# AUTOMORPHISM SEQUENCES OF INTEGER UNIMODULAR GROUPS

## BY

### JOAN L. DYER

Let  $\mathscr{A}(G)$  denote the automorphism group of the group G, and let  $I: G \to \mathscr{A}(G)$  be the homomorphism which assigns to  $g \in G$  the inner automorphism

$$I(g): x \to gxg^{-1}$$
 (all  $x \in G$ ).

This procedure may be iterated to give rise to an automorphism sequence

$$G \xrightarrow{l} \mathscr{A}(G) \xrightarrow{l} \mathscr{A}(\mathscr{A}(G)) = \mathscr{A}^{2}(G) \xrightarrow{l} \cdots$$

Such a sequence stabilizes in finitely many steps if the maps

$$I: \mathscr{A}^i(G) \to \mathscr{A}^{i+1}(G)$$

are isomorphisms for all sufficiently large integers i; that is,  $\mathcal{A}^i(G)$  has a trivial center and only inner automorphisms for all sufficiently large i. Such groups are termed *complete*. Finite stability need not occur, even when G is assumed to be linear. For the infinite dihedral group D, each

$$I: \mathscr{A}^i(G) \to \mathscr{A}^{i+1}(G)$$

is a monomorphism with  $\mathscr{A}^{i+1}(G)/I(\mathscr{A}^{i}(G))$  of order two (Hulse [7]). The main result of this paper is:

THEOREM A. The automorphism sequences of the groups  $SL(n, \mathbf{Z})$  and  $GL(n, \mathbf{Z})$  stabilize in finitely many steps.

When G is  $SL(n, \mathbb{Z})$  or  $GL(n, \mathbb{Z})$ , the automorphism group  $\mathcal{A}(G)$  is known (Hua and Reiner [5], Wan [17]). Moreover, G has almost all automorphisms inner, and almost has a trivial center. Thus the conclusion of Theorem A is a natural one, and the automorphism sequences of these groups might be expected to stabilize very quickly. However the situation is surprisingly complicated for the general linear group when n is even, as well as for the special linear group when n = 2.

We first establish:

THEOREM B. For  $n \geq 2$ ,  $\mathcal{A}(PGL(n, \mathbb{Z}))$  is complete; that is

$$I\colon \mathcal{A}(PGL(n,\,\mathbf{Z})\to \mathcal{A}^2(PGL(n,\,\mathbf{Z}))$$

is an isomorphism.

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For  $n \ge 3$ , Theorem B follows easily from Solazzi's more general results on the automorphisms of projective groups containing enough transvections ([15], or see [13]). These results are obtained by extending O'Meara's residual space techniques, are valid for a broad class of rings, but exclude n = 2. The first determination of  $\mathcal{A}(PGL(n, \mathbb{Z}))$  is that of Hua and Reiner [6], utilizing what has been termed the method of involutions and including n = 2. In that paper, the automorphisms of  $PGL(n, \mathbb{Z})$  that carry  $PSL(n, \mathbb{Z})$  to itself are determined. This is indeed the full automorphism group for  $n \ge 3$  as asserted in [6], but is only a subgroup of index 2 in  $\mathcal{A}(PGL(2, \mathbb{Z}))$ . This error appears not to have been noted previously.

We next obtain:

THEOREM C. If  $n \geq 3$ ,  $\mathcal{A}(SL(n, \mathbb{Z}))$  is complete.

Since  $GL(n, \mathbb{Z})$  decomposes as the direct sum of  $SL(n, \mathbb{Z})$  and its center  $\{I_n, -I_n\}$  for odd n, PGL, GL, and SL have the same automorphism groups in this case. Thus for Theorem A, there remains the general linear group in even dimensions, and the exceptional n=2. The table which follows summarizes the remainder of the computations. The entry in row  $\mathscr{A}^i$ , column G is a pair of numbers: the first is the index of  $I(\mathscr{A}^{i-1}(G))$  in  $\mathscr{A}^i(G)$  and the second is the order of the center of  $\mathscr{A}^i(G)$ . We remark that these centers are all elementary abelian 2-groups. The last entry in a column is the first  $\mathscr{A}^i(G)$  for which  $I: \mathscr{A}^i(G) \to \mathscr{A}^{i+1}(G)$  is an isomorphism.

	$GL(n, \mathbf{Z}),$ $n \ge 4 \text{ and even}$	GL(2, <b>Z</b> )	SL(2, <b>Z</b> )
A A <sup>2</sup> A <sup>3</sup> A <sup>4</sup> A <sup>5</sup> A <sup>6</sup> A <sup>7</sup> A <sup>8</sup>	$2^{2}; 2$ $2^{2}; 2^{2}$ $2^{5} \cdot 3; 1$ $2 \cdot 3; 1$ $2; 1$	2 <sup>2</sup> ; 2 <sup>2</sup> 2 <sup>6</sup> ·3; 1 2; 1 2; 1	$2^{2}; 2$ $2^{3}; 2$ $2^{3}; 2^{2}$ $2^{7}; 2^{4}$ $2^{29} \cdot 3^{2} \cdot 5 \cdot 7; 2$ $2^{6} \cdot 3 \cdot 7; 2^{3}$ $2^{12} \cdot 3 \cdot 7; 1$ $2^{6} \cdot 3 \cdot 7; 1$

The automorphism groups of GL(n, R) and SL(n, R), when  $n \ge 3$  and R is any integral domain, have been determined by O'Meara [12]; those of the projective groups by Solazzi [15]. I conjecture that the automorphism sequences of these groups are finite whenever the automorphism group of the ring R has a finite automorphism sequence.

This paper is organized as follows: in Section 1, after establishing notation, we state some consequences of the decomposition of  $\mathcal{A}(G)$  relative to a split short exact sequence

$$1 \rightarrow K \rightarrow G \rightarrow Y \rightarrow 1$$

where K is a characteristic subgroup of G. These results serve to organize the subsequent computations. In Section 2 we obtain Theorems A, B, and C for  $n \ge 3$ . Section 3 deals with the automorphisms of V and the group of derivations of  $SL(n, \mathbb{Z}_2)$  in V, for certain  $SL(n, \mathbb{Z}_2)$ -modules V. These results are required in Section 4; the modules which arise are the (additive) group M(n, m)of  $n \times m$  matrices over  $\mathbb{Z}_2$  on which  $SL(n, \mathbb{Z}_2)$  acts by left matrix multiplication, and the (additive) groups M(n),  $M(n)/\{0_n, I_n\}$  on which  $SL(n, \mathbb{Z}_2)$  acts by conjugation. The results of this section imply that  $H^1(SL(n, \mathbb{Z}_2), V) = 0$  for  $n \neq 3$  (see also [4], [9]). Proofs are direct and elementary, proceeding from the Steinberg presentation of  $SL(n, \mathbb{Z}_2)$  (see [16], [10]). The fourth and final section is devoted to the case n = 2. We obtain  $\mathcal{A}(PGL(2, \mathbb{Z}))$ , correcting the error in [6], and then Theorems A and B. It may be of interest to note the correction required in [6]: in the notation of that paper, case b of Theorem 2 cannot be eliminated (the assertion "whence  $(S_1 \hat{T}_1^2)^3 = \pm I$ " on p. 469, lines 1 and 2, is false). This case does arise, and leads to an exceptional automorphism defined in terms of the generators

$$S = \pm \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad T = \pm \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad B = \pm \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

of  $PGL(2, \mathbb{Z})$  by

$$S \rightarrow SB$$
,  $T \rightarrow TB$ ,  $B \rightarrow B$ .

Thus Theorem 2, the Corollary to Theorem 3, and Theorem 4 need modification for n = 2. A computation along the lines indicated in the proof of Theorem 4 establishes that  $\mathcal{A}(PGL(4, \mathbb{Z}))$  is as asserted and then the induction proceeds as given.

Results are numbered consecutively within a section, so that 2.3 refers to the third result of Section 2.

#### 1. Extensions and complete groups

For any group G and any  $x, y \in G$  we write  $[x, y] = xyx^{-1}y^{-1}$ . H < G means that H is a subgroup of G, and  $H \triangleleft G$  that H is a normal subgroup of G. [G: H] is the index of H in G, and |G| the order of G. For any subset  $S \subseteq G$ ,  $\langle S \rangle$  is the subgroup generated by S and nm  $\langle S \rangle$  is the normal subgroup generated by S. For subsets S, T of G, [S, T] is the *subgroup* generated by all [s, t] with  $s \in S$ ,  $t \in T$ . The commutator or derived subgroup of G is [G, G], also denoted by G'; G is perfect if G = G'.  $\mathscr{C}_G(S)$  is the centralizer of S in G:

$$\mathscr{C}_G(S) = \{ g \in G \mid [g, s] = 1 \text{ for all } s \in S \}.$$

The center of G is  $\mathscr{C}_G(G)$ , which will be written as  $\mathscr{C}(G)$ .  $\mathscr{N}_G(S)$  is the normalizer of S in G.

For  $g \in G$ ,  $\alpha \in \mathscr{A}(G)$  write  $[g, \alpha] = g\alpha(g)^{-1}$ . Then for  $T \subset G$  and  $S \subset \mathscr{A}(G)$ , [T, S] is the subgroup of G generated by all  $[g, \alpha]$  with  $g \in T$ ,  $\alpha \in S$ ; and  $\mathscr{C}_G(S)$ 

is the fixed point set of S in G:

$$\mathscr{C}_G(S) = \{g \in G \mid [g, \alpha] = 1 \text{ for all } \alpha \in S\}.$$

For any set S,  $G^S$  is the group of functions from S to G, under pointwise multiplication  $\#: G^S \times G^S \to G^S$ , where  $(f \# g)(s) = f(s) \cdot g(s)$ .

The map  $I: G \to \mathcal{A}(G)$  defined by

$$I(g)(x) = gxg^{-1} \quad (g, x \in G)$$

is a homomorphism of G onto the group I(G) of inner automorphisms of G.  $I(G) \triangleleft \mathcal{A}(G)$ , since

$$\alpha I(g)\alpha^{-1} = I(\alpha(g)) \quad (\alpha \in \mathscr{A}(G), g \in G).$$

G is complete if  $I: G \to \mathcal{A}(G)$  is an isomorphism. Thus the automorphism sequence obtained from G stabilizes in finitely many steps if and only if  $\mathcal{A}^r(G)$  is complete for some integer r. If  $\mathscr{C}(G) = 1$ ,  $I: G \to \mathcal{A}(G)$  is a monomorphism. In this situation, we will identify  $g \in G$  with  $I(g) \in \mathcal{A}(G)$  whenever convenient.

Let K be a *characteristic* subgroup of G; that is, restriction to K induces a homomorphism  $\mathscr{A}(G) \to \mathscr{A}(K)$ . Then the natural projection  $G \to G/K$  induces a homomorphism  $\mathscr{A}(G) \to \mathscr{A}(G/K)$ . Throughout this paper, homomorphisms of the type  $G \to \mathscr{A}(G)$ ,  $\mathscr{A}(G) \to \mathscr{A}(K)$ , and  $\mathscr{A}(G) \to \mathscr{A}(G/K)$  will always be given by I (viewed as an inclusion if  $\mathscr{C}(G) = 1$ ), restriction to the characteristic subgroup K, and the map induced by the natural projection, respectively. Note that in general these homomorphisms are neither monomorphisms nor epimorphisms.

For  $n \ge 3$ ,  $SL(n, \mathbb{Z})$  is the commutator subgroup of  $GL(n, \mathbb{Z})$  (see [5] or [11, p. 108]). Therefore  $SL(n, \mathbb{Z})$  is a characteristic subgroup of  $GL(n, \mathbb{Z})$ , and Wan [17] has established:

Theorem 1.1 [17]. For  $n \ge 3$ , the restriction map induces an epimorphism

$$\mathcal{A}(GL(n, \mathbf{Z})) \rightarrow \mathcal{A}(SL(n, \mathbf{Z})).$$

This result also follows from O'Meara's more general determination of the automorphisms of linear groups over integral domains.

If K, Y are groups and  $\mu: Y \to \mathscr{A}(K)$  is a homomorphism, the *semidirect* product of K by Y with action  $\mu$ , written  $K \times_{\mu} Y$ , is defined to be the set  $K \times Y$  with multiplication

$$(h, x)(k, y) = (h \cdot \mu_x(k), xy) \quad (h, k \in K, x, y \in Y).$$

Thus  $K \times_{\mu} Y$  is a group, and we will identify K, Y as subgroups of  $K \times_{\mu} Y$ . Note that  $G \simeq K \times_{\mu} Y$  if and only if G contains subgroups  $K^*$ ,  $Y^*$  isomorphic to K, Y respectively, such that

$$K^* \triangleleft G$$
,  $K^* \cap Y^* = 1$ ,  $G = \langle K^* \cup Y^* \rangle$ ,

and such that  $\mu$  corresponds to Y\* acting on K\* by conjugation. In the case  $Y < \mathcal{A}(K)$  and  $\mu$  is inclusion, we write  $K \times_* Y$ . If Im  $\mu = 1, K \times_{\mu} Y \simeq K \oplus Y$ . For groups K, Y define  $\mathcal{M}(K, Y)$  as a set by  $\mathcal{M}(K, Y) = \mathcal{A}(K) \times K^{Y} \times K^{Y}$  $\mathcal{A}(Y)$ ; under the product

$$(\alpha_1, \delta_1, \beta_1)(\alpha_2, \delta_2, \beta_2) = (\alpha_1 \alpha_2, \alpha_1 \delta_2 \# \delta_1 \beta_2, \beta_1 \beta_2),$$

 $\mathcal{M}(K, Y)$  is a group. Moreover, if G satisfies an exact sequence

$$E: 1 \longrightarrow K \xrightarrow{i} G \xrightarrow{j} Y \longrightarrow 1$$

and i(K) is a characteristic subgroup of G, then there is a monomorphism  $\Phi: \mathcal{A}(G) \to \mathcal{M}(K, Y)$ . The elements of Im  $\Phi$  may be characterized in terms of the data associated with the extension E (cf. [19]). We will exploit this result in the special case  $G = K \times_{u} Y$ .

**PROPOSITION** 1.2. Let  $G = K \times_{u} Y$ , and assume that K is characteristic in G. Define  $\Phi: \mathcal{A}(G) \to \mathcal{M}(K, Y)$  by

$$\Phi(\gamma) = (\alpha, \delta, \beta)$$
 if  $\gamma(k, x) = (\alpha(k)\delta(x), \beta(x))$ .

Then  $(\alpha, \delta, \beta) \in \text{Im } \Phi$  if and only if the two conditions below hold, for all x,  $y \in Y$ :

- (1)  $\alpha \mu_x \alpha^{-1} = I(\delta(x))\mu_{\beta(x)}$  (in  $\mathcal{A}(K)$ ), and (2)  $\delta(xy) = \delta(x) \cdot \mu_{\beta(x)}(\delta(y))$  (in K).

*Proof.* To each  $\gamma \in \mathcal{A}(G)$  there corresponds a commutative diagram

$$1 \longrightarrow K \longrightarrow K \underset{\downarrow^{\alpha}}{\longrightarrow} K \underset{\downarrow^{\mu}}{\times} Y \longrightarrow Y \longrightarrow 1$$
$$1 \longrightarrow K \longrightarrow K \underset{\mu}{\times} Y \longrightarrow Y \longrightarrow 1$$

so that  $\gamma(k, x) = (\alpha(k)\delta(x), \beta(x))$ , and  $(\alpha, \delta, \beta) \in \mathcal{M}(K, Y)$  by the Five-lemma. Conversely, the Five-lemma implies  $(\alpha, \delta, \beta) \in \text{Im } \Phi$  if and only if  $\gamma$ , defined as above, respects products in  $K \times_{k} Y$ . By computation, we require

$$\alpha \mu_x(h) \cdot \delta(xy) = \delta(x) \cdot \mu_{\beta x}(\alpha(h)) \cdot \mu_{\beta x}(\delta(y))$$

for  $h \in K$ ,  $x, y \in Y$  which is equivalent to (1) and (2).

The propositions which follow are fairly immediate consequences of 1.2 and will be used in the computations of automorphism groups in the rest of this paper. Henceforth, we identify  $\mathcal{A}(G)$  and Im  $\Phi$ .

**PROPOSITION 1.3.** Let  $G = K \times_{u} Y$ , where K is a characteristic subgroup of G.

(1) The kernel of the projection  $\mathcal{A}(G) \to \mathcal{A}(K) \oplus \mathcal{A}(Y)$  is Der.,  $(Y, \mathcal{C}(K)) = \{\delta \colon Y \to \mathcal{C}(K) | \delta(xy) = \delta(x) \cdot \mu_x \delta(y) \text{ for all } x, y \in Y\}.$  (2) If  $\mathscr{C}(K) = 1$ ,  $\mathscr{A}(G)$  is isomorphic to the subgroup of  $\mathscr{A}(K) \oplus \mathscr{A}(Y)$  given by

$$\{(\alpha, \beta) \mid \alpha \mu_x \alpha^{-1} \mu_{\beta x}^{-1} \in I(K)\}.$$

- (3) If  $\mathscr{C}(K) = 1$  and Ker  $\mu = 1$ , then  $\mathscr{A}(G)$  is isomorphic to the normalizer of  $\langle I(K) \cup \operatorname{Im}(\mu) \rangle$  in  $\mathscr{A}(K)$ .
  - (4) If K is complete,  $\mathscr{A}(G) \simeq K \oplus \mathscr{A}(Y)$ .
  - (5) If Y is complete,  $\mathscr{A}(G) \simeq M \times_{\rho} Y$  where

$$M = \{(\alpha, \delta) \in \mathcal{A}(K) \times \text{Der}_{\mu}(Y, K) | [\alpha, \mu_x] = I(\delta(x)) \text{ for all } x \in Y\}$$

with product  $(\alpha_1, \delta_1)(\alpha_2, \delta_2) = (\alpha_1 \alpha_2, \alpha_1 \delta_2 \# \delta_1)$ , and

$$\rho_{\nu}(\alpha, \delta) = (\mu_{\nu} \alpha \mu_{\nu}^{-1}, \mu_{\nu} \delta I(y)^{-1}).$$

*Proof.* By 1.2, if  $\alpha = \beta = 1$  then  $I(\delta(x)) = 1$  so  $\delta(x) \in \mathscr{C}(K)$  and  $\delta$  is a  $\mu$ -derivation. This is part (1); for part (2),  $I: K \to \mathscr{A}(K)$  is a monomorphism when  $\mathscr{C}(K) = 1$ . Consequently, equation (2) of 1.2 may be derived from equation (1):

$$I(\delta(xy)) = \alpha \mu_{xy} \alpha^{-1} \mu_{\beta(xy)}^{-1}$$

$$= \alpha \mu_{x} \mu_{y} \alpha^{-1} \mu_{\beta y}^{-1} \mu_{\beta x}^{-1}$$

$$= I(\delta(x)) \mu_{\beta x} I(\delta(y)) \mu_{\beta x}^{-1}$$

$$= I(\delta(x) \mu_{\beta x}(\delta(y))).$$

Therefore Im  $(\mathscr{A}(G) \to \mathscr{A}(K) \oplus \mathscr{A}(Y))$  is as described in part (2) above. Part (3) follows from part (2) since  $I(K) \lhd \mathscr{A}(K)$  and  $\beta \in \mathscr{A}(Y)$  is determined by  $\mu\beta$ :  $Y \to \mathscr{A}(K)$ . Part (4) also follows from part (2), since K is complete means that  $\mathscr{C}(K) = 1$  and  $I(K) = \mathscr{A}(K)$ .

When Y is complete,  $Y \simeq \{(\mu_y, 1, I(y)) | y \in Y\} < \mathcal{A}(G)$ . The remaining statements of part (5) follow from 1.2 and the computation

$$(\mu_{y}, 1, I(y))(\alpha, \delta, 1)(\mu_{y}^{-1}, 1, I(y^{-1})) = (\mu_{y}\alpha\mu_{y}^{-1}, \mu_{y}\delta I(y^{-1}), 1). \quad \blacksquare$$

We remark that 1.3(3) is Lemma 1.1 in J. S. Rose [14], and that (4) follows from Baer's observation that any complete normal subgroup K of a group G is a direct summand of G [1].

We turn now to the case in which K is also assumed to be abelian. Write the group operation in K additively, and view K as a left Y-module by means of  $\mu$ :  $Y \to \mathcal{A}(K)$ . Denote by  $\mathcal{A}_{\mu}(K)$  the group of Y-module automorphisms of K (that is,  $\mathcal{A}_{\mu}(K) = \mathscr{C}_{\mathcal{A}(K)}(\operatorname{Im} \mu)$ ), and by  $\operatorname{Der}_{\mu}(Y, K)$  the additive group of derivations of Y in K:

$$\operatorname{Der}_{\mu}(Y, K) = \{\delta \colon Y \to K \, \big| \, \delta(xy) = \delta(x) + \mu_x \delta(y) \text{ for all } x, y \in Y \}.$$

The inner derivation determined by  $k \in K$  is the derivation  $\delta(x) = k - \mu_x(k)$  and corresponds to  $I(k) \in \mathcal{A}(K \times_{\mu} Y)$ .

**PROPOSITION 1.4.** Let  $G = K \times_{\mu} Y$ , where K is a characteristic abelian subgroup of G, and let  $\pi: \mathcal{A}(G) \to \mathcal{A}(Y)$  be the projection.

- (1) Ker  $\pi \simeq \operatorname{Der}_{u}(Y, K) \times_{\sigma} \mathscr{A}_{u}(K)$ , where  $\sigma_{\alpha}(\delta) = \alpha \delta$ .
- (2) Im  $\pi = \{ \beta \in \mathcal{A}(Y) | \mu, \mu \circ \beta \colon Y \to \mathcal{A}(K) \text{ are equivalent representations} \}.$
- (3) If Y is complete,  $\mathscr{A}(G) \simeq \operatorname{Ker} \pi \times_{\rho} Y$  where  $\rho_{y}(\delta, \alpha) = (\mu_{y} \delta I(y^{-1}), \alpha)$ .
- (4) If Y is complete and Im  $\mu$  is abelian,  $\mathscr{A}(G) \simeq \operatorname{Ker} \pi \times_{\rho} Y$  where now  $\rho_{\nu}(\delta, \alpha) = (\delta I(y^{-1}), \alpha)$ .

*Proof.* Since I(K) = 1, (1) and (2) are immediate consequences of 1.2. When Y is complete, (3) follows from 1.3(5). To obtain (4), define  $s: Y \to \mathcal{M}(K, Y)$  by s(y) = (1, 0, y). Then Im  $s < \mathcal{M}(G)$  by 1.2,  $\pi s = 1: Y \to Y$ ; and so

$$\mathscr{A}(G) \simeq \operatorname{Ker} \pi \times_{I} \operatorname{Ims} \simeq \operatorname{Ker} \pi \times_{\rho} Y.$$

COROLLARY 1.5. Continue with the hypotheses of 1.4.

(1) If Ker  $\mu = 1$ ,

$$\mathscr{A}(G) \simeq \mathrm{Der}_{\star} (\mathrm{Im} \ \mu, K) \times_{\mathfrak{o}} \mathscr{N}_{\mathscr{A}(K)}(\mathrm{Im} \ \mu)$$

where  $\rho_{\alpha}(\delta) = \alpha \circ \delta \circ I(\alpha^{-1})$ .

(2) If Im  $\pi = I(Y)$  and  $\mathscr{C}(Y) = 1$ ,

$$\mathscr{A}(G) \simeq \mathrm{Der}_{\mu}(Y, K) \times_{\rho} (Y \oplus \mathscr{A}_{\mu}(K))$$

where  $\rho_{(y,\alpha)}(\delta) = \mu_y \alpha \delta I(y^{-1})$ .

See also [14] for results related to 1.4 and 1.5.

COROLLARY 1.6. Let  $G \simeq K \oplus Y$  where K is a characteristic abelian subgroup of G.

- (1)  $\mathscr{A}(G) \simeq \operatorname{Hom}(Y, K) \times_{\rho} (\mathscr{A}(K) \oplus \mathscr{A}(Y)), \text{ where } \rho_{(\alpha, \beta)}(\delta) = \alpha \delta \beta^{-1}.$
- (2) If Y is complete,  $\mathscr{A}(G) \simeq (\operatorname{Hom}(Y, K) \times_{\sigma} \mathscr{A}(K)) \oplus Y$ , where  $\sigma_{x}(\delta) = \alpha \delta$ .

The criterion which follows is due to Burnside; it may be deduced from Rose's 1.3(3).

THEOREM 1.7 [2, p. 95]. If  $\mathscr{C}(G) = 1$ , then  $\mathscr{A}(G)$  is complete if and only if G is a characteristic subgroup of  $\mathscr{A}(G)$ .

Finally, we quote Wielandt's rather sweeping sufficient condition for finite automorphism sequences:

THEOREM 1.8 [18]. If G is a finite group and  $\mathscr{C}(G) = 1$ , then the automorphism sequence of G stabilizes in finitely many steps.

## 2. Automorphism sequences, $n \ge 3$

The projective general linear group  $PGL(n, \mathbb{Z})$  is the quotient of  $GL(n, \mathbb{Z})$  by its center  $\{I_n, -I_n\}$ , and is a group with trivial center. In this section we first prove that the groups  $\mathscr{A}(PGL(n, \mathbb{Z}))$  are complete, by applying Burnside's criterion to a special case of Solazzi's Theorem ([15]; see 2.1 below). Theorems A and C for odd n are then obtained as a corollary. Next, we utilize Hua and Reiner's determination of  $\mathscr{A}(GL(n, \mathbb{Z}))$  ([5]; see 2.4 below) to complete the proof of Theorem C and then to compute the automorphism sequences for the general linear groups (even  $n \geq 4$ ). We begin by stating the Solazzi results we require.

THEOREM 2.1 [15]. For  $n \ge 3$ ,

$$\mathscr{A}(PGL(n, \mathbb{Z})) \simeq \mathscr{A}(PSL(n, \mathbb{Z})) \simeq PSL(n, \mathbb{Z}) \times_{\sigma} (\mathbb{Z}_2 \oplus \mathbb{Z}_2),$$

where

Im 
$$\sigma = \{1, \alpha: \pm X \rightarrow \pm AXA^{-1}, \beta: \pm X \rightarrow \pm X^{-t}, \alpha\beta\}$$

and

$$A = \text{diag}(-1, 1, ..., 1) \in GL(n, \mathbb{Z}).$$

We have written  $\pm X \in PSL(n, \mathbb{Z})$  for the image of  $X \in SL(n, \mathbb{Z})$  under the natural projection,  $X^t$  is the transpose of X, and  $X^{-t} = (X^{-1})^t$ .

COROLLARY 2.2. (1) For  $n \geq 3$ ,  $\mathcal{A}(PGL(n, \mathbb{Z}))$  is complete.

(2) If n is odd,  $n \geq 3$ ,

$$\mathscr{A}(PGL(n, \mathbb{Z})) \simeq \mathscr{A}(SL(n, \mathbb{Z})) \simeq \mathscr{A}(GL(n, \mathbb{Z})).$$

*Proof.* (1) Since  $PSL(n, \mathbb{Z})$  is perfect when  $n \ge 3$  (cf. [11, p. 108]),  $PSL(n, \mathbb{Z})$  is the derived group of  $\mathscr{A}(PSL(n, \mathbb{Z}))$ . Hence  $PSL(n, \mathbb{Z})$  is a centerless group, characteristic in its automorphism group; and so Burnside's criterion (1.7) yields (1).

(2) For odd  $n \ge 3$ ,  $GL(n, \mathbb{Z})$  has the direct sum decomposition

$$GL(n, \mathbf{Z}) \simeq \{I_n, -I_n\} \oplus SL(n, \mathbf{Z}).$$

Consequently  $PGL(n, \mathbb{Z}) \simeq PSL(n, \mathbb{Z}) \simeq SL(n, \mathbb{Z})$  and (e.g., by 1.6(1))

$$\mathcal{A}(GL(n, \mathbf{Z})) \simeq \mathcal{A}(SL(n, \mathbf{Z})).$$

As stated in the introduction, the groups  $\mathcal{A}(PGL(n, \mathbb{Z}))$  ( $n \geq 3$ ) were first determined by Hua and Reiner [6]. Ying [20] subsequently established that all automorphisms of  $PSL(n, \mathbb{Z})$  are induced by automorphisms of  $PGL(n, \mathbb{Z})$  for even  $n \geq 6$  (odd n cause no difficulty for PGL and PSL coincide). The final case n = 4 is part of Solazzi's result, and we thank the referee for supplying the reference. This replaced a rather laborious though elementary computation which showed directly that Burnside's criterion applies to  $\mathcal{A}(PGL(n, \mathbb{Z}))$  (even

 $n \ge 4$ ) by proving that  $PGL(n, \mathbb{Z})$  is not isomorphic to the other two subgroups of index two in  $\mathcal{A}(PGL(n, \mathbb{Z}))$ .

The next proposition paves the way for us to apply the results of Section 1 in the remainder of this section.

PROPOSITION 2.3. Let  $n \ge 3$ , and let K be an arbitrary finite group. If  $G = K \times_{\mu} \mathcal{A}(PGL(n, \mathbb{Z}))$ , then K is a characteristic subgroup of G.

*Proof.* Let  $\pi: G \to \mathcal{A}(PGL(n, \mathbb{Z}))$  denote the natural projection. If K is not characteristic in G, then G contains a finite normal subgroup whose image under  $\pi$  is a non-trivial finite normal subgroup of  $\mathcal{A}(PGL(n, \mathbb{Z}))$ . Thus it suffices to show that  $\mathcal{A}(PGL(n, \mathbb{Z}))$  contains no element with finitely many conjugates, except 1. Let, then,  $\alpha \in \mathcal{A}(PGL(n, \mathbb{Z}))$  and assume that  $\alpha$  has finitely many conjugates. Then  $\alpha$  centralizes a normal subgroup L, say, of finite index in  $\mathcal{A}(PGL(n, \mathbb{Z}))$ . We will prove that  $\alpha$  centralizes  $PGL(n, \mathbb{Z})$ , which implies that  $\alpha = 1$ . Let  $x \in PGL(n, \mathbb{Z})$ ,  $y \in L$ ; then  $x^{-1}yx \in L$  so

$$x^{-1}yx = \alpha(x^{-1}yx) = \alpha(x)^{-1}y\alpha(x).$$

Thus  $[x, \alpha] \in PGL(n, \mathbb{Z})$  and centralizes L. But  $\pm e_{ij}^d \in L$  for  $d = |\mathscr{A}(PGL(n, \mathbb{Z}))/L|$ , say, where  $e_{ij}$  is the elementary matrix with 1's down the main diagonal and in position i, j; zeros elsewhere. But the only element of  $PGL(n, \mathbb{Z})$  that commutes with all  $\pm e_{ij}^d (d \neq 0)$  is the identity. Hence  $[x, \alpha] = 1$ , or  $\alpha = 1$  as required.

We now establish that the automorphism sequence of  $SL(n, \mathbb{Z})$  and  $GL(n, \mathbb{Z})$  are finite for n even,  $n \ge 4$ . We first quote Hua and Reiner's determination of  $\mathscr{A}(GL(n, \mathbb{Z}))$  for the case under consideration.

THEOREM 2.4 [5]. Let n be even,  $n \ge 4$ . Then

$$\mathscr{A}(GL(n, \mathbf{Z})) \simeq PGL(n, \mathbf{Z}) \times_{\sigma} (\mathbf{Z}_2 \oplus \mathbf{Z}_2),$$

where Im  $\sigma = \{1, \alpha: X \to X^{-t}, \beta: X \to (\det X)X, \alpha\beta\}.$ 

COROLLARY 2.5. For even  $n \ge 4$ ,

- (1)  $\mathscr{A}(GL(n, \mathbf{Z})) \simeq \mathscr{A}(PGL(n, \mathbf{Z})) \oplus \mathbf{Z}_2$ , and
- (2)  $\mathscr{A}(SL(n, \mathbf{Z})) \simeq \mathscr{A}(PGL(n, \mathbf{Z}))$  and is complete.

*Proof.* By 2.1 and 2.4,  $\mathcal{A}(PGL(n, \mathbb{Z}))$  is a subgroup of  $\mathcal{A}(GL(n, \mathbb{Z}))$  of index 2, and the action induced by  $\beta$  on  $PGL(n, \mathbb{Z})$  is trivial. This is (1). Since  $\beta$  acts trivially on  $SL(n, \mathbb{Z})$  and  $\mathcal{A}(GL(n, \mathbb{Z})) \to \mathcal{A}(SL(n, \mathbb{Z}))$  is onto (1.1), (2) follows.

Denote the additive group of  $2 \times 2$  matrices over  $\mathbb{Z}_2$  by M(2, 2) and set  $M = M(2, 2) \times_{\lambda} SL(2, \mathbb{Z}_2)$  where  $\lambda$  denotes the (left) action of  $SL(2, \mathbb{Z}_2)$  on M(2, 2) given by matrix multiplication:

$$\lambda_A \colon X \to AX \quad (X \in M(2, 2), A \in SL(2, \mathbb{Z}_2)).$$

Note that  $SL(2, \mathbb{Z}_2) \simeq S_3$ , the symmetric group on 3 symbols (they are nonabelian groups of order 6), and that  $S_3$  is complete.

THEOREM 2.6. Let  $n \ge 4$  be even.

- (1)  $\mathscr{A}^2(GL(n, \mathbb{Z})) \simeq \mathbb{Z}_2 \oplus \mathbb{Z}_2 \oplus \mathscr{A}(PGL(n, \mathbb{Z})).$
- (2)  $\mathscr{A}^3(GL(n, \mathbb{Z})) \simeq M \oplus \mathscr{A}(PGL(n, \mathbb{Z}))$  and  $\mathscr{C}(M) = 1$ .
- (3)  $\mathscr{A}^{3+k}(GL(n, \mathbf{Z})) \simeq \mathscr{A}^k(M) \oplus \mathscr{A}(PGL(n, \mathbf{Z}))$  and the automorphism sequence of  $GL(n, \mathbf{Z})$  stabilizes infinitely many steps.

*Proof.* Put  $Y = \mathcal{A}(PGL(n, \mathbb{Z}))$  and write  $\mathcal{A}^r$  for  $\mathcal{A}^r(GL(n, \mathbb{Z}))$ . It follows from (2.1) that  $Y/Y' \simeq \mathbb{Z}_2 \oplus \mathbb{Z}_2$ .

Since  $\mathscr{A}^1 \simeq \mathbb{Z}_2 \oplus Y$ ,  $\mathbb{Z}_2$  is characteristic in  $\mathscr{A}^1$  and 1.6(2) yields

$$\mathscr{A}^{2} \simeq \{ \text{Hom } (Y, \mathbf{Z}_{2}) \times_{\sigma} \mathscr{A}(\mathbf{Z}_{2}) \} \oplus Y$$
$$\simeq \text{Hom } (Y/Y', \mathbf{Z}_{2}) \oplus Y$$
$$\simeq \mathbf{Z}_{2} \oplus \mathbf{Z}_{2} \oplus Y.$$

This is (1), and we may again apply 1.6(2) to obtain

$$\mathcal{A}^{3} \simeq \{ \text{Hom } (Y, \mathbf{Z}_{2} \oplus \mathbf{Z}_{2}) \times_{\sigma} \mathcal{A}(\mathbf{Z}_{2} \oplus \mathbf{Z}_{2}) \} \oplus Y$$

$$\simeq \{ \text{Hom } (\mathbf{Z}_{2} \oplus \mathbf{Z}_{2}, \mathbf{Z}_{2} \oplus \mathbf{Z}_{2}) \times_{\sigma} \mathcal{A}(\mathbf{Z}_{2} \oplus \mathbf{Z}_{2}) \} \oplus Y$$

$$\simeq M \oplus Y.$$

Since  $\mathscr{C}(S_3) = 1$ ,  $\mathscr{C}(M) = \mathscr{C}_{M(2,2)}(SL(2, \mathbb{Z}_2))$ , which is the fixed point set of  $SL(2, \mathbb{Z}_2)$  acting on M(2, 2) by left multiplication  $\lambda$ . Consequently  $\mathscr{C}(M) = 1$ . Finally, part (3) follows from Wielandt's Theorem (1.8) once we prove that  $\mathscr{A}(K \oplus Y) = \mathscr{A}(K) \oplus Y$  for any finite centerless group K. By 2.3, K is characteristic in  $K \oplus Y$  so 1.3(2) implies

$$\mathscr{A}(K \oplus Y) \simeq \mathscr{A}(K) \oplus \mathscr{A}(Y) \simeq \mathscr{A}(K) \oplus Y.$$

As a consequence of 2.6(3),  $\mathcal{A}^{3+k}(GL(n, \mathbb{Z}))$  is complete if and only if  $\mathcal{A}^k(M)$  is complete; we conclude this section by establishing that  $\mathcal{A}^2(M)$  is a complete group. One preliminary lemma is required; the group M and these computations appear again in Section 4 in connection with the automorphism sequence of  $GL(2, \mathbb{Z})$ .

LEMMA 2.7. View M(2, 2) as a left  $SL(2, \mathbb{Z}_2)$ -module under left multiplication  $\lambda$ .

- (1)  $\operatorname{Der}_{\lambda}(SL(2, \mathbb{Z}_2), M(2, 2)) \simeq M(2, 2)$ , where  $X \in M(2, 2)$  corresponds to the (inner) derivation  $A \to (I A)X$ .
- (2)  $\mathscr{A}_{\lambda}(M(2,2)) \simeq SL(2,\mathbb{Z}_2)$ , where  $B \in SL(2,\mathbb{Z}_2)$  corresponds to the automorphism  $X \to XB^{-1}$ .

*Proof.* Let  $\delta$  be any derivation, and put

$$C = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \in SL(2, \mathbb{Z}_2).$$

Then  $C^2 + C + I = 0$ , so that we may define  $X \in M(2, 2)$  by

$$\delta(C) = (I - C)X.$$

Note that  $\delta(C^{-1}) = (I - C^{-1})X$ , and that  $ACA^{-1} = C^{\pm 1}$  for all  $A \in SL(2, \mathbb{Z}_2)$ . Consequently,

$$\delta(C^{\pm 1}) = \delta(ACA^{-1}) = (I - C^{\pm 1})\delta(A) + A\delta(C)$$

which implies that  $\delta(A) = (I - A)X$  as required.

Now let  $\alpha \in \mathcal{A}_{\lambda}(M(2,2))$ ; we claim  $\alpha(X) = XB^{-1}$  for some  $B \in SL(2, \mathbb{Z}_2)$ . Let  $m_{ij} \in M(2,2)$  denote the matrix with 1 in position i,j and zeros elsewhere. The fixed point set of  $\langle e_{12} \rangle$  in M(2,2) is  $\langle m_{11}, m_{12} \rangle$ , hence  $\alpha$  restricted to  $\langle m_{11}, m_{12} \rangle$  is an automorphism. Consequently there is a (unique)  $B \in SL(2, \mathbb{Z}_2)$  defined by  $\alpha(m_{1j}) = m_{1j}B^{-1}$  for j = 1, 2. Then

$$\alpha(m_{2j}) = \alpha(e_{21}m_{1j} + m_{1j}) = e_{21}\alpha(m_{1j}) + \alpha(m_{1j}) = m_{2j}B^{-1}.$$

Thus  $\alpha X = XB^{-1}$ , since  $\langle m_{ij} | 1 \le i, j \le 2 \rangle = M(2, 2)$ .

PROPOSITION 2.8. Let  $M = M(2, 2) \times_{\lambda} SL(2, \mathbb{Z}_2)$ . The automorphism sequence of M is

$$M \triangleleft \mathscr{A}(M) \triangleleft \mathscr{A}^2(M) = \mathscr{A}^3(M) = \cdots$$

where the factor groups are  $SL(2, \mathbb{Z}_2), \mathbb{Z}_2, 1, 1, \ldots$ 

*Proof.* Since  $M' = M(2, 2) \times_{\lambda} \langle C \rangle$ , where

$$C = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix},$$

and M'' = M(2, 2), M(2, 2) is characteristic in M. Moreover  $SL(2, \mathbb{Z}_2)$  is complete, so 1.5(2) yields

$$\mathscr{A}(M) \simeq \mathrm{Der} (SL(2, \mathbb{Z}_2), M(2, 2)) \times_{\rho} \{SL(2, \mathbb{Z}_2) \oplus \mathscr{A}_{\lambda}(M(2, 2))\}$$

where  $\rho_{(A,x)}(\delta) = \mu_A \alpha \delta I(A^{-1})$ . Using the isomorphisms of 2.7 we may write

$$\mathcal{A}(M) = M(2, 2) \times_{\rho} \{SL(2, \mathbf{Z}_2) \oplus SL(2, \mathbf{Z}_2)\}$$

where now  $\rho_{(A,B)}(X) = AXB^{-1}$ . Here,

$$I(M) = M(2, 2) \times_{\rho} \{SL(2, \mathbf{Z}_2) \oplus 1\}.$$

Since  $\mathcal{A}(M)'' = M(2, 2)$ , M(2, 2) is characteristic in  $\mathcal{A}(M)$  and we may apply 1.4(1), (2) where

$$\pi: \mathscr{A}^2(M) \to \mathscr{A}(SL(2, \mathbb{Z}_2) \oplus SL(2, \mathbb{Z}_2)).$$

A computation shows that

$$\mathscr{A}(S_3 \oplus S_3) \simeq (S_3 \oplus S_3) \times_* \langle \tau | \tau^2 = 1 \rangle,$$

where  $\tau(x, y) = (y, x)$ . (See Rose, Lemma 1.4 [14]. For a direct proof, the elements of order 3 in  $S_3 \oplus S_3$  with centralizers of order  $2 \cdot 3^2$  are (a, 1) or (1, a) where  $a^3 = 1$ . Use an element of  $\langle I(S_3 \oplus S_3), \tau \rangle$  to assume these are fixed. Then so are their centralizers, and now fix an element of order 2 in each centralizer by applying  $I(\mathbf{Z}_3 \oplus \mathbf{Z}_3)$ .) Recall that

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{\operatorname{adj}} = \begin{pmatrix} d & b \\ c & a \end{pmatrix} \quad \text{for } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M(2, 2).$$

Thus adj  $\in \mathcal{A}(M(2, 2))$  is an automorphism of M(2, 2) as an abelian group, and is an anti-automorphism of the matrix ring M(2, 2) such that  $X^{\text{adj}}X = (\det X)I_2$ . It follows that for  $(A, B) \in SL(2, \mathbb{Z}_2) \oplus SL(2, \mathbb{Z}_2)$ ,

$$\operatorname{adj} \circ \rho_{(A,B)} = \rho_{\tau(A,B)} \circ \operatorname{adj} \in \mathscr{A}(M(2,2)).$$

By 1.4(2),  $\tau \in \text{Im } \pi$ . In fact,  $\tau = \pi(\tilde{\tau})$  where  $\tilde{\tau}(X, (A, B)) = (X^{\text{adj}}, (B, A))$ . Therefore  $\pi$  is onto, and

Im 
$$\pi \simeq \langle \pi(I(\mathscr{A}(M))), \pi(\tilde{\tau}) \rangle \simeq \mathscr{A}(M)/I(M(2, 2)) \times_* \langle \tau \rangle$$
.

We prove next that Ker  $\pi = I(M(2, 2))$ ; it follows that  $\mathscr{A}^2(M) \simeq \mathscr{A}(M) \times_* \langle \tilde{\tau} \rangle$ . By 1.4(1), we have

$$\operatorname{Ker} \pi \simeq \operatorname{Der}_{\rho} (SL(2, \mathbb{Z}_{2}) \oplus SL(2, \mathbb{Z}_{2}), M(2, 2)) \times \mathscr{A}_{\rho}(M(2, 2)).$$

Thus Ker  $\pi = I(M(2, 2))$  if and only if  $\mathscr{A}_{\rho}(M(2, 2)) = 1$  and every derivation is inner. The first statement follows from 2.7(2) and the fact that  $\mathscr{C}(SL(2, \mathbb{Z}_2)) = 1$ , and the second follows from the argument of 2.7(1).

Finally, we prove that  $\mathcal{A}^2(M)$  is complete. We will do this by exhibiting a characteristic centerless subgroup L of  $\mathcal{A}^2(M)$  such that  $L < \mathcal{A}(M)$  and such that the induced homomorphism  $\mathcal{A}^2(M) \to \mathcal{A}(L)$  is an isomorphism. The fact that  $\mathcal{A}^2(M)$  is complete is then a consequence of Burnside's Theorem (1.7). We claim that  $L = \mathcal{A}^2(M)''$  has the required properties; note that  $L < \mathcal{A}(M)$  since  $\mathcal{A}^2(M)/\mathcal{A}(M)$  is abelian. We have

$$L \simeq M(2, 2) \times_{\tau} (\mathbf{Z}_3 \oplus \mathbf{Z}_3),$$

where  $\tau_{(e,f)}X = C^eXC^f$  with

$$C = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}.$$

A computation shows that  $\mathscr{C}(L) = 1$ . L is clearly characteristic in  $\mathscr{A}^2(M)$ , and it remains to prove that  $\mathscr{A}^2(M) \to \mathscr{A}(L)$  is an isomorphism. Since  $\mathscr{A}^2(M)/L$  acts faithfully on  $L/L \simeq \mathbb{Z}_3 \oplus \mathbb{Z}_3$ ,  $\mathscr{A}^2(M) \to \mathscr{A}(L)$  is an injection.

We now compute  $\mathcal{A}(L)$ . Since Ker  $\tau = 1$  and M(2, 2) is characteristic in L (it

is the 2-Sylow subgroup of L), by 1.5(1)

$$\mathscr{A}(L) \simeq \operatorname{Der}_{*}(\operatorname{Im} \tau, M(2, 2)) \times_{\rho} \mathscr{N}_{\mathscr{A}(M(2, 2))}(\operatorname{Im} \tau).$$

The argument of 2.7(1) shows that every derivation is inner, whence

$$|\operatorname{Der}_{*}(\operatorname{Im} \tau, M(2, 2))| = |M(2, 2)| = 2^{4}.$$

Next, we determine the order of the normalizer of Im  $\tau$  in  $\mathcal{A}(M(2, 2))$ . Since  $|\operatorname{Im} \tau| = 3^2$  and  $\mathcal{A}(M(2, 2)) \simeq SL(4, \mathbb{Z}_2)$ , Im  $\tau$  is a Sylow-3-subgroup of  $SL(4, \mathbb{Z}_2)$ . It therefore suffices to determine the order of the normalizer of

$$S = \left\{ \begin{pmatrix} C^e & 0 \\ 0 & C^f \end{pmatrix} \middle| e, f \in \mathbf{Z}_3 \right\}$$

in  $SL(4, \mathbb{Z}_2)$ . A computation yields

$$\mathcal{N}_{SL(4,\mathbf{Z}_2)}(S) = \left\{ \begin{pmatrix} X_1 & 0 \\ 0 & X_2 \end{pmatrix} \mid X_i \in SL(2,\mathbf{Z}_2) \right\} \cup \left\{ \begin{pmatrix} 0 & Y_1 \\ Y_2 & 0 \end{pmatrix} \mid Y_i \in SL(2,\mathbf{Z}_2) \right\}$$

which has order  $2^3 \cdot 3^2$ . Hence  $|\mathscr{A}(L)| = |M(2,2)|2^3 \cdot 3^2 = 2^7 \cdot 3^2$ . But  $|\mathscr{A}^2(M)| = 2 |\mathscr{A}(M)| = 2^7 \cdot 3^2$  and  $\mathscr{A}^2(M) \to \mathscr{A}(L)$  is a monomorphism. Thus  $\mathscr{A}^2(M) \simeq \mathscr{A}(L)$  as required.

## 3. Some $SL(n, \mathbb{Z}_2)$ -modules

The results of this section (and Lemma 2.7) are not new (see [4] or [9, p. 25]). However, we require only some rather specific computations for Section 4, so the proofs below are correspondingly elementary. Write M(n, m), M(n) for the additive groups of  $n \times m$ ,  $n \times n$  matrices over  $\mathbb{Z}_2$  respectively. These groups are left  $SL(n, \mathbb{Z}_2)$ -modules, where the action  $\lambda$  on M(n, m) is by matrix multiplication, and  $\kappa$  on M(n) is by conjugation, thus

$$\lambda_A: X \to AX, \quad \kappa_A: Y \to AYA^{-1} \quad (A \in SL(n, \mathbb{Z}_2), X \in M(n, m), Y \in M(n)).$$

Since  $\{0_n, I_n\}$  is a  $\kappa$ -submodule of M(n), the quotient inherits a module structure also denoted by  $\kappa$ , and the natural projection

\*: 
$$M(n) \to PM(n) = M(n)/\{0_n, I_n\}$$

is of course a  $\kappa$ -homomorphism.

Let  $m_{ij} \in M(n, m)$  denote the matrix whose sole nonzero entry is a 1 in position i, j. For matrices of appropriate shape,

$$m_{ij}m_{kl} = \begin{cases} 0 & \text{if } j \neq k \\ m_{il} & \text{if } j = k. \end{cases}$$

Consequently,  $m_{ij} X m_{kl}$  is zero if and only if X has a zero in position j, k; we write X(j, k) for this entry.

We view  $SL(n, \mathbb{Z}_2)$   $(n \ge 3)$  as the group presented in Steinberg form on generators  $e_{ij}$   $(i \ne j, 1 \le i, j \le n)$ , where  $e_{ij}$  corresponds to the elementary

matrix  $I_n + m_{ij} \in SL(n, \mathbb{Z}_2)$ , subject to the defining relations

$$\begin{aligned} e_{ij}^2 &= I_n & \text{for } i \neq j, \\ \left[ e_{ij}, \, e_{jk} \right] &= e_{ik} & \text{for distinct } i, j, k, \\ \left[ e_{ij}, \, e_{kl} \right] &= I_n & \text{if } j \neq k, i \text{ and } l \neq k, i. \end{aligned}$$

(See [16], p. 72, or [10] for a full discussion of the Steinberg groups and further references.)

PROPOSITION 3.1. If  $n \ge 4$ , every derivation of  $SL(n, \mathbb{Z}_2)$  in M(n, m), M(n), or PM(n) is inner. That is,

- (1)  $\operatorname{Der}_{\lambda}(SL(n, \mathbb{Z}_2), M(n, m)) \simeq M(n, m),$
- (2)  $\operatorname{Der}_{\kappa}\left(SL(n, \mathbb{Z}_2), M(n)\right) \simeq PM(n)$ , and
- (3)  $\operatorname{Der}_{\kappa} (SL(n, \mathbb{Z}_2), PM(n)) \simeq PM(n).$

*Proof.* Let V denote any  $SL(n, \mathbb{Z}_2)$ -module. Since  $e_{i,i+1}$   $(i=1, \ldots, n \text{ and the subscripts}$  are taken modulo n) generate  $SL(n, \mathbb{Z}_2)$ , a derivation  $\delta \in \operatorname{Der}_*(SL(n, \mathbb{Z}_2), V)$  is inner if there exists  $v \in V$  such that

(1) 
$$\delta(e_{i,i+1}) = v - e_{i,i+1} \cdot v = (1 - e_{i,i+1}) \cdot v,$$

and then v is determined modulo the fixed point set of  $SL(n, \mathbb{Z}_2)$  in V. Moreover, the  $\delta(e_{ij}) \in V$  are subject only to the conditions

(2) 
$$(1 + e_{ij}) \cdot \delta(e_{ij}) = 0 \quad (i \neq j),$$

$$(3)\delta(e_{ik}) + \delta(e_{ij}) + e_{ik}e_{ik} \cdot \delta(e_{ij}) + e_{ij} \cdot \delta(e_{ik}) + e_{ik} \cdot \delta(e_{ik}) = 0 \quad (i, j, k \text{ distinct}),$$

(4) 
$$(1 + e_{kl}) \cdot \delta(e_{ij}) + (1 + e_{ij}) \cdot \delta(e_{kl}) = 0 \quad (j \neq i, k; l \neq i, k).$$

Consider first  $\delta \in \text{Der}_{\lambda}$  ( $SL(n, \mathbb{Z}_2), M(n, m)$ ); we seek  $X \in M(n, m)$  such that

$$\delta(e_{i,i+1}) = m_{i,i+1} X \quad (i = 1, ..., n).$$

The matrix  $m_{i,i+1} X$  has all rows zero except perhaps its *i*th row, which is the (i+1)st row of X. Hence we can solve for a unique X provided equations (2), (3), and (4) imply  $m_{rk}\delta(e_{i,i+1}) = 0$  for some r and all  $k \neq i$ . From equation (2),  $m_{ij}\delta(e_{ij}) = 0$  and from equation (4) applied with i, j, k, l distinct  $(n \geq 4)$ ,

$$m_{il}\delta(e_{ij})=m_{ik}m_{kl}\delta(e_{ij})=m_{ik}m_{ij}\delta(e_{kl})=0.$$

Next, let  $\delta \in \text{Der}_{\kappa}$  ( $SL(n, \mathbb{Z}_2)$ , M(n)). Equation (1) now reads

$$\delta(e_{i,i+1}) = m_{i,i+1}X + Xm_{i,i+1} + m_{i,i+1}Xm_{i,i+1}.$$

The nonzero entries of the matrix on the right occur only in row i or column i+1. They determine the off-diagonal entries in row i+1, column i of X and the sum X(i, i) + X(i+1, i+1). Hence solutions of equation (1) determine an element of PM(n) (reflecting the fact that  $\{0_n, I_n\}$  is the fixed point set of

 $SL(n, \mathbb{Z}_2)$  in M(n); and solutions exist if and only if (2), (3), and (4) imply  $m_{rl}\delta(e_{i,i+1})m_{ks} = 0$  for some r, s and all  $l \neq i, k \neq i+1$ .

Note first that equation (2) implies that  $\delta(e_{ij})$  commutes with  $m_{ij}$ , and equation (4) yields

$$e_{ij}e_{kl}(\delta(e_{ij}) + \delta(e_{kl})) = (\delta(e_{ij}) + \delta(e_{kl}))e_{ij}e_{kl}$$

for  $j \neq i$ , k and  $l \neq i$ , k. Since  $m_{kl} e_{ij} e_{kl} = m_{kl}$ ,

$$m_{kl}(\delta(e_{ij}) + \delta(e_{kl})) = m_{kl}(\delta(e_{ij}) + \delta(e_{kl}))e_{ij}e_{kl}.$$

But  $m_{kl}$  commutes with  $\delta(e_{kl})$ , whence  $0 = m_{kl}\delta(e_{ij})m_{kl}$   $(l \neq i, k, j \neq i, k)$ . It remains to show that  $m_{rl}\delta(e_{i,i+1})m_{ls} = 0$  for  $l \neq i, i+1$  and some r, s. For this, we use equation (3): pick  $l \neq i, j, k$   $(n \geq 4)$  and multiply (3) on the left and right by  $m_{ll}$ . This yields  $m_{ll}\delta(e_{ij})m_{ll} = 0$  for all distinct i, j, l and completes the proof of part (2).

Finally, let  $\delta \in \text{Der}_{\kappa}$  ( $SL(n, \mathbb{Z}_2)$ , PM(n)). Let  $D_{ij} \in M(n)$  satisfy

$$D_{ij}^* = \delta(e_{ij}) \in PM(n), \quad D_{ij}(r, r) = 0 \text{ for some } r = r(i, j) \neq i, j.$$

Then (2), (3), (4), in terms of the  $D_{ij}$ , read

(2') 
$$D_{ij} + e_{ij}D_{ij}e_{ij} = a(i, j)I_n,$$

(3') 
$$D_{ik} + D_{ij} + e_{ik}e_{ik}D_{ij}e_{ik}e_{ik} + e_{ij}D_{ik}e_{ij} + e_{ik}D_{ik}e_{ik} = a(i, j, k)I_n,$$

(4') 
$$D_{ij} + e_{kl}D_{ij}e_{kl} + D_{kl} + e_{ij}D_{kl}e_{ij} = a(i, j, k, l)I_n$$

where  $i \neq j$  in (2'); i, j, k are distinct in (3');  $j \neq i, k$ ;  $l \neq i, k$  in (4'); and  $a(i, j), a(i, j, k), a(i, j, k, l) \in \mathbb{Z}_2$ . We claim that all a's are zero, whence part (3) follows from part (2).

Pick  $k \neq i$ , j and multiply (2') left and right by  $m_{kk}$  to obtain a(i, j) = 0. Consequently  $m_{ij}$  commutes with  $D_{ij}$  so

$$m_{ij}D_{ij}m_{ji} = m_{ii}m_{ij}D_{ij}m_{ji}m_{ii} = m_{ii}D_{ij}m_{ii}$$

Therefore the trace of the matrices appearing in (3') is

$$n \cdot a(i, j, k) = \operatorname{tr} (D_{ik}) = \sum_{s \neq i, k} D_{ik}(s, s).$$

However for any  $s \neq i$ , j, k, when (3') is multiplied left and right by  $m_{ss}$  we obtain

$$m_{ss}D_{ik}m_{ss}=a(i,j,k)m_{ss}.$$

Consequently  $D_{ik}(s, s) = a(i, j, k)$  for  $s \neq i, j, k$ . If the choice of  $D_{ik} \in \delta(e_{ik})$  was such that  $r(i, k) \neq i, j, k$  then  $a(i, j, k) = D_{ik}(r(i, k), r(i, k)) = 0$ . Otherwise, r(i, k) = j and the trace relation now yields

$$a(i, j, k) = D_{ik}(j, j) = 0.$$

Finally, we establish that all a(i, j, k, l) are zero. For  $n \ge 5$ , or for n = 4 and i = k or j = l, there is an  $s \ne i, j, k, l$  with  $s \in \{1, 2, ..., n\}$ . Multiply (4') left and right by  $m_{ss}$  to obtain a(i, j, k, l) = 0. In the remaining case, n = 4 and i, j, k, l are distinct. Multiply (3') on the left by  $m_{kl}$  and on the right by  $m_{jk}$  to obtain

$$0 = m_{kl}D_{ik}m_{jk} + m_{kl}D_{ij}m_{ik} = m_{kl}D_{ik}m_{jk} + m_{kl}D_{ij}m_{ij}m_{jk};$$

and so  $0 = m_{kl} D_{ik} m_{jk}$  by (2'), valid for all distinct i, j, k, l. Now (4') multiplied left and right by  $m_{ii}$  yields a(i, j, k, l) = 0.

COROLLARY 3.2. If at least one of n, m is  $\neq 3$ ,

$$\operatorname{Der}_{\rho}(SL(n, \mathbb{Z}_2) \oplus SL(m, \mathbb{Z}_2), M(n, m)) \simeq M(n, m),$$

where  $\rho_{(A,B)}(X) = AXB^{-1}$ .

*Proof.* Apply transpose if necessary to assume  $n \neq 3$ . If

$$\delta : SL(n, \mathbb{Z}_2) \oplus SL(m, \mathbb{Z}_2) \rightarrow M(n, m)$$

is a derivation, by 3.1(1) or the argument of 2.7(1) there is an  $X \in M(n, m)$  such that

$$\delta(A, I_m) = (I_n - A)X$$
 for all  $A \in SL(n, \mathbb{Z}_2)$ .

Since  $(A, I_m)$  and  $(I_n, B)$  commute,  $(I_n - A)(\delta(I_n, B) - X(I_m - B^{-1})) = 0$ . In particular

$$m_{i,i+1}(\delta(I_n, B) - X(I_m - B^{-1})) = 0$$
 for  $i = 1, ..., n$ 

or

$$\delta(I_n, B) = X(I_m - B^{-1}).$$

Consequently  $\delta(A, B) = X - AXB^{-1}$  as required.

Proposition 3.3. (1) 
$$\operatorname{Der}_{\lambda}(SL(3, \mathbb{Z}_{2}), M(3, m)) \simeq M(4, m)$$
.  
(2)  $\operatorname{Der}_{\kappa}(SL(3, \mathbb{Z}_{2}), M(3)) \simeq \operatorname{Der}_{\kappa}(SL(3, \mathbb{Z}_{2}), PM(3)) \simeq PM(3)$ .

*Proof.* We establish (2) first: as in 3.1(2), (3),  $e_{ij}D_{ij}e_{ij} + D_{ij} = I_3$  is impossible, so  $D_{ij}$  commutes with  $e_{ij}$ . Therefore the (i, i) and (j, j) entries of  $D_{ij}$  are equal and the other entries in row j, column i are zero. For  $k \neq i$ , j, the (k, k) entry of  $D_{ij}$  is zero either as a consequence of equation (4) or by the choice of  $D_{ij} \in D_{ij}^*$  made as in 3.1. This yields part (2).

For part (1), equations (2), (3), and (4) reduce to

$$m_{ij}\delta(e_{ij}) = 0$$
  $(i \neq j),$   
 $\delta(e_{ik}) = m_{jk}\delta(e_{ij})$   $(i, j, k \text{ distinct}).$ 

Consequently  $m_{1k}\delta(e_{ij})=m_{11}\delta(e_{23})$ , and there is a unique  $X\in M(3,m)$  such that

$$\delta(e_{ij}) = (1 - e_{ij})X + m_{k1}\delta(e_{23}) \quad (k \neq i, j).$$

Thus  $\delta$  corresponds to the pair  $(X, m_{11}\delta(e_{23}))$ . Since  $m_{11}\delta(e_{23})$  can be any matrix in M(3, m) with rows 2 and 3 zero, we obtain part (1).

Proposition 3.4. Let  $n \ge 3$ .

(1)  $\mathscr{A}_{\rho}(M(n,m) \oplus M(n)) \simeq SL(m, \mathbb{Z}_2) \oplus \mathbb{Z}_2$ , where the isomorphism is given

$$(B, b) \rightarrow \beta$$
:  $(X, Y) \rightarrow (XB^{-1}, Y + b \operatorname{Tr} (Y)I_n)$ 

and  $SL(n, \mathbb{Z}_2)$  acts on  $M(n, m) \oplus M(n)$  by  $\rho_A(X, Y) = (AX, AYA^{-1})$ .

- (2)  $\mathscr{A}_{\rho}(M(n, m) \oplus PM(n)) \simeq SL(m, \mathbb{Z}_2)$ , where the action of  $SL(n, \mathbb{Z}_2)$  is as in (1).
  - (3)  $\mathscr{A}_{\sigma}(M(n, m) \oplus PM(n)) = 1$ , where  $SL(n, \mathbb{Z}_2) \oplus SL(m, \mathbb{Z}_2)$  acts by

$$\sigma_{(A,B)}(X, Y) = (AXB^{-1}, AYA^{-1}).$$

(4)  $\mathscr{A}_{\lambda}(M(n, m)) \simeq SL(m, \mathbb{Z}_2).$ 

*Proof.* Note that (3), (4) follow from (1), (2). Let  $\beta \in \mathscr{A}_{\rho}(M(n, m) \oplus M(n))$ , where  $\rho_{A}(X, Y) = (AX, AYA^{-1})$  for  $A \in SL(n, \mathbb{Z}_{2})$ . Let  $S_{1}, S_{2}$  be the subgroups of  $SL(n, \mathbb{Z}_{2})$  defined by

$$S_{1} = \left\{ \begin{pmatrix} 1 & * \cdots * \\ 0 & A \\ \vdots & A \end{pmatrix} \middle| A \in SL(n-1, \mathbb{Z}_{2}) \right\},$$

$$S_{2} = \left\{ \begin{pmatrix} 1 & 0 \cdots 0 \\ 0 & A \\ \vdots & A \end{pmatrix} \middle| A \in SL(n-1, \mathbb{Z}_{2}) \right\}.$$

The fixed point sets of  $\rho(S_1)$ ,  $\rho(S_2)$  in  $M(n, m) \oplus M(n)$  are

$$T_1 = \left\{ \left( \sum_{i} a_j m_{1j}, a I_n \right) \right\}, T_2 = \left\{ \left( \sum_{i} a_j m_{1j}, b I_n + m_{11} \right) \right\},$$

respectively, and Im  $\rho$  fixes  $\langle (0, I_n) \rangle$ . Since the restrictions of  $\beta$  to these fixed point sets are isomorphisms, we have first a (unique)  $B \in SL(m, \mathbb{Z}_2)$  such that

(1) 
$$\beta(m_{1j}, 0) = (m_{1j}B^{-1}, a(j)I_n)$$

and second that

(2) 
$$\beta(0, m_{11}) = \left(\sum_{j} b_{j} m_{1j}, m_{11} + b I_{n}\right).$$

Follow  $\beta$  by  $(X, Y) \rightarrow (XB, Y)$  so as to assume  $B = I_m$  in equation (1). Then  $\beta(e_{k1} m_{1j}, 0) = \rho(e_{k1})\beta(m_{1j}, 0)$  implies

$$\beta(m_{kj}, 0) = (m_{kj}, 0), \quad k \neq 1$$

and the action of  $e_{1k}$  applied to the equation above yields  $\beta(X, 0) = (X, 0)$ . Next, apply  $e_{k1}$  to equation (2) to obtain

$$\beta(0, m_{k1}) = \left(\sum_{j} b_{j} m_{kj}, m_{k1}\right), \quad k \neq 1,$$

and then apply  $e_{1k}$  to (2) to obtain  $\beta(0, m_{1k}) = (0, m_{1k}), k \neq 1$ . But  $m_{k1} = m_{1k}^t$  so these matrices are conjugate, which implies  $b_i = 0$ . Now follow  $\beta$  by

$$(X, Y) \rightarrow (X, Y + b \operatorname{Tr} (Y)I_n)$$

so as to obtain b=0 in equation (2). Since  $m_{11}$  and  $m_{ii}$  are conjugate,  $\beta(0, m_{11}) = (0, m_{11})$  implies  $\beta(0, m_{ii}) = (0, m_{ii})$ .

The argument that establishes part (2) is similar; the fixed point set of  $S_1$ ,  $S_2$  are the images of  $T_1$ ,  $T_2$  in  $M(n, m) \oplus PM(n)$ .

#### 4. The case n=2

In this final section, we derive Theorem B, Proposition 2.3, and then Theorem A for the groups  $PGL(2, \mathbb{Z})$ ,  $GL(2, \mathbb{Z})$ , and  $SL(2, \mathbb{Z})$ . It will be convenient to relate these groups to the free product structure of  $PSL(2, \mathbb{Z})$ . We will view  $SL(2, \mathbb{Z})$  as a split extension of the free product  $L = \mathbb{Z}_3 * \mathbb{Z}_3$  by  $\mathbb{Z}_4$ , and  $GL(2, \mathbb{Z})$  as a split extension of L by the dihedral group of order 8. Since the index of L is in each case a power of 2 whereas L is generated by elements of order 3, it follows that L is characteristic. We first summarize the properties of free products (with amalgamation) that will be used below; proofs may be found in Magnus, Karrass and Solitar [8, Chapter 4, Section 2], for example. We write  $H *_A K$  for the free product of H and K with amalgamated subgroup A; we assume given and fixed monomorphisms  $A \to H$ ,  $A \to K$  and identify A as the intersection  $H \cap K$  in  $H *_A K$ . The groups H and K are termed the factors of the free product  $H *_A K$ . A right transversal of A in H denotes a subset  $\mathscr{H} \subset H$  such that  $1 \in \mathscr{H}$  and H is the disjoint union  $\bigcup_{h \in \mathscr{H}} Ah$ .

THEOREM 4.1. (1) Let  $\mathcal{H}$ ,  $\mathcal{K}$  be right transversals of A in H, K respectively. Then each element of  $H *_A K$  has a unique expression in the form  $as_1 s_2 \cdots s_m$  where  $a \in A$ ,  $s_i \in \mathcal{H} \cup \mathcal{K}$ , and  $s_i$ ,  $s_{i+1}$  lie in different factors.

(2) Any element of finite order in  $H *_A K$  is conjugate to an element of finite order in one of the factors.

(3) 
$$\mathscr{C}(H *_A K) = A \cap \mathscr{C}(H) \cap \mathscr{C}(K)$$
.

Let D be the dihedral group of order 8;  $D \simeq \mathbb{Z}_4 \times_* \mathscr{A}(\mathbb{Z}_4)$ . Fix the presentation

$$D = \langle a, b | a^4 = b^2 = (ba)^2 = 1 \rangle.$$

Note that every nontrivial normal subgroup of D contains  $\mathscr{C}(D) = \langle a^2 \rangle$ , and that the only elements of order 4 in D are  $a^{\pm 1}$ . Consequently  $\mathbb{Z}_4$  is character-

istic in  $\mathbb{Z}_4 \times_* \mathscr{A}(\mathbb{Z}_4) \simeq \mathbb{Z}_4 \times_* \mathbb{Z}_2$ , so 1.4(1) and (2) yield

$$\mathscr{A}(D) \simeq \mathbb{Z}_4 \times_* \mathscr{A}(\mathbb{Z}_4) = \langle \sigma, \tau | \sigma^4 = \tau^2 = (\sigma \tau)^2 = 1 \rangle$$

where  $\sigma: b \to ab$ ,  $a \to a$  and  $\tau: b \to b$ ,  $a \to a^{-1}$ . Furthermore  $I(a) = \sigma^2$ ,  $I(b) = \tau$ . Let  $L = \mathbb{Z}_3 * \mathbb{Z}_3$  have the presentation  $L = \langle c_1, c_2 | c_1^3 = c_2^3 = 1 \rangle$ .

Proposition 4.2. (1)  $\mathscr{A}(L) \simeq L \times_{\rho} D$ , where  $\rho: D \to \mathscr{A}(L)$  is defined by

$$\rho_a: c_1 \to c_2^{-1}, c_2 \to c_1; \qquad \rho_b: c_1 \to c_2, c_2 \to c_1.$$

- (2)  $\mathscr{A}(L)$  is complete.
- (3) If  $G = K \times_{\mu} \mathscr{A}(L)$  and K is finite, then K is characteristic in G.
- Proof. (1) By direct computation,  $\rho_{a^2} \neq 1$  so  $\rho: D \to \mathscr{A}(L)$  is an injective homomorphism. Moreover  $\rho(D) \cap L = 1$  (where we have identified L with I(L)), since L has no 2-torsion (4.1(2), (3)). We claim  $\mathscr{A}(L) = \langle L, \rho(D) \rangle$ , and this is part (1). Let  $\gamma \in \mathscr{A}(L)$ ;  $\gamma$  is determined by  $\gamma(c_1)$  and  $\gamma(c_2)$ . By 4.1(2), the conjugacy classes of elements of order 3 in  $\mathscr{A}(L)$  are represented by  $\{c_1, c_1^{-1}, c_2, c_2^{-1}\}$ . Since  $\rho_a$  acts transitively on this set, we may follow  $\gamma$  by a suitable element of  $\langle L, \rho_a \rangle$  to obtain  $\gamma(c_2) = c_2$ . Now  $\gamma(c_1)$  is L-conjugate to  $c_1$  or to  $c_1^{-1}$ . Since  $\gamma(c_2) = c_2$  and  $\gamma(c_1)$  generate L, 4.1(1) implies that  $\gamma(c_1) = c_2^{-e} c_1^{\pm 1} c_2^e$  whence  $\gamma \in \langle I(c_2), \rho_{ba} \rangle$ .
- (2) We have  $\mathscr{C}(L) = 1$  (4.1(3)), and L is generated by all elements of order 3 in  $\mathscr{A}(L)$ . Hence L is characteristic in  $\mathscr{A}(L)$ ; and so  $\mathscr{A}(L)$  is complete by Burnside's criterion.
- (3) As in the proof of 2.3, we must show that  $\mathscr{A}(L)$  has no nontrivial finite normal subgroups, which is equivalent to showing that the centralizer of any normal subgroup of finite index in  $\mathscr{A}(L)$  is trivial. By 4.1(2), L has this property. Now suppose  $H \lhd \mathscr{A}(L)$  and  $|\mathscr{A}(L)/H|$  is finite. If  $\alpha \in \mathscr{C}_{\mathscr{A}(L)}(H)$ , then for all  $x \in L$ ,  $y \in H \cap L$ ,

$$x^{-1}yx = \alpha(x^{-1}yx) = \alpha(x)^{-1}y\alpha(x).$$

Consequently  $\alpha(x)x^{-1} \in \mathscr{C}_L(H \cap L) = 1$ , or  $\alpha = 1$ .

Fix the presentation  $\mathbb{Z}_4 = \langle a | a^4 = 1 \rangle$  together with the monomorphism  $\mathbb{Z}_4 \to D$  defined by  $a \to a$ .

**PROPOSITION 4.3.** There is a commutative diagram

$$1 \longrightarrow L \longrightarrow SL(2, \mathbf{Z}) \longrightarrow \mathbf{Z}_4 \longrightarrow 1$$

$$\downarrow 1 \qquad \qquad \downarrow 1 \qquad \qquad \downarrow$$

$$1 \longrightarrow L \longrightarrow GL(2, \mathbf{Z}) \longrightarrow D \longrightarrow 1$$

where the middle vertical arrow is inclusion, and both rows are split exact (that is, the middle group is a semidirect product of the end groups). The action  $\mu: D \to \mathcal{A}(L)$  is given by  $\mu_a = \rho_b$ ,  $\mu_b = \rho_{a^2}$ . Moreover, L is a characteristic subgroup of  $SL(2, \mathbb{Z})$  and of  $GL(2, \mathbb{Z})$ , and  $SL(2, \mathbb{Z})$  is a characteristic subgroup of  $GL(2, \mathbb{Z})$ .

*Proof.* The group  $SL(2, \mathbb{Z})$  has the well-known description as  $\mathbb{Z}_4 *_{\mathbb{Z}_2} \mathbb{Z}_6$  (see [11, p. 139] or [8, p. 47]); thus

$$SL(2, \mathbf{Z}) \simeq \langle x, y | x^2 = y^3, x^4 = 1 \rangle$$

where

$$x \to \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad y \to \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$$

defines the requisite isomorphism. By 4.1(1), each element of  $SL(2, \mathbb{Z})$  may be written uniquely in the form

$$as_1s_2\cdots s_m$$

where  $a \in \{1, x^2\}$ ,  $s_i \in \{x\} \cup \{y, y^{-1}\}$ , and  $s_i$ ,  $s_{i+1}$  lie in different factors  $\langle x \rangle$ ,  $\langle y \rangle$ . Define the homomorphism  $L \to SL(2, \mathbb{Z})$  by

$$c_1 \to y^2 = x^2 y^{-1}, \qquad c_2 \to x y^2 x^{-1} = x y^{-1} x.$$

The uniqueness of the normal forms 4.1(1) implies that  $L \to SL(2, \mathbb{Z})$  is a monomorphism. Since  $I(y) = I(y^{-2})$  and  $I(x^2) = 1$  in  $\mathscr{A}(SL(2, \mathbb{Z}))$ , a computation shows that  $L \lhd SL(2, \mathbb{Z})$ . By 4.1(2), L is the group generated by all elements of order 3 in  $SL(2, \mathbb{Z})$ ; and so is a characteristic subgroup. The map  $SL(2, \mathbb{Z}) \to \mathbb{Z}_4$  defined by  $x \to a$ ,  $y \to a^2$  is an epimorphism whose kernel contains L and whose restriction to  $\langle x \rangle$  is an isomorphism. Since  $SL(2, \mathbb{Z}) = \langle L, x \rangle$  and  $L \cap \langle x \rangle = 1$ , the first row is split exact.

To obtain the exactness of the second row, note that the sequence

$$1 \rightarrow SL(2, \mathbb{Z}) \rightarrow GL(2, \mathbb{Z}) \rightarrow \mathbb{Z}_2 = \langle b | b^2 = 1 \rangle \rightarrow 1$$

is split exact, where we select the splitting map  $\mathbb{Z}_2 \to GL(2, \mathbb{Z})$  to be

$$b \to \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Then the matrices

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \qquad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

generate a subgroup of  $GL(2, \mathbb{Z})$  isomorphic to D, and the argument now proceeds as above.

Finally, since L is characteristic in  $GL(2, \mathbb{Z})$  and D contains a unique subgroup isomorphic to  $\mathbb{Z}_4$ , it follows that  $SL(2, \mathbb{Z})$  is characteristic in  $GL(2, \mathbb{Z})$ .

COROLLARY 4.4. (1)  $PSL(2, \mathbb{Z}) \simeq L \times_{\rho} \langle b \rangle, PGL(2, \mathbb{Z}) \simeq L \times_{\rho} \langle a^2, b \rangle$ .

- (2)  $\mathscr{A}(PSL(2, \mathbb{Z})) \simeq PGL(2, \mathbb{Z}).$
- (3)  $\mathscr{A}(PGL(2, \mathbb{Z})) \simeq L \times_{\rho} D \simeq \mathscr{A}(L)$  and is complete.

*Proof.* By 4.3,  $PSL(2, \mathbb{Z}) \simeq L \times_{\mu} (\mathbb{Z}_4/\text{Ker }\mu)$  and  $PGL(2, \mathbb{Z}) \simeq L \times_{\mu} (D/\text{Ker }\mu)$ . These correspond to the descriptions given in (1).

L is characteristic in both  $PSL(2, \mathbb{Z})$  and  $PGL(2, \mathbb{Z})$ ,  $\mathscr{C}(L) = 1$ , and Ker  $\rho = 1$ . Therefore 1.3(3) implies that

$$\mathscr{A}(PSL(2, \mathbb{Z}))/I(PSL(2, \mathbb{Z})) \simeq \mathscr{N}_{D}(\langle b \rangle) = \langle a^{2}, b \rangle,$$

and 
$$\mathscr{A}(PGL(2, \mathbb{Z})) = \mathscr{A}(L)$$
.

This completes the proof of Theorem B and establishes 2.3 for the case n = 2.

THEOREM 4.5. (1) 
$$\mathscr{A}(SL(2, \mathbf{Z})) \simeq \mathbf{Z}_2 \oplus PGL(2, \mathbf{Z}).$$
 (2)  $\mathscr{A}(GL(2, \mathbf{Z})) \simeq \mathbf{Z}_2 \oplus \mathbf{Z}_2 \oplus PGL(2, \mathbf{Z}).$ 

*Proof.* We have  $SL(2, \mathbb{Z}) \simeq L \times_{\mu} \mathbb{Z}_4$ ; and so 1.3(2) implies that  $\mathscr{A}(SL(2, \mathbb{Z}))$  is isomorphic to the subgroup of  $\mathscr{A}(L) \oplus \mathscr{A}(\mathbb{Z}_4)$  given by

$$\{(\alpha, \beta) \mid \alpha \mu_a \alpha^{-1} \mu_{\beta a}^{-1} \in I(L)\}.$$

Since  $\beta(a) = a^{\pm 1}$  and  $a^2 \in \text{Ker } \mu$ ,  $\mu_{\beta a} = \mu_a$ . Hence

$$\mathscr{A}(SL(2, \mathbf{Z})) \simeq \{(\alpha, \beta) | [\alpha, \rho_b] \in I(L)\} \simeq L \times_{\rho} \mathscr{C}_D(b) \oplus \mathscr{A}(\mathbf{Z}_4)$$
$$\simeq L \times_{\rho} \langle a^2, b \rangle \oplus \mathbf{Z}_2 \simeq PGL(2, \mathbf{Z}) \oplus \mathbf{Z}_2.$$

Again by 4.3, we have  $GL(2, \mathbb{Z}) \simeq L \times_{\mu} D$ , and now 1.3(2) yields

 $\mathcal{A}(GL(2, \mathbf{Z}))$ 

$$\simeq \{(\alpha, \gamma) \in \mathscr{A}(L) \oplus \mathscr{A}(D) \mid \alpha \mu_a \alpha^{-1} \mu_{\gamma a}^{-1} \in I(L) \text{ and } \alpha \mu_b \alpha^{-1} \mu_{\gamma b}^{-1} \in I(L)\}.$$

As above,  $\gamma a = a^{\pm 1}$  so the first condition on  $(\alpha, \gamma)$  reads  $\alpha \in PGL(2, \mathbb{Z})$ . Since  $\mu_b = \rho_{a^2}$  and  $a^2 \in \mathscr{C}(D)$ , the second condition is that  $b^{-1}\gamma(b) \in \text{Ker } \mu$ . Thus

$$\mathscr{A}(GL(2, \mathbf{Z})) \simeq PGL(2, \mathbf{Z}) \oplus \{ \gamma \in \mathscr{A}(D) | b^{-1}\gamma(b) \in \langle a^2 \rangle \}$$

$$= PGL(2, \mathbf{Z}) \oplus I(D) \simeq PGL(2, \mathbf{Z}) \oplus \mathbf{Z}_2 \oplus \mathbf{Z}_2.$$

Recall that  $M = M(2, 2) \times_{\lambda} SL(2, \mathbb{Z}_2)$ , where M(2, 2) is the additive group of 2 × 2 matrices over  $\mathbb{Z}_2$  viewed as a left  $SL(2, \mathbb{Z}_2)$ -module by  $\lambda_A: X \to AX$ .

Theorem 4.6. (1)  $\mathscr{A}^2(GL(2, \mathbb{Z})) \simeq M \times_{\sigma} \mathscr{A}(PGL(2, \mathbb{Z})).$ 

- (2)  $\mathscr{A}^3(GL(2, \mathbf{Z})) \simeq (M \times_{\tau} \mathbf{Z}_2) \oplus \mathscr{A}(PGL(2, \mathbf{Z})).$
- (3)  $\mathscr{A}^4(GL(2, \mathbf{Z})) \simeq ((M \times_{\tau} \mathbf{Z}_2) \times_{\phi} \mathbf{Z}_2) \oplus \mathscr{A}(PGL(2, \mathbf{Z}))$  and is a complete group.

*Proof.* Put  $Y = L \times_{\rho} D \simeq \mathscr{A}(L)$ , and recall that  $PGL(2, \mathbb{Z}) \simeq L \times_{\rho} \langle a^2, b \rangle$  and  $\mathscr{A}(PGL(2, \mathbb{Z})) \simeq Y$ .

We have 
$$\mathscr{A}(GL(2, \mathbb{Z})) \simeq (\mathbb{Z}_2 \oplus \mathbb{Z}_2) \oplus L \times_{\rho} \langle a^2, b \rangle$$
. By 1.6(1),

$$\mathscr{A}^{2}(GL(2, \mathbb{Z})) \simeq \operatorname{Hom} (L \times_{\rho} \langle a^{2}, b \rangle, \mathbb{Z}_{2} \oplus \mathbb{Z}_{2}) \times_{\sigma} (\mathscr{A}(\mathbb{Z}_{2} \oplus \mathbb{Z}_{2}) \oplus Y)$$

where  $\sigma_{(\alpha, \nu)}(\delta) = \alpha \cdot \delta \cdot I(y^{-1})$ . Since  $(L \times_{\alpha} \langle a^2, b \rangle)' = L$ ,

Hom 
$$(L \times_{\rho} \langle a^2, b \rangle, \mathbb{Z}_2 \oplus \mathbb{Z}_2) \simeq \text{Hom } (\langle a^2, b \rangle, M(2, 1)) \simeq M(2, 2)$$

where the second isomorphism assigns the matrix whose first column is obtained by evaluation at  $a^2$  and second column by evaluation at b. Then

$$\mathscr{A}(\mathbf{Z}_2 \oplus \mathbf{Z}_2) \simeq SL(2, \mathbf{Z}_2)$$

and we choose this isomorphism so that  $SL(2, \mathbb{Z}_2)$  acts on M(2, 2) by  $X \to AX$ . For  $y \in Y$ , the action is that induced by  $I(y^{-1})$  on  $\langle a^2, b \rangle$ . Consequently,  $L \times_{\rho} \langle a^2, b \rangle$  acts trivially and  $I(a^{-1})$  induces the map  $a^2 \to a^2, b \to a^2b$  which corresponds to right matrix multiplication by  $e_{12} \in SL(2, \mathbb{Z}_2)$ . Therefore

$$\mathscr{A}^{2}(GL(2, \mathbb{Z})) \simeq M(2, 2) \times_{\sigma} \{SL(2, \mathbb{Z}_{2}) \oplus Y\} \simeq M \times_{\sigma} Y \simeq M \times_{\sigma} (L \times_{\rho} D)$$

where  $L \times_{\rho} \langle a^2, b \rangle = \text{Ker } \sigma \text{ and } \sigma_a(X, A) = (Xe_{12}, A)$ .

Since M is finite, it is characteristic in  $\mathscr{A}^2(GL(2, \mathbb{Z}))$  by 4.2(3). Moreover  $\mathscr{C}(M) = 1$  so 1.3(2) and 4.2(2) yield

$$\mathscr{A}^{3}(GL(2, \mathbb{Z})) \simeq \{\alpha, y\} \in \mathscr{A}(M) \oplus Y \mid \alpha \sigma_{x} \alpha^{-1} \sigma_{yxy^{-1}}^{-1} \in I(M) \text{ for all } x \in Y\}.$$

Since Im  $\sigma \simeq \mathbb{Z}_2$ ,  $\sigma_{\nu x \nu^{-1}} = \sigma_x$ ; and so

$$\mathscr{A}^3(GL(2, \mathbb{Z})) \simeq \{\alpha \in \mathscr{A}(M) | [\alpha, \sigma_a] \in I(M)\} \oplus Y.$$

By Proposition 2.8,  $\mathcal{A}(M)/I(M) \simeq SL(2, \mathbb{Z}_2)$ , and the centralizer of  $\sigma_a \cdot I(M)$  in  $\mathcal{A}(M)/I(M)$  is  $\langle \sigma_a \rangle \cdot I(M)$ . Thus we have part (2), where

$$M \times_{\tau} Z_2 \simeq M(2, 2) \times_{\mu} \{SL(2, \mathbb{Z}_2) \oplus \langle e_{12} \rangle \}$$

and  $\mu_{(A,B)}(X) = AXB^{-1}$ . This group is characteristic in  $\mathcal{A}^3(GL(2, \mathbb{Z}))$ . Iterating 1.3(2) and 4.2(3) yields

$$\mathscr{A}^{3+k}(GL(2, \mathbb{Z})) \simeq \mathscr{A}^{k}(M \times_{\tau} \mathbb{Z}_{2}) \oplus Y.$$

It remains to show that  $\mathscr{A}(M \times_{\tau} \mathbf{Z}_2) \simeq (M \times_{\tau} \mathbf{Z}_2) \times_{\rho} \mathbf{Z}_2$  and that this group is complete.

Since  $M(2, 2) = (M \times_{\tau} \mathbb{Z}_2)^n$  we may apply 1.5(1) to obtain

$$\mathscr{A}(M \times_{\tau} \mathbb{Z}_2) \simeq \text{Der } (\text{Im } \mu, M(2, 2)) \times_{\varrho} \mathscr{N}_{\mathscr{A}(M(2, 2))}(\text{Im } \mu).$$

The argument of 2.7(1) shows that every derivation is inner; and so

$$\mathscr{A}(M \times_{\tau} \mathbb{Z}_2)/I(M(2,2)) \simeq \mathscr{N}_{\mathscr{A}(M(2,2))}(\operatorname{Im} \mu).$$

Note that Im  $\mu$  is a dihedral group of order 12, for Im  $\mu \simeq SL(2, \mathbb{Z}_2) \oplus \langle e_{12} \rangle$  in which

$$z = \left( \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, e_{12} \right)$$

has order 6 and is inverted by  $w = (e_{12}, 1)$  which has order 2. Take the basis  $\{m_{11}, m_{21}, m_{12}, m_{22}\}$  for M(2, 2) over  $\mathbb{Z}_2$ , and identity  $\mathscr{A}(M(2, 2))$  with

 $SL(4, \mathbb{Z}_2)$  acting from the left on  $M(4, 1) \simeq M(2, 2)$ . A computation shows that the matrices corresponding to z, w are

$$Z = \begin{pmatrix} C & C \\ 0 & C \end{pmatrix}$$
 and  $W = \begin{pmatrix} e_{21} & 0 \\ 0 & e_{21} \end{pmatrix}$  where  $C = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ .

We assert that

$$\mathcal{N}_{SL(4,\mathbb{Z}_2)}(\langle Z,W\rangle) = \langle Z,W\rangle \cdot \langle T\rangle$$
 where  $T = \begin{pmatrix} I & C \\ 0 & I \end{pmatrix}$ .

Since the center of  $\langle Z, W \rangle$  is generated by

$$Z^3 = \begin{pmatrix} I & I \\ 0 & I \end{pmatrix}$$

and has order 2, any matrix X which normalizes  $\langle Z, W \rangle$  commutes with  $\mathbb{Z}^3$  and therefore has block upper triangular form. Since  $\langle C, e_{21} \rangle = SL(2, \mathbb{Z}_2)$  we may multiply X from the left by an element of  $\langle Z, W \rangle$  to obtain

(1) 
$$X = \begin{pmatrix} I & Y \\ 0 & I \end{pmatrix} \text{ where } Y \in M(2, 2).$$

Note that the matrices in  $\langle Z, W \rangle$  of this form are  $Z^3$  and  $I_4$ , and that  $X^2 = I_4$ . Since  $\langle Z^2 \rangle = \langle Z, W \rangle'$ ,  $XZ^2X = Z^{\pm 2}$ , which implies that  $YC = C^{\pm 1}Y$ . Moreover,  $[X, Z] \in \langle Z, W \rangle$  implies that

$$CYC^{-1} + Y = 0_2 \text{ or } I_2.$$

Since  $CYC^{-1} + Y = (C^{1\pm 1} + I)Y$ , it follows that  $CYC^{-1} + Y = 0$  so Y = aI + bC. Therefore X or  $Z^3X$  is the matrix T. But  $TZT^{-1} = Z$ ,  $TWT^{-1} = Z^3W$ , which shows that  $\langle Z, W \rangle \cdot \langle T \rangle$  is the normalizer of  $\langle Z, W \rangle$ . Therefore

$$\mathcal{A}(M \times_{\tau} \mathbf{Z}_{2}) \simeq M(4, 1) \times_{\lambda} \langle Z, W, T \rangle$$

$$\simeq (M(2, 2) \times_{\mu} \langle z, w \rangle) \times_{\phi} \mathbf{Z}_{2}$$

$$\simeq (M \times_{\tau} \mathbf{Z}_{2}) \times_{\phi} \mathbf{Z}_{2}.$$

A computation establishes that  $M(2, 2) \simeq \mathcal{A}(M \times_{\tau} \mathbb{Z}_2)''$ , so 1.5(1) applied as above yields

$$\mathscr{A}^2(M \times_{\tau} \mathbb{Z}_2) \simeq M(4, 1) \times_{\lambda} \mathscr{N}_{SL(4, \mathbb{Z}_2)}(\langle Z, W, T \rangle).$$

We obtain part (3) once we show that  $\langle Z, W, T \rangle$  is a self-normalizing subgroup of  $SL(4, \mathbb{Z}_2)$ . To this end, since  $\langle Z, W, T \rangle' = \langle Z \rangle$ , any matrix X which normalizes  $\langle Z, W, T \rangle$  may be selected modulo  $\langle Z, W \rangle$  to be block upper triangular of form (1) and to centralize Z. But then the upper right hand  $2 \times 2$  corner of X commutes with X. Thus  $X = Z^{3a}T^{b}$ , as required.

We now turn to the determination of the automorphism sequence of  $SL(2, \mathbb{Z})$ . We shall first show that  $\mathcal{A}(PGL(2, \mathbb{Z}))$  is a direct summand of  $\mathcal{A}^4(SL(2, \mathbb{Z}))$ .

Proposition 4.7. (1)  $\mathscr{A}^2(SL(2, \mathbb{Z})) \simeq (\mathbb{Z}_2 \oplus \mathbb{Z}_2) \times_{\sigma} \mathscr{A}(PGL(2, \mathbb{Z})).$ 

(2)  $\mathscr{A}^3(SL(2, \mathbb{Z})) \simeq (\mathbb{Z}_2 \oplus D) \times_{\mu} \mathscr{A}(PGL(2, \mathbb{Z})).$ 

(3) 
$$\mathscr{A}^4(SL(2, \mathbb{Z})) \simeq (M(8, 1) \times_{\lambda} \langle Z \rangle) \oplus \mathscr{A}(PGL(2, \mathbb{Z}))$$
, where

$$Z \in SL(8, \mathbb{Z}_2)$$

is the block lower triangular matrix

$$\begin{pmatrix} I_4 & 0 \\ I_4 & I_4 \end{pmatrix}.$$

Moreover, the centers of these three groups are elementary abelian groups whose orders are  $2, 2^2$ , and  $2^4$ , respectively.

*Proof.* In 4.5(1), we obtained  $\mathscr{A}(SL(2, \mathbb{Z})) \simeq \mathbb{Z}_2 \oplus PGL(2, \mathbb{Z})$ . Thus by 1.6(1) and 4.4,

$$\mathscr{A}^2(SL(2, \mathbb{Z})) \simeq M(1, 2) \times_{\sigma} Y$$

where  $Y = L \times_{\alpha} D \simeq \mathcal{A}(PGL(2, \mathbb{Z}))$ , and  $\sigma: Y \to \mathcal{A}(M(1, 2))$  is defined by

$$L \times_{\rho} \langle a^2, b \rangle = \text{Ker } \sigma, \quad \sigma_a(X) = Xe_{12}.$$

Next, by 4.2(3) and 1.4(4),

$$\mathscr{A}^{3}(SL(2, \mathbf{Z})) \simeq \{ \mathrm{Der}_{\sigma} (Y, M(1, 2)) \times_{\mu_{1}} \mathscr{A}_{\sigma}(M(1, 2)) \} \times_{\mu_{2}} Y$$

where  $\mu_{1,\alpha}(\delta) = \alpha \delta$  and  $\mu_{2,y}(\delta, \alpha) = (\delta \circ I(y^{-1}), \alpha)$ . First,

$$\mathscr{A}_{\sigma}(M(1, 2)) \simeq \mathscr{C}_{SL(2, \mathbb{Z}_2)}(\operatorname{Im} \sigma) = \operatorname{Im} \sigma.$$

Next, let  $\delta: Y \to M(1, 2)$  be a  $\sigma$ -derivation. Since  $L < \text{Ker } \sigma$  and the restriction of  $\delta$  to Ker  $\sigma$  is a homomorphism,  $\delta(L) = 0$  and  $\delta$  is determined by  $\delta(a)$ ,  $\delta(b) \in M(1, 2)$ . These must satisfy  $\delta(a^4) = \delta(b^2) = \delta(abab) = 0$ , which reduce to  $(\delta(a) + \delta(b))m_{12} = 0$ . Therefore the first entries of  $\delta(a)$ ,  $\delta(b)$  coincide and we may write  $\delta(a) = (e, f)$ ,  $\delta(b) = (e, g)$ . Define the map

$$\operatorname{Der}_{\sigma}(Y, M(1, 2)) \times_{\mu_{1}} \langle e_{12} \rangle \to \mathbb{Z}_{2} \oplus D$$

by  $(\delta, e_{12}^h) \to (c^{f+g}, a^{2g+e}b^h)$  (where  $\delta$  is given above). By direct computation, this map is an isomorphism, and the map  $\mu_2$  corresponds to  $\mu_2$ :  $Y \to \mathscr{A}(\mathbb{Z}_2 \oplus D)$  given by

$$L < \text{Ker } \mu_2, \, \mu_{2,a}(c^r, \, a^s b^t) = (c^{r+s}, \, a^{-s} b^t) \quad \text{and} \quad \mu_{2,b}(c^r, \, a^s b^t) = (c^{r+s}, \, a^s b^t).$$

Adjust the presentation of  $\mathscr{A}^3(SL(2, \mathbb{Z}))$ , replacing ((1, 1), a) by ((1, b), a), to obtain

$$\mathscr{A}^{3}(SL(2, \mathbb{Z})) \simeq (\mathbb{Z}_{2} \oplus D) \times_{\mu} Y$$

where  $\mu|_L = 1$  and  $\mu_a = \mu_b$ :  $(c^r, a^s b^t) \rightarrow (c^{r+s}, a^s b^t)$ .

Since  $\mathbb{Z}_2 \oplus D$  is characteristic in  $\mathscr{A}^3(SL(2, \mathbb{Z}))$ , we apply 1.3(5) to obtain  $\mathscr{A}^4(SL(2, \mathbb{Z})) \simeq N \times_{\alpha} Y$ ,

where

 $N = \{\alpha, \delta\} \in \mathscr{A}(\mathbb{Z}_2 \oplus D) \times \operatorname{Der}_{\mu}(Y, \mathbb{Z}_2 \oplus D) | [\alpha, \mu_x] = I(\delta(x)) \text{ for all } x \in Y\}$  and  $\rho_y(\alpha, \delta) = (\mu_y \alpha \mu_y^{-1}, \mu_y \delta I(y^{-1}))$ . Since  $L < \operatorname{Ker} \mu$ ,  $L < \operatorname{Ker} \delta$ ; and so any derivation is determined by  $\delta(a)$ ,  $\delta(b) \in \mathbb{Z}_2 \oplus D$  which must satisfy

$$1 = (\delta(a) \# \mu_a \delta(a))^2 = \delta(b) \# \mu_b \delta(b) = \delta(ab) \# \delta(ab).$$

These equations are equivalent to  $\delta(a) = (c^{r_1}, a^{s_1}b^{k_1}), \ \delta(b) = (c^{r_2}, a^{2g_2}b^{k_2})$  and (\*)  $(a^{s_1}b^{k_1+k_2})^2 = 1.$ 

Now let  $\alpha \in \mathscr{A}(\mathbb{Z}_2 \oplus D)$ . The derived group of  $\mathbb{Z}_2 \oplus D$  is  $1 \oplus \langle a^2 \rangle$ , and

$$\mathscr{C}(\mathbf{Z}_2 \oplus D) = \langle c \rangle \oplus \langle a^2 \rangle.$$

Hence

$$\alpha(1, a) = (c^{e_1}, a^{1+2f_1}), \quad \alpha(1, b) = (c^{e_2}, a^h b), \quad \alpha(c, 1) = (c, a^{2k}).$$

The equalities  $\alpha \mu_b = I(\delta(b))\mu_b \alpha$  and  $\alpha \mu_a = I(\delta(a))\mu_a \alpha$  evaluated at (1, a) together with (\*) above impose the conditions  $k = k_1 = k_2$ ,  $s_1 \equiv 0 \mod 2$ . Then evaluate on (1, b) to obtain  $h \equiv 0 \mod 2$ . These exhaust the restrictions on  $\alpha, \delta$  so we have now  $(\alpha, \delta) \in N$  if and only if

$$\delta(a) = (c^{r_1}, a^{2g_1}b^k), \quad \delta(b) = (c^{r_2}, a^{2g_2}b^k)$$

$$(**) \qquad \alpha(1, a) = (c^{e_1}, a^{1+2f_1}), \quad \alpha(1, b) = (c^{e_2}, a^{2f_2}b),$$

$$\alpha(c, 1) = (c, a^{2k}),$$

where all exponents are taken mod 2. A computation shows that  $\rho: Y \to \mathcal{A}(N)$  is given by  $\rho|_{L} = 1$  and

$$\rho_a = \rho_b : (\alpha, \delta) \rightarrow (I(1, b^k)) \circ \alpha, \delta).$$

Let  $(A, 1) \in N$  be defined by

$$A: (1, a) \to (c, a), (1, b) \to (1, b), (c, 1) \to (c, 1).$$

Then  $\rho_a(A, 1) = (A, 1)$  and for all  $(\alpha, \delta) \in N$ ,

$$\rho_a(\alpha,\,\delta)=(A,\,1)\cdot(\alpha,\,\delta)\cdot(A,\,1)^{-\,1}.$$

We may therefore adjust the presentation of  $\mathscr{A}^4(SL(2, \mathbb{Z}))$ , replacing  $a, b \in Y$  by ((A, 1), a), ((A, 1), b), to obtain  $\mathscr{A}^4(SL(2, \mathbb{Z})) \simeq N \oplus Y$ . Finally,  $N \simeq M(8, 1) \times_{\lambda} \langle Z \rangle$ ; to see this, to  $(\alpha, \delta)$  given by (\*\*) associate  $(X, \mathbb{Z}^k)$  where  $X^t$ 

$$= (e_1, e_2, r_1 + (k+1)e_2 + f_2, r_2 + (k+1)e_2 + f_2, f_1, f_2, g_1 + kf_2, g_2 + kf_2).$$

This map is a homomorphism (using the product in N given in 1.3(5)), and is clearly injective. That the centers of these automorphism groups are as described above follows by computing  $\mathscr{C}_{M(1,2)}(\operatorname{Im} \sigma)$ ,  $\mathscr{C}_{\mathbf{Z}_2 \oplus D}(\operatorname{Im} \mu)$ , and  $\mathscr{C}(N) = (I_8 + Z)M(8, 1)$ .

COROLLARY 4.8. For all  $k \ge 0$ ,

$$\mathscr{A}^{4+k}(SL(2, \mathbb{Z})) \simeq H_k \oplus \mathscr{A}(PGL(2, \mathbb{Z})),$$

where  $H_0 = M(8, 1) \times_{\lambda} \langle Z \rangle$  and for  $k \geq 1$ ,  $H_{k+1} = \text{Hom } (\mathbb{Z}_2 \oplus \mathbb{Z}_2, \mathscr{C}(H_k)) \times_{*} \mathscr{A}(H_k)$ .

**Proof.** Since  $H_0$  is finite, so are all  $H_k$ . We have  $\mathscr{A}(PGL(2, \mathbb{Z})) \simeq L \times_{\rho} D$ , so  $\mathscr{A}(PGL(2, \mathbb{Z}))/\mathscr{A}(PGL(2, \mathbb{Z}))' \simeq \mathbb{Z}_2 \oplus \mathbb{Z}_2$ . Therefore 4.2(3) together with 1.3(5) yields the result above.

Note that, if  $\mathscr{C}(H_k) = 1$ , then  $H_{k+r} = \mathscr{A}^r(H_k)$  and the finiteness of the automorphism sequence of  $SL(2, \mathbb{Z})$  is a consequence of Wielandt's Theorem. The groups  $H_k$ , and their centers, will be determined explicitly in the following propositions. The notation is that of Section 3.

PROPOSITION 4.9.  $H_1 \simeq (M(4, 3) \oplus M(4)) \times_{\rho} SL(4, \mathbb{Z}_2)$  and  $\mathscr{C}(H_1) \simeq \mathbb{Z}_2$ , with action

$$(X, Y) \rightarrow (AX, AYA^{-1}).$$

*Proof.* We have  $H_0 = M(8, 1) \times_{\lambda} \langle Z \rangle$ , and for any  $X \in M(8, 1)$ ,

$$\mathscr{C}_{H_0}\{(X,Z)\}\subset \langle \mathscr{C}(H_0),Z\rangle, \mathscr{C}_{H_0}\{(X,1)\}\supset M(8,1).$$

Therefore M(8, 1) is characteristic in  $H_0$ ; and so 1.5(1) implies

$$\mathscr{A}(H_0) \simeq \operatorname{Der}_{\lambda}(\langle Z \rangle, M(8, 1)) \times_{\mu} \mathscr{C}_{SL(8, \mathbb{Z}_2)}\{Z\},$$

where  $\mu_{\alpha}(\delta) = \alpha \delta$ . A computation shows that

$$\mathscr{C}_{SL(8,\mathbb{Z}_2)}\{Z\} = \left\{ \begin{pmatrix} A & 0 \\ Y & A \end{pmatrix} \mid A \in SL(4,\mathbb{Z}_2), Y \in M(4) \right\}.$$

Any derivation is determined by  $\delta(Z)$  which is subject to the one restriction  $(I_8 + Z)\delta(Z) = 0$ . Therefore  $\delta(Z) \in (I + Z)M(8, 1) = \mathcal{C}(H_0)$  and is otherwise arbitrary. View  $\mathcal{C}(H_0)$  as M(4, 1) imbedded in M(8, 1) naturally as (I + Z)M(8, 1). Then  $\mu_{\alpha}$  corresponds to left multiplication by A, where

$$\alpha = \begin{pmatrix} A & 0 \\ Y & A \end{pmatrix}.$$

Thus

$$\mathscr{A}(H_0) \simeq \{M(4, 1) \oplus M(4)\} \times_{\rho} SL(4, \mathbb{Z}_2),$$

where  $(\delta, \alpha) \in \mathcal{A}(H_0)$  corresponds to  $((\delta(Z), Y), A)$ .

The action of  $\mathcal{A}(H_0)$  on Hom  $(\mathbf{Z}_2 \oplus \mathbf{Z}_2, \mathscr{C}(H_0)) \simeq \mathscr{C}(H_0) \oplus \mathscr{C}(H_0)$  is given by the restriction of  $\mathcal{A}(H_0)$  to  $\mathscr{C}(H_0) \simeq M(4, 1)$ ; thus the action corresponds to left multiplication by A, whence

$$H_1 \simeq (M(4, 3) \oplus M(4)) \times_{\rho} SL(4, \mathbb{Z}_2).$$

Since  $\mathscr{C}(SL(4, \mathbb{Z}_2)) = 1$ ,  $\mathscr{C}(H_1)$  is the fixed point set of  $SL(4, \mathbb{Z}_2)$  in  $M(4, 3) \oplus M(4)$ . Hence  $\mathscr{C}(H_1) = \langle (0, I_4) \rangle \simeq \mathbb{Z}_2$  (see 3.1(1), (2)).

Proposition 4.10.  $H_2 \simeq M(3, 1) \oplus K$ , where

$$K = (M(4, 3) \oplus PM(4)) \times_{\sigma} (SL(4, \mathbb{Z}_2) \oplus SL(3, \mathbb{Z}_2))$$

and  $\sigma_{(A,B)}(X, Y) = (AXB^{-1}, AYA^{-1})$ . Moreover, K is complete and  $\mathscr{C}(H_2) \simeq M(3, 1)$ .

Proof. By 4.8 and 4.9,

$$H_2 = \text{Hom } (\mathbf{Z}_2 \oplus \mathbf{Z}_2, \mathbf{Z}_2) \times_* \mathscr{A}(H_1)$$
  
 
$$\simeq M(1, 2) \oplus \mathscr{A}(H_1) \simeq M(2, 1) \oplus \mathscr{A}(H_1).$$

Since  $SL(4, \mathbb{Z}_2)$  is simple,  $M(4, 3) \oplus M(4)$  is characteristic in  $H_1$  so that we may apply 1.4(2) to  $\pi: \mathscr{A}(H_1) \to \mathscr{A}(SL(4, \mathbb{Z}_2))$ . It is a classical theorem that

$$\mathscr{A}(SL(4, \mathbb{Z}_2)) = I(SL(4, \mathbb{Z}_2)) \times_{\gamma} \mathbb{Z}_2,$$

where the nontrivial element in Im  $\gamma$  is the inverse-transpose map [3]. Let  $S_1 < SL(4, \mathbb{Z}_2)$  be the subgroup described in 3.4:

$$S_{1} = \left\{ \begin{pmatrix} 1 & * & * & * \\ 0 & & & \\ 0 & & A & \\ 0 & & & \end{pmatrix} \middle| A \in SL(3, \mathbb{Z}_{2}) \right\}.$$

The fixed point set of  $S_1$  in  $M(4, 3) \oplus M(4)$  has order  $2^4$ , while its image under inverse-transpose has fixed point set of order 2. Consequently Im  $\pi = I(SL(4, \mathbb{Z}_2))$  and we may now apply 1.5(2) to obtain  $\mathscr{A}(H_1) \simeq D \times_{\mu} F$  where

$$D = \operatorname{Der}_{\rho} (SL(4, \mathbb{Z}_2), M(4, 3) \oplus M(4)) \simeq M(4, 3) \oplus PM(4),$$

and

$$F = \mathscr{A}_{\rho}(M(4,3) \oplus M(4)) \oplus SL(4,\mathbb{Z}_2) \simeq (SL(3,\mathbb{Z}_2) \oplus \mathbb{Z}_2) \oplus SL(4,\mathbb{Z}_2)$$

(using 3.1(1), (2) and 3.4(1)). Since  $\mu_{(\alpha,A)}(X, Y) = \alpha(AX, AYA^{-1})$ , it follows that  $\mathscr{A}(H_1) \simeq K \oplus \mathbb{Z}_2$ ; and so  $H_2 \simeq M(1, 3) \oplus K$ . Therefore  $\mathscr{C}(H_2) \simeq M(3, 1)$ , and it remains to prove that  $I: K \to \mathscr{A}(K)$  is an epimorphism.

 $M(4, 3) \oplus PM(4)$  is the maximal normal nilpotent subgroup of K, since  $SL(3, \mathbb{Z}_2)$  and  $SL(4, \mathbb{Z}_2)$  are simple. Furthermore, up to an inner automorphism, any  $\alpha \in \mathscr{A}(SL(3, \mathbb{Z}_2) \oplus SL(4, \mathbb{Z}_2))$  is of the form  $\alpha_1 \oplus \alpha_2$  where each  $\alpha_i$ 

is either 1 or inverse-transpose. As above, only  $1 \oplus 1$  extends to an automorphism of K, so that 1.5(2) again applies. We obtain  $\mathscr{A}(K) \simeq D \times_* F$  where now (using 3.1, 3.2 and the additivity of Der),

$$D = \operatorname{Der}_{\sigma} (SL(3, \mathbb{Z}_2) \oplus SL(4, \mathbb{Z}_2), M(4, 3) \oplus PM(4))$$

$$\simeq \operatorname{Der}_{\sigma} (SL(3, \mathbb{Z}_2) \oplus SL(4, \mathbb{Z}_2), M(4, 3))$$

$$\oplus$$
 Der <sub>$\sigma$</sub>  (SL(3,  $\mathbb{Z}_2$ )  $\oplus$  SL(4,  $\mathbb{Z}_2$ ), PM(4))

$$\simeq M(4,3) \oplus \operatorname{Der}_{\kappa} (SL(4,\mathbb{Z}_2), PM(4)) \oplus \operatorname{Hom} (SL(3,\mathbb{Z}_2), PM(4))$$

$$\simeq M(4,3) \oplus PM(4) \oplus 1$$
,

and

$$F = (SL(3, \mathbf{Z}_2) \oplus SL(4, \mathbf{Z}_2)) \oplus \mathscr{A}_{\sigma}(M(4, 3) \oplus PM(4))$$
  
 
$$\simeq SL(3, \mathbf{Z}_2) \oplus SL(4, \mathbf{Z}_2) \oplus 1.$$

Consequently 
$$|\mathscr{A}(K)| = |D| \cdot |F| = |I(K)|$$
 so  $I(K) = \mathscr{A}(K)$ .

PROPOSITION 4.11.  $H_3 \simeq \{M(3,3) \times_{\lambda} SL(3,\mathbb{Z}_3)\} \oplus K$  where K is the group described in 4.10, and  $\mathscr{C}(H_3) = 1$ .

Proof. We have

$$K = (M(4, 3) \oplus PM(4)) \times_{\sigma} (SL(4, \mathbb{Z}_2) \oplus SL(3, \mathbb{Z}_2)),$$

so the map  $\phi: K \to \mathbb{Z}_2$  defined by  $((X, Y), (A, B)) \to \operatorname{Tr} Y$  is a homomorphism whose kernel contains K'. We claim  $K' = \operatorname{Ker} \phi$ . Since  $SL(4, \mathbb{Z}_2) \oplus SL(3, \mathbb{Z}_2)$  is perfect and

$$\{(A-I)X \mid X \in M(4,3), A \in SL(4, \mathbb{Z}_2)\} = M(4,3),$$

we need only show that  $m_{ij}^*$  and  $m_{ii}^* + m_{jj}^*$   $(i \neq j)$  belong to K'; this follows from the equations

$$m_{ij}^* = m_{kj}^* + e_{ik} m_{kj}^* e_{ik}$$
 (i, j, k distinct),  
 $m_{ii}^* + m_{ij}^* = m_{ij}^* + e_{ji} m_{ij}^* e_{ji} + m_{ji}^*$ .

By 4.8, we have

$$H_3 = \text{Hom } (\mathbb{Z}_2 \oplus \mathbb{Z}_2, \mathscr{C}(H_2)) \times_* \mathscr{A}(H_2) \simeq M(3, 2) \times_* \mathscr{A}(H_2).$$

Since  $H_2 \simeq M(3, 1) \oplus K$ , we apply 1.6(2) to obtain

$$\mathscr{A}(H_2) \simeq \operatorname{Hom}(K, M(3, 1)) \times_* \mathscr{A}(M(3, 1)) \oplus K.$$

But  $K/K' \simeq \mathbb{Z}_2$ , so  $\mathscr{A}(H_2) \simeq M(3, 1) \times_{\lambda} SL(3, \mathbb{Z}_2) \oplus K$ . Note that  $\mathscr{A}(H_2) \to \mathscr{A}(\mathscr{C}(H_2))$  is given by

$$M(3, 1) \times_{\lambda} SL(3, \mathbb{Z}_2) \oplus K \rightarrow (0) \times_{*} SL(3, \mathbb{Z}_2) \oplus 1 \simeq SL(3, \mathbb{Z}_2).$$

Consequently  $H_3 \simeq M(3, 3) \times_{\lambda} SL(3, \mathbb{Z}_2) \oplus K$ , and  $\mathscr{C}(H_3) = 1$  as required.

PROPOSITION 4.12.  $H_4 \simeq \{M(4, 3) \times_{\tau} (SL(3, \mathbf{Z}_2) \oplus SL(3, \mathbf{Z}_2))\} \oplus K$ , and  $H_4$  is complete.

*Proof.* We have  $H_3 \simeq \{M(3, 3) \times_{\lambda} SL(3, \mathbb{Z}_2)\} \oplus K$ , and  $H_4 = \mathscr{A}(H_3)$ . Now  $V = M(3, 3) \oplus M(4, 3) \oplus PM(4)$ 

is a normal subgroup of  $H_3$  with  $H_3/V \simeq SL(3, \mathbb{Z}_2) \oplus SL(3, \mathbb{Z}_2) \oplus SL(4, \mathbb{Z}_2)$ . This extension splits, with action

$$\mu_{(B_1,B_2,A)}(X, Y, Z) = (B_1 X, AYB_2^{-1}, AZA^{-1}).$$

Now V is the maximal normal nilpotent subgroup of  $H_3$ , hence characteristic, while  $SL(4, \mathbb{Z}_2)$  is characteristic in H/V (if not, there is a nontrivial homomorphism  $SL(4, \mathbb{Z}_2) \to SL(3, \mathbb{Z}_2)$ . See also [14, Lemma 1.4]). Thus

$$V \times_{\mu} (1 \oplus 1 \oplus SL(4, \mathbb{Z}_2))$$

is characteristic in  $H_3$ , as is its center M(3, 3). But  $\mathscr{C}_{H_3}(M(3, 3)) \simeq M(3, 3) \oplus K$ , which has derived group K'. Finally  $\mathscr{C}_{H_3}(K') = M(3, 3) \times_{\lambda} SL(3, \mathbb{Z}_2)$  and is characteristic in  $H_3$ , as is its centralizer K. Consequently

$$H_4 = \mathscr{A}(H_3) \simeq \mathscr{A}(M(3,3) \times_{\lambda} SL(3, \mathbb{Z}_2)) \oplus K.$$

As in 4.10, using 1.4(2) and 1.5(2),

$$\mathscr{A}(M(3,3)\times_{\lambda}SL(3,\mathbb{Z}_{2}))$$

$$\simeq \operatorname{Der}_{\lambda} (SL(3, \mathbb{Z}_2), M(3, 3)) \times_{\tau} \{SL(3, \mathbb{Z}_2) \oplus \mathscr{A}_{\lambda}(M(3, 3))\}$$

with  $\tau_{(A,\alpha)}(\delta) = \alpha(A \cdot \delta \cdot I(A^{-1}))$ . By 3.3, the group of derivations is isomorphic to M(4, 3), and by 3.4,  $\mathcal{A}_{\lambda}(M(3, 3)) \simeq SL(3, \mathbb{Z}_2)$  where  $B \in SL(3, \mathbb{Z}_2)$  acts by  $X \to XB^{-1}$ . The action of  $SL(3, \mathbb{Z}_2)$  on  $Der_{\lambda}$  is also by  $Y \to YB^{-1}$ .

To show  $H_4$  complete, we prove that  $H_3$  is characteristic in  $H_4$  and apply Burnside's criterion. The argument of the first paragraph applies to

$$V = M(4, 3) \oplus (M(4, 3) \oplus PM(4)) \triangleleft H_4$$

where now

$$H_4/V \simeq SL(3, \mathbb{Z}_2) \oplus SL(3, \mathbb{Z}_2) \oplus SL(3, \mathbb{Z}_2) \oplus SL(4, \mathbb{Z}_2).$$

We conclude that K and  $\mathcal{A}(M(3, 3) \times_{\lambda} SL(3, \mathbb{Z}_2))$  are characteristic in  $H_4$ , so it suffices to prove that  $L = M(3, 3) \times_{\lambda} SL(3, \mathbb{Z}_2)$  is a characteristic subgroup of

$$\mathscr{A}(L) \simeq M(4, 3) \times_{\tau} \{SL(3, \mathbb{Z}_2) \oplus SL(3, \mathbb{Z}_2)\}.$$

We have  $M(4, 3) \simeq M(3, 3) \oplus M(1, 3)$  (where the first summand corresponds to the group of inner derivations) characteristic in  $\mathscr{A}(L)$ . But M(3, 3) is an irreducible  $\mathscr{A}(L)/M(4, 3)$ -module, for  $\tau_{(A,B)}(X) = AXB^{-1}$ ,  $X \in M(3, 3)$ . Moreover, if  $\delta$  is any derivation and  $A \in SL(3, \mathbb{Z}_2)$ ,

$$\tau_{(A,I)}(\delta) = A\delta I(A^{-1}) = \delta + I(\delta(A)),$$

where  $I(\delta(A))$  is the inner derivation determined by  $\delta(A)$ . Consequently either  $I(\delta(A)) = 0$  so that  $\delta = 0$ , or M(3, 3) is contained in the submodule generated by  $\delta$ . Thus M(3, 3) is characteristic in  $\mathcal{A}(L)$ , and

$$\mathcal{A}(L)/I(M(3,3)) \simeq M(1,3) \times_{\tau} \{I(SL(3, \mathbf{Z}_2)) \oplus SL(3, \mathbf{Z}_2)\}$$
  
$$\simeq I(SL(3, \mathbf{Z}_2)) \oplus \{M(3,1) \times_{\lambda} SL(3, \mathbf{Z}_2)\}.$$

Here M(3, 1) is characteristic, and its centralizer is  $I(SL(3, \mathbb{Z}_2)) \oplus M(3, 1)$ , with derived group  $I(SL(3, \mathbb{Z}_2))$ . Thus L = I(L) and is characteristic in  $\mathscr{A}(L)$ , as required.

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