak{l}$  of  $k$  dividing  $l$  such that the absolute value  $|\eta_\chi^{\alpha\sigma}|$  is an  $l$ -th power in  $E_\chi$  for any element  $\alpha$  of the integral ideal  $\mathfrak{l}^{-1}$  of  $k$ .*

PROOF. Let  $d$  be the degree of  $\mathbf{Q}(\zeta_g)$  over  $k$ :

$$d = [\mathbf{Q}(\zeta_g) : k], \quad g = g_\chi.$$

Let  $\mathfrak{o}$  be the ring of algebraic integers in  $k$ . Then  $1, \zeta_g, \dots, \zeta_g^{d-1}$  form a free basis of the  $\mathfrak{o}$ -module  $\mathbf{Z}[\zeta_g]$ ;

$$\mathbf{Z}[\zeta_g] = \mathfrak{o} \oplus \mathfrak{o}\zeta_g \oplus \dots \oplus \mathfrak{o}\zeta_g^{d-1}.$$

Assume first that  $l$  divides  $h_\chi$ . Since the finite  $\mathbf{Z}[\zeta_g]$ -module  $E_\chi/H_\chi$  is isomorphic, as an  $\mathfrak{o}$ -module, to the direct sum  $\bigoplus_{\mathfrak{a} \in \mathcal{S}} (\mathfrak{o}/\mathfrak{a})$  for some finite set  $\mathcal{S}$  of nonzero ideals of  $\mathfrak{o}$ , there are a prime ideal  $\mathfrak{l}$  of  $k$  dividing  $l$  and an injective  $\mathfrak{o}$ -module homomorphism  $\mathfrak{o}/\mathfrak{l} \rightarrow E_\chi/H_\chi$ . Hence there exists a unit  $\varepsilon$  in  $E_\chi \setminus H_\chi$  such that every  $\beta$  in  $\mathfrak{l}$  satisfies  $\varepsilon^{\beta\sigma} \in H_\chi$ , namely,  $\varepsilon^{2\beta\sigma} \in H_\chi^2$ . In particular,

$$\varepsilon^{2l} = \eta_\chi^{2\omega\sigma} \tag{2}$$

with some  $\omega$  in  $\mathbf{Z}[\zeta_g]$ , which is expressed uniquely in the form

$$\omega = \sum_{j=1}^d \gamma_j \zeta_g^{j-1} \quad \text{with } \gamma_1, \dots, \gamma_d \in \mathfrak{o}.$$

Let  $\mathfrak{L}$  be the ideal of  $\mathbf{Z}[\zeta_g]$  generated by  $\mathfrak{l}$ . Then, as an  $\mathfrak{o}$ -module,  $\mathfrak{L}$  coincides with  $\mathfrak{l} \oplus \mathfrak{l}\zeta_g \oplus \dots \oplus \mathfrak{l}\zeta_g^{d-1}$  and, by the hypothesis of the proposition,  $\mathfrak{L}$  is the only prime ideal of  $\mathbf{Q}(\zeta_g)$  dividing  $l$ . Let us consider the case  $\omega \in \mathfrak{L}$ . In this case,  $\gamma_1, \dots, \gamma_d$  belong to  $\mathfrak{l}$ . As

$\mathfrak{l}$  is unramified for  $k/\mathbf{Q}$ , there exists an algebraic integer  $\beta'$  in  $l^{-1}$  satisfying  $1 - \beta' \in \mathfrak{l}$ . We note that  $\beta'\gamma_1 l^{-1}, \dots, \beta'\gamma_d l^{-1}$  belong to  $\mathfrak{o}$ . On the other hand, (2) gives

$$\varepsilon^{2l\beta'_\sigma} = \eta_\chi^{2(\sum_{j=1}^d \beta'\gamma_j \zeta_g^{j-1})_\sigma}.$$

Consequently,

$$\varepsilon^2 = \varepsilon^{2(1-\beta'+\beta')_\sigma} = \varepsilon^{2(1-\beta')_\sigma} \eta_\chi^{2(\sum_{j=1}^d \beta'\gamma_j l^{-1} \zeta_g^{j-1})_\sigma} \in H_\chi^2.$$

This is a contradiction, however. Thus the case  $\omega \in \mathfrak{L}$  does not occur. Let  $\mathfrak{G} = \text{Gal}(\mathbf{Q}(\zeta_g)/k)$ . Then

$$\omega^\tau \notin \mathfrak{L} \quad \text{for any } \tau \text{ in } \mathfrak{G}, \tag{3}$$

since  $\mathfrak{L}$  is invariant under  $\tau$ . We next define a square matrix  $Y$  of degree  $d$  with coefficients in  $\mathfrak{o}$  by

$$Y \begin{pmatrix} 1 \\ \zeta_g \\ \vdots \\ \zeta_g^{d-1} \end{pmatrix} = \omega \begin{pmatrix} 1 \\ \zeta_g \\ \vdots \\ \zeta_g^{d-1} \end{pmatrix}.$$

Clearly,

$$Y \begin{pmatrix} 1 \\ \zeta_g^\tau \\ \vdots \\ \zeta_g^{(d-1)\tau} \end{pmatrix} = \omega^\tau \begin{pmatrix} 1 \\ \zeta_g^\tau \\ \vdots \\ \zeta_g^{(d-1)\tau} \end{pmatrix} \quad \text{for all } \tau \text{ in } \mathfrak{G},$$

so that

$$\det(Y) = \prod_{\tau \in \mathfrak{G}} \omega^\tau.$$

Hence it follows from (3) that

$$\det(Y) \notin \mathfrak{l}, \quad \text{i.e., } 1 - \beta'' \det(Y) \in \mathfrak{l} \text{ for some } \beta'' \text{ in } \mathfrak{o}.$$

Now let  $\alpha$  be any element of  $l^{-1}$ . We then find that

$$\eta_\chi^{\alpha\sigma} = \eta_\chi^{(\det(Y))_\sigma(\alpha\beta'')_\sigma} \eta_\chi^{(\alpha(1-\beta'' \det(Y)))_\sigma}.$$

Furthermore, from (2), we obtain  $\eta_\chi^{2(\omega\zeta_g^{j-1})_\sigma} = \varepsilon^{2l(\zeta_g^{j-1})_\sigma}$  as  $j$  runs through  $\{1, \dots, d\}$ , and hence, by the definition of  $Y$ ,

$$\eta_\chi^{2(\det(Y))_\sigma} = \varepsilon^{2l(\sum_{j=1}^d \partial_j \zeta_g^{j-1})_\sigma},$$

with  $\partial_j$  denoting the  $(j, 1)$ -cofactor of  $Y$ . Since  $l$  divides  $\alpha(1 - \beta'' \det(Y))$ , it follows that  $\eta_\chi^{2\alpha\sigma}$  is a  $2l$ -th power in  $E_\chi^2$ , namely,  $|\eta_\chi^{\alpha\sigma}|$  is an  $l$ -th power in  $E_\chi$ .

Next, without assuming  $l \mid h_\chi$ , let  $\alpha'$  be any algebraic integer in  $l^{-1}$  such that  $l$  is relatively prime to  $\alpha' l^{-1} l$ , and assume that  $|\eta_\chi^{\alpha'\sigma}|$  is an  $l$ -th power in  $E_\chi$ . Then

$$H_\chi^{2\alpha'\sigma} = \{ \eta_\chi^{2\alpha'\sigma\gamma} \mid \gamma \in \mathbf{Z}[\zeta_g] \} \subseteq E_\chi^{2l}.$$

We also know that

$$(E_\chi^2 : H_\chi^2) = h_\chi, \quad (E_\chi^2 : E_\chi^{2l}) = l^{\varphi(g)}, \quad (H_\chi^2 : H_\chi^{2\alpha'\sigma}) = |N_{\mathbf{Q}(\zeta_g)/\mathbf{Q}}(\alpha')|.$$

Let  $d'$  be the degree of  $\mathbf{Q}(\zeta_g)$  over the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_g)/\mathbf{Q}$ . As  $l$  does not divide  $g$ , our choice of  $\alpha'$  implies that  $l^{\varphi(g)-d'}$  is the  $l$ -part of  $N_{\mathbf{Q}(\zeta_g)/\mathbf{Q}}(\alpha')$ . Hence  $l^{d'}$  must divide  $h_\chi$ . The proposition is thus completely proved.  $\square$

The above proof may be a natural generalization of the proof of [3, Lemma 2] combined with [3, Remark 2]. The following simple proof of Proposition 1 is due to the referee.

ANOTHER PROOF OF PROPOSITION 1. We first assume that  $k = \mathbf{Q}(\zeta_g)$ . Let  $\mathcal{O} = \mathbf{Z}[\zeta_g]$ . Then we have the following commutative diagram of  $\mathcal{O}$ -modules for some integral ideal  $\mathcal{I}$  of  $\mathbf{Q}(\zeta_g)$  and some  $\beta \in \mathcal{I}$ :

$$\begin{array}{ccc} E_\chi^2 & \xrightarrow{\sim} & \mathcal{I} \\ \uparrow & & \uparrow \\ H_\chi^2 & \xrightarrow{\sim} & \beta\mathcal{O}, \end{array}$$

where the vertical maps are the natural inclusions. Since  $E_\chi/H_\chi \simeq E_\chi^2/H_\chi^2 \simeq \mathcal{I}/\beta\mathcal{O} \simeq \mathcal{O}/\beta\mathcal{I}^{-1}$ ,  $l \mid h_\chi$  is equivalent to that there exists a prime ideal  $\mathcal{L}$  of  $\mathcal{O}$  dividing  $l$  such that  $\beta\mathcal{I}^{-1} \subseteq \mathcal{L}$ , which is also equivalent to  $l\mathcal{L}^{-1} \subseteq l\mathcal{I}\beta^{-1}$ . Furthermore we note that  $H_\chi^2 = (E_\chi^2)^{\beta\mathcal{I}^{-1}}$ . Here, for each  $\mathcal{O}$ -submodule  $\Omega$  of  $E_\chi^2$  and each integral ideal  $\mathcal{I}$  of  $\mathbf{Q}(\zeta_g)$ ,  $\Omega^\mathcal{I}$  denotes the  $\mathcal{O}$ -submodule of  $E_\chi^2$  generated by all  $\varepsilon^\gamma$ ,  $(\gamma, \varepsilon) \in \mathcal{I} \times \Omega$ .

Assume that  $l \mid h_\chi$ , i.e.,  $\beta\mathcal{I}^{-1} \subseteq \mathcal{L}$  with some prime ideal  $\mathcal{L}$  of  $\mathcal{O}$  dividing  $l$ . It follows from the above diagram that there exist a positive  $s \in \mathbf{Z}$ ,  $\varepsilon_1, \dots, \varepsilon_s \in E_\chi$ , and  $\gamma_1, \dots, \gamma_s \in \beta\mathcal{I}^{-1}$  such that  $\eta_\chi^2 = \varepsilon_1^{2(\gamma_1)_\sigma} \dots \varepsilon_s^{2(\gamma_s)_\sigma}$ . Hence

$$\eta_\chi^{2\alpha\sigma} = \varepsilon_1^{2(\alpha\gamma_1)_\sigma} \dots \varepsilon_s^{2(\alpha\gamma_s)_\sigma} \in E_\chi^{2l} \quad \text{for } \alpha \in l\mathcal{L}^{-1}$$

because  $\alpha\gamma_1, \dots, \alpha\gamma_s \in l\mathcal{L}^{-1}\beta\mathcal{I}^{-1} \subseteq l\mathcal{O}$  by  $l\mathcal{L}^{-1} \subseteq l\mathcal{I}\beta^{-1}$ . Therefore  $|\eta_\chi^{\alpha\sigma}| \in E_\chi^l$  holds for  $\alpha \in l\mathcal{L}^{-1}$ .

Conversely we assume that there exists a prime ideal  $\mathcal{L}$  of  $\mathcal{O}$  above  $l$  such that  $|\eta_\chi^{\alpha\sigma}| \in E_\chi^l$  for any  $\alpha \in l\mathcal{L}^{-1}$ . Since  $\eta_\chi^2$  generates  $H_\chi^2$  over  $\mathcal{O}$ , this implies  $(H_\chi^2)^{l\mathcal{L}^{-1}} \subseteq E_\chi^{2l}$ . Then it follows from the above diagram that  $l\mathcal{L}^{-1}\beta\mathcal{O} \subseteq l\mathcal{I}$ , which implies  $\beta\mathcal{I}^{-1} \subseteq \mathcal{L}$ . Hence we have  $l \mid h_\chi$ .

The proposition for general  $k$  is derived from that for the case  $k = \mathbf{Q}(\zeta_g)$ : Let  $\mathfrak{o}$  be the integer ring of  $k$ , and let  $\Lambda$  be the set of prime ideals of  $\mathfrak{o}$  above  $l$ . The implication

$$l \mid h_\chi \implies \exists l \in \Lambda, \forall \alpha \in l^{-1}, |\eta_\chi^{\alpha\sigma}| \in E_\chi^l$$

follows from the case  $k = \mathbf{Q}(\zeta_g)$ , because  $\mathcal{L} \cap \mathfrak{o} \in \Lambda$  for any prime ideal  $\mathcal{L}$  of  $\mathcal{O}$  above  $l$  and  $l(\mathcal{L} \cap \mathfrak{o})^{-1} = l\mathcal{L}^{-1} \cap \mathfrak{o}$  by the assumption on  $k$ . Another implication also follows; because  $l\mathcal{O}$  is a prime ideal of  $\mathcal{O}$  for any  $l \in \Lambda$  by the assumption on  $k$ , and the statement that  $|\eta_\chi^{\alpha\sigma}| \in E_\chi^l$  for all  $\alpha \in l^{-1}$  implies that  $|\eta_\chi^{\alpha\sigma}| \in E_\chi^l$  for all  $\alpha$  in  $l(l\mathcal{O})^{-1} = (l^{-1})\mathcal{O}$ .  $\square$

Given any algebraic number  $z$ , we denote by  $\|z\|$  the maximum of the absolute values of all conjugates of  $z$  over  $\mathbf{Q}$ . It follows that, for any algebraic numbers  $z_1, z_2$ , and for any non-negative integer  $a$ ,

$$\|z_1 z_2\| \leq \|z_1\| \cdot \|z_2\|, \quad \|z_1^a\| = \|z_1\|^a.$$

LEMMA 1. *Let  $u$  be a positive integer and  $\varepsilon$  an element of  $E_\chi \setminus \{\pm 1\}$ . If  $\varepsilon$  is a  $u$ -th power in  $E_\chi$ , then*

$$2^u < \|\varepsilon\|$$

except in the case  $f_\chi \in \{9\} \cup \mathbf{P}$ .

PROOF. Assume not only that  $\varepsilon = \varepsilon_0^u$  with some  $\varepsilon_0$  in  $E_\chi$  but also that  $2^u \geq \|\varepsilon\|$ . It suffices to prove that  $f = f_\chi$  is either 9 or a prime number. Since the above assumption implies that

$$\varepsilon_0^2 \neq 1, \quad \|\varepsilon_0\| \leq 2,$$

(1) yields  $K_\chi = \mathbf{Q}(\varepsilon_0)$  and, by the theorem of Kronecker [4, II],  $\varepsilon_0 = \delta + \delta^{-1}$  holds for some root  $\delta$  of unity. Therefore we obtain  $\mathbf{Q}(\zeta_f) = \mathbf{Q}(\delta)$ , so that  $\zeta_f + \zeta_f^{-1}$  belongs to  $E_\chi \setminus \{\pm 1\}$ . Furthermore,  $\zeta_{2^a} + \zeta_{2^a}^{-1}$  is not a unit for any non-negative integer  $a$ . Hence there exists an odd prime  $p$  dividing  $f$ . In the case  $p^2 \mid f$ ,

$$\mathbf{Q}(\zeta_{f/p} + \zeta_{f/p}^{-1}) \subset \mathbf{Q}(\zeta_f + \zeta_f^{-1}) = K_\chi, \quad N_{K_\chi/\mathbf{Q}(\zeta_{f/p} + \zeta_{f/p}^{-1})}(\zeta_f + \zeta_f^{-1}) = \zeta_{f/p} + \zeta_{f/p}^{-1},$$

the relation  $\zeta_{f/p} + \zeta_{f/p}^{-1} = \pm 1$  implies that  $f/p = 3$  or  $6$ , and consequently we have  $f = 9$ . Thus, in the rest of the proof, we may suppose that  $f$  is not divisible by the square of

any odd prime. As  $\mathbf{Q}(\zeta_f + \zeta_f^{-1})/\mathbf{Q}$  is a cyclic extension,  $f$  belongs to  $\{p, 4p, pq\}$ , with some odd prime  $q$  other than  $p$ . However, in view of  $\zeta_{4p} + \zeta_{4p}^{-1} = \zeta_{4p}(1 - \zeta_p^{(p-1)/2})$ , we have  $f \neq 4p$ . Let us finally consider the case  $f = pq$ . We may suppose  $p < q$ . It follows that

$$\zeta_p \zeta_q + \zeta_p^{-1} \zeta_q^{-1} \in E_\chi, \quad N_{K_\chi/\mathbf{Q}(\zeta_q + \zeta_q^{-1})}(\zeta_p \zeta_q + \zeta_p^{-1} \zeta_q^{-1}) = \frac{\zeta_q^p + \zeta_q^{-p}}{\zeta_q + \zeta_q^{-1}}.$$

Therefore,

$$\zeta_q^{2p} + 1 = \pm(\zeta_q^{p+1} + \zeta_q^{p-1});$$

but this is impossible, because  $q \geq 5$  and, if  $2p > q - 1$ , then  $1 \leq 2p - q \leq q - 4$ . □

REMARK. Let  $\psi_0$  be the Dirichlet character of order 3 with conductor 9 such that  $\psi_0(2) = \zeta_3^2$ . In the case  $f_\chi = 9$ ,

$$\chi = \psi_0 \quad \text{or} \quad \psi_0^2, \quad K_\chi = \mathbf{Q}\left(\cos \frac{\pi}{9}\right),$$

$E_\chi$  is the unit group of  $\mathbf{Q}(\cos(\pi/9))$ , and a unit  $\varepsilon$  in  $E_\chi$  satisfies  $\|\varepsilon\| \leq 2$  if and only if  $\varepsilon$  or  $-\varepsilon$  is conjugate to  $\eta_{\psi_0} = -2 \cos(4\pi/9)$  over  $\mathbf{Q}$ . Moreover, in the present case, the class number formula shows that  $h_\chi$  coincides with the class number of  $\mathbf{Q}(\cos(\pi/9))$ , which is known to equal 1:  $h_\chi = 1$ .

For each Dirichlet character  $\psi$ , we let  $\lambda(\psi)$  denote the number of distinct prime divisors of  $g_\psi$ .

LEMMA 2.

$$\max(\|\eta_\chi\|, \|\eta_\chi^{-1}\|) < \left(\frac{f_\chi}{\pi} + 1\right)^{2^{\lambda(\chi)-2} \varphi(f_\chi)/g_\chi}.$$

PROOF. Let  $p$  be a prime number dividing  $g$ , and  $r$  an integer such that  $\zeta_{2f}^{\sigma(\chi)^{-g/p}} = \zeta_{2f}^r$ . Then

$$\left\| (\zeta_{2f} - \zeta_{2f}^{-1})^{1 - \sigma(\chi)^{g/p}} \right\| = \left\| (\zeta_f - 1)^{\sigma(\chi)^{-g/p} - 1} \right\|$$

and, for each integer  $j$  relatively prime to  $f$ ,

$$\left| (\zeta_f^j - 1)^{\sigma(\chi)^{-g/p} - 1} \right| = \left| \frac{\sin(\pi jr/f)}{\sin(\pi j/f)} \right|.$$

Therefore, when  $m$  ranges over the positive integers less than  $f/2$  relatively prime to  $f$ ,

$$\begin{aligned} \left\| (\zeta_{2f} - \zeta_{2f}^{-1})^{1-\sigma(\chi)^{g/p}} \right\| &\leq \max_m \left| \frac{\sin(\pi mr/f)}{\sin(\pi m/f)} \right| \\ &= \max_m \left| \frac{\sin(\pi m(r-1)/f)}{\tan(\pi m/f)} + \cos \frac{\pi m(r-1)}{f} \right| < \max_m \left( \frac{f}{\pi m} + 1 \right). \end{aligned}$$

We thus obtain

$$\left\| (\zeta_{2f} - \zeta_{2f}^{-1})^{1-\sigma(\chi)^{g/p}} \right\| < \frac{f}{\pi} + 1.$$

Similarly, we have

$$\left\| (\zeta_{2f} - \zeta_{2f}^{-1})^{\sigma(\chi)^{g/p}-1} \right\| < \frac{f}{\pi} + 1.$$

The lemma now follows from the definition of  $\eta_\chi$ . □

For each positive integer  $m$ , we let  $D_m$  denote the absolute value of the discriminant of  $\mathbf{Q}(\zeta_m)$ . We also let

$$\Xi(m) = (\varphi(m) - 1)^{(\varphi(m)-1)/2} \quad \text{or} \quad \Xi(m) = 1$$

according as  $m \geq 3$  or  $m \leq 2$ .

**PROPOSITION 2.** *Let  $l$  be a prime number not dividing  $g_\chi$ , and  $n$  a positive divisor of  $g_\chi$  such that  $\mathbf{Q}(\zeta_n)$  contains the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_{g_\chi})/\mathbf{Q}$ . Assume that  $l$  divides  $h_\chi$ , hence  $f_\chi \neq 9$ , and that  $f_\chi$  is not a prime number. Then*

$$l < \sqrt{D_n} \left( \frac{2^{\lambda(\chi)-2} \varphi(f_\chi) \varphi(n)^2 \Xi(n)}{(\log 2) g_\chi \sqrt{D_n}} \log \left( \frac{f_\chi}{\pi} + 1 \right) \right)^{\varphi(n)}.$$

**PROOF.** Let  $\sigma$  be a generator of  $\text{Gal}(K_\chi/\mathbf{Q})$ . By Proposition 1, there exists a prime ideal  $\mathfrak{l}$  of  $\mathbf{Q}(\zeta_n)$  dividing  $l$  such that, for each  $\gamma$  in  $l^{-1}$ ,  $|\eta_\chi^{\gamma\sigma}|$  is an  $l$ -th power in  $E_\chi$ . Let  $\mathfrak{K}$  be the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_g)/\mathbf{Q}$ . Since the norm of  $l^{-1}$  for  $\mathbf{Q}(\zeta_n)/\mathbf{Q}$  is  $l^{([\mathfrak{K}:\mathbf{Q}]-1)[\mathbf{Q}(\zeta_n):\mathfrak{K}]}$ , Minkowski's lattice theorem shows that

$$\|\alpha\| \leq \left( \sqrt{D_n} l^{([\mathfrak{K}:\mathbf{Q}]-1)[\mathbf{Q}(\zeta_n):\mathfrak{K}]} \right)^{1/\varphi(n)}$$

with some element  $\alpha$  of  $l^{-1} \setminus \{0\}$ . It follows that

$$0 < \|\alpha\| \leq \left( \sqrt{D_n} l^{\varphi(n)-1} \right)^{1/\varphi(n)}; \tag{4}$$

in particular,  $\alpha = \pm 1$  if  $n \leq 2$ . Let us write  $\alpha$  in the form

$$\alpha = \sum_{j=1}^{\varphi(n)} a_j \zeta_n^{j-1} \quad \text{with } a_1, \dots, a_{\varphi(n)} \in \mathbf{Z}.$$

Then, in  $\mathbf{Z}[\text{Gal}(K_\chi/\mathbf{Q})]$ ,

$$\alpha_\sigma = \sum_{j=1}^{\varphi(n)} a_j (\sigma^{g/n})^{j-1},$$

so that

$$\|\eta_\chi^{\alpha_\sigma}\| \leq \max(\|\eta_\chi\|, \|\eta_\chi^{-1}\|)^{\sum_{j=1}^{\varphi(n)} |a_j|}.$$

Hence we obtain, from Lemma 2,

$$\log \|\eta_\chi^{\alpha_\sigma}\| \leq \frac{2^{\lambda(\chi)-2} \varphi(f)}{g} \log \left( \frac{f}{\pi} + 1 \right) \sum_{j=1}^{\varphi(n)} |a_j|. \tag{5}$$

We next define a square matrix  $X$  of degree  $\varphi(n)$  by

$$X = (\zeta_n^{r_u(j-1)})_{u,j=1,\dots,\varphi(n)}.$$

Here  $r_u$  denotes, for each positive integer  $u \leq \varphi(n)$ , the  $u$ -th positive integer relatively prime to  $n$ . Note that, by definition,

$$D_n = |\det(X)|^2. \tag{6}$$

Now, take any positive integer  $j \leq \varphi(n)$ . For each positive integer  $u \leq \varphi(n)$ , let  $d_u$  denote the  $(j, u)$ -cofactor of  $X$ . Then

$$a_j = \frac{1}{\det(X)} \sum_{u=1}^{\varphi(n)} d_u \alpha^{(u)},$$

with  $\alpha^{(u)}$  for each  $u$  the image of  $\alpha$  under the automorphism of  $\mathbf{Q}(\zeta_n)$  mapping  $\zeta_n$  to  $\zeta_n^{r_u}$ . Hence (4), (6), and Hadamard's inequality yield

$$|a_j| \leq \frac{\varphi(n) \Xi(n)}{\sqrt{D_n}} \left( \sqrt{D_n} t^{\varphi(n)-1} \right)^{1/\varphi(n)}.$$

We therefore see from (5) that

$$\log \|\eta_\chi^{\alpha_\sigma}\| \leq \frac{2^{\lambda(\chi)-2} \varphi(f) \varphi(n)^2 \Xi(n)}{g \sqrt{D_n}} \left( \sqrt{D_n} t^{\varphi(n)-1} \right)^{1/\varphi(n)} \log \left( \frac{f}{\pi} + 1 \right). \tag{7}$$

On the other hand, the  $l$ -th power  $|\eta_\chi^{\alpha\sigma}|$  in  $E_\chi$  is not equal to 1; because  $\eta_\chi^2$  generates over  $\mathbf{Z}[\zeta_g]$  the cyclic free  $\mathbf{Z}[\zeta_g]$ -module  $H_\chi^2$ . Hence, by Lemma 1, we have

$$l \log 2 < \log \|\eta_\chi^{\alpha\sigma}\|.$$

This and (7) then give us the inequality to be proved. □

**3.**

We devote this section to giving some elementary lemmas, which will be needed in the next section.

LEMMA 3. *Let  $p$  be a prime number,  $m$  a positive integer not divisible by  $p$ ,  $U$  a finite set of integers, and  $\mathfrak{w}$  a map  $U \rightarrow \mathbf{Z}[\zeta_m]$ . Taking an integer  $a > 1$ , a positive integer  $a' < a$ , and any integer  $b$ , put*

$$\omega = \sum_{u \in U} \mathfrak{w}(u) \zeta_{p^a}^u, \quad \omega' = \sum_{u \in U'} \mathfrak{w}(u) \zeta_{p^a}^u,$$

where  $U'$  denotes the set of all  $u \in U$  with  $u \equiv b \pmod{p^{a'}}$ .

- (i) If  $\omega = 0$ , then  $\omega' = 0$ .
- (ii) If  $c$  is an integer and if  $\omega \equiv 0 \pmod{c}$ , namely  $\omega \in c\mathbf{Z}[\zeta_{mp^a}]$ , then  $\omega' \equiv 0 \pmod{c}$ .

PROOF. The assertion (i) follows from the fact that the  $p^a$ -th cyclotomic polynomial in an indeterminate  $y$  belongs to  $\mathbf{Z}[y^{p^{a-1}}]$  and is irreducible over  $\mathbf{Q}(\zeta_m)$ . The assertion (ii) is an immediate consequence of (i). □

As in the introduction, we let

$$\tilde{q} = \gcd(2, q)q \quad \text{for each } q \in \mathbf{P}.$$

LEMMA 4. *Let  $p$  be a prime number,  $m$  a positive integer not divisible by  $p$ ,  $a$  a positive integer which exceeds 2 in the case  $p = 2$ ,  $V$  a complete set of representatives of the factor ring  $\mathbf{Z}/\tilde{p}\mathbf{Z}$ , and  $r$  an integer such that  $\tilde{p}$  is the  $p$ -part of  $r - 1$ . Then  $\zeta_{p^a}^{j r^u}$ , for all  $j \in V \setminus p\mathbf{Z}$  and all non-negative integers  $u < \varphi(p^a/\tilde{p})$ , are linearly independent over  $\mathbf{Q}(\zeta_m)$ .*

PROOF. When the integer  $p^a/\tilde{p}$  is 1 or 2, the lemma certainly holds. Let us consider the case where  $p^a/\tilde{p} > 2$ , i.e.  $\tilde{p}^2 \mid p^a$ . Let  $s = p^a/\tilde{p}^2$ , let  $w$  be any non-negative integer less than  $s$ , and let  $t = (r^s - 1)\tilde{p}/p^a$  so that  $t$  is an integer relatively prime to  $p$ . We assume that

$$\sum_{u=0}^{\varphi(p^a/\tilde{p})-1} \sum_{j \in V \setminus p\mathbf{Z}} b_j(u) \zeta_{p^a}^{j r^u} = 0$$

with each  $b_j(u)$  in  $\mathcal{Q}(\zeta_m)$ . Clearly, for each  $u$ ,  $r^u \equiv r^w \pmod{p^a/\tilde{p}}$  if and only if  $u \equiv w \pmod{s}$ . Therefore, by Lemma 3, we have

$$\sum_{c=0}^{\varphi(\tilde{p})-1} b_j(w + cs) \left( \zeta_{p^a}^{jr^w} \right)^{r^{cs}} = 0$$

for each  $j$ . Because every  $c$  satisfies  $r^{cs} \equiv 1 + ctp^a/\tilde{p} \pmod{p^a}$  in the above equation, it follows that

$$\zeta_{p^a}^{jr^w} \sum_{c=0}^{\varphi(\tilde{p})-1} b_j(w + cs) \left( \zeta_{\tilde{p}}^{jr^wt} \right)^c = 0,$$

which yields  $b_j(w + cs) = 0$  for each  $c$ . □

LEMMA 5. *Let  $\alpha$  be a nonzero algebraic integer,  $k$  a number field with finite degree,  $\mathfrak{o}$  the ring of algebraic integers in  $k$ ,  $\beta$  an algebraic integer in  $\mathfrak{o}[\alpha]$ , and  $d$  the degree of  $\alpha$  over  $k$ ;  $d = [k(\alpha) : k]$ . Let  $\mathfrak{b}$  be an ideal of  $\mathfrak{o}$  relatively prime to the principal ideal of  $\mathfrak{o}$  generated by the product of  $N_{k(\alpha)/k}(\alpha)$  and the discriminant of  $\alpha$  over  $k$ . Viewing  $\mathfrak{o}[\alpha]$  as an  $\mathfrak{o}$ -module in the usual manner, assume that*

$$\beta\alpha^j \in \mathfrak{b} \oplus \mathfrak{o}\alpha \oplus \dots \oplus \mathfrak{o}\alpha^{d-1}$$

for every non-negative integer  $j < d$ . Then

$$\beta \in (\mathfrak{o}[\alpha])\mathfrak{b} = \mathfrak{b} \oplus \mathfrak{b}\alpha \oplus \dots \oplus \mathfrak{b}\alpha^{d-1}.$$

PROOF. Let  $Z$  be the square matrix of degree  $d$  with coefficients in  $\mathfrak{o}$  such that

$$Z \begin{pmatrix} 1 \\ \alpha \\ \vdots \\ \alpha^{d-1} \end{pmatrix} = \beta \begin{pmatrix} 1 \\ \alpha \\ \vdots \\ \alpha^{d-1} \end{pmatrix}.$$

Taking the conjugates  $\alpha_1, \dots, \alpha_d$  of  $\alpha$  over  $k$ , with  $\alpha_1 = \alpha$ , let  $T$  be the adjugate matrix of the matrix  $(\alpha_m^{j-1})_{j,m=1,\dots,d}$ . Then  $T$  is invertible and  $TZT^{-1}$  is a diagonal matrix whose  $(1, 1)$ -component is  $\beta$ . Hence the  $(1, 1)$ -component of  $TZ$  is equal to  $\beta\gamma$ , where  $\gamma$  denotes the  $(1, 1)$ -component of  $T$ . On the other hand, by the assumption of the lemma, the components of the first column of  $Z$  belong to  $\mathfrak{b}$  and, by the definition of  $(1, 1)$ -cofactor,  $\gamma$  is a divisor of

$$N_{k(\alpha)/k}(\alpha) \det \left( (\alpha_m^{j-1})_{j,m=1,\dots,d} \right)$$

in the ring  $\mathfrak{o}[\alpha_1, \dots, \alpha_d]$ . Thus the lemma is proved. □

For each integer  $m > 1$ , we let  $Q(m)$  denote the set of prime-powers  $u > 1$  dividing  $m$  and satisfying  $\gcd(u, m/u) = 1$ . Furthermore, we denote by  $\mathcal{B}_m$  the set of roots of unity in the form

$$\prod_{u \in Q(m)} \zeta_u^{j_u}$$

where  $j_u$  for each  $u$  in  $Q(m)$  ranges over the non-negative integers smaller than  $\varphi(u)$ . It is obvious that  $\mathcal{B}_m$  contains 1 and forms a free basis of the  $\mathbf{Z}$ -module  $\mathbf{Z}[\zeta_m]$ .

LEMMA 6. *Let  $n$  be an integer greater than 1. For any algebraic integer  $\alpha$  in  $\mathbf{Z}[\zeta_n]$ , let  $c(\alpha)$  denote the coefficient of 1 in the expression of  $\alpha$  as a linear combination of elements of  $\mathcal{B}_n$  with coefficients in  $\mathbf{Z}$ . Let  $b$  be an integer relatively prime to  $n$ , and  $\beta$  an algebraic integer in  $\mathbf{Z}[\zeta_n]$ . If  $c(\beta\zeta_n^j) \equiv 0 \pmod{b}$  for all non-negative integers  $j < \varphi(n)$ , then  $\beta \equiv 0 \pmod{b}$ .*

PROOF. Assume that  $c(\beta\zeta_n^j) \equiv 0 \pmod{b}$  for all non-negative integers  $j < \varphi(n)$ . Then we find that  $c(\beta\zeta_n^j) \equiv 0 \pmod{b}$  for all integers  $j$ . Let  $P'$  be any subset of  $Q(n)$ , let  $u$  be any element of  $Q(n) \setminus P'$ , and let

$$n' = \prod_{u' \in P'} u', \quad n'' = n'u.$$

Note that  $\mathcal{B}_n \cap \langle \zeta_{n/n'} \rangle$  is a free basis of the  $\mathbf{Z}[\zeta_{n'}]$ -module  $\mathbf{Z}[\zeta_n]$  and that  $\mathcal{B}_n \cap \langle \zeta_{n/n''} \rangle$  is a free basis of the  $\mathbf{Z}[\zeta_{n''}]$ -module  $\mathbf{Z}[\zeta_n]$ . For any  $\alpha$  in  $\mathbf{Z}[\zeta_n]$ , we let  $c'(\alpha)$  denote the coefficient of 1 in the expression of  $\alpha$  as a linear combination of elements of  $\mathcal{B}_n \cap \langle \zeta_{n/n'} \rangle$  with coefficients in  $\mathbf{Z}[\zeta_{n'}]$ ; similarly, we let  $c''(\alpha)$  denote the coefficient of 1 in the expression of  $\alpha$  as a linear combination of elements of  $\mathcal{B}_n \cap \langle \zeta_{n/n''} \rangle$  with coefficients in  $\mathbf{Z}[\zeta_{n''}]$ . Obviously, for every  $\gamma$  in  $\mathbf{Z}[\zeta_n]$ ,

$$c'(c''(\gamma)) = c'(\gamma), \quad c''(\gamma\zeta_u) = c''(\gamma)\zeta_u.$$

Now we take any  $n/n''$ -th root  $\xi$  of unity:  $\xi \in \langle \zeta_{n/n''} \rangle$ . Since  $b$  is relatively prime to  $u$ , it follows from Lemma 5 that, if  $c'(\beta\xi\zeta_u^j) \equiv 0 \pmod{b}$  for all non-negative integers  $j < \varphi(u)$ , then  $c''(\beta\xi) \equiv 0 \pmod{b}$ . Hence we can complete the proof by induction on  $|Q(n)|$ . □

#### 4.

This section is a sequel to §2. With  $\chi$ ,  $f$ , and  $g$  the same as in §2, we shall prove other preliminary results for the proof of Theorem 1.

Let  $p$  be any prime number. We let  $f(p)$  and  $g(p)$  denote respectively the  $p$ -part of  $f_\chi$  and that of  $g_\chi$ . In the case  $p \geq 5$ , let  $W_p$  denote the set of roots of unity in the form

$$\prod_{u \in Q((p-1)/2)} \zeta_{2u}^{s_u}$$

where, for each  $u$  in  $\mathbf{Q}((p-1)/2)$ ,  $s_u$  ranges over the non-negative integers smaller than  $u$ . Let  $W_p = \{1\}$  in the case  $p \leq 3$ . Then  $W_p$ , a subset of  $\mathbf{Q}(\zeta_{\varphi(\tilde{p})}) = \mathbf{Q}(\zeta_{p-1})$ , is a complete set of representatives of the quotient group  $\langle \zeta_{\varphi(\tilde{p})} \rangle / \langle -1 \rangle$ . Next, let  $a$  be any positive integer. Let  $\Phi_p(a)$  denote the set of all maps from  $W_p$  into the set of the non-negative integers not more than  $a$ . We then put

$$M_p(a) = \max_{\mathbf{m} \in \Phi_p(a)} \left| N_{\mathbf{Q}(\zeta_{p-1})/\mathbf{Q}} \left( \sum_{\delta \in W_p} \mathbf{m}(\delta)\delta - 1 \right) \right|.$$

PROPOSITION 3. *Let  $p$  be a prime number as above,  $l$  a prime number distinct from  $p$ , and  $n$  a positive divisor of  $g_\chi$  such that  $\mathbf{Q}(\zeta_n)$  contains the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_{g_\chi})/\mathbf{Q}$ . Assume that*

$$\tilde{p} \mid n, \quad f(p) = \tilde{p}g(p), \quad l \nmid f_\chi g_\chi, \quad l \mid h_\chi.$$

Then

$$M_p \left( \frac{2(p-1)\varphi(f_\chi)nl}{pg_\chi} \right) \geq \frac{f(p)}{\nu_p},$$

where  $\nu_p$  denotes the  $p$ -part of  $n$ .

PROOF. As the proof is not short, we divide it into seven steps.

i) For each positive integer  $j$ , we denote by  $P(j)$  the set of prime divisors of  $j$  and, when  $j$  is a divisor of  $f$ , we let

$$G_j = \text{Gal}(\mathbf{Q}(\zeta_f)/\mathbf{Q}(\zeta_j)).$$

It follows that  $G_1 = \text{Gal}(\mathbf{Q}(\zeta_f)/\mathbf{Q})$  is the direct product of  $G_{f/f(q)}$  for all primes  $q$  in  $P(f)$ :

$$G_1 = \prod_{q \in P(f)} G_{f/f(q)} = G_{f/f(p)} \times G_{f(p)}.$$

Given any prime  $v$  in  $P(g)$ , we can fix a prime  $v_*$  in  $P(f)$  satisfying

$$\chi^*(G_{f/f(v_*)}) \ni \zeta_{g(v)}$$

since  $g$  is the least common multiple of the orders of  $\chi^*|_{G_{f/f(q)}}$  for all  $q$  in  $P(f)$ . We may therefore suppose that

$$\mathbf{s}_\chi = \prod_{v \in P(g)} \mathbf{s}(v),$$

where each  $\mathbf{s}(v)$  is an element of  $G_{f/f(v_*)}$  such that  $\chi^*(\mathbf{s}(v))$  is a primitive  $g(v)$ -th root of unity. Hence, for each  $v$  in  $P(g)$ ,

$$\zeta_{f/f(v_*)}^{\mathbf{s}(v)} = \zeta_{f/f(v_*)}, \quad \mathbf{s}_\chi^{g/g(v)} \mathbf{s}(v)^{-g/g(v)} \in \text{Ker}(\chi^*) = \text{Gal}(\mathbf{Q}(\zeta_f)/K_\chi),$$

and we may also suppose that

$$\mathbf{s}(v)^{g(v)} = 1 \quad \text{if } v_* = v.$$

In particular, the assumption  $f(p) = \tilde{p}g(p)$  enables us to let

$$p_* = p, \quad \mathbf{s}(p)^{g(p)} = 1.$$

ii) Now, put

$$\sigma = \mathbf{s}_\chi|_{K_\chi} = \sigma(\chi)|_{K_\chi}.$$

By the assumptions on  $n, l$  and by Proposition 1, there exists a prime ideal  $\mathfrak{l}$  of  $\mathbf{Q}(\zeta_n)$  dividing  $l$  such that  $|\eta_\chi^{\gamma\sigma}|$  is an  $l$ -th power in  $E_\chi$  for every element  $\gamma$  of  $l^{-1}$ . We denote by  $\mathfrak{Z}$  the set of elements of  $G_1$  in the form

$$\prod_{v \in P(g/g(p))} \mathbf{s}(v)^{j_v g/\nu_v}$$

where, for each  $v$  in  $P(g/g(p)) = P(g) \setminus \{p\}$ ,  $\nu_v$  denotes the  $v$ -part of  $n$  and  $j_v$  ranges over the non-negative integers less than  $\varphi(\nu_v)$ . It should be added that

$$\chi^*(\mathbf{s}(v)^{j_v g/\nu_v}) = \zeta_{\nu_v}^{j_v}.$$

Let  $\alpha$  be an algebraic integer in  $l^{-1} \setminus l\mathbf{Z}[\zeta_n]$ . Writing  $\alpha$  as

$$\alpha = \sum_{j=1}^{\varphi(\nu_p)} \sum_{\mathbf{z} \in \mathfrak{Z}} a_{\mathbf{z},j} \chi^*(\mathbf{z}) \zeta_{\nu_p}^{j-1} \quad \text{with each } a_{\mathbf{z},j} \text{ in } \mathbf{Z},$$

we then have, in  $\mathbf{Z}[\text{Gal}(K_\chi/\mathbf{Q})]$ ,

$$\alpha_\sigma = \sum_{j=1}^{\varphi(\nu_p)} \sum_{\mathbf{z} \in \mathfrak{Z}} a_{\mathbf{z},j} (\mathbf{z}|_{K_\chi}) \sigma^{(j-1)g/\nu_p}. \tag{8}$$

Next let  $\mathfrak{p}$  be a prime ideal of  $\mathbf{Q}(\zeta_{p-1})$  dividing  $p$ . Let  $f(\mathfrak{p})$  denote the highest power of  $\mathfrak{p}$  dividing  $f(p)$ , and  $I$  the set of positive integers less than  $f(p)$  and congruent to suitable elements of  $W_p$  modulo  $f(\mathfrak{p})$ . For each  $u$  in  $I$ , let  $[u]$  denote the automorphism in  $G_{f/f(p)}$

mapping  $\zeta_{f(p)}$  to  $\zeta_{f(p)}^u$ . As the degree of  $\mathfrak{p}$  for  $\mathbf{Q}(\zeta_{p-1})/\mathbf{Q}$  equals 1,  $\{[u] \mid u \in I\}$  is a complete set of representatives of the quotient group

$$G_{f/f(p)}/\text{Gal}(\mathbf{Q}(\zeta_f)/\mathbf{Q}(\zeta_{f/f(p)}, \zeta_{\bar{p}} + \zeta_{\bar{p}}^{-1})).$$

We put, in  $\mathbf{Z}[G_1]$ ,

$$\Upsilon = \sum_{\mathbf{x} \in \text{Ker}(\chi^*)} \mathbf{x}, \quad \Delta' = \prod_{v \in P(g/p)} (1 - \mathbf{s}(v)^{g/v}).$$

Note that  $\Upsilon \mathbf{s}(v)^{g/g(v)} = \Upsilon \mathbf{s}_\chi^{g/g(v)}$  for each  $v$  in  $P(g)$ . Let  $\mathbf{i}$  be the complex conjugation in  $G_1$ , namely, the automorphism of  $\mathbf{Q}(\zeta_f)$  mapping  $\zeta_f$  to  $\zeta_f^{-1}$ . Let  $P_1$  be the set of primes  $v$  in  $P(g)$  with  $v_* \neq p$ , i.e.,  $\mathbf{s}(v) \in G_{f(p)}$ , and let  $G'$  be the subgroup of  $G_{f(p)}$  generated by  $\mathbf{s}(v)^{g(v)/\nu_v}$  for all  $v$  in  $P_1$  and by the image of  $\text{Ker}(\chi^*)$  under the canonical surjection  $G_1 \rightarrow G_{f(p)}$ . Let  $\mathcal{T}$  denote the direct product, as a set, of  $G'$ ,  $I$ , and the set of positive integers not exceeding  $\varphi(\nu_p)$ :

$$\mathcal{T} = \{(\mathbf{x}, u, j) \mid \mathbf{x} \in G', u \in I, j \in \mathbf{Z}, 1 \leq j \leq \varphi(\nu_p)\}.$$

In view of

$$\mathbf{i} \in \text{Ker}(\chi^*) \setminus \{\mathbf{x}[u]\mathbf{s}(p)^m \mid \mathbf{x} \in G', u \in I, m \in \mathbf{Z}, 1 \leq m \leq g(p)\},$$

we can define integers  $b_{\mathbf{x}, u, j}$ , for all  $(\mathbf{x}, u, j)$  in  $\mathcal{T}$ , by

$$\Upsilon(1 - \mathbf{s}(p)^{g/p})\Delta' \sum_{j=1}^{\varphi(\nu_p)} \sum_{\mathbf{z} \in \mathbf{3}} a_{\mathbf{z}, j} \mathbf{z} e^{j-1} = (1 + \mathbf{i})(1 - \mathbf{s}(p)^{g/p}) \sum_{(\mathbf{x}, u, j) \in \mathcal{T}} b_{\mathbf{x}, u, j} \mathbf{x}[u] e^{j-1}, \quad (9)$$

where we put  $e = \mathbf{s}(p)^{g/\nu_p}$ . Further let  $a'$  be an integer such that

$$\zeta_f^{\mathbf{s}(p)^{g/p}} = \zeta_f^{2a'+1}, \quad \text{i.e.,} \quad \zeta_f^{a'} (\zeta_f - 1)^{1 - \mathbf{s}(p)^{g/p}} \in \mathbf{R}.$$

Since

$$(\zeta_{2f} - \zeta_{2f}^{-1})^{1 - \sigma(\chi)^{g/p}} = \zeta_{2f}^{\sigma(\chi)^{g/p} - 1} (\zeta_f - 1)^{1 - \mathbf{s}_\chi^{g/p}}, \quad \zeta_{2f}^{\sigma(\chi)^{g/p} - 1} \in \langle \zeta_f \rangle,$$

we then obtain, by the definition of  $\eta_\chi$ ,

$$\eta_\chi^2 = (\zeta_f - 1)^{(1 - \mathbf{s}_\chi^{g/p})\Upsilon\Delta'} = \left( \zeta_f^{a'} (\zeta_f - 1)^{1 - \mathbf{s}(p)^{g/p}} \right)^{\Upsilon\Delta'}.$$

Therefore, it follows from (8) and (9) that

$$\eta_\chi^{2\alpha_\sigma} = \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \zeta_f^{a'} (\zeta_f - 1)^{1-s(p)g/p} \right)^{2b_{\mathbf{x}, u, j} \mathbf{x}[u] e^{j-1}}.$$

On the other hand, since  $|\eta_\chi^{\alpha_\sigma}|$  is an  $l$ -th power in  $E_\chi$  and  $l$  does not divide  $f$ , Lemma 5 of [3] shows that the image of  $|\eta_\chi^{\alpha_\sigma}|$  under the Frobenius automorphism of  $l$  for  $\mathbf{Q}(\zeta_f)/\mathbf{Q}$  is congruent to  $|\eta_\chi^{\alpha_\sigma}|^l$  modulo  $l^2$ . Hence, in the case  $l > 2$ ,

$$\begin{aligned} & \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \zeta_f^{la'} (\zeta_f^l - 1)^{1-s(p)g/p} \right)^{b_{\mathbf{x}, u, j} \mathbf{x}[u] e^{j-1}} \\ & \equiv \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \zeta_f^{a'} (\zeta_f - 1)^{1-s(p)g/p} \right)^{lb_{\mathbf{x}, u, j} \mathbf{x}[u] e^{j-1}} \pmod{l^2} \end{aligned} \tag{10}$$

while, in the case  $l = 2$ ,

$$\begin{aligned} & \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \zeta_f^{2a'} (\zeta_f^2 - 1)^{1-s(p)g/p} \right)^{b_{\mathbf{x}, u, j} \mathbf{x}[u] e^{j-1}} \\ & \equiv \kappa \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \zeta_f^{a'} (\zeta_f - 1)^{1-s(p)g/p} \right)^{2b_{\mathbf{x}, u, j} \mathbf{x}[u] e^{j-1}} \pmod{4} \end{aligned} \tag{11}$$

with  $\kappa = \pm 1$ .

iii) We now assume that

$$M_p \left( \frac{2(p-1)\varphi(f)nl}{pg} \right) < \frac{f(p)}{\nu_p} \tag{12}$$

contrary to the conclusion of the proposition. Define a polynomial  $J(y)$  in an indeterminate  $y$  over  $\mathbf{Z}$  by

$$J(y) = \sum_{c=1}^{l-1} \frac{(-1)^{c-1}}{l} \binom{l}{c} y^c \quad \text{or} \quad J(y) = -y + 1$$

according as  $l > 2$  or  $l = 2$ :

$$(y - 1)^l = y^l - 1 + lJ(y).$$

Take an integer  $r$  satisfying

$$\zeta_{f(p)}^r = \zeta_{f(p)}^{s(p)g/g(p)},$$

so that the  $p$ -part of  $r - 1$  is  $\tilde{p}$ . In the rest of this proof, we let

$$\zeta = \zeta_{f/f(p)}, \quad d = r^{g(p)/\nu_p}, \quad t = r^{g(p)/p} = d^{\nu_p/p},$$

and let, for each positive integer  $j$ ,

$$\xi_j = \zeta_{f(p)}^{e^{j-1}} = \zeta_{f(p)}^{d^{j-1}}.$$

iv) For the present, let us consider the case where  $l > 2$  or  $(l, \kappa) = (2, 1)$ . We easily see from (10) or (11) that

$$\prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \frac{\zeta^{l\mathbf{x}} \xi_j^{lu} - 1}{\zeta^{l\mathbf{x}} \xi_j^{ltu} - 1} \right)^{b_{\mathbf{x}, u, j}} \equiv \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \frac{\zeta^{\mathbf{x}} \xi_j^u - 1}{\zeta^{\mathbf{x}} \xi_j^{tu} - 1} \right)^{lb_{\mathbf{x}, u, j}} \pmod{l^2}.$$

Furthermore, in the above,

$$(\zeta^{\mathbf{x}} \xi_j^{u'} - 1)^{lb_{\mathbf{x}, u, j}} \equiv (\zeta^{l\mathbf{x}} \xi_j^{lu'} - 1)^{b_{\mathbf{x}, u, j} - 1} (\zeta^{l\mathbf{x}} \xi_j^{lu'} - 1 + lb_{\mathbf{x}, u, j} J(\zeta^{\mathbf{x}} \xi_j^{u'})) \pmod{l^2}$$

with  $u' = u$  or  $tu$ . Therefore,

$$\begin{aligned} & \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} ((\zeta^{l\mathbf{x}} \xi_j^{lu} - 1)(\zeta^{l\mathbf{x}} \xi_j^{ltu} - 1 + lb_{\mathbf{x}, u, j} J(\zeta^{\mathbf{x}} \xi_j^{tu}))) \\ & \equiv \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} ((\zeta^{l\mathbf{x}} \xi_j^{lu} - 1 + lb_{\mathbf{x}, u, j} J(\zeta^{\mathbf{x}} \xi_j^u))(\zeta^{l\mathbf{x}} \xi_j^{ltu} - 1)) \pmod{l^2}, \end{aligned}$$

that is,

$$\begin{aligned} & \left( \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{l\mathbf{x}} \xi_j^{lu} - 1) \right) \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \xi_m^{tw}) \Pi_{\mathbf{y}, w, m} \\ & \equiv \left( \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{l\mathbf{x}} \xi_j^{ltu} - 1) \right) \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \xi_m^w) \Pi'_{\mathbf{y}, w, m} \pmod{l} \end{aligned} \tag{13}$$

where, for each  $(\mathbf{y}, w, m)$  in  $\mathcal{T}$ ,

$$\begin{aligned} \Pi_{\mathbf{y}, w, m} &= (\zeta^{l\mathbf{y}} \xi_m^{tw} - 1)^{-1} \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{l\mathbf{x}} \xi_j^{ltu} - 1), \\ \Pi'_{\mathbf{y}, w, m} &= (\zeta^{l\mathbf{y}} \xi_m^{lw} - 1)^{-1} \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{l\mathbf{x}} \xi_j^{lu} - 1). \end{aligned}$$

Let  $\Psi$  be the set of maps from  $\mathcal{T}$  to  $\{0, 1\}$ . For each  $\mathbf{n}$  in  $\Psi$ , we put

$$A(\mathbf{n}) = \sum_{(\mathbf{x}, u, j) \in \mathcal{T}} \ln(\mathbf{x}, u, j)ud^{j-1}, \quad B(\mathbf{n}) = \sum_{(\mathbf{x}, u, j) \in \mathcal{T}} \mathbf{n}(\mathbf{x}, u, j),$$

$$\Sigma(\mathbf{n}) = \sum_{(\mathbf{x}, u, j) \in \mathcal{T}} \ln(\mathbf{x}, u, j)\mathbf{x}$$

and, for each  $(\mathbf{y}, w, m)$  in  $\mathcal{T}$ , we put

$$\Psi_{\mathbf{y}, w, m} = \{\mathbf{v} \in \Psi \mid \mathbf{v}(\mathbf{y}, w, m) = 0\}.$$

It follows that

$$\left( \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{l\mathbf{x}} \xi_j^{lu} - 1) \right) \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \xi_m^{tw}) \Pi_{\mathbf{y}, w, m}$$

$$= - \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} \sum_{\mathbf{n} \in \Psi} \sum_{\mathbf{v} \in \Psi_{\mathbf{y}, w, m}} (-1)^{B(\mathbf{n})+B(\mathbf{v})} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \xi_m^{tw}) \zeta^{\Sigma(\mathbf{n})+\Sigma(\mathbf{v})} \zeta_{f(p)}^{tA(\mathbf{n})+tA(\mathbf{v})}, \quad (14)$$

$$\left( \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{l\mathbf{x}} \xi_j^{ltu} - 1) \right) \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \xi_m^w) \Pi'_{\mathbf{y}, w, m}$$

$$= - \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} \sum_{\mathbf{n} \in \Psi} \sum_{\mathbf{v} \in \Psi_{\mathbf{y}, w, m}} (-1)^{B(\mathbf{n})+B(\mathbf{v})} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \xi_m^w) \zeta^{\Sigma(\mathbf{n})+\Sigma(\mathbf{v})} \zeta_{f(p)}^{tA(\mathbf{n})+A(\mathbf{v})}. \quad (15)$$

v) We shall next see when a triplet  $(\mathbf{y}, w, m)$  in  $\mathcal{T}$ , a pair  $(\mathbf{n}, \mathbf{v})$  in  $\Psi \times \Psi_{\mathbf{y}, w, m}$ , and an integer  $c$ , with  $\min(1, l - 2) \leq c < l$ , satisfy the two congruences

$$ctwd^{m-1} + A(\mathbf{n}) + tA(\mathbf{v}) \equiv \sum_{(\mathbf{x}, u, j) \in \mathcal{T}} l(1+t)ud^{j-1} - 1 \pmod{f(p)/\nu_p}, \quad (16)$$

$$cud^{m-1} + tA(\mathbf{n}) + A(\mathbf{v}) \equiv \sum_{(\mathbf{x}, u, j) \in \mathcal{T}} l(1+t)ud^{j-1} - 1 \pmod{f(p)/\nu_p}. \quad (17)$$

Since  $t \equiv d \equiv 1 \pmod{f(p)/\nu_p}$ , either congruence above means that

$$\sum_{u \in I \setminus \{w\}} \left( \sum_{\mathbf{x} \in G'} \sum_{j=1}^{\varphi(\nu_p)} l(2 - \mathbf{n}(\mathbf{x}, u, j) - \mathbf{v}(\mathbf{x}, u, j)) \right) u - 1$$

$$+ \left( \sum_{\mathbf{x} \in G'} \sum_{j=1}^{\varphi(\nu_p)} l(2 - \mathbf{n}(\mathbf{x}, w, j) - \mathbf{v}(\mathbf{x}, w, j)) - c \right) w \equiv 0 \pmod{f(p)/\nu_p}. \quad (18)$$

However, by the definition of  $G'$ ,

$$\varphi(\nu_p) |G'| \leq \frac{(p-1)\nu_p}{p} [Q(\zeta_f) : K_\chi] \prod_{q \in P_1} \nu_q \leq \frac{(p-1)\varphi(f)n}{pg}.$$

Hence there exists a map  $\mathfrak{h}$  in  $\Phi_p(2(p-1)\varphi(f)nl/(pg))$  such that

$$\mathfrak{h}(\delta) = \sum_{\mathbf{x} \in G'} \sum_{j=1}^{\varphi(\nu_p)} l(2 - \mathbf{n}(\mathbf{x}, u, j) - \mathbf{v}(\mathbf{x}, u, j))$$

if  $\delta \in W_p$ ,  $u \in I \setminus \{w\}$ , and  $\delta \equiv u \pmod{f(\mathfrak{p})}$ , and such that

$$\mathfrak{h}(\delta) = \sum_{\mathbf{x} \in G'} \sum_{j=1}^{\varphi(\nu_p)} l(2 - \mathbf{n}(\mathbf{x}, w, j) - \mathbf{v}(\mathbf{x}, w, j)) - c$$

if  $\delta \in W_p$  and  $\delta \equiv w \pmod{f(\mathfrak{p})}$ . We can therefore transform (18) into

$$\sum_{\delta \in W_p} \mathfrak{h}(\delta)\delta - 1 \equiv 0 \pmod{f(\mathfrak{p})\nu(\mathfrak{p})^{-1}},$$

where  $\nu(\mathfrak{p})$  denotes the highest power of  $\mathfrak{p}$  dividing  $\nu_p$ . Thus (18) induces

$$N_{\mathcal{Q}(\zeta_{p-1})/\mathcal{Q}} \left( \sum_{\delta \in W_p} \mathfrak{h}(\delta)\delta - 1 \right) \equiv 0 \pmod{f(p)/\nu_p}.$$

As this and (12) yield

$$\sum_{\delta \in W_p} \mathfrak{h}(\delta)\delta - 1 = 0,$$

Lemma 7 of [3] then implies that  $\mathfrak{h}(1) = 1$  and that  $\mathfrak{h}(\delta) = 0$  for all  $\delta$  in  $W_p \setminus \{1\}$ . Consequently, both of (16), (17) are equivalent to the condition that

$$\begin{aligned} w &= 1, & (\mathbf{y}, 1, m) &\in \mathcal{T}, & \mathbf{v} &\in \Psi_{\mathbf{y},1,m}, & c &= l - 1; \\ \mathbf{n}(\mathbf{x}, u, j) &= 1 & & \text{for every } (\mathbf{x}, u, j) & \text{in } \mathcal{T}; \\ \mathbf{v}(\mathbf{x}, u, j) &= 1 & & \text{for every } (\mathbf{x}, u, j) & \text{in } \mathcal{T} \setminus \{(\mathbf{y}, 1, m)\}. \end{aligned}$$

It follows, under the above condition, that

$$\begin{aligned} (l-1)td^{m-1} + A(\mathbf{n}) + tA(\mathbf{v}) &= \sum_{(\mathbf{x},u,j) \in \mathcal{T}} l(1+t)ud^{j-1} - td^{m-1}, \\ (l-1)d^{m-1} + tA(\mathbf{n}) + A(\mathbf{v}) &= \sum_{(\mathbf{x},u,j) \in \mathcal{T}} l(1+t)ud^{j-1} - d^{m-1}, \\ B(\mathbf{n}) + B(\mathbf{v}) &= (p-1)\varphi(\nu_p)|G'| - 1, \\ (l-1)\mathbf{y} + \Sigma(\mathbf{n}) + \Sigma(\mathbf{v}) &= l(p-1)\varphi(\nu_p) \sum_{\mathbf{x} \in G'} \mathbf{x} - \mathbf{y}. \end{aligned}$$

Hence, by (13), (14) and (15), Lemma 3 shows that

$$\sum_{m=1}^{\varphi(\nu_p)} \sum_{\mathbf{y} \in G'} b_{\mathbf{y},1,m} \zeta^{-\mathbf{y}} \xi_m^{-t} \equiv \sum_{m=1}^{\varphi(\nu_p)} \sum_{\mathbf{y} \in G'} b_{\mathbf{y},1,m} \zeta^{-\mathbf{y}} \xi_m^{-1} \pmod{l}.$$

Furthermore,  $(t-1)p/f(p)$  is an integer relatively prime to  $p$ , and

$$\zeta_{f(p)}^t = \zeta_p^{(t-1)p/f(p)} \zeta_{f(p)}, \quad \zeta_p^r = \zeta_p.$$

We therefore obtain

$$(\zeta_p^{(1-t)p/f(p)} - 1) \sum_{m=1}^{\varphi(\nu_p)} \sum_{\mathbf{y} \in G'} b_{\mathbf{y},1,m} \zeta^{-\mathbf{y}} \xi_m^{-1} \equiv 0 \pmod{l},$$

which gives

$$\sum_{m=1}^{\varphi(\nu_p)} \sum_{\mathbf{y} \in G'} b_{\mathbf{y},1,m} \zeta^{\mathbf{y}} \xi_m \equiv 0 \pmod{l}.$$

However, by Lemma 4,  $\xi_1, \dots, \xi_{\varphi(\nu_p)}$  are linearly independent over  $\mathbf{Q}(\zeta)$ . Hence

$$\sum_{\mathbf{y} \in G'} b_{\mathbf{y},1,m} \zeta^{\mathbf{y}} \equiv 0 \pmod{l} \tag{19}$$

if  $m$  is any positive integer  $\leq \varphi(\nu_p)$ .

vi) Since  $\{\mathbf{x}[u] \mid \mathbf{x} \in G', u \in I\} \cup \{i\mathbf{x}[u] \mid \mathbf{x} \in G', u \in I\}$  is a subgroup of  $G_1$  containing  $\text{Ker}(\chi^*) \cup \{\mathbf{s}(v) \mid v \in P(g/g(p))\}$ , we can deduce from (9) that

$$\mathcal{Y}\Delta' \sum_{z \in \mathfrak{Z}} a_{z,j} z = (1+i) \sum_{u \in I} \sum_{\mathbf{x} \in G'} b_{\mathbf{x},u,j} \mathbf{x}[u] \tag{20}$$

for every positive integer  $j \leq \varphi(\nu_p)$ . Let us put

$$P_2 = \{v \in P_1 \mid v_* = v, f(v) \neq 4\}.$$

For any prime  $v$  in  $P_2$ , we have  $f(v) = \tilde{v}g(v)$  and  $\zeta^{\mathbf{s}(v)^{g/v-1}}$  is a primitive  $v$ -th root of unity. Let  $G''$  be the subgroup of  $G'$  generated by  $\mathbf{s}(v)^{g/\nu_v}$  for all  $v$  in  $P_1 \setminus P_2$  and by the image of  $\text{Ker}(\chi^*)$  under the canonical surjection  $G_1 \rightarrow G_{f(p)}$ . Let

$$\mathfrak{B} = \left\{ z_1 z_2 \mid z_1 \in G'', z_2 \in \mathfrak{Z} \cap \prod_{v \in P_2} G_{f/f(v)} \right\}.$$

Then there exist integers  $b_{\mathbf{w},u}$ , for all  $(\mathbf{w}, u)$  in  $\mathfrak{B} \times I$ , such that

$$\Upsilon\left(\prod_v (1 - s(v)^{g/v})\right) \sum_{z \in \mathfrak{Z}} a_{z,1} z = (1 + i) \sum_{u \in I} \sum_{\mathbf{w} \in \mathfrak{B}} b_{\mathbf{w},u} \mathbf{w}[u], \tag{21}$$

with  $v$  running through  $P(g/g(p)) \setminus P_2$ . Hence, by (20),

$$(1 + i) \sum_{u \in I} \sum_{\mathbf{x} \in G'} b_{\mathbf{x},u,1} \mathbf{x}[u] = \left(\prod_{v \in P_2} (1 - s(v)^{g/v})\right) (1 + i) \sum_{u \in I} \sum_{\mathbf{w} \in \mathfrak{B}} b_{\mathbf{w},u} \mathbf{w}[u]$$

and consequently

$$\sum_{\mathbf{x} \in G'} b_{\mathbf{x},1,1} \mathbf{x} = \sum_{\mathbf{w} \in \mathfrak{B}} b_{\mathbf{w},1} \left(\prod_{v \in P_2} (1 - s(v)^{g/v})\right) \mathbf{w}.$$

It follows that

$$\sum_{\mathbf{x} \in G'} b_{\mathbf{x},1,1} \zeta^{\mathbf{x}} = \sum_{\mathbf{w} \in \mathfrak{B}} b_{\mathbf{w},1} \left(\prod_{v \in P_2} (1 - \zeta^{(s(v)^{g/v}-1)\mathbf{w}})\right) \zeta^{\mathbf{w}},$$

since we have

$$\zeta^{\prod_v s(v)^{g/v}-1} = \prod_v \zeta^{s(v)^{g/v}-1}$$

whenever  $v$  runs through any subset of  $P_2$ . Therefore, in virtue of (19),

$$\sum_{\mathbf{w} \in \mathfrak{B}} b_{\mathbf{w},1} \left(\prod_{v \in P_2} (1 - \zeta^{(s(v)^{g/v}-1)\mathbf{w}})\right) \zeta^{\mathbf{w}} \equiv 0 \pmod{l}.$$

Next, let  $\mathfrak{B}_0$  be the set of elements  $\mathbf{w}$  of  $\mathfrak{B}$  such that  $\zeta_v^{\mathbf{w}} = \zeta_v$  for all  $v$  in  $P_2$ . Evidently, for any  $v$  in  $P_2$  and any  $\mathbf{w}$  in  $\mathfrak{B}$ ,  $\zeta_v^{\mathbf{w}} = \zeta_v$  if and only if  $\zeta_{f(v)}^{\mathbf{w}-1} \in \langle \zeta_{f(v)}^v \rangle$ . Hence Lemma 3, together with the above congruence, yields

$$\left(\prod_{v \in P_2} (1 - \zeta^{s(v)^{g/v}-1})\right) \sum_{\mathbf{w} \in \mathfrak{B}_0} b_{\mathbf{w},1} \zeta^{\mathbf{w}} \equiv 0 \pmod{l}.$$

It then follows that

$$\sum_{\mathbf{w} \in \mathfrak{B}_0} b_{\mathbf{w},1} \zeta^{\mathbf{w}} \equiv 0 \pmod{l}.$$

Furthermore, Lemma 4 implies that  $\zeta^{\mathbf{w}}$  for all  $\mathbf{w}$  in  $\mathfrak{B}$  are linearly independent over  $\mathcal{Q}$ .

Thus

$$b_{\mathbf{w},1} \equiv 0 \pmod{l} \quad \text{for all } \mathbf{w} \in \mathfrak{B}_0.$$

Since  $a_{1,1} = b_{1,1}$  by (21), we particularly obtain

$$a_{1,1} \equiv 0 \pmod{l}.$$

On the other hand, we know that, in what we have discussed so far,  $\alpha$  can be replaced by  $\alpha\zeta_n^j$  for any non-negative integer  $j < \varphi(n)$ . Lemma 6 therefore shows that

$$\alpha \equiv 0 \pmod{l}.$$

This conclusion, however, contradicts the choice of  $\alpha$ .

vii) We finally consider the case  $(l, \kappa) = (2, -1)$ , in which we still use the notations introduced in the step iv) for the case  $(l, \kappa) = (2, 1)$ . It follows from (11) that

$$\prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \frac{\zeta^{2\mathbf{x}} \zeta_j^{2u} - 1}{\zeta^{2\mathbf{x}} \zeta_j^{2tu} - 1} \right)^{b_{\mathbf{x}, u, j}} \equiv - \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} \left( \frac{\zeta^{\mathbf{x}} \zeta_j^u - 1}{\zeta^{\mathbf{x}} \zeta_j^{tu} - 1} \right)^{2b_{\mathbf{x}, u, j}} \pmod{4},$$

so that

$$\begin{aligned} & \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} ((\zeta^{2\mathbf{x}} \zeta_j^{2u} - 1)(\zeta^{2\mathbf{x}} \zeta_j^{2tu} - 1 + 2b_{\mathbf{x}, u, j} J(\zeta^{\mathbf{x}} \zeta_j^{tu}))) \\ & \equiv - \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} ((\zeta^{2\mathbf{x}} \zeta_j^{2u} - 1 + 2b_{\mathbf{x}, u, j} J(\zeta^{\mathbf{x}} \zeta_j^u))(\zeta^{2\mathbf{x}} \zeta_j^{2tu} - 1)) \pmod{4}. \end{aligned}$$

Therefore, instead of (13), we have

$$\begin{aligned} & \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} ((\zeta^{2\mathbf{x}} \zeta_j^{2u} - 1)(\zeta^{2\mathbf{x}} \zeta_j^{2tu} - 1)) \\ & + \left( \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{2\mathbf{x}} \zeta_j^{2u} - 1) \right) \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \zeta_m^{tw}) \Pi_{\mathbf{y}, w, m} \\ & \equiv \left( \prod_{(\mathbf{x}, u, j) \in \mathcal{T}} (\zeta^{2\mathbf{x}} \zeta_j^{2tu} - 1) \right) \sum_{(\mathbf{y}, w, m) \in \mathcal{T}} b_{\mathbf{y}, w, m} J(\zeta^{\mathbf{y}} \zeta_m^w) \Pi'_{\mathbf{y}, w, m} \pmod{2}. \end{aligned}$$

Nevertheless,

$$\prod_{(\mathbf{x}, u, j) \in \mathcal{T}} ((\zeta^{2\mathbf{x}} \zeta_j^{2u} - 1)(\zeta^{2\mathbf{x}} \zeta_j^{2tu} - 1)) = \sum_{(\mathbf{n}, \mathbf{n}') \in \Psi \times \Psi} (-1)^{B(\mathbf{n}) + B(\mathbf{n}')} \zeta^{\Sigma(\mathbf{n}) + \Sigma(\mathbf{n}')} \zeta_{f(p)}^{A(\mathbf{n}) + tA(\mathbf{n}')}$$

and, for each  $(\mathbf{n}, \mathbf{n}')$  in  $\Psi \times \Psi$ , the congruence

$$A(\mathbf{n}) + tA(\mathbf{n}') \equiv \sum_{(\mathbf{x}, j, u) \in \mathcal{T}} 2(1 + t)ud^{j-1} - 1 \pmod{f(p)/\nu_p}$$

can be rewritten in the form

$$\begin{aligned} & \sum_{u \in I \setminus \{1\}} \left( \sum_{\mathbf{x} \in G'} \sum_{j=1}^{\varphi(\nu_p)} 2(2 - \mathbf{n}(\mathbf{x}, u, j) - \mathbf{n}'(\mathbf{x}, u, j)) \right) u \\ & + \sum_{\mathbf{x} \in G'} \sum_{j=1}^{\varphi(\nu_p)} 2(2 - \mathbf{n}(\mathbf{x}, 1, j) - \mathbf{n}'(\mathbf{x}, 1, j)) - 1 \equiv 0 \pmod{f(p)/\nu_p}. \end{aligned}$$

Hence, checking the arguments in the steps iii), iv), v), vi), we see that the above congruence modulo 2, together with (12), leads us to the contradiction  $\alpha \equiv 0 \pmod{2}$  in the same way as the congruence (13) for the case  $(l, \kappa) = (2, 1)$ .

Consequently, the assumption (12) turns out to be false and the proposition is completely proved. □

By means of Proposition 3, we now prove the following

**PROPOSITION 4.** *Let  $l$  be a prime number,  $n$  a positive divisor of  $g_\chi$  such that  $\mathbf{Q}(\zeta_n)$  contains the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_{g_\chi})/\mathbf{Q}$ , and  $R$  a finite subset of  $\mathbf{P}$  such that every  $p$  in  $R$  satisfies  $\tilde{p} \mid n$  and  $f(p) = \tilde{p}g(p)$ . Suppose that*

$$l \mid h_\chi, \quad l \nmid f_\chi g_\chi, \quad R \neq \emptyset.$$

Then

$$l > \frac{g_\chi}{\varphi(f_\chi)n} \left( \prod_{p \in R} \frac{p^{\varphi(p-1)} f(p)}{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)} \nu_p} \right)^{1/\sum_{p \in R} \varphi(p-1)}$$

where, for each  $p$  in  $R$ ,  $\nu_p$  denotes the  $p$ -part of  $n$ .

**PROOF.** Put

$$\Theta_p = \frac{(p-1)\varphi(f)nl}{pg}$$

for any  $p$  in  $R$ , and take any  $\mathbf{m}$  in  $\Phi_p(2\Theta_p)$ . Then

$$\left| N_{\mathbf{Q}(\zeta_{p-1})/\mathbf{Q}} \left( \sum_{\delta \in W_p} \mathbf{m}(\delta)\delta - 1 \right) \right| = \prod_{\tau} \left| \sum_{\delta \in W_p} \mathbf{m}(\delta)\delta^\tau - 1 \right|,$$

with  $\tau$  ranging over the automorphisms of the field  $\mathbf{Q}(\zeta_{p-1})$ , and

$$\left| \sum_{\delta \in W_p} \mathfrak{m}(\delta) \delta^\tau - 1 \right| \leq |\mathfrak{m}(1) - 1| + \sum_{\delta \in W_p \setminus \{1\}} \mathfrak{m}(\delta) < \varphi(\tilde{p}) \Theta_p.$$

Therefore

$$M_p(2\Theta_p) < (\varphi(\tilde{p}) \Theta_p)^{\varphi(p-1)}.$$

Hence we see from Proposition 3 that

$$\prod_{p \in R} (\varphi(\tilde{p}) \Theta_p)^{\varphi(p-1)} > \prod_{p \in R} \frac{f(p)}{\nu_p},$$

namely that

$$\left( \frac{\varphi(f)nl}{g} \right)^{\sum_{p \in R} \varphi(p-1)} \prod_{p \in R} \frac{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)}}{p^{\varphi(p-1)}} > \prod_{p \in R} \frac{f(p)}{\nu_p}. \quad \square$$

**5.**

We shall prove Theorem 1 in the present section. The notation in the preceding sections will be retained except that, for each Dirichlet character  $\psi$  and each  $p \in \mathbf{P}$ , we let  $f_\psi(p)$  and  $g_\psi(p)$  denote the  $p$ -parts of  $f_\psi$  and  $g_\psi$ , respectively.

As to  $F$ , there exists a unique abelian number field  $k_0$  with finite degree such that  $F = k_0 \mathbf{Q}^S$  and that, for each  $p \in S$ , the  $p$ -part of the conductor of  $k_0$  divides  $\tilde{p}$ . Let  $\mathfrak{X}$  be a set of nonprincipal Dirichlet characters with the following two properties:

- (i)  $K_\psi$  for each  $\psi$  in  $\mathfrak{X}$  is a subfield of  $F$ ,
- (ii) for any nonprincipal Dirichlet character  $\psi'$  with  $K_{\psi'} \subset F$ , there is just one Dirichlet character  $\psi$  in  $\mathfrak{X}$  satisfying  $K_\psi = K_{\psi'}$ .

Let  $f_0$  denote the conductor of  $k_0$ . Then, for each  $\psi \in \mathfrak{X}$  and each  $l \in \mathbf{P} \setminus S$ , we easily obtain

$$f_\psi(l) \mid f_0, \quad g_\psi(l) \mid [k_0 : \mathbf{Q}]. \tag{22}$$

When  $p$  is any prime in  $S$  with  $f_\psi(p) \neq \tilde{p}g_\psi(p)$ , we also have

$$f_\psi(p) < \tilde{p}g_\psi(p), \quad g_\psi(p) \mid [k_0 : \mathbf{Q}]. \tag{23}$$

Now, as in the introduction, let  $\mu_p$  denote for each  $p \in S$  the  $p$ -part of the positive integer  $m_0$  in the hypothesis of Theorem 1. Assume first that  $F$  is real:  $F \subset \mathbf{R}$ . Taking any subset  $R$  of  $S$ , let  $\mathfrak{X}^R$  denote the set of Dirichlet characters  $\psi$  in  $\mathfrak{X}$  for which

$$\{p \in S \mid f_\psi(p) = \tilde{p}g_\psi(p), g_\psi(p) \geq \mu_p\} = R.$$

It then follows from (23) that, for each  $\psi \in \mathfrak{X}^R$  and each  $p \in S \setminus R$ ,

$$pf_\psi(p) \mid \tilde{p}\mu_p[k_0 : \mathbf{Q}], \quad g_\psi(p) \mid \mu_p[k_0 : \mathbf{Q}]. \tag{24}$$

LEMMA 7. *The set  $\mathfrak{X}^R$  is finite or infinite according as  $R$  is empty or non-empty.*

PROOF. In the case  $R \neq \emptyset$ , let  $\Gamma$  denote the subfield of  $\mathbf{Q}^S$  whose Galois group over  $\mathbf{Q}$  is topologically isomorphic to  $\prod_{p \in R} \mathbf{Z}_p$ . Then an element  $\psi$  of  $\mathfrak{X}$  with  $K_\psi \subset \Gamma$  belongs to  $\mathfrak{X}^R$  if  $g_\psi$  is divisible by  $\prod_{p \in R} \mu_p$ . This fact implies that  $\mathfrak{X}^R$  is an infinite set.

In the case  $R = \emptyset$ , we see from (22) and (24) that

$$f_\psi \mid 2f_0m_0[k_0 : \mathbf{Q}] \quad \text{for every } \psi \in \mathfrak{X}^R,$$

so that  $\mathfrak{X}^R$  is a finite set. □

REMARK.  $\mathfrak{X}$  is the disjoint union of  $\mathfrak{X}^{R'}$  for all subsets  $R'$  of  $S$ .

Let  $R$  be the same as above. For each  $\psi$  in  $\mathfrak{X}^R$ , define a positive integer  $n_\psi$  by

$$n_\psi = g_\psi \prod_{p \in R} \frac{\mu_p}{g_\psi(p)}.$$

We let  $\mathfrak{X}_0^R$  denote the set of  $\psi$  in  $\mathfrak{X}^R$  satisfying

$$\begin{aligned} & \frac{g_\psi}{\varphi(f_\psi)n_\psi} \left( \prod_{p \in R} \frac{p^{\varphi(p-1)} f_\psi(p)}{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)} \mu_p} \right)^{1/\sum_{p \in R} \varphi(p-1)} \\ & < \sqrt{D_{n_\psi}} \left( \frac{2^{\lambda(\psi)-2} \varphi(f_\psi) \varphi(n_\psi)^2 \Xi(n_\psi)}{(\log 2) g_\psi \sqrt{D_{n_\psi}}} \log \left( \frac{f_\psi}{\pi} + 1 \right) \right)^{\varphi(n_\psi)}. \end{aligned}$$

LEMMA 8.  *$\mathfrak{X}_0^R$  is a finite set.*

PROOF. By Lemma 7, we may assume  $R$  to be non-empty. Let  $\psi$  be any Dirichlet character in  $\mathfrak{X}^R$ . In view of (22), (24) and the definition of  $n_\psi$ , we know that

$$n_\psi \leq m_0[k_0 : \mathbf{Q}], \quad f_\psi \leq 2f_0m_0[k_0 : \mathbf{Q}] \prod_{p \in R} f_\psi(p).$$

Furthermore,

$$2^{\lambda(\psi)} \leq 2^{|S|} [k_0 : \mathbf{Q}], \quad \frac{\varphi(f_\psi)}{g_\psi} \leq \varphi \left( 2 \prod_v v \right),$$

where  $v$  ranges over the prime numbers dividing  $f_0$  or belonging to  $S$ . Therefore the definition of  $\mathfrak{X}_0^R$  implies that, if  $\psi$  belongs to  $\mathfrak{X}_0^R$ , then

$$f_\psi < \rho(\log f_\psi)^{m_0^2[k_0:\mathbf{Q}]},$$

with a positive number  $\rho$  depending only on  $m_0$ ,  $f_0$  and  $[k_0 : \mathbf{Q}]$ . We thus see that  $f_\psi$  is bounded as  $\psi$  runs through  $\mathfrak{X}_0^R$ .  $\square$

Now, let

$$\mathfrak{X}_0 = \mathfrak{X}^\emptyset \cup \left( \bigcup_{R'} \mathfrak{X}_0^{R'} \right),$$

$R'$  ranging over the non-empty subsets of  $S$ . By Lemmas 7 and 8,  $\mathfrak{X}_0$  is a finite set.

PROPOSITION 5. *Still assuming  $F$  to be real, let  $l$  be a prime number such that  $\mathbf{Q}(\zeta_{m_0})$  contains the decomposition field of  $l$  for  $\mathbf{Q}^S(\zeta_{m_0})/\mathbf{Q}$  and that*

$$l \notin S, \quad f_0[k_0 : \mathbf{Q}] \prod_{\psi \in \mathfrak{X}_0} h_\psi \not\equiv 0 \pmod{l}.$$

Then  $C_F(l)$  is trivial.

PROOF. It suffices to prove that the class number of any subfield of  $F$  with finite degree is not divisible by the prime number  $l$ . Let  $k'$  be any subfield of  $F$  with finite degree, and  $\mathfrak{X}'$  the set of all  $\psi$  in  $\mathfrak{X}$  with  $K_\psi \subseteq k'$ . For each  $\psi$  in  $\mathfrak{X}'$ , we denote by  $h_\psi(l)$  the  $l$ -part of  $h_\psi$ . As  $l \nmid [k' : \mathbf{Q}]$  by the hypothesis of the proposition, it follows from [5, Satz 21] that

$$|C_{k'}(l)| = \prod_{\psi \in \mathfrak{X}'} h_\psi(l) \tag{25}$$

(see also the formula (10) in [5, §9.4]).

Suppose now that some Dirichlet character  $\chi$  in  $\mathfrak{X}'$  satisfies  $h_\chi(l) > 1$ , namely,  $l \mid h_\chi$ . Then there exists a unique subset  $R$  of  $S$  such that  $\mathfrak{X}^R$  contains  $\chi$ . We note that, for each  $v \in \mathbf{P}$ , the  $v$ -part of  $n_\chi$  is  $\mu_v$  or  $g_\chi(v)$  according as  $v$  belongs to  $R$  or  $\mathbf{P} \setminus R$ . The hypothesis on  $l$  implies that  $\chi$  is not an element of  $\mathfrak{X}_0$ ,  $l$  does not divide  $f_\chi g_\chi$ , and  $\mathbf{Q}(\zeta_{n_\chi})$  contains the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_{g_\chi})/\mathbf{Q}$ . In particular,  $R$  is not empty, so that  $f_\chi$  is not a prime number since each  $p$  in  $R$  divides  $g_\chi$ . Hence, by Proposition 2,

$$l < \sqrt{D_{n_\chi}} \left( \frac{2^{\lambda(\chi)-2} \varphi(f_\chi) \varphi(n_\chi)^2 \Xi(n_\chi)}{(\log 2) g_\chi \sqrt{D_{n_\chi}}} \log \left( \frac{f_\chi}{\pi} + 1 \right) \right)^{\varphi(n_\chi)}$$

and further, by Proposition 4,

$$l > \frac{g_\chi}{\varphi(f_\chi)n_\chi} \left( \prod_{p \in R} \frac{p^{\varphi(p-1)} f_\chi(p)}{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)} \mu_p} \right)^{1/\sum_{p \in R} \varphi(p-1)}.$$

However  $\chi$  must not belong to  $\mathfrak{X}_0^R$ , a subset of  $\mathfrak{X}_0$ . This contradiction means that  $h_\psi(l) = 1$  for all  $\psi$  in  $\mathfrak{X}'$ . Hence (25) shows that  $|C_{k'}(l)| = 1$ , namely, the class number of  $k'$  is not divisible by  $l$ . □

Now, let us prove Theorem 1. Proposition 5 clearly implies Theorem 1 for the case  $F \subset \mathbf{R}$ . Accordingly, we assume that  $F$  is imaginary. Replacing  $m_0$  by its multiple if necessary, we may also assume that, for each  $p \in S$ , the  $p$ -part of the exponent of  $\text{Gal}(k_0/\mathbf{Q})$  is a divisor of  $m_0$ . As in the introduction, let  $C_F^-(l)$  denote, for each  $l \in \mathbf{P}$ , the  $l$ -primary component of the kernel  $C_F^-$  of the norm map  $C_F \rightarrow C_{F^+}$ , where

$$F^+ = F \cap \mathbf{R} = \mathbf{Q}^S(k_0 \cap \mathbf{R}).$$

Then, by Theorem 1 of [3], there exist only finitely many  $l \in \mathbf{P}$  such that  $C_F^-(l)$  is nontrivial and such that  $\mathbf{Q}(\zeta_{m_0})$  contains the decomposition field of  $l$  for  $\mathbf{Q}^S(\zeta_{m_0})/\mathbf{Q}$ . On the other hand, since the norm map  $C_F \rightarrow C_{F^+}$  is surjective by class field theory, it follows for each  $l \in \mathbf{P}$  that  $C_F(l)$  is trivial if and only if both  $C_F^-(l)$  and  $C_{F^+}(l)$  are trivial. Proposition 5 therefore completes the proof of Theorem 1.

**6.**

In this last section, we briefly make some additional remarks on  $C_F$  and  $C_{\mathbf{Q}^S}$  with relation to Theorem 1.

If  $F$  is imaginary, then by the remark in the introduction,  $C_F^-$  is infinite whence so is  $C_F$  (cf. [6]). Iwasawa theory further guarantees in this case that, for any  $p \in S$ ,  $C_F^-(p)$  can be infinite quite often, for instance, when any prime ideal of  $k_0 \cap \mathbf{R}$  dividing  $p$  splits in  $k_0$  or when  $\text{gcd}(4, \tilde{p})p$  divides the exponent of the kernel of the norm map  $C_{k_0} \rightarrow C_{k_0 \cap \mathbf{R}}$ .

Assume now that  $F$  is real. Certainly, for any finite abelian group  $\mathfrak{A}$  with order relatively prime to all  $p \in S$ , there exists an example of  $F$  such that  $\mathfrak{A}$  is isomorphic to some subgroup of  $C_F$ . For any  $p \in S$ , however,  $C_F(p)$  must always be trivial if Greenberg’s conjecture for  $\mathbf{Z}_p$ -extensions holds in general. Hence, in view of Theorem 1, we might expect the finiteness of  $C_F$ . It would also be an important problem to know whether  $C_{\mathbf{Q}^S}$  is trivial or not. In fact, we have not found any prime number  $l$  for which  $C_{\mathbf{Q}^S}(l)$  is nontrivial. Moreover, if  $C_{\mathbf{Q}^S}$  turns out to be trivial or, at least, to be finite, then it seems very likely that  $C_L$  is finite for every totally real finite extension  $L$  of  $\mathbf{Q}^S$ . We note that, in the case  $|S| = 1$ ,  $C_{\mathbf{Q}^S}$  is trivial if and only if  $\mathbf{Q}^S$  coincides with the Hilbert class field of  $\mathbf{Q}^S$ , i.e., the maximal unramified abelian extension over  $\mathbf{Q}^S$ . Anyhow, whenever an integer  $u \geq 2$  is given, there exist examples of  $S$  with  $|S| = u$  such that the Hilbert class field of  $\mathbf{Q}^S$  contains an extension of degree  $p$  over  $\mathbf{Q}^S$  for some  $p \in S$  (cf. [2]).

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