

## INFINITE SERIES OF $\mathbb{T}$ -REGRESSIVE ISOLS

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1 Introduction.\* Let  $E$  denote the collection of all non-negative integers (numbers),  $\Lambda$  the collection of all isols,  $\Lambda_R$  the collection of all regressive isols, and  $\Lambda_{ZR}$  the collection of all cosimple regressive isols. Infinite series of regressive isols were defined by J. C. E. Dekker in [4]; A. Nerode in [14] associated with every recursive function  $f(x)$  an extension of  $f(x)$  to a mapping  $D_f(X)$  on  $\Lambda$ . In [1], J. Barback showed that  $D_f(X)$  for  $f$  an increasing recursive function and  $X \in \Lambda_R$  can be represented as an infinite series. Universal isols were introduced by E. Ellentuck in [6].

The collection  $\Lambda_{\mathbb{T}R}$  of  $\mathbb{T}$ -regressive isols was introduced in [8]. There a result was proved concerning an equality between infinite series of  $\mathbb{T}$ -regressive isols; viewing the extension of a recursive combinatorial function to  $\Lambda_R$  in terms of infinite series, this result led to a proof that  $\mathbb{T}$ -regressive isols are universal. In the present paper, three further results are obtained concerning equalities and inequalities between infinite series of isols when  $\mathbb{T}$ -regressive isols are involved. As applications of Theorem 1 below, we obtain new proofs of several previously known results concerning extensions of recursive functions to  $\Lambda_R$ . Theorem 3 below is used by M. Hasset in obtaining his main result of [10].

2 Preliminaries. We recall from [4] the definition of an infinite series of isols,  $\sum_{\mathbb{T}} a_n$ , where  $\mathbb{T}$  denotes an infinite regressive isol and  $a_n$  denotes a function from  $E$  into  $E$ :

$$\sum_{\mathbb{T}} a_n = \text{Req} \sum_0^{\infty} j(t_n, \nu(a_n))$$

where  $j(x, y)$  is a recursive function mapping  $E^2$  one-to-one onto  $E$ ,  $t_n$  is any regressive function ranging over a set in  $\mathbb{T}$ , and for any number  $n$ ,  $\nu(n) = \{x \mid x < n\}$ . By results in [4],  $\sum_{\mathbb{T}} a_n$  is an isol and is independent of the choice of the regressive function whose range is in  $\mathbb{T}$ . In [2], J. Barback studied infinite series of the form  $\sum_{\mathbb{T}} a_n$  where  $\mathbb{T} \leq^* a_{n-1}$ . The relation

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$T \leq^* a_{n-1}$  for  $T$  an infinite regressive isol and  $a_n$  a function from  $E$  into  $E$  implies that for every regressive function  $t_n$  ranging over a set in  $T$ ,  $t_n \leq^* a_{n+1}$ , that is, the mapping  $t_n \rightarrow a_{n+1}$  has a partial recursive extension. It was established in [2] that

(1) if  $T \leq^* a_n$ , then  $T \leq^* a_{n-1}$

and

(2) if  $T \leq^* a_{n-1}$ , then  $\sum_T a_n$  is a regressive isol, where

$$j(t_0, 0), \dots, j(t_0, a_0 - 1), j(t_1, 0), \dots, j(t_1, a_1 - 1), j(t_2, 0), \dots,$$

represents a regressive enumeration of a set belonging to  $\sum_T a_n$ .

For  $f$  an increasing recursive function, the  $e$ -difference function of  $f$ ,  $e_f$ , is defined by

$$e_f(0) = f(0) \\ e_f(n + 1) = f(n + 1) - f(n).$$

Since  $f$  is increasing and recursive,  $e_f$  is a recursive function, and it follows that for  $T$  an infinite regressive isol,  $T + 1 \leq^* e_f(n)$ . The following result is Proposition 2 of [1]:

Lemma 1. Let  $f(x)$  be an increasing recursive function. Then for any infinite regressive isol  $T$ ,

$$D_f(T) = \sum_{T+1} e_f(n).$$

A property of numbers is said to hold *eventually* if there is an  $n \in E$  such that  $x$  has the property for every  $x > n$ . In [8] a retraceable function  $a_n$  is called  $T$ -retraceable if it has the property that for each partial recursive function  $p(x)$ ,  $p(a_n) < a_{n+1}$  eventually. An infinite regressive isol is  $T$ -regressive if it contains a set which is the range of a  $T$ -retraceable function.  $\Lambda_{TR}$  denotes the collection of all  $T$ -regressive isols. It is known that cosimple  $T$ -regressive isols exist and that if  $T \in \Lambda_{TR}$ , then  $T + 1 \in \Lambda_{TR}$ .

3 An Inequality Between Infinite Series. We use the following two lemmas, stated here without proof, in the proof of Theorem 1 below.

Lemma 2 (Corollary 1 of [8]). Let  $T \in \Lambda_{TR}$  and let  $a_n$  and  $b_n$  be any functions such that both  $T \leq^* a_n$  and  $T \leq^* b_n$ . Then

$$\sum_T a_n = \sum_T b_n \implies a_n = b_n \text{ eventually.}$$

Lemma 3 (Theorem 1 of [9]). Let  $T \in \Lambda_R - E$  and  $b_n$  be a function such that  $T \leq^* b_n$ . Let  $A$  be an isol such that  $A \leq \sum_T b_n$ .

(Since  $\sum_T b_n \in \Lambda_R$ , it follows from results in [5] that  $A \in \Lambda_R$ .) Then there exists a function  $c_n$  such that

$$T \leq^* c_n, \\ c_n \leq b_n \text{ for all } n, \\ A = \sum_T c_n.$$

Theorem 1. Let  $T \in \Lambda_{TR}$  and let  $a_n$  and  $b_n$  be functions such that both  $T \leq^* a_n$  and  $T \leq^* b_n$ . Let

$$\sum_T a_n \leq \sum_T b_n.$$

Then  $a_n \leq b_n$  eventually.

*Proof:* Denote  $\sum_T a_n$  by  $A$ . Now using Lemma 3 there exists a function  $c_n$  such that  $T \leq^* c_n$ ,  $c_n \leq b_n$  for all  $n$ , and  $A = \sum_T c_n$ . Thus  $\sum_T a_n = \sum_T c_n$ . By Lemma 2, we have

$$a_n = c_n \text{ eventually}$$

and thus

$$a_n \leq b_n \text{ eventually.}$$

Corollary 1. Let  $T \in \Lambda_{TR}$  and let  $f$  and  $g$  be increasing recursive functions. Let  $D_f(T) \leq D_g(T)$ . Then  $f \leq g$  eventually.

*Proof:* Letting  $e_f$  and  $e_g$  denote the  $e$ -difference functions of  $f$  and  $g$  respectively, we have from Lemma 1 that

$$D_f(T) = \sum_{T+1} e_f(n) \quad D_g(T) = \sum_{T+1} e_g(n)$$

and thus

$$(3) \quad \sum_{T+1} e_f(n) \leq \sum_{T+1} e_g(n).$$

Since  $T+1 \in \Lambda_{TR}$  and  $T+1 \leq^* e_f(n)$ ,  $T+1 \leq^* e_g(n)$ , it follows from the theorem that

$$(4) \quad e_f(n) \leq e_g(n) \text{ eventually.}$$

It is then easy to see, using (3) and (4), that  $f \leq g$  eventually.

We remark here that Corollary 1 has been shown by J. Barback to be true for  $T$  any universal regressive isol; however, it is the stronger result of Theorem 1 that is needed for the four applications below.

Theorem A (Barback, [1]). Let  $f$  be a recursive function such that  $D_f(X)$  maps  $\Lambda_R$  into  $\Lambda_R$ . Then  $f$  is eventually increasing.

*Proof:* Let  $f^+$  and  $f^-$  denote recursive combinatorial functions such that  $f(x) = f^+(x) - f^-(x)$  for all  $x \in E$ . Then  $f^+$  and  $f^-$  are increasing recursive functions; let  $e_{f^+}$  and  $e_{f^-}$  denote their respective  $e$ -difference functions. Let  $T \in \Lambda_{TR}$ . By Corollary 3 of [1],

$$D_f(T) = \sum_{T+1} e_{f^+}(n) - \sum_{T+1} e_{f^-}(n).$$

Since  $D_f(T)$  is a member of  $\Lambda_R$ , it follows that

$$\sum_{T+1} e_{f^-}(n) \leq \sum_{T+1} e_{f^+}(n).$$

Now by Theorem 1 we have

$$e_{f^-}(n) \leq e_{f^+}(n) \text{ eventually}$$

which implies

$e_{j+}(n) - e_{j-}(n) \geq 0$  eventually,  $e_j(n) \geq 0$  eventually,  $f$  is eventually increasing.

The proof of Theorem B will be omitted; it follows that of Theorem A, with  $\Gamma$  taken to be a cosimple  $\Gamma$ -regressive isol.

**Theorem B (Catlin, [3]).** *Let  $f$  be a recursive function such that  $D_f(X)$  maps  $\Lambda_{\mathbb{Z}\mathbb{R}}$  into  $\Lambda_{\mathbb{Z}\mathbb{R}}$ . Then  $f$  is eventually increasing.*

**Theorem C (Sansone [15]).** *Let  $f$  be an increasing recursive function such that  $D_f(X)$  is ultimately order-preserving on  $\Lambda_{\mathbb{R}}$ . Then  $e_j$  is eventually increasing.*

*Proof:* Let  $\Gamma \in \Lambda_{\mathbb{T}\mathbb{R}}$ . Then  $\Gamma - 1 \leq \Gamma$ , so that, since  $D_f(X)$  is ultimately order-preserving,

$$D_f(\Gamma - 1) \leq D_f(\Gamma).$$

By Lemma 1,

$$\sum_{\Gamma} e_j(n) \leq \sum_{\Gamma+1} e_j(n).$$

Let the recursive function  $d_n$  be defined by

$$\begin{aligned} d(0) &= 0, \\ d(n+1) &= e_j(n). \end{aligned}$$

Then

$$\sum_{\Gamma} e_j(n) = \sum_{\Gamma+1} d(n)$$

and thus

$$\sum_{\Gamma+1} d(n) \leq \sum_{\Gamma+1} e_j(n).$$

Applying Theorem 1,

$$d(n) \leq e_j(n) \text{ eventually}$$

or

$$e_j(n-1) \leq e_j(n) \text{ eventually}$$

which says that the function  $e_j$  is eventually increasing.

Again by taking  $\Gamma$  to be a cosimple  $\Gamma$ -regressive isol, the proof of Theorem C yields the following result:

**Theorem D.** *Let  $f$  be an increasing recursive function such that  $D_f(X)$  is ultimately order-preserving on  $\Lambda_{\mathbb{Z}\mathbb{R}}$ . Then  $e_j$  is eventually increasing.*

We note here that the proofs of these four theorems actually yield stronger results than those stated. For example, in the proof of Theorem A, the hypothesis may be weakened to  $f$  being a recursive function such that  $D_f(\Gamma) \in \Lambda$  for some  $\Gamma$ -regressive isol  $\Gamma$ . Theorems B, C, and D may be similarly strengthened. These strengthened forms of the theorems may also be obtained by using the property that every  $\Gamma$ -regressive isol is

strongly universal (see Ellentuck, [7]). We note also that in the cited references for Theorems A, B, and C the results given are both necessary and sufficient conditions, so it is only one direction of each of these results which is obtained here.

4 Two Equalities Between Infinite Series.

Theorem 2. Let  $T, S \in \Lambda_{TR}$  and let  $a_n$  and  $b_n$  be functions such that  $1 \leq a_n$  and  $1 \leq b_n$  for all  $n \in E$ , and also  $T \leq^* a_n$  and  $S \leq^* b_n$ . Let  $\sum_T a_n = \sum_S b_n$ . Then there exists a number  $m \in E$  and an integer  $k \geq 1 - m$  such that

$$n \geq m \implies a_n = b_{n+k}.$$

Proof: Let  $t_n$  and  $s_n$  be T-retraceable functions ranging over sets in T and S, respectively. By (2),

$$j(t_0, 0), \dots, j(t_0, a_0 - 1), j(t_1, 0), \dots, j(t_1, a_1 - 1), j(t_2, 0), \dots, \\ j(s_0, 0), \dots, j(s_0, b_0 - 1), j(s_1, 0), \dots, j(s_1, b_1 - 1), j(s_2, 0), \dots,$$

represent regressive enumerations of sets belonging to  $\sum_T a_n$  and  $\sum_S b_n$ , respectively. Let  $g_n$  and  $\tilde{g}_n$  denote the respective regressive enumerations determined above. Since  $\sum_T a_n = \sum_S b_n$ , it follows from results in [5] that there exists a one-to-one partial recursive function  $p(x)$  such that  $(\forall n)[p(g_n) = \tilde{g}_n]$ . Because  $T \leq^* a_n$  and  $S \leq^* b_n$ , there will be partial recursive functions  $f_a$  and  $f_b$  such that  $(\forall n)[f_a(t_n) = a_n - 1]$  and  $(\forall n)[f_b(s_n) = b_n - 1]$ . It follows that the mapping

$$q(x) = kp^{-1}j(kpj(x, f_a(x)), lpj(x, f_b(x)) + 1)$$

is a partial recursive function. Because  $t_n$  is a T-retraceable function, there exists a number  $\bar{n}$  such that for  $n \geq \bar{n}$ ,  $q(t_n) < t_{n+1}$ . Consider a number  $n \geq \bar{n}$  and let  $kpj(t_n, a_n - 1)$  be denoted by  $j(s_x, y)$ . If  $y \neq b_x - 1$ , then  $q(t_n) = t_{n+1}$ , which is a contradiction. Thus for every  $n \geq \bar{n}$ ,  $kpj(t_n, a_n - 1)$  is a number of the form  $j(s_x, b_x - 1)$ . Because  $s_n$  is a T-retraceable function, we can use a similar argument to prove that there exists a number  $\bar{n}$  such that for every  $n \geq \bar{n}$ ,  $lp^{-1}j(s_n, b_n - 1)$  is a number of the form  $j(t_x, a_x - 1)$ . Let  $m$  be a number such that

$$m > \bar{n} \text{ and } (\forall n)(n \geq m \text{ and } kpj(t_n, 0) = j(s_x, 0) \implies x \geq \bar{n}).$$

Thus for  $n \geq m$ , the "blocks" of length  $a_n$  in the enumeration  $g_n$  will be mapped by  $p$  into "blocks" of length  $b_{n+k}$  in the enumeration  $\tilde{g}_n$ , where  $k \geq 1 - m$  since  $a_m = b_{m+k}$  with  $m + k \geq 1$ . This completes the proof.

Corollary 2.1. Let  $T, S \in \Lambda_{TR}$  and let  $f$  and  $g$  be strictly increasing regressive functions. Let  $D_f(T) = D_g(S)$ . Then there exists a number  $m \in E$  and an integer  $k \geq 1 - m$  such that

$$n \geq m \implies e_f(n) = e_g(n + k),$$

i.e., the rate of growth of  $f$  and  $g$  is "parallel."

Proof: By Lemma 1,

$$D_f(T) = D_g(S) \Rightarrow \sum_{T+1} e_f(n) = \sum_{S+1} e_g(n).$$

By Theorem 2, the result holds.

**Corollary 2.2.** *Let  $T, S \in \Lambda_{TR}$  and let  $f$  and  $g$  be strictly increasing recursive functions. Let*

$$(5) \quad D_f(T) = D_g(S).$$

*Then there exists a number  $u \in E$  such that  $T = S \pm u$ .*

*Proof:* From Corollary 2.1 there exists a number  $m \in E$  and an integer  $k$  such that

$$n \geq m \Rightarrow e_f(n) = e_g(n + k)$$

or

$$n \geq m \Rightarrow f(n) - f(n - 1) = g(n + k) - g(n + k - 1)$$

from which

$$n \geq m \Rightarrow f(n) = g(n + k) + \overline{m}, \overline{m} \text{ an integer}$$

or

$$(\forall n)(f(n + m) = g(n + m + k) + \overline{m}).$$

Thus for any  $A \in \Lambda$  we have

$$D_{f(n+m)}(A) = D_{g(n+m+k)+\overline{m}}(A)$$

which implies (by a result of A. Nerode)

$$D_f(A + m) = D_g(A + m + k) + \overline{m}.$$

In particular,

$$D_f(T) = D_f(T - m + m) = D_g(T - m + m + k) + \overline{m} = D_g(T + k) + \overline{m}.$$

Using (5),

$$(6) \quad D_g(S) = D_g(T + k) + \overline{m}.$$

By writing the extension mappings as infinite series and using a proof similar to that of Theorem 2, it is not difficult to show that for  $h$  a strictly increasing recursive function,  $A, B \in \Lambda_{TR}$ , and  $p$  some number  $\geq 1$ , we have

$$D_h(A) = D_h(B) + p \Rightarrow A = B + q \text{ for some } q \in E, q \geq 1.$$

It also becomes clear here that  $e_h$  is eventually a cyclic function of period  $q$ . Applying this to (6) we obtain the desired result; in addition, if  $\overline{m} \neq 0$ , we see that  $e_g$  (and hence  $e_f$ ) is eventually cyclic.

**Theorem 3.** *Let  $T \in \Lambda_{TR}$ ,  $S \in \Lambda_R - E$ , and let  $a_n$  and  $b_n$  be functions such that  $1 \leq a_n$  and  $1 \leq b_n$  for all  $n \in E$ , and also  $T \leq^* a_n$ ,  $S \leq^* b_{n-1}$ . Let  $\sum_T a_n = \sum_S b_n$ . Then there exists a number  $k \in E$  and a strictly increasing function  $h(n)$  such that*

$$\sum_{i=0}^k a_i = \sum_{i=0}^{h(0)} b_i$$

and

$$a_{k+n+1} = \sum_{i=h(n)+1}^{h(n+1)} b_i \text{ for all } n \in E.$$

*Proof:* Let  $t_n$  be a T-retraceable function ranging over a set in T and  $s_n$  a regressive function ranging over a set in S. By (2),

$$j(t_0, 0), \dots, j(t_0, a_0 - 1), j(t_1, 0), \dots, j(t_1, a_1 - 1), j(t_2, 0), \dots, \\ j(s_0, 0), \dots, j(s_0, b_0 - 1), j(s_1, 0), \dots, j(s_1, b_1 - 1), j(s_2, 0), \dots,$$

represent regressive enumerations of sets belonging to  $\sum_T a_n$  and  $\sum_S b_n$ , respectively. Let  $g_n$  and  $\tilde{g}_n$  denote the respective regressive enumerations determined above, and, since  $\sum_T a_n = \sum_S b_n$ , let  $p(x)$  be the one-to-one partial recursive function such that  $(\forall n)(p(g_n) = \tilde{g}_n)$ . An argument similar to that in the proof of Theorem 2 proves the existence of a number  $k$  such that for every  $n \geq k$ ,  $pj(t_n, a_n - 1)$  is a number of the form  $j(s_x, b_x - 1)$ . Then for every  $n \geq k + 1$ , every "a-block" in the enumeration  $g_n$  will be mapped by  $p$  into the sum of a number of "b-blocks" in the enumeration  $\tilde{g}_n$ . This completes the proof.

**Corollary 3.1.** *Let  $T \in \Lambda_{TR}$ ,  $S \in \Lambda_R - E$ , and let  $f$  and  $g$  be strictly increasing recursive functions. Let  $D_f(T) = D_g(S)$ . Then there exists a number  $k \in E$  and a strictly increasing recursive function  $h(n)$  such that*

$$f(n + k) = g(h(n)) \text{ for all } n \in E,$$

i.e.,  $f$  eventually takes on only values of  $g$ .

*Proof:* The result follows at once from the Theorem by applying Lemma 1.

**Corollary 3.2.** *Let  $T \in \Lambda_{TR}$ ,  $S \in \Lambda_R - E$ , and let  $f$  and  $g$  be strictly increasing recursive functions. Let  $D_f(T) = D_g(S)$ . Then there exists a number  $k \in E$  and a strictly increasing recursive function  $h(n)$  such that*

$$S = D_h(T - k).$$

*Proof:* By Corollary 3.1, there exists a number  $k \in E$  and a strictly increasing recursive function  $h(n)$  such that

$$(\forall n) [f(n + k) = g(h(n))].$$

Thus

$$D_g(S) = D_f(T) = D_{f(n+k)}(T - k) = D_{g(h(n))}(T - k) = D_g(D_h(T - k)).$$

Since  $h$  is a strictly increasing recursive function, by results in [1],  $D_h(T - k) \in \Lambda_R$ . Also, by a result of A. Nerode, if  $g$  is a strictly increasing recursive function, then  $D_g$  is one-to-one on  $\Lambda_R$  and hence

$$D_g(S) = D_g(D_h(T - k)) \implies S = D_h(T - k).$$

This completes the proof.

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