Notre Dame Journal of Formal Logic Volume XVII, Number 1, January 1976 NDJFAM

## A PATCHING LEMMA

## K. K. HICKIN and J. M. PLOTKIN

Let S be a set. A local system L on S is a collection of subsets of S such that for each finite subset  $\{x_1, \ldots, x_n\} \subseteq S$  there is an  $H \in L$  with  $\{x_1, \ldots, x_n\} \subseteq H$ . In [2] we stated the following patching lemma which we called Theorem H and which group theorists have found useful in proving local theorems (see [8], pp. 96-100):

Patching lemma Let L be a local system on S, F a set, n a positive integer. Suppose that for each  $H \in L$  there is a function  $f_H: H^n \to F$  and  $\{f_H(x) | H \in L\}$ is finite for each  $x \in S^n$ . Then there is a function  $f: S^n \to F$  such that for any finite subset  $K \subseteq S^n$  there is an  $H \in L$  with  $K \subseteq H^n$  and  $f | K = f_H | K$ .

We now give a proof of this lemma based on the Boolean prime ideal theorem (BPI) and some simple properties of ultrafilters. By [1] this really avoids the axiom of choice.

*Proof:* For each  $x \in S^n$  let  $I_x = \{H \in L | x \in H^n\}$ .  $I_x \subseteq L$  and by the properties of local systems  $\{I_x | x \in S^n\}$  has the finite intersection property. By **BPI** there is a nontrivial ultrafilter  $\mathcal{M}$  on L such that  $I_x \in \mathcal{M}$  for each  $x \in S^n$ .

For each  $x \in S^n$  let  $A_x = \{f_H(x) \mid H \in L\}$ . By assumption each  $A_x$  is finite. For  $x \in S^n$ ,  $a \in A_x$  let  $V(x, a) = \{H \in L \mid x \in H^n, f_H(x) = a\}$ . It is easy to see that  $I_x = \bigcup \{V(x, a) \mid a \in A_x\}$ . Hence  $\bigcup \{V(x, a) \mid a \in A_x\} \in \mathcal{M}$ . But  $\{V(x, a) \mid a \in A_x\}$  is a finite collection of disjoint sets whose union belongs to the ultrafilter  $\mathcal{M}$ . Thus there is a unique  $a^* \in A_x$  such that  $V(x, a^*) \in \mathcal{M}$ . We now define  $f: S^n \to F$  as follows:  $f(x) = a^*$  where  $V(x, a^*) \in \mathcal{M}$ . Let K be a finite subset of  $S^n$ .  $\{V(x, f(x)) \mid x \in K\}$  is a finite collection of elements of  $\mathcal{M}$ . Hence  $\bigcap \{V(x, f(x)) \mid x \in K\} \in \mathcal{M}$  and there is an  $H \in L$  which is in this intersection. If  $x \in K$  then  $x \in H^n$  and  $f_H(x) = f(x)$ . And f has the desired property.

Remarks With n = 1 and  $L = \{H | H \text{ finite subset of } S\}$  the patching lemma is the well-known Rado selection lemma [6]. In [3] W. A. J. Luxemburg gave a proof of Rado's lemma using ultraproducts. Our proof avoids the mention of ultraproducts. With n = 1 and L a net (in the sense of A. Robinson) we obtain Robinson's valuation lemma [7].

Received March 2, 1974

## 158

The patching lemma can also be of use when S and F support additional structure. For example, if S and F are universes of relational systems of the same similarity type and F is finite and  $L = \{H | H \text{ a finite subsystem of } \}$ S and each  $f_{H}$  is a homomorphism, then f is a homomorphism. The local nature of the definition of homomorphism makes this property of f easily verifiable. This example is called Grätzer's theorem by Y. Nakano in [5]. Employing this example when S is a Boolean algebra, F is the two-element Boolean algebra and  $f_H$  is a homomorphism such that  $f_H[H \cap I] = \{0\}$  where I is a given ideal of S leads to a proof of **BPI**. In this application one employs the axiom of choice for families of finite sets (ACF) in picking an  $f_H$  for each finite subalgebra H. Thus in Zermelo-Fraenkel set theory (ZF) together with ACF we have the equivalence of the patching lemma and BPI. And further we can say that the patching lemma is independent of ZF + ACF. In the model of ZF + ACF which appears in [1] the patching lemma holds and in the model of ZF + ACF which appears in [4] the patching lemma fails. In fact, these two models show the independence of the patching lemma with respect to the stronger axiom system ZF + "The universe is linearly ordered."

Acknowledgement: The authors wish to express their thanks to N. G. de Bruijn for informing them of Luxemburg's work and for making very helpful comments on an earlier version of the proof.

## REFERENCES

- Halpern, J. D., and A. Lévy, "The Boolean prime ideal theorem does not imply the axiom of choice," *Proceedings of the Symposium on Pure Mathematics*, vol. 13, part 1 (1971), pp. 83-134, American Mathematical Society, Providence, Rhode Island.
- Hickin, K. K., and J. M. Plotkin, "On the equivalence of three local theorem techniques," *Proceedings of the American Mathematical Society*, vol. 35 (1972), pp. 389-392.
- [3] Luxemburg, W. A. J., "A remark on a paper by N. G. de Bruijn and P. Erdos," Proceedings of the Koninklijke Nederlandse Akademie van Wetenschappen, Amsterdam, Series A, vol. 65 (1962), pp. 343-345.
- [4] Mathias, A. R. D., The order extension principle, mimeographed notes, University of California, Los Angeles Set Theory Conference, 1967.
- [5] Nakano, Y., "An application of A. Robinson's proof of the completeness theorem," Proceedings of the Japan Academy of Sciences, vol. 47, Supplement (1971), pp. 929-931.
- [6] Rado, R., "A selection lemma," Journal of Combinatorial Theory, vol. 10 (1971), pp. 176-177.
- [7] Robinson, A., "On the construction of models," in Essays on the Foundations of Mathematics, Magnes Press, Hebrew University, Jerusalem (1961), pp. 207-217.

[8] Robinson, D. J. S., Finiteness Conditions and Generalized Soluble Groups, Vol. 2, Springer-Verlag, New York (1972).

Michigan State University East Lansing, Michigan