

## GROUPS GRADED BY FINITE ROOT SYSTEMS<sup>1</sup>

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**Abstract.** A Steinberg group  $\text{St}(\Delta, R)$  is defined by the data of a ring  $R$  and a root system  $\Delta$ . This paper aims to study the relationship between the group-theoretic structure of a Steinberg group and the associated ring. We introduce graded groups which are groups satisfying some axioms that are basic properties of  $\text{St}(\Delta, R)$ , and then show that these properties suffice to determine the structures of graded groups, *by constructing a ring out of a graded group*. Also the central extensions of graded groups are studied.

**Introduction.** In this paper, the groups graded by finite root systems  $\Delta$ , or  $\Delta$ -graded groups, are introduced. These are analogues of Lie algebras graded by finite root systems which are studied by Berman and Moody [1]. The background is the structures of Steinberg groups and Chevalley groups. The connection among  $\Delta$ -graded groups, Steinberg groups and central extensions can be seen throughout the article.

Assume that our rings are always associative and with the identity element denoted by 1. For each  $l \geq 1$ , all  $(l+1) \times (l+1)$  invertible matrices over  $R$  form the general linear group  $GL_{l+1}(R)$ . Let  $E_{ij}$  be the  $(i, j)$  matrix unit of  $GL_{l+1}(R)$ . Then the elementary group  $E_{l+1}(R)$ , the subgroup of  $GL_{l+1}(R)$  generated by  $I + rE_{ij}$  for  $r \in R$  and  $i \neq j$ , models the definition of the Steinberg group  $\text{St}(A_l, R)$ , where  $A_l$  is a type of root systems. Both  $\text{St}(A_l, R)$  and  $E_{l+1}$  can be assigned a grading by the root system of Type  $A_l$  in terms of the group commutators. Now the question is: without given a ring in advance, would the graded property will determine the structure of such a group? This motivates our definition for a  $\Delta$ -graded group (cf. Definition (2.1)), where we assume that the root system  $\Delta$  is always one of the types  $A_l$ ,  $l \geq 3$ ,  $D_l$ ,  $l \geq 4$  and  $E_l$ ,  $l = 6, 7, 8$ , unless otherwise stated. We have:

(2.3) **THEOREM.** *Let  $G$  be a group graded by  $\Delta$ . Then there is an associative ring  $R$  with 1, such that  $G$  is a homomorphic image of the Steinberg group  $\text{St}(\Delta, R)$ . Moreover,  $R$  is commutative if  $\Delta$  is of Type  $D_l$  or  $E_l$ .*

Note that here all associative rings fit in here. For the proof, the critical point is to define the ring  $R$  out of such a group. The main theme of the proof is set in [1] on the Lie algebra level.

Then for each  $\Delta$ -graded group, we may attach a ring  $R$ . A  $\Delta$ -homomorphism of  $\Delta$ -graded groups is naturally understood to be a group homomorphism which preserves

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the  $\Delta$ -grading. So in the category of  $\Delta$ -graded groups, the morphisms involved are  $\Delta$ -homomorphisms.

Considering the central extensions of groups, we have:

(3.2) **THEOREM.** *Let  $\Delta$  be of Type  $A_l$ ,  $l \geq 4$ ,  $E_l$ ,  $l = 6, 7, 8$  or  $D_l$ ,  $l \geq 5$ . Any covering  $(U, \psi)$  of a  $\Delta$ -graded group  $G$  is also  $\Delta$ -graded and  $\psi$  is a  $\Delta$ -homomorphism. Moreover, there is a surjective homomorphism  $\Psi$  from  $\text{St}(\Delta, R)$  onto  $U$  such that*

$$\begin{array}{ccc} \text{St}(\Delta, R) & \xrightarrow{\Psi} & U \\ & \searrow & \swarrow \psi \\ & & G \end{array}$$

is commutative, where  $R$  is the ring attached to  $G$ .

(3.3) **THEOREM.** *Let  $\Delta$  be of Type  $A_l$ ,  $l \geq 4$ ;  $D_l$ ,  $l \geq 5$ ; or  $E_l$ ,  $l = 6, 7, 8$ . Let  $G$  and  $G'$  be perfect and  $G$   $\Delta$ -graded. If there is a group which is a covering for both  $G$  and  $G'$ , then  $G'$  is also  $\Delta$ -graded in such a way that  $G$  and  $G'$  are  $\Delta$ -homomorphic images of the same Steinberg group  $\text{St}(\Delta, R)$ .*

The paper is organized as follows. In §1, we present some preliminary notation and define a set  $\mathfrak{S}$  whose elements act as a model for graded groups. Then we show that for any element  $(\dot{G}, \dot{\phi}) \in \mathfrak{S}$ , the Weyl group of  $\Delta$  is a subquotient group  $\dot{G}$ . In §2, we define groups graded by finite root systems and prove Theorem (2.3). We show Theorems (3.2) and (3.3) in §3.

**CONVENTIONS.** In a group  $G$ , write  $a^b := bab^{-1}$  and the commutator  $(a, b) := aba^{-1}b^{-1}$ , and denote by  $\text{Int } b$  the conjugation by  $b$ , i.e.  $\text{Int } b.a := a^b$ . Write  $H < G$  if  $H$  is a subgroup of  $G$ .  $\langle \cdots \rangle$  means a (sub)group generated by  $\cdots$ .

The following formulas on commutators will be used later on.

$$(0.1) \quad (a, b) = (b, a)^{-1}$$

$$(0.2) \quad (ab, c) = (b, c)^a(a, c) \\ (a, bc) = (a, b)(a, c)^b$$

$$(0.3) \quad (ab, cd) = (b, c)^a(b, d)^{ac}(a, c)(a, d)^c$$

$$(0.4) \quad (a^c, (b, c))(c^b, (a, b))(b^a, (c, a)) = 1$$

$$(0.5) \quad (a, (b, c)) = ((a, b), c), \quad \text{if } (a, c) = 1, ((a, b), (b, c)) = 1, \text{ and } ((b, c), c) = 1.$$

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**1. Preliminaries.** In §1 and §2, we assume that  $\Delta$  is a finite indecomposable

simply-laced root system of rank  $l \geq 3$ , i.e.  $\Delta$  is of Type  $A_l$ ,  $l \geq 3$ ,  $D_l$ ,  $l \geq 4$ ,  $E_6$ ,  $E_7$ , or  $E_8$ . Let  $Q$  be the root lattice spanned by  $\Delta$ . The Weyl group invariant bilinear form on  $Q$ , so normalized that  $(\alpha|\alpha) = 2$  for all  $\alpha \in \Delta$ , will be denoted by  $(\cdot|\cdot)$ . This form is positive definite. If  $\alpha, \beta \in \Delta$ , then  $(\alpha|\beta)$  takes the values  $\pm 2$ ,  $\pm 1$  and  $0$ , respectively, if and only if  $\alpha = \pm\beta$ ,  $\alpha \mp \beta \in \Delta$  and  $\alpha \pm \beta \notin \Delta \cup \{0\}$ , respectively. For each root  $\alpha \in \Delta$ , the reflection in  $\alpha$  is the linear map  $r_\alpha: \lambda \mapsto \lambda - (\lambda|\alpha)\alpha$  on  $Q$ . Then the Weyl group, denoted by  $W$ , is generated by all the reflections  $r_\alpha$ . In particular,  $W$  is generated by all the simple reflections  $r_{\alpha_i}$  where  $\Pi := \{\alpha_1, \dots, \alpha_l\}$  is a base for the root system  $\Delta$ .

Let  $\mathfrak{g}$  be the simple Lie algebra over the complex field  $\mathbb{C}$  associated with  $\Delta$  and  $\mathfrak{h}$  a Cartan subalgebra of  $\mathfrak{g}$ . Then

$$\mathfrak{g} = \bigoplus_{\alpha \in Q} \mathfrak{g}^\alpha,$$

where  $\mathfrak{g}^\alpha = \{x \in \mathfrak{g} \mid [h, x] = \alpha(h)x, \text{ for all } h \in \mathfrak{h} = \mathfrak{g}^0\}$  and  $\mathfrak{g}^\alpha \neq (0)$  if and only if  $\alpha \in \Delta \cup \{0\}$ .

Let  $\{E_\alpha, H_i: \alpha \in \Delta, i = 1, \dots, l\}$  be a Chevalley basis of  $\mathfrak{g}$  (see [8, §1]). If  $\alpha, \beta, \alpha + \beta \in \Delta$ , then  $[E_\alpha, E_\beta] = c_{\alpha,\beta}E_{\alpha+\beta}$  for some  $c_{\alpha,\beta} \in \{\pm 1\}$ . From the skew-symmetry of the Lie bracket  $[\cdot, \cdot]$  and the application of the canonical anti-involution of  $\mathfrak{g}$ , we have formulas

$$(1.1) \quad c_{\alpha,\beta} = -c_{\beta,\alpha},$$

$$(1.2) \quad c_{\alpha,\beta} = -c_{-\alpha,-\beta}.$$

We will see and use more formulas about  $c_{\alpha,\beta}$ 's later on. We fix a choice of a Chevalley basis throughout this paper. In the case  $\Delta = A_l$ , a Chevalley basis is chosen as in the following example.

(1.3) EXAMPLE. The description of the root system  $\Delta$  of Type  $A_l$  is

$$\{\varepsilon_i - \varepsilon_j \mid 1 \leq i, j \leq l+1\},$$

where  $\{\varepsilon_1, \dots, \varepsilon_{l+1}\}$  is an orthonormal basis of  $\mathbb{R}^{l+1}$ . Let  $\Pi = \{\alpha_i: i = 1, \dots, l\}$  be a base for  $\Delta$  with  $\alpha_i := \varepsilon_i - \varepsilon_{i+1}$ . The Weyl group is the symmetric group  $S_{l+1}$ . The corresponding simple Lie algebra  $\mathfrak{g}$  is  $\mathfrak{sl}_{l+1}(\mathbb{C})$ . The set  $\{E_{ij}, i \neq j; H_i = E_{ii} - E_{i+1, i+1}, i = 1, \dots, l\}$  is a Chevalley basis of  $\mathfrak{sl}_{l+1}(\mathbb{C})$  where  $E_{ij}$  are the standard matrix units.

Now we give Definition (1.4) and Lemma (1.5) which are taken from [1].

(1.4) DEFINITION. An ordered pair  $(\beta, \gamma) \in \Delta \times \Delta$  is an  $A_2$ -pair if  $(\beta|\gamma) = -1$ . Thus  $(\beta, \gamma)$  is an  $A_2$ -pair if and only if it is a base for an  $A_2$  subroot system of  $\Delta$ . Two  $A_2$ -pairs  $(\beta, \gamma)$ ,  $(\beta', \gamma')$  are *equivalent*, and written  $(\beta, \gamma) \sim (\beta', \gamma')$ , if there is an element  $w$  of the Weyl group  $W$  of  $\Delta$  such that  $\beta' = w\beta$ ,  $\gamma' = w\gamma$ . The equivalence class of  $(\beta, \gamma)$  is denoted by  $[(\beta, \gamma)]$ . Also an ordered triple  $(\alpha, \beta, \gamma)$  is called an  $A_3$ -triple, if  $\{\alpha, \beta, \gamma\}$  forms a base of an  $A_3$  root system such that  $(\alpha|\beta) = (\beta|\gamma) = -1$ , and  $(\alpha|\gamma) = 0$ . We define an ordered quadruple  $(\alpha, \beta, \gamma, \delta)$  to be  $A_4$ -quadruple in a similar way.

(1.5) LEMMA. (i) If  $\Delta$  is of Type  $D_1$  or  $E_1$  then there is only one equivalence class of  $A_2$ -pairs.

(ii) If  $\Delta$  is of Type  $A_1$  there are exactly two equivalence classes of  $A_2$ -pairs, which are (cf. Example (1.3))

$$\begin{aligned} [(\alpha_1, \alpha_2)] &= \{(\varepsilon_i - \varepsilon_j, \varepsilon_j - \varepsilon_k) \mid i, j, k \text{ distinct}\}, \\ [(\alpha_2, \alpha_1)] &= \{(\varepsilon_i - \varepsilon_j, \varepsilon_k - \varepsilon_i) \mid i, j, k \text{ distinct}\}. \end{aligned}$$

We call  $[(\alpha_1, \alpha_2)]$  the class of positive  $A_2$ -pairs. Furthermore if  $(\beta, \gamma)$  is an  $A_2$ -pair then

$$(\beta, \gamma) \sim (-\gamma, -\beta), \quad (\beta, \gamma) \not\sim (\gamma, \beta).$$

(iii) In all cases if  $(\beta, \gamma)$  and  $(\gamma, \delta)$  are  $A_2$ -pairs with  $(\beta \mid \delta) = 0$  then

$$(\beta, \gamma) \sim (\gamma, \delta) \sim (\beta, \gamma + \delta) \sim (\beta + \gamma, \delta).$$

The unique equivalence class of  $A_2$ -pair for  $\Delta$  of Types  $D_1, E_1$  are said to be *positive*.

(1.6) DEFINITION. Assume  $R$  is an associative ring with the identity 1. In the cases where the root system  $\Delta$  is of Type  $D_1$  or  $E_1$ ,  $R$  is further assumed to be commutative. The *Steinberg group* is the abstract group with the following presentation:

generators:  $\hat{x}_\alpha(r)$ ;  $\alpha \in \Delta, r \in R$ .

relations:

$$(R1) \quad \hat{x}_\alpha(r)\hat{x}_\alpha(s) = \hat{x}_\alpha(r+s),$$

$$(R2) \quad (\hat{x}_\alpha(r), \hat{x}_\beta(s)) = \begin{cases} 1, & \text{if } \alpha + \beta \notin \Delta \cup \{0\}, \\ \hat{x}_{\alpha+\beta}(c_{\alpha,\beta}rs), & \text{if } (\alpha, \beta) \text{ is a positive } A_2\text{-pair,} \end{cases}$$

where  $c_{\alpha,\beta}$  is given by a fixed Chevalley basis.

(1.7) REMARKS. (i) The above definition is the same as that in [4], [5], [7], or [8].

(ii) Although  $c_{\alpha,\beta}$  depends on the choice of a Chevalley basis, the Steinberg groups do not (up to isomorphism).

For  $\alpha \in \Delta$  and  $u \in R^\times$  (the units group of  $R$ ), let

$$\hat{n}_\alpha(u) := \hat{x}_\alpha(u)\hat{x}_{-\alpha}(-u^{-1})\hat{x}_\alpha(u), \quad \hat{h}_\alpha(u) := \hat{n}_\alpha(u)\hat{n}_\alpha(-1).$$

Then from [4], [5], [7] and [8], we have

$$(R3) \quad \hat{n}_\alpha(u)\hat{x}_\beta(r)\hat{n}_\alpha(u)^{-1} = \hat{x}_{r\alpha\beta}(\eta u^{-(\beta|\alpha)}r),$$

$$(R4) \quad \hat{n}_\alpha(u)\hat{n}_\beta(v)\hat{n}_\alpha(u)^{-1} = \hat{n}_{r\alpha\beta}(\eta u^{-(\beta|\alpha)}v); \quad n_\alpha(u) = n_{-\alpha}(-u^{-1}),$$

$$(R5) \quad \hat{n}_\alpha(u)\hat{h}_\beta(v)\hat{n}_\alpha(u)^{-1} = \hat{h}_{r\alpha\beta}(\eta u^{-(\beta|\alpha)}v)\hat{h}_{r\alpha\beta}(\eta u^{-(\beta|\alpha)})^{-1},$$

$$(R6) \quad \hat{h}_\alpha(u)\hat{x}_\beta(r)\hat{h}_\alpha(u)^{-1} = \hat{x}_\beta(u^{(\beta|\alpha)}r),$$

$$(R7) \quad \hat{h}_\alpha(u)\hat{n}_\beta(v)\hat{h}_\alpha(u)^{-1} = \hat{n}_\beta(u^{(\beta|\alpha)}v); \quad \hat{h}_\alpha(u)^{-1} = \hat{h}_{-\alpha}(u),$$

$$(R8) \quad \hat{h}_\alpha(u)\hat{h}_\beta(v)\hat{h}_\alpha(u)^{-1} = \hat{h}_\beta(u^{(\beta|\alpha)}v)\hat{h}_\beta(u^{(\beta|\alpha)})^{-1},$$

where  $u, v \in R^\times$ ;  $r \in R$  and the elements in  $R$  appearing commute with each other, and

where  $\alpha, \beta \in \Delta$  and  $\eta = \eta(\alpha, \beta)$  is such that

$$\eta(\alpha, \beta) = \begin{cases} c_{\alpha, \pm\beta}, & \text{if } (\alpha | \beta) = \mp 1, \\ -1, & \text{if } (\alpha | \beta) = \pm 2, \\ 1, & \text{if } (\alpha | \beta) = 0. \end{cases}$$

The following are important subgroups of  $\text{St}(\Delta, R)$ .

$$\hat{N} := \langle \hat{n}_\alpha(u) \mid u \in R^\times, \alpha \in \Delta \rangle$$

$$\hat{H} := \langle \hat{h}_\alpha(u) \mid u \in R^\times, \alpha \in \Delta \rangle$$

$$\chi^\alpha := \langle \hat{x}_\alpha(r) \mid r \in R \rangle$$

$$\chi^\pm := \chi^\pm(\Delta_+) := \langle \chi^\alpha \mid \alpha \in \Delta_\pm \rangle \quad \text{for a positive system } \Delta_+.$$

Let  $\mathbf{K}$  be any commutative ring. We consider the Steinberg group  $\text{St}(\Delta, \mathbf{K})$  in the rest of this section.

Take a pair  $(G, \phi)$  where  $\phi$  is a surjective homomorphism from  $\text{St}(\Delta, \mathbf{K})$  onto a group  $G$  and  $\phi|_{\chi^+}$  is one-to-one for some positive system  $\Delta_+$ . Let  $\mathfrak{S} = \mathfrak{S}(\Delta, \mathbf{K})$  be the collection of all such pairs.

For  $(G, \phi) \in \mathfrak{S}$ , denote  $\phi(\hat{x}_\alpha(a))$ ,  $\phi(\hat{n}_\alpha(u))$ ,  $\phi(\hat{h}_\alpha(u))$ ,  $\phi(\hat{N})$ ,  $\phi(\hat{H})$  by  $x_\alpha(a)$ ,  $n_\alpha(u)$ ,  $h_\alpha(u)$ ,  $N$ ,  $H$ , respectively. Denote  $G^\alpha = \phi(\chi^\alpha)$ ,  $G^\pm = \phi(\chi^\pm)$ . From (R3) and (R6), we have

$$(1.8) \quad n_\alpha(u)G^\beta n_\alpha(u)^{-1} = G^{r_\alpha\beta}.$$

$$(1.9) \quad h_\alpha(u)G^\beta h_\alpha(u)^{-1} = G^\beta.$$

(1.10) LEMMA. *Let  $(G, \phi) \in \mathfrak{S}$ . The restriction of  $\phi$  to  $\tilde{\chi}^+ := \chi^+(\tilde{\Delta}_+)$  relative to any positive system  $\tilde{\Delta}_+$  is one-to-one.*

PROOF. By definition, there is a positive system  $\Delta_+$  of  $\Delta$  such that  $\phi$  is one-to-one on  $\chi^+$  which corresponds to  $\Delta_+$ . Suppose  $\tilde{\Delta}_+$  is another positive system of  $\Delta$ . We need to show that  $\phi$  is one-to-one on  $\tilde{\chi}^+$  which corresponds to  $\tilde{\Delta}_+$ . Recall that there is an element  $w \in W$ , such that  $w(\Delta_+) = \tilde{\Delta}_+$  (cf. [3]). Take a preimage  $\hat{n} \in \hat{N}$  of  $w$ . Then  $\hat{n}\hat{x}\hat{n}^{-1} \in \tilde{\chi}^+$ , for any  $\hat{x} \in \chi^+$ . Now the lemma follows from the fact that  $\phi(\hat{x}) = 1$  if and only if  $\phi(\hat{n}\hat{x}\hat{n}^{-1}) = 1$ .  $\square$

Recall that in the Steinberg group  $\text{St}(\Delta, R)$ ,  $\chi^+ := \chi^+(\Delta_+)$  has a unique decomposition  $\chi^+ = \prod_{\alpha \in \Delta_+} \chi^\alpha$ , for an arbitrarily chosen linear order on  $\Delta_+$  and each  $\chi^\alpha$  is isomorphic to the additive group  $(R, +)$  (cf. [4] and [8]). Then in the case  $R = \mathbf{K}$ , these facts can be passed onto  $G^+$  for  $(G, \phi) \in \mathfrak{S}$ . Since the Weyl group  $W$  of  $\Delta$  is a Coxeter group, the map

$$(1.11) \quad r_\alpha \mapsto \hat{n}_\alpha(u)\hat{H}$$

defines a homomorphism from  $W$  onto  $\hat{N}/\hat{H}$ . Moreover this is an isomorphism. By means of it we will identify these two groups.

(1.12) LEMMA. *Let  $(G, \phi) \in \mathfrak{S}$ . If  $\alpha \neq \beta$ , then  $G^\alpha \cap G^\beta = 1$ .*

PROOF. Choose a positive system  $\Delta_+$  for  $\Delta$  for which  $\alpha$  is simple. If  $\beta \in \Delta_+$ , then we are done by Lemma (1.10). If  $\beta \in \Delta_- \setminus \{-\alpha\}$ , then  $\alpha, \beta$  are in the positive system  $r_\alpha(-\Delta_+)$  and we are done too. It remains to show  $G^\alpha \cap G^{-\alpha} = 1$ . Since  $\chi^\alpha$  is isomorphic to the additive group  $(\mathbb{R}, +)$ , we have  $x_\alpha(r) = 1$  if and only if  $r = 0$ . Suppose  $x_\alpha(r) = x_{-\alpha}(s)$ . Take  $\gamma \in \Delta$  with  $(\alpha | \gamma) = -1$ . Then  $1 = (x_{-\alpha}(s), x_\gamma(1)) = (x_\alpha(r), x_\gamma(1)) = x_{\alpha+\gamma}(c_{\alpha,\gamma}r)$  by (R2), and hence  $r = 0$ , and so  $s = 0$ .  $\square$

(1.13) LEMMA. *Let  $(G, \phi) \in \mathfrak{S}$ , and we keep the above notation. Then  $H \triangleleft N$ , and  $N/H$  is isomorphic to the Weyl group  $W$ .*

PROOF. Since  $\hat{H} \triangleleft \hat{N}$ , we have  $H \triangleleft N$ . There is a homomorphism  $\psi: \hat{N}/\hat{H} \rightarrow N/H$  which factors through the composite map  $\hat{N} \xrightarrow{\phi} N \rightarrow N/H$ . Clearly,  $\psi$  is surjective and  $\psi(\hat{n}_\alpha(r)\hat{H}) = n_\alpha(r)H$ . View  $\psi$  as the map from  $W$  onto  $N/H$ . Suppose  $\psi(w) = \bar{1} = H$  for  $w \in W$ . Express  $w = r_{\beta_1} r_{\beta_2} \cdots r_{\beta_k}$  as a product of reflections. Then  $\psi(\hat{n}_{\beta_1}(1)\hat{n}_{\beta_2}(1) \cdots \hat{n}_{\beta_k}(1)\hat{H}) = \bar{1}$ . So,  $h := n_{\beta_1}(1)n_{\beta_2}(1) \cdots n_{\beta_k}(1) \in H$ . And by (1.9) and (1.8),

$$G^\alpha = hG^\alpha h^{-1} = n_{\beta_1}(1)n_{\beta_2}(1) \cdots n_{\beta_k}(1)G^\alpha(n_{\beta_1}(1)n_{\beta_2}(1) \cdots n_{\beta_k}(1))^{-1} = G^{w\alpha}.$$

So  $G^{w\alpha} = G^\alpha$ , for each  $\alpha \in \Delta$ . Then  $w\alpha = \alpha$  by the above lemma. Then  $w = 1$ , hence  $\psi$  is an injection and an isomorphism.  $\square$

In the Steinberg group  $\text{St}(\Delta, \mathbf{K})$ , let

$$(1.14) \quad \hat{N}_0 := \langle \hat{n}_\alpha(1) \mid \alpha \in \Delta \rangle,$$

$$(1.15) \quad \hat{H}_0 := \langle \hat{h}_\alpha(-1) \mid \alpha \in \Delta \rangle.$$

(1.16) LEMMA. *Let  $(G, \phi) \in \mathfrak{S}$  and  $N_0 := \phi(\hat{N}_0)$ ,  $H_0 := \phi(\hat{H}_0)$ . Then  $\hat{N}_0/\hat{H}_0 \cong N_0/H_0 \cong W$ .*

PROOF.  $\hat{H}_0$  and  $H_0$  are normal subgroups of  $\hat{N}_0$  and  $N_0$ , respectively, by (R5). As in (1.11),  $r_\alpha \mapsto \hat{n}_\alpha(u)\hat{H}_0 \mapsto n_\alpha(u)H_0$  defines a homomorphism from  $W$  onto  $N_0/H_0$ . It is an isomorphism by the same proof as that of Lemma (1.13).  $\square$

$$(1.17) \quad \text{COROLLARY.} \quad \hat{H}_0 = \hat{N}_0 \cap \hat{H}.$$

PROOF. By the second isomorphism theorem of groups, we have

$$\hat{N}_0/(\hat{N}_0 \cap \hat{H}) \cong \hat{N}_0\hat{H}/\hat{H} = \hat{N}/\hat{H} \cong W \cong \hat{N}_0/\hat{H}_0.$$

Since  $\hat{H}_0 \subseteq \hat{N}_0 \cap \hat{H}$ , we have  $\hat{H}_0 = \hat{N}_0 \cap \hat{H}$ .  $\square$

**2. Groups graded by finite root systems.** Let  $\mathbf{K}$  be a commutative ring. We maintain all previous notation and terminology for  $\mathfrak{S} = \mathfrak{S}(\Delta, \mathbf{K})$  and elements in  $\mathfrak{S}$  (usually with overdots).

(2.1) DEFINITION. A group  $G$  is said to be *graded* by a (finite) root system  $\Delta$  (of Type  $A_l$ ,  $l \geq 3$ ;  $D_l$ ,  $l \geq 4$ ; or  $E_6, E_7, E_8$ ) or  $\Delta$ -graded if there are subgroups  $G^\alpha$ , for all  $\alpha \in \Delta$  and an element  $(\dot{G}, \dot{\phi}) \in \mathfrak{S}$  such that

$$(Gr1) \quad G = \langle G^\alpha \mid \alpha \in \Delta \rangle,$$

$$(Gr2) \quad \dot{G}^\alpha \subseteq G^\alpha, \text{ for } \alpha \in \Delta,$$

$$(Gr3) \quad (G^\alpha, G^\beta) \subseteq \begin{cases} \{1\}, & \text{if } \alpha + \beta \notin \Delta \cup \{0\}, \\ G^{\alpha+\beta}, & \text{if } \alpha + \beta \in \Delta, \end{cases}$$

$$(Gr4) \quad G^\alpha \cap G^\beta = \{1\} \text{ if } \alpha \neq \beta,$$

$$(Gr5) \quad n_\alpha(1)G^\beta n_\alpha(1)^{-1} = G^{r_{\alpha}\beta}, \text{ for } \alpha, \beta \in \Delta \text{ with } (\alpha \mid \beta) = -1,$$

where  $n_\alpha(1) = \dot{\phi}(\dot{n}_\alpha(1)) \in \dot{G}$ .

(2.2) EXAMPLE. Let  $R$  be an associative ring with the identity 1. When the root system  $\Delta$  is of Type  $D_l$  or  $E_l$ , assume further that  $R$  is commutative. Then  $\text{St}(\Delta, R)$  and the Chevalley group are  $\Delta$ -graded.

Our main result (see the restatement at the end of this section) is:

(2.3) THEOREM. *Let  $G$  be a group graded by  $\Delta$ . Then there is an associative ring  $R$  with 1, containing  $\mathbf{K}$  as a subring, such that  $G$  is a homomorphic image of the Steinberg group  $\text{St}(\Delta, R)$ . Moreover,  $R$  is commutative if  $\Delta$  is of Type  $D_l$  or  $E_l$ .*

An outline of the proof is as follows. Fix a root  $\alpha \in \Delta$ . Then  $G^\alpha$  is abelian. Let  $R = G^\alpha$ , so  $R$  has an additive structure. For  $r \in R$ , write  $x_\alpha(r)$  to be the corresponding element in  $G^\alpha$ . Elements  $x_\beta(r)$  for other roots  $\beta$ , can be defined since  $G^\alpha$  and  $G^\beta$  are isomorphic as abelian groups. The multiplication in  $R$  comes from the commutator relations (R2) and (Gr3). Such process will make  $\{x_\beta(r), \beta \in \Delta, r \in R\}$  satisfy the relations (R1) and (R2). Then (Gr1) makes sure that  $G$  is a homomorphic image of  $\text{St}(\Delta, R)$ .

For each root  $\alpha \in \Delta$ , take a set  $A^\alpha$  having the same cardinality as  $G^\alpha$ . Fix a bijective map  $\log_\alpha$  from  $G^\alpha$  onto  $A^\alpha$ . By (Gr3),  $G^\alpha$  is abelian, thus  $A^\alpha$  carries an additive abelian group structure by making  $\log_\alpha$  into an isomorphism. So, for  $x, y \in G^\alpha$ ,  $0 := \log_\alpha 1$ ,  $\log_\alpha x + \log_\alpha y := \log_\alpha xy$ . Let

$$1_\alpha := \log_\alpha x_\alpha(1),$$

where  $x_\alpha(1) = \dot{\phi}(\dot{x}_\alpha(1)) \in \dot{G}^\alpha$ .

Set  $\dot{N}_0 = \dot{\phi}(\dot{N}_0)$ ,  $\dot{H}_0 = \dot{\phi}(\dot{H}_0)$  (see (1.13) and (1.14)). By Lemma (1.16), the map  $n_\alpha(u)\dot{H}_0 \mapsto r_\alpha$ , gives an isomorphism from  $\dot{N}_0/\dot{H}_0$  onto  $W$ . Let  $\pi$  be the composite map from  $\dot{N}_0 \rightarrow \dot{N}_0/\dot{H}_0 \rightarrow W$ .

(2.4) LEMMA. *Let  $\alpha, \beta \in \Delta$ . Then*

$$(i) \quad G^{\alpha+\beta} = (G^\alpha, G^\beta), \text{ if } (\alpha \mid \beta) = -1.$$

$$(ii) \quad nG^\beta n^{-1} = G^{w\beta}, \text{ where } n \in \dot{N}_0 \text{ with } \pi(n) = w \in W.$$

$$(iii) \quad h_\alpha(-1)xh_\alpha(-1)^{-1} = x^{(-1)^{(\alpha \mid \beta)}} \text{ for } x \in G^\beta.$$

PROOF. In this proof, (Gr3) is widely used. Suppose  $(\alpha \mid \beta) = -1$ , i.e.  $\alpha + \beta \in \Delta$

and  $x \in G^\beta$ . Since  $n_\alpha(1) = n_{-\alpha}(-1) = x_{-\alpha}(-1)x_\alpha(1)x_{-\alpha}(-1)$ , so by (Gr3),

$$x^{n_\alpha(1)} = x^{n_{-\alpha}(-1)} = x(x_{-\alpha}(-1), (x_\alpha(1), x))(x_\alpha(1), x).$$

The right hand side belongs to  $G_{\alpha+\beta}$  by (Gr5). By (Gr4),

$$(2.5) \quad x(x_{-\alpha}(-1), (x_\alpha(1), x)) = 1,$$

$$(2.6) \quad x^{n_\alpha(1)} = (x_\alpha(1), x).$$

(2.5) implies  $x \in (G^{\alpha+\beta}, G^{-\alpha})$ . This proves (i).

Similarly, we have  $x^{n_\alpha(-1)} = x^{n_{-\alpha}(1)} = x(x_{-\alpha}(1), (x_\alpha(-1), x))(x_\alpha(-1), x)$ . Applying (Gr3) to this equality, we get

$$(2.5') \quad x(x_{-\alpha}(1), (x_\alpha(-1), x)) = 1,$$

$$(2.6') \quad x^{n_\alpha(-1)} = (x_\alpha(-1), x).$$

So  $n_\alpha(-1)G^\beta n_\alpha(1) \subset G^{\alpha+\beta}$ . Putting this together with (Gr5), we get  $n_\alpha(\varepsilon)G^\beta n_\alpha(-\varepsilon) = G^{r_\alpha \beta}$  for all  $\alpha, \beta \in \Delta$  with  $(\alpha | \beta) = \pm 1$  and  $\varepsilon = \pm 1$ . Hence to show (ii), it suffices to show  $n_\beta(\varepsilon)G^\beta n_\beta(-\varepsilon) = G^{-\beta}$  for  $\varepsilon = \pm 1$ . By (i),  $G^\beta = (G^{\alpha+\beta}, G^{-\alpha})$  for some  $\alpha \in \Delta$ . Then applying the conjugation with respect to  $n_\beta(\varepsilon)$  we get the result.

(iii) holds if  $(\alpha | \beta) = 0$ . Suppose  $(\alpha | \beta) = -1$  and  $x \in G^\beta$ . So by (2.6') and (2.5')  $x^{h_\alpha(-1)} = x^{n_\alpha(-1)n_\alpha(-1)} = (x_\alpha(-1), x)^{n_\alpha(-1)} = (x_{-\alpha}(1), x^{n_\alpha(-1)}) = (x_{-\alpha}(1), (x_\alpha(-1), x)) = x^{-1}$ . Since  $n_\alpha(\varepsilon) = n_{-\alpha}(-\varepsilon)$  by (R4) and  $h_\alpha(\varepsilon) = h_{-\alpha}(\varepsilon)^{-1}$  by (R7) for  $\varepsilon = \pm 1$ , (iii) holds for  $(\alpha | \beta) = \pm 1$ . Finally it suffices to prove  $x^{h_\beta(-1)} = x$  for  $x \in G^\beta$ . Take  $\alpha \in \Delta$  such that  $\alpha + \beta \in \Delta$ . Then by (2.5) and the above step, we have

$$\begin{aligned} x^{h_\beta(-1)} &= ((x_\alpha(1), x), x_{-\alpha}(-1))^{h_\beta(-1)} = ((x_\alpha(1), x)^{-1}, x_{-\alpha}(-1)^{-1}) \\ &= ((x_\alpha(1), x), x_{-\alpha}(-1)) = x, \end{aligned}$$

where the second last equality follows from the identity  $(y, z) = (y^{-1}, z^{-1})$  for  $y \in G^\gamma$  and  $z \in G^\delta$  with  $(\gamma | \delta) = -1$ .  $\square$

For any  $\alpha \in \Delta$ , let  $W^\alpha$  be the stabilizer of  $\alpha$  in  $W$  and  $\dot{N}_0^\alpha := \pi^{-1}(W^\alpha)$ . Then  $W^\alpha = \langle r_\beta | \beta \in \Delta, (\beta | \alpha) = 0 \rangle$  and

$$(2.7) \quad \dot{N}_0^\alpha = \langle n_\beta(1) | \beta \in \Delta, (\beta | \alpha) = 0 \rangle \cdot \dot{H}_0.$$

Take  $n \in \dot{N}_0$ . If  $n = \prod_{i=1}^k n_{\beta_i}(\varepsilon_i)$  and  $w = \pi(n)$ ,  $\varepsilon_i = \pm 1$ , then from (R3) we have

$$(2.8) \quad nx_\alpha(1)n^{-1} = \text{Int } n \cdot x_\alpha(1) = x_{w\alpha}(\varepsilon) \quad \text{for some } \varepsilon = \varepsilon(n, \alpha) \in \{\pm 1\}.$$

Since  $x_\beta(r) = 1$  in  $\dot{G}$  for  $r \in K$  implies  $r = 0$ ,  $\varepsilon$  is uniquely determined by  $n$  and  $\alpha$ .

For any  $\alpha, \beta \in \Delta$ , choose an element  $n \in \dot{N}_0$  such that  $\pi(n)\alpha = \beta$ . Assume that  $\varepsilon$  is uniquely given by  $n$  and  $\alpha$  according to (2.8). Define

$$(2.9) \quad \lambda_{\beta, \alpha} := \varepsilon^{-1} \log_\beta \cdot \text{Int } n \cdot \log_\alpha^{-1}.$$

Then by Lemma (2.4ii),  $\lambda_{\beta,\alpha}$  is an isomorphism from  $A^\alpha$  onto  $A^\beta$  such that the diagram

$$(2.10) \quad \begin{array}{ccc} G^\alpha & \xrightarrow{\text{Int } n} & G^\beta \\ \log_\alpha \downarrow & & \downarrow \varepsilon^{-1} \log_\beta \\ A^\alpha & \xrightarrow{\lambda_{\beta,\alpha}} & A^\beta \end{array}$$

commutes.

(2.11) LEMMA. For any  $\alpha, \beta \in \Delta$ , there is a unique isomorphism  $\lambda_{\beta,\alpha}$  from  $A^\alpha$  to  $A^\beta$ , given by (2.9). In other words,  $\lambda_{\beta,\alpha}$  is independent of the choice of  $n \in \dot{N}_0$ .

PROOF. Let  $n' \in \dot{N}_0$  be another element with  $\pi(n')\alpha = \beta$ , and  $\varepsilon'$  be determined by  $n'$  as in (2.8). Note that  $\pi(n^{-1}n')\alpha = \alpha$ , and hence  $\pi(n^{-1}n') \in W^\alpha$ . So,  $n^{-1}n' \in \dot{N}_0^\alpha$ . Hence by (2.7) there are  $n'' \in \langle n_\gamma(1) \mid \gamma \in \Delta, (\gamma \mid \alpha) = 0 \rangle$  and  $h \in \dot{H}_0$  such that  $n^{-1}n' = n''h$ . By Lemma (2.4ii), there is  $c \in \{\pm 1\}$ , satisfying  $\text{Int } h \cdot x = x^c$  for any  $x \in G^\alpha$ . Note that  $\text{Int } n'' = 1$  on  $G^\alpha$ , since  $n''$  commutes with  $G^\alpha$  by (Gr3). Thus for any  $r \in A^\alpha$ ,

$$\begin{aligned} \lambda'_{\beta,\alpha}(r) &:= \varepsilon'^{-1} \log_\beta \cdot \text{Int } n' \cdot \log_\alpha^{-1}(r) = \varepsilon'^{-1} \log_\beta \cdot \text{Int } n \cdot \text{Int } n'' \cdot \text{Int } h \cdot \log_\alpha^{-1}(r) \\ &= \varepsilon'^{-1} \log_\beta \cdot \text{Int } n \cdot (\log_\alpha^{-1}(r))^c = \varepsilon'^{-1} \varepsilon c \lambda_{\beta,\alpha}(r). \end{aligned}$$

Now  $\lambda'_{\beta,\alpha}(1_\alpha) = \varepsilon'^{-1} \log_\beta \cdot \text{Int } n' \cdot x_\alpha(1) = \log_\beta \cdot x_\beta(1) = 1_\beta$ . Also  $\lambda_{\beta,\alpha}(1_\alpha) = 1_\beta$ . Thus  $\varepsilon'^{-1} \varepsilon c = 1$ , and hence  $\lambda'_{\beta,\alpha} = \lambda_{\beta,\alpha}$ .  $\square$

Note that the sign  $\varepsilon^{-1}$  in (2.9) is also uniquely determined by the fact  $\lambda_{\beta,\alpha}(1_\alpha) = 1_\beta$ . The following corollary is a direct consequence of the above lemma.

$$(2.12) \quad \text{COROLLARY. (i) } \lambda_{\alpha,\beta} = \lambda_{\beta,\alpha}^{-1}, \quad \text{(ii) } \lambda_{\gamma,\beta} \lambda_{\beta,\alpha} = \lambda_{\gamma,\alpha}, \quad \text{(iii) } \lambda_{\alpha,\alpha} = \text{Id}.$$

PROOF. The maps on both sides of all three equalities are of the form as in (2.9) with possible different signs. The result follows from the application on  $1_\alpha$ .  $\square$

Now let us fix a root  $\alpha \in \Delta$ . Let  $R := A^\alpha$ . Since for each  $\beta \in \Delta$ , the map  $t \mapsto x_\beta(t) \in \dot{G}^\beta \subseteq G^\beta$  is an injection from  $(\mathbf{K}, +)$  into  $G^\beta$ , the map  $\iota_\beta$  defined by  $t \mapsto x_\beta(t) \mapsto \log_\beta x_\beta(t)$  is an injection from  $(\mathbf{K}, +)$  into  $A^\beta$ . We identify  $\mathbf{K}$  with its image inside  $A^\beta$  via  $\iota_\beta$ . Then

$$(2.13) \quad \lambda_{\beta,\alpha} \big|_{\mathbf{K}} = \text{Id}.$$

In fact, for any  $t \in \mathbf{K}$ ,

$$t \mapsto \iota_\alpha(t) = \log_\alpha x_\alpha(t) \xrightarrow{\lambda_{\beta,\alpha}} \varepsilon^{-1} \log_\beta \cdot \text{Int } n \cdot x_\alpha(t) = \varepsilon^{-1} \log_\beta x_\beta(\varepsilon t) = \iota_\beta(t) \longleftarrow t,$$

since  $n x_\alpha(t) n^{-1} = x_\beta(\varepsilon t)$ , where  $n \in \dot{N}_0$  is so chosen that  $\pi(n)\alpha = \beta$  and  $\varepsilon$  is determined by  $n$  in (2.8).

For any  $r \in R$ , let (for the fixed root  $\alpha$ )

$$(2.14) \quad x_\alpha(r) := \log_\alpha^{-1} r$$

and for any root  $\beta$ ,

$$(2.15) \quad x_\beta(r) := \log_\beta^{-1}(\lambda_{\beta,\alpha}(r)) .$$

Since  $\lambda_{\alpha,\alpha} = \text{Id}$ , the definition of  $x_\beta(r)$  is consistent with the cases where  $\beta = \alpha$  and  $r \in \mathbf{K}$ . Then

$$\begin{aligned} x_\beta(r+s) &= \log_\beta^{-1}(\lambda_{\beta,\alpha}(r+s)) = \log_\beta^{-1}(\lambda_{\beta,\alpha}(r) + \lambda_{\beta,\alpha}(s)) \\ &= \log_\beta^{-1}(\lambda_{\beta,\alpha}(r)) \cdot \log_\beta^{-1}(\lambda_{\beta,\alpha}(s)) = x_\beta(r)x_\beta(s) , \end{aligned}$$

that is,

$$(2.16) \quad x_\beta(r+s) = x_\beta(r)x_\beta(s) .$$

Consequently  $x_\beta(-r) = x_\beta(r)^{-1}$ .

We are ready to define a multiplication for  $R$ . For any given  $A_2$ -pair  $(\beta, \gamma)$ , define a multiplication  $m_{(\beta,\gamma)}: R \times R \rightarrow R$  on  $R$  by

$$(2.17) \quad (x_\beta(r), x_\gamma(s)) = x_{\beta+\gamma}(c_{\beta,\gamma} m_{(\beta,\gamma)}(r, s)) .$$

This definition is motivated by (R2). Note that  $m_{(\beta,\gamma)}$  restricted to  $\mathbf{K} \times \mathbf{K}$  is the usual multiplication in  $\mathbf{K}$ .

(2.18) LEMMA. *Let  $(\beta, \gamma)$  be an  $A_2$ -pair. Take  $n \in \dot{N}_0$  so that  $\pi(\beta) = \gamma$ . Let  $\varepsilon = \varepsilon(n, \beta)$  be determined by  $n$  as in (2.8). Then for  $r \in R$ ,*

$$nx_\beta(r)n^{-1} = x_\gamma(\varepsilon r) .$$

PROOF. Note both  $x_\gamma(\varepsilon r)$  and  $nx_\beta(r)n^{-1}$  are in  $G^\gamma$  by (R3). Then we have

$$\begin{aligned} nx_\beta(r)n^{-1} &= \text{Int } n \cdot \log_\beta^{-1} \cdot \lambda_{\beta,\alpha}(r) && \text{from (2.15)} \\ &= \log_\gamma^{-1} \cdot \varepsilon \lambda_{\gamma,\beta} \cdot \lambda_{\beta,\alpha}(r) && \text{from (2.9)} \\ &= \log_\gamma^{-1} \cdot \varepsilon \lambda_{\gamma,\alpha}(r) && \text{from (2.12)} \\ &= \log_\gamma^{-1} \cdot \lambda_{\gamma,\alpha}(\varepsilon r) \\ &= x_\gamma(\varepsilon r) && \text{from (2.15)} . \end{aligned}$$

□

(2.19) LEMMA. *Let  $(\beta, \gamma)$  be an  $A_2$ -pair. Then  $m_{(\beta,\gamma)}$  is biadditive, i.e. for  $r, s, t \in R$  and  $m := m_{(\beta,\gamma)}$ , we have  $m(r+s, t) = m(r, t) + m(s, t)$  and  $m(r, s+t) = m(r, s) + m(r, t)$ .*

PROOF. From (2.17), (2.16) and (Gr3), we have

$$\begin{aligned}
 x_{\beta+\gamma}(c_{\beta,\gamma}m(r+s, t)) &= (x_{\beta}(r+s), x_{\gamma}(t)) = (x_{\beta}(r)x_{\beta}(s), x_{\gamma}(t)) \\
 &= x_{\beta}(r)(x_{\beta}(s), x_{\gamma}(t))x_{\beta}(r)^{-1}(x_{\beta}(r), x_{\gamma}(t)) \quad \text{by (0.2)} \\
 &= x_{\beta}(r)x_{\beta+\gamma}(c_{\beta,\gamma}m(s, t))x_{\beta}(r)^{-1}x_{\beta+\gamma}(c_{\beta,\gamma}m(r, t)) \\
 &= x_{\beta+\gamma}(c_{\beta,\gamma}m(r, t) + c_{\beta,\gamma}m(s, t)).
 \end{aligned}$$

So  $m(r+s, t) = m(r, t) + m(s, t)$  and similarly  $m(r, s+t) = m(r, s) + m(r, t)$ .  $\square$

(2.20) LEMMA. *If  $(\beta, \gamma)$  and  $(\beta', \gamma')$  are equivalent  $A_2$ -pairs of  $\Delta$ , then  $m_{(\beta,\gamma)} = m_{(\beta',\gamma')}$ .*

PROOF. Put  $m = m_{(\beta,\gamma)}$  and  $m' = m_{(\beta',\gamma')}$ . Choose  $n \in \dot{N}_0$  so that  $\pi(n) = w \in W$  and  $w\beta = \beta'$ ,  $w\gamma = \gamma'$ . Suppose that

$$\text{Int } n \cdot x_{\beta}(1) = x_{\beta'}(a), \quad \text{Int } n \cdot x_{\gamma}(1) = x_{\gamma'}(b), \quad \text{Int } n \cdot x_{\beta+\gamma}(1) = x_{\beta'+\gamma'}(c),$$

where  $a = \varepsilon(n, \beta)$ ,  $b = \varepsilon(n, \gamma)$ ,  $c = \varepsilon(n, \beta + \gamma) \in \{\pm 1\}$  (cf. (2.8)). Let  $\varepsilon_1 = c_{\beta,\gamma}$ ,  $\varepsilon_2 = c_{\beta',\gamma'}$ . Then by calculating the equality  $\text{Int } n \cdot (x_{\beta}(1), x_{\gamma}(1)) = (\text{Int } n \cdot x_{\beta}(1), \text{Int } n \cdot x_{\gamma}(1))$ , we have  $x_{\beta'+\gamma'}(\varepsilon_1 c) = x_{\beta'+\gamma'}(a b \varepsilon_2)$ . Hence

$$(2.21) \quad \varepsilon_2 = \varepsilon_1 c a^{-1} b^{-1}.$$

Then

$$\begin{aligned}
 x_{\beta'+\gamma'}(\varepsilon_2 m'(r, s)) &= (x_{\beta'}(r), x_{\gamma'}(s)) \quad \text{by the definition of } m' \\
 &= (\log_{\beta'}^{-1} \cdot \lambda_{\beta',\alpha}(r), \log_{\gamma'}^{-1} \cdot \lambda_{\gamma',\alpha}(s)) \quad \text{by (2.15)} \\
 &= (\log_{\beta'}^{-1} \cdot \lambda_{\beta',\beta} \cdot \lambda_{\beta,\alpha}(r), \log_{\gamma'}^{-1} \cdot \lambda_{\gamma',\gamma} \cdot \lambda_{\gamma,\alpha}(s)) \quad \text{by (2.12)} \\
 &= (\text{Int } n \cdot \log_{\beta}^{-1}(a^{-1} \lambda_{\beta,\alpha}(r)), \text{Int } n \cdot \log_{\gamma}^{-1}(b^{-1} \lambda_{\gamma,\alpha}(r))) \quad \text{by (2.9)} \\
 &= (\text{Int } n \cdot x_{\beta}(a^{-1}r), \text{Int } n \cdot x_{\gamma}(b^{-1}s)) \quad \text{by (2.15)} \\
 &= \text{Int } n \cdot (x_{\beta}(a^{-1}r), x_{\gamma}(b^{-1}s)) \\
 &= \text{Int } n \cdot x_{\beta+\gamma}(c_{\beta,\gamma}m(a^{-1}r, b^{-1}s)) \quad \text{by (2.17)} \\
 &= x_{\beta'+\gamma'}(c \varepsilon_1 a^{-1} b^{-1} m(r, s)) \quad \text{by (2.19) and (2.18)} \\
 &= x_{\beta'+\gamma'}(\varepsilon_2 m(r, s)) \quad \text{by (2.21)}.
 \end{aligned}$$

Then  $m'(r, s) = m(r, s)$  and  $m_{(\beta,\gamma)} = m_{(\beta',\gamma')}$ .  $\square$

(2.22) LEMMA. *Let  $(\beta, \gamma)$  be an  $A_2$ -pair. Then  $(\gamma, \beta)$  defines the opposite multiplication of  $m_{(\beta,\gamma)}$ . In particular, if  $\Delta$  is of Type  $D_l$  or  $E_l$ , then  $m_{(\beta,\gamma)}$  is commutative.*

PROOF. Let  $m = m_{(\beta,\gamma)}$  and  $m' = m_{(\gamma,\beta)}$ . For  $r, s \in R$ ,

$$\begin{aligned}
 x_{\beta+\gamma}(c_{\beta,\gamma}m(r, s)) &= (x_{\beta}(r), x_{\gamma}(s)) = (x_{\gamma}(s), x_{\beta}(r))^{-1} \\
 &= x_{\beta+\gamma}(-c_{\gamma,\beta}m'(s, r)) = x_{\beta+\gamma}(c_{\beta,\gamma}m'(s, r)),
 \end{aligned}$$

where we note  $-c_{\gamma,\beta} = c_{\beta,\gamma}$  from (1.1). Then  $m(r, s) = m'(s, r)$ . When  $\Delta$  is of Type  $D_l$  or  $E_l$ , there is only one equivalence class of  $A_2$ -pairs by Lemma (1.5), hence  $m_{(\beta,\gamma)}$  is commutative.  $\square$

(2.23) LEMMA. *Let  $(\beta, \gamma)$  be an  $A_2$ -pair. With respect to the multiplication  $m = m_{(\beta,\gamma)}$ ,  $R$  is associative and with the unit element  $1 = 1_\alpha$ .*

PROOF. Associativity. Since  $\text{rank } \Delta = l \geq 3$ , there is a root  $\delta$  such that  $(\gamma, \delta)$  is an  $A_2$ -pair and  $(\beta | \delta) = 0$ . By Lemma (2.20) and Lemma (1.4),  $m = m_{(\beta,\gamma)} = m_{(\beta+\gamma,\delta)} = m_{(\gamma,\delta)} = m_{(\beta,\gamma+\delta)}$ . Applying the commutator relation (0.5) to  $a = x_\beta(r)$ ,  $b = x_\gamma(s)$ ,  $c = x_\delta(t)$ ,  $r, s, t \in R$  and (Gr3), we have

$$\begin{aligned} ((x_\beta(r), x_\gamma(s)), x_\delta(t)) &= (x_\beta(r), (x_\gamma(s), x_\delta(t))); \\ (x_{\beta+\gamma}(c_{\beta,\gamma}m(r, s)), x_\delta(t)) &= (x_\beta(r), x_{\gamma+\delta}(c_{\gamma,\delta}m(s, t))); \\ x_{\beta+\gamma+\delta}(c_{\beta+\gamma,\delta}(c_{\beta,\gamma}m(r, s), t)) &= x_{\beta+\gamma+\delta}(c_{\beta,\gamma+\delta}m(r, c_{\gamma,\delta}m(s, t))). \end{aligned}$$

By calculating the identity  $[[E_\beta, E_\gamma], E_\delta] = [E_\beta, [E_\gamma, E_\delta]]$  (see the definition of Chevalley bases), we get  $c_{\beta,\gamma}c_{\beta+\gamma,\delta} = c_{\beta,\gamma+\delta}c_{\gamma,\delta}$ . Also  $m$  is biadditive by Lemma (2.19). Thus  $m(m(r, s), t) = m(r, m(s, t))$ , that is,  $m$  is associative.

Identity. We show  $1 = 1_\alpha \in \mathbf{K}$  is the unit element of  $R$ . Take an element  $w \in W$  such that  $w\beta = \alpha$  (the fixed root). Let  $\delta = w\gamma$ . Then  $m = m_{(\beta,\gamma)} = m_{(\alpha,\delta)}$  and

$$\begin{aligned} x_{\alpha+\delta}(c_{\alpha,\delta}r) &= n_\alpha(1)x_\delta(r)n_\alpha(-1) \quad \text{by Lemma (2.18)} \\ &= x_\alpha(1)x_{-\alpha}(-1)x_\alpha(1)x_\delta(r)x_\alpha(-1)x_{-\alpha}(1)x_\alpha(-1) \\ &= x_\alpha(1)x_{-\alpha}(-1)x_{\alpha+\delta}(c_{\alpha,\delta}m(1, r))x_\delta(r)x_{-\alpha}(1)x_\alpha(-1) \quad \text{by the definition of } m \\ &= x_\alpha(1)(x_{-\alpha}(-1), x_{\alpha+\delta}(c_{\alpha,\delta}m(1, r)))x_{\alpha+\delta}(c_{\alpha,\delta}m(1, r))x_\delta(r)x_\alpha(-1) \quad \text{by (Gr3)} \\ &= x_\alpha(1)x_\delta(-c_{-\alpha,\alpha+\delta}(c_{\alpha,\delta}m(1, m(1, r))))x_{\alpha+\delta}(c_{\alpha,\delta}m(1, r))x_\delta(r)x_\alpha(-1). \end{aligned}$$

Now by (Gr3),  $(G^\alpha, G^{\alpha+\delta}) = (G^\delta, G^{\alpha+\delta}) = 1$ , thus bringing the conjugation with respect to  $x_\alpha(1)$  to the left hand side the last equality, we get

$$x_\delta(r - c_{-\alpha,\alpha+\delta}(c_{\alpha,\delta}m(1, m(1, r)))) = x_{\alpha+\delta}(c_{\alpha,\delta}r - c_{\alpha,\delta}m(1, r)).$$

So  $r = m(1, r)$  by (Gr4). By considering  $x_{\alpha+\delta}(c_{\delta,\alpha}r) = n_\delta(1)x_\alpha(r)n_\delta(-1)$  and using  $m_{(\alpha,\delta)}(r, s) = m_{(\delta,\alpha)}(s, r)$ , we get  $r = m_{(\delta,\alpha)}(1, r) = m(r, 1)$ . This proves that 1 is the unit element of  $R$  with respect to the multiplication  $m$ .  $\square$

Now we can conclude Theorem (2.3). Here is its restatement.

(2.3) THEOREM. *Let  $G$  be a group graded by a finite root system  $\Delta$  (of Type  $A_l$ ,  $l \geq 3$ ,  $D_l$ ,  $l \geq 4$  or  $E_6, E_7, E_8$ ) relative to an element  $(\dot{G}, \dot{\phi}) \in \mathfrak{S} = \mathfrak{S}(\Delta, \mathbf{K})$ , where  $\mathbf{K}$  is a commutative ring. Fix any root  $\alpha \in \Delta$  and let  $R = G^\alpha$  as an abelian group. Relative to a Chevalley basis  $\{E_\beta\}_{\beta \in \Delta} \cup \{H_i\}_{i=1}^l$  define the maps  $\lambda_{\beta,\alpha}: G^\alpha \rightarrow G^\beta$  of (2.9) and the elements*

$x_\beta(r)$ ,  $\beta \in \Delta$ ,  $r \in R$  of (2.15). Any positive  $A_2$ -pair  $(\beta, \gamma)$  define the multiplication in  $R$  by (2.17). Then  $R$  is an associative ring with 1, containing  $\mathbf{K}$  as a subring, and the generators  $x_\beta(r)$ 's satisfy the relations (R1) and (R2) ((2.16) and (2.17)). In particular,  $G$  is a homomorphic image of the Steinberg group  $\text{St}(\Delta, R)$ . In addition,  $R$  is commutative if  $\Delta$  is of Type  $D_1$  or  $E_1$ .

(2.24) REMARK. If we start with  $\mathbf{K} = \mathbf{Z}/n\mathbf{Z}$ , then any ring could possibly appear here. The chosen  $R$  is independent of the choice of the root  $\alpha$  up to isomorphism, since  $\lambda_{\beta, \alpha}$ 's are ring isomorphisms.

**3. Central extensions of  $\Delta$ -graded groups.** In this section we study central extensions of  $\Delta$ -graded groups. Let us recall some notion about central extensions of groups (cf. [8]). A surjective group homomorphism  $\phi$  from  $U$  onto  $G$  is a *central extension* of  $G$  if the kernel is contained in the center of  $U$ . A central extension  $(\phi, U)$  of a group  $G$  is called a *covering* of  $G$  if  $U$  is *perfect*, that is,  $(U, U) = U$ . A central extension  $(\phi, U)$  of a group  $G$  is said to be *universal* if it covers all other central extensions of  $G$ , i.e. if  $(\phi', G')$  is any central extension of  $G$ , then there is a homomorphism  $\bar{\phi}$  from  $U$  into  $G'$  such that  $\phi = \phi' \bar{\phi}$ . Any perfect group has a universal central extension which is unique up to isomorphism. Two perfect groups are said to be *centrally isogenous* if they have the same (isomorphic) universal central extension. We will use the previous notation unless otherwise specified.

Let  $G$  be a group graded by  $\Delta$  (of Type  $A_l$ ,  $l \geq 3$ ,  $D_l$ ,  $l \geq 4$ , or  $E_6, E_7, E_8$ ) with  $(\dot{G}, \dot{\phi}) \in \mathfrak{S} = \mathfrak{S}(\Delta, \mathbf{K})$ . Then there is an associative ring  $R$  such that  $G$  is a homomorphic image of  $\text{St}(\Delta, R)$ .  $R$  is chosen for a fixed root with its multiplication defined by any fixed positive  $A_2$ -pair. We will simply write  $rs$  instead of  $m(r, s)$  for  $r, s \in R$ . Now we give the following definition and the main result Theorem (3.2) of this section.

(3.1) DEFINITION. Let  $\mathbf{K}$  be a commutative ring. Suppose  $G_1$  and  $G_2$  are  $\Delta$ -graded groups relative to  $(\dot{G}_1, \dot{\phi}_1)$  and  $(\dot{G}_2, \dot{\phi}_2) \in \mathfrak{S}(\Delta, \mathbf{K})$ , respectively. A group homomorphism  $\sigma$  from  $G_1$  to  $G_2$  is a  $\Delta$ -homomorphism if  $\sigma \dot{\phi}_1 = \dot{\phi}_2$ .

(3.2) THEOREM. Let  $\Delta$  be of Type  $A_l$ ,  $l \geq 4$ ,  $E_l$ ,  $l = 6, 7, 8$  or  $D_l$ ,  $l \geq 5$ . Any covering  $(U, \psi)$  of a  $\Delta$ -graded group  $G$  is also  $\Delta$ -graded and  $\psi$  is a  $\Delta$ -homomorphism. Moreover there is a surjective homomorphism  $\Psi$  from  $\text{St}(\Delta, R)$  onto  $U$  such that

$$\begin{array}{ccc}
 \text{St}(\Delta, R) & \xrightarrow{\Psi} & U \\
 & \searrow & \swarrow \psi \\
 & & G,
 \end{array}$$

where  $R$  is chosen as above for  $G$ .

Our proof will be constructive and the relations (R1) and (R2) play a major role in the proof. Note that  $G$  has a set of generators  $\{x_\alpha(r) \mid \alpha \in \Delta, r \in R\}$  which satisfies (R1) and (R2). We will define a set of generators  $\{\bar{x}_\alpha(r) \mid \alpha \in \Delta, r \in R\}$  in  $U$  which satisfies the relations (R1) and (R2). Then  $U$  is a homomorphic image of  $\text{St}(\Delta, R)$ . The idea of this proof is based on showing that  $\text{St}(R) \rightarrow E(R)$  (the elementary group in the Chevalley group) is a universal central extension (cf. [5], [8], [7]). Technically, [7] has been very helpful. Before going to the proof, we state a consequence. Again we give the proof later.

(3.3) **THEOREM.** *Let  $\Delta$  be of Type  $A_l$ ,  $l \geq 4$ ;  $D_l$ ,  $l \geq 5$ ; or  $E_l$ ,  $l = 6, 7, 8$ . Let  $G$  and  $G'$  be perfect, and  $G$   $\Delta$ -graded. If there is a group which is a covering for both  $G$  and  $G'$ , then  $G'$  is also  $\Delta$ -graded in such a way that  $G$  and  $G'$  are  $\Delta$ -homomorphic images of the same Steinberg group  $\text{St}(\Delta, R)$ . In particular, if  $G$  and  $G'$  are centrally isogenous and  $G$  is  $\Delta$ -graded, then  $G'$  is also  $\Delta$ -graded.*

The proof of Theorem (3.2) will be given later as a consequence of a series of preliminary results.

Let  $(U, \psi)$  be a covering of a  $\Delta$ -graded group  $G$  and  $C$  the kernel of the central extension  $\psi: U \rightarrow G$ . First note that  $G$  is perfect. Indeed, for any  $\alpha \in \Delta$ , there is an  $A_2$ -pair  $(\beta, \gamma)$  such that  $\beta + \gamma = \alpha$ . Since  $x_\alpha(r) = (x_\beta(1), x_\gamma(c_{\beta, \gamma} r))$ , we have  $G^\alpha = (G^\beta, G^\gamma)$ . So  $G^\alpha \subseteq (G, G)$ . By (Gr1), we have  $G = (G, G)$ . The perfectness makes sure the existence of a covering.

The following standard lemma, sometimes called the *central trick*, is technically important. It will be used repeatedly.

(3.4) **LEMMA (the central trick).** *Let  $p: H_1 \rightarrow H_2$  be a central extension of a group  $H_2$ . If  $x_1, x_2, y_1, y_2 \in H_1$  so that  $px_1 = px_2$ ,  $py_1 = py_2$ , then  $(x_1, y_1) = (x_2, y_2)$ .*

For any  $\alpha \in \Delta$ , let

$$(3.5) \quad \tilde{U}^\alpha = \psi^{-1}(G^\alpha),$$

and

$$(3.6) \quad G(\alpha) := \langle G^\beta \mid \beta \in \Delta, (\beta \mid \alpha) \geq 0 \rangle < G.$$

Then  $G(\alpha)$  is contained in the centralizer of  $G^\alpha$ .

(3.7) **LEMMA.** (i) *When  $\Delta$  is of Type  $A_l$ ,  $l \geq 4$ , or  $E_l$ ,  $l \geq 6, 7, 8$  for any two roots  $\alpha, \beta$  with  $(\alpha \mid \beta) = 0$ , there are  $\gamma, \delta \in \Delta$  such that  $(\alpha, \gamma, \beta, \delta)$  is an  $A_4$ -quadruple.*

(ii) *In  $D_l$ ,  $l \geq 5$ , for any two  $A_2$ -pairs  $(\beta, \gamma)$  and  $(\beta', \gamma')$ , there exists a third  $A_2$ -pair  $(\beta'', \gamma'')$  such that  $\{\beta, \gamma, \beta', \gamma'\}$  and  $\{\beta', \gamma', \beta'', \gamma''\}$  are contained in some (possibly different)  $A_{l-1}$ -subroot systems of  $D_l$ .*

**PROOF.** Examine the explicit constructions of these root systems in [2]. □

(3.8) **LEMMA.** *Assume that  $\Delta$  is of Type  $A_l$ ,  $l \geq 4$ , or  $E_l$ ,  $l = 6, 7, 8$ . Let  $\alpha, \beta \in \Delta$*

with  $(\alpha|\beta) \geq 0$ ,  $\alpha \neq \beta$ . Then  $G^\beta \subseteq (G(\alpha), G(\alpha))$ .

PROOF. We discuss two cases  $(\alpha|\beta) = 1$  and  $(\alpha|\beta) = 0$ . In the first case, take a root  $\gamma$  such that  $(\alpha, -\beta, \gamma)$  is an  $A_3$ -triple. Without loss of generality, we may assume  $\alpha = \varepsilon_1 - \varepsilon_2$ ,  $-\beta = \varepsilon_2 - \varepsilon_3$ ,  $\gamma = \varepsilon_3 - \varepsilon_4$  (cf. Example (1.1)). Then for some  $c \in \{\pm 1\}$ ,

$$x_\beta(r) = (x_{\varepsilon_3 - \varepsilon_4}(cr), x_{\varepsilon_4 - \varepsilon_2}(1)) \in (G(\alpha), G(\alpha)),$$

since  $(\varepsilon_1 - \varepsilon_2|\varepsilon_3 - \varepsilon_4) = 0$  and  $(\varepsilon_1 - \varepsilon_2|\varepsilon_4 - \varepsilon_2) = 1$  are nonnegative. In the second case when  $(\alpha|\beta) = 0$ , we may take two roots  $\gamma, \delta$  such that  $(\alpha, \gamma, \beta, \delta)$  is an  $A_2$ -quadruple by Lemma (3.7). Without loss of generality again, we may assume  $\alpha = \varepsilon_1 - \varepsilon_2$ ,  $\gamma = \varepsilon_2 - \varepsilon_3$ ,  $\beta = \varepsilon_3 - \varepsilon_4$  and  $\delta = \varepsilon_4 - \varepsilon_5$ . Since  $(\varepsilon_1 - \varepsilon_2|\varepsilon_3 - \varepsilon_5) = 0$  and  $(\varepsilon_1 - \varepsilon_2|\varepsilon_5 - \varepsilon_4) = 0$  are nonnegative, then for some  $c \in \{\pm 1\}$ ,

$$x_\beta(r) = (x_{\varepsilon_3 - \varepsilon_5}(cr), x_{\varepsilon_5 - \varepsilon_4}(1)) \in (G(\alpha), G(\alpha)).$$

So the result follows. □

(3.9) LEMMA. Assume that  $\Delta$  is of Type  $A_l$ ,  $l \geq 4$ , or  $E_l$ ,  $l = 6, 7, 8$ . Let  $\alpha, \beta \in \Delta$  and  $\alpha \neq \beta$ . If  $(G^\alpha, G^\beta) = 1$ , then  $(\tilde{U}^\alpha, \tilde{U}^\beta) = 1$  (cf. (3.5)).

PROOF.  $(G^\alpha, G^\beta) = 1$  implies  $(\alpha|\beta) \geq 0$ . Then  $G^\beta \subseteq G(\alpha)$ . Let  $\tilde{x}, \tilde{y}$  be arbitrary preimages of  $x \in G^\alpha$  and  $y \in G(\alpha)$ , respectively. Then by Lemma (3.4),  $(\tilde{x}, \tilde{y})$  depends only on  $x, y$ . Furthermore,  $(\tilde{x}, \tilde{y})$  is in  $C$  since  $(\psi(\tilde{x}, \tilde{y})) = (x, y) = 1$ . Define a map  $\lambda_x$  from  $G(\alpha)$  to  $C$  by  $\lambda_x(y) := (\tilde{x}, \tilde{y})$ , where  $\tilde{y}$  is any preimage of  $y$ . Since  $C$  is central, we see from (0.2) that  $\lambda_x$  is a group homomorphism and hence  $\lambda_x\{(G(\alpha), G(\alpha))\} = 1$ . But  $G^\beta \subseteq (G(\alpha), G(\alpha))$ , so  $\lambda_x(G^\beta) = 1$ . Thus  $(\tilde{x}, \tilde{U}^\beta) = 1$ . Since  $\tilde{x}$  is arbitrary, we have  $(\tilde{U}^\alpha, \tilde{U}^\beta) = 1$ . □

For the generators  $x_\alpha(r)$ ,  $r \in R$ ,  $\alpha \in \Delta$ , let  $y_\alpha(r) \in U$  be any preimage of  $x_\alpha(r)$ . For  $\alpha \in \Delta$ , choose any two roots  $\beta, \gamma$  with  $\alpha = \beta + \gamma$ . Define

$$(3.10) \quad \bar{x}_\alpha(r) := (y_\beta(c_{\beta,\gamma}r), y_\gamma(1)).$$

So by the central trick

$$(3.11) \quad \bar{x}_\alpha(r) = (\bar{x}_\beta(c_{\beta,\gamma}r), \bar{x}_\gamma(1)).$$

Let  $U^\alpha = \{\bar{x}_\alpha(r) \mid r \in R\}$ .

(3.12) LEMMA. Let  $\Delta$  be of Type  $A_l$ ,  $l \geq 4$ ,  $E_l$ ,  $l = 6, 7, 8$  or  $D_l$ ,  $l \geq 5$ . Then  $\bar{x}_\alpha(r)$  is independent of the choice and the order of  $\beta, \gamma$ .

PROOF FOR  $A_l$  OR  $E_l$ . Independence of the choice. Suppose  $\alpha = \beta' + \gamma'$  is another such representation of  $\alpha$  with  $\{\beta, \gamma\} \neq \{\beta', \gamma'\}$  (set-theoretically).  $1 = (\alpha|\beta) = (\beta' + \gamma'|\beta) = (\beta'|\beta) + (\gamma'|\beta)$ . So, either  $(\beta'|\beta) = 1$ ,  $(\gamma'|\beta) = 0$ , or  $(\beta'|\beta) = 0$ ,  $(\gamma'|\beta) = 1$ . We study these cases separately.

Case 1:  $(\beta'|\beta) = 1$ ,  $(\gamma'|\beta) = 0$ . We may and will apply the commutator formula

(0.5) with  $a = y_\beta(\varepsilon r)$ ,  $b = y_{\beta' - \beta}(\eta \varepsilon \varepsilon')$ ,  $c = y_{\gamma'}(1)$  where  $\varepsilon = c_{\beta, \gamma}$ ,  $\varepsilon' = c_{\beta', \gamma'}$ ,  $\eta = c_{\beta, \beta' - \beta}$ , because we have  $(\beta | \gamma') = 0$ ,  $(\beta' | \beta' - \beta + \gamma) = 0$ ,  $(\gamma' | \beta' - \beta + \gamma) = 0$  and Lemma (3.9). So

$$\begin{aligned} (y_{\beta'}(\varepsilon' r), y_{\gamma'}(1)) &= ((y_\beta(\varepsilon r), y_{\beta' - \beta}(\eta \varepsilon \varepsilon')), y_{\gamma'}(1)) \\ &= (y_\beta(\varepsilon r), (y_{\beta' - \beta}(\eta \varepsilon \varepsilon'), y_{\gamma'}(1))) = (y_\beta(\varepsilon r), y_{\gamma'}(\eta \eta' \varepsilon \varepsilon')), \end{aligned}$$

where  $\eta' = c_{\gamma - \gamma', \gamma'}$ , and the central trick has been applied. Now the following calculation yields that  $\eta \eta' \varepsilon \varepsilon' = 1$ :  $c_{\beta', \gamma'} c_{\beta, \beta' - \beta} E_{\beta' + \gamma'} = [[E_\beta, E_{\beta' - \beta}], E_{\gamma'}] = [E_\beta, [E_{\beta' - \beta}, E_{\gamma'}]] = c_{\beta, \gamma} c_{\gamma - \gamma', \gamma'} E_{\beta + \gamma}$ . So,  $\bar{x}_\alpha(r)$  is independent of the choice of  $\beta, \gamma$  in this case.

Case 2:  $(\beta' | \beta) = 0$ ,  $(\gamma' | \beta) = 1$ . By using Lemma (3.9), and then (0.5) and the central trick, we have for any  $r \in R$ ,

$$\begin{aligned} (y_{\beta'}(\varepsilon' r), y_{\gamma'}(1)) &= ((y_\gamma(-1), y_{\beta' - \gamma}(-\eta \varepsilon' r)), y_{\gamma'}(1)) = (y_\gamma(-1), (y_{\beta' - \gamma}(-\eta \varepsilon' r), y_{\gamma'}(1))) \\ &= (y_\gamma(-1), y_\beta(-\eta \eta' \varepsilon' r)) = (y_\gamma(1)^{-1}, y_\beta(\eta \eta' \varepsilon' r)^{-1}) = (y_\beta(\eta \eta' \varepsilon' r), y_\gamma(1)), \end{aligned}$$

where  $\varepsilon = c_{\beta, \gamma}$ ,  $\varepsilon' = c_{\beta', \gamma'}$ ,  $\eta = c_{\gamma, \beta' - \gamma}$ ,  $\eta' = c_{\beta, -\gamma', \gamma'}$ . Also the Jacobi identity,

$$[[E_\gamma, [E_{\beta' - \gamma}], E_{\gamma'}] = [E_\gamma, [E_{\beta' - \gamma}, E_{\gamma'}]],$$

and (1.1) imply  $\varepsilon = -\varepsilon' \eta \eta'$ . Then it follows that  $\bar{x}_\alpha(r)$  is independent of the choice of  $\beta, \gamma$ .

We still have to show that  $\bar{x}_\alpha(r)$  is independent of the order of  $\beta, \gamma$ . By examining Example (1.1), we see that in an  $A_l$ ,  $l \geq 3$ , there are at least two distinct representations  $\alpha = \beta + \gamma = \beta' + \gamma'$ . We chose such a pair  $\{\beta', \gamma'\}$  not equal to  $\{\beta, \gamma\}$  as sets. Then by the independence of choice,

$$\bar{x}_\alpha(r) = (y_\beta(c_{\beta, \gamma} r), y_\gamma(1)) = (y_{\beta'}(c_{\beta', \gamma'} r), y_{\gamma'}(1)) = (y_\gamma(c_{\gamma, \beta} r), y_\beta(1)).$$

This shows that  $\bar{x}_\alpha(r)$  is independent of the order of  $\beta, \gamma$ .

PROOF FOR  $D_l$ . For  $l \geq 5$ ,  $\Delta = D_l$  contains two subroot systems of Type  $A_{l-1}$  ( $l-1 \geq 4$ ), whose union contains a base for  $\Delta$  and whose intersection is an  $A_{l-2}$ -subroot system of  $\Delta$ .

With this observation, we see from Lemma (3.7) that given two representations  $\alpha = \beta' + \gamma' = \beta + \gamma$ , we may always find a third distinct representation of  $\alpha = \beta'' + \gamma''$  such that  $\{\beta, \gamma, \beta'', \gamma''\}$  and  $\{\beta', \gamma', \beta'', \gamma''\}$  each lie in an  $A_{l-1}$ -subroot system ( $l-1 \geq 4$ ) of  $\Delta$ . Then we can apply the result for  $A_{l-1}$  ( $l-1 \leq 4$ ), and get

$$\bar{x}_\alpha(r) = (y_\beta(c_{\beta, \gamma} r), y_\gamma(1)) = (y_{\beta''}(c_{\beta'', \gamma''} r), y_{\gamma''}(1)) = (y_{\beta'}(c_{\beta', \gamma'} r), y_{\gamma'}(1)).$$

□

(3.13) LEMMA. Let  $\Delta$  be of Type  $A_l$ ,  $l \geq 4$ ,  $E_l$ ,  $l = 6, 7, 8$  or  $D_l$ ,  $l \geq 5$ . The generators  $\bar{x}_\alpha(r)$ ,  $r \in R$ ,  $\alpha \in \Delta$  satisfy the relations (R1) and (R2).

PROOF FOR  $A_l$  OR  $E_l$ . Let  $\alpha = \beta + \gamma$ ,  $\alpha, \beta, \gamma \in \Delta$ . We use the notation defined in (3.10). Then for  $\varepsilon = c_{\beta, \gamma}$ ,

$$\begin{aligned} \bar{x}_\alpha(r+s) &= (y_\beta(c_{\beta,\gamma}(r+s)), y_\gamma(1)) = (y_\beta(c_{\beta,\gamma}r)y_\beta(c_{\beta,\gamma}s), y_\gamma(1)) && \text{by the central trick} \\ &= y_\beta(c_{\beta,\gamma}r)(y_\beta(c_{\beta,\gamma}s), y_\gamma(1))y_\beta(c_{\beta,\gamma}r)^{-1}(y_\beta(c_{\beta,\gamma}r), y_\gamma(1)) && \text{by (0.2)} \\ &= (y_\beta(c_{\beta,\gamma}s), y_\gamma(1))(y_\beta(c_{\beta,\gamma}r), y_\gamma(1)) = \bar{x}_\alpha(s)\bar{x}_\alpha(r) = \bar{x}_\alpha(r)\bar{x}_\alpha(s). \end{aligned}$$

So (R1) holds for  $\bar{x}_\alpha(r)$ 's.

If  $(\alpha|\delta) \geq 0$ , then by Lemmas (3.8) and (3.9) and the central trick we have  $(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = 1$ .

Now we assume  $(\alpha|\delta) = -1$  and  $(\alpha, \delta)$  is a positive  $A_2$ -pair. It remains to show

$$(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = \bar{x}_{\alpha+\delta}(c_{\alpha,\delta}rs).$$

Case 1:  $\Delta = A_l$ . We need to show  $(\bar{x}_{ij}(r), \bar{x}_{jk}(s)) = \bar{x}_{ik}(rs)$  for  $i, j, k$  distinct, where  $\bar{x}_{ij}(r) := \bar{x}_{\varepsilon_i - \varepsilon_j}(r)$ , etc. (cf. Lemma (1.5)).

Take  $m$  not equal to  $i, j, k$ . Then applications of the central trick and (0.5) (see (3.11) as well) yield

$$\begin{aligned} (\bar{x}_{ij}(r), \bar{x}_{jk}(s)) &= (\bar{x}_{ij}(r), (\bar{x}_{jm}(s), \bar{x}_{mk}(1))) = ((\bar{x}_{ij}(r), \bar{x}_{jm}(s)), \bar{x}_{mk}(1)) = (\bar{x}_{im}(rs), \bar{x}_{mk}(1)) \\ &= (y_{im}(rs), y_{mk}(1)) = \bar{x}_{ik}(rs). \end{aligned}$$

Case 2:  $\Delta = E_l$ . There is only one class of positive  $A_2$ -pairs. Choose  $\beta' \in \Delta$  so that  $(\alpha, \delta, \beta')$  is an  $A_3$ -triple. Then  $\beta := \delta + \beta'$  satisfies  $(\beta|\delta) = 1$  and  $(\beta|\alpha) = -1$ . Hence  $\gamma := \delta - \beta, \alpha + \beta \in \Delta$ . Thus

$$\begin{aligned} (\bar{x}_\alpha(r), \bar{x}_\delta(s)) &= (\bar{x}_\alpha(r), (\bar{x}_\beta(\varepsilon s), \bar{x}_\gamma(1))) = ((\bar{x}_\alpha(r), \bar{x}_\beta(\varepsilon s)), \bar{x}_\gamma(1)) \\ &= (\bar{x}_{\alpha+\beta}(\varepsilon' \varepsilon rs), \bar{x}_\gamma(1)) = \bar{x}_{\alpha+\beta+\gamma}(\eta \varepsilon \varepsilon' rs), \end{aligned}$$

where  $\varepsilon = c_{\beta,\gamma}, \varepsilon' = c_{\alpha,\beta}, \eta = c_{\alpha+\beta,\gamma}$ . As before, we have  $\eta \varepsilon \varepsilon' = c_{\alpha,\delta}$ . So (R2) is satisfied by  $\bar{x}_\alpha(r)$ 's.

PROOF FOR  $D_l$ . We will need an  $A_4$ -quadruple (cf. Lemma (3.7)). That is why we assume  $l \geq 5$ . The relation (R1) follows from the same proof as that for  $A_l$  and  $E_l$ . For (R2), suppose  $\alpha, \delta \in \Delta, r, s \in R$ .

If  $(\alpha|\delta) = 2$ , i.e.  $\alpha = \delta$ , then  $(\bar{x}_\alpha(r), \bar{x}_\alpha(s)) = 1$  from (R1).

If  $(\alpha|\delta) = 1$ . Lemmas (3.8) and (3.9) hold for  $\alpha, \delta$  by replacing  $\alpha, \beta$  there. Using  $(G^\alpha, G^\delta) = 1$ , we have  $(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = 1$ .

If  $(\alpha|\delta) = -1$ , we know that  $\{\alpha, \delta\}$  can be imbedded into a subroot system of Type  $A_{l-1}$ . Then  $(\bar{x}_\alpha(r), \bar{x}_\alpha(s)) = \bar{x}_{\alpha+\delta}(c_{\alpha,\delta}rs)$ .

Finally, assume  $(\alpha|\delta) = 0$ . We will use the explicit construction for the root system  $\Delta = D_l$ , that is,

$$\Delta = \{ \pm \varepsilon_i \pm \varepsilon_j \mid 1 \leq i \neq j \leq l \},$$

where  $\{\varepsilon_i\}$  is the standard basis of  $\mathbf{R}^l$  (cf. [2]).

Recall that  $\Delta$  has only one  $W$ -orbit of roots. Without loss of generality, assume  $\alpha = \varepsilon_1 - \varepsilon_2$ . Then  $\delta$  is one of the following roots.

$$\{\pm(\varepsilon_1 + \varepsilon_2), \pm\varepsilon_i \pm \varepsilon_j, 3 \leq i \neq j \leq l\}.$$

We claim that if  $\delta \in \{\pm\varepsilon_i \pm \varepsilon_j, 3 \leq i \neq j \leq l\}$ , there exist two roots  $\beta, \gamma \in \Delta$  such that  $(\alpha, \beta, \delta, \gamma)$  is an  $A_4$ -quadruple. Indeed, if  $\delta = \varepsilon_i + \varepsilon_j$ , take  $\beta = \varepsilon_2 - \varepsilon_i, \gamma = \varepsilon_k - \varepsilon_j$ ; if  $\delta = \varepsilon_i - \varepsilon_j$ , take  $\beta = \varepsilon_2 - \varepsilon_i, \gamma = \varepsilon_j - \varepsilon_k$ ; if  $\delta = -\varepsilon_i + \varepsilon_j$ , take  $\beta = \varepsilon_2 + \varepsilon_i, \gamma = -\varepsilon_j + \varepsilon_k$ ; if  $\delta = -\varepsilon_i - \varepsilon_j$ , take  $\beta = \varepsilon_2 + \varepsilon_i, \gamma = \varepsilon_j + \varepsilon_k$  where  $3 \leq i, j, k \leq l$  and  $i, j, k$  are distinct. Also it is clear from the point of view of the Weyl group, since  $W = S_l \ltimes 2^{l-1}$  where  $S_l$  is the symmetric group on  $l$  letters and  $2^{l-1}$  consists of an even number of sign changes. Then applying the result on  $A_l$  ( $l \geq 4$ ), we get  $(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = 1$  for  $\delta \in \{\pm\varepsilon_i \pm \varepsilon_j, 3 \leq i \neq j \leq l\}$  ( $\alpha = \varepsilon_1 - \varepsilon_2$ ).

It remains to show  $(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = 1$  for  $\delta = \pm(\varepsilon_1 + \varepsilon_2)$  ( $\alpha = \varepsilon_1 - \varepsilon_2$ ). Applying the conjugation with respect to  $\bar{n}_\delta(1)$  and the central trick, we need only to prove  $(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = 1$  for  $\delta = \varepsilon_1 + \varepsilon_2$ .

Let  $\beta = -\varepsilon_1 + \varepsilon_3$ . Then  $(\alpha, \beta, \delta)$  is an  $A_3$ -triple. Note that  $(\bar{x}_\alpha(r), \bar{x}_\delta(s))$  is central since  $\psi(U^\alpha, U^\delta) = (G^\alpha, G^\delta) = 1$ . Then

$$\begin{aligned} (\bar{x}_\alpha(r), \bar{x}_\delta(s)) &= (\bar{x}_\alpha(r), \bar{x}_\delta(s))^{\bar{x}_\beta(1)} = ((\bar{x}_\beta(1), \bar{x}_\alpha(r))\bar{x}_\alpha(r), (\bar{x}_\beta(1), \bar{x}_\delta(r))\bar{x}_\delta(s)) \\ &= (\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r)\bar{x}_\alpha(r), \bar{x}_{\beta+\delta}(c_{\beta,\delta}s)\bar{x}_\delta(s)) \\ &= (\bar{x}_\alpha(r), \bar{x}_{\beta+\delta}(c_{\beta,\delta}s))^{\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r)} \cdot (\bar{x}_\alpha(r), \bar{x}_\delta(s))^{\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r)\bar{x}_{\beta+\delta}(c_{\beta,\delta}s)} \cdot (\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r), \bar{x}_{\beta+\delta}(c_{\beta,\delta}s)) \\ &\quad \cdot (\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r), \bar{x}_\delta(s))^{\bar{x}_{\beta+\delta}(c_{\beta,\delta}s)} \quad \text{by (0.3)} \\ &= \bar{x}_{\alpha+\beta+\delta}(c_{\alpha,\beta+\delta}c_{\beta,\delta}rs) \cdot (\bar{x}_\alpha(r), \bar{x}_\delta(s)) \cdot (\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r), \bar{x}_{\beta+\delta}(c_{\beta,\delta}s)) \cdot \bar{x}_{\alpha+\beta+\delta}(c_{\alpha,\beta+\delta}c_{\beta,\delta}rs). \end{aligned}$$

Note that the middle two terms of the last expression are central. Again by calculating the Jacobi identity,  $[[E_\beta, E_\alpha], E_\delta] = -[E_\alpha, [E_\beta, E_\delta]]$ , we have  $c_{\alpha,\beta+\delta}c_{\beta,\delta} = -c_{\alpha,\beta+\delta}c_{\beta,\delta}$ . Then  $(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = (\bar{x}_\alpha(r), \bar{x}_\delta(s))(\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r), \bar{x}_{\beta+\delta}(c_{\beta,\delta}s))$ , and  $(\bar{x}_{\beta+\alpha}(c_{\beta,\alpha}r), \bar{x}_{\beta+\delta}(c_{\beta,\delta}s)) = 1$ . Since  $r, s$  are arbitrary, we have  $(\bar{x}_{\beta+\alpha}(r), \bar{x}_{\beta+\delta}(s)) = 1$  for all  $r, s \in R$ . Now applying the conjugation by  $\bar{n}_\beta(1)$  and the central trick, we have  $(\bar{x}_\alpha(r), \bar{x}_\delta(s)) = 1$  for all  $r, s \in R$ .  $\square$

(3.14) LEMMA.  $U = \langle U^\alpha \mid \alpha \in \Delta \rangle$ . Hence (Gr1) holds for  $U$ .

PROOF. Let  $U' := \langle U^\alpha \mid \alpha \in \Delta \rangle$  and  $C$  be the kernel of  $\psi$  from  $U$  onto  $G$ . Since  $\psi(U') = G$ , then  $U = U'C$ , then  $U = (U, U) = (U'C, U'C) = (U', U') = U'$ , where the last equality follows from the relations (R1) and (R2) for  $\bar{x}_\alpha(r)$ 's.  $\square$

PROOF OF THEOREM (3.2). Up to now, we have constructed a surjective homomorphism  $\Psi$  from  $\text{St}(\Delta, R)$  onto  $\dot{U}$  by sending  $\hat{x}_\alpha(r)$  to  $\bar{x}_\alpha(r)$ . Let  $\dot{U}$  be the subgroup generated by  $\{\bar{x}_\alpha(r) \mid \alpha \in \Delta, r \in \mathbf{K}\}$  and  $\dot{U}^\alpha = \{\bar{x}_\alpha(r) \mid r \in \mathbf{K}\}$  for each  $\alpha \in \Delta$ . We show  $\dot{U} \in \mathfrak{S}$ .

Clearly,  $\hat{x}_\alpha(r) \rightarrow \bar{x}_\alpha(r)$  defines a surjective homomorphism, denoted by  $\dot{\phi}_\alpha$ , from  $\text{St}(\Delta, \mathbf{K})$  onto  $\dot{U}$ . So the diagram commutes.

$$\begin{array}{ccc} \text{St}(\Delta, \mathbf{K}) & \xrightarrow{\dot{\phi}_u} & \dot{U} \\ \parallel & & \downarrow \psi \\ \text{St}(\Delta, \mathbf{K}) & \xrightarrow{\dot{\phi}} & \dot{G}. \end{array}$$

Since  $\dot{G} \in \mathfrak{S}$ , so  $\dot{\phi}$ , restricted to  $\chi^+ = \chi^+(\mathbf{K})$  relative to a positive system  $\Delta_+$  of  $\Delta$ , is an isomorphism. The commutative diagram implies  $\dot{\phi}_u$  restricted to  $\chi^+$ , is an isomorphism as well. This implies  $(\dot{U}, \dot{\phi}_u) \in \mathfrak{S}$ .

It remains to verify the axioms (Gr1) through (Gr5). (Gr1) follows from Lemma (3.14). (Gr2) is clear by the definition of  $\dot{U}^\alpha$ . (Gr3) follows from Lemma (3.13). (Gr4) holds for  $U$ , since it holds for  $\text{St}(\Delta, R)$  and  $G$ . (Gr5) is from the relation (R3). It is clear from the construction that  $\psi$  is a  $\Delta$ -homomorphism.  $\square$

PROOF OF THEOREM (3.3). Let  $R$  be the associative ring relative to  $G$ , Let  $\psi$  (resp.  $\psi'$ ):  $U \rightarrow G$  (resp.  $G' \rightarrow G$ ) be the universal central extension of  $G$  (resp.  $G'$ ). By Theorem (3.2),  $U$  is graded by  $\Delta$ . Moreover, the set of the generators  $\{x_\alpha(r) \mid \alpha \in \Delta, r \in R\}$  in  $G$  can be lifted to a set of generators  $\{\bar{x}_\alpha(r) \mid \alpha \in \Delta, r \in R\}$  in  $U$  which satisfies the relations (R1) and (R2). Denote the element in  $\mathfrak{S} = \mathfrak{S}(\Delta, \mathbf{K})$  relative to  $G$  (resp.  $U$ ) by  $(\dot{G}, \dot{\phi})$  (resp.  $(\dot{U}, \dot{\phi}_u)$ ). The meanings of  $U^\alpha, U^\pm$  (relative to a positive system of  $\Delta$ ),  $\bar{n}_\alpha(u), \bar{h}_\alpha(u), \dot{U}^\alpha, \dot{U}^\pm$ , etc. are defined as before in an obvious manner. Pass these objects to  $G'$  by the central extension homomorphism  $\psi'$ , for example,  $G'^\alpha := \psi'(U^\alpha), x'_\alpha(r) := \psi'(\bar{x}_\alpha(r)), \dot{G}' := \psi'(\dot{U}), \dot{G}'^\pm := \psi'(\dot{U}^\pm)$ , etc. Then  $\dot{\phi}' := \psi' \dot{\phi}_u$  is a homomorphism from  $\text{St}(\Delta, \mathbf{K})$  onto  $\dot{G}'$ . We will show that  $G'$  is  $\Delta$ -graded relative to  $(\dot{G}', \dot{\phi}')$ . It suffices to show that  $(\dot{G}', \dot{\phi}') \in \mathfrak{S} = \mathfrak{S}(\Delta, \mathbf{K})$  and that the axiom (Gr4) holds, since the other axioms are direct consequences of the relations (R1) and (R2) and the fact that  $\psi'$  is a homomorphism.

To be clear, we describe the relations of above maps by the following commutative diagrams with the generators:

$$\begin{array}{ccc} \text{St}(\Delta, R); \hat{x}_\alpha(r) & \longrightarrow & G; x_\alpha(r) \\ \downarrow & \searrow \psi & \uparrow \\ G'; x'_\alpha(r) & \xleftarrow{\psi'} & U; \bar{x}_\alpha(r), \end{array} \quad \text{and} \quad \begin{array}{ccc} \text{St}(\Delta, \mathbf{K}); \hat{x}_\alpha(t) & \xrightarrow{\dot{\phi}} & \dot{G}; x_\alpha(t) \\ \dot{\phi} \downarrow & \searrow \dot{\phi}_u & \uparrow \psi \\ \dot{G}'; x'_\alpha(t) & \xleftarrow{\psi'} & \dot{U}; \bar{x}_\alpha(t). \end{array}$$

Now arbitrarily fix a positive system  $\Delta_+$  of  $\Delta$ . Then  $\dot{\phi}_u|_{\chi^+(\mathbf{K})}$  is injective since  $(\dot{U}, \dot{\phi}_u) \in \mathfrak{S}$  by Theorem (3.2). Recall that the center of  $\chi^+(\mathbf{K})$  is trivial ([4], [8]). Then the center of  $\dot{U}^+$  is trivial. Suppose  $\hat{x} \in \chi^+(\mathbf{K}) \cap \text{Ker}(\dot{\phi}')$ . Then  $\dot{\phi}_u(\hat{x}) \in \dot{U}^+ \cap \text{Ker} \psi'$ . Since  $\text{Ker} \psi'$  is central by hypothesis. So  $\dot{\phi}_u(\hat{x}) = 1$ , and  $\hat{x} = 1$ . So  $\dot{\phi}'|_{\chi^+(\mathbf{K})}$  is injective. This proves  $(\dot{G}', \dot{\phi}') \in \mathfrak{S}$ .

We show that  $G'^\alpha \cap G'^\beta = 1$ , if  $\alpha \neq \beta$ . Let  $x'_\alpha(r) = x'_\beta(s)$ . Then  $\bar{x}_\alpha(r) = \bar{x}_\beta(s)z$  for some

$z \in \text{Ker } \psi' \subseteq \text{Center}(U)$ . It suffices to show  $r=s=0$ . We need to consider four cases:  $\alpha = -\beta$ ;  $(\alpha | \beta) = -1$ ;  $(\alpha | \beta) = -1$ ; and  $(\alpha | \beta) = 0$ .

If  $\alpha = -\beta$ , take  $\gamma \in \Delta$  so that  $(\alpha | \gamma) = -1$ . Then by (R2),  $1 = (\bar{x}_{-\alpha}(s)z, \bar{x}_\gamma(1)) = (\bar{x}_\alpha(r), x_\gamma(1)) = x_{\alpha+\gamma}(c_{\alpha,\gamma}r)$ . Thus  $r=0$  and  $s=0$ .

If  $(\alpha | \beta) = -1$ , i.e.  $\alpha + \beta \in \Delta$ , then  $1 = (\bar{x}_\alpha(r), \bar{x}_\alpha(1)) = (\bar{x}_\beta(s)z, \bar{x}_\alpha(1)) = \bar{x}_{\beta+\alpha}(c_{\beta,\alpha}s)$ . Hence  $s=r=0$ .

If  $(\alpha | \beta) = 1$ , we take  $\gamma \in \Delta$  so that  $(\alpha, -\beta, \gamma)$  is an  $A_3$ -triple. Then  $1 = (\bar{x}_\alpha(r), \bar{x}_{-\gamma}(1)) = (\bar{x}_\beta(s)z, \bar{x}_{-\gamma}(1)) = \bar{x}_{\beta-\gamma}(c_{\beta,-\gamma}s)$ . So  $s=0$  and  $r=0$ .

Finally if  $(\alpha | \beta) = 0$ , then there exists a third root  $\gamma$  so that  $(\alpha, \gamma, \beta)$  is an  $A_3$ -triple. Then

$$\bar{x}_{\alpha+\gamma}(c_{\alpha,\gamma}r) = (\bar{x}_\alpha(r), \bar{x}_\gamma(1)) = (\bar{x}_\beta(s)z, \bar{x}_\gamma(1)) = \bar{x}_{\beta+\gamma}(c_{\beta,\gamma}s),$$

but  $(\alpha + \gamma | \beta + \gamma) = 1$ . Thus  $r=s=0$  follows from the third case. So (Gr4) holds.  $\square$

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