

# APPLICATIONS OF A COMPACTIFICATION FOR BOUNDED OPERATOR SEMIGROUPS

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## Introduction and Summary

In this paper<sup>1</sup> a compactification for bounded semigroups of linear operators in a Banach space is studied and some applications to abstract ergodic theory and invariant means are given. In Sec. 1 the compactification in question is described and in Sec. 2 its ideal theory is developed. Sec. 3 contains a discussion of ergodic elements for arbitrary bounded, not necessarily "ergodic," operator semigroups and is very close in spirit to Eberlein [6]. The connection between the compactification and the convolution semigroup of means introduced by Day in [4] is established (in (4.3)) and the following theorem is proved: the space  $m(\Sigma)$  of all bounded real functions on an abstract semigroup  $\Sigma$  with unit contains a largest right amenable right introverted subspace  $Z$  which, moreover, lies in every maximal right amenable subspace of  $m(\Sigma)$ .

The following notations will be used throughout: If  $B_1, B_2$  are Banach spaces then  $B_1^*$  is the conjugate space of  $B_1$  and  $L(B_1, B_2)$  is the Banach space of all bounded linear operators of  $B_1$  into  $B_2$ ; if  $S \subset L(B_1, B_2)$  and  $x \in B_1$  then  $O_S(x)$  is the orbit of  $x$  under  $S$  and defined by  $O_S(x) = \{Ax : A \in S\}$ . The closure of a set  $S$  is denoted by  $S^-$ , and composition is indicated by juxtaposition or brackets.

## 1. Compactification of a bounded operator semigroup

We need the following two devices.

I. Suppose  $X$  is a linear topological space and  $S$  is a semigroup (under composition) of continuous linear operators in  $X$ . Let  $S^-$  be the closure of  $S$  in the product space  $X^X$ . We have

- (i)  $S^-$  is a semigroup (under composition) of linear operators in  $X$ , and
- (ii) for fixed  $A \in S$  and  $B \in S^-$  the maps  $F \rightarrow AF$  and  $F \rightarrow FB$  ( $F \in S^-$ ) are continuous in the product topology of  $X^X$ .

II. Suppose  $B$  is a Banach space.  $B$  can be regarded as a subspace of  $B^{**}$  and hence  $L(B, B)$  can be regarded as a subspace of  $L(B, B^{**})$ . Let  $\eta$  be the mapping which takes each  $U \in L(B, B^{**})$  into the function  $F = \eta(U) \in L(B^*, B^*)$  defined by

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$$(1) \quad (F\beta)x = (Ux)\beta \quad (x \in B, \beta \in B^*).$$

We have

(i)  $\eta$  is a linear isometry of  $L(B, B^{**})$  onto  $L(B^*, B^*)$  and its restriction to  $L(B, B)$  is simply the adjoint operation which takes each  $U \in L(B, B)$  into its adjoint  $U^* \in L(B^*, B^*)$ , and

(ii)  $\eta$  is a weak\*-weak\* operator<sup>2</sup> homeomorphism.

Both I and II are essentially known; the proofs are straightforward.

Now suppose  $B$  is a Banach space,  $\eta$  is the mapping defined in II, and  $S$  is a (uniformly) bounded semigroup lying in  $L(B, B)$ . Let  $A$  be the adjoint semigroup of  $S$ ,  $A = \eta(S)$ , and let  $A^-$  be the closure of  $A$  in the product space  $X^X$ , where  $X = B^*$  with the weak\* topology. Then  $A^-$  is a weak\* operator compact subset of  $L(B^*, B^*)$  and, by I, a semigroup under composition. Define  $S_0 = \eta^{-1}(A^-)$ . Then  $S \subset S_0$  and since  $\eta$  is already an anti-isomorphism of  $S$  onto  $A$  we can extend the multiplication from  $S$  to  $S_0$  in such a way that  $\eta$  becomes an anti-isomorphism of  $S_0$  onto  $A^-$ :

$$(2) \quad UV = \eta^{-1}(\eta(V)[\eta(U)]) \quad (U, V \in S_0).$$

The semigroup  $S_0$  with multiplication as defined in (2) and the weak\* topology is the desired compactification of  $S$  and has the following properties:

- (1.1) (i)  $S_0$  is a closed (weak\*) compact subset of  $L(B, B^{**})$ ;
- (ii)  $S_0$  is a semigroup and  $S$  is a (weak\*) dense subsemigroup of  $S_0$ , and
- (iii) if  $U \in S$  and  $V \in S_0$  then the mappings  $W \rightarrow VW$  and  $W \rightarrow WU$  ( $W \in S_0$ ) are (weak\*) continuous.

Observe:

(1.2) If  $U, V \in S_0$  and  $x \in B$  such that  $Vx \in B$  then

$$(UV)x = U(Vx).$$

*Proof.* If  $U, V$  and  $x$  are as described then for each  $\beta \in B^*$ ,

$$\begin{aligned} ((UV)x)\beta &= (\eta(UV)\beta)x = (\eta(V)\eta(U)\beta)x \\ &= (Vx)(\eta(U)\beta) = (\eta(U)\beta)(Vx) = U(Vx)\beta. \end{aligned}$$

A semigroup  $S$  of bounded linear operators in a Banach space  $B$  is *weakly almost periodic* (w.a.p.) iff for each  $x \in B$ ,  $0_S(x)^-$  is weakly compact.  $S$  is w.a.p. iff  $S_0 \subset L(B, B)$  and in this case  $S_0$  coincides with the compactification of  $S$  introduced by DeLeeuw and Glicksberg [5]. Our construction is seen to be a simple extension of their procedure to the case of an arbitrary bounded, not necessarily w.a.p. operator semigroup.

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<sup>2</sup> A net  $U_\alpha$  in  $L(B, B^{**})$  converges to a point  $U \in L(B, B^{**})$  in the weak\* topology iff  $(U_\alpha x)\beta \rightarrow (Ux)\beta$  ( $x \in B, \beta \in B^*$ ). A net  $F_\alpha$  in  $(L(B^*, B^*))$  converges to point  $F \in L(B^*, B^*)$  in the weak\* operator topology iff  $(F_\alpha \beta)x \rightarrow (F\beta)x$  ( $\beta \in B^*, x \in X$ ).

### 2. Ideal theory

We now develop the ideal theory for the compactification semigroup  $S_0$  constructed in the preceding section. In contrast to the situation in [5], Sec. 2, multiplication by  $V$  on the right, i.e., the mapping  $U \rightarrow UV$  ( $U \in S_0$ ), is not, in general, continuous if  $V \in S_0$  and  $V \in S$  (cf. (1.1), (ii)). However, in many cases of interest  $S_0$  is convex and its essential structure is much simpler.

Let  $B, S$ , and  $S_0$  be as in the preceding section. A *right [left] ideal* of  $S_0$  is a subset  $I$  of  $S_0$  such that  $IS_0 \subset I$  [ $S_0 I \subset I$ ].

(2.1) *There is a smallest closed two-sided ideal of  $S_0$ .*

This ideal will be called the *kernel* of  $S_0$  and denoted by  $K(S_0)$ .

(2.2) *Each closed right [left] ideal of  $S_0$  contains a minimal closed right [left] ideal of  $S_0$ . The minimal closed right [left] ideals are pairwise disjoint and lie in the kernel.*

In both (2.1) and (2.2) the existence of the ideals in question follows from the compactness of  $S_0$  by the Hausdorff Maximal Principle.

(2.3) *If  $R$  is a minimal (closed) right ideal of  $S_0$  and  $U \in S_0$  then  $UR$  is again a minimal (closed) right ideal of  $S_0$ , and if  $L$  is a minimal closed left ideal of  $S_0$  and  $U \in S$  then  $LU$  is again a minimal closed left ideal of  $S_0$ .*

This is essentially Lemma 2.1 of Clifford [3].

(2.4) *A right ideal of  $S_0$  is minimal closed iff it is minimal. The union of all minimal right ideals is a two-sided ideal  $K_c$ , the smallest two-sided ideal of  $S_0$ .*

The first statement is proved in [5, p. 65], the second is Theorem 2.1 of Clifford [3].

(2.5) LEMMA. *The closure of a right [left] ideal of  $S_0$  is again a right [left] ideal of  $S_0$ .*

*Proof.* Suppose  $R$  is a minimal right ideal of  $S_0$  and  $U \in R^-$ . Let  $U_\alpha$  be a net in  $R$  such that  $U_\alpha \rightarrow U$ . If  $V \in S$  then  $U_\alpha V \rightarrow U_0 V$  so  $UV \in R^-$ ; thus  $US \subset R^-$  and hence  $US_0 \subset R^-$ . For left ideals the proof is even simpler.

In view of (2.5),  $K_c^- = K(S_0)$ , i.e., the minimal right ideals are dense in the kernel. For left ideals this can be somewhat improved.

(2.6) LEMMA. *If  $L$  is a minimal closed left ideal of  $S_0$  then  $LS$  is dense in  $K(S_0)$ .*

*Proof.* Let  $L$  be as indicated and put  $M = LS$ . By (2.2),  $M \subset K(S_0)$  so  $M^- \subset K(S_0)$ . To prove the reverse inclusion we show that  $M^-$  is a two-sided ideal. By (2.5),  $M^-$  is a left ideal. Given  $U \in M^-$  there are nets  $\{U_\alpha\}$  in  $L$  and  $\{V_\alpha\}$  in  $S$  (both over the same index set) such that  $U_\alpha V_\alpha \rightarrow U$ .

For each  $W \in S$  we have  $(U_\alpha V_\alpha)W \rightarrow UW$  and  $U_\alpha(V_\alpha W) \in M$  and therefore  $UW \in M^-$ . Thus  $US \subset M^-$ , hence  $US_0 \subset M^-$ , and since  $U \in M^-$  was arbitrary, we are finished.

Let  $T$  be the convex hull of  $S$ . Then  $T$  is also a bounded semigroup and its compactification,  $T_0$ , is convex.

(2.7) LEMMA. *Every minimal closed right [left] ideal of  $T_0$  is convex.*

*Proof.* Suppose  $L$  is a minimal closed left ideal of  $T_0$  and choose  $V \in L$ . Then  $T_0 V$  is a convex left ideal of  $T_0$  which lies in  $L$  and hence  $(T_0 V)^- = L$ . Thus  $L$  is the closure of a convex set and therefore convex. For right ideals the proof is even simpler.

The following theorem characterizes those right ideals of  $T_0$  which are minimal.

(2.8) THEOREM. *A right ideal  $R$  of  $T_0$  is minimal iff  $AV = V(A, V \in R)$ .*

*Proof.* Suppose  $R$  is a minimal right ideal of  $T_0$ , take  $A \in R$  and put  $F_A = \{V : V \in T_0 \text{ and } AV = V\}$ .  $F_A$  is the set of all fixed points of the continuous linear map  $U \rightarrow AU$  ( $U \in T_0$ ) and hence, by any one of the standard fixed point theorems,  $F_A$  is nonempty. If  $V \in F_A$  we see from  $AV = V$  and  $A \in R$  that  $V \in R$  so  $F_A \subset R$ . Since  $F_A$  is a right ideal of  $T_0$  and  $R$  is minimal,  $F_A = R$ . Conversely, if  $R$  is a right ideal of  $T_0$  so that  $AV = V$  ( $A, V \in R$ ) and if  $R'$  is a right ideal of  $T_0$  lying in  $R$ , let  $A \in R'$  and get  $R' \supset R'T_0 \supset AR = R$ .

The next result states that all minimal right ideals are essentially "congruent."

(2.9) THEOREM. *Suppose  $R_1$  and  $R_2$  are distinct minimal right ideals of  $T_0$  and  $V_0 \in R_2$ . The mapping  $\phi : U \rightarrow V_0 U$  is a convex-linear homeomorphism of  $R_1$  and  $R_2$  which does not depend on the choice of  $V_0 \in R_2$ .*

*Proof.* Suppose  $R_1, R_2, V$ , and  $\phi$  are as described. The mapping  $\phi$  is convex-linear and continuous and by (2.3),  $\phi(R_1) = R_2$ . Let  $U_0 \in R_1$  and let  $\psi$  be the mapping  $V \rightarrow U_0 V$  ( $V \in R_2$ ). We have

$$\phi\psi(V) = V_0(U_0 V) = (V_0 U_0)V = V \quad (V \in R_2)$$

(by (2.8)); i.e.,  $\phi[\psi]$  is the identity map on  $R_2$ , and similarly  $\psi[\phi]$  is the identity map on  $R_1$ . Therefore  $\psi = \phi^{-1}$ . If  $V'_0 \in R_2$  and  $\phi' : U \rightarrow V'_0 U$  ( $U \in R_1$ ) then the same argument gives  $\psi = (\phi')^{-1}$  so that  $\phi' = \phi$ .

A more precise picture of the multiplication operation in  $K(T_0)$  is furnished by the next result.

(2.10) THEOREM. *If  $R$  is a minimal right and  $L$  a minimal closed left ideal of  $T_0$  then  $L \cap R$  is a singleton set. For fixed  $R$  the map  $L \rightarrow L \cap R$  defines a 1-1 correspondence between all minimal closed left ideals of  $T_0$  and all points of  $R$ .*

*Proof.* With  $R$  and  $L$  as in the first half of the theorem choose  $U$  and

$V \in L \cap R$ . Since  $LU$  is a left ideal  $\subset L$  we have  $(LU)^- = L$  and hence there is a net  $\{V_\alpha\}$  in  $LU$  such that  $V_\alpha \rightarrow V$ . Write  $V_\alpha = W_\alpha U$  with  $W_\alpha \in L$ . Now  $VV_\alpha \rightarrow VV = V$  (by (2.8)), but also for each  $\alpha$ ,  $VV_\alpha = V(W_\alpha U) = (VW_\alpha)U = U$  (by (2.8) again). Thus  $V = U$  and this proves the first half of the theorem. The essential assertion of the second half is that the union of all minimal right ideals (i.e., the set  $K_C$  of (2.4)) is a subset of the union of all minimal closed left ideals. Suppose  $R$  is a minimal closed right ideal of  $T_0$  and  $U \in R$ . Let  $M$  be any minimal closed left ideal of  $T_0$  and put  $L = (MU)^-$ .  $L$  is a closed left ideal of  $T_0$  and since  $R(MU) = (RM)U = \{U\}$ , a singleton set, we have  $RL = \{U\}$ . Letting  $L_0$  be any minimal closed left ideal of  $T_0$  which lies in  $L$  we see that  $\emptyset \neq RL_0 \subset RL = \{U\}$ , so

$$RL_0 = \{U\} \subset L_0.$$

A left zero of  $T_0$  is a degenerate right ideal, i.e., an element  $E$  of  $T_0$  such that  $EU = E$  ( $U \in T_0$ ).

(2.11) *The following statements are equivalent:*<sup>3</sup>

- (i)  $T_0$  has a left zero;
- (ii)  $K(T_0)$  is the set of all left zeros of  $T_0$ ;
- (iii)  $K(T_0)$  is the only minimal (closed) left ideal of  $T_0$ .

*Proof.* (i)  $\Rightarrow$  (ii). The set of all left zeros of  $T_0$  is a two-sided ideal which lies in  $K(T_0)$ ; since it is also closed it coincides with  $K(T_0)$ .

(ii)  $\Rightarrow$  (iii). Let  $L$  be a minimal closed left ideal of  $T_0$  and observe that  $K(T_0) = K(T_0)L \subset L$ .

(iii)  $\Rightarrow$  (ii). The argument is similar to that for (2.8). Let  $A \in T$  and put  $F_A = \{U : U \in T_0 \text{ and } UA = U\}$ . Again by fixed point theory  $F_A$  is nonempty, in fact it is a (closed) left ideal of  $T_0$  and hence includes  $K(T_0)$ . Since  $A \in T$  was arbitrary, (ii) is proved.

If  $E$  is a left zero of  $T_0$  and  $\{A_\alpha\}$  is a net in  $T$  such that  $A_\alpha \rightarrow E$  then  $(A_\alpha A - A_\alpha) \rightarrow 0$  weak\* ( $A \in T$ ) and conversely if  $\{A_\alpha\}$  is a net in  $T$  such that  $(A_\alpha A - A_\alpha) \rightarrow 0$  weak\* ( $A \in T$ ) then each cluster point of  $\{A_\alpha\}$  is a left zero of  $T_0$ . Thus left zeros of  $T_0$  replace in our setting the nets of almost right invariant averages first introduced abstractly by Eberlein [6]<sup>4</sup> (i.e., nets  $\{A_\alpha\}$  in  $T$  satisfying  $(A_\alpha A - A_\alpha) \rightarrow 0$  weak\* ( $A \in T$ )). A *partial right zero* of  $T_0$  is an element  $E$  of  $T_0$  such that  $AE = E$  ( $A \in T$ ). Partial right zeros of  $T_0$  are related to nets of almost left invariant averages (i.e., nets  $\{A_\alpha\}$  in  $T$  satisfying  $(AA_\alpha - A_\alpha) \rightarrow 0$  weak\* ( $A \in T$ )) in the same manner as left zeros of  $T_0$  are related to nets of almost right invariant averages. If partial right zeros of  $T_0$  exist they form a (closed) right ideal of  $T_0$  which must intersect  $K(T_0)$ ; hence if, in addition, left zeros of  $T_0$  exist then some

<sup>3</sup> Cf. Theorem 1 of [3].

<sup>4</sup> Eberlein considered more general averages  $A_\alpha$  which satisfy both  $(A_\alpha A - A_\alpha) \rightarrow 0$  and  $(AA_\alpha - A_\alpha) \rightarrow 0$  ( $A \in T$ ).

$E \in K(T_0)$  is both a left and partial right zero of  $T_0$  and any net  $\{A_\alpha\}$  in  $T$  such that  $A_\alpha \rightarrow E$  is a net of two sided almost invariant averages. Under these circumstances  $S$  is said to be restrictedly weak\* ergodic (Day [3]).

### 3. Ergodic elements

As a first application of the preceding ideas we indicate in this section how abstract ergodic theory<sup>5</sup> is to some extent possible in an *arbitrary* bounded semigroup of linear operators in a Banach space (without any ergodicity assumptions on the semigroup). Essentially this consists in a consideration of the notion of an ergodic element.<sup>6</sup>  $S, B, T,$  and  $T_0$  have the same meaning as in Section 2.

(3.1) THEOREM. *If  $x \in B$  and  $y \in B$  then the following statements are equivalent:*

- (i)  $y \in O_\tau(x)^-$  and  $Fy = y (F \in S)$ ;
- (ii) *there is a closed left ideal  $L$  of  $T_0$  such that*

$$Vx = y (V \in L).$$

If  $S$  is restrictedly weak\* ergodic (so that by (2.11)  $K(T_0)$  consists of all left zeros of  $T_0$  and is the unique minimal closed left ideal of  $T_0$ ), then condition (ii) of (3.1) simply means that each left zero of  $T_0$  has the value  $y$  at  $x$ . If in this case  $\{A_\alpha\}$  is a net of almost two sided invariant averages then (i) and (ii) of (3.1) are easily seen to be equivalent to

- (iii)  $A_\alpha x$  clusters weakly at  $y$ ,

and this is Eberlein's ergodic theorem for a restrictedly weak\* ergodic semigroup [6, Theorem 3.1]. We will not prove (3.1) but state and prove instead a small generalization.

(3.2) THEOREM. *If  $x \in B$  and  $y \in B^{**}$  then the following statements are equivalent:*

- (i) (a) *there is a net  $\{A_\alpha\}$  in  $T$  such that  $\beta(A_\alpha x) \rightarrow y(\beta) (\beta \in B^*)$  and (b)  $y(\eta(U)\beta) = y(\beta) (U \in T_0, \beta \in B^*)$ , where  $\eta$  is the map defined in I, Sec. 1;*
- (ii) *there is a closed left ideal  $L$  of  $T_0$  such that*

$$Vx = y \tag{V \in L}.$$

If  $y \in B$  then condition (i) of (3.2) reduces to condition (i) of (3.1). For in this case (i)(a) says that there is a net  $\{A_\alpha\}$  in  $T$  such that  $A_\alpha x \rightarrow y$  weakly and by the Mazur-Bourgin theorem this is equivalent to  $y \in O_\tau(x)^-$ ; (i)(b) certainly implies  $\beta(y) = (F^*\beta)y = \beta(Fy) (\beta \in B^*, F \in S)$ , i.e.,  $y$  is a fixed point of  $S$ , and conversely a fixed point of  $S$  is also a fixed point of  $T_0$ . Thus (3.1) is a special case of (3.2).

<sup>5</sup> Cf. [6, part I], and the summary in [3, p. 279].

<sup>6</sup> Cf. [6, Definitions 3.1 and 8.1].

*Proof.* (i)  $\Rightarrow$  (ii) Given  $\{A_\alpha\}$  as in (i)(a) let  $\{A_{\alpha'}\}$  be a subnet of  $\{A_\alpha\}$  and let  $A \in T_0$  such that  $A_{\alpha'} \rightarrow A$ . We still have  $\beta(A_{\alpha'}x) \rightarrow y(\beta)$  ( $\beta \in B^*$ ) and also  $\beta(A_{\alpha'}x) \rightarrow (Ax)\beta$  ( $\beta \in B^*$ ) and so  $y = Ax$ . Let

$$L = \{V : V \in T_0 \text{ and } Vx = y\};$$

we will show that  $L$  is a (closed) left ideal of  $T_0$ .  $A \in L$  so  $L$  is nonempty and if  $U \in T_0$  and  $V \in L$  then by the computation in (1.2)

$$\begin{aligned} (UVx)\beta &= (Vx)(\eta(U)\beta) = y(\eta(U)\beta) \\ &= y(\beta) \end{aligned} \qquad (\beta \in B^*)$$

i.e.,  $UVx = y$  or  $UV \in L$ .

(ii)  $\Rightarrow$  (i) Given  $L$  as in (ii) choose  $V \in L$ : any net  $\{A_\alpha\}$  in  $T$  such that  $A_\alpha \rightarrow V$  will do for (i)(a) and a computation like that in (i)  $\Rightarrow$  (ii) can be used to prove (i)(b).

We note (and will use tacitly below) that  $L$  in (ii) can be assumed minimal (i.e., a minimal closed left ideal of  $T_0$ ).

If  $x, y$ , and  $L$  satisfy (i) and (ii) of (3.2) we will say that  $(x, y)$  is an *ergodic pair*,  $x$  being an *ergodic element* and  $y$  a *generalized fixed point*, and that  $L$  is *constant* ( $= y$ ) at  $x$ ,  $y$  being the *value which  $L$  assumes at  $x$* . If  $S$  is restrictedly weak\* ergodic then the set of all ergodic pairs is (the graph of) a function (because there is only one minimal closed left ideal), say  $p$ ; the set  $\{x : x \in B, p(x) \in B\}$  is the "ergodic subspace"  $E$  of Eberlein [6], and the restriction of  $p$  to  $E$  is a projection of  $E$  onto the space of all fixed points of  $S$ ; thus  $p$  is a " $B^{**}$  valued projection" of the space of all ergodic elements onto the space of all generalized fixed points. If  $S$  is not assumed to be restrictedly weak\* ergodic, (3.2) suggests that the minimal closed left ideals of  $T_0$  can be used to regard the set of all ergodic pairs as the union of (the graphs of)  $B^{**}$  valued projections from spaces of ergodic elements onto spaces of generalized fixed points, in the following manner. Given a minimal closed left ideal  $L$  of  $T_0$  let  $D_L = \{x : x \in B \text{ and } L \text{ is constant at } x\}$  and let  $p_L$  be the map which takes each  $x \in D_L$  into the value which  $L$  assumes at  $x$ .  $D_L$  is a closed linear subspace of  $B$  consisting only of ergodic elements and containing the fixed points of  $S$ .  $p_L$  is simply the restriction of any member of  $L$  to  $D_L$ , its range consists only of generalized fixed points, and its restriction to the space  $D'_L = \{x : x \in D_L \text{ and } p_L(x) \in B\}$  is a projection of  $D'_L$  onto the space of all fixed points of  $S$ . In general there may be many minimal closed left ideals  $L$  and an element  $x$  of  $B$  may have many fixed points  $p_L(x) \in O_T(x)^-$ .

Two subspaces of  $B$  are of interest which can be regarded as defining more restricted notions of an ergodic element.

(3.3) THEOREM. *If  $x \in B$  and  $L_0$  is a fixed minimal closed left ideal of  $T_0$  then the following statements are equivalent:*

- (i) *for each  $A \in T, Ax \in D_{L_0}$ ;*
- (ii) *for each minimal closed left ideal  $L$  of  $T_0, x \in D_L$ ;*
- (iii) *for each  $A \in T$  and each minimal closed left ideal  $L$  of  $T_0, Ax \in D_L$ .*

*Proof.* Let  $x$  and  $L_0$  be as in the statement of the theorem.

(i)  $\Rightarrow$  (ii)  $Ax \in D_{L_0}$  iff  $x \in D_{L_0 A}$  ( $A \in T$ ) and so (i) amounts to  $x \in D_L$  for each minimal closed left ideal of  $T_0$  of the form  $L_0 A$  ( $A \in T$ ); that such ideals are dense in  $K(T_0)$ , see (2.6), is what essentially gives us (ii).

Suppose  $L$  is a minimal closed left ideal of  $T_0$  and fix  $V \in L$ . By (2.6) there are nets  $\{A_\alpha\}$  in  $T$  and  $\{V_\alpha\}$  in  $L_0$ , both over the same index set, such that  $V_\alpha A_\alpha \rightarrow V$ . Writing  $y_\alpha = p_{L_0}(A_\alpha x)$  we have

$$(*) \quad y_\alpha(\beta) = (V_\alpha(A_\alpha x))\beta = (V_\alpha A_\alpha x)\beta \rightarrow (Vx)\beta \quad (\beta \in B^*).$$

Now take  $U \in T_0$ . From (\*), with  $\eta(U)\beta$  in place of  $\beta$ , we get

$$y_\alpha(\eta(U)\beta) \rightarrow (Vx)(\eta(U)\beta) = (UVx)\beta \quad (\beta \in B^*),$$

by the computation in the proof of (3.2). But since each  $y_\alpha$  is a generalized fixed point ( $y_\alpha = p_{L_0 A_\alpha}(x)$ ) we have

$$y_\alpha(\eta(U)\beta) = y_\alpha(\beta) \rightarrow (Vx)\beta \quad (\beta \in B^*).$$

Hence  $UVx = Vx$ . We have proved that the left ideal  $T_0 V$  is constant  $= Vx$  at  $x$ . Hence  $(T_0 V)^-$  is constant  $= Vx$  at  $x$ . Since  $T_0 V \subset L$  we have  $(T_0 V)^- = L$  and the proof is finished.

(ii)  $\Rightarrow$  (iii) Given  $A \in T$  repeat the argument of (i)  $\Rightarrow$  (ii), with  $Ax$  in place of  $x$ .

Let  $E$  be the intersection of all spaces  $D_L$  ( $L$  a minimal closed left ideal of  $T_0$ ).  $E$  is an invariant<sup>7</sup> closed linear subspace of  $B$ . Theorem 3.3 states that for each  $D_L$  the set  $\{x : x \in B \text{ and for each } F \in S, Fx \in D_L\}$  coincides with  $E$ ; in other words, all the spaces  $D_L$  have one and the same largest invariant subspace, namely  $E$ . An interesting question is how the various spaces  $D_L$  are related to each other or how they might be generated from  $E$ .

(3.4) *If  $x \in B$  and  $y \in B^{**}$  then the following statements are equivalent:*

- (i)  $x \in E$  and all  $p_L$  ( $L$  a minimal closed left ideal of  $T_0$ ) have the value  $y$  at  $x$ ;
- (ii)  $x \in E$  and  $(x, y)$  is the only ergodic pair whose first term is  $x$ ;
- (iii)  $K(T_0)$  is constant  $= y$  at  $x$ .

(iii)  $\Rightarrow$  (i) and (iii)  $\Rightarrow$  (ii) are trivial and the converses follow from (2.6).

Let  $E_0 = \{x : x \in E \text{ and } K(T_0) \text{ is constant at } x\}$ .  $E_0$  is an invariant closed linear subspace of  $E$ . If  $S$  is restrictedly weak\* ergodic then  $E = E_0 = D'_{K(T_0)}$ .

#### 4. Means on spaces of bounded functions on a semigroup

In this section it is shown (in (4.3)) that in a certain special case the compactification semigroup of Sec. 1 can be identified with the convolution semigroup of means on a space of bounded real-valued functions on an abstract semigroup (discussed first by Day in [4]). With this identification the

<sup>7</sup> A linear subspace  $M$  of  $B$  is invariant under  $S$  iff  $x \in M$  and  $F \in S$  imply  $Fx \in M$ .

ergodic theorem (3.1) provides a partial extension (in (4.4)) of the Lorentz-Day theorem on almost convergent functions ([7, Sec. 1], and [4, Sec. 9]).

*Notations and Terminology.* Throughout the remainder of this paper  $\Sigma$  is a fixed semigroup with unit  $e$ ,  $m(\Sigma)$  is the Banach space of all bounded real valued functions on  $\Sigma$  with the sup norm, and  $r_\sigma$  ( $\sigma \in \Sigma$ ) is the right shift operator induced on  $m(\Sigma)$  by multiplication by  $\sigma$  on the right:  $r_\sigma x(\tau) = x(\tau\sigma)$  ( $x \in m(\Sigma)$ ,  $\tau \in \Sigma$ ). A linear subspace  $X$  of  $m(\Sigma)$  is *right invariant* iff  $x \in X$  implies  $r_\sigma x \in X$  ( $\sigma \in \Sigma$ ),  $X$  is *admissible* iff  $X$  is right invariant, uniformly closed, and contains the constant functions, and  $X$  is *right introverted* iff  $x \in X$  and  $\beta \in m(\Sigma)^*$  imply that the function  $\beta(r_\cdot x) : \sigma \rightarrow \beta(r_\sigma x)$  ( $\sigma \in \Sigma$ ) is again in  $X$ . A *finite mean* is a convex combination of evaluation functionals. A *mean* on an admissible subspace  $X$  is a functional  $\mu \in X^*$  such that  $\|\mu\| = \mu(1) = 1$  (equivalently,  $\|\mu\| = 1$  and  $\mu \geq 0$ );  $\mu$  is *right invariant on  $X$*  iff  $x \in X$  implies  $\mu(x) = \mu(r_\sigma x)$  ( $\sigma \in \Sigma$ ). The *convolution* of two means  $\mu$  and  $\nu$  on a right introverted admissible subspace  $X$  is the mean  $\mu * \nu$  defined by  $\mu * \nu(x) = \mu(\nu(r_\cdot x))$  ( $x \in X$ ).

(4.1) LEMMA. *If  $X$  is an admissible subspace of  $m(\Sigma)$  then the smallest right introverted admissible subspace of  $m(\Sigma)$  which contains  $X$ , denoted by  $X^r$ , coincides with the closed linear span of  $Z = \{\beta(r_\cdot X) : \beta \in m(\Sigma)^*, x \in X\}$ .*

*Proof.* Writing  $\beta_e$  for the evaluation functional at  $e$ , the identity  $\beta_e(r_\cdot x) = x$  ( $x \in X$ ) shows that  $X \subset Z$ . Since  $Z$  is also right introverted, its closed linear span contains  $X^r$ . The reverse inclusion is clear.

(4.2) LEMMA. *If  $X$  is an admissible subspace of  $m(\Sigma)$  then the set  $X_r$  defined by  $X_r = \{x : x \in X \text{ and for each } \beta \in m(\Sigma)^*, \beta(r_\cdot x) \in X\}$  is the largest right introverted admissible subspace of  $m(\Sigma)$  contained in  $X$ .*

*Proof.* Given  $X$  and  $X_r$  as in the statement of the lemma it is not hard to see that  $X_r$  is an admissible subspace of  $m(\Sigma)$  which lies in  $X$ . By (4.1) and construction,  $(X_r)^r \subset X$ . But also by construction  $X_r$  contains every right introverted subspace of  $m(\Sigma)$  which lies in  $X$  and hence it must contain  $(X_r)^r$ .

(4.3) THEOREM. *Suppose  $X$  is an admissible subspace of  $m(\Sigma)$ ; let  $S$  be the semigroup of right shift operators  $r_\sigma$  ( $\sigma \in \Sigma$ ) restricted to  $X$ ; put  $T =$  the convex hull of  $S$  and  $T_0 = T_0(X) =$  the compactification of  $T$ ; and let  $M = M(X^r)$  be the set of means on  $X^r$ . Then there is a mapping  $\phi$  of  $M$  onto  $T_0$  such that*

- (a)  $\phi$  is a convex-linear weak\*-weak\* homeomorphism and anti-isomorphism, and
- (b) corresponding elements  $\mu \in M$  and  $U = \phi(\mu) \in T_0$  satisfy

$$(1) \quad \mu(\beta(r_\cdot x)) = (Ux)\beta \quad (x \in X, \beta \in X^*).$$

*Proof.* Given  $\mu \in M$  and using (4.1) and  $|\mu(\beta(r_\cdot x))| \leq \|\beta\| \|x\|$  ( $x \in X, \beta \in X^*$ ), formula (1) defines an element  $U = \phi(\mu)$  of  $L(X, X^{**})$ .

The mapping  $\phi$  is clearly convex-linear and weak\*-weak\* continuous and since it takes the evaluation functionals into right shift operators, it takes their weak\* closed convex hull, namely  $M$ , onto  $T_0$ . By (4.1) again,  $\phi$  is 1-1 and hence, by compactness,  $\phi$  is a homeomorphism. It remains to show that  $\phi$  is an anti-isomorphism. Suppose  $\mu, \nu \in M$ ; write  $U = \phi(\mu)$  and  $V = \phi(\nu)$ , and choose  $\beta \in X^*$  and  $x \in X$ . We must show that  $(VUx)\beta = \mu * \nu(\beta(r \cdot x))$ . By definition of multiplication in  $T_0$  (cf. Sec. 1) and formula (1),

$$(VUx)\beta = (\eta(U)\eta(V)\beta)x = (Ux)\gamma = \mu(\gamma(r \cdot x))$$

where  $\gamma = \eta(V)\beta \in X$ . Thus we must show that  $\gamma(r \cdot x) = \nu(r \cdot z)$  where  $z = \beta(r \cdot x)$ . Fix  $\sigma \in \Sigma$ . Since  $\beta(r \cdot (r_\sigma x)) = r_\sigma z$  we have

$$\gamma(r_\sigma x) = (\eta(V)\beta)(r_\sigma x) = V(r_\sigma x)\beta = \nu(\beta(r \cdot (r_\sigma x))) = \nu(r_\sigma z).$$

This completes the proof.

Theorems (3.1) and (4.3) together imply the following:

(4.4) THEOREM. *If  $x \in m(\Sigma)$  and  $c$  is a real number then the following statements are equivalent:*

(i) *Some sequence of convex combinations of right translates of  $x$  converges uniformly to  $c1$  (= the constant  $c$  function on  $\Sigma$ );*

(ii) *there is a closed left ideal  $L$  of  $T_0(m(\Sigma))$  such that*

$$\mu(x) = c \quad (\mu \in \phi^{-1}(L));$$

(iii) *there is a closed left ideal  $L$  of  $T_0(m(\Sigma))$  such that*

$$Ux = c1 \quad (U \in L).$$

*Proof.* By (3.1), (i) and (iii) are equivalent and by formula (1) of (4.3), with  $\beta_e$  (as in the proof of (4.1)) in place of  $\beta$ , (iii) implies (ii). It only remains to show that (ii) implies (iii). Let  $L$  be as in (ii) and let  $U \in L$ , with  $\mu = \phi^{-1}(U)$ . If  $\beta$  is a mean on  $m(\Sigma)$  then

$$(2) \quad (Ux)\beta = \mu(\beta(r \cdot x)) = \mu * \beta(x) = (VUx)\beta_e = c$$

where  $V = \phi(\beta)$ . If  $\beta \in m(\Sigma)^*$  is arbitrary write  $\beta = \lambda_1 \beta_1 - \lambda_2 \beta_2$  where  $\lambda_i \geq 0$  and  $\beta_i$  is a mean on  $m(\Sigma)$  ( $i = 1, 2$ ); by (2),

$$(Ux)\beta = \lambda_1(Ux)\beta_1 - \lambda_2(Ux)\beta_2 = \lambda_1 c - \lambda_2 c = \beta(c1).$$

Therefore  $Ux = c1$ .

A mean  $\mu$  on  $m(\Sigma)$  is right invariant iff  $\phi(\mu)$  is a left zero of  $T_0(m(\Sigma))$ . Thus if right invariant means on  $m(\Sigma)$  exist they correspond to elements of the kernel of  $T_0(m(\Sigma))$  (cf. (2.8)) and (ii) states that all right invariant means have the value  $c$  at  $x$ , i.e.,  $x$  is an almost convergent function.

### 5. Right amenable subspaces

An admissible subspace  $X$  of  $m(\Sigma)$  is *right amenable* iff there is a right invariant mean on  $X$ . In general  $m(\Sigma)$  can have many different maximal

right amenable subspaces. The purpose of this section is to prove the following theorem:

**THEOREM.** *There is a right introverted linear subspace  $Z$  of  $m(\Sigma)$  such that*

- (a)  *$Z$  is right amenable;*
- (b)  *$Z$  contains every right introverted right amenable subspace of  $m(\Sigma)$ ;*
- (c)  *$Z$  lies in every maximal right amenable subspace of  $m(\Sigma)$ .*

The proof will be given in several parts; the notations used are the same as those in Theorem (4.3).

Suppose  $X$  and  $Y$  are admissible subspaces of  $m(\Sigma)$  and  $X \subset Y$ . Let  $p$  be the mapping which assigns to each  $\mu \in M(Y^r)$  its restriction to  $X^r$ ,  $p(\mu) = \mu | X^r \in M(X^r)$ . Then  $p$  is a weak\* continuous convex-linear map of  $M(Y^r)$  onto  $M(X^r)$  which preserves convolution and can be transferred, via (4.3), to a mapping  $g$  of  $T_0(Y)$  onto  $T_0(X)$ .

(5.1) *If  $U \in T_0(Y)$ ,  $x \in X$ , and  $\beta \in Y^*$ , then*

$$(3) \quad (Ux)\beta = (g(U)x)\beta'$$

where  $\beta' = \beta | X$ .

*Proof.* By (4.3), with  $Y$  in place of  $X$ , equation (3) states that  $\mu(\beta(r \cdot x) = \nu(\beta'(r \cdot x))$  where  $\mu = \phi^{-1}(U)$  and  $\nu = \mu | X^r$ , and this is obvious.

The mapping  $g$  is the *canonical mapping* of  $T_0(Y)$  onto  $T_0(X)$ ; it is weak\* continuous, convex-linear and a homomorphism and hence it maps (closed) ideals into (closed) ideals and minimal (closed) ideals onto minimal (closed) ideals.

Now let  $Z_0$  be the set  $\{x : x \in m(\Sigma) \text{ and every minimal right ideal of } T_0(m(\Sigma)) \text{ is constant at } x\}$ . It is not hard to see that  $Z_0$  is an admissible subspace of  $m(\Sigma)$ . Define  $Z = (Z_0)_r$ .

*Proof of (a).* Suppose  $R$  is a minimal right ideal of  $T_0(Z)$ . Writing  $g$  for the canonical map of  $T_0(m(\Sigma))$  onto  $T_0(Z)$ , let  $R'$  be a minimal right ideal of  $T_0(m(\Sigma))$  such that  $g(R') = R$ . If  $z \in Z$  then  $R'$  is constant at  $z$  and hence, by (5.1),  $R$  is constant at  $z$ . Since  $z \in Z$  was arbitrary,  $R$  is a singleton set. Thus  $T_0(Z)$  contains a left zero and  $Z$  is right amenable.

*Proof of (b).* Suppose  $X$  is a right introverted right amenable subspace of  $m(\Sigma)$  and let  $g$  be the canonical map of  $T_0(m(\Sigma))$  onto  $T_0(X)$ . Suppose  $R$  is a minimal right ideal of  $T_0(m(\Sigma))$  and choose  $U_1, U_2 \in R$ . We know that  $g(R)$  is a singleton subset of  $T_0(X)$  so  $(g(U_1)x)\gamma = (g(U_2)x)\gamma$  ( $x \in X, \gamma \in X^*$ ) and by (5.1) again this implies  $(U_1x)\beta = (U_2x)\beta$  ( $x \in X, \beta \in m(\Sigma)^*$ ). Thus  $U_1x = U_2x$  ( $x \in X$ ). Since  $U_1, U_2 \in R$  and  $R$  itself were arbitrary we have proved that  $X \subset Z_0$  and by (4.2) this means  $X \subset Z$ .

For the proof of (c) we need the following basic fact:

(5.2) **LEMMA.** *Suppose  $X$  is an admissible subspace of  $m(\Sigma)$  and  $\mu$  is a mean on  $X$ . Define  $R(\mu) = \{U : U \in T_0(X) \text{ and } \phi^{-1}(U) | X = \mu\}$ . Then  $\mu$  is right invariant (on  $X$ ) iff  $R(\mu)$  is a right ideal of  $T_0(X)$ .*

*Proof.* Given  $X$  and  $\mu$  as in the statement of the lemma, we have by (1) of (4.3) that  $U \in R(\mu)$  iff  $(Ux)\beta_e = \mu(x)$  ( $x \in X$ ). If  $\mu$  is right invariant choose  $U \in R(\mu)$  and  $\sigma \in \Sigma$  and get

$$((Ur_\sigma)x)\beta_e = U(r_\sigma x)\beta_e = \mu(r_\sigma x) = \mu(x) \quad (x \in X),$$

i.e.,  $Ur_\sigma \in R(\mu)$ ; since  $R(\mu)$  is closed and convex, this implies that  $R(\mu)$  is a right ideal. The other implication can be proved by simply reversing the argument.

*Proof of (c).* Suppose  $X$  is a maximal right amenable subspace of  $m(\Sigma)$  and  $\mu$  is a mean on  $X$ . Let  $g$  be the canonical map of  $T_0(m(\Sigma))$  onto  $T_0(X)$ . Since  $R(\mu)$  is a right ideal of  $T_0(X)$  (by (5.2)), there is a minimal right ideal  $R$  of  $T_0(m(\Sigma))$  such that  $g(R) \subset R(\mu)$ . Let  $\nu \in M(m(\Sigma))$  such that  $\phi(\nu) \in R$ . Then  $\nu|Z$  is right invariant and  $\nu|X (= \mu)$  is likewise and hence  $\nu$  is right invariant on  $(X + Z)^-$  ( $=$  the closure of the vector sum of  $X$  and  $Z$ ). Since  $X$  is a maximal right amenable subspace, this implies  $Z \subset X$ .

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