

MINIMAL HOMEOMORPHISMS AND APPROXIMATE CONJUGACY IN MEASURE

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ABSTRACT. Let X be an infinite compact metric space with finite covering dimension. Let $\alpha, \beta : X \rightarrow X$ be two minimal homeomorphisms. Suppose that the range of K_0 -groups of both crossed products are dense in the space of real affine continuous functions on the tracial state space. We show that α and β are approximately conjugate uniformly in measure if and only if they have affine homeomorphic invariant probability measure spaces.

1. Introduction

Let X be a compact metric space and let $\alpha, \beta : X \rightarrow X$ be two minimal homeomorphisms. If X has infinitely many points, then the associated crossed product C^* -algebras $C(X) \rtimes_{\alpha} \mathbb{Z}$ and $C(X) \rtimes_{\beta} \mathbb{Z}$ are unital separable simple C^* -algebras. It was proved by J. Tomiyama ([24]) that α and β are flip conjugate if there is a $*$ -isomorphism ϕ from $C(X) \rtimes_{\alpha} \mathbb{Z}$ onto $C(X) \rtimes_{\beta} \mathbb{Z}$ which maps $C(X)$ onto $C(X)$. On the other hand, T. Giordano, I. Putnam and C. Skau ([6]) showed, among other things, that two minimal Cantor systems are topological orbit equivalent if and only if the tracial range $\rho(K_0(C(X) \rtimes_{\alpha} \mathbb{Z}))$ of $K_0(C(X) \rtimes_{\alpha} \mathbb{Z})$ is unital order isomorphic to that of $K_0(C(X) \rtimes_{\beta} \mathbb{Z})$. Both results show the strong connection between C^* -algebra theory and minimal dynamical systems. In this paper, we will also use C^* -algebra theory to study some particular relation among minimal dynamical systems. Fix a compact metric space X . Let $\alpha, \beta : X \rightarrow X$ be two minimal homeomorphisms. Denote by T_{α} and T_{β} the compact convex sets of α -invariant probability Borel measures and β -invariant probability Borel measures, respectively. Suppose that there is an affine homeomorphism r from T_{α} onto T_{β} . What can one say about (X, α) and (X, β) ?

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Let $A_\alpha = C(X) \rtimes_\alpha \mathbb{Z}$ and $A_\beta = C(X) \rtimes_\beta \mathbb{Z}$. Suppose that X has finite covering dimension, Under the assumption that $\rho(K_0(A_\alpha))$ is dense in $Aff(T(A_\alpha))$ and $\rho(K_0(A_\beta))$ is dense in $Aff(T(A_\beta))$ (see 2.1 (4), 2.5 and 2.1 below), we prove that if T_α and T_β are affine homeomorphic, then α and β are approximately conjugate uniformly in measure (see Theorem 5.6 below). By [16], the condition that $\rho(K_0(A_\alpha))$ is dense in $Aff(T(A_\alpha))$ is equivalent to A_α being real rank zero and also equivalent to A_α having tracial rank zero.

Some explanations of the result are in order. First we make a few comments on the assumption. When X has finite covering dimension and α is minimal, the dynamical system (X, α) has mean dimension zero (see 3.1 below). When X is the Cantor set, it is known that $\rho(K_0(A_\alpha))$ is always dense in $Aff(T(A_\alpha))$. When X is a connected and (X, α) is unique ergodic, if the rotation number (defined by Exel in [5]) associated with α contains irrational values, then $\rho(K_0(A_\alpha))$ is dense in $Aff(T(A_\alpha))$. In fact, the converse also holds, i.e., if $\rho(K_0(A_\alpha))$ is dense in $Aff(T(A_\alpha))$, then the rotation number associated with α contains an irrational value when X is a connected finite CW complex (see [16]). We also note that, when $X = S^1$, α is minimal if and only if the rotation number is irrational.

Next, one should realize that the condition that there is an affine homeomorphism from T_α and T_β is a rather weak one. If both T_α and T_β have only finitely many extremal points, this condition simply says that T_α and T_β have the same number of extremal points. Therefore, one should not expect that a great deal of dynamical information can be recovered nor should one regard uniform approximate conjugacy in measure as a strong relation. To the contrary, we would like to emphasize that two minimal homeomorphisms could be easily approximately conjugate uniformly in measure. In particular, if both α and β are uniquely ergodic, then they are always approximately conjugate uniformly in measure. Given an affine homeomorphism $r : T_\alpha \rightarrow T_\beta$, Theorem 5.6 says that r can always be induced by a sequence of Borel equivalences $\{\gamma_n\}$ of X for which $\gamma_n^{-1}\alpha\gamma_n$ converges to β and $\gamma_n\beta\gamma_n^{-1}$ converges to α in measure uniformly (not just for each $\mu \in T_\alpha$ and $\nu \in T_\beta$). Moreover, some additional properties for $\{\gamma_n\}$ can also be required. It is the existence of those γ_n that we find interesting.

Roughly speaking, two minimal homeomorphisms α and β are approximately conjugate uniformly in measure if there exists a sequence of Borel isomorphisms $\gamma_n : X \rightarrow X$ such that $\gamma_n^{-1}\alpha\gamma_n$ converges to β and $\gamma_n\beta\gamma_n^{-1}$ converges to α in measure uniformly on the set of β -invariant measures and the set of α -invariant measures, respectively. We also require that $\{\gamma_n\}$ eventually preserves measures in a suitable sense. Moreover, $\{\gamma_n\}$ and $\{\gamma_n^{-1}\}$ should be continuous on some (eventually dense) open subsets of X . The precise definition is given in 5.2.

The paper is organized as follows. Section 2 lists a number of notations and facts used in this paper. Section 3 gives a version of the uniform Rohlin

property for dynamical systems with mean dimension zero. Section 4 contains a number of technical lemmas which will be used in the proof of the main result of the paper. Section 5 discusses the notion of uniform approximate conjugacy in measure and presents the proof of the main result (Theorem 5.6). Finally, Section 6 gives a few concluding remarks.

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2. Preliminaries

2.1. (1) If k is a positive integer, M_k is the full matrix algebra over \mathbb{C} . Denote by Tr the standard trace on M_k and by tr the normalized trace on M_k .

(2) Let A be a C^* -algebra. Denote by $T(A)$ the tracial state space of A . If $\tau \in T(A)$, we will also use τ for $\tau \otimes \text{Tr}$ on $M_k(A)$, $i = 1, 2, \dots$

(3) Let $\text{Aff}(T(A))$ be the space of all real affine continuous functions on $T(A)$. Let $a \in A_{s.a.}$. Denote by \hat{a} the real affine continuous function defined by $\hat{a}(\tau) = \tau(a)$ for $\tau \in T(A)$.

(4) Denote by $\rho_A : K_0(A) \rightarrow \text{Aff}(T(A))$ the order homomorphism induced by \hat{p} for projections $p \in M_k(A)$, $k = 1, 2, \dots$. We often use ρ if the C^* -algebra A is understood.

2.2. (5) Let X be a compact metric space. We say X has finite dimension if X has finite covering dimension.

(6) Let A be a unital C^* -algebra, let X be a compact metric space and let $h : C(X) \rightarrow A$ be a contractive positive linear map. Suppose that t is a positive linear functional of A . Then $t \circ h$ gives a positive linear functional of $C(X)$. We will use $\mu_{t \circ h}$ for the positive Borel measure on X induced by the positive linear functional $t \circ h$.

2.3. (7) Let X be a compact metric space and $\alpha : X \rightarrow X$ be a homeomorphism. Recall that α is minimal if α has no proper α -invariant closed subset, or, equivalently, for each $x \in X$, $\{\alpha^n(x) : n = 0, 1, 2, \dots\}$ is dense in X .

(8) Let X be a compact metric space and $x \in X$. The point x is said to be a *condensed point* if every open neighborhood of x contains uncountably many points of X .

(9) If X has infinitely many points and α is minimal, then the cross product $C(X) \rtimes_{\alpha} \mathbb{Z}$ is a unital simple C^* -algebra. We will use A_{α} for $C(X) \rtimes_{\alpha} \mathbb{Z}$.

In this case, X has no isolated points and every point of X is condensed.

(10) Denote by $j_{\alpha} : C(X) \rightarrow A_{\alpha}$ the usual embedding. Denote by u_{α} the implementing unitary in A_{α} such that

$$u_{\alpha}^* j_{\alpha}(f) u_{\alpha} = j_{\alpha}(f \circ \alpha) \text{ for all } f \in C(X).$$

(11) Denote by T_α the space of all α -invariant probability Borel measures on X . If $\mu \in T_\alpha$, then it induces a tracial state τ_μ so that

$$\tau_\mu(j_\alpha(f)) = \int f d\mu$$

for all $f \in C(X)$. On the other hand, if $\tau \in T(A_\alpha)$, then $\mu_{\tau \circ j_\alpha}$ gives a measure in T_α . This measure will be denoted by μ_τ .

In fact, there is an affine homeomorphism between convex sets T_α and $T(A_\alpha)$ (see [2, VIII, 3.8], for example). The reader may notice that we do not always attempt to distinguish the convex sets T_α from $T(A_\alpha)$.

2.4. (12) Let A and B be two C^* -algebras. By a homomorphism $h : A \rightarrow B$, we mean a $*$ -homomorphism from the C^* -algebra A to B . Suppose that both A and B are unital, exact and stably finite. We say that $r : Aff(T(A)) \rightarrow Aff(T(B))$ is a unital order homomorphism if r is an order homomorphism and $r(\hat{1}_A) = \hat{1}_B$. The homomorphism r is said to be an order isomorphism if r is a bijection and r^{-1} is also an order homomorphism.

Suppose that an affine continuous map $r : Aff(T(A)) \rightarrow Aff(T(B))$ is a unital order isomorphism. Denote by $r_{\mathfrak{h}} : T(B) \rightarrow T(A)$ the affine continuous map induced by $r_{\mathfrak{h}}(\tau)(a) = r(\hat{a})(\tau)$ for all $a \in A_{a.s}$ and $\tau \in T(B)$. If r is a unital order isomorphism, then $r_{\mathfrak{h}}$ is an affine homeomorphism.

On the other hand, if $\lambda : T(A_\beta) \rightarrow T(A_\alpha)$ is an affine homeomorphism, then one obtains a unital order isomorphism $\lambda^\sharp : Aff(T(A_\alpha)) \rightarrow Aff(T(A_\beta))$ by $\lambda^\sharp(a)(\tau) = a(\lambda(\tau))$ for all $a \in Aff(T(A_\alpha))$ and $\tau \in T(A_\alpha)$.

(13) If $\phi : A \rightarrow B$ is a homomorphism we will use $\phi_* : K_*(A) \rightarrow K_*(B)$ for the induced map on K -theory.

(14) Let A and B be two C^* -algebras and $\phi : A \rightarrow B$ be a contractive completely positive linear map. Suppose that \mathcal{G} is a subset of A and $\delta > 0$. We say ϕ is \mathcal{G} - δ -multiplicative if

$$\|\phi(ab) - \phi(a)\phi(b)\| < \delta \text{ for all } a, b \in \mathcal{G}.$$

(15) Let $\phi : C(X) \rightarrow A$ be a homomorphism. We say that ϕ has finite dimensional range if the image of ϕ is contained in a finite dimensional C^* -subalgebra of A . If ϕ has finite dimensional range, then there are finitely many points $\{x_1, x_2, \dots, x_m\} \subset X$ and pairwise orthogonal projections p_1, p_2, \dots, p_m in A such that

$$\phi(f) = \sum_{i=1}^m f(x_i)p_i \text{ for all } f \in C(X).$$

(16) Let A be a unital simple C^* -algebra. We write $TR(A) = 0$ if A has tracial rank zero. For the definition of tracial rank zero, we refer to [9] or 3.6.2 of [11]. A unital simple C^* -algebra with tracial rank zero has real rank zero, stable rank one and weakly unperforated $K_0(A)$ (see [9]).

2.5. (17) Let T be a convex set. Denote by $\partial_e(T)$ the set of extremal points of T .

(18) Let X be a compact metric space with infinitely many points and $\alpha : X \rightarrow X$ be a minimal homeomorphism. A Borel set $Y \subset X$ is said to be *universally null* if $\mu(Y) = 0$ for all $\mu \in T_\alpha$.

(19) Let A_α be the simple crossed product. A crucial assumption that we make in this paper is that $\rho(K_0(A_\alpha))$ (see (4) above) is dense in $\text{Aff}(T(A_\alpha))$.

We will use the following theorem ([16]).

THEOREM 2.1. *Let X be a finite dimensional compact metric space with infinitely many points and $\alpha : X \rightarrow X$ be a minimal homeomorphism. Then A_α has tracial rank zero if and only if $\rho(K_0(A_\alpha))$ is dense in $\text{Aff}(T(A_\alpha))$.*

Minimal dynamical systems whose crossed product C^* -algebras satisfy the above condition have been given and discussed in [16]. It should be mentioned that if (X, α) is a minimal Cantor system, then the condition in 2.1 is always satisfied. In the case when X is connected finite CW complex and (X, α) is uniquely ergodic, the condition in 2.1 is satisfied if and only if the rotation number associated with α has irrational values.

3. Uniform Rohlin Tower Lemma and mean dimension zero

DEFINITION 3.1. Let X be a compact metric space and let $\alpha : X \rightarrow X$ be a homeomorphism. We say that (X, α) has the *small-boundary property* if for every point $x \in X$ and every open neighborhood of x there exists an open neighborhood $V \subset U$ such that $\mu(\overline{V} \setminus V) = 0$ for all $\mu \in T_\alpha$.

By a result of Lindenstrauss and Weiss (see [19, §5]), if (X, α) has the small boundary property, then (X, α) has mean dimension zero (see [19] for the definition of mean dimension zero). The converse is also true, for example, if (X, α) is minimal (see Theorem 6.2 of [18]).

It is also shown in [19] that *if X has finite covering dimension, then any minimal system (X, α) has mean dimension zero.*

The following is an easy lemma.

LEMMA 3.2. *Let X be a compact metric space with infinitely many points and let $\alpha : X \rightarrow X$ be a homeomorphism. Suppose that $\partial_e(T_\alpha)$ is countable. Then (X, α) has the small boundary property. Consequently (X, α) has mean dimension zero.*

More precisely, given any point $x \in X$ and $\delta > 0$, there is an open ball of X with center at x and radius $\delta/2 < r < \delta$ such that

$$\mu(\{y \in X : \text{dist}(x, y) = r\}) = 0$$

for all $\mu \in T_\alpha$.

Proof. Let $\partial_\epsilon(T_\alpha) = \{\mu_1, \mu_2, \dots, \mu_n, \dots\}$. Given a point $x \in X$ and $\delta/2 < r < \delta$ define

$$R = \{y \in X : \delta/2 < \text{dist}(y, x) < \delta\} \text{ and}$$

$$C_r = \{y \in X : \text{dist}(y, x) = r\}.$$

Since

$$\mu(R) = \mu \left(\bigcup_{\delta/2 < r < \delta} C_r \right)$$

and $\mu(R) \leq 1$ for all $\mu \in T_\alpha$, there are uncountably many $r \in (\delta/2, \delta)$ such that

$$\mu_n(C_r) = 0, \quad n = 1, 2, \dots$$

Let r be one of them. It follows that

$$\mu(C_r) = 0$$

for all $\mu \in T_\alpha$. □

The Rohlin Tower Lemma is well known in ergodic theory. The following two lemmas are some uniform versions of it, which will be used later.

LEMMA 3.3. *Let X be a compact metric space with infinitely many points, let $\alpha : X \rightarrow X$ be a minimal homeomorphism, and let T_α be the set of α invariant probability measures. Suppose that (X, α) has mean dimension zero. Then, for any integer $n \geq 1$, there exist finitely many open subsets $G_1, G_2, \dots, G_m \subset X$ such that*

- (i) $\alpha^j(G_i)$ are mutually disjoint for $0 \leq j \leq h(i) - 1, 0 \leq i \leq m$,
- (ii) $h(i) \geq n$ for each i ,
- (iii) $\mu(X \setminus \bigcup_{i=1}^m \bigcup_{j=0}^{h(i)-1} \alpha^j(G_i)) = 0$ for all $\mu \in T_\alpha$.

Proof. We start with a non-empty open subset $\Omega \subset X$ such that the $\alpha^j(\overline{\Omega})$ are pairwise disjoint for $0 \leq j \leq n - 1$. This is possible since α is minimal. By 3.2 and 3.1, we may assume that $\mu(\partial(\Omega)) = 0$ for all $\mu \in T_\alpha$.

Let $Y = \overline{\Omega}$. For each $y \in Y$, define

$$r(y) = \min\{m > 1 : \alpha^m(y) \in Y\}.$$

It follows from Theorem 2.3 of [16] (see also p. 299 of [17]) that $\sup_{y \in Y} r(y) < \infty$. Let $n(0) < n(1) < \dots < n(l)$ be distinct values in the range of r , and for each $0 \leq k \leq l$, set

$$Y_k = \overline{\{y \in Y : r(y) = n(k)\}} \text{ and } Y_k^o = \text{int}\{y \in Y : r(y) = n(k)\}.$$

Set

$$X_k = \{y \in Y : r(y) \leq n(k)\}.$$

Since Y is closed, so is X_k . Moreover, $Y_0 = X_0$. Then

$$Y_0 = X_0, Y_1 = \overline{X_1 \setminus X_0}, \dots, Y_l = \overline{X_l \setminus X_{l-1}}.$$

Note that $n(0) \geq n$.

Set $\Omega_0 = \text{int}(Y)$. Note that $\Omega \subset \Omega_0$. Therefore $\overline{\Omega_0} = Y$. Put

$$S_1 = \alpha^{n(0)}(\Omega_0) \cap \Omega_0.$$

Then S_1 is open and

$$\begin{aligned} (3.1) \quad & (\alpha^{n(0)}(Y) \cap Y) \setminus S_1 \\ &= \left[(\alpha^{n(0)}(Y) \cap Y) \setminus \alpha^{n(0)}(\Omega_0) \right] \cup \left[(\alpha^{n(0)}(Y) \cap Y) \setminus \Omega_0 \right] \\ &\subset \alpha^{n(0)}(\partial(\Omega_0)) \cup \partial(\Omega_0). \end{aligned}$$

It follows that

$$\mu((\alpha^{n(0)}(Y) \cap Y) \setminus S_1) = 0$$

for all $\mu \in T_\alpha$. Note that $\alpha^{-n(0)}(\alpha^{n(0)}(Y) \cap Y) = Y_0$. By the continuity of α , we also have

$$\alpha^{-n(0)}(S_1) = Y_0^\circ.$$

It follows that

$$(3.2) \quad \mu(X_0 \setminus \text{int} X_0) = \mu(Y_0 \setminus Y_0^\circ) = 0$$

for all $\mu \in T_\alpha$. For $k > 0$, let

$$S_k = \alpha^{n(k)}(\Omega_0) \cap \Omega_0.$$

Then S_k is open and, as above,

$$(3.3) \quad \mu((\alpha^{n(k)}(Y) \cap Y) \setminus S_k) = 0$$

for all $\mu \in T_\alpha$ and $1 \leq k \leq l$. We have

$$(3.4) \quad \alpha^{-n(k)}(\alpha^{n(k)}(Y) \cap Y) \setminus X_{k-1} = X_k \setminus X_{k-1} \text{ and } \alpha^{-n(k)}(S_k) \setminus X_{k-1} = Y_k^\circ.$$

Moreover, for $k > 0$, by (3.4),

$$\begin{aligned} (3.5) \quad & X_k \setminus \text{int}(X_k) \subset \left[(X_k \setminus X_{k-1}) \setminus Y_k^\circ \right] \cup (X_{k-1} \setminus \text{int}(X_{k-1})) \\ &\subset \left(\alpha^{-n(k)}(\alpha^{n(k)}(Y) \cap Y) \setminus X_{k-1} \right) \setminus \left(\alpha^{-n(k)}(S_k) \setminus X_{k-1} \right) \\ &\quad \cup (X_{k-1} \setminus \text{int}(X_{k-1})) \\ &\subset \left(\alpha^{-n(k)}(\alpha^{n(k)}(Y) \cap Y) \setminus \alpha^{-n(k)}(S_k) \right) \\ &\quad \cup (X_{k-1} \setminus \text{int}(X_{k-1})). \end{aligned}$$

By induction on k , combing the above with (3.2) and with (3.3), we conclude that

$$(3.6) \quad \mu(X_k \setminus \text{int}(X_k)) = 0$$

for all $\mu \in T_\alpha$, $1 \leq k \leq l$.

We also have

$$(3.7) \quad \begin{aligned} Y_k \setminus Y_k^o &\subset \overline{X_k \setminus X_{k-1}} \setminus (\alpha^{-n(k)}(S_k) \setminus X_{k-1}) \\ &\subset \left(\alpha^{-n(k)}(\alpha^{n(k)}(Y) \cap Y) \setminus \text{int}(X_{k-1}) \right) \\ &\quad \setminus \left(\alpha^{-n(k)}(S_k) \setminus X_{k-1} \right) \\ &\subset \left(\alpha^{-n(k)}(\alpha^{n(k)}(Y) \cap Y) \setminus \alpha^{-n(k)}(S_k) \right) \\ &\quad \bigcup (X_{k-1} \setminus \text{int}(X_{k-1})). \end{aligned}$$

From this, by (3.3) and (3.6), we have

$$(3.8) \quad \mu(Y_k \setminus Y_k^o) = 0 \text{ for all } \mu \in T_\alpha.$$

It follows from Theorem 2.3 of [16] (see also p. 299 of [17]) that

- (i) $\alpha^j(Y_k^o)$ are pairwise disjoint for $1 \leq j \leq n(k)$, $0 \leq k \leq l$;
- (ii) $\bigcup_{k=0}^l \bigcup_{j=0}^{n(k)} \alpha^j(Y_k) = X$.

Moreover,

$$\mu \left(X \setminus \bigcup_{k=0}^l \bigcup_{j=0}^{n(k)} \alpha^j(Y_k^o) \right) \leq \sum_{k=0}^l \sum_{j=0}^{n(k)} \mu(\alpha^j(Y_k \setminus Y_k^o)) = 0$$

for all $\mu \in T_\alpha$. Define $G_k = \alpha(Y_k^o)$, $k = 0, 1, \dots, l$. With $m = l + 1$ and $h(k) = n(k) + 1$, we see that the lemma follows. \square

LEMMA 3.4. *Let X be a compact metric space with infinitely many points, let $\alpha : X \rightarrow X$ be a minimal homeomorphism and let T_α be the set of α -invariant probability measures. Suppose that (X, α) has mean dimension zero. Let $\{y_1, y_2, \dots, y_k\}$ be an $\eta/3$ -dense subset of X for some $\eta > 0$.*

Then, for any integer $n \geq 1$, there exists an open subset $G \subset X$ containing a subset $\{x_1, x_2, \dots, x_k\}$ which is η -dense in X with $\text{dist}(x_i, y_i) < \eta/3$ ($1 \leq i \leq k$) such that $\alpha^i(G)$ are mutually disjoint for $0 \leq i \leq n - 1$ and $\mu(\bigcup_{i=0}^{n-1} \alpha^i(G)) > 1 - \varepsilon$ for all $\mu \in T_\alpha$.

Moreover,

$$\mu(\partial(G)) = 0$$

for all $\mu \in T_\alpha$.

Proof. Choose an integer $K > 0$ such that $1/K < \varepsilon$. Let $N = nK$. By 3.3, we obtain finitely many open subsets G_1, G_2, \dots, G_m such that

- (i) $\alpha^j(G_i)$ are pairwise disjoint for $1 \leq i \leq m, 0 \leq j \leq h(i)$;
- (ii) $h(i) \geq N, 1 \leq i \leq m$;
- (iii) $\mu(X \setminus \bigcup_{i=1}^m \bigcup_{j=0}^{h(i)-1} \alpha^j(G_i)) = 0$ for all $\mu \in T_\alpha$.

Write $h(i) = L(i)n + r(i)$, where $L(i) \geq 1$ and $n > r(i) \geq 0$ are integers, $i = 1, 2, \dots, m$. Define, for each i ,

$$U(i, 1) = \alpha^n(G_i), U(i, 2) = \alpha^{2n}(G_i), \dots, U(i, L(i) - 1) = \alpha^{(L(i)-1)n}(G_i).$$

Note that

$$(3.9) \quad \mu(G_i) \leq \frac{1}{nK} \mu \left(\bigcup_{j=0}^{h(i)-1} \alpha^j(G_i) \right), \quad 1 \leq i \leq m,$$

for all $\mu \in T_\alpha$.

So

$$(3.10) \quad \mu \left(\bigcup_{j=L(i)}^{h(i)-1} \alpha^j(G_i) \right) = r(i)\mu(G_i) \leq \frac{1}{K} \mu \left(\bigcup_{j=0}^{h(i)-1} \alpha^j(G_i) \right)$$

for all $\mu \in T_\alpha$ and $1 \leq i \leq m$.

Let $G = \bigcup_{i=1}^m G_i \cup (\bigcup_{i=1}^m \bigcup_{s=1}^{L(i)-1} U(i, s))$. Then

- (1) $\alpha^j(G)$ are pairwise disjoint for $0 \leq j \leq n - 1$,

and, by (iii) and by (3.10),

- (2) $\mu(\bigcup_{j=0}^{n-1} \alpha^j(G)) > 1 - \sum_{i=1}^m \mu(\bigcup_{j=L(i)}^{h(i)-1} \alpha^j(G_i)) > 1 - \frac{1}{K} > 1 - \varepsilon$ for all $\mu \in T_\alpha$.

Now let $\{y_1, y_2, \dots, y_k\}$ be an $\eta/3$ -dense set. Define $y'_i = \alpha^{-1}(y_i), i = 1, 2, \dots, k$. Choose $\delta > 0$ such that

$$\text{dist}(\alpha(x), \alpha(y)) < \eta/9$$

whenever $\text{dist}(x, y) < \delta$.

Choose $z_1 = y'_1$. Since y'_2 is a condensed point, there is $z_2 \in O(y'_2)$, where $O(y'_2) = \{x \in X : \text{dist}(y_2, x) < \delta\}$, such that $z_2 \notin \{\alpha^n(x_1) : n \in \mathbb{Z}\}$. We then choose $z_3 \notin \{\alpha^n(x_1), \alpha^n(x_2) : n \in \mathbb{Z}\}$ such that $\text{dist}(z_3, y_2) < \delta$. By induction, we obtain $\{z_1, z_2, \dots, z_k\} \subset X$ such that none of z_i lies in the orbit of z_j if $i \neq j$. We note that $\{\alpha(z_1), \alpha(z_2), \dots, \alpha(z_k)\}$ is $4\eta/9$ -dense in X . So we may start with an open subset Ω which contains $\{z_1, z_2, \dots, z_k\}$ at the beginning of the proof of 3.3.

Note that, by the proof of 3.3, $G_k = \alpha(Y_k^o), k = 0, 1, \dots, l$. In the proof of 3.3,

$$\bigcup_{k=0}^l Y_k \supset Y = \overline{\Omega}.$$

It follows that

$$\alpha(Y) \setminus \bigcup_{k=0}^l G_k \subset \bigcup_{k=0}^l \alpha(Y_k \setminus Y_k^o).$$

Since

$$\mu(Y_k \setminus Y_k^o) = 0$$

for all $\mu \in T_\alpha$, and since α is minimal, for each i ,

$$U(\alpha(z_i)) \cap \bigcup_{k=1}^m G_k \neq \emptyset,$$

where $U(\alpha(z_i)) = \{x \in X : \text{dist}(\alpha(z_i), x) < \eta/9\}$. Choose a point $x_i \in U(\alpha(z_i)) \cap \bigcup_{k=1}^l G_k$, $1 \leq i \leq k$. Then the above proof shows that

$$x_i \in G, \quad i = 1, 2, \dots, k.$$

Note that $\text{dist}(x_i, y_i) < \eta/3$ $i = 1, 2, \dots, k$ and $\{x_1, x_2, \dots, x_k\}$ is η -dense in X . □

Let X be a compact metric space and let A be a unital C^* -algebra. Suppose that $\phi : C(X) \rightarrow A$ is a homomorphism. Then ϕ can be extended to a homomorphism from $\mathcal{B}(X)$, the algebra of all bounded Borel functions, to the enveloping von-Neumann algebra A^{**} (see 4.5.11 of [22]).

LEMMA 3.5. *Let X be a compact metric space and $\phi : C(X) \rightarrow A$ be a unital monomorphism from $C(X)$ into a unital simple C^* -algebra A . Suppose that G is an open subset of X such that*

$$\mu_\tau(\overline{G} \setminus G) = 0$$

for all $\tau \in T(A)$, where μ_τ is the measure induced by $\tau \circ \phi$.

Then $\phi(\chi_G)$ (in A^{**}) is continuous function on $T(A)$, or equivalently, for any $\varepsilon > 0$, there exists $f \in C(X)$, with $0 \leq f(t) \leq 1$ for all $t \in X$ and $f(t) = 0$ if $t \in X \setminus G$ such that

$$|\tau(\phi(f)) - \mu_\tau(G)| < \varepsilon$$

for all $\tau \in T(A)$.

Proof. Let h be a continuous function on X defined by

$$h(x) = \frac{1}{1 + \text{dist}(x, \overline{G} \setminus G)} \text{ for all } x \in X.$$

Note that $0 \leq h(x) \leq 1$. Let $g_n(x) = h(x)^n$ for $x \in X$. Then $g_n \in C(X)$. The condition that $\mu_\tau(\overline{G} \setminus G) = 0$ and the fact that $0 \leq g_n \leq 1$ imply that $\widehat{\phi(g_n)}(\tau) = \int_X g_n d\mu_\tau$ converges to zero pointwise on $T(A)$. Hence, by the Dini Theorem, $\widehat{\phi(g_n)}$ converges uniformly to zero on $T(A)$. Put $f(x) = \chi_{\overline{G}}(x) - g_n(x)$ for $x \in G$ and $f(x) = 0$ for $x \in X \setminus G$. It is easy to check that

$f \in C(X)$. Moreover, $0 \leq f \leq 1$. One sees, with sufficiently large n , that f meets the requirements of the lemma. \square

The author would like to thank the referee for the suggestion of this simple proof which replaces the original longer proof.

4. Perturbations

The following lemma is well-known (note that finite dimensional C^* -algebras are semiprojective (see 0.4 of [20]) and their unit balls are compact).

LEMMA 4.1. *Let F be a finite dimensional C^* -algebra. Then for any $\varepsilon > 0$ there exist a finite subset $\mathcal{G} \subset F$ and $\delta > 0$ satisfying the following: For any \mathcal{G} - δ -multiplicative contractive completely positive linear map $\phi : F \rightarrow A$, where A is any C^* -algebra, there exists a homomorphism $h : F \rightarrow A$ such that*

$$\|h - \phi\| < \varepsilon.$$

LEMMA 4.2 (Lemma 4.1 of [12]). *Let A be a unital C^* -algebra. For any $\varepsilon > 0$ and finite subset $\mathcal{F} \subset A$, there exist a finite subset $\mathcal{G} \subset A$ and $\delta > 0$ satisfying the following:*

If B is another unital C^ -algebra, $\phi : A \rightarrow B$ is a unital contractive completely positive linear map which is \mathcal{G} - δ -multiplicative and $\tau \in T(B)$, then there exists a tracial state $t \in T(A)$ such that*

$$|\tau \circ \phi(a) - t(a)| < \varepsilon$$

for all $a \in \mathcal{F}$.

LEMMA 4.3. *Let X be a compact metric space with infinitely many points and let $\alpha : X \rightarrow X$ be a minimal homeomorphism. Let G_1, G_2, \dots, G_L be finitely many open subsets with the property that $\mu(\overline{G_i} \setminus G_i) = 0$ for all $\mu \in T_\alpha$.*

For any $\varepsilon > 0$ and a finite subset $\mathcal{F} \subset C(X)$, there exist a finite subset $\mathcal{G}_1 \subset C(X)$ and $\eta > 0$ satisfying the following:

If there exists a projection $p \in A_\alpha$ and a unital homomorphism $\phi_0 : C(X) \rightarrow pA_\alpha p$ with finite dimensional range such that

- (1) $\|pj_\alpha(f) - j_\alpha(f)p\| < \eta$ for all $f \in \mathcal{G}_1$,
- (2) $\|pj_\alpha(f)p - \phi_0(f)\| < \eta$ for all $f \in \mathcal{G}_1$,
- (3) $\tau(1 - p) < \eta$ for all $\tau \in T(A_\alpha)$,

and if $\phi : A_\alpha \rightarrow M_k$ is a unital \mathcal{G}_2 - δ -multiplicative contractive completely positive linear map (for some $k > 0$), where \mathcal{G}_2 is a finite subset of A_α and $\delta > 0$, both of which depend on the image of ϕ_0 , \mathcal{G}_1 , η , ε , as well as G_1, G_2, \dots, G_L , then there is $\tau \in T(A_\alpha)$, such that

$$|\text{tr} \circ \phi \circ j_\alpha(g) - \tau(g)| < \varepsilon/2 \text{ and } |\text{tr} \circ \phi \circ \phi_0(g) - \tau(g)| < \varepsilon$$

for all $g \in \mathcal{F}$, there are $\{y_1, y_2, \dots, y_K\} \subset X$ and mutually orthogonal rank one projections in M_k such that

$$\left\| \sum_{i=1}^K f(y_i)p_i - \phi \circ \phi_0 \circ (f) \right\| < \varepsilon$$

for all $f \in \mathcal{F}$ and

$$\mu_\tau(G_j) + \varepsilon > \frac{N_j}{k} > \mu_\tau(G_j) - \varepsilon,$$

where N_j is the number of y_i s in G_j . Moreover, $\frac{k-K}{k} < \varepsilon$.

Proof. To simplify notation, without loss of generality, we may assume that \mathcal{F} is in the unit ball of $C(X)$.

Let

$$\gamma_0 = \inf \{ \mu_\tau(G_j) : \mu \in T(A), j = 1, 2, \dots, L \}.$$

Since A_α is simple, one has $\gamma_0 > 0$. By Lemma 3.5, choose $g_j \in C(X)$ with $0 \leq g_j \leq 1$, $g_j(x) = 0$ if $x \notin G_j$, and

$$(4.1) \quad \mu_\tau(G_j) < \tau(j_\alpha(g_j)) - \min(\gamma_0/4, \varepsilon/8)$$

for all $\tau \in T(A)$ and $j = 1, 2, \dots, L$.

Let $\mathcal{F}_1 = \mathcal{F} \cup \{g_j : 1 \leq j \leq L\}$. Let $\eta_1 > 0$ be such that

$$|f(x) - f(x')| < \varepsilon/4$$

if $\text{dist}(x, x') < \eta_1$ for all $f \in \mathcal{F}_1$. Let $\eta = \min\{\gamma_0/32, \varepsilon/64, \eta_1/32\}$. Let $\mathcal{G}_1 = \mathcal{F}_1$. Suppose that $p \in A_\alpha$ and $\phi_0 : C(X) \rightarrow pA_\alpha p$ is a homomorphism with finite dimensional range which satisfies (1)–(3) as described in the statement (for the above \mathcal{G}_1 and η).

Put $\mathcal{F}_2 = j_\alpha(\mathcal{F}_1) \cup \phi_0(\mathcal{F}_1) \cup \{p, 1 - p\} \cup \{pj_\alpha(f)p : f \in \mathcal{F}_1\}$.

Let $\mathcal{G} \subset A_\alpha$ be a finite subset and $\delta > 0$ be a positive number given by Lemma 4.2 corresponding to \mathcal{F}_2 and η . Let C be the image of ϕ_0 , which is a finite dimensional C^* -algebra. Choose a smaller δ required by 4.1 and a larger \mathcal{G} which contains a finite subset required by 4.1 for C and η .

Let $\mathcal{G}_2 = \mathcal{G} \cup \mathcal{F}_2$. Now let $\phi : A_\alpha$ be a unital \mathcal{G}_2 - δ -multiplicative contractive completely positive linear map from $A_\alpha \rightarrow M_k$ (for some $k > 0$).

By 4.1 (and the choice of \mathcal{G} and δ), we may also assume that there is a homomorphism, $\phi_{00} : C(X) \rightarrow EM_k E$ (for some projection E), such that

$$\|\phi_{00}(f) - \phi \circ \phi_0(f)\| < \eta$$

for all $f \in \mathcal{F}_1$.

By the choice of \mathcal{G} and δ , applying 4.2, there is a tracial state $\tau \in T(A)$ such that

$$|\tau(a) - \text{tr} \circ \phi(a)| < \eta$$

for all $f \in \mathcal{F}_2$. In particular,

$$|\tau(1 - p) - \text{tr} \circ \phi(1 - p)| < \eta.$$

It follows that

$$(4.2) \quad \text{tr} \circ \phi(1 - p) < 2\eta < \varepsilon/4.$$

Moreover,

$$|\tau(j_\alpha(f)) - \text{tr} \circ \phi_{00}(f)| < 3\eta$$

for all $f \in \mathcal{F}_1$.

Write $\phi_{00}(f) = \sum_{i=1}^K f(y_i)p_i$ for all $f \in C(X)$, where $y_i \in X$ and $\{p_1, p_2, \dots, p_K\}$ is a set of mutually orthogonal rank one projections in M_k , and $0 < K < k$.

On the other hand,

$$(4.3) \quad |\text{tr}(\phi_{00}(g_i)) - \tau(j_\alpha(g_i))| < 3\eta$$

for $i = 1, 2, \dots, L$. It follows from (4.1) and (4.3) that

$$\mu_\tau(G_j) + \varepsilon/2 > \frac{N_j}{k} > \mu_\tau(G_j) - \varepsilon/2,$$

where N_j is the number of y_j 's which lie in G_j , $j = 1, 2, \dots, L$.

By (4.2), we compute that

$$\frac{k - K}{k} < \varepsilon/4 < \varepsilon. \quad \square$$

LEMMA 4.4. *Let X be a finite dimensional compact metric space with infinitely many points and $\alpha : X \rightarrow X$ be a minimal homeomorphism. Suppose that $\rho(K_0(A_\alpha))$ is dense in $\text{Aff}(T(A_\alpha))$. Then, for any $\varepsilon > 0$, $\sigma > 0$ and finite subset $\mathcal{F} \subset C(X)$, there are mutually orthogonal projections $\{p_1, p_2, \dots, p_m\} \subset A_\alpha$ and $\{x_1, x_2, \dots, x_m\} \subset X$ such that*

- (1) $\|pj_\alpha(f) - j_\alpha(f)p\| < \varepsilon$ for $f \in \mathcal{F}$, where $p = \sum_{k=1}^m p_k$,
- (2) $\|pj_\alpha(f)p - \sum_{k=1}^m f(x_k)p_k\| < \varepsilon$ for all $f \in \mathcal{F}$,
- (3) $\tau(1 - p) < \sigma$ for all $\tau \in T(A_\alpha)$.

Several versions of Lemma 4.4 are known. By 2.1, A_α has tracial rank zero. Lemma 4.4 then follows from the definition of tracial rank zero and Lemma 6.27 of [11].

LEMMA 4.5. *Let X be a finite dimensional compact metric space with infinitely many points and let $\alpha : X \rightarrow X$ be a minimal homeomorphism. Suppose that $\rho(K_0(A_\alpha))$ is dense in $\text{Aff}(T(A_\alpha))$.*

Let G_1, G_2, \dots, G_L be finitely many open subsets with the property that $\mu(\overline{G_i} \setminus G_i) = 0$ for all $\mu \in T_\alpha$. For any $\varepsilon > 0$ and any finite subset $\mathcal{F} \subset C(X)$, there are a (specially selected) projection $p \in A_\alpha$ with $\tau(1 - p) < \varepsilon/2$ for all $\tau \in T(A_\alpha)$, and a finite subset $\mathcal{G} \subset A_\alpha$ and $\delta > 0$ satisfying the following property:

If $\phi : A_\alpha \rightarrow M_k$ is a unital \mathcal{G} - δ -multiplicative contractive completely positive linear map (for some $k > 0$), then there is $\tau \in T(A_\alpha)$ such that

$$|\operatorname{tr} \circ \phi \circ j_\alpha(g) - \tau(g)| < \varepsilon/2 \text{ and } |\operatorname{tr} \circ \phi(pgp) - \tau(g)| < \varepsilon$$

for all $g \in \mathcal{F}$, and there are $\{y_1, y_2, \dots, y_K\} \subset X$ and mutually orthogonal rank one projections $\{p_1, p_2, \dots, p_k\}$ in M_k such that

$$\left\| \sum_{i=1}^K f(y_i)p_i - \phi \circ (pfp) \right\| < \varepsilon$$

for all $f \in \mathcal{F}$ and

$$\mu(G_j) + \varepsilon > \frac{N_j}{k} > \mu(G_j) - \varepsilon,$$

where N_j is the number of y_i 's in G_j and μ is the probability measure induced by τ . Moreover, $\frac{k-K}{k} < \varepsilon$.

Proof. To prove this lemma, we combine 4.3 and 4.4. Fix $\varepsilon > 0$ and a finite subset $\mathcal{F} \subset C(X)$. Let $\mathcal{G}_1 \subset C(X)$ be a finite subset and $\eta > 0$ given by 4.3. By applying 4.4, we obtain a projection $p \in A_\alpha$ and a unital homomorphism $\phi_0 : C(X) \rightarrow pAp$ with finite dimensional range which satisfies (1)–(3) in 4.3. We then apply 4.3 to obtain this lemma. \square

LEMMA 4.6. *Let A be a unital simple C^* -algebra with the following property: Any two projections p and q in A with $\tau(p) = \tau(q)$ for all $\tau \in (A)$ are equivalent.*

Let X be a compact metric space and $h_1, h_2 : C(X) \rightarrow A$ be two unital monomorphisms. Suppose that

$$(4.4) \quad \tau \circ h_1(f) = \tau \circ h_2(f)$$

for all $\tau \in T(A)$ and all $f \in C(X)$. Suppose also that, for any $r > 0$, there are finitely many pairwise disjoint open subsets U_1, U_2, \dots, U_m whose diameters are less than r such that $X = \bigcup_{i=1}^m \overline{U}_i$ and

$$\mu_{\tau \circ h_1} \left(\bigcup_{i=1}^m (\overline{U}_i \setminus U_i) \right) = 0$$

for all $\tau \in T(A)$.

Then, for any $\eta > 0$, there exist a finite subset $\mathcal{F}_0 \subset C(X)$, $\mathcal{F} \subset A$ and $\delta > 0$ satisfying the following: for any \mathcal{F} - δ -multiplicative contractive completely positive linear map $\phi : A \rightarrow B$ and any homomorphism $\psi_1, \psi_2 : C(X) \rightarrow B$ for some unital stably finite C^* -algebra B with

$$\|\phi \circ h_i(f) - \psi_i(f)\| < \delta$$

for all $f \in \mathcal{F}_0$, $i = 1, 2$, one has

$$\mu_{t \circ \psi_1}(S) \leq \mu_{t \circ \psi_2}(B_\eta(S)) \text{ and } \mu_{t \circ \psi_2}(S) \leq \mu_{t \circ \psi_1}(B_\eta(S))$$

for any $t \in T(B)$ and any closed subset $S \subset X$, where $B_\eta(S) = \{x \in X : \text{dist}(x, S) < \eta\}$.

Proof. Fix $\eta > 0$. Let $X = \sum_{j=1}^N X_j$, where each X_j is a clopen set which is $\eta/4$ -connected, i.e., for any two points $x, y \in X_j$, there are $x_1, x_2, \dots, x_m \in X_j$ such that $\text{dist}(x, x_1) < \eta/4$, $\text{dist}(x_i, x_{i+1}) < \eta/4$ and $\text{dist}(x_m, y) < \eta/4$.

Let U_1, U_2, \dots, U_m be pairwise disjoint non-empty open subsets whose diameters are less than $\eta/8$, such that $X = \bigcup_{i=1}^m \overline{U_i}$ and

$$\mu_{\tau \circ h_1} \left(\bigcup_{i=1}^m (\overline{U_i} \setminus U_i) \right) = 0$$

for all $\tau \in T(A)$.

Let

$$d = \inf \{ \mu_{\tau \circ h_1}(U_i) : 1 \leq i \leq m, \tau \in T(A) \}.$$

Since A is simple, $d > 0$.

Let $e_i = h_1(\chi_{X_i})$ and $f_i = h_2(\chi_{X_i})$, where χ_{X_i} is the characteristic function on the clopen set X_i , $i = 1, 2, \dots, N$. Then, for any $\tau \in T(A)$,

$$(4.5) \quad \tau(e_i) = \tau(f_i)$$

for all $\tau \in T(A)$. By the assumption on A , there is a partial isometry $u_i \in A$ such that

$$(4.6) \quad u_i^* u_i = e_i \text{ and } u_i u_i^* = f_i \quad i = 1, 2, \dots, N.$$

Let Λ be a subset of $\{1, 2, \dots, m\}$. By 3.5, for each Λ , there exists a $g_\Lambda \in C(X)$ with $0 \leq g_\Lambda \leq 1$, $g_\Lambda(x) = 1$ if $x \in \bigcup_{i \in \Lambda} U_i$ and $g_\Lambda(x) = 0$ if $\text{dist}(x, \bigcup_{i \in \Lambda} U_i) > \eta/128$ such that

$$(4.7) \quad \tau(h_1(g_\Lambda)) - \frac{d}{8} < \mu_{\tau \circ h_1} \left(\bigcup_{i \in \Lambda} U_i \right)$$

for all $\tau \in T(A)$, $i = 1, 2, \dots, m$.

Let $\mathcal{F}_0 = \{g_\Lambda : \Lambda \subset \{1, 2, \dots, m\}\}$, $\mathcal{F} = \{u_i, u_i^* : 1 \leq i \leq N\} \cup_{i=1}^2 h_i(\mathcal{F}_0)$. Let \mathcal{G} be a finite subset and $\delta > 0$ be given by 4.2 for the above A , \mathcal{F} and $d/8$. We may assume that $\delta < d/4$.

Now suppose that $\phi : A \rightarrow B$ is a \mathcal{G} - $\delta/4$ -multiplicative contractive completely positive linear map and $\psi_i : C(X) \rightarrow B$ is (for each i) a homomorphism such that

$$(4.8) \quad \|\psi_i(f) - \phi \circ h_i(f)\| < \delta/4$$

for all $f \in \mathcal{F}$.

Hence

$$(4.9) \quad \|\psi_1(\chi_{X_i}) - \phi_1(u_i)\phi(u_i)^*\| < \delta \text{ and } \|\psi_2(\chi_{X_i}) - \phi(u_i)^*\phi(u_i)\| < \delta$$

for $i = 1, 2, \dots, N$. With $\delta < d/4 < 1$, it follows (for example, from 2.5.3 of [11]) that $\psi_1(\chi_{X_i})$ is equivalent to $\psi_2(\chi_{X_i})$ in B , $i = 1, 2, \dots, N$.

In particular,

$$(4.10) \quad t(\psi_1(\chi_{X_i})) = t(\psi_2(\chi_{X_i}))$$

for all $t \in T(B)$, $i = 1, 2, \dots, N$.

By the choice of \mathcal{G} and δ , applying 4.2, we have, for each $t \in T(B)$, that there is $\tau \in T(A)$ such that

$$(4.11) \quad |\tau(h_1(g_\Lambda)) - t \circ \psi_j(g_\Lambda)| < d/8$$

for $j = 1, 2$ and $\Lambda \subset \{1, 2, \dots, m\}$.

For any closed subset $S \subset X$, if S is a union of some of X_i , then, by (4.10),

$$(4.12) \quad \mu_{t \circ \psi_1}(S) = \mu_{t \circ \psi_2}(S).$$

Suppose that S is a closed subset of X which is not a finite union of some X_i 's. Then there must be a point $\xi \in B_{5\eta/16}(S) \setminus B_{\eta/4}(S)$. But $\text{dist}(\xi, U_j) = 0$ for some j . Since the diameter of U_j is less than $\eta/8$,

$$(4.13) \quad U_j \subset B_{7\eta/16}(S) \subset B_{\eta/2}(S).$$

It follows from (4.11) that

$$(4.14) \quad \mu_{t \circ \psi_i}(U_j) > d/2$$

for all $t \in T(B)$, $i = 1, 2$. Since $U_j \cap B_{7\eta/64}(S) = \emptyset$, we have

$$(4.15) \quad \mu_{t \circ \psi_i}(B_\eta(S)) > d/2 + \mu_{t \circ \psi_i}(B_{7\eta/64}(S)).$$

There is a $\Lambda \subset \{1, 2, \dots, N\}$ such that $\bigcup_{i \in \Lambda} \overline{U_i} \supset S$. Suppose that Λ is smallest such subset of $\{1, 2, \dots, N\}$. Then

$$(4.16) \quad \text{supp } g_\Lambda \subset B_{7\eta/64}(S) \text{ and } \mu_{t \circ \psi_i}(B_{7\eta/64}(S)) \geq t(\psi_i(g_\Lambda))$$

for all $t \in T(B)$ and $i = 1, 2$.

By 4.11,

$$(4.17) \quad |t \circ \psi_1(g_\Lambda) - t \circ \psi_2(g_\Lambda)| < d/8$$

for all $t \in T(B)$. By applying (4.17), (4.16) and (4.15), it follows that

$$(4.18) \quad \begin{aligned} \mu_{t \circ \psi_1}(S) &\leq t(\psi_1(g_\Lambda)) \leq t(\psi_2(g_\Lambda)) + d/8 \\ &\leq \mu_{t \circ \psi_2}(B_{7\eta/64}(S)) + d/8 \leq \mu_{t \circ \psi_2}(B_\eta) \end{aligned}$$

for all $t \in T(B)$. Similarly,

$$(4.19) \quad \mu_{t \circ \psi_2}(S) \leq \mu_{t \circ \psi_1}(B_\eta)$$

for all $t \in T(B)$. □

LEMMA 4.7. *Let X be a finite dimensional compact metric space with infinitely many points and let $\alpha : X \rightarrow X$ be a minimal homeomorphism. Suppose that $\rho(K_0(A_\alpha))$ is dense in $\text{Aff}(T(A_\alpha))$.*

Let $\varepsilon > 0$ and let $\mathcal{F} \subset C(X)$ be a finite subset. Let $\eta > 0$ be any positive number such that

$$|f(t) - f(t')| < \varepsilon/8$$

if $\text{dist}(t, t') < \eta$ for all $f \in \mathcal{F}$.

Let n be an integer so that $1/n < \varepsilon/4$ and let G be an open set such that $\alpha^j(G)$ are pairwise disjoint for $0 \leq j \leq n - 1$ with the following properties:

- (i) G contains $x_i, i = 1, 2, \dots, l$, where $\{x_1, x_2, \dots, x_l\}$ is $\eta/2$ -dense in X ;
- (ii) $\mu(\bigcup_j \alpha^j(G)) > 1 - \varepsilon/16$ for all $\mu \in T_\alpha$;
- (iii) $\mu(\partial(G)) = 0$ for all $\mu \in T_\alpha$.

Then there exist a (specially selected) projection $p \in A_\alpha$ with $\tau(1-p) < \varepsilon/2$ for all $\tau \in T(A_\alpha)$, a finite subset $\mathcal{G} \subset A_\alpha$ and $\delta > 0$ satisfying the following property:

If $\phi : A_\alpha \rightarrow M_k$ (with $k > ln$) is a \mathcal{G} - δ -multiplicative contractive completely positive linear map, then there are m distinct points

$$\{y_i, i = 1, 2, \dots, m\}$$

with $y_i \in G, x_i = y_i, i = 1, 2, \dots, l \leq m$, and $\frac{k-mn}{k} < \varepsilon/4$ such that

$$(4.20) \quad \left\| \sum_{j=0}^{n-1} \sum_{i=1}^m f(\alpha^j(y_i))p_{i,j} + \sum_{i=K+1}^N f(z_i)p_i - \phi(pj_\alpha(f)p) \right\| < \varepsilon$$

($K = mn < N < k$) for all $f \in \mathcal{F}$, where

$$\{p_{i,j} : 1 \leq i \leq m, 0 \leq j \leq n - 1\} \cup \{p_{K+1}, \dots, p_N\}$$

is a set of mutually orthogonal rank one projections in M_k and $\{z_{K+1}, \dots, z_N\} \subset X$.

Proof. Let $\eta_1 > 0$ such that $\eta_1 < \eta$ and

$$(4.21) \quad \text{dist}(\alpha^j(x), \alpha^j(x')) < \eta/2$$

if $\text{dist}(x, x') < \eta_1, -n + 1 \leq j \leq n - 1$. Let $\eta_2 > 0$ be such that $\eta_2 < \eta_1$ and

$$(4.22) \quad \text{dist}(\alpha^j(x), \alpha^j(x')) < \eta_1/2$$

if $\text{dist}(x, x') < \eta_2, j = 1, 2, \dots, n - 1$.

Since X has finite covering dimension, (X, α) has mean dimension zero (see 3.1). Let U_i be an open ball with center at x_i and radius $\eta_2/4$ such that $\mu(\overline{U_i} \setminus U_i) = 0$ for all $\mu \in T_\alpha, i = 1, 2, \dots, L$.

Now we apply 4.5 with open subsets $\{U_i : 1 \leq i \leq L\}$ and $\{\alpha^j(G) : 0 \leq j \leq n - 1\}$. Let $\delta_1 > 0$. By 4.5 for $\frac{\varepsilon}{8(n+1)}$ and \mathcal{F} , with sufficiently large \mathcal{G} and sufficiently small δ , we may assume that k is sufficiently large and

$$(4.23) \quad \left\| \phi \circ (pj_\alpha(f)p) - \sum_{i=1}^N f(z_i)p_i \right\| < \min\{\varepsilon/8, \delta_1\},$$

where $p \in A_\alpha$ is a specially selected projection with $\tau(1 - p) < \varepsilon/8$ for all $\tau \in T(A_\alpha)$, where $\frac{k-N}{k} < \varepsilon/8$ and where $\{z_1, \dots, z_N\}$ is a set of distinct points of X . By applying 4.5 (with finitely many open U_i 's and $\alpha^j(G)$'s in place of G_i), and using (ii) above, we may also assume that there are at least m distinct points $\{y_{i,j} : i = 1, 2, \dots, m\}$ of $\{z_1, z_2, \dots, z_N\}$ in each of $\alpha^j(G)$ (for some $1 \leq J \leq L$), $j = 0, 1, \dots, n - 1$, such that

$$(4.24) \quad \frac{1}{n} \geq \frac{m}{k} > \frac{1}{n} - \frac{\varepsilon}{4n}.$$

Furthermore, we may assume that $m > L$ and $y_{0,i} \in U_i$ $i = 1, 2, \dots, l$. Put $\Psi(f) = \sum_{i=1}^N f(z_i)p_i$ for $f \in C(X)$. With sufficiently small δ_1 and sufficiently large \mathcal{G} , by 4.6, we may also assume that

$$(4.25) \quad \mu_{tr \circ \Psi}(S) \leq \mu_{tr \circ \Psi \circ (\alpha^{-j})^*}(S_{\eta_2/2}) \text{ and } \mu_{tr \circ \Psi \circ (\alpha^{-j})^*}(S) \leq \mu_{tr \circ \Psi}(S_{\eta_2/2})$$

for any closed subset $S \subset X$, where $(\alpha^{-j})^*(f) = f \circ \alpha^{-j}$, $j = 1, 2, \dots, n - 1$ and where $S_{\eta_2/2} = \{x \in X : \text{dist}(x, S) < \eta_2/2\}$.

Thus, by the choice of η_2 , for any $y_{s(i),j}$, $i = 1, 2, \dots, M$ with $1 \leq M \leq m$, there exist $\xi'_1, \xi'_2, \dots, \xi'_M \in \{x \in X : \text{dist}(x, \{y_{1,0}, y_{2,0}, \dots, y_{m,0}\}) < \eta_1/2\}$ such that

$$\text{dist}(y_{s(i),j}, \alpha^j(\xi'_i)) < \eta_2/2, \quad i = 1, 2, \dots, M.$$

Then, by the choice of η_1 , there are $\xi_1, \xi_2, \dots, \xi_M \in \{y_{1,0}, y_{2,0}, \dots, y_{m,0}\}$ such that

$$\text{dist}(y_{s(i),j}, \alpha^j(\xi_i)) < \eta/2, \quad i = 1, 2, \dots, M.$$

Similarly, for any $\xi'_1, \xi'_2, \dots, \xi'_M \in \{y_{1,0}, y_{2,0}, \dots, y_{m,0}\}$, there exist $y'_{s(i),j}$, $i = 1, 2, \dots, M$, such that

$$\text{dist}(\alpha^j(\xi'_i), y'_{s(i),j}) < \eta/2 \quad i = 1, 2, \dots, M.$$

It follows from the ‘‘marriage lemma’’ ([7]) (see also 2.1 of [23]) that there is a permutation $\sigma_j : \{1, 2, \dots, m\} \rightarrow \{1, 2, \dots, m\}$ such that

$$\text{dist}(y_{i,j}, \alpha^j(y_{\sigma_j(i),0})) < \eta,$$

$j = 1, 2, \dots, n - 1$. By the choice of η and by replacing $\varepsilon/8$ by $\varepsilon/4$ in (4.23), we may assume that $y_{i,j} = \alpha^j(y_{i,0})$ and $y_{i,0} = x_i$ for $1 \leq i \leq l$. Let $y_i = y_{1,i}$, $i = 1, 2, \dots, m$. Put $K = mn$.

Thus, from above, with sufficiently large \mathcal{G} and sufficiently small δ , we may also assume that,

$$(4.26) \quad \left\| \sum_{i=1}^N f(z_i)p_i - \left[\sum_{j=0}^{n-1} \sum_{i=1}^m f(\alpha^j(y_i))p_{i,j} + \sum_{i=K+1}^N f(z_i)p_i \right] \right\| < \varepsilon/2$$

for all $f \in \mathcal{F}$. Then (4.20) follows from (4.23) and (4.26). Moreover, by (4.24) and (4),

$$\frac{K}{k} = \frac{nm}{k} > n\left(\frac{1}{n} - \frac{\varepsilon}{4n}\right) = 1 - \varepsilon/4,$$

as desired. □

PROPOSITION 4.8. *Let A and B be two unital separable C^* -algebras with $TR(A) = TR(B) = 0$. Suppose that $\lambda : Aff(T(A)) \rightarrow Aff(T(B))$ is a unital order affine isomorphism. Then there are finite dimensional C^* -algebras F_n , a sequence of unital contractive completely positive linear maps $\phi_n : B \rightarrow F_n$, and a sequence of unital contractive completely positive linear maps $\psi_n : A \rightarrow F_n$, satisfying the following properties:*

- (1) For all $a, b \in A$,

$$\lim_{n \rightarrow \infty} \|\phi_n(a)\phi_n(b) - \phi_n(ab)\| = 0,$$

and for all $x, y \in B$

$$\lim_{n \rightarrow \infty} \|\psi_n(x)\psi_n(y) - \psi_n(xy)\| = 0;$$

- (2) there is an affine continuous map $\Delta_n : T(B) \rightarrow T(F_n)$ such that, for each $b \in B$,

$$(4.27) \quad |\Delta_n(\tau)(\phi_n(b)) - \tau(b)| \rightarrow 0$$

uniformly on $T(B)$;

- (3) for each $a \in A$,

$$(4.28) \quad |\lambda(\hat{a})(\tau) - \Delta_n(\tau) \circ \psi_n(a)| \rightarrow 0$$

uniformly on $T(B)$.

Proof. Let $\varepsilon > 0$, $\mathcal{F} \subset A$ and $\mathcal{G} \subset B$ be two finite subsets. To simplify notation, without loss of generality, we may assume that \mathcal{F} and \mathcal{G} are in the unit balls of A and B , respectively.

Since $TR(A) = 0$, by [9], for any $\delta > 0$, there exist a projection $p \in A$ and a finite dimensional C^* -subalgebra C of A with $p = 1_C$ such that

- (i) $\|pa - ap\| < \delta/8$ for all $a \in \mathcal{F}$,
- (ii) $\text{dist}(pap, C) < \delta/8$ for all $a \in \mathcal{F}$,
- (iii) $t(1 - q) < \delta/4$ for all $t \in T(A)$.

We choose $\delta < \min\{\varepsilon/4, 1\}$. Moreover, by 2.3.5 of [11], there exists a contractive completely positive linear map $\tilde{\psi}' : pAp \rightarrow C$ such that $\tilde{\psi}(c) = c$ if $c \in C$. Define $\tilde{\psi}(a) = \tilde{\psi}'(pap)$ for all $a \in A$.

Write $C = \bigoplus_{i=1}^k M_{R(i)}$. Denote by e_i a minimal rank one projection in $M_{R(i)}$, $i = 1, 2, \dots, k$. Since $TR(B) = 0$, $\rho_B(K_0(B))$ is dense in $Aff(T(B))$. So there exists a projection $p_i \in B$ such that

$$(4.29) \quad \lambda(\widehat{e}_i)(\tau) - \delta/8 < \tau(p_i) < \lambda(\widehat{e}_i)(\tau)$$

for all $\tau \in T(B)$, $i = 1, 2, \dots, k$. Note

$$\sum_{i=1}^k R(i)[p_i] < [1_B]$$

in $K_0(B)$. Thus (since $TR(B) = 0$) we obtain a C^* -subalgebra $B_0 \subset B$ for which there exists an isomorphism $\psi_1 : C \rightarrow B_0$ so that $\psi_1(e_i) = p_{i,1}$, $i = 1, 2, \dots, k$.

Choose \mathcal{G}_1 which contains \mathcal{G} and $\psi_1 \circ \tilde{\psi}(\mathcal{F})$ as well as a set of generators of B_0 . For any $\delta_1 > 0$, there is a projection $q \in B$ and a finite dimensional C^* -subalgebra F of B with $q = 1_F$ such that

- (1) $\|qb - bq\| < \delta_1/8$ for all $b \in \mathcal{G}_1$;
- (2) $\text{dist}(qbq, F) < \delta_1/8$ for all $b \in \mathcal{G}_1$;
- (3) $\tau(1 - q) < \delta_1/4$ for all $\tau \in T(B)$.

We may assume that $\delta_1 < \min\{\varepsilon/4, 1\}$. By 2.3.5 of [11], we may assume that there exists a contractive completely positive linear map $\phi' : qBq \rightarrow F$ such that $\phi(b) = b$ if $b \in F$. Define $\phi : B \rightarrow F$ by $\phi(b) = \phi'(qbq)$ for all $b \in B$. Then ϕ is a \mathcal{G}_1 - $\delta_1/4$ -multiplicative contractive completely positive linear map.

Furthermore, by 4.1, we may assume that there exists a homomorphism $h : B_0 \rightarrow F$ so that

$$\|h - \phi|_{B_0}\| < \varepsilon/8.$$

For each $\tau \in T(B)$ define $\Delta(\tau) = \frac{1}{\tau(q)}\tau|_F$. Since, for any $b \in B$,

$$\tau((1 - q)bq) = 0 = \tau(qb(1 - q)),$$

we have

$$(4.30) \quad |\tau(b) - \tau(qbq)| < \delta_1/4$$

for all $\tau \in T(B)$. With $\delta_1 < 1$, for any $f \in F$,

$$(4.31) \quad |\tau(f) - \Delta(\tau)(f)| < \left(1 - \frac{1}{1 - \delta_1/4}\right) |\tau(f)| < (\delta_1/3)|\tau(f)|$$

for all $\tau \in T(B)$. By (2) above, (4.30) and (4.31), we estimate that

$$(4.32) \quad |\tau(b) - \Delta(\tau)(\phi(b))| < \delta_1/4 + \delta_1/8 + (\delta_1/3)(1 + \delta_1/8) + \delta_1/8 < \varepsilon/2$$

for all $b \in \mathcal{G}_1$.

Define $\psi(a) = h \circ (\tilde{\psi}(a))$. Note that ψ is from A to $F \subset B$ and it is \mathcal{F} - ε -multiplicative. We also compute that

$$|\lambda(\hat{a})(\tau) - \Delta(\tau)(\psi(a))| < \varepsilon$$

for all $a \in \mathcal{F}$. □

5. Uniform approximate conjugacy in measure

DEFINITION 5.1. Let X be a compact metric space and let $\alpha : X \rightarrow X$ be a minimal homeomorphism. Define $F(X)$ to be the set of those measures ν that are concentrated on finite subsets of X .

Fix a finite set of points $x_1, x_2, \dots, x_k \in X$ and k positive affine continuous functions $a_1, a_2, \dots, a_k \in \text{Aff}(T(A_\alpha))$ with $\sum_{i=1}^k a_i = 1$. One can define an affine continuous map $\Delta : T_\alpha \rightarrow F(X)$ by

$$(5.1) \quad \int f d\Delta(\mu) = \sum_{i=1}^k a_i(\tau_\mu) f(x_i)$$

for all $f \in C(X)$. To simplify notation, we also use Δ for the induced affine continuous map from $T(A_\alpha)$ to $F(X)$.

DEFINITION 5.2. Let X be a compact metric space and $\alpha, \beta : X \rightarrow X$ be two minimal homeomorphisms. We say that α and β are *approximately conjugate uniformly in measure* if there is a sequence of open subsets $\{O_n\}$, with each O_n being $1/n$ -dense in X , and a sequence of Borel isomorphisms $\{\gamma_n\}$ on X , with the following properties:

(1) For each $\sigma > 0$,

$$(5.2) \quad \mu(\{x \in X : \text{dist}(\gamma_n^{-1}\alpha\gamma_n(x), \beta(x)) \geq \sigma\}) \rightarrow 0,$$

$$(5.3) \quad \mu(\{x \in X : \text{dist}(\alpha\gamma_n(x), \gamma_n\beta(x)) \geq \sigma\}) \rightarrow 0,$$

and

$$(5.4) \quad \nu(\{x \in X : \text{dist}(\gamma_n\beta\gamma_n^{-1}(x), \alpha(x)) \geq \sigma\}) \rightarrow 0,$$

$$(5.5) \quad \nu(\{x \in X : \text{dist}(\beta\gamma_n^{-1}(x), \gamma_n^{-1}\alpha(x)) \geq \sigma\}) \rightarrow 0,$$

uniformly on T_β and T_α , respectively.

(2) $\gamma_n(O_n)$ is a $\frac{1}{n}$ -dense open subset, γ_n is continuous on O_n and γ_n^{-1} is continuous on $\gamma_n(O_n)$.

(3) There exists an affine continuous map $\Delta_n : T_\beta \rightarrow F(X)$ such that $\int f \circ \gamma_n d\Delta_n(\mu)$ converges uniformly on T_β for all $f \in C(X)$, which defines an affine homeomorphism $r : T_\beta \rightarrow T_\alpha$ and

$$(5.6) \quad \left| \int f d\mu - \int f d\Delta_n(\mu) \right| \rightarrow 0$$

uniformly on T_β for all $f \in C(X)$, and there exists an affine continuous map $\tilde{\Delta}_n : T_\alpha \rightarrow F(X)$ such that $\int f \circ \gamma_n^{-1} d\tilde{\Delta}_n(\nu)$ converges uniformly

on T_α for all $f \in C(X)$, which defines the affine homeomorphism $r^{-1} : T_\alpha \rightarrow T_\beta$, and

$$(5.7) \quad \left| \int f d\mu - \int f d\tilde{\Delta}_n(\mu) \right| \rightarrow 0$$

uniformly on T_α for all $f \in C(X)$.

REMARK 5.3. In general, one should not expect that $\{\gamma_n\}$ converges in any suitable sense. Nevertheless, it is important that $\{\gamma_n\}$ carries some consistent information. Note that Borel equivalences (or even homeomorphisms) do not preserve measures. For a sequence of homeomorphisms $\{\gamma_n\}$ from X onto X , even if each γ_n does not map positive measure sets to sets with zero measure, it could still happen that, for example, $\mu(\gamma_n(E)) \rightarrow 0$ for some Borel set E with $\mu(E) > 0$. Therefore one should regard (3) as a crucial part of the definition.

It should be noted that the relation of approximate conjugacy uniformly in measure is a rather weak relation. Given an affine homeomorphism $r : T_\alpha \rightarrow T_\beta$, Theorem 5.6 provides a sequence of maps $\{\gamma_n\}$ which induces the map r in the sense of (3) in 5.2 and $\gamma_n^{-1}\alpha\gamma_n(x)$ converges to β and $\gamma_n\beta\gamma_n^{-1}$ converges to α in measure uniformly on T_β and T_α , respectively. It is interesting to see that there exists a sequence $\{\gamma_n\}$ which induces r .

For convenience, we list two known facts below.

LEMMA 5.4. *Let X be a compact metric space and $\alpha : X \rightarrow X$ be a minimal homeomorphism. Then, for any $x, y \in X$ and any two open neighborhoods $N(x)$ and $N(y)$ of x and y , there exist a neighborhood $O(x) \subset N(x)$, an open subset $O \subset N(y)$, and a homeomorphism α' from $O(x)$ onto O .*

Proof. This follows from the minimality immediately. In fact, for any $\varepsilon > 0$, there exists $n \geq 1$, such that

$$\text{dist}(\alpha^n(x), y) < \varepsilon/2.$$

Since α^n is continuous, there exists $\delta > 0$ such that

$$\alpha^n(\{\xi \in X : \text{dist}(x, \xi) < \delta\}) \subset \{\xi \in X : \text{dist}(y, \xi) < \varepsilon\}.$$

This means that the homeomorphism α^n maps $\{x \in X : \text{dist}(x, \xi) < \delta\}$ into the neighborhood $\{\xi \in X : \text{dist}(y, \xi) < \varepsilon\}$. □

LEMMA 5.5. *Two second countable locally compact Hausdorff spaces are Borel equivalent if they have the same cardinality ($\leq 2^{\aleph_0}$).*

See 4.6.13 of [22] for a proof of 5.5.

We remind the reader that when X is a finite dimensional compact metric space and α is minimal, (X, α) has mean dimension zero ([19]).

THEOREM 5.6. *Let X be a finite dimensional compact metric space with infinitely many points and let $\alpha, \beta : X \rightarrow X$ be two minimal homeomorphisms. Suppose that $\rho(K_0(A_\alpha))$ is dense in $\text{Aff}(T_\alpha)$ and $\rho(K_0(A_\beta))$ is dense in $K_0(A_\beta)$. Then the following are equivalent:*

- (1) *There is an affine homeomorphism $r : T_\beta \rightarrow T_\alpha$.*
- (2) *α and β are approximately conjugate uniformly in measure.*

Proof. It suffices to prove “(1) \Rightarrow (2)”.

Fix $\varepsilon > 0$ and a finite subset $\mathcal{F} \subset C(X)$. Fix $\eta_0 > 0$ such that

$$|f(x) - f(x')| < \varepsilon/8$$

if $\text{dist}(x, x') < \eta_0$.

Choose an integer $n > 0$ such that $1/n < \varepsilon/8$. Choose $\eta_1 > 0$ such that

$$(5.8) \quad \text{dist}(\alpha^j(x), \alpha^j(y)) < \eta_0/2 \text{ and } \text{dist}(\beta^j(x), \beta^j(y)) < \eta_0/2$$

if $\text{dist}(x, y) < \eta_1, j = 1, 2, \dots, n - 1$.

Let $\eta = \min\{\varepsilon/4, \eta_1/4, \eta_0/4\}$.

By 3.4, one obtains an open subset G that satisfies the following properties:

- (i) G contains $\bigcup_{i=1}^t \{x \in X : \text{dist}(x, x_i) < d\}$ for some $d > 0$, where $\{x_1, x_2, \dots, x_t\}$ is $\eta/6$ -dense;
- (ii) $\alpha^j(G)$ are pairwise disjoint for $0 \leq j \leq n - 1$;
- (iii) $\mu(X \setminus \bigcup_{j=0}^{n-1} \alpha^j(G)) < \varepsilon/8$ for all $\mu \in T_\alpha$;
- (iv) $\mu(\partial(G)) = 0$ for all $\mu \in T_\alpha$.

Similarly, let Ω be an open subset that satisfies the following properties:

- (i') Ω contains at least one open ball of ξ_i , where $\{\xi_1, \xi_2, \dots, \xi_t\}$ is $\eta/2$ -dense in X ;
- (ii') $\beta^j(\Omega)$ are pairwise disjoint for $0 \leq j \leq n - 1$;
- (iii') $\mu(X \setminus \bigcup_{j=0}^{n-1} \beta^j(\Omega)) > 1 - \varepsilon/8$ for all $\mu \in T_\beta$;
- (iv') $\mu(\partial(\Omega)) = 0$ for all $\mu \in T_\alpha$.

Note that we can use the same number t for the number of points in $\{x_1, x_2, \dots, x_t\}$ and in $\{\xi_1, \xi_2, \dots, \xi_t\}$. When we apply 3.4 to obtain Ω , we use the $\eta/6$ -dense set $\{x_1, x_2, \dots, x_t\}$ to obtain the $\eta/2$ -dense set $\{\xi_1, \xi_2, \dots, \xi_t\}$.

Suppose that $O(x_i)$ are open balls of x_i so that $O(x_i) \subset G$ and $O(\xi_i)$ are open balls of ξ_i so that $O(\xi_i) \subset \Omega$. Since (X, α) has mean dimension zero, let $\{O_1, O_2, \dots, O_L\}$ be a finite set of pairwise disjoint open subsets of X such that each O_i has diameter less than $\eta_1/2$, $X = \bigcup_{i=1}^L \overline{O_i}$ and $\mu(\overline{O_i} \setminus O_i) = 0$ for all $\mu \in T_\alpha$. We may assume that $O(x_i) \subset O_{i'} \cap G$ for some i' , by choosing a smaller open ball of x_i if necessary. Further, by considering a suitable open ball of x_i with universal null boundary, we may simply assume that $O_i = O(x_i), i = 1, 2, \dots, t$ and $L > t$.

Let $\{U_1, U_2, \dots, U_{L_1}\}$ be a finite set of pairwise disjoint open subsets of X such that each U_i has diameter less than $\eta_1/2$, $X = \bigcup_{i=1}^{L_1} \overline{U_i}$ and $\nu(\overline{U_i} \setminus U_i) = 0$ for all $\nu \in T_\beta$. We may also assume that $O(\xi_i) = U_i, i = 1, 2, \dots, t$ and $t < L_1$.

Let $p \in A_\alpha$ and $q \in A_\beta$ be the specially selected projections as given by 4.7 with

$$(5.9) \quad \tau(1 - p) < \varepsilon/16 \text{ and } \theta(1 - q) < \varepsilon/16$$

for all $\tau \in T(A_\alpha)$ and $\theta \in T(A_\beta)$ for $\varepsilon/4, \mathcal{F}, \eta, n$ and G above and $\varepsilon/4, \mathcal{F}, \eta, n$ and Ω above.

Let $\mathcal{G}_1 \subset A_\beta$ be a finite subset (in place of \mathcal{G}) and $\delta > 0$ as given by 4.7 for the above $\varepsilon/4, \mathcal{F}, n, \eta$ and Ω . Let $\mathcal{G}_2 \subset A_\alpha$ be a finite subset and $\delta_1 > 0$ as given by 4.7 for the above $\varepsilon/4, \mathcal{F}, n, \eta$ and G .

Let $r^\natural : Aff(T(A_\alpha)) \rightarrow Aff(T(A_\beta))$ be the affine isomorphism induced by r . It follows from 4.8 (and (5.9)) that, with sufficiently large \mathcal{G}_1 and sufficiently small δ , there is a finite dimensional C^* -algebra B_0 , a unital \mathcal{G}_1 - δ -multiplicative contractive completely positive linear map $\phi : A_\beta \rightarrow B_0$, a \mathcal{G}_2 - δ -multiplicative contractive completely positive linear map $\psi : A_\alpha \rightarrow B_0$, and an affine continuous map $\Delta_0 : T(A_\beta) \rightarrow T(B_0)$, such that

(1) for all $\tau \in T(A_\beta)$ and $f \in \mathcal{F}$,

$$(5.10) \quad |\Delta_0(\tau) \circ \phi(qj_\beta(f)q) - \tau \circ j_\beta(f)| < \varepsilon/8;$$

(2) for all $\tau \in T(A_\beta)$ and $f \in \mathcal{F}$,

$$(5.11) \quad |r^\natural(\widehat{j_\alpha(f)})(\tau) - \Delta_0(\tau) \circ \psi(pj_\alpha(f)p)| < \varepsilon/8.$$

Write $B_0 = \bigoplus_{s=1}^{k_0} M_{R(s)}$ and let $\pi_s : B_0 \rightarrow M_{R(s)}$ be the canonical projection map. By applying 4.7, for each s , there are integers $K(s) = m_s n$ and $K'(s) = m'_s n$ with $m_s = \sum_{i=1}^L m_s(i)$ and $m'_s = \sum_{i'=1}^{L_1} m'_s(i')$, and points $y_{i,l}(s) \in O_i \cap G, l = 1, 2, \dots, m_s(i), i = 1, 2, \dots, L, Y_{i',l'}(s) \in U_i \cap \Omega, l' = 1, 2, \dots, m'_s(i'), i' = 1, 2, \dots, L_1$, such that

$$(5.12) \quad \left\| \sum_{i,l,j} f(\alpha^j(y_{i,l}(s))) p_{s,i,l,j} + \sum_{i=K(s)+1}^{N(s)} f(z_i) p_{s,i} - \pi_s \circ \psi \circ (pj_\alpha(f)p) \right\| < \varepsilon/4$$

for all $f \in \mathcal{F}$ and

$$(5.13) \quad \left\| \sum_{i',l',j} f(\beta^j(Y_{i,l}(s)))q_{s,i',l',j} + \sum_{i'=K'(s)+1}^{N'(s)} f(z'_i)q_{s,i'} - \pi_s \circ \phi \circ (qj_\beta(f)q) \right\| < \varepsilon/4$$

for all $f \in \mathcal{F}$, where

$\{p_{s,i,l,j} : i, l, j\} \cup \{p_{s,i} : i > N(s)\}$ and $\{q_{s,i',l',j} : i', l', j\} \cup \{q_{s,i'} : i' > N'(s)\}$ are sets of mutually orthogonal rank one projections in $M_{R(s)}$ and $z_i, z_{i'} \in X$. In addition, by 4.7, we may assume that $y_{i,1}(1) = x_i$ and $Y_{i,1}(1) = \xi_i$, $i = 1, 2, \dots, t$.

Furthermore,

$$(5.14) \quad \frac{R(s) - K(s)}{R(s)} < \varepsilon/4 \text{ and } \frac{R(s) - K'(s)}{R(s)} < \varepsilon/4$$

for $s = 1, 2, \dots, k_0$. Without loss of generality, since X has no isolated points, we may assume that $\{y_{i,l}(s) : i, l, s\}$ and $\{Y_{i',l'}(s) : i', l', s\}$ are two sets of distinct points. If $m'_s > m_s$, we will move $m'_s - m_s$ many points of $Y_{i',l'}(s)$ to the set $\{z'_i : i'\}$. If, on the other hand, $m_s > m'_s$, we will move $m_s - m'_s$ many points to $\{z_i : i\}$. So, either way, we may assume that $m_s = m'_s$ and $K(s) = K'(s)$. Note that we still have $\frac{R(s)-K'(s)}{R(s)} < \varepsilon/4$.

By replacing ϕ by $\text{ad } u \circ \phi$, for a suitable unitary in B_0 , we may assume that

$$\{p_{s,i,l,j} : 1 \leq i \leq L, 1 \leq l \leq m_s(i), 0 \leq j \leq n - 1\} = \{q_{s,i',l',j}\}.$$

Since now we assume that $m_s = m'_s$, we define, for each s , $\tilde{\gamma}(Y_{i',l'}(s))$ to be a one-to-one bijection between $\{Y_{i',l'}(s) : i', l', s\}$ and $\{y_{i,l}(s) : i, l, s\}$. We may also assume that $\tilde{\gamma}(Y_{i,1}(1)) = y_{i,1}(1)$, $i = 1, 2, \dots, t$.

To construct the desired map γ , we divide $O_i \cap G$ into $\sum_{s=1}^{k_0} m_s(i)$ pairwise disjoint sets $B_{s,i,l}$ as follows: Choose $d(s, i, l) > 0$ so that the open balls $B(y_{i,l}(s), d(s, i, l))$ are mutually disjoint. If $(s, i, l) \neq (1, i, 2)$, define $B_{s,i,l} = B(y_{i,l}(s), d(s, i, l))$. Define $B_{1,i,2} = (O_i \cap G) \setminus (\bigcap_{(s,i,l) \neq (1,i,2)} B_{s,i,l})$. Similarly, we then divide $U_{i'} \cap \Omega$ into $\sum_{s=1}^{k_0} m'_s(i')$ pairwise disjoint subsets $C_{s,i',l'}$ which is either an open neighborhood of $Y_{i',l'}(s)$ or a closed subset which contains an open neighborhood of $Y_{i',l'}(s)$.

Note that, since every point in X is condensed, $B_{s,i,l}$ and $C_{s,i',l'}$ are second countable locally compact Hausdorff spaces with cardinality 2^{\aleph_0} . By 5.5, they are all Borel equivalent.

Define a Borel equivalence $\gamma : X \rightarrow X$ as follows:

By 5.4, there is an open neighborhood $Z(i, 1, s)$ of $Y_{i,1}(s)$ in $C_{s,i,1}$ (for $1 \leq i \leq t$) and an open subset $\tilde{Z}(i, 1, s)$ of $B_{s,i,1}$ which are homeomorphic. In

particular, the closure of a smaller open neighborhood of $Y_{i,1}(s)$ is homeomorphic to the closure of an open subset of $\tilde{Z}(i, 1, s)$. Thus, by taking a sufficiently small such neighborhood and by applying 5.5, one obtains a Borel equivalence γ from $C_{s,i,1}$ onto $B_{s,i,1}$ which maps a non-empty neighborhood $Z(i, 1, s)$ of $Y_{i,1}(s)$ to an open subset of a neighborhood of $y_{i,1}(s)$ homeomorphically for $1 \leq i \leq t$.

For the rest of $C_{s,i,l}$ ($l > 1$ or $l = 1$, but $i > t$), we define γ to be a Borel equivalence from $C_{s,i,l}$ to $B_{s,i',l'}$ if $\tilde{\gamma}(Y_{i,l}(s)) = y_{i,l}(s)$.

We define γ on $\beta^j(C_{s,i',l'})$ to be $\alpha^j \circ \gamma \circ \beta^{-j}$, $j = 1, 2, \dots, n - 2$.

Since $X \setminus \bigcup_{j=0}^{n-2} \alpha^j(G)$ (which is a compact subset of X which contains $\alpha^{n-1}(G)$) and $X \setminus \bigcup_{j=0}^{n-2} \alpha^j(\Omega_j)$ (which is a compact subset of X which contains $\alpha^{n-1}(\Omega)$) are Borel equivalent, we obtain a Borel equivalence γ of X which is bi-continuous on $O = \bigcup_{i',s} Z(i', 1, s)$. Note that γ maps $\bigcup_{j=0}^{n-2} \beta^j(\Omega)$ onto $\bigcup_{j=0}^{n-2} \alpha^j(G)$. We also have $\gamma(Z(i, 1, s)) \subset \tilde{Z}(i, 1, s)$. Since $\bigcup_{i=1}^L O_i$ and $\bigcup_{i'=1}^{L_1} U_i$ have diameter less than $\eta/2$, by the construction, we see that O and $\gamma(O)$ are η -dense in X .

Moreover, on each $\beta^j(C_{s,i'l'})$ with $0 \leq j \leq n - 2$,

$$(5.15) \quad \text{dist}(\gamma^{-1}\alpha\gamma(x), \beta(x)) < \eta \text{ and } \text{dist}(\alpha\gamma(x), \gamma\beta(x)) < \eta.$$

We also have, on each $\alpha^j(B_{i,l,s})$ with $0 \leq j \leq n - 2$,

$$(5.16) \quad \text{dist}(\gamma\beta\gamma^{-1}(x), \alpha(x)) < \eta \text{ and } \text{dist}(\beta\gamma^{-1}(x), \gamma^{-1}\alpha(x)) < \eta.$$

Since

$$(5.17) \quad \nu(\beta^{n-1}(\Omega)) < 1/n < \varepsilon/8 \text{ and } \mu(\alpha^{n-1}(G)) < 1/n < \varepsilon/8$$

for all β -invariant probability measures ν and α -invariant probability measures μ , we conclude that

$$(5.18) \quad \nu(\{x \in X : \text{dist}(\gamma^{-1}\alpha\gamma(x), \beta(x)) > \eta\}) < \varepsilon/4 \text{ and}$$

$$(5.19) \quad \mu(\{x \in X : \text{dist}(\gamma\beta\gamma^{-1}(x), \alpha(x)) > \eta\}) < \varepsilon/4$$

for all β -invariant probability measures ν and α -invariant probability measures μ .

To complete the proof, it remains to check (3) of 5.2. to this end, we note that, by (5.12), (5.13) and (5.14),

$$(5.20) \quad \left| \sum_{s,i,l,j} f(\alpha^j(y_{i,l}(s)))\Delta_0(\tau)(p_{s,i,l,j}) - \Delta_0(\tau)(\psi \circ (pj_\alpha(f)p)) \right| < \varepsilon/2$$

and

$$(5.21) \quad \left| \sum_{s,i',l',j'} f(\beta^j(Y_{i,l}(s)))\Delta_0(\tau)(q_{s,i',l',j'}) - \Delta_0(\tau)(\phi \circ (qj_\beta(f)q)) \right| < \varepsilon/2$$

for all $f \in \mathcal{F}$ and $\tau \in T(A_\beta)$. Note also that, for each s , $\Delta_0(\tau)(p_{s,i,l,j}) = \Delta_0(\tau)(q_{s,i',l',j}) = \frac{c_\tau}{R(s)}$ for all i, i', l, l', j and for some non-negative constant c_τ .

We also estimate that, for each s ,

$$(5.22) \quad \left| \sum_{i',l',0 \leq j \leq n-2} f \circ \gamma(\beta^j(Y_{i',l'}(s))) \frac{c_\tau}{R(s)} - \sum_{i,l,0 \leq j \leq n-2} f(\alpha^j(y_{i,l}(s))) \frac{c_\tau}{R(s)} \right| < \varepsilon/8$$

and

$$(5.23) \quad \left| \sum_{i,l,0 \leq j \leq n-2} f \circ \gamma^{-1}(\alpha^j(y_{i,l}(s))) \frac{c_\tau}{R(s)} - \sum_{i',l',0 \leq j \leq n-2} f(\beta^j(Y_{i',l'}(s))) \frac{c_\tau}{R(s)} \right| < \varepsilon/8$$

for all $f \in \mathcal{F}$ and $\tau \in T_\beta$.

Define $\Delta : T_\beta \rightarrow F(X)$ by

$$\int f d(\Delta(\mu)) = \sum_{s=1}^{k_0} \sum_{i',l',0 \leq j \leq n-2} f(\beta^j(Y_{i',l'}(s))) \frac{c_\tau}{R(s)}$$

for all $\mu \in T_\beta$ (where $\mu = \mu_\tau$) and all $f \in C(X)$. Note that $\int f d(r(\mu)) = r^{\sharp}(\widehat{j_\alpha(f)})(\tau)$ ($\mu = \mu_\tau$). Combining (5.9), (5.10), (5.11), (5.14), (5.20) and (5.22), we have

$$(5.24) \quad \left| \int f d\mu - \int f d(\Delta(\mu)) \right| < \varepsilon,$$

$$(5.25) \quad \left| \int f \circ \gamma d(\Delta(\mu)) - \int f d(r(\mu)) \right| < \varepsilon$$

for all β -invariant probability measures μ and all $f \in \mathcal{F}$.

Define $\tilde{\Delta} : T_\alpha \rightarrow F(X)$ by $\tilde{\Delta}(\nu) = \Delta(r_{\mathfrak{q}}^{-1}(\nu))$ for $\nu \in T_\alpha$. Then we have, by (5.9), (5.10), (5.11), (5.14), (5.21) and (5.23),

$$(5.26) \quad \left| \int f d\tilde{\Delta}(\nu) - \int f d\nu \right| < \varepsilon,$$

$$(5.27) \quad \left| \int f \circ \gamma^{-1} d\tilde{\Delta}(\nu) - \int f d(r^{-1}(\nu)) \right| < \varepsilon$$

for all α -invariant probability measures ν and all $f \in \mathcal{F}$. □

6. Concluding remarks

6.1. Let X be a compact metric space and T be a convex subset of probability Borel measures. Suppose that $\Gamma_n, \Gamma : X \rightarrow X$ are Borel maps and $\Gamma_n \rightarrow \Gamma$ in measure uniformly on T . Then a uniform Egorov theorem holds. Put

$$(6.1) \quad S_{m,k} = \{x \in X : \text{dist}(\Gamma_m, \Gamma(x)) \geq 1/k\},$$

$k = 1, 2, \dots$, and $m = 1, 2, \dots$. Let $\delta > 0$. For each $k > 0$, there exists an integer $n(k)$ such that

$$(6.2) \quad \mu(S_{n(k),k}) < \frac{\delta}{2^k}$$

for all $\mu \in T$, if $n \geq n(k)$. Put

$$(6.3) \quad E = \bigcap_{k=1}^{\infty} \bigcap_{m=n(k)}^{\infty} \{x \in X : \text{dist}(\Gamma_m(x), \Gamma(x)) < 1/k\}.$$

Then Γ_n converges to Γ uniformly on E . Furthermore,

$$(6.4) \quad \mu(X \setminus E) \leq \mu\left(\bigcup_{k=1}^{\infty} S_{n(k),k}\right) \leq \sum_{k=1}^{\infty} \mu(S_{n(k),k}) < \delta$$

for all $\mu \in T$. Thus, in Theorem 5.6, for any $\delta > 0$, there exists a Borel subset $E \subset X$ with $\mu(X \setminus E) < \delta$ for all $\mu \in T_\beta$ such that $\gamma_n^{-1}\alpha\gamma_n$ converges to β uniformly on E . Moreover, there exists a Borel subset $E' \subset X$ with $\mu(X \setminus E') < \delta$ such that $\gamma_n\beta\gamma_n^{-1}$ converges to α uniformly on E' . A similar measure theoretical argument, by taking a subsequence of $\{\gamma_n\}$, shows that there exist Borel measurable subsets $F_\alpha, F_\beta \subset X$ such that $\gamma_n^{-1}\alpha\gamma_n$ converges to β on F_β and $\gamma_n\beta\gamma_n^{-1}$ converges to α on F_α and $X \setminus F_\beta$ and $X \setminus F_\alpha$ are universally null, i.e., $\mu(X \setminus F_\beta) = 0$ for all $\mu \in T_\beta$ and $\nu(X \setminus F_\alpha) = 0$ for all $\nu \in T_\alpha$.

6.2. Suppose that X is the Cantor set and suppose that $\alpha, \beta : X \rightarrow X$ are two minimal homeomorphisms. Then in Theorem 3.4 G can be chosen to be clopen. Since a non-empty clopen subset of the Cantor set can be divided into m non-empty clopen subsets for any integer $m > 0$, in the proof of 5.6, $B_{i,l,s}$ and $C_{i',l',s}$ can be chosen to be also non-empty clopen subsets of X . They all are homeomorphic. It is then easy to see that the map γ in the proof can be made a homeomorphism. In other words, we have the following corollary:

COROLLARY 6.1. *Let X be the Cantor set and let $\alpha, \beta : X \rightarrow X$ be minimal homeomorphisms. Then α and β are approximately conjugate uniformly in measure if and only if there is an affine homeomorphism $r : T_\alpha \rightarrow T_\beta$. Moreover, when α and β are approximately conjugate uniformly in measure, the conjugating maps γ_n can be chosen to be homeomorphisms.*

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