

Compact two-transnormal hypersurfaces in a space of constant curvature^{*)}

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(Received March 12, 1973)

(Revised Nov. 19, 1973)

Introduction.

Let M be a complete Riemannian n -manifold isometrically imbedded into a complete Riemannian $(n+1)$ -manifold W . Throughout this paper manifolds are always assumed to be connected and smooth. Furthermore we assume $n \geq 2$, although some of our results are valid even for $n=1$. For each $x \in M$ there exists, up to parametrization, a unique geodesic τ_x of W which cuts M orthogonally at x . M is called a *transnormal hypersurface* of W if, for each pair $x, y \in M$, the relation $\tau_x \ni y$ implies that $\tau_x = \tau_y$, i. e. if each geodesic of W which cuts M orthogonally at some point cuts M orthogonally at all points of intersection. As is well-known, every surface of constant width in the ordinary Euclidean space has this property ([6]), and it is a model of a transnormal hypersurface.

The order of a transnormal hypersurface, by which the hypersurface is globally characterized, is introduced in the following way. Define an equivalence relation \sim on M by writing $x \sim y$ to mean $y \in \tau_x$. With respect to this relation, take the quotient space $\tilde{M} = M/\sim$ and endow \tilde{M} with the quotient topology. We call M an *r -transnormal hypersurface* if the natural projection ϕ of M onto \tilde{M} is an r -fold (topological) covering map. The number r is called the *order of transnormality* of M . It should be remarked that ϕ is not always a covering map. However, if W is simply connected and of constant curvature, then ϕ is a covering map ([5]).

In [5], we have obtained the following results which determine topological structures of transnormal hypersurfaces.

THEOREM A. *Let M be an n -dimensional transnormal hypersurface of W . Suppose that there exists a point p of M whose cut locus $C(p)$ in W does not intersect M : $C(p) \cap M = \emptyset$. Then the following hold.*

(i) *If M is 1-transnormal, then M is homeomorphic to a Euclidean n -space E^n .*

^{*)} This paper was written while the author was at Tokyo Metropolitan University.

(ii) If M is compact and 2-transnormal, then M is homeomorphic to a Euclidean n -sphere S^n .

(iii) If M is compact and $r(< +\infty)$ -transnormal, then the Euler characteristic $\chi(M)$ of M is either zero or r .

The main purpose of this paper is to study differential geometric structures of a compact 2-transnormal hypersurface of a simply connected complete Riemannian manifold of constant curvature (in contrast to Theorem A (ii) which is of topological nature). In fact, we prove the following theorems.

THEOREM B. Let M be a compact 2-transnormal hypersurface of a Euclidean $(n+1)$ -space E^{n+1} .

(i) Then, at each point of M , with respect to the inward unit normal, every principal curvature of M is greater than $1/l$, where l is the diameter of M as a subset of E^{n+1} .

(ii) Let k be a positive constant. In (i), if every principal curvature λ of M satisfies

$$\lambda \geq k \quad (\text{resp. } 1/l < \lambda \leq k)$$

at each point of M , then

$$k \leq 2/l \quad (\text{resp. } k \geq 2/l).$$

(iii) In (i), if every principal curvature λ of M satisfies

$$\lambda \geq 2/l \quad (\text{or } 1/l < \lambda \leq 2/l)$$

at each point of M , then M is totally umbilical and hence isometric to a Euclidean n -sphere S^n of radius $l/2$.

THEOREM C. Let M be a 2-transnormal hypersurface of a Euclidean $(n+1)$ -sphere S^{n+1} of radius 1. Suppose the diameter l of M as a subset of S^{n+1} satisfies $0 < l < \pi$.

(i) Then, at each point of M , with respect to the inward unit normal vector (cf. § 1 for definition), every principal curvature of M is greater than $\cot l$.

(ii) Let k be a constant. In (i), if every principal curvature λ of M satisfies

$$\lambda \geq k \quad (\text{resp. } \cot l < \lambda \leq k)$$

at each point of M , then

$$k \leq (1 + \cos l)/\sin l \quad (\text{resp. } k \geq (1 + \cos l)/\sin l).$$

(iii) In (i), if every principal curvature λ of M satisfies

$$\lambda \geq (1 + \cos l)/\sin l \quad (\text{or } \cot l < \lambda \leq (1 + \cos l)/\sin l)$$

at each point of M , then M is totally umbilical and hence isometric to a Euclidean n -sphere S^n of radius $\sin(l/2)$.

THEOREM D. Let M be a compact 2-transnormal hypersurface of a hyperbolic $(n+1)$ -space H^{n+1} of constant curvature -1 .

(i) Then, at each point of M , with respect to the inward unit normal vector, every principal curvature of M is greater than $\coth l$, where l is the diameter of M as a subset of H^{n+1} .

(ii) Let k be a positive constant. In (i), if every principal curvature λ of M satisfies

$$\lambda \geq k \quad (\text{resp. } \coth l < \lambda \leq k)$$

at each point of M , then

$$k \leq (1 + \cosh l) / \sinh l \quad (\text{resp. } k \geq (1 + \cosh l) / \sinh l).$$

(iii) In (i), if every principal curvature λ of M satisfies

$$\lambda \geq (1 + \cosh l) / \sinh l \quad (\text{or } \coth l < \lambda \leq (1 + \cosh l) / \sinh l)$$

at each point of M , then M is totally umbilical and isometric to a Euclidean n -sphere S^n of radius $\sinh(l/2)$.

The proofs of these theorems will be given separately in §§ 2, 3 and 4. I would like to express my hearty thanks to Professor M. Obata for his constant encouragement during the preparation of this paper.

§ 1. Preliminaries.

This section is devoted to a brief survey of the concepts and formulas used throughout the paper. Let W be a complete Riemannian $(n+1)$ -manifold with $n \geq 2$. We denote by $T_x W$ the tangent space of W at x and by \langle, \rangle the inner product on the tangent space. Let M and P be Riemannian submanifolds of W and τ a geodesic segment perpendicular to M and P at its end points $\tau(0)$ and $\tau(b)$. Denote the Riemannian curvature tensor of W and the second fundamental form of the submanifold under consideration by R and S respectively. Then the second variation of the arc length $l(\tau)$ of τ is given by the formula

$$\begin{aligned} (1.1) \quad l''(0) &= \int_0^b (\langle V', V' \rangle(u) - \langle R(V, \tau_*)\tau_*, V \rangle(u)) du + \langle \tau_*, \nabla_{\tau_*} V \rangle \Big|_0^b \\ &= - \int_0^b \langle V'' + R(V, \tau_*)\tau_*, V \rangle(u) du \\ &\quad + \langle S_{\tau_*(b)} V(b) + V'(b), V(b) \rangle - \langle S_{\tau_*(0)} V(0) + V'(0), V(0) \rangle, \end{aligned}$$

where V is the associated variation vector field along τ whose values are everywhere orthogonal to the tangent vector τ_* of τ , and V' denotes the covariant derivative with respect to τ_* (cf. [1]).

A smooth vector field $Y(t)$ along τ is called a Jacobi field if it satisfies the Jacobi equation

$$Y'' + R(Y, \tau_*)\tau_* = 0.$$

A Jacobi field arises from the variation of τ whose longitudinal curves are always geodesics. A Jacobi field Y along τ which is perpendicular to τ is said to be an $(M, \tau(0))$ -Jacobi field when it satisfies the boundary conditions

$$(1.2) \quad Y(0) \in T_{\tau(0)}M \quad \text{and} \quad S_{\tau_*}Y(0) + Y'(0) \in T_{\tau(0)}M^\perp,$$

where \perp means orthogonal complement in $T_{\tau(0)}W$. Geometrically, an $(M, \tau(0))$ -Jacobi field is precisely the associated vector field of the variation of τ all of whose longitudinal curves are geodesics starting orthogonally from M and parametrized by arc length ([1]).

Let e be the restriction of the exponential map of W to the normal bundle $(TM)^\perp$ of M in W . Then a focal point of M at x is, by definition, a point $\eta \in T_xM^\perp$ at which the differential map of e is singular, and $e(\eta)$ is called a focal point of M along the geodesic $e(t\eta)$, $t > 0$. For a given geodesic τ starting orthogonally from M , $\tau(b)$ is known to be a focal point of M along τ if and only if there exists an $(M, \tau(0))$ -Jacobi field which vanishes at b . In particular, if W is a Euclidean $(n+1)$ -space E^{n+1} , then for a unit normal vector ξ of M at x the point $e(t\xi) = x + t\xi$ is a focal point of M at x if and only if t is a principal radius of curvature of M at x with respect to ξ ([4]).

Suppose M is an $r(< +\infty)$ -transnormal hypersurface of W and $p \in M$ satisfies the condition $C(p) \cap M = \emptyset$, where $C(p)$ denotes the cut locus of p in W (for the definition of $C(p)$, if necessary, see [3]). In the following, unless otherwise mentioned, we always assume that there exists at least one such a point p for each transnormal M . By the distance function A_p of M we mean the real valued smooth function on M defined by

$$A_p(x) = d(p, x)^2, \quad x \in M,$$

where $d(\cdot, \cdot)$ denotes the distance in W . Note that $d(p, x)^2$ is nothing but the square of the length of the unique minimizing geodesic segment $\tau(p, x)$ of W joining p with x . Furthermore, a point $x \in M$ is a critical point of A_p if and only if $\tau(p, x)$ is perpendicular to M at x and then at p due to the transnormality of M . It is known that A_p is a Morse function and the number of its critical points coincides with the order r of transnormality of M ([5]). Theorem A is an implication of this property together with elementary parts of the Morse theory.

If, in particular, M is compact and 2-transnormal, and W is a simply connected complete Riemannian manifold of constant curvature, then for each $x \in M$ there exists exactly one point $\tilde{x} \in M$ such that the length of the minimizing geodesic segment $\tau(x, \tilde{x})$ joining x with \tilde{x} equals the diameter of M as a subset of W (cf. [5]). In this case, $\tau(x, \tilde{x})$ is perpendicular to M at both

of its end points. We call $\tilde{x} \in M$ the *antipodal point* of $x \in M$ and the initial vector $\tau_*(0)$ of $\tau(x, \tilde{x})$ the *inward unit normal vector* at x .

In general, a hypersurface M of W is said to be *convex* at $x \in M$ if the second fundamental form S of M is (positive or negative) definite at x , or equivalently if, in a neighborhood of x , x is the only one point of M that lies on the hypersurface of W which is tangent to M at x and is totally geodesic in the neighborhood. M is called a *convex hypersurface* of W if it is convex at every point.

§ 2. Compact 2-transnormal hypersurfaces in a Euclidean space.

First we deal with a compact 2-transnormal hypersurface M of a Euclidean $(n+1)$ -space E^{n+1} .

Let $p \in M$ and consider the distance function $A_p(x) = d(p, x)^2$ on M . Note that the cut locus $C(p)$ of p is empty and then $C(p) \cap M = \emptyset$. At a critical point x of A_p , the Hessian H of A_p , which is a symmetric bilinear form on T_xM , is given by

$$H(X, Y) = 2\langle (I - lS_\xi)X, Y \rangle, \quad X, Y \in T_xM,$$

where I denotes the identity transformation and ξ is the unit vector defined by $p = x + l\xi$, $l > 0$ ([4]). It should be remarked that ξ is normal to M and thus l coincides with the diameter of M as a subset of E^{n+1} .

The clue to the proof of Theorem B is the following

LEMMA 1. *If λ is a non-zero principal curvature of M at x with respect to the inward unit normal ξ , then*

$$\tilde{\lambda} = \lambda / (\lambda l - 1)$$

is a principal curvature of M at \tilde{x} with respect to $-\xi$, where \tilde{x} is the antipodal point of x , and l is the diameter of M as a subset of E^{n+1} .

PROOF. Since λ is a non-zero principal curvature of M at x with respect to ξ , the point $x + \lambda^{-1}\xi$ is a focal point of M at x . It is easily seen that each focal point of M at x is also a focal point of M at \tilde{x} , because M is a transnormal hypersurface. In fact, we have only to note that each (M, x) -Jacobi field is also an (M, \tilde{x}) -Jacobi field. Thus $x + \lambda^{-1}\xi$ is a focal point of M at \tilde{x} as well. So there exists a principal curvature $\tilde{\lambda}$ of M at \tilde{x} such that

$$\tilde{x} - \tilde{\lambda}^{-1}\xi = x + \lambda^{-1}\xi.$$

From this equation, we obtain

$$(2.1) \quad \lambda^{-1} + \tilde{\lambda}^{-1} = l,$$

since the length of the vector $\tilde{x} - x$ attains the diameter l of M . Rewriting

(2.1), we get the lemma. Here we note that

$$\lambda l - 1 > 0,$$

which is shown in the proof of Theorem B (i).

Q. E. D.

PROOF OF THEOREM B. (i) Choose a point $x \in M$ arbitrarily, and let \tilde{x} be the antipodal point of x . Remark that $\tilde{x} = x + l\xi$ where ξ is the inward unit normal of M at x . Then the Hessian H of the distance function $A_{\tilde{x}}$ at x is given by

$$(2.2) \quad H(X, Y) = 2\langle (I - lS_{\xi})X, Y \rangle, \quad X, Y \in T_x M.$$

Since M is compact and 2-transnormal, $A_{\tilde{x}}$ takes its maximum at x , which is a nondegenerate critical point of $A_{\tilde{x}}$ ([5]). Hence H is negative definite at x , i. e. every eigenvalue of S_{ξ} is greater than $1/l$.

(ii) Let λ be a principal curvature of M at x in (i), and consider the case $\lambda \geq k$. By Lemma 1, $\tilde{\lambda} = \lambda/(\lambda l - 1)$ is a principal curvature of M at \tilde{x} . Thus from the assumption we have

$$(2.3) \quad \frac{\lambda}{\lambda l - 1} \geq k,$$

noticing the choice of unit normals in (i). Assume that (ii) is false, i. e. $k > 2/l$. Then $\lambda > 2/l$, and (2.3) asserts

$$\frac{\lambda}{\lambda l - 1} > \frac{2}{l}.$$

This is, however, a contradiction, because the last inequality reduces to $\lambda < 2/l$.

The proof for the case $1/l < \lambda \leq k$ is accomplished in a similar way.

(iii) We prove here only the case $\lambda \geq 2/l$. The assumption $\lambda \geq 2/l$ leads to

$$\frac{\lambda}{\lambda l - 1} \geq \frac{2}{l},$$

for the same reason as in the proof of (ii). From these inequalities, we get

$$\lambda = 2/l,$$

which shows that M is totally umbilical, and this completes the proof (cf. [3]).

Q. E. D.

As a corollary of Theorem B (i), we obtain

PROPOSITION 1. *Let M be a compact 2-transnormal hypersurface of a Euclidean $(n+1)$ -space E^{n+1} . Then the following hold.*

(i) *M is a convex hypersurface of E^{n+1} , and then M has positive sectional curvature everywhere.*

(ii) *M is diffeomorphic to a Euclidean n -sphere S^n .*

(iii) *The total curvature of M is 2.*

PROOF. (i) is a direct consequence of Theorem B (i). From (i) we have (ii) as well as (iii). See, for example, [3].

§3. 2-transnormal hypersurfaces in a sphere.

In this section we investigate the case where M is a 2-transnormal hypersurface of a Euclidean $(n+1)$ -sphere S^{n+1} of radius 1. Note that such M must be closed in S^{n+1} and in consequence compact ([5]). Suppose that the diameter l of M as a subset of S^{n+1} is less than π , then the cut locus $C(p)$ of $p \in M$ in S^{n+1} does not intersect $M : C(p) \cap M = \emptyset$. Unless otherwise stated, this assumption on the diameter is always made throughout the rest of this section.

Fix a point $p \in M$ arbitrarily and consider the distance function $A_p(x) = d(p, x)^2$ on M . Let $x \in M$ be a critical point of A_p and $\tau(p, x)$ the minimizing geodesic segment in S^{n+1} joining p with x . Recall that $\tau(p, x)$ is perpendicular to M at x as well as at p , and then the length of $\tau(p, x)$ equals the diameter l of M . The Hessian H of A_p at x is given by

$$(3.1) \quad H(X, Y) = 2l \langle (\cot l \cdot I - S_{-\tau_x(l)})X, Y \rangle, \quad X, Y \in T_x M.$$

This formula can be derived from the second variation formula (1.1). In fact, the calculation of the Hessian of A_p corresponds to the second variation of the square of the length of $\tau(p, x)$ all of whose longitudinal curves are minimizing geodesics. On the other hand, it is well-known that on a unit sphere S^{n+1} every Jacobi field $Y(t)$ along a geodesic $\tau(t)$ parametrized by arc length is written as

$$(3.2) \quad Y(t) = A(t) \sin t + B(t) \cos t,$$

where $A(t)$ and $B(t)$ are parallel vector fields along $\tau(t)$. In our case, the Jacobi field under consideration may be expressed in a more simplified form

$$Y(t) = A(t) \sin t,$$

where $A(t)$ is a parallel vector field along $\tau(p, x)$ satisfying the condition $A(l) \in T_x M$, since p , one of the end points, is fixed under the variation of $\tau(p, x)$. From these facts, after a simple computation, we get the formula (3.1).

The bulk of the proof of Theorem C lies in the following

LEMMA 2. *Let $x \in M$ and \tilde{x} be the antipodal point of x . Let τ be the minimizing geodesic in S^{n+1} joining x with \tilde{x} . Suppose that λ is a principal curvature of M at x with respect to $\tau_*(0)$. Then*

$$\tilde{\lambda} = (\sin l + \lambda \cos l) / (\lambda \sin l - \cos l)$$

is a principal curvature of M at \tilde{x} with respect to $-\tau_*(l)$, where l is the diameter of M as a subset of S^{n+1} .

PROOF. Let $Y(t) = A(t) \sin t + B(t) \cos t$ be an (M, x) -Jacobi field along $\tau(t)$, $0 \leq t \leq l$, such that the parallel vector fields $A(t)$ and $B(t)$ satisfy the following conditions:

$$A(0) \in T_x M, \quad A(l) \in T_{\tilde{x}} M; \quad B(0) \in T_x M, \quad B(l) \in T_{\tilde{x}} M; \quad \text{and}$$

$B(0)$ is a principal vector corresponding to λ , i. e.

$$S_{\tau_*(0)} B(0) = \lambda B(0).$$

The existence of such $Y(t)$ is obvious. From the very definition of an (M, x) -Jacobi field, $Y(t)$ satisfies the boundary condition

$$S_{\tau_*(0)} Y(0) + Y'(0) \in T_x M^\perp.$$

This means that

$$S_{\tau_*(0)} B(0) + A(0) \in T_x M \cap T_x M^\perp = \{0\}.$$

Therefore $A(0) = -\lambda B(0)$, because $B(0)$ is a principal vector corresponding to λ . Consequently, we have

$$Y(t) = (\cos t - \lambda \sin t) B(t).$$

Since M is a transnormal hypersurface, every (M, x) -Jacobi field is also an (M, \tilde{x}) -Jacobi field. Thus, the above $Y(t)$ must satisfy the following boundary condition as well:

$$S_{\tau_*(l)} Y(l) + Y'(l) \in T_{\tilde{x}} M^\perp.$$

From this it follows that

$$S_{-\tau_*(l)} (\lambda \sin l - \cos l) B(l) = (\sin l + \lambda \cos l) B(l).$$

As is shown in the proof of Theorem C (i),

$$\lambda \sin l - \cos l > 0,$$

and thus the lemma is proved. Q. E. D.

Now, we turn to

PROOF OF THEOREM C. (i) Choose a point $x \in M$ arbitrarily, and let \tilde{x} be the antipodal point of x . Let τ be the minimizing geodesic joining x with \tilde{x} . Then the Hessian H of the distance function $A_{\tilde{x}}$ at x is given by

$$H(X, Y) = 2l \langle (\cot l \cdot I - S_{\tau_*(0)}) X, Y \rangle, \quad X, Y \in T_x M.$$

By the same argument as in the proof of Theorem B (i), we can conclude that every eigenvalue of $S_{\tau_*(0)}$ is greater than $\cot l$.

(ii) Let λ be a principal curvature of M at x in (i). We need only to consider the case $\lambda \geq k$, because the other case can be proved in parallel

with this one.

By Lemma 2 together with the assumption, we have

$$\frac{\sin l + \lambda \cos l}{\lambda \sin l - \cos l} \geq k,$$

noticing the choice of unit normal vectors in (i). Suppose that (ii) is not valid, i. e. $k > (1 + \cos l) / \sin l$. Then we get

$$\lambda > \frac{1 + \cos l}{\sin l} \quad \text{and} \quad \frac{\sin l + \lambda \cos l}{\lambda \sin l - \cos l} > \frac{1 + \cos l}{\sin l}.$$

However these inequalities contradict each other, because the last one reduces to

$$\lambda < (1 + \cos l) / \sin l.$$

(iii) We have only to see that the assumption consequently yields

$$\lambda = (1 + \cos l) / \sin l,$$

but it is straightforward. This equality completes the proof. Q. E. D.

As a corollary of Theorem C (i), we get

PROPOSITION 2. *Let M be a 2-transnormal hypersurface of a Euclidean $(n+1)$ -sphere S^{n+1} of radius 1. Suppose the diameter l of M as a subset of S^{n+1} is less than $\pi/2$ ¹⁾. Then*

(i) *M is a convex hypersurface of S^{n+1} , and hence every sectional curvature of M is greater than 1, and*

(ii) *M is diffeomorphic to a Euclidean n -sphere S^n .*

PROOF. By Theorem 1.1 of [2], (i) implies (ii), whereas (i) is obtained from Theorem C (i) because $l < \pi/2$.

§ 4. Compact 2-transnormal hypersurfaces in a hyperbolic space.

Finally we study a compact 2-transnormal hypersurface M of a hyperbolic $(n+1)$ -space H^{n+1} of constant curvature -1 . But, as one may immediately realize, the proof of Theorem D is quite similar to that of Theorem C as well as Theorem B. So, we describe here only the matters which are worth mentioning.

Let $p \in M$ be a fixed point and consider the distance function $A_p(x) = d(p, x)^2$ on M . The cut locus $C(p)$ is empty due to the non-positiveness of the sectional curvature of H^{n+1} . At a critical point x , the Hessian H of A_p is given by

$$H(X, Y) = 2l \langle (\coth l \cdot I - S_{-\tau_*(l)})X, Y \rangle, \quad X, Y \in T_x M,$$

1) As to the case $l > \pi/2$, see § 5, 2°.

where τ is the minimizing geodesic joining p with x , and l denotes the diameter of M as a subset of H^{n+1} . This formula can be obtained from the second variation formula (1.1) and the fact that, in H^{n+1} of constant curvature -1 , every Jacobi field $Y(t)$ along a geodesic $\tau(t)$ parametrized by arc length is written as

$$Y(t) = A(t) \sinh t + B(t) \cosh t,$$

where $A(t)$ and $B(t)$ are parallel vector fields along $\tau(t)$.

The role played by Lemma 2 is replaced with the following

LEMMA 3. *Let $x \in M$ and \tilde{x} be the antipodal point of x . Let τ be the minimizing geodesic in H^{n+1} joining x with \tilde{x} . Suppose that λ is a principal curvature of M at x with respect to $\tau_*(0)$. Then*

$$\tilde{\lambda} = (\lambda \cosh l - \sinh l) / (\lambda \sinh l - \cosh l)$$

is a principal curvature of M at \tilde{x} with respect to $-\tau_(l)$, where l is the diameter of M as a subset of H^{n+1} .*

We can prove this lemma by the same method as that of Lemma 2 with a slight modification. In the light of Lemma 3, the proof of Theorem D is now straightforward, and so we omit it. The following proposition is obtained as a corollary of Theorem D (i).

PROPOSITION 3. *Let M be a compact 2-transnormal hypersurface of a hyperbolic $(n+1)$ -space H^{n+1} of constant curvature -1 .*

(i) *Then, M is a convex hypersurface of H^{n+1} , and moreover has positive sectional curvature everywhere, and*

(ii) *M is diffeomorphic to a Euclidean n -sphere S^n .*

Here we remark that (ii) is an implication of (i). See, for example, [2].

Q. E. D.

§ 5. Concluding remarks.

1°. As for the order of transnormality, we have proved in [5] the following theorem which states that 1- and 2-transnormal hypersurfaces cover a rather wide class of transnormal hypersurfaces.

THEOREM E. *Let M be an $r (< +\infty)$ -transnormal hypersurface of W . Suppose W is simply connected and has non-positive sectional curvature everywhere. Then r is either 1 or 2.*

2°. With regard to 2-transnormal hypersurfaces in a unit sphere S^{n+1} , it can be observed without difficulty that there exists an example which is not convex and has a diameter $l > \pi/2$. But, for a diameter $l < \pi/2$, we have Proposition 2 which assures the convexity of M .

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