

# Radiation conditions and spectral theory for 2-body Schrödinger operators with “oscillating” long-range potentials III

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(Received July 11, 1980)

## Introduction

In the recent works ([1], [2], [3]) we have developed a spectral theory for the Schrödinger operators  $-\Delta + V(x)$ , in an exterior domain  $\Omega$  of  $\mathbf{R}^n$ , with some real “oscillating” long-range potentials  $V(x)$ . Roughly speaking,  $V(x)$  is called “oscillating” long-range if it behaves as  $r=|x| \rightarrow \infty$  like

$$(0.1) \quad \begin{aligned} V(x) &= O(1), \quad \partial_r V(x) = O(r^{-1}) \quad (\partial_r = \partial/\partial r) \quad \text{and} \\ \partial_r^2 V(x) + a V(x) &= O(r^{-1-\delta}) \quad \text{for some } a \geq 0 \quad \text{and } \delta > 0. \end{aligned}$$

For example, the potential

$$V(x) = \frac{c \sin br}{r} \quad (b, c \text{ are non-zero real})$$

satisfies the above conditions with  $a=b^2$  and  $\delta=1$ .

In this paper we shall modify our previous results to the case of potentials which consist of the sum of several “oscillating” long-range potentials. Note that the last condition of (0.1) is not satisfied by the potential

$$V(x) = \frac{c_1 \sin b_1 r}{r} + \frac{c_2 \sin b_2 r}{r}$$

( $b_1, b_2, c_1, c_2$  are non-zero real) unless  $b_1=b_2$ . So the results of [2] and [3] are not directly applied to this type of potentials, and it is necessary to make some modification.

For this purpose we return to the semi-abstract theory developed in the first half of [2], where we gave a sufficient condition under which the principle of limiting absorption are justified for the exterior boundary-value problem

$$(0.2) \quad \begin{cases} \{-\Delta + V(x) - \zeta\} u = f(x) & \text{in } \Omega \\ Bu = \begin{cases} u & \text{or} \\ \nu \cdot \nabla u + d(x)u \end{cases} = 0 & \text{on } \partial\Omega. \end{cases}$$

Here  $\zeta$  is a complex number,  $\nu=(\nu_1, \dots, \nu_n)$  is the outer unit normal to the boundary  $\partial\Omega$ ,  $\nabla$  is the gradient in  $\mathbf{R}^n$  and  $d(x)$  is a real-valued smooth function on  $\partial\Omega$ .

The condition is summarized as follows:

**Assumption 1** ([2]). There exist real constants  $\delta>0$ ,  $A_\delta$  and a real function  $\gamma(\lambda)$  of  $\lambda>A_\delta$  such that

$$(0.3) \quad 0<\gamma(\lambda)<\min\{4\delta, 2\}$$

and the following growth property holds: Let  $u\in H_{loc}^2(\bar{\Omega})$  satisfy

$$(0.4) \quad \{-\Delta+V(x)-\lambda\}u=0 \quad \text{in } \Omega$$

with  $\lambda>A_\delta$ . If we have the inequality

$$(0.5) \quad \int_{B(R_0)} (1+r)^{-1+\beta} |u|^2 dx < \infty$$

for some  $\beta>\gamma(\lambda)/2$  and  $R_0>0$ , where  $B(R_0)=\{x\in\Omega; |x|>R_0\}$ , then  $u$  must identically vanish in  $\Omega$ .

**Assumption 2** ([2]). Let

$$(0.6) \quad \Pi_\delta^\pm = \{\zeta = \lambda \pm i\tau \in C; \lambda > A_\delta \text{ and } \tau \geq 0\}.$$

and let  $K^\pm$  be a compact set in  $\Pi_\delta^\pm$ . Then there exists an  $R_1=R_1(K^\pm)>R_0$  and a complex-valued function  $k(x, \zeta)=k(x, \lambda \pm i\tau)$  which is continuous in  $(x, \zeta)\in B(R_1)\times K^\pm$  and satisfies the following conditions: for any  $(x, \zeta)\in B(R_1)\times K^\pm$

$$(A2-1) \quad |V(x) - \zeta + \partial_r k(x, \zeta) + \frac{n-1}{r} k(x, \zeta) - k(x, \zeta)^2| \leq C_1 r^{-1-\delta},$$

$$(A2-2) \quad |k(x, \zeta)| \leq C_2,$$

$$(A2-3) \quad \mp \operatorname{Im} k(x, \lambda \pm i\tau) \geq C_3,$$

$$(A2-4) \quad \operatorname{Re} k(x, \zeta) - \frac{n-1-\beta}{2r} \geq C_4 r^{-1}.$$

$$(A2-5) \quad |(\nabla - \tilde{x}\partial_r)k(x, \zeta)| \leq C_5 r^{-1-\delta} \quad (\tilde{x}=x/|x|).$$

Here  $C_j=C_j(K^\pm)>0$  ( $j=1\sim 5$ ) and  $\beta=\beta(K^\pm)>0$  is chosen as follows:

$$(A2-6) \quad \gamma(\lambda)/2 < \beta < 2\delta \quad \text{for any } \zeta = \lambda \pm i\tau \in K^\pm \text{ and } \beta \leq 1.$$

We shall show that the above assumptions can be verified for a class of potentials consisting of the sum of several "oscillating" long-range potentials.

The main results of this paper will be summarized in §1 in three theorems. Theorem 1 which asserts the growth property of solutions of (0.4) is a consequence of [1]. Theorem 2 summarizes results concerning the principle of limiting absorption. We shall prove it in §2. Theorem 3 summarizes results concerning spectral representations for the selfadjoint realization of  $-\Delta+V(x)$  in the Hilbert space  $L^2(\Omega)$ . An outline of the proof will be given in §3 (we can

follow the same line of proof of [3]). Finally, in §4 we shall give several examples.

### §1. Conditions and results

Let  $\Omega$  be an infinite domain in  $\mathbf{R}^n$  with smooth compact boundary  $\partial\Omega$  lying inside some sphere  $S(R_0) = \{x; |x| = R_0\}$ . We consider in  $\Omega$  the Schrödinger operator  $-\Delta + V(x)$ , where  $\Delta$  is the Laplacian and  $V(x)$  is a potential function of the form

$$(1.1) \quad V(x) = V_1(x) + V_s(x) = \sum_{j=1}^m V_{1j}(x) + V_s(x).$$

$V_s(x)$  is a short-range potential and the  $V_{1j}(x)$  are "oscillating" long-range potentials. More precisely, we assume:

(V1)  $V_1(x)$  is a real-valued function belonging to a Stummel class  $Q_\mu$  ( $\mu > 0$ ) and  $V_s(x)$  is a real-valued bounded measurable function in  $\Omega$ . Moreover, the unique continuation property holds for both  $-\Delta + V(x)$  and  $-\Delta + V_1(x)$ .

(V2) For some  $0 < \delta_l \leq 1$  ( $l = 0, 1, 2$ ) and  $0 < \varepsilon_j \leq 1$  ( $j = 1, \dots, m$ ),

$$(i) \quad V_{1j}(x) = O(r^{-\varepsilon_j}),$$

$$(ii) \quad \partial_r V_{1j}(x) = O(r^{-1}),$$

$$(iii) \quad \partial_r^2 V_{1j}(x) + a_j(r) V_{1j}(x) = O(r^{-1-\delta_1}),$$

$$(iv) \quad (\nabla - \tilde{x} \partial_r) V_{1j}(x) = O(r^{-1-\delta_2}),$$

$$(v) \quad (\nabla - \tilde{x} \partial_r) \partial_r V_{1j}(x) = O(r^{-1-\delta_1}),$$

$$(vi) \quad (\nabla - \tilde{x} \partial_r) \cdot (\nabla - \tilde{x} \partial_r) V_{1j}(x) = O(r^{-1-2\delta_2}),$$

$$(vii) \quad V_s(x) = O(r^{-1-\delta_0})$$

as  $r = |x| \rightarrow \infty$ , where the  $a_j(r)$  ( $j = 1, \dots, m$ ) are non-negative functions of  $r > R_0$  satisfying

$$(1.2) \quad a_1(r) \geq a_2(r) \geq \dots \geq a_m(r) \geq 0,$$

$$(1.3) \quad a_j(r) = O(r^{-\tau_j}), \quad a'_j(r) = \frac{d}{dr} a_j(r) = O(r^{-\mu_j})$$

$$\text{and} \quad a''_j(r) = \frac{d^2}{dr^2} a_j(r) = O(r^{-1-\delta_1+\varepsilon_j})$$

with  $\tau_j = \max\{0, 1 + \delta_1 - \varepsilon_j - \min\{\varepsilon_j\}\}$  and  $\mu_j$  such that  $\mu_j \geq \delta_1$  and  $\mu_j > 1 - \varepsilon_j$ .

**Remark 1.1.** (V2-i) is stronger than the corresponding condition required in [2] and [3] (cf., (0.1)). (V2-vi) is used only to show (e) of Theorem 3 stated below (cf., [3]).

**Lemma 1.1.** If  $\delta_1$  and  $\{\varepsilon_j\}$  satisfy  $\max\{\varepsilon_j\} - \min\{\varepsilon_j\} \leq 1 - \delta_1$ ,  $0 < \delta_1$ ,  $\varepsilon_j \leq 1$ , and

$$a_j(r) = O(r^{-2+2\varepsilon_j}), \quad a'_j(r) = O(r^{-1}) \quad \text{and} \quad a''_j(r) = O(r^{-2}),$$

$a_j(r)$  satisfies the condition (1.3).

*Proof.* Obvious from  $2-2\varepsilon_j \geq 1+\delta_1-\varepsilon_j-\min\{\varepsilon_j\}$ ,  $1 \geq \delta_1$ ,  $1 > 1-\varepsilon_j$  and  $2 > 1+\delta_1-\varepsilon_j$ . q. e. d.

**Lemma 1.2.** (cf., [2]; Remark 8.5). *If  $\delta_1$  and  $\{\varepsilon_j\}$  are as above, and*

$$a_j(r)=0(r^{-2+2\varepsilon_j}) \quad \text{and} \quad a'_j(r), a''_j(r)=0(r^{-1-\delta_1+\varepsilon_j}),$$

$a_j(r)$  satisfies the condition (1.3).

*Proof.* Obvious from  $2-2\varepsilon_j \geq 1+\delta_1-\varepsilon_j-\min\{\varepsilon_j\}$ ,  $1+\delta_1-\varepsilon_j \geq \delta_1$  and  $1+\delta_1-\varepsilon_j > 1-\varepsilon_j$ . q. e. d.

In the following we put  $\delta = \min\{\delta_0, \delta_1, \delta_2\}$  and

$$a_j^* = \limsup_{r \rightarrow \infty} a_j(r) (\geq 0).$$

Then we have by (1.2)

$$a_1^* \geq a_2^* \geq \dots \geq a_m^* \geq 0.$$

For  $V_{1j}(x)$  satisfying (V2-i) and (V2-ii) we put

$$(1.4) \quad E(\gamma) = \frac{1}{\gamma} \limsup_{r \rightarrow \infty} \{r \partial_r V_1(x) + \gamma V_1(x)\} = \frac{1}{\gamma} \limsup_{r \rightarrow \infty} \{r \partial_r V_1(x)\} (\gamma > 0).$$

Then obviously (cf., [2]; Lemma 8.2)  $E(\gamma) \geq \lim_{r \rightarrow \infty} V_1(x) = 0$  and we have for  $0 < \gamma \leq 2$ ,

$$(1.5) \quad 0 \leq E(2) \leq E(\gamma) \leq \frac{1}{\gamma} \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \left\{ \sum_{j=1}^l r \partial_r V_{1j}(x) \right\} \\ \leq \frac{1}{\gamma} \sum_{j=1}^m \limsup_{r \rightarrow \infty} \{r \partial_r V_{1j}(x)\} < \infty.$$

The following theorem is already proved in [1] (Theorems 1, 2 and Remark 2).

**Theorem 1.** *Suppose that  $V(x)$  satisfies (V1), (V2-i), (V2-ii) and (V2-vii). Then we have:*

(a) *Let  $\lambda > E(2)$  and  $u \in H_{\text{loc}}^2(\bar{\Omega})$  be a not identically vanishing solution of  $\{-\Delta + V(x) - \lambda\}u = 0$  in  $\Omega$ . Then for any  $\tilde{r}$  such that*

$$0 < \tilde{r} < 2 \quad \text{and} \quad E(2) \leq E(\tilde{r}) < \lambda,$$

*we have*

$$\lim_{R \rightarrow \infty} R^{-1+\tilde{r}/2} \int_{R_0 < |x| < R} |u(x)|^2 dx = \infty.$$

(b) *Any selfadjoint realization of  $-\Delta + V(x)$  in  $L^2(\Omega)$  has no eigenvalue in  $(E(2), \infty)$ .*

We put for  $\sigma > 0$

$$(1.6) \quad A_\sigma = \frac{1}{\min\{4\sigma, 2\}} \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \left\{ \sum_{j=1}^l r \partial_r V_{1j}(x) \right\} + \frac{1}{4} a_1^*$$

and

$$\Pi_{\delta}^{\pm} = \left\{ \zeta = \lambda \pm i\tau; \lambda > A_{\delta} \text{ and } \frac{1}{2} \left( \lambda - \frac{1}{4} a_1^* \right) \geq \tau \geq 0 \right\}.$$

For  $\zeta \in \Pi_{\delta}^{\pm}$  let

$$(1.7) \quad \eta_j(r) = \frac{4\zeta}{4\zeta - a_j(r)} \quad \text{and}$$

$$(1.8) \quad \eta(r) \cdot V_1(x) = \sum_{j=1}^m \eta_j(r) V_{1j}(x).$$

**Lemma 1.3.** *Let  $K^{\pm}$  be any compact set of  $\Pi_{\delta}^{\pm}$ . Then there exist  $R_2 = R_2(K^{\pm}) > R_0$  and  $C = C(K^{\pm}) > 1$  such that*

$$0 \leq \pm \operatorname{Im} \{ \zeta - \eta(r) \cdot V_1(x) \} \leq C,$$

$$C^{-1} \leq \operatorname{Re} \{ \zeta - \eta(r) \cdot V_1(x) \} \leq C$$

for any  $(x, \zeta) \in B(R_2) \times K^{\pm}$ .

*Proof.* It follows from (1.7) and (1.8) that

$$\operatorname{Im} \{ \zeta - \eta(r) \cdot V_1(x) \} = \operatorname{Im} \zeta \left\{ 1 + \sum_{j=1}^m \frac{4a_j(r)V_{1j}(x)}{|4\zeta - a_j(r)|^2} \right\},$$

$$\operatorname{Re} \{ \zeta - \eta(r) \cdot V_1(x) \} = \operatorname{Re} \zeta - V_1(x) - \sum_{j=1}^m \operatorname{Re} \frac{a_j(r)V_{1j}(x)}{4\zeta - a_j(r)}.$$

Here by (V2-i), (1.5) and (1.6),

$$(1.9) \quad \lim_{r \rightarrow \infty} V_{1j}(x) = 0 \quad \text{and} \quad \operatorname{Re} \zeta > \frac{1}{4} a_1^* \geq \frac{1}{4} \limsup_{r \rightarrow \infty} a_j(r) \quad \text{for any } j.$$

Thus, noting the boundedness of  $a_j(r)$ , we have the assertion of the lemma.

q. e. d.

Let  $\gamma(\lambda)$ ,  $\lambda > A_{\delta}$ , be defined by

$$(1.10) \quad \gamma(\lambda) = \begin{cases} \delta & \text{if } A_{\delta} = 0 \\ \frac{2A_{\delta}}{A_{\delta} + \lambda} \min \{4\delta, 2\} & \text{if } A_{\delta} > 0. \end{cases}$$

**Lemma 1.4.** *We have for any  $\lambda > A_{\delta}$*

$$0 < \gamma(\lambda) < \min \{4\delta, 2\},$$

$$\begin{aligned} E(\gamma(\lambda)) &\leq \frac{1}{\gamma(\lambda)} \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \left\{ \sum_{j=1}^l r \partial_r V_{1j}(x) \right\} + \frac{1}{4} a_1^* \\ &\leq \frac{1}{2} (A_{\delta} + \lambda) < \lambda. \end{aligned}$$

*Proof.* The first assertion is obvious from the definition of  $\gamma(\lambda)$ . If  $A_{\delta} = 0$ , the second assertion is also obvious since  $a_1^* = 0$  and  $\limsup_{r \rightarrow \infty} \left\{ \sum_{j=1}^l r \partial_r V_{1j}(x) \right\} = 0$  ( $l = 1, \dots, m$ ). On the other hand, if  $A_{\delta} > 0$ , we have noting (1.6)

$$\begin{aligned} & \frac{1}{\gamma(\lambda)} \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \left\{ \sum_{j=1}^l r \partial_r V_{1j} \right\} + \frac{1}{4} a_1^* \\ & = \frac{A_\delta + \lambda}{2A_\delta} \left( A_\delta - \frac{1}{4} a_1^* \right) + \frac{1}{4} a_1^* \leq \frac{1}{2} (A_\delta + \lambda). \end{aligned}$$

The lemma is proved.

q. e. d.

For  $\mu \in \mathbf{R}$  and  $G \subset \Omega$ , let  $L_\mu^2(G)$  denote the space of all functions  $f(x)$  such that

$$\|f\|_{\mu, G}^2 = \int_G (1+r)^{2\mu} |f(x)|^2 dx < \infty.$$

If  $\mu=0$  or  $G=\Omega$ , the subscript  $\mu$  or  $G$  will be omitted. Let  $k(x, \zeta)$ ,  $(x, \zeta) \in B(R_2) \times K^\pm$ , be defined by

$$(1.11) \quad k(x, \zeta) = -i\sqrt{\zeta - \eta(r) \cdot V_1(x)} + \frac{n-1}{2r} + \frac{-\partial_r \{\eta(r) \cdot V_1(x)\}}{4\{\zeta - \eta(r) \cdot V_1(x)\}},$$

where  $R_2 = R_2(\zeta)$  and we take the branch  $\text{Im} \sqrt{\cdot} \geq 0$ .

**Definition.** For solutions  $u \in H_{\text{loc}}^2(\bar{\Omega})$  of (0.2) with  $\zeta \in \Pi_\delta^\pm$ , the outgoing (+) [or incoming (−)] radiation condition at infinity is defined by

$$(1.12)_\pm \quad u \in L_{(-1-\alpha)/2}^2(\Omega) \quad \text{and} \quad \partial_r u + k(x, \zeta)u \in L_{(-1+\beta)/2}^2(B(R_2)),$$

where  $\alpha = \alpha(\zeta)$ ,  $\beta = \beta(\zeta)$  is a pair of positive constants such that

$$(1.13) \quad 0 < \alpha \leq \beta \leq 1, \quad \frac{1}{2} \gamma(\text{Re } \zeta) < \beta < 2\delta \quad \text{and} \quad \alpha \leq 2\delta - \beta.$$

A solution  $u$  of (0.2) which also satisfies the radiation condition  $(1.12)_+$  [or  $(1.12)_-$ ] is called an outgoing [incoming] solution.

We are now ready to state two theorems concerning the principle of limiting absorption and spectral representations for the Schrödinger operator  $-\Delta + V(x)$ .

**Theorem 2.** Suppose that  $V(x)$  satisfies (V1), (V2-i)~(V2-v) and (V2-vii). Then we have:

(a) Let  $K^\pm$  be a compact set of  $\Pi_\delta^\pm$ , let  $\gamma(K^\pm) = \max_{\zeta \in K^\pm} \gamma(\lambda)$  ( $\lambda = \text{Re } \zeta$ ), and let  $\alpha = \alpha(K^\pm)$ ,  $\beta = \beta(K^\pm)$  be a pair satisfying (1.13) with  $\gamma(\lambda)$  replaced by  $\gamma(K^\pm)$ . Then for any  $\zeta = \lambda \pm i\tau \in K^\pm$  and  $f \in L_{(1+\beta)/2}^2(\Omega)$ , (0.2) has a unique outgoing [incoming] solution  $u = u(x, \lambda \pm i\tau) = R_{\lambda \pm i\tau} f$ , which also satisfies the inequalities

$$\|u\|_{(-1-\alpha)/2} \leq C \|f\|_{(1+\beta)/2},$$

$$\|\Gamma u + \tilde{x} k(x, \lambda \pm i\tau) u\|_{(-1+\beta)/2, B(R_2)} \leq C \|f\|_{(1+\beta)/2},$$

where  $C = C(K^\pm) > 0$  and  $R_3 = R_3(K^\pm) \geq R_2(K^\pm)$  are independent of  $f$ .

(b) Let  $R_\zeta^*: L_{(1+\alpha)/2}^2(\Omega) \rightarrow L_{(-1-\beta)/2}^2(\Omega)$  be the adjoint of  $R_\zeta$ . Then

$$R_{\lambda \pm i\tau}^* f = R_{\lambda \mp i\tau} f \quad \text{for} \quad f \in L_{(1+\beta)/2}^2(\Omega).$$

(c)  $u = R_\zeta f$  is continuous in  $L_{(-1-\alpha)/2}^2(\Omega)$  with respect to  $(\zeta, f) \in K^\pm \times L_{(1+\beta)/2}^2(\Omega)$ .

(d) Let  $L$  be the selfadjoint operator in  $L^2(\Omega)$  defined by

$$\begin{cases} \mathcal{D}(L) = \{u \in H^2(\Omega); Bu|_{\partial\Omega} = 0\} \\ Lu = -\Delta u + V(x)u \quad \text{for } u \in \mathcal{D}(L), \end{cases}$$

and let  $\{\mathcal{E}(\lambda); \lambda \in \mathbf{R}\}$  be its spectral measure. Then for any Borel set  $e \subseteq (A_\delta, \infty)$ ,  $f \in L^2_{(-1-\beta)/2}(\Omega)$  and  $g \in L^2_{(1+\alpha)/2}(\Omega)$  ( $\alpha, \beta$  is chosen as above with  $K^+ = \bar{e}$ ) we have

$$(\mathcal{E}(e)f, g) = \frac{1}{2\pi i} \int_e (\{R_{\lambda+i0} - R_{\lambda-i0}\}f, g) d\lambda,$$

where  $(\cdot, \cdot)$  denotes the inner product in  $L^2(\Omega)$ , or more generally, the duality between  $L^2_{(-1-\alpha)/2}(\Omega)$  and  $L^2_{(1+\alpha)/2}(\Omega)$ . Namely, the part of  $L$  in  $\mathcal{E}((A_\delta, \infty))L^2(\Omega)$  is absolutely continuous with respect to the Lebesgue measure.

**Theorem 3.** Suppose that  $V(x)$  satisfies (V1) and (V2) with  $\delta_l > 1/2$  ( $l=1, 2$ ). Then we have:

(a) For any  $\varepsilon > 0$  and  $\lambda \geq A_\delta + \varepsilon$  there exist bounded linear operators  $\mathcal{F}_\pm(\lambda): L^2_{(1+2\delta)/2}(\Omega) \rightarrow L^2(S^{n-1})(S^{n-1} = \{x; |x|=1\})$  such that each  $\mathcal{F}_\pm(\lambda)f \in L^2(S^{n-1})$  depends continuously on  $(\lambda, f) \in (A_\delta + \varepsilon, \infty) \times L^2_{(1+2\delta)/2}(\Omega)$ , and the following relations hold:

$$(\mathcal{F}_\pm(\lambda)f, \mathcal{F}_\pm(\lambda)g)_{L^2(S^{n-1})} = -\frac{1}{2\pi i} (R_{\lambda+i0}f - R_{\lambda-i0}f, g),$$

$$(\mathcal{E}(e)f, g) = \int_e (\mathcal{F}_\pm(\lambda)f, \mathcal{F}_\pm(\lambda)g)_{L^2(S^{n-1})} d\lambda, \quad e \subseteq (A_\delta + \varepsilon, \infty).$$

(b) The operators  $\mathcal{F}_\pm: L^2_{(1+2\delta)/2}(\Omega) \rightarrow L^2((A_\delta + \varepsilon, \infty) \times S^{n-1})$  defined by  $[\mathcal{F}_\pm f](\lambda, \tilde{x}) = [\mathcal{F}_\pm(\lambda)f](\tilde{x})$  can be uniquely extended by continuity to partial isometric operators from  $L^2(\Omega)$  into  $L^2((A_\delta + \varepsilon, \infty) \times S^{n-1})$  with initial set  $\mathcal{E}((A_\delta + \varepsilon, \infty))L^2(\Omega)$ .

(c) For any bounded Borel function  $b(\lambda)$  on  $(A_\delta + \varepsilon, \infty)$ , the following relation holds:

$$\mathcal{F}_\pm b(L) = b(\lambda) \mathcal{F}_\pm.$$

(d) Let  $\mathcal{F}_\pm^*: L^2((A_\delta + \varepsilon, \infty) \times S^{n-1}) \rightarrow L^2(\Omega)$  be the adjoint operators of  $\mathcal{F}_\pm$ . Then each  $\mathcal{F}_\pm^*$  admits the representation

$$\mathcal{F}_\pm^* \hat{f} = \text{strong } \lim_{N \rightarrow \infty} \int_{A_\delta + \varepsilon}^N \mathcal{F}_\pm(\lambda)^* \hat{f}(\lambda) d\lambda \quad \text{in } L^2(\Omega)$$

for any  $\hat{f} \in L^2((A_\delta + \varepsilon, \infty) \times S^{n-1})$ , where  $\mathcal{F}_\pm(\lambda)^*: L^2(S^{n-1}) \rightarrow L^2_{(-1-2\delta)/2}(\Omega)$  is the adjoint of  $\mathcal{F}_\pm(\lambda)$ .

(e) Let  $\tilde{\delta} = \min\{\delta, 2\delta_2 - 1\}$ . Then each  $\mathcal{F}_\pm$  maps  $\mathcal{E}((A_\delta + \varepsilon, \infty))L^2(\Omega)$  onto  $L^2((A_{\tilde{\delta}} + \varepsilon, \infty) \times S^{n-1})$ , that is,  $\mathcal{F}_\pm$  restricted on  $\mathcal{E}((A_{\tilde{\delta}} + \varepsilon, \infty))L^2(\Omega)$  is a unitary operator.

**Remark 1.2.** In [3] we neglect the fact that the construction of  $\mathcal{F}_\pm(\lambda)$  depends in general on  $\varepsilon$ . So the above is a correction of [3]. Note that for two  $\varepsilon, \varepsilon' > 0$  and  $\lambda > A_\delta + \max\{\varepsilon, \varepsilon'\}$ , the corresponding operators  $\mathcal{F}_\pm(\lambda)$  and  $\mathcal{F}'_\pm(\lambda)$  are unitary equivalent to each other in  $L^2(S^{n-1})$ .

## § 2. Proof of Theorem 2

As we see in [2] (Theorems 1~5), all the assertions of Theorem 2 hold true under Assumptions 1 ([2]) and 2 ([2]) stated in the introduction of this article. So to complete the proof, we have only to check that these assumptions are satisfied by  $\Lambda_\delta$ ,  $\gamma(\lambda)$  and  $k(x, \zeta)$  given in the previous section.

Assumption 1 ([2]) directly follows from Theorem 1 and the definition of  $\Lambda_\delta$  and  $\gamma(\lambda)$ . In fact, let  $\lambda > \Lambda_\delta$  and  $u$  satisfy (0.4) and (0.5) for some  $\beta > \gamma(\lambda)/2$  (without loss of generality we can assume  $\beta \leq 1$ ). Then we have

$$R^{-1+\gamma(\lambda)/2} \int_{R_0 < |x| < R} |u(x)|^2 dx \leq R^{-\beta+\gamma(\lambda)/2} \int_{R_0 < |x| < R} r^{-1+\beta} |u(x)|^2 dx \\ \rightarrow 0 \quad \text{as } R \rightarrow \infty.$$

Since  $\tilde{\gamma} = \gamma(\lambda)$  satisfies the condition of Theorem 1 (a) by Lemma 1.4, this and Theorem 1 (a) lead  $u = 0$ .

Next let us verify Assumption 2 ([2]) for  $k(x, \zeta)$  restricted in  $(x, \zeta) \in B(R_2) \times K^\pm$ , where  $K^\pm$  is any compact set in  $\Pi_\delta^\pm$ , and  $R_2 = R_2(K^\pm)$  is what is given in Lemma 1.3. We choose  $\gamma(K^\pm)$  and  $\beta(K^\pm)$  as in (a) of Theorem 2. Then (A2-6) is obviously satisfied by this  $\beta = \beta(K^\pm)$ . Further, the continuity of  $k(x, \zeta)$  in  $(x, \zeta) \in B(R_2) \times K^\pm$  is easily known by Lemma 1.3. So it remains only to verify (A2-1)~(A2-5).

For this purpose we prepare a lemma.

**Lemma 2.1.** *There exist some constant  $C > 0$  such that for any  $(x, \zeta) \in B(R_2) \times K^\pm$  we have*

$$|\eta_j(r) V_{1j}(x) \{1 - \eta_k(r)\} V_{1k}(x)| \leq C r^{-1-\delta} \quad (j, k = 1, \dots, m), \\ |\partial_r \{\eta(r) \cdot V_1(x)\}| \leq C r^{-1}, \\ |\partial_r^2 \{\eta_j(r) V_{1j}(x)\} + \eta_j(r) a_j(r) V_{1j}(x)| \leq C r^{-1-\delta}, \\ |(\nabla - \tilde{x} \partial_r) \{\eta(r) \cdot V_1(x)\}| \leq C r^{-1-\delta}, \\ |(\nabla - \tilde{x} \partial_r) \partial_r \{\eta(r) \cdot V_1(x)\}| \leq C r^{-1-\delta}.$$

*Proof.* The above inequalities respectively follow from (V2-i)~(V2-v) if we note that

$$\eta_j(r) = 0(1), \quad 1 - \eta_j(r) = 0(r^{-\varepsilon_j}), \quad \eta'_j(r) = 0(r^{-\mu_j}) \quad \text{and} \\ \eta''_j(r) = 0(r^{-1-\delta_1+\varepsilon_j}) + 0(r^{-2\mu_j}) = 0(r^{-1-\delta+\varepsilon_j}). \quad \text{q. e. d.}$$

Now, (A2-2) and (A2-3) easily follow from Lemma 1.3 and Lemma 2.1 since we have

$$|k(x, \zeta)| \leq |\sqrt{\zeta - \eta(r) \cdot V_1(x)}| + \frac{n-1}{2r} + \left| \frac{-\partial_r \{\eta(r) \cdot V_1(x)\}}{4 \{\zeta - \eta(r) \cdot V_1(x)\}} \right|, \\ \mp \operatorname{Im} k(x, \zeta) = \pm \operatorname{Re} \sqrt{\zeta - \eta(r) \cdot V_1(x)} \mp \operatorname{Im} \left[ \frac{-\partial_r \{\eta(r) \cdot V_1(x)\}}{4 \{\zeta - \eta(r) \cdot V_1(x)\}} \right].$$



Note that

$$\begin{aligned} (\nabla - \tilde{x}\partial_r)k(x, \zeta) &= \frac{i(\nabla - \tilde{x}\partial_r)(\eta \cdot V_1)}{2\sqrt{\zeta - \eta \cdot V_1}} + \frac{-(\nabla - \tilde{x}\partial_r)\partial_r(\eta \cdot V_1)}{4(\zeta - \eta \cdot V_1)} \\ &\quad - \frac{\partial_r(\eta \cdot V_1)(\nabla - \tilde{x}\partial_r)(\eta \cdot V_1)}{4(\zeta - \eta \cdot V_1)^2}. \end{aligned}$$

Then (A2-5) also follows from Lemma 2.1.

Next we prove (A2-1). It follows from (1.11) that (cf., Appendix of [2])

$$\begin{aligned} V(x) - \zeta + \partial_r k + \frac{n-1}{r} k - k^2 \\ = V_1 - \eta \cdot V_1 + \frac{-\partial_r^2(\eta \cdot V_1)}{4(\zeta - \eta \cdot V_1)} + \frac{(n-1)(n-3)}{4r^2} - \frac{5\{\partial_r(\eta \cdot V_1)\}^2}{16(\zeta - \eta \cdot V_1)^2} + V_s. \end{aligned}$$

Since we have

$$\begin{aligned} V_1 - \eta \cdot V_1 + \frac{-\partial_r^2(\eta \cdot V_1)}{4(\zeta - \eta \cdot V_1)} &= \frac{1}{4(\zeta - \eta \cdot V_1)} \left[ \sum_{j=1}^m \{4\zeta(1-\eta_j)V_{1j} - \partial_r^2(\eta_j V_{1j})\} \right. \\ &\quad \left. - \sum_{j,k=1}^m 4(1-\eta_j)\eta_k V_{1j} V_{1k} \right] \end{aligned}$$

and

$$4\zeta(1-\eta_j)V_{1j} - \partial_r^2(\eta_j V_{1j}) = -\{\partial_r^2(\eta_j V_{1j}) + \eta_j a_j(r)V_{1j}\},$$

(A2-1) follows from Lemma 2.1 and (V2-vii).

Lastly, we prove (A2-4).

$$\operatorname{Re} k(x, \zeta) - \frac{n-1-\beta}{2r} = \frac{1}{4} \left\{ \operatorname{Re} \left[ \frac{-\partial_r(\eta \cdot V_1)}{\zeta - \eta \cdot V_1} \right] + \frac{r}{r} \right\} + \operatorname{Im} \sqrt{\zeta - \eta \cdot V_1} + \frac{2\beta-r}{4r}.$$

Since  $2\beta > r$  by definition and  $\operatorname{Im} \sqrt{\zeta - \eta \cdot V_1} \geq 0$ , we have only to show that there exists an  $R_4 = R_4(K^\pm) \geq R_2$  such that

$$(2.1) \quad \operatorname{Re} \left[ \frac{-\partial_r(\eta \cdot V_1)}{\zeta - \eta \cdot V_1} \right] + \frac{r}{r} \geq 0 \quad \text{for any } (x, \zeta) \in B(R_4) \times K^\pm.$$

We have

$$\begin{aligned} &\frac{r|\zeta - \eta \cdot V_1|^2}{r|\zeta|^2} \left\{ \operatorname{Re} \left[ \frac{-\partial_r(\eta \cdot V_1)}{\zeta - \eta \cdot V_1} \right] + \frac{r}{r} \right\} \\ &= \frac{|\zeta - \eta \cdot V_1|^2}{|\zeta|^2} \operatorname{Re} \left[ \frac{\zeta - (r/r)\partial_r(\eta \cdot V_1) - \eta \cdot V_1}{\zeta - \eta \cdot V_1} \right] \\ &= 1 - \frac{1}{r} \operatorname{Re} \left\{ \sum_{j=1}^m \frac{r\partial_r V_{1j}(x)}{\zeta - (1/4)a_j(r)} \right\} + |\zeta|^{-2} I; \\ I &= \operatorname{Re} \left\{ -\frac{\bar{\zeta}}{r} \sum_{j=1}^m r\eta'_j V_{1j} + \frac{r}{r} \partial_r(\eta \cdot V_1) \overline{\eta \cdot V_1} - 2 \operatorname{Re} [\bar{\zeta} \eta \cdot V_1] + |\eta \cdot V_1|^2 \right\}. \end{aligned}$$

Noting  $K^\pm \Subset \Pi_\delta^\pm$ , we can choose a constant  $\varepsilon > 0$  to satisfy

$$(2.2) \quad \varepsilon(3+\varepsilon)(1+\varepsilon)^{-1} \leq \min_{\zeta \in K^\pm} \frac{\lambda - A_\delta}{2\lambda - (1/2)a_1^*} \quad (\lambda = \operatorname{Re} \zeta).$$

We fix such an  $\varepsilon$ . Since  $\zeta = \lambda \pm i\tau \in K^\pm \subseteq \Pi_\delta^\pm$  satisfies  $(1/2)(\lambda - (1/4)a_1^*) \geq \tau \geq 0$ , there exists an  $R_\delta = R_\delta(K^\pm) \geq R_4$  such that for any  $(x, \zeta) \in B(R_\delta) \times K^\pm$ ,

$$(2.3) \quad 0 < \operatorname{Re} \frac{1}{\zeta - (1/4)a_m(r)} \leq \operatorname{Re} \frac{1}{\zeta - (1/4)a_{m-1}(r)} \leq \dots$$

$$\dots \leq \operatorname{Re} \frac{1}{\zeta - (1/4)a_1(r)} \leq \frac{1+\varepsilon}{\lambda - (1/4)a_1^*},$$

$$(2.4) \quad |\zeta|^{-2} |I| < \varepsilon,$$

where in the last inequality (2.4) we have used (1.7), Lemmas 1.3, 2.1 and the fact that  $\mu_j > 1 - \varepsilon_j$  in (1.3). Note here

$$\limsup_{r \rightarrow \infty} \max_{1 \leq l \leq m} \sum_{j=1}^l r \partial_r V_{1j}(x) = \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \sum_{j=1}^l r \partial_r V_{1j}(x).$$

Then we see that there exists an  $R_\delta = R_\delta(K^\pm) \geq R_5$  such that for any  $x \in B(R_\delta)$

$$(2.5) \quad \max_{1 \leq l \leq m} \sum_{j=1}^l r \partial_r V_{1j}(x) \leq \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \sum_{j=1}^l r \partial_r V_{1j}(x) + \gamma \varepsilon \min_{\zeta \in K^\pm} (\lambda - (1/4)a_1^*).$$

(2.3), (2.5) and Abel's theorem imply that (cf., also (1.5))

$$(2.6) \quad \frac{1}{\gamma} \operatorname{Re} \left\{ \sum_{j=1}^m \frac{r \partial_r V_{1j}(x)}{\zeta - (1/4)a_j(r)} \right\} \leq \operatorname{Re} \frac{1}{\zeta - (1/4)a_1(r)} \frac{1}{\gamma} \max_{1 \leq l \leq m} \sum_{j=1}^l r \partial_r V_{1j}(x)$$

$$\leq \frac{1+\varepsilon}{(\lambda - (1/4)a_1^*)\gamma} \left\{ \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \sum_{j=1}^l r \partial_r V_{1j}(x) + \gamma \varepsilon \min_{\zeta \in K^\pm} \left( \lambda - \frac{1}{4}a_1^* \right) \right\}.$$

Since

$$\frac{1}{\gamma} \max_{1 \leq l \leq m} \limsup_{r \rightarrow \infty} \sum_{j=1}^l r \partial_r V_{1j}(x) \leq \frac{1}{2} \left( \lambda + A_\delta - \frac{1}{2}a_1^* \right)$$

by Lemma 1.4, we have from (2.4), (2.6) and (2.2)

$$1 - \frac{1}{\gamma} \operatorname{Re} \left\{ \sum_{j=1}^m \frac{r \partial_r V_{1j}(x)}{\zeta - (1/4)a_j(r)} \right\} + |\zeta|^{-2} I$$

$$\geq \left\{ 1 - \frac{\lambda + A_\delta - (1/2)a_1^*}{2\lambda - (1/2)a_1^*} \right\} (1+\varepsilon) - \varepsilon(3+\varepsilon) \geq 0$$

for any  $(x, \zeta) \in B(R_\delta) \times K^\pm$ . This proves (2.1), and we have (A2-4).

**Remark 2.1.** The condition (1.2) on  $\{a_j(r)\}$  is used only to show (2.6) (Abel's theorem). Note that Assumptions 1 and 2 can be verified without (1.2) if we replace  $A_\delta$  (see (1.6)) by the following

$$\tilde{A}_\delta = \frac{1}{\min\{4\delta, 2\}} \sum_{j=1}^m \limsup_{r \rightarrow \infty} \{r \partial_r V_{1j}(x)\} + \frac{1}{4} \max\{a_j^*\}.$$

Here, in general,  $A_\delta \leq \tilde{A}_\delta$ .

### § 3. Sketch of proof of Theorem 3

On the bases of the principle of limiting absorption (Theorem 2), we can prove Theorem 3 by the same argument as in [3]. Here, in this section, we shall sketch an outline of the proof.

First we restrict ourselves to the case  $V_s(x)=0$ . Then  $A_\delta=A_{1/2}$  since we have assumed  $\delta_l>1/2$  ( $l=1, 2$ ) in (V2) (we can choose  $\delta_0=1$  in this case). For  $f \in L^2_1(\Omega)$  and  $\lambda > A_{1/2} + \varepsilon$  ( $\varepsilon > 0$ ), let  $R_{1,\lambda \pm i0}f$  be the outgoing [incoming] solution of (0.2) with  $V(x)=V_1(x)$  and  $\zeta=\lambda \pm i0$ . We choose  $R_\tau=R_\tau(\varepsilon) > R_0$  so large that

$$\lambda - \eta(r) \cdot V_1(x) \geq C > 0 \quad \text{for } (x, \lambda) \in B(R_\tau) \times (A_{1/2} + \varepsilon, \infty),$$

and define the function  $\rho(x, \lambda \pm i0) = \rho(x, \lambda \pm i0; \varepsilon)$  as follows:

$$(3.1) \quad \begin{aligned} \rho(x, \lambda \pm i0) &= \int_{R_\tau}^r k(s\tilde{x}, \lambda \pm i0) ds \\ &= \mp i \int_{R_\tau}^r \sqrt{\lambda - \eta(s) \cdot V_1(s\tilde{x})} ds + \frac{n-1}{2} \log r + \frac{1}{4} \log \{\lambda - \eta(r) \cdot V_1(x)\}. \end{aligned}$$

Then as we see in Propositions 1.2 and 2.1 of [3], there exists a sequence  $r_p = r_p(\lambda, f) \rightarrow \infty$  (as  $p \rightarrow \infty$ ) such that

$$\left\{ \frac{1}{\sqrt{\pi}} e^{\rho(r_p \cdot, \lambda \pm i0)} [R_{1,\lambda \pm i0}f](r_p \cdot) \right\}$$

strongly converges in  $L^2(S^{n-1})$ . We define the operator  $\mathcal{F}_{1,\pm}(\lambda) = \mathcal{F}_{1,\pm}(\lambda, \varepsilon): L^2_1(\Omega) \rightarrow L^2(S^{n-1})$  as follows:

$$(3.2) \quad \mathcal{F}_{1,\pm}(\lambda)f = \text{strong} \lim_{p \rightarrow \infty} \frac{1}{\sqrt{\pi}} e^{\rho(r_p \cdot, \lambda \pm i0)} [R_{1,\lambda \pm i0}f](r_p \cdot) \quad \text{in } L^2(S^{n-1}).$$

Then  $\mathcal{F}_{1,\pm}(\lambda)$  is independent of the choice of  $\{r_p\}$ , and becomes a bounded operator from  $L^2_1(\Omega)$  to  $L^2(S^{n-1})$  which depends continuously on  $\lambda > A_{1/2} + \varepsilon$  ([3], Lemma 2.4). Further, we have for  $f \in L^2_1(\Omega)$  and  $\lambda > A_{1/2}$  ([3], Proposition 1.3)

$$(3.3) \quad \|\mathcal{F}_{1,\pm}(\lambda)f\|_{L^2(S^{n-1})} = \frac{1}{2\pi i} (R_{1,\lambda+i0}f - R_{1,\lambda-i0}f, f).$$

By use of this  $\mathcal{F}_{1,\pm}(\lambda)$ , the operator  $\mathcal{F}_\pm(\lambda): L^2_{(1+2\delta)/2}(\Omega) \rightarrow L^2(S^{n-1})$  ( $\delta = \min\{\delta_0, \delta_1, \delta_2\}$ ) will be defined by

$$(3.4) \quad \mathcal{F}_\pm(\lambda) = \mathcal{F}_{1,\pm}(\lambda) \{1 - V_s R_{\lambda \pm i0}\}, \quad \lambda > A_\delta + \varepsilon (\geq A_{1/2} + \varepsilon).$$

In order to verify that (3.4) is well defined as a bounded operator from  $L^2_{(1+2\delta)/2}(\Omega)$  to  $L^2(S^{n-1})$ , we have to show that for any  $\lambda > A_\delta + \varepsilon$ ,  $\mathcal{F}_{1,\pm}(\lambda)$  can be extended to a bounded operator from  $L^2_{(1+\beta)/2}(\Omega)$  to  $L^2(S^{n-1})$ , where  $\beta$  is any constant satisfying (1.13). This is possible by (3.3) and Theorem 2 (a) with  $V(x)=V_1(x)$ .

Now, as is proved in Theorems 2.1 and 3.1 of [3], we can have the assertions (a)~(d) of Theorem 3 with this  $\mathcal{F}_\pm(\lambda)$ .

To establish the assertion (e), we follow the argument of the proof of Theorem 4.1 of [3]. Namely, if  $\hat{f} \in L^2((A_\delta + \varepsilon, \infty) \times S^{n-1})$  is orthogonal to the

range of  $\mathcal{F}_\pm$ , we can have for any smooth  $\phi \in L^2(S^{n-1})$ ,

$$(3.5) \quad (\hat{f}(\lambda, \cdot), \phi)_{L^2(S^{n-1})} = 0 \quad \text{a.e. } \lambda > A_\delta + \varepsilon,$$

where  $\tilde{\delta} = \min\{\delta, 4\delta_2 - 2\}$ . Note that to obtain (3.5) we have used the following relation satisfied by any  $f \in L^2_{(1+\beta)/2}(\Omega)$ ,  $\phi \in L^2(S^{n-1})$  and  $\lambda > A_\delta + \varepsilon$ :

$$(3.6) \quad (\mathcal{F}_{1,\pm}(\lambda)f, \phi)_{L^2(S^{n-1})} = \lim_{p \rightarrow \infty} \left( \frac{1}{\sqrt{\pi}} e^{\rho \cdot C r_{p^*} \cdot \lambda \pm i0} [R_{1,\lambda \pm i0} f](r_{p^*}), \phi \right)_{L^2(S^{n-1})}$$

(cf., [3]; Lemma 3.2 and Proposition 1.4).

#### § 4. Examples

I. We consider potentials of the form

$$(4.1) \quad V(x) = \sum_{j=1}^m \frac{c_j \sin b_j r^{\varepsilon_j}}{r^{\varepsilon_j}} + 0(r^{-1-\delta_0}) \quad \text{near infinity,}$$

where  $b_j, c_j$  are non-zero real,  $0 < \varepsilon_m \leq \varepsilon_{m-1} \leq \dots \leq \varepsilon_1 \leq 1$  and  $0 < \delta_0 \leq 1$ . If  $\varepsilon_k = \varepsilon_{k+1} = \dots = \varepsilon_{k+p}$ , the order of summation is chosen like  $|b_k| \geq |b_{k+1}| \geq \dots \geq |b_{k+p}|$ . We put

$$V_{1j}(x) = V_{1j}(r) = \frac{c_j \sin b_j r^{\varepsilon_j}}{r^{\varepsilon_j}}, \quad a_j(r) = \varepsilon_j^2 b_j^2 r^{-2+2\varepsilon_j}.$$

Then it follows that

$$\begin{aligned} V_{1j}(r) &= 0(r^{-\varepsilon_j}), \\ V'_{1j}(r) &= \frac{\varepsilon_j b_j c_j \cos b_j r^{\varepsilon_j}}{r} + 0(r^{-1-\varepsilon_j}) = 0(r^{-1}), \\ V''_{1j}(r) &= \frac{-\varepsilon_j^2 b_j^2 c_j \sin b_j r^{\varepsilon_j}}{r^{2-\varepsilon_j}} + 0(r^{-2}) = -a_j(r) V_{1j}(r) + 0(r^{-2}). \end{aligned}$$

Thus, choosing  $\delta_1 = 1 - \varepsilon_1 + \varepsilon_m$  and  $\delta_2 = 1$ , we see that  $V_{1j}(r)$  satisfies (V2-i)~(V2-iii) and  $a_j(r)$  satisfies (1.2) and (1.3) (see Lemma 1.1). Note that in this case, (V2-iv)~(V2-vi) are trivially satisfied by  $V_{1j}(r)$ . Since  $\bar{\delta} = \tilde{\delta} = \min\{\delta_0, 1 - \varepsilon_1 + \varepsilon_m\}$  and

$$\limsup_{r \rightarrow \infty} r V'_{1j}(r) = \varepsilon_j |b_j c_j|,$$

it follows from (1.4), (1.5) and (1.6) that

$$(4.2) \quad E(2) \leq \frac{1}{2} \sum_{j=1}^m \varepsilon_j |b_j c_j|,$$

$$(4.3) \quad A_\delta = A_{\tilde{\delta}} \leq \frac{1}{\min\{4\tilde{\delta}, 2\}} \sum_{j=1}^m \varepsilon_j |b_j c_j| + \frac{1}{4} \varepsilon_1^2 b_1^2 \lim_{r \rightarrow \infty} r^{-2+2\varepsilon_1}.$$

Namely, we have the following results for the potential (4.1):  $(E(2), \infty)$  is contained in the continuous spectrum of  $L = -\Delta + V(x)$  (Theorem 1).  $(A_\delta, \infty)$  is contained in the absolutely continuous spectrum of  $L$  (Theorem 2). If  $1/2 > \varepsilon_1 - \varepsilon_m$ , for any  $\varepsilon > 0$  there exists a unitary operator  $\mathcal{F}_\pm$  (depending on  $\varepsilon$ ) from  $\mathcal{E}((A_\delta + \varepsilon, \infty)) L^2(\Omega)$  onto  $L^2((A_\delta + \varepsilon, \infty) \times S^{n-1})$  which diagonalizes  $L$  (Theorem 3).

In (4.3) we have used the fact that  $\varepsilon_1 = \max \{\varepsilon_j\}$  and  $|b_1| = \max \{|b_k|; \varepsilon_k = \varepsilon_1\}$ .

**Remark 4.1.** If  $\varepsilon_1 = 1$ , then we have

$$A_{\delta} = A_{\tilde{\delta}} \leq \frac{1}{\min \{4\tilde{\delta}, 2\}} \sum_{j=1}^m \varepsilon_j |b_j c_j| + \frac{1}{4} b_1^2$$

(cf., [2]; Example II-1). On the other hand, if  $\varepsilon_j < 1$  for any  $j$ , we have

$$A_{\delta} = A_{\tilde{\delta}} \leq \frac{1}{\min \{4\tilde{\delta}, 2\}} \sum_{j=1}^m \varepsilon_j |b_j c_j|$$

(cf., [2]; Example III).

**II.** We consider a more general case :

$$(4.4) \quad V(x) = \sum_{j=1}^m c_j(x) \sin b_j r^{\varepsilon_j} + 0(r^{-1-\delta_0})$$

near infinity, where  $b_j$ ,  $\varepsilon_j$  and  $\delta_0$  are as given above and  $c_j(x)$  is a real-valued function such that

$$(4.5) \quad \nabla^l c_j(x) = 0(r^{-l-\varepsilon_j}) \quad (l=0, 1, 2).$$

We put

$$V_{1j}(x) = c_j(x) \sin b_j r^{\varepsilon_j}, \quad a_j(r) = \varepsilon_j^2 b_j^2 r^{-2+2\varepsilon_j}.$$

Then, choosing  $\tilde{\delta}_1 = 1 - \varepsilon_1 + \varepsilon_m$  and  $\tilde{\delta}_2 = \varepsilon_m$ , we see that  $V_{1j}(x)$  satisfies (V2-i) ~ (V2-vi) and  $a_j(r)$  satisfies (1.2) and (1.3). In this case, we have  $\tilde{\delta} = \min \{\tilde{\delta}_0, \varepsilon_m\}$  and

$$(4.6) \quad E(2) \leq \frac{1}{2} \sum_{j=1}^m \varepsilon_j |b_j| c_j^*; \quad c_j^* = \limsup_{r \rightarrow \infty} |r^{\varepsilon_j} c_j(x)|,$$

$$(4.7) \quad A_{\tilde{\delta}} \leq \frac{1}{\min \{4\tilde{\delta}, 2\}} \sum_{j=1}^m \varepsilon_j |b_j| c_j^* + \frac{1}{4} \varepsilon_1^2 b_1^2 \lim_{r \rightarrow \infty} r^{-2+2\varepsilon_1}.$$

Namely, Theorems 1 and 2 hold with the above  $E(2)$  and  $A_{\tilde{\delta}}$ , respectively. In order to apply Theorem 3 we have to assume

$$\tilde{\delta}_2 = \varepsilon_m > 1/2.$$

Then we see that for any  $\varepsilon > 0$  there exists a partial isometric operator  $\mathcal{F}_{\pm}$  (depending on  $\varepsilon$ ) from  $\mathcal{E}((A_{\tilde{\delta}} + \varepsilon, \infty))L^2(\mathcal{Q})$  to  $L^2((A_{\tilde{\delta}} + \varepsilon, \infty) \times S^{n-1})$  which diagonalizes  $L$ , and maps  $\mathcal{E}((A_{\tilde{\delta}} + \varepsilon, \infty))L^2(\mathcal{Q})$  onto  $L^2((A_{\tilde{\delta}} + \varepsilon, \infty) \times S^{n-1})$ , where

$$(4.8) \quad A_{\tilde{\delta}} \leq \frac{1}{\min \{4\tilde{\delta}, 2\}} \sum_{j=1}^m \varepsilon_j |b_j| c_j^* + \frac{1}{4} \varepsilon_1^2 b_1^2 \lim_{r \rightarrow \infty} r^{-2+2\varepsilon_1}$$

with  $\tilde{\delta} = \min \{\tilde{\delta}, 2\tilde{\delta}_2 - 1\} = \min \{\tilde{\delta}_0, 2\varepsilon_m - 1\}$ .

**Remark 4.2.** In general  $A_{\tilde{\delta}} \geq A_{\tilde{\delta}}$ . However, if  $\tilde{\delta}_2 = \varepsilon_m \geq 3/4$ , we have  $A_{\tilde{\delta}} = A_{\tilde{\delta}}$  (cf., [3]; Corollary 5.1).

**III.** The above results can be applied to potentials of the form

$$(4.9) \quad V(x) = c(x) \sin^p b r^{\varepsilon} + 0(r^{-1-\delta_0}) \quad (b \neq 0, 0 < \tilde{\delta}_0 \leq 1, 0 < \varepsilon \leq 1)$$

near infinity, where  $c(x)$  is a real-valued function satisfying

$$(4.10) \quad \nabla^l c(x) = 0(r^{-l-\varepsilon}) \quad (l=0, 1, 2).$$

In fact,

$$\sin^p br^\varepsilon = c_0 + \sum_{k=1}^p \{c_k \sin kbr^\varepsilon + d_k \cos kbr^\varepsilon\}$$

for suitable constants  $c_0, c_k$  and  $d_k (k=1, \dots, p)$ . So, if we put

$$\begin{cases} V_{1j}(x) = c(x) \{c_{p-j+1} \sin(p-j+1)br^\varepsilon + d_{p-j+1} \cos(p-j+1)br^\varepsilon\} (j=1, \dots, p), \\ V_{1(p+1)}(x) = c_0 c(x), \\ \begin{cases} a_j(r) = \varepsilon^2(p-j+1)^2 b^2 r^{-2+2\varepsilon} (j=1, \dots, p), \\ a_{p+1}(r) = 0, \end{cases} \end{cases}$$

$V_{1j}(x) (j=1, \dots, p+1)$  satisfies (V2-i)~(V2-vi) and  $a_j(r) (j=1, \dots, p+1)$  satisfies (1.2) and (1.3).

**Remark 4.3.** Potentials of the form

$$V(x) = c_1 \sin(\log r) + c_2 r^{-\varepsilon} \sin br^\varepsilon + 0(r^{-1-\delta_0})$$

( $bc_1c_2 \neq 0, 0 < \varepsilon \leq 1$ ) are not covered by our theory (see V2-i), though each potential  $\sin(\log r)$  or  $r^{-\varepsilon} \sin br^\varepsilon$  is in the framework of our “oscillating” long-range potentials ([2], [3]).

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