

## NEW EXAMPLES OF SASAKI-EINSTEIN MANIFOLDS

Dedicated to the memory of Professor Shoshichi Kobayashi

TOSHIKI MABUCHI AND YASUHIRO NAKAGAWA

(Received April 5, 2011, revised July 25, 2012)

**Abstract.** In this note, stimulated by the existence result by Futaki, Ono and Wang for toric Sasaki-Einstein metrics, we obtain new examples of Sasaki-Einstein metrics on  $S^1$ -bundles associated to canonical line bundles of  $P^1(\mathbf{C})$ -bundles over Kähler-Einstein Fano manifolds, even though the Futaki's obstruction does not vanish. Here our examples include non-toric Sasaki-Einstein manifolds.

**1. Introduction.** Sasaki-Einstein manifolds were studied not only by mathematicians but also by physicists, as Sasaki-Einstein manifolds have various interesting phenomena such as “AdS/CFT correspondence” in theoretical physics (cf. [1], [2], [3], [4], [5], [12], [19], [20], [21], [22]). Recently in [6] and [10], classification of toric Sasaki-Einstein manifolds was given.

A Sasaki manifold is a  $(2m + 1)$ -dimensional Riemannian manifold  $(S, g)$  whose cone manifold  $(C(S), \bar{g})$  is a Kähler manifold with

$$C(S) := S \times \mathbf{R}_{>0} \quad \text{and} \quad \bar{g} := (dr)^2 + r^2g,$$

where  $r$  is the standard coordinate on the set  $\mathbf{R}_{>0} = \{r > 0\}$  of positive real numbers. Then  $S$  is a contact manifold with the contact form

$$\eta := (\sqrt{-1}(\bar{\partial} - \partial)\log r)|_{r=1}.$$

Here  $S$  is viewed as the submanifold of  $C(S)$  defined by the equation  $r = 1$ . We further consider the *Reeb field*  $\xi$  characterized by

$$i(\xi)\eta = 1 \quad \text{and} \quad i(\xi)d\eta = 0,$$

where  $i(\xi)$  is the interior product by  $\xi$ . The Reeb field  $\xi$  is a Killing vector field on  $(S, g)$  with a lift to a holomorphic Killing vector field on  $(C(S), \bar{g})$ . This generates a 1-dimensional foliation on  $S$ , called the *Reeb foliation*. The Sasaki metric  $g$  naturally induces a transverse Kähler metric  $g^T$  for the Reeb foliation on  $S$ . A Sasaki manifold  $(S, g)$  is *toric*, if  $C(S)$  is a toric manifold.

---

2010 *Mathematics Subject Classification.* Primary 53C25; Secondary 32Q20, 53C55.

*Key words and phrases.* Sasaki manifolds, Sasaki-Einstein metrics, the Reeb field, Kähler-Ricci solitons, transverse holomorphic structures, Koiso-Sakane's examples, non-toric Sasaki-Einstein manifolds.

The first author is supported by JSPS Grant-in-Aid for Scientific Research (A) No. 20244005. The second author is supported by JSPS Grant-in-Aid for Scientific Research (C) No. 20540069.

The following well-known fact allows us to reduce the existence of Sasaki-Einstein metrics to that of transverse Kähler-Einstein metrics:

**FACT 1.1** (cf. [3, Chapter 11]). *A Sasaki manifold  $(S, g)$  is Einstein with positive scalar curvature  $2m$  if and only if the transverse Kähler metric  $g^T$  is Einstein with positive scalar curvature  $2(m + 1)$ .*

We now pose the following conjecture:

**CONJECTURE 1.2.** *Let  $M$  be a Fano manifold. If there exists a Kähler-Ricci soliton (see for instance [28] for Kähler-Ricci solitons) on  $M$ , then the  $S^1$ -bundle associated to the canonical line bundle  $K_M$  of  $M$  admits a Sasaki-Einstein metric with a suitable choice of the Reeb field.*

By the results of Wang and Zhu [28], the existence of Kähler-Ricci solitons is known for toric Fano manifolds. Hence, the results in [10] shows that Conjecture 1.2 is affirmative for toric Fano manifolds.

We now consider Koiso-Sakane’s examples (cf. [23], [16], [17]) of  $\mathbf{P}^1(\mathbf{C})$ -bundles over Kähler-Einstein Fano manifolds. To fix our notation, recall the paper [18]. Under the assumption below, we fix once for all a compact connected  $n$ -dimensional complex manifold  $W$  with  $c_1(W) > 0$  and an Hermitian holomorphic line bundle  $(L, h)$  over  $W$ .

**ASSUMPTION 1.3.** (1) There exists a Kähler-Einstein form  $\omega_0$  on  $W$ , i.e.,  $\text{Ric}(\omega_0) = \omega_0$ , where  $\text{Ric}(\omega_0)$  is the Ricci form for  $\omega_0$ .

(2)  $2\pi c_1(L; h) := \sqrt{-1} \partial \bar{\partial} \log h$  has constant eigenvalues

$$\mu_1 \leq \mu_2 \leq \dots \leq \mu_n$$

with respect to  $\omega_0$  satisfying  $-1 < \mu_k < 1$  for  $k = 1, 2, \dots, n$ .

By this assumption, the compactification  $M_W^L := \mathbf{P}(L \oplus \mathcal{O}_W)$  of  $L$  is a  $\mathbf{P}^1(\mathbf{C})$ -bundle over  $W$  with  $c_1(M_W^L) > 0$ . Then  $M_W^L$  admits a Kähler-Einstein metric if and only if its Futaki’s obstruction (cf. [8]) vanishes:

$$(1.4) \quad \int_{-1}^1 x \prod_{k=1}^n (1 + \mu_k x) dx = 0.$$

Let  $S_W^L$  be the  $S^1$ -bundle over  $M_W^L$  associated to the canonical line bundle  $K_{M_W^L}$  of  $M_W^L$ . In [15], Koiso showed that a Kähler-Ricci soliton exists on  $M_W^L$ , whether or not equality (1.4) holds. Hence by Conjecture 1.2, a Sasaki-Einstein metric is expected to exist on  $S_W^L$ . The purpose of this note is to give the following affirmative result:

**THEOREM 1.5.** *Under the Assumption 1.3, whether or not the equality (1.4) holds,  $S_W^L$  always admits a Sasaki-Einstein metric for a suitable choice of the Reeb field. Furthermore,  $K_{M_W^L}$  admits a complete Ricci-flat Kähler metric in every Kähler class.*

REMARK 1.6. Kobayashi [14] (see also Jensen [13], Wang and Ziller [27]) constructed Einstein metrics on  $S^1$ -bundles over Einstein manifolds. Our theorem above shows that  $S^L_W$  always admits an Einstein metric, even though  $M^L_W$  admits no Kähler-Einstein metrics.

**2. Transverse holomorphic structures on  $S^L_W$ .** For an open cover  $\{U_\alpha; \alpha \in A\}$  of  $W$ , we choose a system of holomorphic local coordinates  $(w_\alpha^1, w_\alpha^2, \dots, w_\alpha^n)$  on each  $U_\alpha$ , and by taking a holomorphic local frame  $e_\alpha$  for  $L$ , we have the fiber coordinate  $\zeta_\alpha^+$  for  $L$  over  $U_\alpha$  with respect to  $e_\alpha$ . Then  $(w_\alpha^1, w_\alpha^2, \dots, w_\alpha^n; \zeta_\alpha^+)$  forms a system of holomorphic local coordinates for  $U_\alpha^+ := L|_{U_\alpha}$ . Let  $f_\alpha$  be the frame for  $L^{-1}$  dual to  $e_\alpha$ , and let  $\zeta_\alpha^-$  be the fiber coordinate for  $L^{-1}$  over  $U_\alpha$  with respect to  $f_\alpha$ . Then  $(w_\alpha^1, w_\alpha^2, \dots, w_\alpha^n; \zeta_\alpha^-)$  form a system of holomorphic local coordinates on  $U_\alpha^- := L^{-1}|_{U_\alpha}$ . Then  $U_\alpha^+$  and  $U_\alpha^-$  are glued together by the relation

$$\zeta_\alpha^+ = (\zeta_\alpha^-)^{-1}$$

to form  $M^L_W = \mathbf{P}(L \oplus \mathcal{O}_W) = \bigcup_{\alpha \in A} (U_\alpha^+ \cup U_\alpha^-)$ . Here,

$$\pm dw_\alpha^1 \wedge dw_\alpha^2 \wedge \dots \wedge dw_\alpha^n \wedge d\zeta_\alpha^\pm$$

is a holomorphic local frame for  $K_{M^L_W}$  over  $U_\alpha^\pm$ , and with respect to this local frame, we have the fiber coordinate  $\tau_\alpha^\pm$  for  $K_{M^L_W}$ , respectively, i.e., all (+)-signs and all (-)-signs should be chosen respectively. Note that

$$\begin{aligned} &\tau_\alpha^+ dw_\alpha^1 \wedge dw_\alpha^2 \wedge \dots \wedge dw_\alpha^n \wedge d\zeta_\alpha^+ \\ &= \tau_\beta^+ dw_\beta^1 \wedge dw_\beta^2 \wedge \dots \wedge dw_\beta^n \wedge d\zeta_\beta^+ \\ &= \tau_\beta^+ \phi_{\beta\alpha}(w) \psi_{\beta\alpha}(w)^{-1} dw_\alpha^1 \wedge dw_\alpha^2 \wedge \dots \wedge dw_\alpha^n \wedge d\zeta_\alpha^+ \end{aligned}$$

for  $w \in U_\alpha \cap U_\beta$ . Here  $\{\psi_{\beta\alpha}; \alpha, \beta \in A\}$  are the transition functions for  $L$  with respect to the local frames  $\{e_\alpha; \alpha \in A\}$  for  $L$ , while  $\{\phi_{\beta\alpha}; \alpha, \beta \in A\}$  are the transition functions for  $K_W$  with respect to the local frames  $\{dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n; \alpha \in A\}$  for  $K_W$ , i.e.,

$$\begin{aligned} e_\beta &= \psi_{\beta\alpha}(w)e_\alpha, \quad f_\beta = \psi_{\beta\alpha}(w)^{-1}f_\alpha, \\ dw_\beta^1 \wedge dw_\beta^2 \wedge \dots \wedge dw_\beta^n &= \phi_{\beta\alpha}(w)dw_\alpha^1 \wedge dw_\alpha^2 \wedge \dots \wedge dw_\alpha^n \end{aligned}$$

for  $w \in U_\alpha \cap U_\beta$ . Hence  $\tau_\alpha^+$  can be viewed as the fiber coordinate for  $K_W \otimes L^{-1}$  over  $U_\alpha$  with respect to the local frame  $(dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n) \otimes f_\alpha$ . Similarly,  $\tau_\alpha^-$  is also viewed as the fiber coordinate for  $K_W \otimes L$  over  $U_\alpha$  with respect to the local frame  $(dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n) \otimes e_\alpha$ . Moreover, since  $\tau_\alpha^+ \zeta_\alpha^+ = \tau_\alpha^- \zeta_\alpha^-$  on  $U_\alpha^+ \cap U_\alpha^-$ , it follows that

$$\tau_\alpha^+(\zeta_\alpha^+)^2 = \tau_\alpha^-.$$

Now, for  $-1/2 < a \in \mathbf{R}$ , we consider holomorphic vector fields

$$\begin{aligned} &a\sqrt{-1}\zeta_\alpha^+ \frac{\partial}{\partial \zeta_\alpha^+} + \sqrt{-1}\tau_\alpha^+ \frac{\partial}{\partial \tau_\alpha^+} \quad \text{on } \tilde{p}^{-1}(U_\alpha^+), \\ &-a\sqrt{-1}\zeta_\alpha^- \frac{\partial}{\partial \zeta_\alpha^-} + (1+2a)\sqrt{-1}\tau_\alpha^- \frac{\partial}{\partial \tau_\alpha^-} \quad \text{on } \tilde{p}^{-1}(U_\alpha^-), \end{aligned}$$

where  $\tilde{p}: K_{M_W^L} \rightarrow M_W^L$  is the natural projection. Then these are glued together to define a well-defined global holomorphic vector field  $\xi_a$  on  $K_{M_W^L}$ . We choose  $\xi_a + \bar{\xi}_a$  as the Reeb field on  $S_W^L$ . However, we call  $\xi_a$  also as the Reeb field by abuse of terminology. Put

$$z_\alpha^+ := (\tau_\alpha^+)^{-a} \zeta_\alpha^+ \quad \text{and} \quad z_\alpha^- := (\tau_\alpha^-)^{a/(1+2a)} \zeta_\alpha^-.$$

Then  $(w_\alpha^1, w_\alpha^2, \dots, w_\alpha^n; z_\alpha^+)$  and  $(w_\alpha^1, w_\alpha^2, \dots, w_\alpha^n; z_\alpha^-)$  are transverse holomorphic local coordinates on  $\tilde{U}_\alpha^+ := p^{-1}(U_\alpha^+)$  and  $\tilde{U}_\alpha^- := p^{-1}(U_\alpha^-)$ , respectively, with respect to the Reeb field  $\xi_a$ , in view of the identities

$$dz_\alpha^+(\xi_a) = 0 \quad \text{and} \quad dz_\alpha^-(\xi_a) = 0,$$

where  $p: S_W^L \rightarrow M_W^L$  is the natural projection. Note that  $z_\alpha^+$  and  $z_\alpha^-$  satisfy the relation

$$z_\alpha^+ = (\tau_\alpha^+)^{-a} \zeta_\alpha^+ = (\tau_\alpha^-)^{-a} (\zeta_\alpha^-)^{-(1+2a)} = (z_\alpha^-)^{-(1+2a)}.$$

For the natural projection  $q: S_W^L \rightarrow W$ , the fiber  $q^{-1}(w)$  over each  $w \in U_\alpha$  has a transverse holomorphic structure defined by the transverse holomorphic coordinate  $z_\alpha^\pm$ . Then on  $q^{-1}(w)$ ,

$$G := \begin{cases} \left( \frac{1}{|z_\alpha^+|^{-2} + 1 + |z_\alpha^+|^{2/(1+2a)}} \right) \frac{|dz_\alpha^+|^2}{|z_\alpha^+|^2} & \text{on } q^{-1}(w) \cap \tilde{U}_\alpha^+, \\ \left( \frac{(1+2a)^2}{|z_\alpha^-|^{2(1+2a)} + 1 + |z_\alpha^-|^{-2}} \right) \frac{|dz_\alpha^-|^2}{|z_\alpha^-|^2} & \text{on } q^{-1}(w) \cap \tilde{U}_\alpha^- \end{cases}$$

defines an Hermitian metric for the transverse anti-canonical line bundle of the fiber  $q^{-1}(w)$ , which is invariant under the standard  $S^1$ -action  $z_\alpha^\pm \mapsto t z_\alpha^\pm, t \in S^1$ , for each  $w \in U_\alpha$ , where  $S^1 := \{z \in \mathbf{C}; |z| = 1\}$ . By setting  $x := -2 \log |z_\alpha^+|$ , we define

$$v(x) := \log \left\{ \exp(x) + 1 + \exp\left(-\frac{x}{1+2a}\right) \right\}.$$

Then its derivative  $v'(x)$  defines a moment map whose image is the closed interval  $[-1/(1+2a), 1]$ .

**3. Sasaki-Einstein metrics on  $S_W^L$ .** In this section, by an argument as in [18], we construct a Sasaki-Einstein metric on  $S_W^L$  by reducing the Sasaki-Einstein equation to the transverse Einstein equation (3.1) below. For  $a > -1/2$ , define a polynomial  $A_a(x)$  in  $x$  by

$$A_a(x) := - \int_{-1/(1+2a)}^x s \prod_{k=1}^n (1 + \mu_{k,a} s) ds,$$

where  $\mu_{k,a} := \mu_k + a(1 + \mu_k)$  for  $k = 1, 2, \dots, n$ . Now, we assume that  $A_a(1) = 0$ . Since  $a > -1/2$ , it follows from Assumption 1.3 that

$$0 < A_a(x) \leq A_a(0) \quad \text{and} \quad \frac{A'_a(x)}{x} < 0$$

for  $-1/(1 + 2a) < x < 1$ . In particular, the rational function

$$\frac{A'_a(x)}{xA_a(x)}$$

is free from poles and zeros over the open interval  $(-1/(1 + 2a), 1)$  and has a pole of order 1 at both  $x = -1/(1 + 2a)$  and  $x = 1$ . Hence,

$$B_a(x) := - \int_0^x \frac{A'_a(s)}{sA_a(s)} ds$$

is monotone increasing over the interval  $(-1/(1 + 2a), 1)$  and moreover,  $B_a$  maps  $(-1/(1 + 2a), 1)$  diffeomorphically onto  $\mathbf{R}$ . Let

$$B_a^{-1}: \mathbf{R} \rightarrow \left(-\frac{1}{1 + 2a}, 1\right)$$

be the inverse function of  $B_a: (-1/(1 + 2a), 1) \rightarrow \mathbf{R}$ , and define  $C^\infty$  functions  $x_a(\rho)$  and  $u_a(\rho)$  in  $\rho \in \mathbf{R}$  by  $x_a(\rho) := B_a^{-1}(\rho)$  and  $u_a(\rho) := -\log(A_a(x_a(\rho)))$ , respectively. Then  $u'_a(\rho) = x_a(\rho)$  and hence

$$(3.1) \quad u''_a(\rho) \prod_{k=1}^n (1 + \mu_{k,a} u'_a(\rho)) = e^{-u_a(\rho)}.$$

On  $\tilde{U}_\alpha := \tilde{U}_\alpha^+ \cup \tilde{U}_\alpha^-$ , we define

$$(3.2) \quad \rho_\alpha := \begin{cases} -\log |z_\alpha^+|^2 - \log(\kappa_\alpha^{-a} h_\alpha^{1+a}) & \text{on } \tilde{U}_\alpha^+, \\ (1 + 2a) \log |z_\alpha^-|^2 - \log(\kappa_\alpha^{-a} h_\alpha^{1+a}) & \text{on } \tilde{U}_\alpha^-, \end{cases}$$

by setting  $\kappa_\alpha := h_{K_W}(dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n, dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n)$  and  $h_\alpha := h(e_\alpha, e_\alpha)$ , that is, on  $\tilde{U}_\alpha^+$ ,  $\exp(-\rho_\alpha/2)$  can be formally viewed as the norm of

$$z_\alpha^+ \left( \left( \frac{\partial}{\partial w_\alpha^1} \wedge \dots \wedge \frac{\partial}{\partial w_\alpha^n} \right)^a \otimes e_\alpha^{1+a} \right)$$

with respect to the Hermitian metric  $h_{K_W}^{-a} \otimes h^{1+a}$  for  $K_W^{-a} \otimes L^{1+a}$ . Here  $h_{K_W}$  denotes the Hermitian metric for  $K_W$  induced by  $\omega_0$ . Then we have  $\rho_\alpha = \rho_\beta$  on  $\tilde{U}_\alpha \cap \tilde{U}_\beta$ . Now we consider the following transverse  $(n + 1, n + 1)$ -form  $\Phi_\alpha$ , with respect to  $\xi_\alpha$ , on  $\tilde{U}_\alpha$ :

$$\Phi_\alpha := \begin{cases} \sqrt{-1} (n + 1) e^{-u_a(\rho_\alpha)} (q^* \omega_0)^n \wedge \frac{dz_\alpha^+ \wedge \overline{dz_\alpha^+}}{|z_\alpha^+|^2} & \text{on } \tilde{U}_\alpha^+, \\ \sqrt{-1} (n + 1) e^{-u_a(\rho_\alpha)} (q^* \omega_0)^n \wedge (1 + 2a)^2 \frac{dz_\alpha^- \wedge \overline{dz_\alpha^-}}{|z_\alpha^-|^2} & \text{on } \tilde{U}_\alpha^-. \end{cases}$$

Then  $\{\Phi_\alpha; \alpha \in A\}$  define the transverse  $(n + 1, n + 1)$ -form  $\Phi$  on  $S_W^L$ . Note that  $\text{Ric}(\omega_0) = \sqrt{-1} \bar{\partial} \partial \log \omega_0^n = \omega_0$  and that, for each fixed  $w_0 \in U_\alpha$ , we can choose a local frame  $e_\alpha$  for  $L$  and a system  $(w_\alpha^1, w_\alpha^2, \dots, w_\alpha^n)$  of holomorphic local coordinates on  $U_\alpha$  satisfying

$$d(\kappa_\alpha^{-a} h_\alpha^{1+a})(w_0) = 0, \quad \omega_0(w_0) = \sqrt{-1} \sum_{k=1}^n dw_\alpha^k \wedge \overline{dw_\alpha^k},$$

$$(\sqrt{-1} \bar{\partial} \partial \log h_\alpha)(w_0) = \sqrt{-1} \sum_{k=1}^n \mu_k dw_\alpha^k \wedge d\bar{w}_\alpha^k.$$

Then, along  $q^{-1}(w_0) \cap \tilde{U}_\alpha$ , we write  $\omega_\alpha^T := \{\sqrt{-1}/(2n+4)\} \bar{\partial} \partial \log \Phi_\alpha$  as a sum

$$\begin{aligned} & \frac{1}{2n+4} \sum_{k=1}^n \left\{ (1 + \mu_{k,a} u'_a(\rho_\alpha)) \sqrt{-1} dw_\alpha^k \wedge d\bar{w}_\alpha^k \right\} \\ & + \frac{1}{2n+4} u''_a(\rho_\alpha) \frac{\sqrt{-1} dz_\alpha^+ \wedge d\bar{z}_\alpha^+}{|z_\alpha^+|^2} \end{aligned}$$

on  $\tilde{U}_\alpha^+$ , and

$$\begin{aligned} & \frac{1}{2n+4} \sum_{k=1}^n \left\{ (1 + \mu_{k,a} u'_a(\rho_\alpha)) \sqrt{-1} dw_\alpha^k \wedge d\bar{w}_\alpha^k \right\} \\ & + \frac{1}{2n+4} (1+2a)^2 u''_a(\rho_\alpha) \frac{\sqrt{-1} dz_\alpha^- \wedge d\bar{z}_\alpha^-}{|z_\alpha^-|^2} \end{aligned}$$

on  $\tilde{U}_\alpha^-$ . Since  $a > -1/2$  and  $-1 < \mu_k < 1$  ( $k = 1, 2, \dots, n$ ),  $\omega_\alpha^T$  is a transverse Kähler form, with respect to  $\xi_a$ , on  $\tilde{U}_\alpha^+ \setminus \{z_\alpha^+ = 0\} = \tilde{U}_\alpha^- \setminus \{z_\alpha^- = 0\}$ . Furthermore, by (3.1), we have  $\{(2n+4)\omega_\alpha^T\}^{n+1} = \Phi_\alpha$ . Therefore,  $\omega_\alpha^T$  defines a transverse Kähler-Einstein metric, with respect to  $\xi_a$ , on  $\tilde{U}_\alpha^+ \setminus \{z_\alpha^+ = 0\} = \tilde{U}_\alpha^- \setminus \{z_\alpha^- = 0\}$ . Since  $\rho_\alpha = B_a(x_a(\rho_\alpha))$ , we have

$$\rho_\alpha = \begin{cases} -\log(1 - x_a(\rho_\alpha)) \\ \quad + \text{real analytic function in } x_a(\rho_\alpha) & \text{near } x_a(\rho_\alpha) = 1, \\ (1+2a) \log\left(\frac{1}{1+2a} + x_a(\rho_\alpha)\right) \\ \quad + \text{real analytic function in } x_a(\rho_\alpha) & \text{near } x_a(\rho_\alpha) = \frac{-1}{1+2a}, \end{cases}$$

while we see from (3.2) that

$$\begin{cases} |z_\alpha^+|^{-2} = (1 - x_a(\rho_\alpha))^{-1} \exp \sigma^+ & \text{near } x_a(\rho_\alpha) = 1, \\ |z_\alpha^-|^{-2} = \left(\frac{1}{1+2a} + x_a(\rho_\alpha)\right)^{-1} \exp \sigma^- & \text{near } x_a(\rho_\alpha) = \frac{-1}{1+2a}. \end{cases}$$

Here  $\sigma^+$  and  $\sigma^-$  are real analytic functions on  $\tilde{U}_\alpha^+$  and  $\tilde{U}_\alpha^-$ , respectively. Hence the argument as in Step 2 in the proof of [18, Theorem 10.3] is valid for transverse Kähler cases even when the Reeb field is irregular. Therefore, the condition  $A_a(1) = 0$  implies that  $\{\omega_\alpha^T; \alpha \in A\}$  are glued together to define a well-defined global transverse Kähler-Einstein form  $\omega^T$  on  $S_W^L$  with the Reeb field  $\xi_a$ .

REMARK 3.3. Let  $a \in \mathbf{R}$  be such that  $A_a(1) = 0$ . On  $\tilde{U}_\alpha^+$  (resp.  $\tilde{U}_\alpha^-$ ),  $\Phi_\alpha$  is formally viewed as a Hermitian metric for

$$K_W^{-1} \otimes (K_W^a \otimes L^{-(1+a)})^{-1} = (K_W \otimes L^{-1})^{-(1+a)}$$

$$\text{(resp. } (K_W \otimes L)^{-(1+a)/(1+2a)} \text{)}.$$

Then, on  $\tilde{U}_\alpha^+$  (resp.  $\tilde{U}_\alpha^-$ ), we put

$$r := \left\{ (|\tau_\alpha^+|)^{2(1+a)} \frac{\exp(u_a(\rho_\alpha)) \kappa_\alpha |z_\alpha^+|^2}{n+1} \right\}^{1/(2n+4)}$$

$$\left( \text{resp. } r := \left\{ (|\tau_\alpha^-|)^{(2(1+a))/(1+2a)} \frac{\exp(u_a(\rho_\alpha)) \kappa_\alpha |z_\alpha^-|^2}{n+1} \right\}^{1/(2n+4)} \right),$$

and  $\eta := (\sqrt{-1}(\bar{\partial} - \partial) \log r)|_{r=1}$ . On  $\tilde{U}_\alpha^+$  (resp.  $\tilde{U}_\alpha^-$ ),  $r^{n+2}$  is regarded as the norm of

$$(\tau_\alpha^+)^{1+a} ((dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n) \otimes dz_\alpha^+)$$

$$\text{(resp. } -(1+2a)(\tau_\alpha^-)^{(1+a)/(1+2a)} ((dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n) \otimes dz_\alpha^-)),$$

with respect to the Hermitian metric  $(\Phi_\alpha)^{-1}$  for

$$(K_W \otimes L^{-1})^{1+a} \quad \text{(resp. } (K_W \otimes L)^{(1+a)/(1+2a)} \text{)}.$$

Hence  $r$  defines a well-defined  $C^\infty$  function on  $K_{M_W^L} \setminus \{\text{zero section}\}$ , and in particular  $S_W^L$  is identified with the submanifold of  $K_{M_W^L}$  defined by the equation  $r = 1$ . Here, we note that, on  $\tilde{U}_\alpha^+ \cap \tilde{U}_\alpha^-$ ,

$$(\tau_\alpha^+)^{1+a} ((dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n) \otimes dz_\alpha^+)$$

$$= -(1+2a)(\tau_\alpha^-)^{(1+a)/(1+2a)} ((dw_\alpha^1 \wedge \dots \wedge dw_\alpha^n) \otimes dz_\alpha^-).$$

Moreover,  $g := (\eta)^2 + g^T$  is a Riemannian metric on  $S_W^L$  and  $\eta$  is a contact form on  $S_W^L$ , where  $g^T$  is the transverse Kähler metric associated to  $\omega^T$ . Furthermore, the fundamental form  $\bar{\omega}$  of the cone metric  $\bar{g}$  associated to  $g$  is given by

$$\bar{\omega} := r dr \wedge \eta + r^2 \omega^T.$$

In view of  $d\eta = 2\omega^T$ , we obtain  $d\bar{\omega} = 0$ , and hence  $(S_W^L, g)$  is a Sasaki manifold with the Reeb field  $\xi_a$ .

Now by Fact 1.1, we obtain the following criterion on the existence of Sasaki-Einstein metrics on  $S_W^L$ :

PROPOSITION 3.4. *Under the Assumption 1.3, if*

$$(3.5) \quad A_a(1) = - \int_{-1/(1+2a)}^1 x \prod_{k=1}^n (1 + \mu_{k,a} x) dx = 0,$$

then  $S_W^L$  admits a Sasaki-Einstein metric with the Reeb field  $\xi_a$ .

REMARK 3.6. In the special case  $a = 0$ , we easily see that (3.5) is nothing but the condition (1.4) in the introduction.

Next, we shall show the existence of  $a \in \mathbf{R}$  such that both  $a > -1/2$  and  $A_a(1) = 0$  hold. We now put

$$f(x; a) := x \prod_{k=1}^n (1 + \mu_{k,a}x),$$

$$F(a) := \int_{-1/(1+2a)}^1 f(x; a) dx \quad (= -A_a(1)).$$

Since  $\lim_{a \rightarrow +\infty} F(a) = +\infty$  and  $\lim_{a \rightarrow -1/2+0} F(a) = -\infty$ , the continuity of  $F$  allows us to find  $a_0 > -1/2$  such that  $F(a_0) = 0$ . Moreover,

$$F'(a) = \int_{-1/(1+2a)}^1 \frac{\partial}{\partial a} f(x; a) dx + \frac{-2}{(1+2a)^2} f\left(-\frac{1}{1+2a}; a\right).$$

Note also that  $\mu_{k,a} = \mu_k + a(1 + \mu_k)$ . Hence for  $-1/(1+2a) \leq x \leq 1$ ,

$$\frac{\partial}{\partial a} f(x; a) = x^2 \sum_{j=1}^n \left\{ (1 + \mu_j) \prod_{k \neq j} (1 + \{\mu_k + a(1 + \mu_k)\} x) \right\} \geq 0,$$

$$f\left(-\frac{1}{1+2a}; a\right) = -\left(\frac{1}{1+2a}\right)^{n+1} \prod_{k=1}^n \{(1+a)(1-\mu_k)\} < 0.$$

Now in the expression of  $F'(a)$ , the first term is nonnegative and the second term is positive. Therefore  $F'(a) > 0$ . Hence we obtain the following lemma.

LEMMA 3.7. *Under the Assumption 1.3, there exists a unique real number  $a_0 > -1/2$  such that  $F(a_0) = 0$ .*

Therefore, by Proposition 3.4 and Lemma 3.7, if Assumption 1.3 is satisfied, then  $S_W^L$  always admits a Sasaki-Einstein metric with the Reeb field  $\xi_{a_0}$ . On the other hand, in view of [9], [11](see also [26]), we now conclude that  $K_{M_W^L}$  admits a complete Ricci-flat Kähler metric in every Kähler class. The proof of Theorem 1.5 is now complete.

**4. Examples.** In this section, we shall give a couple of examples of Sasaki-Einstein manifolds as an application of Theorem 1.5.

EXAMPLE 4.1. We first put

$$W := \prod_{i=1}^l \mathbf{P}^{n_i}(\mathbf{C}),$$

$$L := \bigotimes_{i=1}^l p_i^* (\mathcal{O}_{\mathbf{P}^{n_i}(\mathbf{C})}(v_i)),$$

where  $p_i: W \rightarrow \mathbf{P}^{n_i}(\mathbf{C})$  is the natural projection to the  $i$ -th factor ( $i = 1, 2, \dots, l$ ). In view of the isomorphism  $K_{\mathbf{P}^k(\mathbf{C})}^{-1} \cong \mathcal{O}_{\mathbf{P}^k(\mathbf{C})}(k+1)$ , if

$$-(n_i + 1) < v_i < n_i + 1, \quad (i = 1, 2, \dots, l),$$

then the pair  $(W, L)$  satisfies Assumption 1.3. Hence by Theorem 1.5,  $S_W^L$  admits a Sasaki-Einstein metric, though this is toric. Then  $F(a)$  in Section 3 is given by

$$F(a) = \int_{-1/(1+2a)}^1 x \prod_{i=1}^l \left( 1 + \left\{ \frac{v_i}{n_i + 1} + a \left( 1 + \frac{v_i}{n_i + 1} \right) \right\} x \right)^{n_i} dx .$$

For instance, we consider the simplest case, that is,  $W = \mathbf{P}^1(\mathbf{C})$  and  $L = \mathcal{O}_{\mathbf{P}^1(\mathbf{C})}(1)$ . In this case,  $M_W^L$  is a del Pezzo surface obtained from  $\mathbf{P}^2(\mathbf{C})$  by blowing up one point, and we see the irregularity of  $(S_W^L, \xi_{a_0})$  by

$$a_0 = \frac{-5 + \sqrt{13}}{12} .$$

EXAMPLE 4.2. Next, let  $W := \text{Gr}(k, p)$  be the complex Grassmannian manifold of all  $p$ -dimensional subspaces of  $\mathbf{C}^k$ , which is a complex manifold of dimension  $p(k - p)$ . Then there exists an ample line bundle  $A(k, p)$  over  $\text{Gr}(k, p)$  such that  $K_{\text{Gr}(k, p)}^{-1} \cong A(k, p)^k$  (see for instance [24, p. 205]). We put  $L := A(k, p)^\nu$ . If  $-k < \nu < k$ , then the pair  $(W, L)$  satisfies Assumption 1.3. Hence by Theorem 1.5,  $S_W^L$  admits a Sasaki-Einstein metric, and if  $2 \leq p \leq k - 2$ , then  $S_W^L$  is non-toric.

EXAMPLE 4.3. Let  $\mathcal{M}_n$  be the moduli space of smooth hypersurfaces of degree  $n$  in  $\mathbf{P}^{n+1}(\mathbf{C})$ . For the Fermat type hypersurface

$$W_0 := \left\{ [X_0, X_1, \dots, X_{n+1}] \in \mathbf{P}^{n+1}(\mathbf{C}) ; \sum_{i=0}^{n+1} (X_i)^n = 0 \right\} \in \mathcal{M}_n ,$$

a theorem of Tian [25] shows that  $W_0$  admits a Kähler-Einstein metric, and in particular

$$\mathcal{M}_n^{\text{KE}} := \{ W \in \mathcal{M}_n ; W \text{ admits a Kähler-Einstein metric} \}$$

is a non-empty open subset of  $\mathcal{M}_n$ . For every  $W \in \mathcal{M}_n^{\text{KE}}$ , we have  $K_W \cong \mathcal{O}_{\mathbf{P}^{n+1}(\mathbf{C})}(-2)|_W$  by adjunction formula. Put  $L := \mathcal{O}_{\mathbf{P}^{n+1}(\mathbf{C})}(1)|_W$ . Then the pair  $(W, L)$  satisfies Assumption 1.3, and Theorem 1.5 shows that  $S_W^L$  admits a Sasaki-Einstein metric. If  $n = 3$ ,  $W$  is a well-known cubic threefold, and in this case by [7, Theorem 13.12],  $W$  is not birationally equivalent to  $\mathbf{P}^3(\mathbf{C})$ , and  $S_W^L$  is again non-toric.

REFERENCES

[ 1 ] C. P. BOYER AND K. GALICKI, 3-Sasakian manifolds, *Surv. Differ. Geom.* 7 (1999), 123–184.  
 [ 2 ] C. P. BOYER AND K. GALICKI, A note on toric contact geometry, *J. Geome. Phys.* 35 (2000), 288–298.  
 [ 3 ] C. P. BOYER AND K. GALICKI, *Sasakian geometry*, Oxford Mathematical Monographs, Oxford University Press, Oxford, 2008.  
 [ 4 ] C. P. BOYER, K. GALICKI AND J. KOLLÁR, Einstein metrics on spheres, *Ann. of Math.* 162 (2005), 557–580.  
 [ 5 ] C. P. BOYER, K. GALICKI AND S. R. SIMANCA, Canonical Sasakian metrics, *Comm. Math. Phys.* 279 (2008), 705–733.  
 [ 6 ] K. CHO, A. FUTAKI AND H. ONO, Uniqueness and examples of compact toric Sasaki-Einstein metrics, *Comm. Math. Phys.* 277 (2008), 439–458.

- [ 7 ] C. H. CLEMENS AND P. A. GRIFFITHS, The intermediate Jacobian of the cubic threefold , Ann. of Math. 95 (1972), 281–356.
- [ 8 ] A. FUTAKI, An obstruction to the existence of Kähler Einstein metrics, Invent. Math. 73 (1983), 437–443.
- [ 9 ] A. FUTAKI, Momentum construction on Ricci-flat Kähler cones, Tohoku Math. J. 63 (2011), 21–40.
- [10] A. FUTAKI, H. ONO AND G. WANG, Transverse Kähler Geometry of Sasaki manifolds and toric Sasaki-Einstein manifolds, J. Differential Geom. 83 (2009), 585–635.
- [11] R. GOTO, Calabi-Yau structures and Einstein-Sasakian structures on crepant resolutions of isolated singularities, J. Math. Soc. Japan 64 (2012), 1005–1052.
- [12] Y. HASHIMOTO, M. SAKAGUCHI AND Y. YASUI, Sasaki-Einstein twist of Kerr-AdS black holes, Phys. Lett. B 600 (2004), 270–274.
- [13] G. R. JENSEN, Einstein metrics on principal fibre bundles, J. Differential Geom. 8 (1973), 599–614.
- [14] S. KOBAYASHI, Topology of positively pinched Kaehler manifolds, Tohoku Math. J. 15 (1963), 121–139.
- [15] N. KOISO, On rotationally symmetric Hamilton’s equation for Kähler-Einstein metrics, in “Kähler metric and moduli spaces”, 327–337, Adv. Stud. Pure Math. 18-I, Kinokuniya and Academic Press, Tokyo and Boston, 1990.
- [16] N. KOISO AND Y. SAKANE, Non-homogeneous Kähler-Einstein metrics on compact complex manifolds, in “Curvature and topology of Riemannian manifolds”, 165–179, Lecture Notes in Math. 1201, Springer-Verlag, Berlin, Heidelberg, New York, 1986.
- [17] N. KOISO AND Y. SAKANE, Non-homogeneous Kähler-Einstein metrics on compact complex manifolds, II, Osaka J. Math. 25 (1988), 933–959.
- [18] T. MABUCHI, Einstein-Kähler forms, Futaki invariants and convex geometry on toric Fano varieties, Osaka J. Math. 24 (1987), 705–737.
- [19] D. MARTELLI AND J. SPARKS, Toric Sasaki-Einstein metrics on  $S^2 \times S^3$ , Phys. Lett. B 621 (2005), 208–212.
- [20] D. MARTELLI AND J. SPARKS, Toric geometry, Sasaki-Einstein manifolds and a new infinite class of AdS/CFT duals, Comm. Math. Phys. 262 (2006), 51–89.
- [21] D. MARTELLI, J. SPARKS AND S. T. YAU, The geometric dual of  $a$ -maximisation for toric Sasaki-Einstein manifolds, Comm. Math. Phys. 268 (2006), 39–65.
- [22] D. MARTELLI, J. SPARKS AND S. T. YAU, Sasaki-Einstein manifolds and volume minimisation, Comm. Math. Phys. 280 (2008), 611–673.
- [23] Y. SAKANE, Example of compact Einstein Kähler manifolds with positive Ricci tensor, Osaka J. Math. 23 (1986), 585–616.
- [24] M. TAKEUCHI, Homogeneous Kähler submanifolds in complex projective spaces, J. Math. (N.S.) 4 (1978), 171–219.
- [25] G. TIAN, On Kähler-Einstein metrics on certain Kähler manifolds with  $C_1(M) > 0$ , Invent. Math. 89 (1987), 225–246.
- [26] C. VAN COEVERING, Ricci-flat Kähler metrics on crepant resolutions of Kähler cones, Math. Ann. 347 (2010), 581–611.
- [27] M. Y. WANG AND W. ZILLER, Einstein metrics on principal torus bundles, J. Differential Geom. 31 (1990), 215–248.
- [28] X.-J. WANG AND X. ZHU, Kähler-Ricci solitons on toric manifolds with positive first Chern class, Adv. Math. 188 (2004), 87–103.

DEPARTMENT OF MATHEMATICS  
 OSAKA UNIVERSITY  
 TOYONAKA, OSAKA 560–0043  
 JAPAN

*E-mail address:* mabuchi@math.sci.osaka-u.ac.jp

DEPARTMENT OF MATHEMATICS  
 GRADUATE SCHOOL OF SCIENCE AND ENGINEERING  
 SAGA UNIVERSITY  
 1 HONJO-MACHI, SAGA 840–8502  
 JAPAN

*E-mail address:* yasunaka@ms.saga-u.ac.jp