

## The IC-indices of Complete Multipartite Graphs

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Abstract. Given a connected graph  $G$ , a function  $f$  mapping the vertex set of  $G$  into the set of all integers is a coloring of  $G$ . For any subgraph  $H$  of  $G$ , we denote as  $f(H)$  the sum of the values of  $f$  on the vertices of  $H$ . If for any integer  $k \in \{1, 2, \dots, f(G)\}$ , there exists an induced connected subgraph  $H$  of  $G$  such that  $f(H) = k$ , then the coloring  $f$  is called an IC-coloring of  $G$ . The IC-index of  $G$ , written  $M(G)$ , is defined to be the maximum value of  $f(G)$  over all possible IC-colorings  $f$  of  $G$ . In this paper, we give a lower bound on the IC-index of any complete  $\ell$ -partite graph for all  $\ell \geq 3$  and then show that, when  $\ell = 3$ , our lower bound also serves as an upper bound. As a consequence, the exact value of the IC-index of any tripartite graph is determined.

### 1. Introduction

The postage stamp problem in number theory has been extensively studied and formulated into several versions in different fields [1–6, 8, 9, 12, 13]. In this paper, we consider the version called the IC-coloring of a graph. Throughout this paper, all graphs involved are simple graphs. For the terminologies and notations in graph theory, please refer to [14]. Given a connected graph  $G$ , a function  $f: V(G) \rightarrow \mathbb{N}$  is called a *coloring* of  $G$ . The number  $f(v)$  is the *color* of the vertex  $v$  of  $G$ . For any subgraph  $H$  of  $G$ , we denote the sum  $\sum_{v \in V(H)} f(v)$  as  $f(H)$ . A coloring  $f$  of  $G$  is referred to as an *IC-coloring* of  $G$  if, for any integer  $k \in \{1, 2, \dots, f(G)\}$ , there exists an induced connected subgraph  $H$  of  $G$  such that  $f(H) = k$ . Every connected graph  $G$  admits a trivial IC-coloring which assigns the value 1 to every vertex of  $G$ . The problem of finding an IC-coloring with the largest value of  $f(G)$  arose naturally. The *IC-index* of a graph  $G$ , denoted  $M(G)$ , is defined to be

$$M(G) = \max \{f(G) \mid f \text{ is an IC-coloring of } G\}.$$

An IC-coloring  $f$  satisfying  $f(G) = M(G)$  is called a *maximal IC-coloring* of  $G$ .

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Determining the exact values of the IC-index of a graph is challenging. In the past decades, not much achievements have been made. In 1992, Glenn Chappel formulated the IC-coloring problem as a “subgraph sums problem” and gave an upper bound on the IC-index of an  $n$ -cycle. He showed that  $M(C_n) \leq n^2 - n + 1$ . Later, in 1995, Penrice [7] introduced the IC-coloring as the stamp covering and determined the exact values of  $M(K_n)$  and  $M(K_{1,n})$ , namely,  $M(K_n) = 2^n - 1$  for  $n \geq 1$  and  $M(K_{1,n}) = 2^n + 2$  for  $n \geq 2$ . In 2005, Salehi et al. [8] proved that  $M(K_{2,n}) = 3 \cdot 2^n + 1$  for  $n \geq 2$ . Shiue and Fu [10] completely settled the problem regarding complete bipartite graphs in 2008 by showing that  $M(K_{m,n}) = 3 \cdot 2^{m+n-2} - 2^{m-2} + 2$  for  $2 \leq m \leq n$ . In this present paper, we consider *complete multipartite graphs*. A complete multipartite graph  $K_{m_1, m_2, \dots, m_\ell}$  is a graph whose vertex set can be partitioned into  $\ell$  partite sets  $V_1, V_2, \dots, V_\ell$ , where  $|V_i| = m_i$  for all  $i \in \{1, 2, \dots, \ell\}$ , such that there are no edges within each  $V_i$  and any two vertices from different partite sets are adjacent. A complete multipartite graph with  $\ell$  partite sets is called a *complete  $\ell$ -partite graph*. We also denote as  $K_{1(n), m_{n+1}, m_{n+2}, \dots, m_\ell}$ ,  $n \leq \ell$ , the complete  $\ell$ -partite graph in which there are  $n$  partite sets which are of size one and the rest  $(\ell - n)$  partite sets have sizes  $m_{n+1}, m_{n+2}, \dots, m_\ell$  respectively.

In [11], we first considered complete multipartite graphs. We gave a lower bound on  $M(K_{m_1, m_2, \dots, m_\ell})$  for  $1 = m_1 = \dots = m_n < m_{n+1} \leq m_{n+2} \leq \dots \leq m_\ell$  and showed that, when  $\ell = n + 1$  and  $n \geq 2$ , our lower bound is the exact value of it, that is,  $M(K_{1(n), m}) = 2^{m+n} - 2^m + 1$  for  $m \geq 2$  and  $n \geq 2$ . In this present paper, we investigate the problem of the IC-indices of general complete multipartite graphs. In Section 2, we introduce some previous results which are useful in our discussion. In Section 3, we introduce our lower bounds on  $M(K_{m_1, m_2, \dots, m_\ell})$  for  $2 \leq m_1 \leq m_2 \leq \dots \leq m_\ell$  and  $1 = m_1 < m_2 \leq \dots \leq m_\ell$  by constructing suitable IC-colorings. Subsequently, we prove in Section 4 that, when  $\ell = 3$ , the lower bounds given in Section 3 are in fact the exact values of the IC-indices of complete tripartite graphs. Our work completely solves the problem regarding complete tripartite graphs. Finally, a concluding remark is given in Section 5.

## 2. Preliminaries

In dealing with the IC-index of a graph, we view the colors of all vertices as a sequence satisfying some properties. We introduce some basic counting tools from [10] to analyse the sequence of colors. For convenience, we let  $[1, \ell]$  denote the set  $\{1, 2, \dots, \ell\}$ . A sequence of 0 and 1 is called a *binary sequence*.

**Lemma 2.1.** [10] *If  $a_1, a_2, \dots, a_n$  are  $n$  positive integers which satisfy that  $a_1 = 1$  and  $a_i \leq a_{i+1} \leq \sum_{j=1}^i a_j + 1$  for all  $i \in [1, n - 1]$ , then for each  $\ell \in [1, \sum_{j=1}^n a_j]$  there exists a binary sequence  $c_1, c_2, \dots, c_n$  such that  $\ell = \sum_{j=1}^n c_j a_j$ .*

**Lemma 2.2.** [10] *If  $s_0, s_1, \dots, s_n$  is a sequence of integers, then for each  $i \in [1, n]$  there exists an integer  $r_i \in \mathbb{Z}$  such that  $s_i = \sum_{j=0}^{i-1} s_j + r_i$  and  $\sum_{j=0}^n s_j = 2^n s_0 + \sum_{j=1}^n 2^{n-j} r_j$ .*

**Lemma 2.3.** [10] *Let  $V(G) = \{u_1, u_2, \dots, u_n\}$ . If  $f$  is an IC-coloring of  $G$  such that  $f(u_i) \leq f(u_{i+1})$  for all  $i \in [1, n - 1]$ , then  $f(u_1) = 1$  and  $f(u_{i+1}) \leq \sum_{j=1}^i f(u_j) + 1$  for all  $i \in [1, n - 1]$ .*

**Lemma 2.4.** [10] *Let  $f$  be an IC-coloring of a graph  $G$  such that  $f(u_i) < f(u_{i+1})$  for  $i \in [1, n - 1]$ , where  $V(G) = \{u_1, u_2, \dots, u_n\}$ . For each pair  $(i_1, i_2)$  where  $1 \leq i_1 < i_2 \leq n$ , if  $f(u_{i_1}) = \sum_{j=1}^{i_1-1} f(u_j) + 1$  and  $u_{i_1} u_{i_2} \notin E(G)$ , then either  $f(u_{i_2}) \leq \sum_{j=1}^{i_2-1} f(u_j) - f(u_{i_1})$  or  $f(u_{i_2+1}) \leq f(u_{i_1}) + f(u_{i_2})$ .*

**Lemma 2.5.** [10] *Let  $r_1, r_2, \dots, r_n$  be  $n$  numbers. If there are two integers  $i$  and  $k$  such that  $1 \leq i < k \leq n$  and  $r_i < r_k$ , then*

$$\sum_{j=1}^n 2^{n-j} r_j < \sum_{j=1}^n 2^{n-j} r_j - (2^{n-i} r_i + 2^{n-k} r_k) + (2^{n-i} r_k + 2^{n-k} r_i).$$

### 3. Lower bounds on $M(K_{m_1, m_2, \dots, m_\ell})$

A lower bound on the IC-index of  $K_{m_1, m_2, \dots, m_\ell}$  for  $1 = m_1 = \dots = m_n < m_{n+1} \leq m_{n+2} \leq \dots \leq m_\ell$  has been given in [11] as  $M(K_{m_1, m_2, \dots, m_\ell}) \geq (2^{m_\ell} (2^{m_\ell-1} (\dots (2^{m_{n+1}} (2^n - 1) + 1) \dots) + 1) + 1)$ . In this section, we introduce our lower bounds on  $M(K_{m_1, m_2, \dots, m_\ell})$  separately in two cases where  $2 \leq m_1 \leq m_2 \leq \dots \leq m_\ell$  and  $1 = m_1 < m_2 \leq \dots \leq m_\ell$ . In what follows,  $G$  represents the complete multipartite graph  $K_{m_1, m_2, \dots, m_\ell}$  with partite sets  $W_1, W_2, \dots, W_\ell$  where  $W_i = \{w_{i,j} \mid j \in [1, m_i]\}$  for all  $i = 1, 2, \dots, \ell$ . For any  $S \subseteq V(G)$ , we denote the subgraph of  $G$  induced by  $S$  as  $\langle S \rangle$ .

**Proposition 3.1.** *Let  $m = \sum_{i=1}^\ell m_i$ . Then*

$$M(K_{m_1, m_2, \dots, m_\ell}) \geq 13 \cdot 2^{m-4} + \sum_{j=3}^{\ell-1} 2^{m - (\sum_{x=3}^j m_x + 4)} - g(m_1, m_2),$$

where

$$g(m_1, m_2) = \begin{cases} 3 \cdot 2^{m_1-2} - 4 & \text{if } 2 \leq m_1 \leq m_2 \leq \dots \leq m_\ell, \\ 2^{m_2-2} - 2 & \text{if } 1 = m_1 < m_2 \leq \dots \leq m_\ell. \end{cases}$$

*Proof.* We prove the lower bound by constructing an IC-coloring of  $G$ . First, let us consider the case where  $2 \leq m_1 \leq m_2 \leq \dots \leq m_\ell$ . Before explicitly defining the coloring  $f$ , we arrange the vertices of  $G$  into a new order  $u_1, u_2, \dots, u_m$  such that the values of  $f$  can be

defined recursively. Let

$$u_i = \begin{cases} w_{2,1} & \text{if } i = 1, \\ w_{1,1} & \text{if } i = 2, \\ w_{1,2} & \text{if } i = 3, \\ w_{2,2} & \text{if } i = 4, \\ w_{j,i-\sum_{x=3}^{j-1} m_x-4} & \text{if } i \in [\sum_{x=3}^{j-1} m_x + 5, \sum_{x=3}^j m_x + 4] \text{ and } j \in [3, \ell], \\ w_{2,i-\sum_{x=3}^{\ell} m_x-2} & \text{if } i \in [\sum_{x=3}^{\ell} m_x + 5, \sum_{x=2}^{\ell} m_x + 2], \\ w_{1,i-\sum_{x=2}^{\ell} m_x} & \text{if } i \in [\sum_{x=2}^{\ell} m_x + 3, m]. \end{cases}$$

Each partite set actually contains the  $u_i$ 's as follows:

$$W_1 = \{u_2, u_3\} \cup \left\{ u_i \mid i \in \left[ \sum_{x=2}^{\ell} m_x + 3, m \right] \right\},$$

$$W_2 = \{u_1, u_4\} \cup \left\{ u_i \mid i \in \left[ \sum_{x=3}^{\ell} m_x + 5, \sum_{x=2}^{\ell} m_x + 2 \right] \right\}$$

and

$$W_j = \left\{ u_i \mid i \in \left[ \sum_{x=3}^{j-1} m_x + 5, \sum_{x=3}^j m_x + 4 \right] \right\} \quad \text{for } j \in [3, \ell].$$

Now, we define  $f: V(G) \rightarrow \mathbb{N}$  recursively as  $f(u_1) = 1$  and  $f(u_i) = \sum_{j=1}^{i-1} f(u_j) + r_i$  for  $i \in [2, m]$ , where

$$r_i = \begin{cases} 1 & \text{if } i \in \{2, 3\} \cup \left\{ \sum_{x=3}^j m_x + 4 \mid j \in [3, \ell] \right\}, \\ 0 & \text{if } i \in [5, \sum_{x=3}^{\ell} m_x + 4] \setminus \left\{ \sum_{x=3}^j m_x + 4 \mid j \in [3, \ell] \right\}, \\ -1 & \text{if } i \in \{4\} \cup [\sum_{x=3}^{\ell} m_x + 5, \sum_{x=2}^{\ell} m_x + 2], \\ -4 & \text{if } i \in [\sum_{x=2}^{\ell} m_x + 3, m]. \end{cases}$$

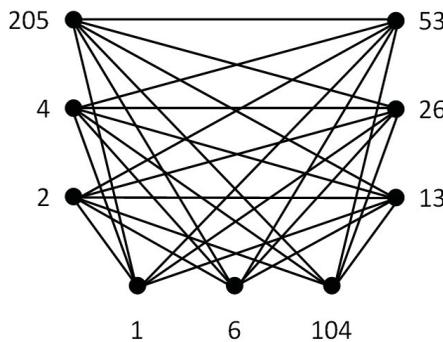


Figure 3.1: An IC-coloring of  $K_{3,3,3}$

Now, considering  $s_0 = 0$  and  $s_i = f(u_i)$  for  $i \in [1, m]$  in Lemma 2.2, we have

$$\begin{aligned} f(G) &= \sum_{j=0}^m s_j = 2^m \cdot s_0 + \sum_{j=1}^m 2^{m-j} \cdot r_j \\ &= 2^{m-1} + 2^{m-2} + 2^{m-3} + 2^{m-4} \cdot (-1) + \sum_{j=3}^{\ell} 2^{m-(\sum_{x=3}^j m_x+4)} \\ &\quad + \sum_{j=m-m_1-m_2+5}^{m-m_1+2} 2^{m-j}(-1) + \sum_{j=m-m_1+3}^m 2^{m-j}(-4) \\ &= 13 \cdot 2^{m-4} + \sum_{j=3}^{\ell} 2^{m-(\sum_{x=3}^j m_x+4)} - (2^{m_1+m_2-4} - 2^{m_1-2}) - 4(2^{m_1-2} - 1) \\ &= 13 \cdot 2^{m-4} + \sum_{j=3}^{\ell-1} 2^{m-(\sum_{x=3}^j m_x+4)} - 3 \cdot 2^{m_1-2} + 4. \end{aligned}$$

Next, we will show that  $f$  is an IC-coloring of  $G$ . Given any  $k \in [1, f(G)]$ , we need to identify a connected subgraph  $H$  such that  $f(H) = k$ . Since  $f(u_1) = 1$  and  $f(u_i) < f(u_{i+1}) \leq \sum_{j=1}^i f(u_j) + 1$  for all  $i \in [1, m-1]$ , Lemma 2.1 guarantees the existence of a binary sequence  $c_1, c_2, \dots, c_m$  such that  $k = \sum_{j=1}^m c_j \cdot f(u_j)$ . Let  $S = \{u_j \mid c_j = 1, j \in [1, m]\}$ . Then  $f(\langle S \rangle) = k$ . It suffices to consider the situation where  $\langle S \rangle$  is disconnected, that is,  $S \subseteq W_j$  for some  $j \in [1, \ell]$  and  $|S| \geq 2$ . There are five possible cases.

*Case 1:*  $\{u_2, u_3\} \subseteq S \subseteq W_1$ .

Observe that  $f(u_2) + f(u_3) = f(u_4)$ . Let  $S_1 = (S \setminus \{u_2, u_3\}) \cup \{u_4\}$ . Then the subgraph  $H = \langle S_1 \rangle$  is connected and  $f(H) = k - f(u_2) - f(u_3) + f(u_4) = k$ .

*Case 2:*  $S \subseteq W_1$  and  $\{u_2, u_3\} \not\subseteq S$ .

In this case,  $\{w_{1,j} \mid j \geq 3\} \cap S \neq \emptyset$ , that is, there is some  $u_j \in S$  where  $j \in [\sum_{x=2}^{\ell} m_x + 3, m]$ . Let  $t = \min \{j \mid u_j \in S \text{ and } \sum_{x=2}^{\ell} m_x + 3 \leq j \leq m\}$ . Then we have  $f(u_t) = \sum_{j=1}^{t-1} f(u_j) - 4$  from the definition of  $f$ .

(1) If  $u_2 \in S$ , then  $f(u_t) + f(u_2) = \sum_{j=1}^{t-1} f(u_j) - 2 = \sum_{j=1}^{t-1} f(u_j) - f(u_2) = f(u_1) + \sum_{j=3}^{t-1} f(u_j)$ . By letting  $S_1 = (S \setminus \{u_2, u_t\}) \cup \{u_1\} \cup \{u_3, u_4, \dots, u_{t-1}\}$ , we have a connected subgraph  $H = \langle S_1 \rangle$  satisfying  $f(H) = k$ .

(2) If  $u_2 \notin S$ , then  $f(u_t) = \sum_{j=1}^{t-1} f(u_j) - f(u_3) = f(u_1) + f(u_2) + \sum_{j=4}^{t-1} f(u_j)$ . The subgraph  $H$  induced by  $(S \setminus \{u_t\}) \cup \{u_1, u_2\} \cup \{u_4, u_5, \dots, u_{t-1}\}$  is connected and satisfies  $f(H) = k$ .

*Case 3:*  $S \subseteq W_2$ .

(1) If  $u_4 \in S$ , then the subgraph induced by  $(S \setminus \{u_4\}) \cup \{u_2, u_3\}$  is the desired connected subgraph because  $f(u_4) = f(u_2) + f(u_3)$ .

(2) If  $u_4 \notin S$ , then  $\{w_{2,j} \mid j \geq 3\} \cap S \neq \emptyset$ . There is some  $u_j \in S$  where  $j \in [\sum_{x=3}^{\ell} m_x + 5, \sum_{x=2}^{\ell} m_x + 2]$ . Let  $t = \min \{j \mid u_j \in S \text{ and } \sum_{x=3}^{\ell} m_x + 5 \leq j \leq \sum_{x=2}^{\ell} m_x + 2\}$ . Then,

from the definition of  $f$ , we have  $f(u_t) = \sum_{j=1}^{t-1} f(u_j) - 1 = \sum_{j=1}^{t-1} f(u_j) - f(u_1) = \sum_{j=2}^{t-1} f(u_j)$ . By letting  $H$  be the subgraph induced by  $(S \setminus \{u_t\}) \cup \{u_2, u_3, \dots, u_{t-1}\}$ , we have  $f(H) = k$  and  $H$  is connected.

Case 4:  $S \subseteq W_i$  for some  $i \in [3, \ell]$ .

Let  $t = \min \{j \mid u_j \in S \text{ and } j \in [\sum_{x=3}^{i-1} m_x + 5, \sum_{x=3}^i m_x + 4]\}$ . Since  $|S| \geq 2$ ,  $t < \sum_{x=3}^i m_x + 4$ . It follows that  $f(u_t) = \sum_{j=1}^{t-1} f(u_j)$ . Now, let  $S_1 = (S \setminus \{u_t\}) \cup \{u_1, u_2, \dots, u_{t-1}\}$ , the subgraph induced by  $S_1$  is desired.

For the second case where  $1 = m_1 < m_2 \leq \dots \leq m_\ell$ , the result can be shown similarly.

Let

$$u_i = \begin{cases} w_{2,1} & \text{if } i = 1, \\ w_{2,2} & \text{if } i = 2, \\ w_{3,1} & \text{if } i = 3, \\ w_{1,1} & \text{if } i = 4, \\ w_{3,i-3} & \text{if } i \in [5, m_3 + 3], \\ w_{j,i-\sum_{x=3}^{j-1} m_x - 3} & \text{if } i \in [\sum_{x=3}^{j-1} m_x + 4, \sum_{x=3}^j m_x + 3] \text{ and } j \in [4, \ell], \\ w_{2,i-\sum_{x=3}^\ell m_x - 1} & \text{if } i \in [\sum_{x=3}^\ell m_x + 4, m]. \end{cases}$$

Then we have

$$\begin{aligned} W_1 &= \{u_4\}, \\ W_2 &= \{u_1, u_2\} \cup \left\{u_j \mid j \in \left[\sum_{x=3}^\ell m_x + 4, m\right]\right\}, \\ W_3 &= \{u_3\} \cup \{u_j \mid j \in [5, m_3 + 3]\} \end{aligned}$$

and

$$W_j = \left\{u_i \mid i \in \left[\sum_{x=3}^{j-1} m_x + 4, \sum_{x=3}^j m_x + 3\right]\right\} \quad \text{for } j \in [4, \ell].$$

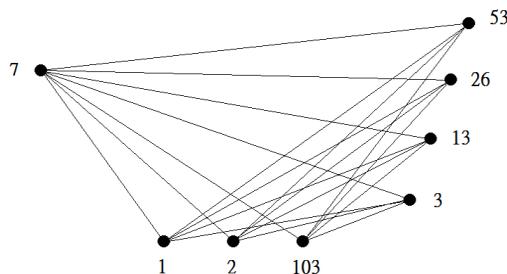


Figure 3.2: An IC-coloring of  $K_{1,3,4}$

Now, we define  $f: V(G) \rightarrow \mathbb{N}$  recursively as  $f(u_1) = 1$  and  $f(u_i) = \sum_{j=1}^{i-1} f(u_j) + r_i$  for  $i \in [2, m]$ , where

$$r_i = \begin{cases} 1 & \text{if } i \in \{2, 4\} \cup \left\{ \sum_{x=3}^j m_x + 3 \mid j \in [3, \ell] \right\}, \\ 0 & \text{if } i = 3 \text{ or } i \in [5, \sum_{x=3}^{\ell} m_x + 3] \setminus \left\{ \sum_{x=3}^j m_x + 3 \mid j \in [3, \ell] \right\}, \\ -2 & \text{if } i \in [\sum_{x=3}^{\ell} m_x + 4, m]. \end{cases}$$

The value of  $f(G)$  can be determined using Lemma 2.2 as follows:

$$\begin{aligned} f(G) &= \sum_{j=1}^m 2^{m-j} \cdot r_j \\ &= 2^{m-1} + 2^{m-2} + 2^{m-4} + \sum_{j=3}^{\ell} 2^{m-(\sum_{x=3}^j m_x+3)} + \sum_{j=m-m_2+3}^m 2^{m-j}(-2) \\ &= 13 \cdot 2^{m-4} + \sum_{j=3}^{\ell} 2^{m-(\sum_{x=3}^j m_x+3)} - 2(2^{m_2-2} - 1) \\ &= 13 \cdot 2^{m-4} + \sum_{j=3}^{\ell-1} 2^{m-(\sum_{x=3}^j m_x+3)} - 2^{m_2-2} + 2. \end{aligned}$$

Next, given  $k \in [1, f(G)]$ , Lemma 2.1 implies that there exists a binary sequence  $c_1, c_2, \dots, c_m$  such that  $k = \sum_{j=1}^m c_j \cdot f(u_j)$ . Let  $S = \{u_j \mid c_j = 1, j \in [1, m]\}$ . Then  $f(\langle S \rangle) = k$ . The subgraph  $\langle S \rangle$  is disconnected only when the following three cases occur. We construct a connected subgraph  $H$  with  $f(H) = k$  in each case.

*Case 1:*  $S \subseteq W_2$ .

If  $\{u_1, u_2\} \subseteq S$ , then the subgraph  $H$  induced by  $(S \setminus \{u_1, u_2\}) \cup \{u_3\}$  is connected and  $f(H) = k$  because  $u_3 \in W_3$  and  $f(u_1) + f(u_2) = f(u_3)$ . If  $\{u_1, u_2\} \not\subseteq S$ , we let  $t = \min \{j \mid u_j \in S \text{ and } j \in [\sum_{x=3}^{\ell} m_x + 4, m]\}$ , then  $f(u_t) = \sum_{j=1}^{t-1} f(u_j) - 2$ . First, observe that  $f(u_t) + f(u_1) = \sum_{j=1}^{t-1} f(u_j) - 1 = \sum_{j=1}^{t-1} f(u_j) - f(u_1) = \sum_{j=2}^{t-1} f(u_j)$ . If  $u_1 \in S$  and  $u_2 \notin S$ , then the subgraph induced by  $(S \setminus \{u_1, u_t\}) \cup \{u_2, u_3, \dots, u_{t-1}\}$  is the desired one. Second, note that  $f(u_t) = \sum_{j=1}^{t-1} f(u_j) - f(u_2) = f(u_1) + \sum_{j=3}^{t-1} f(u_j)$ . If  $u_1 \notin S$ , then the subgraph  $H$  induced by  $(S \setminus \{u_t\}) \cup \{u_1\} \cup \{u_3, u_4, \dots, u_{t-1}\}$  is connected and satisfies  $f(H) = k$ .

*Case 2:*  $S \subseteq W_3$ .

If  $u_3 \in S$ , then the subgraph induced by  $(S \setminus \{u_3\}) \cup \{u_1, u_2\}$  certainly satisfies our requirement. If  $u_3 \notin S$ , then we let  $t = \min \{j \mid u_j \in S, 5 \leq j \leq m_3 + 3\}$ . Since  $|S| \geq 2$ ,  $t < m_3 + 3$  and  $f(u_t) = \sum_{j=1}^{t-1} f(u_j)$ . The subgraph  $H$  induced by  $(S \setminus \{u_t\}) \cup \{u_1, u_2, \dots, u_{t-1}\}$  is desired.

*Case 3:*  $S \subseteq W_i$  for some  $i \in [4, \ell]$ .

Let  $t = \min \left\{ j \mid u_j \in S, j \in [\sum_{x=3}^{i-1} m_x + 4, \sum_{x=3}^i m_x + 3] \right\}$ . Then  $t < \sum_{x=3}^i m_x + 3$  and  $f(u_t) = \sum_{j=1}^{t-1} f(u_j)$ . The subgraph  $H$  induced by  $(S \setminus \{u_t\}) \cup \{u_1, u_2, \dots, u_{t-1}\}$  is what we need.  $\square$

#### 4. The exact value of $M(K_{m_1, m_2, m_3})$

In this section, we prove that the lower bound on  $M(K_{m_1, m_2, m_3})$  given in the previous section also serves as an upper bound on it. To be precise, we shall show that  $M(K_{m_1, m_2, m_3})$  is upper-bounded by  $13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4$  for the case where  $2 \leq m_1 \leq m_2 \leq m_3$ , and by  $13 \cdot 2^{m-4} - 2^{m_2-2} + 2$  for the case where  $1 = m_1 < m_2 \leq m_3$ . In what follows, for the given IC-coloring  $f$ , we always assume that  $\{u_1, u_2, \dots, u_m\}$  is the vertex set of  $G$  such that  $f(u_i) \leq f(u_{i+1})$  for all  $i \in [1, m-1]$ . For brevity, we let  $f_0 = 0$  and denote the sum  $\sum_{i=1}^j f(u_i)$  as  $f_j$  for  $j \in [1, m]$ . The following properties are essential for a maximal IC-coloring of  $G$ .

**Lemma 4.1.** *If  $f$  is a maximal IC-coloring of  $G$ , then  $f_j < 2^{j-i}(f_i + 1)$  for each pair  $(i, j)$  with  $1 \leq i \leq j \leq m$ .*

*Proof.* It suffices to consider the case  $i < j$ . For the given pair  $(i, j)$ , let us consider the sequence  $s_0 = f_i$  and  $s_k = f(u_{i+k})$  for  $k \in [1, j-i]$ . Since  $s_k \leq \sum_{\ell=0}^{k-1} s_\ell + 1$  by Lemma 2.3, we obtain from Lemma 2.2 that

$$\begin{aligned} f_j &= f_i + \sum_{k=1}^{j-i} f(u_{i+k}) \leq 2^{j-i} f_i + \sum_{k=1}^{j-i} 2^{j-i-k} \cdot 1 \\ &= 2^{j-i} f_i + (2^{j-i} - 1) < 2^{j-i}(f_i + 1). \end{aligned} \quad \square$$

**Lemma 4.2.** *If  $f$  is a maximal IC-coloring of  $G$ , then all colors of the vertices of  $G$  are distinct.*

*Proof.* Suppose that there exist two distinct vertices  $u_i$  and  $u_{i+1}$  such that  $f(u_i) = f(u_{i+1})$ . Then we have  $f_{i-1} \leq 2^{(i-1)-1}(f_1 + 1) - 1 = 2^{i-1} - 1$  from Lemma 4.1 and  $f(u_{i+1}) = f(u_i) \leq f_{i-1} + 1$  from Lemma 2.3. Thus,  $f_{i+1} = f(u_{i+1}) + f(u_i) + f_{i-1} \leq 3 \cdot f_{i-1} + 2 \leq 3 \cdot 2^{i-1} - 1$ .

Now, Lemma 4.1 implies that

$$\begin{aligned} f(G) &< 2^{m-(i+1)}(f_{i+1} + 1) \leq 3 \cdot 2^{m-2} \\ (4.1a) \quad &= 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} - 2^{m_1-2}(2^{m_2+m_3-2} - 3) \\ (4.1b) \quad &= 13 \cdot 2^{m-4} - 2^{m_2-2} - 2^{m_2-2}(2^{m_1+m_3-2} - 1) \\ &= 2^m - 2^{m-2}. \end{aligned}$$

The value in (4.1a) is less than  $13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4$  when  $2 \leq m_1 \leq m_2 \leq m_3$  and the one in (4.1b) is smaller than  $13 \cdot 2^{m-4} - 2^{m_2-2} + 2$  when  $1 = m_1 < m_2 \leq m_3$ . These lead to a contradiction to Proposition 3.1. We have the result.  $\square$

**Lemma 4.3.** *If  $f$  is a maximal IC-coloring of  $K_{m_1, m_2, m_3}$ , where  $2 \leq m_1 \leq m_2 \leq m_3$  or  $1 = m_1 < m_2 \leq m_3$ , then  $f_j > 51 \cdot 2^{j-6} - 1$  for any  $j \in [1, m]$ .*

*Proof.* Suppose that  $f_j \leq 51 \cdot 2^{j-6} - 1$  for some  $j \in [1, m]$ . Then from Lemma 4.1 we have

$$\begin{aligned}
 (4.2a) \quad f(G) &< 2^{m-j} \cdot (f_j + 1) \leq 51 \cdot 2^{m-6} \\
 &= 13 \cdot 2^{m-4} - 2^{m-6} \\
 (4.2b) \quad &= 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} - 2^{m_1-2}(2^{m_2+m_3-4} - 3) \\
 (4.2c) \quad &= 13 \cdot 2^{m-4} - 2^{m_2-2} - 2^{m_2-2}(2^{m_1+m_3-4} - 1).
 \end{aligned}$$

The value in (4.2b) is less than  $13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4$  when  $2 \leq m_1 \leq m_2 \leq m_3$  and the upper bound in (4.2c) is smaller than  $13 \cdot 2^{m-4} - 2^{m_2-2} + 2$  when  $1 = m_1 < m_2 \leq m_3$ , contradicting to Proposition 3.1. The result follows.  $\square$

**Lemma 4.4.** *Suppose that  $f$  is a maximal IC-coloring of  $K_{m_1, m_2, m_3}$ , where  $2 \leq m_1 \leq m_2 \leq m_3$  or  $1 = m_1 < m_2 \leq m_3$ , and  $f_k \leq 14 \cdot 2^{k-4} - 1$  for some  $k \geq 4$ . If  $f(u_j) = f_{j-1} + 1$  for some  $j \in [1, m]$  and  $u_j u_{j+\ell} \notin E(G)$  for some  $\ell \in [k + 1 - j, m - j]$ , then  $f(u_{j+\ell}) \leq f_{j+\ell-1} - f(u_j)$ .*

*Proof.* First, we derive upper bounds on  $f_{j-1}$  as follows. By Lemma 4.1, if  $j \geq k + 1$ , then  $f_{j-1} \leq 2^{(j-1)-k}(f_k + 1) - 1 \leq 14 \cdot 2^{j-5} - 1 \leq 7 \cdot 2^{j+\ell-5} - 1$ . Otherwise,  $j \leq k$ , we have  $f_{j-1} \leq f_{k-1} \leq 2^{(k-1)-1}(f_1 + 1) - 1 = 2^{j+\ell-5} \cdot 2^{(k-1)-(j+\ell-5)} - 1 = 2^{j+\ell-5} \cdot 2^{3-(j+\ell-k-1)} - 1$ . Since  $j + \ell \geq k + 1$ , these two bounds can be combined into  $f_{j-1} \leq 8 \cdot 2^{j+\ell-5} - 1$ . Now, suppose that  $f(u_{j+\ell}) > f_{j+\ell-1} - f(u_j)$ . Then  $f(u_{j+\ell+1}) \leq f(u_{j+\ell}) + f(u_j)$  by Lemma 2.4. This implies that

$$\begin{aligned}
 f_{j+\ell+1} &= f(u_{j+\ell+1}) + f_{j+\ell} \\
 &\leq f(u_{j+\ell}) + f(u_j) + f(u_{j+\ell}) + f_{j+\ell-1} \\
 &\leq (f_{j+\ell-1} + 1) + (f_{j-1} + 1) + (f_{j+\ell-1} + 1) + f_{j+\ell-1} \\
 &= 3(f_{j+\ell-1} + 1) + f_{j-1} \\
 &< 3 \cdot [2^{(j+\ell-1)-k} \cdot (f_k + 1)] + 8 \cdot 2^{j+\ell-5} - 1 \\
 &\leq 50 \cdot 2^{j+\ell-5} - 1.
 \end{aligned}$$

Lemma 4.1 then enables us to find a bound on  $f(G)$ :

$$f(G) < 2^{m-(j+\ell+1)} \cdot (f_{j+\ell+1} + 1) \leq 2^{m-(j+\ell+1)} \cdot (50 \cdot 2^{j+\ell-5}) = 13 \cdot 2^{m-4} - 2^{m-5}.$$

This value is smaller than (4.2a) and we have a contradiction. The result follows.  $\square$

**Lemma 4.5.** *If  $f$  is a maximal IC-coloring of  $K_{m_1, m_2, m_3}$ , where  $2 \leq m_1 \leq m_2 \leq m_3$  or  $1 = m_1 < m_2 \leq m_3$ , then  $f_4 \geq 12$ . Furthermore, given  $i \in [1, m - 1]$ , let  $r_\ell = f(u_{i+\ell}) - f_{i+\ell-1}$  for all  $\ell \in [1, m - i]$ . Then  $f(G) = 2^{m-i} f_i + \sum_{\ell=1}^{m-i} 2^{m-i-\ell} r_\ell$ .*

*Proof.* The first result is a direct consequence from Lemma 4.3 which gives that  $f_4 > 51 \cdot 2^{4-6} - 1 > 11$ . To prove the second result, for given  $i \in [1, m - 1]$ , we let  $s_0 = f_i$  and  $s_\ell = f(u_{i+\ell})$  for all  $\ell \in [1, m - i]$ . Then Lemma 2.2 gives

$$(4.3) \quad f_{i+j} = f_i + \sum_{\ell=1}^j f(u_{i+\ell}) = 2^j f_i + \sum_{\ell=1}^j 2^{j-\ell} r_\ell.$$

Therefore, the result follows by letting  $j = m - i$  in (4.3). □

Now, we are in a position to show our upper bounds on  $M(G)$ .

**Proposition 4.6.** *If  $f$  is a maximal IC-coloring of  $K_{m_1, m_2, m_3}$ , then*

$$f(K_{m_1, m_2, m_3}) \leq \begin{cases} 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4 & \text{if } 2 \leq m_1 \leq m_2 \leq m_3, \\ 13 \cdot 2^{m-4} - 2^{m_2-2} + 2 & \text{if } 1 = m_1 < m_2 \leq m_3, \end{cases}$$

where  $m = m_1 + m_2 + m_3$ .

*Proof.* Since  $f(u_2) = 2 < f(u_3) \leq f_2 + 1 = 4$ , the value of  $f(u_3)$  is either 3 or 4. We split the long proof into two parts depending on the value of  $f(u_3)$ . In the first part, let us assume that  $f(u_3) = 4$ . Note that Lemma 4.3 gives  $f_5 > 51 \cdot 2^{5-6} - 1$  and then  $25 \leq f_5 = f_4 + f(u_5) \leq f_4 + (f_4 + 1) = 2f(u_4) + 15$ . This implies that  $f(u_4) \geq 5$ . On the other hand, we see from Lemma 2.3 that  $f(u_4) \leq f_3 + 1 = 8$ . Hence,  $5 \leq f(u_4) \leq 8$ . We discuss the problem for each possible value of  $f(u_4)$ . Since  $f(u_3) = 4$ ,  $u_1u_2$  must be an edge of  $G$  for otherwise there would be no connected subgraph  $H$  satisfying  $f(H) = 3$ . Therefore in each of the following three cases,  $u_1u_2 \in E(G)$  is true.

*Case 1:*  $f(u_4) = 8$ .

Since  $f(u_3) > f_2 - f(u_1)$  and  $f(u_4) > f(u_1) + f(u_3)$ , we have  $u_1u_3 \in E(G)$  by Lemma 2.4. Similarly,  $u_2u_3$  is an edge of  $G$  as well. Hence, the subgraph induced by  $\{u_1, u_2, u_3\}$  is isomorphic to  $K_3$ . First, let us consider the situation where  $u_1u_4 \notin E(G)$  or  $u_2u_4 \notin E(G)$ . Then  $f(u_5) \leq f(u_2) + f(u_4) = 10$  by Lemma 2.4. In addition,  $f_5 > 51 \cdot 2^{5-6} - 1$  from Lemma 4.3 implies that  $f(u_5) = f_5 - f_4 \geq 25 - 15 = 10$ . Therefore,  $f(u_5) = 10$  and  $f_5 = 25$ . If  $m = 5$ , then  $(m_1, m_2, m_3) = (1, 2, 2)$  and  $f(G) = f_5 = 25 < 27 = 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$ . Now, suppose that  $m \geq 6$ . The fact  $f_6 > 51 \cdot 2^{6-6} - 1$  implies that  $f(u_6) = f_6 - f_5 \geq 51 - 25 = 26$ . Hence, we see that  $f(u_6) = f_5 + 1 = 26$ . Since  $\langle \{u_1, u_2, u_3\} \rangle \cong K_3$ , there is some  $t \in \{1, 2, 3\}$  such that  $u_6u_t \notin E(G)$ . Therefore, Lemma 2.4 gives that  $f(u_7) \leq f(u_6) + f(u_t) \leq f(u_6) + f(u_3) = 30$ . However, this leads to  $f_7 = f(u_7) + f(u_6) + f_5 \leq 81 < 51 \cdot 2^{7-6} - 1$ , which contradicts to Lemma 4.3. We therefore conclude that in this situation where  $u_1u_4 \notin E(G)$  or  $u_2u_4 \notin E(G)$ , “ $m \geq 6$ ” is impossible to be true.

Next, we consider the situation where  $u_1u_4 \in E(G)$  and  $u_2u_4 \in E(G)$ . Then  $u_3$  and  $u_4$  must be in the same partite set of  $G$ . We therefore have that  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{1,1,2}$  and then  $f(u_5) \leq f(u_4) + f(u_3) = 12$  by Lemma 2.4. Since  $f_5 = f_4 + f(u_5) \leq 15 + 12 = 27$ ,  $f(G) \leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$  holds when  $m = 5$ . When  $m \geq 6$ ,  $f_6 > 51 \cdot 2^{6-6} - 1$  implies that  $f(u_6) = f_6 - f_5 > 50 - 27 = 23 > f(u_4) + f(u_5)$ . We then have  $u_4u_5 \in E(G)$  by Lemma 2.4 because  $f_4 - f(u_4) < 8 = f(u_4) < f(u_5)$  and  $f(u_4) = f_3 + 1$ . Hence,  $\langle \{u_1, u_2, u_3, u_4, u_5\} \rangle \cong K_{1,2,2}$ .

Now, Lemma 4.5 enables us to obtain that  $f(G) = 2^{m-5}f_5 + \sum_{\ell=1}^{m-5} 2^{m-5-\ell}r_\ell \leq 27 \cdot 2^{m-5} + \sum_{\ell=1}^{m-5} 2^{m-5-\ell}r_\ell$ . From the previous discussion, we know that  $\langle \{u_1, u_2, u_4\} \rangle \cong K_3$ . Let us denote the partite set containing  $u_j$  as  $V_{u_j}$ ,  $j = 1, 2, 4$ . Then  $f(u_j) = f_{j-1} + 1$  and  $\{|V_{u_j}| \mid j = 1, 2, 4\} = \{m_1, m_2, m_3\}$ . Since  $f_5 \leq 27 = 14 \cdot 2^{5-4} - 1$ , by Lemma 4.4, we know that  $f(u_{5+\ell}) \leq f_{5+\ell-1} - f(u_j)$  whenever  $u_{5+\ell} \in V_{u_j}$  for  $j = 1, 2, 4$ . Therefore,  $r_\ell \leq -f(u_1) = -1$  whenever  $u_{5+\ell} \in V_{u_1}$ ;  $r_\ell \leq -f(u_2) = -2$  whenever  $u_{5+\ell} \in V_{u_2}$  and  $r_\ell \leq -f(u_4) = -8$  whenever  $u_{5+\ell} \in V_{u_4}$ . With the fact  $\langle \{u_1, u_2, u_3, u_4, u_5\} \rangle \cong K_{1,2,2}$  in mind, we are ready for the discussion about the upper bounds on  $f(G)$ .

In the case where  $2 \leq m_1 \leq m_2 \leq m_3$ , the sum  $\sum_{\ell=1}^{m-5} 2^{m-5-\ell}r_\ell$  is maximized when  $(|V_{u_1}|, |V_{u_2}|, |V_{u_4}|) = (m_3, m_2, m_1)$  and  $r_\ell = -1$  for all  $\ell = 1, 2, \dots, m_3 - 1$ ;  $r_\ell = -2$  for all  $\ell = m_3, m_3 + 1, \dots, m_3 + m_2 - 3$  and  $r_\ell = -8$  for all  $\ell = m_3 + m_2 - 2, \dots, m - 5$ . Therefore,

$$\begin{aligned} f(G) &\leq 2^{m-5} \cdot 27 + \sum_{j=1}^{m_3-1} 2^{m-5-j} \cdot (-1) + \sum_{j=m_3}^{m_3+m_2-3} 2^{m-5-j} \cdot (-2) \\ &\quad + \sum_{j=m_3+m_2-2}^{m-5} 2^{m-5-j} \cdot (-8) \\ &\leq 27 \cdot 2^{m-5} - (2^{m-5} - 2^{m_1+m_2-4}) - 2(2^{m_1+m_2-4} - 2^{m_1-2}) - 8(2^{m_1-2} - 1) \\ &= 13 \cdot 2^{m-4} - 2^{m_1+m_2-4} - 3 \cdot 2^{m_1-1} + 8 \\ &= 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4 - (2^{m_1+m_2-4} + 3 \cdot 2^{m_1-2} - 4) \\ &\leq 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4. \end{aligned}$$

In the case where  $1 = m_1 < m_2 \leq m_3$ , the sum  $\sum_{\ell=1}^{m-5} 2^{m-5-\ell}r_\ell$  is maximized when  $(|V_{u_1}|, |V_{u_2}|, |V_{u_4}|) = (m_3, 1, m_2)$  and  $r_\ell = -1$  for all  $\ell = 1, 2, \dots, m_3 - 2$ ;  $r_\ell = -8$  for all  $\ell = m_3 - 1, m_3, \dots, m_3 + m_2 - 4 = m - 5$ . Hence,

$$\begin{aligned} f(G) &\leq 2^{m-5} \cdot 27 + \sum_{j=1}^{m_3-2} 2^{m-5-j} \cdot (-1) + \sum_{j=m_3-1}^{m-5} 2^{m-5-j} \cdot (-8) \\ &\leq 27 \cdot 2^{m-5} - (2^{m-5} - 2^{m_2-2}) - 8(2^{m_2-2} - 1) \\ &\leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2. \end{aligned}$$

Case 2:  $f(u_4) = 7$ .

For the same reason stated in the previous case, we also have  $\langle \{u_1, u_2, u_3\} \rangle \cong K_3$ . Now, Lemma 4.3 gives that  $f_5 > 51 \cdot 2^{5-6} - 1$ . This implies  $f(u_5) = f_5 - f_4 \geq 11$  which is greater than  $f(u_4) + f(u_i)$  for  $i = 1, 2$ . We then have  $u_i u_4 \in E(G)$  from Lemma 2.4 because  $f(u_4) = 7 > f_3 - f(u_i)$ ,  $i = 1, 2$ . It follows that  $u_3$  and  $u_4$  must be in the same partite set of  $G$ . Since  $f_3 - f(u_3) < f(u_4)$ , we have  $f(u_5) \leq f(u_3) + f(u_4) = 11$  by Lemma 2.4. This implies that  $f_5 \leq 25$ . If  $m = 5$ , then  $(m_1, m_2, m_3) = (1, 2, 2)$  and  $f(G) = f_5 \leq 25 \leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$ . If  $m \geq 6$ , then the fact  $f_6 > 51 \cdot 2^{6-6} - 1$  from Lemma 4.3 gives  $f(u_6) = f_6 - f_5 > 50 - 25 = 25$ . Hence,  $f(u_6) = 26$  and then  $f_5 = 25$  because  $f(u_6) \leq f_5 + 1$ . Now, since  $u_6 u_t \notin E(G)$  for some  $t \in \{1, 2, 3\}$ , we have  $f(u_7) \leq f(u_6) + f(u_t) \leq f(u_6) + f(u_3) = 30$ . However, this leads to  $f_7 = f_5 + f(u_6) + f(u_7) \leq 81 < 51 \cdot 2^{7-6} - 1$ , which contradicts to Lemma 4.3. We therefore conclude that “ $m \geq 6$ ” is impossible to occur in this case.

Case 3:  $f(u_4) = 6$ .

Since  $f(u_4) > f(u_3) + f(u_1)$  and  $f(u_3) > f_2 - f(u_1)$ , Lemma 2.4 implies that  $u_3 u_1 \in E(G)$ . First, consider the situation where  $u_2 u_3 \in E(G)$ . Then  $\langle \{u_1, u_2, u_3\} \rangle \cong K_3$  and  $u_5 u_t \notin E(G)$  for some  $t \in \{1, 2, 3\}$ . Suppose that  $f(u_5) \geq 13$ . Then  $f(u_5) > f_4 - f(u_1) \geq f_4 - f(u_t)$ . By Lemma 2.4, we have  $f(u_6) \leq f(u_5) + f(u_t) \leq f(u_5) + f(u_3) \leq (f_4 + 1) + f(u_3) \leq 18$ . However, this implies that  $f_6 = f_4 + f(u_5) + f(u_6) \leq 45 < 51 \cdot 2^{6-6} - 1$ , which is a contradiction to Lemma 4.3. Hence we have  $f(u_5) \leq 12$  and  $f_5 \leq 25$ . Suppose again that  $m \geq 6$ . Since  $f_6 > 51 \cdot 2^{6-6} - 1$ , one can see that  $f(u_6) = f_6 - f_5 > 25 \geq f_5$ . This implies that  $f(u_6) = f_5 + 1 \leq 26$ . Observe that if  $u_6 u_s \notin E(G)$  for some  $s \in \{1, 2, 3\}$ , we have  $f(u_7) \leq f(u_6) + f(u_s) \leq f(u_6) + f(u_3) = 30$  by Lemma 2.4. However, this leads to  $f_7 = f_5 + f(u_6) + f(u_7) \leq 81 < 51 \cdot 2^{7-6} - 1$ . This contradiction enables us to conclude that “ $m \geq 6$ ” is impossible to occur in the situation where  $u_2 u_3 \in E(G)$ . Therefore we have  $m = 5$  and  $f(G) = f_5 \leq 25 < 27 = 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$ . The result holds in this situation.

Next, assume that  $u_2 u_3 \notin E(G)$ . Since  $f_5 > 51 \cdot 2^{5-6} - 1$ ,  $f(u_5) = f_5 - f_4 \geq 25 - 13 = 12$  which is greater than  $f(u_3) + f(u_4)$ . We see that  $u_3$  and  $u_4$  must be adjacent in  $G$  by Lemma 2.4 because  $f(u_4) > f_3 - f(u_3)$ . It follows that  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{1,1,2}$  or  $K_{2,2}$ . Now, let the partite set containing  $u_j$  be  $V_{u_j}$ ,  $j = 1, 3$ . Then  $u_4$  belongs to  $V_{u_1}$  or the other partite set, written  $V_0$ . Observe that  $f_4 = 13 = 14 \cdot 2^{4-4} - 1$  and  $f(u_j) = f_{j-1} + 1$  for  $j = 1, 3$ , one can see from Lemma 4.4 that  $f(u_t) \leq f_{t-1} - f(u_j)$ , if  $u_t \in V_{u_j}$ ,  $j = 1, 3$  and  $t \in [5, m]$ . Therefore,  $f(u_{4+\ell}) \leq f_{4+\ell-1} - f(u_1)$  whenever  $u_{4+\ell} \in V_{u_1}$  and  $f(u_{4+\ell}) \leq f_{4+\ell-1} - f(u_3)$  whenever  $u_{4+\ell} \in V_{u_3}$ . Let  $i = 4$  in Lemma 4.5, we obtain  $f(G) = 2^{m-4} f_4 + \sum_{\ell=1}^{m-4} 2^{m-4-\ell} r_\ell$ , where the  $r_\ell$ , defined as  $f(u_{4+\ell}) - f_{4+\ell-1}$ , does not exceed  $-f(u_1)$  if  $u_{4+\ell} \in V_{u_1}$  and it is not greater than  $-f(u_3)$  if  $u_{4+\ell} \in V_{u_3}$ . Let  $S_1 = \{u_k \in V_0 \mid f(u_k) = f_{k-1} + 1\}$ . Then  $r_\ell \leq 0$

whenever  $u_{4+\ell} \in V_0 \setminus S_1$ . If  $S_1 \neq \emptyset$ , we denote as  $i_1$  the minimum element in  $\{k \mid u_k \in S_1\}$ , then  $i_1 \geq 5$  and Lemma 4.4 implies that  $f(u_{i_1+\ell}) \leq f_{i_1+\ell-1} - f(u_{i_1})$  whenever  $u_{i_1+\ell} \in V_0$ . Therefore,  $S_1 = \{u_{i_1}\}$ . Let  $S_2 = \{u_k \in V_0 \mid f(u_k) \leq f_{k-1} - f(u_{i_1})\}$  and  $|S_2| = y$ . Then  $r_\ell \leq -f(u_{i_1})$  whenever  $u_{4+\ell} \in S_2$ . With these observations in mind, we can investigate the value of  $f(G)$  in the following situations.

*Subcase 3.1:  $2 \leq m_1 \leq m_2 \leq m_3$ .*

First, if  $S_1 = \emptyset$ , then  $r_\ell \leq 0$  whenever  $u_{4+\ell} \in V_0$ . The sum  $\sum_{\ell=1}^{m-4} 2^{m-4-\ell} r_\ell$  is maximized when  $u_4 \in V_{u_1}$ ,  $(|V_{u_1}|, |V_{u_3}|, |V_0|) = (m_2, m_1, m_3)$  and  $r_\ell = 0$  for all  $\ell = 1, 2, \dots, m_3$ ;  $r_\ell = -f(u_1) = -1$  for all  $\ell = m_3 + 1, m_3 + 1, \dots, m_3 + m_2 - 2$  and  $r_\ell = -f(u_3) = -4$  for all  $\ell = m_3 + m_2 - 1, \dots, m - 4$ . Therefore, we have

$$\begin{aligned} f(G) &\leq 2^{m-4} f_4 + \sum_{j=1}^{m_3} 2^{m-4-j} \cdot 0 + \sum_{j=m_3+1}^{m_3+m_2-2} 2^{m-4-j} \cdot (-1) \\ &\quad + \sum_{j=m_3+m_2-1}^{m-4} 2^{m-4-j} \cdot (-4) \\ &= 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4 - 2^{m_1+m_2-4} \\ &\leq 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4. \end{aligned}$$

Second, if  $S_1 \neq \emptyset$ , then  $r_\ell \leq -f(u_{i_1})$  whenever  $u_{4+\ell} \in S_2$ . We split the discussion into two parts:

(1) In the situation where  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{1,1,2}$ , since  $u_1 u_4 \in E(G)$  and  $u_4 \in V_0$ ,  $f(G)$  is maximized when  $(|V_{u_1}|, |V_{u_3}|, |V_0|) = (m_2, m_1, m_3)$  and

$$\begin{aligned} f(G) &\leq 2^{m-4} f_4 + \sum_{j=1}^{m_3-y-2} 2^{m-4-j} \cdot 0 + 2^{m-4-(m_3-y-1)} \\ &\quad + \sum_{j=m_3-y}^{m_3+m_2-y-2} 2^{m-4-j} \cdot (-1) + \sum_{j=m_3+m_2-y-1}^{m-y-4} 2^{m-4-j} \cdot (-4) \\ (4.4) \quad &\quad + \sum_{j=m-y-3}^{m-4} 2^{m-4-j} \cdot [-f(u_{i_1})] \\ &= 13 \cdot 2^{m-4} + 2^{m_1+m_2+y-3} - 2^{m_1+y-2}(2^{m_2-1} - 1) \\ &\quad - 4 \cdot 2^y(2^{m_1-2} - 1) - f(u_{i_1})(2^y - 1) \\ &= 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1+y-2} + 4 - [f(u_{i_1}) - 4] \cdot (2^y - 1). \end{aligned}$$

This implies that  $f(G) \leq 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4$  because  $f(u_{i_1}) \geq 4$ .

(2) In the situation where  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{2,2}$ , we have  $u_1 u_4 \notin E(G)$  and  $u_4 \in V_{u_1}$ .

By the similar argument, we obtain

$$\begin{aligned}
 f(G) &\leq 2^{m-4} f_4 + \sum_{j=1}^{m_3-y-1} 2^{m-4-j} \cdot 0 + 2^{m-4-(m_3-y)} + \sum_{j=m_3-y+1}^{m_2+m_3-y-2} 2^{m-4-j} \cdot (-1) \\
 &+ \sum_{j=m_2+m_3-y-1}^{m-y-4} 2^{m-4-j} \cdot (-4) + \sum_{j=m-y-3}^{m-4} 2^{m-4-j} \cdot [-f(u_{i_1})].
 \end{aligned}$$

This upper bound is less than the one in (4.4). Our result holds in this situation.

*Subcase 3.2:*  $1 = m_1 < m_2 \leq m_3$ .

First, if  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{2,2}$ , then we have  $u_4 \in V_{u_1}$ . Now,  $f(G)$  is maximized when  $(|V_{u_1}|, |V_{u_3}|, |V_0|) = (m_3, m_2, 1)$  and

$$\begin{aligned}
 (4.5) \quad f(G) &\leq 2^{m-4} f_4 + 2^{m-4-1} \cdot 1 + \sum_{j=2}^{m_3-1} 2^{m-4-j} \cdot (-1) \\
 &+ \sum_{j=m_3}^{m-4} 2^{m-4-j} \cdot (-4) \\
 &= 13 \cdot 2^{m-4} - 3 \cdot 2^{m_2-2} + 4 \\
 &= 13 \cdot 2^{m-4} - 2^{m_2-2} + 2 - 2(2^{m_2-2} - 1) \\
 &\leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2.
 \end{aligned}$$

Second, if  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{1,1,2}$ , then  $u_4 \in V_0$ . When  $|V_0| = 1$ , the argument is very similar to the previous one and we have

$$f(G) \leq 2^{m-4} f_4 + \sum_{j=1}^{m_3-1} 2^{m-4-j} \cdot (-1) + \sum_{j=m_3}^{m-4} 2^{m-4-j} \cdot (-4).$$

This value is smaller than the one in (4.5) and our result holds.

When  $|V_{u_1}| = 1$ , we have to consider whether  $S_1$  is empty or not.

(1) If  $S_1 = \emptyset$ , then  $r_\ell \leq 0$  whenever  $u_{4+\ell} \in V_0$ .  $f(G)$  is maximized when  $(|V_{u_1}|, |V_{u_3}|, |V_0|) = (1, m_2, m_3)$  and

$$\begin{aligned}
 f(G) &\leq 2^{m-4} f_4 + \sum_{j=1}^{m_3-1} 2^{m-4-j} \cdot 0 + \sum_{j=m_3}^{m_2+m_3-3} 2^{m-4-j} \cdot (-4) \\
 &= 13 \cdot 2^{m-4} - 4 \cdot 2^{m_2-2} + 4 \\
 &\leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2.
 \end{aligned}$$

(2) If  $S_1 \neq \emptyset$ , then  $r_\ell \leq -f(u_{i_1})$  whenever  $u_{4+\ell} \in S_2$ . We can see from Lemma 4.5

that

$$\begin{aligned}
 f(G) &\leq 2^{m-4} f_4 + \sum_{j=1}^{m_3-y-2} 2^{m-4-j} \cdot 0 + 2^{m-4-(m_3-y-1)} \\
 &\quad + \sum_{j=m_3-y}^{m_2+m_3-y-3} 2^{m-4-j} \cdot (-4) + \sum_{j=m_2+m_3-y-2}^{m_2+m_3-3} 2^{m-4-j} \cdot [-f(u_{i_1})] \\
 &= 13 \cdot 2^{m-4} + 2^{m_2+y-2} - 4(2^{m_2+y-2} - 2^y) - f(u_{i_1})(2^y - 1) \\
 &= 13 \cdot 2^{m-4} - 3 \cdot 2^{m_2+y-2} + 4 - [f(u_{i_1}) - 4] \cdot (2^y - 1) \\
 &= 13 \cdot 2^{m-4} - 2^{m_2+y-2} + 2 - (2 \cdot 2^{m_2+y-2} - 2) - [f(u_{i_1}) - 4](2^y - 1) \\
 &\leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2.
 \end{aligned}$$

Case 4:  $f(u_4) = 5$ .

Since  $f_5 > 51 \cdot 2^{5-6} - 1$ ,  $f(u_5) = f_5 - f_4 \geq 13$ . Thus,  $f(u_5) = 13 = f_4 + 1$  and  $f_5 = 25$ . The fact  $f_6 > 51 \cdot 2^{6-6} - 1$  implies that  $f(u_6) = f_6 - f_5 \geq 51 - 25 = 26$ . Then  $f(u_6) = 26$  and  $f_6 = 51$ . Since  $f(u_6) > f(u_5) + f(u_t)$  and  $f(u_5) > f_4 - f(u_t)$  for  $t = 1, 2$ , Lemma 2.4 guarantees that  $u_5 u_t \in E(G)$  for  $t \in \{1, 2\}$ . Hence,  $\langle \{u_1, u_2, u_5\} \rangle \cong K_3$ . Suppose that  $m \geq 6$ . Since  $u_6 u_t \notin E(G)$  for some  $t \in \{1, 2, 5\}$  and  $f(u_6) > f_5 - f(u_t)$ , we obtain from Lemma 2.4 that  $f(u_7) \leq f(u_6) + f(u_t) \leq f(u_6) + f(u_5) = 39$ . However, this implies that  $f_7 = f_6 + f(u_7) \leq 90 < 51 \cdot 2^{7-6} - 1$ , contradicting to Lemma 4.3. Therefore, the only possible situation in this case is “ $m = 5$ ” and then  $f(G) = f_5 = 25 < 27 = 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$ .

We have verified that our upper bounds are valid when  $f(u_3) = 4$ .

For the second part of this proof, we assume that  $f(u_3) = 3$ . Note that  $f_3 + 1 \geq f(u_4) = f_4 - f_3 > (51 \cdot 2^{4-6} - 1) - 6$ , that is,  $7 \geq f(u_4) \geq 6$ .

Case 1:  $f(u_4) = 7$ .

In this case,  $f(u_5) = f_5 - f_4 > (51 \cdot 2^{5-6} - 1) - 13$ , that is,  $f(u_5) \geq 12$ . First, let us consider the situation where  $u_1 u_2 \in E(G)$ . Since  $f(u_5) > f(u_4) + f(u_t)$  and  $f(u_4) > f_3 - f(u_t)$  for  $t = 1, 2$ , Lemma 2.4 implies that  $u_4 u_t \in E(G)$ . Hence,  $\langle \{u_1, u_2, u_4\} \rangle \cong K_3$  and then there is some  $t \in \{1, 2, 4\}$  such that  $u_5 u_t \notin E(G)$ . Observe that  $f(u_6) = f_6 - f_5 > (51 \cdot 2^{6-6} - 1) - f_5 \geq 50 - (f_4 + (f_4 + 1)) = 23$ . Since  $f(u_6) > 21 \geq f(u_4) + f(u_5)$ , we obtain from Lemma 2.4 that  $f(u_5) \leq f_4 - f(u_t) \leq f_4 - f(u_1) = 12$ . Therefore,  $f(u_5) = 12$  and  $f_5 = 25$ . Suppose that  $m \geq 6$ . Then  $f(u_6) = f_6 - f_5 > (51 \cdot 2^{6-6} - 1) - 25$ . Hence,  $f(u_6) = f_5 + 1 = 26$ . Now, we have  $u_6 u_t \notin E(G)$  for some  $t \in \{1, 2, 4\}$  and  $f(u_6) > f_5 - f(u_t)$ . Lemma 2.4 enables us to obtain  $f(u_7) \leq f(u_6) + f(u_t) \leq f(u_6) + f(u_4) = 33$ . However, this leads to  $f_7 = f_6 + f(u_7) \leq 84 < 51 \cdot 2^{7-6} - 1$ , giving a contradiction. Therefore, “ $m \geq 6$ ” is impossible to occur when  $u_1 u_2 \in E(G)$  and then  $f(G) = f_5 = 25 < 27 = 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$ .

Next, let us consider the situation where  $u_1u_2 \notin E(G)$ . Since  $f(u_4) > f_3 - f(u_1) > f(u_3) + f(u_1)$ , we know from Lemma 2.4 that both  $u_1u_4$  and  $u_1u_3$  are edges of  $G$  because  $f(u_5) \geq 12 > f(u_4) + f(u_1)$  and  $f(u_3) > f_2 - f(u_1)$ . Therefore, we have  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{1,1,2}$  or  $K_{2,2}$ . Let us denote the partite set containing  $u_j$  as  $V_{u_j}$ ,  $j = 2, 4$ , and the other one as  $V_0$ . By Lemma 4.4, we have  $f(u_{4+\ell}) \leq f_{4+\ell-1} - f(u_2) = f_{4+\ell-1} - 2$  if  $u_{4+\ell} \in V_{u_2}$  and  $f(u_{4+\ell}) \leq f_{4+\ell-1} - f(u_4) = f_{4+\ell-1} - 7$  if  $u_{4+\ell} \in V_{u_4}$ . Let  $S_1 = \{u_k \in V_0 \mid f(u_k) = f_{k-1} + 1\}$ . Then  $r_\ell \leq 0$  whenever  $u_{4+\ell} \in V_0 \setminus S_1$ . If  $S_1 \neq \emptyset$ , we denote as  $i_1$  the minimum element in  $\{k \mid u_k \in S_1\}$ , then, for the same reason as we stated in Case 3 in the proof of Proposition 4.6, we have  $S_1 = \{u_{i_1}\}$ . Let  $S_2 = \{u_k \in V_0 \mid f(u_k) \leq f_{k-1} - f(u_{i_1})\}$  and  $|S_2| = y$ . Then  $r_\ell \leq -f(u_{i_1})$  whenever  $u_{4+\ell} \in S_2$ .

Note that in the following three situations where “ $2 \leq m_1 \leq m_2 \leq m_3$ ”, “ $1 = m_1 < m_2 \leq m_3$  and  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{2,2}$ ” and “ $1 = m_1 < m_2 \leq m_3$ ,  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{1,1,2}$  and  $|V_0| = 1$ ”, the argument is almost the same as we used in Case 3 in the proof of Proposition 4.6. The upper bounds on  $f(G)$  here can be obtained by replacing  $-1$  with  $-2$  and replacing  $-4$  with  $-7$  in the places of  $r_\ell$ 's in the expressions of the upper bounds in that proof. Since each resulting upper bound is less than the original one, our results still hold here.

The remaining situation in Case 1 is when “ $1 = m_1 < m_2 \leq m_3$ ,  $\langle \{u_1, u_2, u_3, u_4\} \rangle \cong K_{1,1,2}$  and  $|V_{u_4}| = 1$ ”. If  $S_1 = \emptyset$ , then  $r_\ell \leq 0$  whenever  $u_{4+\ell} \in V_0$ . Lemma 4.5 guarantees that

$$\begin{aligned} f(G) &\leq 2^{m-4}f_4 + \sum_{j=1}^{m_3-1} 2^{m-4-j} \cdot 0 + \sum_{j=m_3}^{m-4} 2^{m-4-j} \cdot (-2) \\ &= 13 \cdot 2^{m-4} - 2 \cdot 2^{m_2-2} + 2 \\ &\leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2. \end{aligned}$$

If  $S_1 \neq \emptyset$ , then  $r_\ell \leq -f(u_{i_1})$  whenever  $u_{4+\ell} \in S_2$ . We have

$$\begin{aligned} f(G) &\leq 2^{m-4}f_4 + \sum_{j=1}^{m_3-y-2} 2^{m-4-j} \cdot 0 + 2^{m-4-(m_3-y-1)} \\ &\quad + \sum_{j=m_3-y}^{m_2+m_3-y-3} 2^{m-4-j} \cdot (-2) + \sum_{j=m_2+m_3-y-2}^{m-4} 2^{m-4-j} \cdot [-f(u_{i_1})] \\ &= 13 \cdot 2^{m-4} + 2^{m_2+y-2} - 2(2^{m_2+y-2} - 2^y) - f(u_{i_1})(2^y - 1) \\ &= 13 \cdot 2^{m-4} - 2^{m_2+y-2} + 2 - (f(u_{i_1}) - 2)(2^y - 1) \\ &\leq 13 \cdot 2^{m-4} - 2^{m_2-2} + 2. \end{aligned}$$

Case 2:  $f(u_4) = 6$ .

Since  $f(u_5) = f_5 - f_4 > (51 \cdot 2^{5-6} - 1) - 12$ , we have  $f(u_5) = f_4 + 1 = 13$  and  $f_5 = 25$ . In addition,  $f(u_6) = f_6 - f_5 > (51 \cdot 2^{6-6} - 1) - 25 = 25$ , which means  $f(u_6) > f(u_5) + f(u_i)$  for  $i = 1, 2$ . By Lemma 2.4, we know that  $u_i u_5 \in E(G)$ ,  $i = 1, 2$ , because  $f(u_5) > f_4 - f(u_i)$ . Hence  $\langle \{u_1, u_2, u_5\} \rangle \cong K_3$  or  $K_{1,2}$ . If  $m = 5$ , then  $(m_1, m_2, m_3) = (1, 2, 2)$  and  $f(G) = f_5 = 25 < 27 = 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$ . If  $m \geq 6$ , then, as we just showed,  $f(u_6) = f_5 + 1 = 26$  and  $f_6 = 51$ . Now,  $f(u_7) = f_7 - f_6 > (51 \cdot 2^{7-6} - 1) - 51 = 50$ , which means  $f(u_7) > f(u_2) + f(u_6)$ . We then have  $u_2 u_6 \in E(G)$  by Lemma 2.4 because  $f(u_6) > f_5 - f(u_2)$ . Similarly, we also have  $u_5 u_6 \in E(G)$ . Therefore,  $\langle \{u_2, u_5, u_6\} \rangle \cong K_3$ . If  $m = 6$ , then  $(m_1, m_2, m_3) = (1, 2, 3)$  or  $(2, 2, 2)$ . In the former case,  $f(G) = f_6 = 51 < 53 = 13 \cdot 2^{m-4} - 2^{m_2-2} + 2$ . In the latter case,  $f(G) < 53 = 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4$ . Both results are true. Now, suppose that  $m \geq 7$ . Then  $f(u_7) \geq 51$  as we just showed. Since  $u_7 u_t \notin E(G)$  for some  $t \in \{2, 5, 6\}$  and  $f(u_7) > f_6 - f(u_t)$ , we see from Lemma 2.4 that  $f(u_8) \leq f(u_7) + f(u_t) \leq f(u_7) + f(u_6) \leq (f_6 + 1) + f(u_6) = 78$ . However, this leads to  $f_8 = f_6 + f(u_7) + f(u_8) \leq 181 < 51 \cdot 2^{8-6} - 1$ . We obtain a contradiction and therefore “ $m \geq 7$ ” is impossible to occur in Case 2.

We conclude that our upper bounds are also valid when  $f(u_3) = 3$ . The proof is completed. □

Combining Propositions 3.1, 4.6 and the result obtained in [11] we have the following result.

**Theorem 4.7.**

$$M(K_{m_1, m_2, m_3}) = \begin{cases} 13 \cdot 2^{m-4} - 3 \cdot 2^{m_1-2} + 4 & \text{if } 2 \leq m_1 \leq m_2 \leq m_3, \\ 13 \cdot 2^{m-4} - 2^{m_2-2} + 2 & \text{if } 1 = m_1 < m_2 \leq m_3, \\ 2^m - 2^{m_3} + 1 & \text{if } 1 = m_1 = m_2 < m_3, \end{cases}$$

where  $m = m_1 + m_2 + m_3$ .

### 5. Conclusion

In this paper, we have provided a lower bound on  $M(K_{m_1, m_2, \dots, m_\ell})$  for two cases and then proved that, when  $\ell = 3$ , our lower bound also serves as an upper bound on  $M(K_{m_1, m_2, m_3})$  in each case. The IC-colorings we have constructed are indeed qualified maximal IC-colorings. The problem of finding the IC-index of any complete tripartite graph is completely settled. As the derivation of  $M(K_{m_1, m_2, \dots, m_\ell})$  becomes more and more involved when the value of  $\ell$  becomes larger, a structural approach is required for future study of this problem. By analyzing the discussion in this paper, we are inspired to develop such an approach to deal with the problem for larger  $\ell$ .

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