

## Eta Invariants and Automorphisms of Compact Complex Manifolds

Akito Futaki and Kenji Tsuboi

*Dedicated to Professor Akio Hattori on his sixtieth birthday*

### §1. Introduction

Let  $M$  be an  $m$ -dimensional compact complex manifold,  $G$  the group of all automorphisms of  $M$  and  $\mathfrak{g}$  the complex Lie algebra of all holomorphic vector fields on  $M$ . In [F1, FM0] we defined a complex Lie algebra character  $\mathcal{F} : \mathfrak{g} \rightarrow \mathbb{C}$  with properties that  $\mathcal{F}$  depends only on the complex structure of  $M$ , and that the vanishing of  $\mathcal{F}$  is a necessary condition for  $M$  to admit an Einstein-Kähler metric.  $\mathcal{F}$  can be lifted to a group character  $\widehat{\mathcal{F}} : G \rightarrow \mathbb{C}/\mathbb{Z}$ . For these we refer the reader to a survey [FMaS], Chapters 1 and 3 in this volume; but brief reviews of  $\mathcal{F}$  and  $\widehat{\mathcal{F}}$  will be given respectively in this section and at the beginning of Section 3.

In this paper we apply the theory of eta invariants of [APS] and [D] to obtain an interpretation of  $\widehat{\mathcal{F}}$  in terms of eta invariants (Theorem 3.7) and a localization formula for  $\widehat{\mathcal{F}}(a)$  in terms of the fixed point set of an automorphism  $a \in G$  (Theorem 3.10). We also compute a few examples.

An unsolved question, which motivated this study, is whether  $M$  admits an Einstein-Kähler metric if  $c_1(M) > 0$  and  $\mathfrak{g} = \{0\}$ . Note that if  $\mathfrak{g} = \{0\}$  then  $\mathcal{F} = 0$  trivially. Our study began with an attempt to know whether  $\widehat{\mathcal{F}}$  can play any role even if  $\mathfrak{g} = \{0\}$ . If  $c_1(M) > 0$  and  $\mathfrak{g} = \{0\}$  then  $G$  is a finite group and the imaginary part  $\text{Im } \widehat{\mathcal{F}} : G \rightarrow \mathbb{R}$  vanishes identically, but the real part  $\text{Re } \widehat{\mathcal{F}} : G \rightarrow \mathbb{R}/\mathbb{Z}$  may not do. Our aim is therefore to find an example of a compact complex manifold with  $\mathfrak{g} = \{0\}$  and with  $\widehat{\mathcal{F}} \neq 0$ . We mention however that it is not known whether  $\widehat{\mathcal{F}} \neq 0$  implies the nonexistence of an Einstein-Kähler metric,

---

Received November 30, 1988.

Revised March 20, 1989.

while it is obvious from the definition of  $\mathcal{F}$  that  $\mathcal{F} \neq 0$  implies the nonexistence of an Einstein-Kähler metric. Let us recall the definition of  $\mathcal{F}$ .

Let  $h$  be a Hermitian metric of  $M$ , and  $\omega = (i/2\pi)h_{\alpha\bar{\beta}}dz^\alpha \wedge d\bar{z}^\beta$  be its fundamental 2-form. Let  $\mathcal{Y}$  be a holomorphic vector field on  $M$ . The character  $\mathcal{F}$  can be expressed by

$$\mathcal{F}(\mathcal{Y}) = (m+1) \frac{i}{2\pi} \int_M \operatorname{div}(\mathcal{Y}) c_1(h)^m,$$

where  $\operatorname{div}(\mathcal{Y})$  is the complex divergence defined by

$$d(i(\mathcal{Y})\omega^m) = \operatorname{div}(\mathcal{Y})\omega^m$$

and  $c_1(h)$  is the first Chern form with respect to  $h$ :

$$c_1(h) = -\frac{i}{2\pi} \partial\bar{\partial} \log \det (h_{\alpha\bar{\beta}}).$$

If  $h$  is an Einstein-Kähler metric, i.e.  $c_1(h) = k\omega$  for some real constant  $k$ , then, from the Stokes theorem

$$\mathcal{F}(\mathcal{Y}) = (m+1) \frac{ik^m}{2\pi} \int_M d(i(\mathcal{Y})\omega^m) = 0.$$

Thus  $\mathcal{F} \neq 0$  implies the nonexistence of an Einstein-Kähler metric. This statement is strengthened as follows:  $\mathcal{F} \neq 0$  implies the nonexistence of a Hermitian metric such that for some real constant  $k'$

$$c_1(h)^m = k'\omega^m,$$

for we can rewrite the above formula of  $\mathcal{F}$  as

$$\mathcal{F}(\mathcal{Y}) = -(m+1) \int_M \mathcal{Y} \left( \frac{c_1(h)^m}{\omega^m} \right) \omega^m.$$

Note that  $c_1(h)^m/\omega^m$  is a smooth function globally well defined on  $M$ .

In Section 4 we shall exhibit an example of a rational surface with  $g = \{0\}$  and with  $\widehat{\mathcal{F}} \neq 0$ . The first Chern class of this surface, however, is not positive. We could not find an example with an additional assumption  $c_1(M) > 0$ .

Finally we mention the recent existence results of Einstein-Kähler metrics of positive Ricci curvature. First of all, in [Sa, KS1, KS2] Sakane and Koiso considered a certain class of  $\mathbb{P}^1$ -bundles with  $\mathbb{C}^*$ -action which restrict to actions on the fibers  $\mathbb{P}^1$ . Let  $\mathcal{Y}$  be the holomorphic vector

field induced from this action. They showed that  $\mathcal{F}(\mathcal{Y}) = 0$  becomes a sufficient condition for the existence of an Einstein-Kähler metric of positive Ricci curvature. In the proof of this result it is shown that if  $\mathcal{F}(\mathcal{Y}) \neq 0$  then the regularity of the solution to the Einstein equation is spoiled along the zero set  $\text{zero}(\mathcal{Y})$  of  $\mathcal{Y}$ . Note that  $\text{zero}(\mathcal{Y})$  is the fixed point set of the  $\mathbb{C}^*$ -action. Secondly Siu [Si], Tian [T] and Tian and Yau [TY] proved that every differentiable type of  $\mathbb{P}^2 \# k\overline{\mathbb{P}^2}$  with  $3 \leq k \leq 8$  admits an Einstein-Kähler metric of positive Ricci curvature. The methods of their proofs show that if  $M$  has good symmetries one can prove the existence of an Einstein-Kähler metric.

§2. Signature operators and eta invariants

In this section we review the definitions and known results on the eta invariants. We refer the reader to [APS] and [D] for the detail. Let  $X$  be a real  $2l$ -dimensional compact oriented smooth manifold with boundary  $Y$ , and  $\xi$  a complex vector bundle over  $X$ . Assume that the metrics and metric connections of  $X$  and  $\xi$  are product near the boundary. Let  $\tau$  be an involution of  $\wedge^* T^* X \otimes \xi$  defined by

$$\tau(\alpha) = i^{q(q-1)+l} * \alpha \quad \text{for } \alpha \in \wedge^q T^* X \otimes \xi$$

where  $*$  :  $\wedge^q T^* X \otimes \xi \rightarrow \wedge^{2l-q} T^* X \otimes \xi$  is the Hodge star operator defined by the metric and the orientation of  $X$ . Let  $\wedge^+$  be the subbundle of  $\wedge^{\text{even}} T^* X \otimes \xi$  which consists of  $+1$ -eigenvectors of  $\tau$ , and  $\wedge^-$  the subbundle of  $\wedge^{\text{odd}} T^* X \otimes \xi$  which consists of  $-1$ -eigenvectors of  $\tau$ . Let  $\Gamma(\wedge^+ : P)$  denote the subspace of  $\Gamma(\wedge^+)$  satisfying the boundary condition  $P(f|\partial X) = 0$  where  $P$  denotes the spectral projection corresponding to the eigenvalues  $\lambda \geq 0$  of  $A_\xi$  defined below. Then  $\xi$ -valued signature operator  $D_\xi : \Gamma(\wedge^+ : P) \rightarrow \Gamma(\wedge^-)$  is defined by

$$D_\xi \phi = (d_\xi + d_\xi^*) \phi = (d_\xi - *d_\xi^*) \phi$$

for  $\phi \in \Gamma(\wedge^+)$ , where  $d_\xi$  denotes the covariant exterior differential operator induced from the connection of  $\xi$ .

**Definition 2.1.**  $\text{sign}(X, \xi)$  is defined to be the index of  $D_\xi$ , namely,

$$\text{sign}(X, \xi) = \dim \ker D_\xi - \dim \text{coker } D_\xi.$$

By an automorphism of a vector bundle we mean a diffeomorphism of the total space such that it descends to a diffeomorphism of the base

space and that it maps a fiber to a fiber isomorphically. When an automorphism  $a$  acts on  $\wedge^* T^* X \otimes \xi$  commuting with  $D_\xi$ ,  $\text{sign}(a, X, \xi)$  is defined to be the  $a$ -index of  $D_\xi$ , namely,

$$\text{sign}(a, X, \xi) = \text{tr}(a| \ker D_\xi) - \text{tr}(a| \text{coker } D_\xi).$$

Note that  $\text{sign}(X, \xi) = \text{sign}(1, X, \xi)$ .

Let  $C = Y \times I$  be a collar neighborhood of the boundary  $Y = \partial X$  and  $\gamma : C \rightarrow Y$  the projection. Let  $\tilde{\xi}$  be the restriction of  $\xi$  to  $Y$ . Then  $\tau_+ = 1 + \tau$  induces an isomorphism

$$\tau_+ : \gamma^*(\wedge^{\text{even}} T^* Y \otimes \tilde{\xi}) \xrightarrow{\sim} \wedge^+|_C.$$

We define a first order self-adjoint elliptic differential operator

$$A_{\tilde{\xi}} : \Gamma(\wedge^{\text{even}} T^* Y \otimes \tilde{\xi}) \rightarrow \Gamma(\wedge^{\text{even}} T^* Y \otimes \tilde{\xi})$$

by

$$(2.2) \quad A_{\tilde{\xi}} \phi = i^l (-1)^{q+1} (*d_{\tilde{\xi}} - d_{\tilde{\xi}}*) \phi$$

for  $\phi \in \Gamma(\wedge^{2q} T^* Y \otimes \tilde{\xi})$  where  $*$  :  $\wedge^q T^* Y \otimes \tilde{\xi} \rightarrow \wedge^{2l-1-q} T^* Y \otimes \tilde{\xi}$  is the Hodge star operator for  $Y$  and  $d_{\tilde{\xi}} : \Gamma(\wedge^q T^* Y \otimes \tilde{\xi}) \rightarrow \Gamma(\wedge^{q+1} T^* Y \otimes \tilde{\xi})$  is the covariant exterior differential operator as before.

The following proposition can be shown by the same computations as in [APS], p.63.

**Proposition 2.3.** *On the collar  $C$ , the signature operator  $D_\xi$  is of the form*

$$D_\xi = \sigma \circ \tau_+ \circ \left( \frac{\partial}{\partial u} + A_\xi \right) \circ \tau_+^{-1},$$

where  $u \in I$  is the normal coordinate and  $\sigma = \sigma(D_\xi)(du) : \wedge^+|_C \xrightarrow{\sim} \wedge^-|_C$  is the isomorphism defined by the principal symbol of  $D_\xi$ .

The eta invariants of  $A_{\tilde{\xi}}$  are defined as follows.

**Definition 2.4.** Let  $a$  be an automorphism of  $\wedge^{\text{even}} T^* Y \otimes \tilde{\xi}$ , and suppose that  $a$  commutes with  $A_{\tilde{\xi}}$ . Then the equivariant eta function  $\eta_{\tilde{\xi}}(a, s)$  of  $A_{\tilde{\xi}}$  is defined by

$$\eta_{\tilde{\xi}}(a, s) = \sum_{\lambda \neq 0} \text{sign}(\lambda) \text{tr}(a|_{E_\lambda}) |\lambda|^{-s},$$

where  $\lambda$  are non-zero eigenvalues of  $A_{\tilde{\xi}}$  and  $F_{\lambda}$  is the  $\lambda$ -eigenspace.  $\eta_{\tilde{\xi}}(a, s)$  is meromorphically continued to the whole  $s$ -plane and the equivariant eta invariant  $\eta_{\tilde{\xi}}(a)$  is defined by

$$\eta_{\tilde{\xi}}(a) = \eta_{\tilde{\xi}}(a, 0),$$

see [D], Theorem 1.2. Note that  $\eta_{\tilde{\xi}}(1)$  is the ordinary non-equivariant eta invariant of  $A_{\tilde{\xi}}$ . Note moreover that

$$\eta_{\tilde{\xi}_1 \oplus \tilde{\xi}_2}^{\sim}(a) = \eta_{\tilde{\xi}_1}^{\sim}(a) + \eta_{\tilde{\xi}_2}^{\sim}(a),$$

since  $A_{\tilde{\xi}_1 \oplus \tilde{\xi}_2}^{\sim} = A_{\tilde{\xi}_1}^{\sim} \oplus A_{\tilde{\xi}_2}^{\sim}$  with respect to the direct sum connection.

Suppose now that a compact Lie group  $K$  acts on  $X$  and  $\xi$ , preserving the orientation, the metrics and the metric connections of  $X$  and  $\xi$ , and that an element  $a \in K$  acts freely on  $Y$ . Let  $\Omega \subset X$  be the fixed point set of  $a$  ( $\Omega \cap Y = \emptyset$ ) which is the disjoint union of connected closed submanifolds  $N$ . The normal bundle  $TN^{\perp}$  of  $N$  is decomposed into the Whitney sum of subbundles

$$TN^{\perp} = TN^{\perp}(-1) \oplus TN^{\perp}(\theta_1) \oplus \dots \oplus TN^{\perp}(\theta_s)$$

where  $a$  acts on  $TN^{\perp}(-1)$  via multiplication by  $-1$  and on complex vector bundle  $TN^{\perp}(\theta_j)$  via multiplication by  $e^{i\theta_j}$ ,  $\theta_j \neq \pi$ . Further  $\xi|_N$  is decomposed into the Whitney sum of subbundles

$$\xi|_N = \xi(\psi_1) \oplus \dots \oplus \xi(\psi_r),$$

where  $a$  acts on  $\xi(\psi_j)$  via multiplication by  $e^{i\psi_j}$ , see [D], p.901. On the other hand  $a$  induces automorphisms of  $\wedge^*T^*X \otimes \xi$  and  $\wedge^*T^*Y \otimes \tilde{\xi}$ , which commute with  $A_{\tilde{\xi}}$ .

We shall see that  $\text{sign}(X, \xi)$  and  $\text{sign}(a, X, \xi)$  are computed in terms of certain characteristic forms (or classes) and eta invariants. We first define characteristic forms and classes which we need.

**Definition 2.5.** (a)  $\text{ch}(\xi)$  is the Chern character form of a complex vector bundle  $\xi$  with respect to the connection of  $\xi$ . Note that

$$\text{ch}(\xi) = \text{rank}_{\mathbb{C}} \xi + c_1(\xi) + \text{higher terms},$$

where  $c_1(\xi)$  is the first Chern form of  $\xi$ , and that

$$\begin{aligned} \text{ch}(\xi_1 \oplus \xi_2) &= \text{ch}(\xi_1) + \text{ch}(\xi_2), \\ \text{ch}(\xi_1 \otimes \xi_2) &= \text{ch}(\xi_1) \wedge \text{ch}(\xi_2) \end{aligned}$$

with respect to the direct sum and tensor product connections.

(b) The  $\mathcal{L}$ -polynomial of the Pontrjagin classes  $p_1, p_2, \dots$  is defined by

$$\begin{aligned} \mathcal{L}(p) &= \prod_j \frac{x_j/2}{\tanh(x_j/2)} \\ &= 1 + \frac{1}{12}p_1 + \dots, \end{aligned}$$

where  $p_i$  is the  $i$ -th symmetric function of the  $x_j^2$ .  $\mathcal{L}(X) = \mathcal{L}(p(TX))$  is the  $\mathcal{L}$ -form of tangent bundle  $TX$  with respect to the metric of  $X$ . Note that the evaluation of  $\mathcal{L}(N)$  at the fundamental cycle  $[N]$  of a closed submanifold  $N$  is independent of the metric and that  $\mathcal{L}(N) = 1$  if  $N$  is a point.

(c)  $\text{ch}(\xi|_N, a)$  is an element of  $\prod_{q=0}^\infty H^{2q}(N; \mathbb{C})$  defined by

$$\text{ch}(\xi|_N, a) = \sum_{j=1}^r e^{i\psi_j} \text{ch}(\xi(\psi_j)),$$

where  $\text{ch}(\xi(\psi_j))$  is the ordinary Chern character of  $\xi(\psi_j)$ . Note that

$$\begin{aligned} \text{ch}(\xi_1 \oplus \xi_2|_N, a) &= \text{ch}(\xi_1|_N, a) + \text{ch}(\xi_2|_N, a), \\ \text{ch}(\xi_1 \otimes \xi_2|_N, a) &= \text{ch}(\xi_1|_N, a) \text{ch}(\xi_2|_N, a). \end{aligned}$$

(d) Finally, the  $\mathcal{M}^\theta$ -polynomial of the Chern classes  $c_i(TN^\perp(\theta))$  is defined by

$$\mathcal{M}^\theta = \prod_j \frac{\tanh(i\theta/2)}{\tanh((x_j + i\theta)/2)},$$

where  $c_i(TN^\perp(\theta))$  is the  $i$ -th elementary symmetric function of the  $x_j$ . Note that  $\mathcal{M}^\theta(TN^\perp(\theta)) = 1$  if  $TN^\perp(\theta) = \{0\}$ .

**Theorem** (cf. Theorem 3.10 in [APS] and Theorem 2.5 in [D]). *Under the notations  $n = \frac{1}{2} \dim_{\mathbb{R}} N$ ,  $d = \frac{1}{2} \text{rank}_{\mathbb{R}} TN^\perp(-1)$  and  $c(\theta_j) = \text{rank}_{\mathbb{C}} TN^\perp(\theta_j)$ , we have*

$$(2.6) \quad \text{sign}(X, \xi) = 2^l \int_X \text{ch}(\xi)\mathcal{L}(X) - \eta_{\xi}^{-1}(1),$$

$$(2.7) \quad \text{sign}(a, X, \xi) = \sum_{N \subset \Omega} 2^{n-d} \text{ch} \cdot \mathcal{L}(a)[N] - \eta_{\xi}^{-1}(a),$$

where  $\text{ch} \cdot \mathcal{L}(a) \in \prod_{q=0}^{\infty} H^{2q}(N; \mathbb{C})$  is defined by

$$\begin{aligned} \text{ch} \cdot \mathcal{L}(a) &= \text{ch}(\xi|_N, a) \prod_j (-i \cot(\theta_j/2))^{c(\theta_j)} \mathcal{L}(N) \\ &\quad \mathcal{L}(TN^\perp(-1))^{-1} e(TN^\perp(-1)) \prod_j \mathcal{M}^{\theta_j}(TN^\perp(\theta_j)), \end{aligned}$$

and  $e(TN^\perp(-1))$  is the Euler class of  $TN^\perp(-1)$ .

Now assume that the compact Lie group  $K$  is a finite group and that  $K$  acts freely on  $Y$ . Since the  $K$ -action preserves the orientation, metrics and connections of  $Y$  and  $\tilde{\xi}$ , there exist an orientation and a metric on  $Y/K$ , a complex vector bundle  $\widehat{\xi} = \tilde{\xi}/K$  over  $Y/K$  and a connection in  $\widehat{\xi}$  such that the projection  $\pi : Y \rightarrow Y/K$  is a Riemannian covering and that  $\pi^* \widehat{\xi}$  is isomorphic to  $\tilde{\xi}$  as a vector bundle with a connection.

**Definition 2.8.** A first order self-adjoint elliptic differential operator  $A_{\widehat{\xi}} : \Gamma(\wedge^{\text{even}} T^*(Y/K) \otimes \widehat{\xi}) \rightarrow \Gamma(\wedge^{\text{even}} T^*(Y/K) \otimes \widehat{\xi})$  is defined just as in 2.2 and the eta invariant  $\eta_{\widehat{\xi}}(1)$  is also defined as in 2.4. Note that  $A_{\widehat{\xi}}$  is locally the same as  $A_{\tilde{\xi}}$ .

The eigenvalues of  $A_{\widehat{\xi}}$  is closely related to those of  $A_{\tilde{\xi}}$  and the next proposition can be proved similarly to (3.6) in [D].

**Proposition 2.9.** 
$$\eta_{\widehat{\xi}}(1) = \frac{1}{|K|} \sum_{a \in K} \eta_{\tilde{\xi}}^{-}(a).$$

In later sections, we consider the signatures and the eta invariants for virtual vector bundles  $\xi$ .

**Definition 2.10.** Let  $\xi_1$  and  $\xi_2$  be complex vector bundles over  $X$  which satisfy the conditions in Definitions 2.1, 2.4 and 2.5. For the virtual bundle  $\xi = \xi_1 - \xi_2$ , we define  $\text{sign}(a, X, \xi)$ ,  $\eta_\xi(a)$ ,  $\text{ch}(\xi)$  and  $\text{ch}(\xi|_N, a)$  by

$$\begin{aligned} \text{sign}(a, X, \xi) &= \text{sign}(a, X, \xi_1) - \text{sign}(a, X, \xi_2), \\ \eta_\xi(a) &= \eta_{\xi_1}(a) - \eta_{\xi_2}(a), \\ \text{ch}(\xi) &= \text{ch}(\xi_1) - \text{ch}(\xi_2) \quad \text{and} \\ \text{ch}(\xi|_N, a) &= \text{ch}(\xi_1|_N, a) - \text{ch}(\xi_2|_N, a). \end{aligned}$$

Then, the next proposition is obvious.

**Proposition 2.11.** *The formulae 2.6, 2.7 and Proposition 2.9 hold for the virtual bundles  $\xi$ ,  $\tilde{\xi}$  and  $\hat{\xi}$ .*

§3.  $\hat{\mathcal{F}}$  and eta invariants

Let  $M$  be an  $m$ -dimensional compact complex manifold,  $G$  the group of all biholomorphic automorphisms of  $M$  and  $\mathfrak{g}$  the complex Lie algebra of all holomorphic vector fields on  $M$ . In [FMo] it is shown that the Lie algebra character  $\mathcal{F}$  can be expressed in terms of Simons character of a certain foliation.

**Theorem ([FMo]).** *For  $\mathcal{Y} \in \mathfrak{g}$ , let  $\text{Fol}_{\mathcal{Y}}$  be a complex foliation of codimension  $m$  on  $M \times S^1$  defined by the vector field  $\frac{\partial}{\partial t} + 2 \text{Re } \mathcal{Y}$  where  $t$  is the coordinate of  $S^1$  and  $\text{Re } \mathcal{Y}$  denotes the real part of  $\mathcal{Y}$ . Then*

$$\mathcal{F}(\mathcal{Y}) = -S_{c_1^{m+1}}(\nu(\text{Fol}_{\mathcal{Y}}))[M \times S^1] \pmod{\mathbb{Z}}$$

where  $S_{c_1^{m+1}}(\nu(\text{Fol}_{\mathcal{Y}})) \in H^{2m+1}(M \times S^1; \mathbb{C}/\mathbb{Z})$  is the Simons character of  $c_1^{m+1}$  for the normal bundle  $\nu(\text{Fol}_{\mathcal{Y}})$  of  $\text{Fol}_{\mathcal{Y}}$  with any basic connection.

Note that our notation of  $\mathcal{F}$  differs from  $f$  in [FMo] by  $i/2\pi$ . For  $g \in G$ , let  $M_g$  be the mapping torus  $M_g = M \times [0, 1]/\sim$  where  $(p, 0) \sim (g(p), 1)$ . Let  $\text{Fol}_g$  be the complex foliation defined by the  $[0, 1]$ -directed vector field. Then, by definition,

$$\hat{\mathcal{F}}(g) = S_{c_1^{m+1}}(\nu(\text{Fol}_g))[M_g]$$

where  $S_{c_1^{m+1}}(\nu(\text{Fol}_g))$  is the Simons character for the normal bundle  $\nu(\text{Fol}_g)$  with any Bott connection. As is seen in [F2] (see also [FMaS]),  $\hat{\mathcal{F}} : G \rightarrow \mathbb{C}/\mathbb{Z}$  is a Lie group homomorphism. The above theorem further shows that its infinitesimal Lie algebra homomorphism is equal to  $\mathcal{F}$  up to a constant multiple.

*Remark 3.1.* Let  $g = \exp(2 \text{Re } \mathcal{Y})$ . Since  $(M_g, \text{Fol}_g)$  is isomorphic to  $(M \times S^1, \text{Fol}_{-\mathcal{Y}})$ , it follows from the above theorem that

$$\hat{\mathcal{F}}(\exp(2 \text{Re } \mathcal{Y})) = -\mathcal{F}(\mathcal{Y}) \pmod{\mathbb{Z}}$$

*Remark 3.2.* If  $g^p = 1$ , then  $p\hat{\mathcal{F}}(g) = \hat{\mathcal{F}}(g^p) = \hat{\mathcal{F}}(1) = 0$ . Hence  $\hat{\mathcal{F}}(g)$  is of the form  $q/p$  for some integer  $q$ .

We assume for the rest of this paper that  $K$  is a cyclic subgroup of  $G$  generated by an element  $g$  of order  $p$ . Let  $X = M \times D^2$  and

$Y = \partial X = M \times S^1$ , and let  $q_M : X \rightarrow M$ ,  $q_{D^2} : X \rightarrow D^2$  and  $q_Y : Y \rightarrow M$  be the projections. We consider the following action of  $K$  on  $X$ :

$$g(z, re^{i\theta}) = (g(z), re^{i(\theta+2\pi/p)})$$

for  $(z, re^{i\theta}) \in X = M \times D^2$ ;  $0 \leq r \leq 1$ ,  $0 \leq \theta \leq 2\pi$ . The next lemma is obvious.

**Lemma 3.3.** *The fixed point set  $\Omega_X \subset X$  of the  $a = g^k$ -action on  $X$  for  $a \in K$  coincides with the fixed point set  $\Omega_M \subset M = M \times \{0\} \subset X$  of the  $a$ -action on  $M$ .*

Since  $M$  and  $D^2$  carry the complex structures, we may regard  $TM$ ,  $TD^2$  and  $TX = q_M^*TM \oplus q_{D^2}^*TD^2$  as holomorphic tangent bundles, i.e. the tangent bundles of type  $(1,0)$ . We first give a rotationally symmetric Hermitian metric on  $D^2$  such that it is a product metric of  $S^1 \times [0, \varepsilon)$  near the boundary  $\partial D^2 = S^1$ . We then give a Hermitian metric on  $X$  which is the product of a given  $K$ -invariant Hermitian metric on  $M$  and the Hermitian metric on  $D^2$ . This metric on  $X$  is obviously product near the boundary  $Y$  and invariant under the  $K$ -action on  $X$  defined above. Let  $\nabla$  be the Hermitian connection of  $TX$ , which is uniquely determined under the conditions that the connection form of  $\nabla$  is of type  $(1,0)$  and that  $\nabla$  preserves the Hermitian metric of  $TX$ . Note that  $\nabla$  is not necessarily torsion free. It is obvious that  $\nabla$  is the direct sum connection of the Hermitian connections of  $TM$  and  $TD^2$  and that  $\nabla$  is  $K$ -invariant. Since the  $K$ -action is free near the boundary of  $X$ ,  $X/K$  carries a complex structure and a Hermitian metric near the boundary. The connection  $\nabla$ , which is  $K$ -invariant, descends to the Hermitian connection of  $T(X/K)$ . By restriction we obtain a connection, which we also denote by  $\nabla$ , on  $T(X/K)|_{Y/K}$ .

Note that  $Y/K$  is diffeomorphic to the mapping torus  $M_g$  and that  $T(X/K)|_{M_g}$  is orthogonally decomposed into  $T(X/K)|_{M_g} = \nu(\text{Fol}_g) \oplus \mathcal{E}$  where  $\mathcal{E}$  denotes the trivial complex line bundle consisting of all  $\text{Fol}_g$ -directed vectors. Under this decomposition  $\nabla$  splits as

$$\nabla = \nabla|_{\nu(\text{Fol}_g)} \oplus \nabla^0$$

where  $\nabla^0$  is the globally flat connection of  $\mathcal{E}$ . Note that  $\nabla|_{\nu(\text{Fol}_g)}$  is a basic connection for the foliation  $\text{Fol}_g$ . From this decomposition and 4.18 in [S] we obtain:

**Proposition 3.4.**  $S_{c_1^{m+1}}(\nu(\text{Fol}_g)) = S_{c_1^{m+1}}(T(X/K)|_{M_g}, \nabla)$ .

**Definition 3.5.** Let  $\xi$  be a virtual  $K$ -vector bundle over  $X$  defined by

$$\xi = \bigotimes^{m+1} (q_M^* TM - \mathcal{E}^m),$$

where  $\mathcal{E}^m$  denotes a trivial  $K$ -vector bundle over  $X$ . Namely,

$$\begin{aligned} \xi = \bigoplus_{k:\text{even}} \binom{m+1}{k} mk q_M^* \left( \bigotimes^{m+1-k} TM \right) \\ - \bigoplus_{k:\text{odd}} \binom{m+1}{k} mk q_M^* \left( \bigotimes^{m+1-k} TM \right). \end{aligned}$$

Let  $\tilde{\xi}$  be a virtual  $K$ -vector bundle over  $Y$  defined by  $\tilde{\xi} = \xi|_Y = \bigotimes^{m+1} (q_Y^* TM - \mathcal{E}^m)$ , and  $\hat{\xi}$  a virtual complex vector bundle over  $M_g = Y/K$  defined by

$$\hat{\xi} = \tilde{\xi}/K = \bigotimes^{m+1} (\nu(\text{Fol}_g) - \mathcal{E}^m).$$

We endow connections of  $\xi$ ,  $\tilde{\xi}$  and  $\hat{\xi}$  which are induced from the Hermitian connection of  $TM$  and  $\nabla|_{\nu(\text{Fol}_g)}$ .

**Definition 3.6.** Let  $\eta_{\hat{\xi}}(1)$  be the eta invariant defined as in Definitions 2.8 and 2.10 for the virtual bundle  $\hat{\xi}$  over  $M_g$ .

Our first main result is:

**Theorem 3.7.**  $2^{m+1} \hat{\mathcal{F}}(g) = \eta_{\hat{\xi}}(1) \pmod{\mathbb{Z}}$ .

*Proof.* Since  $M_g$  is a stably almost complex manifold there exists a compact  $(2m+2)$ -dimensional almost complex manifold  $W$  such that  $\partial W = M_g$ , see [Mo]. Let  $\xi^W$  be a virtual bundle over  $W$  defined by

$$\xi^W = \bigotimes^{m+1} (TW - \mathcal{E}^{m+1}).$$

We may assume that  $W$  is isomorphic to  $X/K$  near the boundary  $M_g$  as an almost complex manifold together with a Hermitian metric; hence the metric is product near  $M_g$ . Let  $\nabla^W$  be the Hermitian connection of  $TW$  which coincides with  $\nabla$  of  $T(X/K)$  near  $M_g$ . Let  $c(TW)$ ,  $\text{ch}(TW)$  and  $\text{ch}(\xi^W)$  be the Chern and the Chern character forms with respect

to  $\nabla^W$ . Since  $\text{sign}(W, \xi^W)$  is an integer, we then obtain from 2.6 and 2.11

$$\eta_{\bar{\xi}}(1) = 2^{m+1} \int_W \text{ch}(\xi^W) \mathcal{L}(W) \pmod{\mathbb{Z}},$$

where  $\bar{\xi} = \xi^W|_{M_g}$ . From the properties of the Chern character forms we have

$$\begin{aligned} \text{ch}(\xi^W) &= \{\text{ch}(TW) - \text{ch}(\mathcal{E}^{m+1})\}^{m+1} \\ &= \{c_1(TW)\}^{m+1} + \text{higher terms.} \end{aligned}$$

Since the leading term of  $\mathcal{L}$  is equal to 1,

$$\int_W \text{ch}(\xi^W) \mathcal{L}(W) = \int_W \{c_1(TW)\}^{m+1}.$$

Hence it follows from this, the definition of  $\widehat{\mathcal{F}}$ , Propositions 3.4 and 5.15 in [S] that

$$\begin{aligned} \widehat{\mathcal{F}}(g) &= S_{c_1^{m+1}}(\nu(\text{Fol}_g))[M_g] \\ &= S_{c_1^{m+1}}(T(X/K)|_{M_g}, \nabla)[M_g] \\ &= S_{c_1^{m+1}}(TW, \nabla^W)[\partial W] \\ &= \int_W \text{ch}(\xi^W) \mathcal{L}(W) \pmod{\mathbb{Z}}. \end{aligned}$$

On the other hand, since  $TW|_{M_g} = \nu(\text{Fol}_g) \oplus \mathcal{E}^1$  we have

$$\begin{aligned} \bar{\xi} &= \xi^W|_{M_g} \\ &= \bigotimes^{\otimes m+1} (\nu(\text{Fol}_g) \oplus \mathcal{E}^1 - \mathcal{E}^{m+1}) = \bar{\xi}_1 - \bar{\xi}_2, \end{aligned}$$

where

$$\begin{aligned} \bar{\xi}_1 &= \bigoplus_{k:\text{even}} \binom{m+1}{k} (m+1)k \bigotimes^{\otimes m+1-k} (\nu(\text{Fol}_g) \oplus \mathcal{E}^1), \\ \bar{\xi}_2 &= \bigoplus_{k:\text{odd}} \binom{m+1}{k} (m+1)k \bigotimes^{\otimes m+1-k} (\nu(\text{Fol}_g) \oplus \mathcal{E}^1). \end{aligned}$$

Since there exists a complex vector bundle  $\xi_3$  over  $M_g$  such that  $\overline{\xi_1} = \widehat{\xi_1} \oplus \xi_3$  and  $\overline{\xi_2} = \widehat{\xi_2} \oplus \xi_3$  where

$$\widehat{\xi_1} - \widehat{\xi_2} = \widehat{\xi} = \bigotimes^{m+1} (\nu(\text{Fol}_g) - \mathcal{E}^m),$$

it follows from the property of eta invariants (see 2.4) that

$$\begin{aligned} \eta_{\overline{\xi}}(1) &= \eta_{\overline{\xi_1}}(1) - \eta_{\overline{\xi_2}}(1) \\ &= \eta_{\widehat{\xi_1}}(1) + \eta_{\xi_3}(1) - \eta_{\widehat{\xi_2}}(1) - \eta_{\xi_3}(1) \\ &= \eta_{\widehat{\xi_1}}(1) - \eta_{\widehat{\xi_2}}(1) = \eta_{\widehat{\xi}}(1). \end{aligned}$$

This completes the proof.

**Corollary 3.8.** *If  $p$  is odd, then  $\widehat{\mathcal{F}}(g)$  vanishes if and only if  $\eta_{\widehat{\xi}}(1)$  is an integer.*

*Proof.* In view of Remark 3.2 it is clear that  $\widehat{\mathcal{F}}(g) = 0$  if and only if  $2^{m+1}\widehat{\mathcal{F}}(g) = 0$ . This completes the proof.

Now, for  $1 \leq k \leq p - 1$ , we apply 2.7 to the case where  $a = g^k$ ,  $X = M \times D^2$  and  $\xi = \bigotimes^{m+1}(g_M^*TM - \mathcal{E}^m)$ . Let  $\Omega(k)$  be the fixed point set of the  $g^k$ -action on  $X$ . Note that  $\Omega(k)$  coincides with the fixed point set of the  $g^k$ -action on  $M$  (cf. Lemma 3.3) and is the disjoint union of connected closed complex submanifolds  $N$  of  $M$ . Let  $TN^\perp$  be the normal bundle of  $N$  in  $M$ , and

$$TN^\perp = TN^\perp(-1) \oplus TN^\perp(\theta_1) \oplus \cdots \oplus TN^\perp(\theta_s)$$

the decomposition into the Whitney sum of complex subbundles where  $g^k$  acts on  $TN^\perp(-1)$  via multiplication by  $-1$  and on  $TN^\perp(\theta_j)$  via multiplication by  $e^{i\theta_j}$ ,  $\theta_j \neq \pi$ . The normal bundle of  $N$  in  $X$  is the Whitney sum of  $TN^\perp$  and a trivial complex line bundle  $\mathcal{E}$  where  $g^k$  acts on  $\mathcal{E}$  via multiplication by  $e^{2\pi ki/p}$ . Hence it follows from 2.7 that

$$(3.9) \quad \eta_{\widehat{\xi}}(g^k) = 2^{n-d} \sum_{N \subset \Omega(k)} \text{ch} \cdot \mathcal{L}(g^k)[N] - \text{sign}(g^k, X, \xi),$$

where  $\text{ch} \cdot \mathcal{L}(g^k) \in \prod_{q=0}^\infty H^{2q}(N; \mathbb{C})$  is defined as in 2.7 for the normal

bundle  $TN^\perp \oplus \mathcal{E}$  of  $N$  in  $X$ , namely,

$$\begin{aligned} \text{ch} \cdot \mathcal{L}(g^k) &= \text{ch}(\xi|_N, g^k) (-i \cot \frac{\pi k}{p}) \prod_j (-i \cot \frac{\theta_j}{2})^{c(\theta_j)} \\ &\mathcal{L}(N) \mathcal{L}(TN^\perp(-1))^{-1} e(TN^\perp(-1)) \prod_j \mathcal{M}^{\theta_j}(TN^\perp(\theta_j)), \end{aligned}$$

where  $\xi|_N = \otimes^{m+1}(TM|_N - \mathcal{E}^m)$ .

Our second theorem is

**Theorem 3.10.** *With the notations as above we have*

$$2^{m+1} \widehat{\mathcal{F}}(g) = \frac{1}{p} \sum_{k=1}^{p-1} 2^{n-d} \sum_{N \subset \Omega(k)} \text{ch} \cdot \mathcal{L}(g^k)[N] \pmod{\mathbb{Z}}$$

*Proof.* By 2.6, 3.9, Proposition 2.9 and Theorem 3.7 we have

$$\begin{aligned} 2^{m+1} \widehat{\mathcal{F}}(g) &= \frac{1}{p} \sum_{k=1}^p \eta_{\xi}(g^k) \pmod{\mathbb{Z}} \\ &= \frac{1}{p} \left[ \sum_{k=1}^{p-1} 2^{n-d} \sum_{N \subset \Omega(k)} \text{ch} \cdot \mathcal{L}(g^k)[N] + \int_X \text{ch}(\xi) \mathcal{L}(X) \right. \\ &\quad \left. - \sum_{k=1}^p \text{sign}(g^k, X, \xi) \right] \pmod{\mathbb{Z}}. \end{aligned}$$

So the theorem follows from Lemma 3.11 and Lemma 3.12 below.

**Lemma 3.11.**  $\int_X \text{ch}(\xi) \mathcal{L}(X) = 0$ .

*Proof.* Since the connection in  $\xi$  is induced from the connection in  $TM$ , it follows from the property of Chern character form that

$$\begin{aligned} \text{ch}(\xi) &= \text{ch}(\otimes^{m+1}(q_M^* TM - \mathcal{E}^m)) \\ &= (q_M^* \text{ch}(TM) - m)^{m+1} \\ &= (q_M^* c_1(TM) + \text{higher terms})^{m+1} \\ &= q_M^* c_1(TM)^{m+1}. \end{aligned}$$

Since  $\dim M = m$ ,  $c_1(TM)^{m+1}$  vanishes identically. This completes the proof.

**Lemma 3.12.**  $\sum_{k=1}^p \text{sign}(g^k, X, \xi) = 0 \pmod p.$

*Proof.* From the definition of  $\text{sign}(g^k, X, \xi)$  (cf. 2.1 and 2.10), it suffices to show the following simple lemma.

**Lemma 3.13.** *For any finite dimensional  $K(= \mathbb{Z}_p)$ -module  $V$ ,*

$$\sum_{k=1}^p \text{tr}(g^k|_V) = 0 \pmod p.$$

*Proof.* Apply the next (3.14) to the eigenvalues  $\lambda_1, \dots, \lambda_{\dim V}$  of  $g|_V$ .

(3.14)            If  $\lambda^p = 1$ , then  $\sum_{k=1}^p \lambda^k = 0 \pmod p.$

This completes the proof.

When  $p$  is odd and  $\Omega(k)$  is independent of  $k$ , we have a slightly simpler formula. Note that this situation occurs if  $p$  is an odd prime integer. Suppose that the fixed point set  $\Omega := \Omega(k) \subset M$  is a disjoint sum of connected closed complex submanifolds  $N$ . Let  $\bigoplus_j TN_j^\perp$  be the decomposition of the normal bundle of  $N$  in  $M$  where  $g$  acts on the complex vector bundle  $TN_j^\perp$  via multiplication by  $e^{i\tau_j}$ . We define  $\sigma_k$  by

$$\begin{aligned} \sigma_k &= \sum_{N \subset \Omega} 2^n (-i \cot \frac{\pi k}{p}) \prod_j (-i \cot \frac{k\tau_j}{2})^{c_j} \\ &(\text{ch}(TN) + \sum_j e^{k\tau_j} \text{ch}(TN_j^\perp) - m)^{m+1} \mathcal{L}(N) \prod_j \mathcal{M}^{k\tau_j}(TN_j^\perp)[N], \end{aligned}$$

where  $n = \dim_{\mathbb{C}} N$  and  $c_j = \text{rank}_{\mathbb{C}} TN_j^\perp$ .

**Corollary 3.15.** *Assume that  $p$  is odd and  $\Omega = \Omega(k)$  is independent of  $k$ . Then,*

$$2^{m+1} \widehat{\mathcal{F}}(g) = \frac{1}{p} \sum_{k=1}^{p-1} \sigma_k \pmod{\mathbb{Z}}.$$

*In particular,  $\widehat{\mathcal{F}}(g)$  vanishes if and only if  $\sum_{k=1}^{p-1} \sigma_k$  is a multiple of  $p$ .*

*Proof.* The corollary follows from Theorem 3.10, Corollary 3.8 and the following facts:

(i) since  $p$  is odd,  $TN^\perp(-1) = \{0\}$ ;

(ii)  $\xi|_N = \bigotimes^{m+1}(TM|_N - \mathcal{E}^m) = \bigotimes^{m+1}(TN \oplus (\bigoplus_j TN_j^\perp) - \mathcal{E}^m)$   
 and hence, by the property of  $\text{ch}(\xi|_N, g^k)$  we have

$$\text{ch}(\xi|_N, g^k) = (\text{ch}(TN) + \sum_j e^{ik\tau_j} \text{ch}(TN_j^\perp) - m)^{m+1}.$$

This completes the proof.

§4. Examples

Let  $[z_0 : z_1 : z_2]$  be the homogeneous coordinates on the complex projective plane  $\mathbb{P}^2$ , and  $M$  the surface obtained by blowing up  $\mathbb{P}^2$  at one point, say  $[1 : 0 : 0]$ . Note that  $c_1(M) > 0$ . The Lie algebra of all holomorphic vector fields on  $M$  is not reductive, and by Matsushima's theorem  $M$  does not admit an Einstein-Kähler metric. The last statement also follows from  $\mathcal{F} \neq 0$ . To see this we consider the  $\mathbb{C}^*$ -action  $[z_0 : z_1 : z_2] \rightarrow [z_0 : c z_1 : c z_2]$ ,  $c \neq 0$ , on  $\mathbb{P}^2$ . This action lifts to a  $\mathbb{C}^*$ -action on  $M$ . Let  $w_1 = z_1/z_0$ ,  $w_2 = z_2/z_0$  be the inhomogeneous coordinates on  $\mathbb{P}^2$ , and  $\mathcal{X} = 2\pi i(w_1 \partial/\partial w_1 + w_2 \partial/\partial w_2)$  be the holomorphic vector field which generates the  $\mathbb{C}^*$ -action. Then  $\mathcal{X}$  also lifts a holomorphic vector field on  $M$ , which we denote by  $\mathcal{Y}$ . The zero set of  $\mathcal{Y}$  consists of the line  $C = p^{-1}(\{[0 : z_1 : z_2]\})$  and the exceptional curve  $E = p^{-1}([1 : 0 : 0])$  where  $p : M \rightarrow \mathbb{P}^2$  denotes the projection. One can then apply the localization formula for  $\mathcal{F}$ , cf. Theorem 2.6 in [FMaS], to obtain

$$\mathcal{F}(\mathcal{Y}) = \frac{(1 + 3a)^3}{(1 + a)} [C] + \frac{(-1 + b)^3}{(-1 - b)} [E] = 4,$$

where  $a$  and  $b$  denote the positive generators of  $H^2(C; \mathbb{Z})$  and  $H^2(E; \mathbb{Z})$  respectively. Consider now a  $\mathbb{Z}_p$ -action generated by an element  $g$  defined by  $g([z_0 : z_1 : z_2]) = [z_0 : e^{2\pi i/p} z_1 : e^{2\pi i/p} z_2]$  with  $p$  odd prime. One sees that  $g = \exp(-\mathcal{Y}/p)$ , and hence by Remark 3.1

$$\widehat{\mathcal{F}}(g) = \frac{4}{p} \pmod{\mathbb{Z}}.$$

We can alternatively derive this using Corollary 3.15. Modulo terms

of degree higher than 2 we have

$$\begin{aligned} \text{ch}(TC) &= 1 + 2a, & \text{ch}(TE) &= 1 + 2b, \\ \text{ch}(TC^\perp) &= 1 + a, & \text{ch}(TE^\perp) &= 1 - b, \\ \mathcal{M}^{-2\pi k/p}(TC^\perp) &= 1 + i \operatorname{cosec}\left(\frac{-2\pi k}{p}\right)a, \\ \mathcal{M}^{2\pi k/p}(TE^\perp) &= 1 - i \operatorname{cosec}\left(\frac{2\pi k}{p}\right)b. \end{aligned}$$

Using these we can deduce by straightforward computations

$$\begin{aligned} \sigma_k &= 22e^{2\pi ki/p} + 4e^{4\pi ki/p} - 6e^{6\pi ki/p} \\ &\quad - 26e^{-2\pi ki/p} - 20e^{-4\pi ki/p} - 6e^{-6\pi ki/p}. \end{aligned}$$

Since  $\sum_{k=1}^{p-1} e^{2\pi ki/p} \equiv -1 \pmod p$  for any integer  $l$ , it follows that

$$8\widehat{\mathcal{F}}(g) \equiv \frac{32}{p} \pmod{\mathbb{Z}}.$$

Further, since  $(p, 8) = 1$ , we obtain again

$$\widehat{\mathcal{F}}(g) \equiv \frac{4}{p} \pmod{\mathbb{Z}}.$$

We now take  $p$  to be 3, and consider the  $\mathbb{Z}_3$ -action given by

$$g([z_0 : z_1 : z_2]) = [z_0 : \omega z_1 : \omega z_2],$$

where  $\omega = e^{2\pi i/3}$ . Let  $q_1 = [1 : 1 : 0]$ ,  $q_2 = [1 : 0 : 1]$  and  $q_3 = [1 : 1 : 1]$ . We further blow up  $M$  at  $q_1, g(q_1), g^2(q_1), q_2, g(q_2), g^2(q_2), q_3, g(q_3)$  and  $g^2(q_3)$ . Since we blew up  $\mathbb{P}^2$  at 10 points, the resulting manifold, which we denote by  $\widehat{M}$ , does not have positive first Chern class. Obviously the action of  $\mathbb{Z}_3$  on  $M$  lifts to the one on  $\widehat{M}$ .

**Proposition 4.1.** *There is no non-zero holomorphic vector field on  $\widehat{M}$ , and*

$$\widehat{\mathcal{F}}(g) \equiv 4/3 \pmod{\mathbb{Z}}.$$

*Proof.* Let  $a$  be an element in the identity component of the group of all automorphisms of  $\widehat{M}$ . Since the self-intersection of each exceptional curve is  $-1$ ,  $a$  leaves each exceptional curve invariant and descends to an automorphism of  $\mathbb{P}^2$  which leaves the 10 points fixed. But

such an automorphism on  $\mathbb{P}^2$  must be an identity, and thus  $a = 1$ . This proves the first assertion. To prove the second assertion, note that the fixed point set of the  $g$ -action on  $\widehat{M}$  is equal to the one on  $M$ . So the computation of  $\widehat{\mathcal{F}}$  for  $\widehat{M}$  using Corollary 3.15 reduces to quite the same computation as in the case of  $M$ . This completes the proof.

We now examine a few cases where  $c_1(M) > 0$ . The following lemma is useful.

**Lemma 4.2.** *In the situation of Definition 3.6, let  $g$  be an automorphism of  $M$  of order 2. Then  $\eta_{\widehat{\xi}}(1) = 0$ . In particular, if  $H$  is a subgroup of the group of automorphisms generated by elements of order 2, then  $2^{m+1}\widehat{\mathcal{F}}(h) = 0$  for all  $h \in H$ .*

*Proof.* Consider a symmetry  $\phi : M \times I \rightarrow M \times I$  defined by  $\phi(z, t) = (z, 1 - t)$ . Then  $\phi$  descends to  $M_g$  since

$$\begin{aligned} \phi(z, 0) &= (z, 1) \quad \text{and} \\ \phi(g(z), 1) &= (g(z), 0) \sim (g^2(z), 1) = (z, 1). \end{aligned}$$

Since  $\phi$  is an orientation reversing isometry and hence anti-commutes with  $A_{\widehat{\xi}}$ , the eigenvalues of  $A_{\widehat{\xi}}$  are symmetric with respect to 0 and therefore the eta function  $\eta_{\widehat{\xi}}$  is identically zero by the definition (see Definition 2.4). This completes the proof.

This lemma may not imply that  $\widehat{\mathcal{F}}(g) = 0$  for an element of order 2 because of the factor  $2^{m+1}$  in Theorem 3.7, but at least in the surface case this is true, see [FMA].

A compact complex surface with  $c_1(M) > 0$  and with no non-zero holomorphic vector field is obtained by blowing up  $\mathbb{P}^2$  at  $k$ -points,  $4 \leq k \leq 8$ , in general position. When these points are in sufficiently general position it is known that the group of all automorphisms is generated by elements of order 2 ([K]).

Let us consider the Fermat hypersurface  $M$  of degree  $m$  in  $\mathbb{P}^m$ . It is known by Siu [Si] and Tian [T] that there exists a Kähler-Einstein metric on  $M$ . By Lemma 4.2 the eta invariants vanishes modulo  $\mathbb{Z}$  on the subgroup  $S_{m+1}$  consisting of the elements corresponding to the permutations of the  $m + 1$  coordinates. Consider now a  $(\mathbb{Z}_m)^{m+1}$ -action generated by

$$g_j([z_0 : z_1 : \cdots : z_m]) = [z_0 : z_1 : \cdots : \tau z_j : \cdots : z_m], \quad j = 0, \dots, m,$$

where  $\tau = e^{2\pi i/m}$ . Since  $g_j^m = 1$ ,  $\widehat{\mathcal{F}}(g_j)$  must be of the form  $\widehat{\mathcal{F}}(g_j) = q/m$  with an integer  $q$ , and by symmetry we also have  $\widehat{\mathcal{F}}(g_i) = \widehat{\mathcal{F}}(g_j)$ . Hence

$$\widehat{\mathcal{F}}(g_0) = \widehat{\mathcal{F}}(g_1^{-1} \dots g_m^{-1}) = -m\widehat{\mathcal{F}}(g_0) = -q \equiv 0 \pmod{\mathbb{Z}}.$$

Using Corollary 3.15, one can check the vanishing of  $\widehat{\mathcal{F}}(g)$  for the following examples which appear in the tables of classification of Fano threefolds in [MM]:

- 1) the blow-up of  $\mathbb{P}^3$  with center the intersection of two cubics,

$$\begin{aligned} z_0^3 + z_1^3 + z_2^3 + z_3^3 &= 0, \\ a_0 z_0^3 + a_1 z_1^3 + a_2 z_2^3 + a_3 z_3^3 &= 0, \end{aligned}$$

where  $a_i \neq a_j$  for  $i \neq j$ , with the action

$$g([z_0 : z_1 : z_2 : z_3]) = [\omega z_0 : z_1 : z_2 : z_3];$$

- 2) the blow-up of  $\mathbb{P}^3$  with center a twisted cubic,

$$\{[u^3 : u^2 v : uv^2 : v^3] \mid [u : v] \in \mathbb{P}^1\},$$

with the action

$$g([z_0 : z_1 : z_2 : z_3]) = [z_0 : \omega z_1 : \omega^2 z_2 : z_3];$$

- 3) the blowing up of  $\mathbb{P}^3$  with center the disjoint union of a line,  $\{z_1 = z_2 = 0\}$ , and the twisted cubic with the action defined as in 2);

- 4)  $V_7$  being the blow-up of  $\mathbb{P}^3$  at a point,  $[1 : 0 : 0 : 0]$ , the blow-up of  $V_7$  with center the strict transform of a twisted cubic passing through the center of the blowing up  $V_7 \rightarrow \mathbb{P}^3$ , with the action defined as in 2);

- 5) the blow-up of a cubic threefold

$$z_0^3 + z_1^3 + z_2^3 + z_3^3 + z_4^3 = 0$$

in  $\mathbb{P}^4$  with center a cubic curve,

$$z_0 = 0, \quad z_1^3 + z_2^3 + z_3^3 + z_4^3 = 0,$$

with the action

$$g([z_0 : z_1 : z_2 : z_3 : z_4]) = [z_0 : \omega z_1 : z_2 : z_3 : z_4];$$

- 6)  $V_2$ , i.e. the smooth hypersurface,

$$z_0^6 + \dots + z_3^6 + z_4^2 = 0,$$

of degree 6 in the weighted projective space  $\mathbb{P}(z_0, \dots, z_4)$ , where  $\deg z_i = 1$  for  $0 \leq i \leq 3$ , and  $\deg z_4 = 3$ , with the action

$$g([z_0 : \dots : z_4]) = [\omega z_0 : z_1 : \dots : z_4].$$

These are not at all exhaustive in the tables of [MM], but we selected them among those which do not have non-zero holomorphic vector field and have an automorphism of odd order such that no subgroup generated by elements of order 2 contains it.

### References

- [AS] M.F. Atiyah and I.M. Singer, The index of elliptic operators, III, *Ann. of Math.*, **87** (1968), 546–604.
- [APS] M.F. Atiyah, V.K. Patodi and I.M. Singer, Spectral asymmetry and Riemannian geometry, I, *Math. Proc. Camb. Phil. Soc.*, **77** (1977), 43–69.
- [BM] S. Bando and T. Mabuchi, On some integral invariants on complex manifolds, I, *Proc. Japan Acad.*, **62** (1986), 197–200.
- [D] H. Donnelly, Eta invariants for G-spaces, *Indiana Math. J.*, **27** (1978), 889–918.
- [F1] A. Futaki, An obstruction to the existence of Einstein Kähler metrics, *Invent. Math.*, **73** (1983), 437–443.
- [F2] ———, On a character of the automorphism group of a compact complex manifold, *Invent. Math.*, **87** (1987), 655–660.
- [FMa] A. Futaki and T. Mabuchi, An obstruction class and a representation holomorphic automorphisms, in “Geometry and Analysis on Manifolds # 1339”, *Lecture Notes in Math.*, Springer-Verlag, Berlin, Heidelberg, New York, Tokyo, 1988, pp. 127–140.
- [FMaS] A. Futaki, T. Mabuchi and Y. Sakane, Einstein-Kähler manifolds with positive Ricci curvature, this volume.
- [FMo] A. Futaki and S. Morita, Invariant polynomials of the automorphism group of a compact complex manifold, *J. Diff. Geom.*, **21** (1985), 135–142.
- [KS1] N. Koiso and Y. Sakane, Non-homogeneous Kähler-Einstein metrics on compact complex manifolds, in “Curvature and topology of Riemannian manifolds”, *Lecture Notes in Math.* #1201, Springer-Verlag, Berlin, Heidelberg, New York, Tokyo, 1986.
- [KS2] ———, Non-homogeneous Kähler-Einstein metrics on compact complex manifolds, II, preprint.
- [K] M. Koitabashi, The group of automorphisms of rational surfaces, Master Thesis, Osaka University (in Japanese).
- [MM] S. Mori and S. Mukai, Classification of Fano 3-folds with  $b_2 \geq 2$ , *Manuscripta Math.*, **36** (1981), 147–162.

- [Mo] S.Morita, Almost complex manifolds and Hirzebruch invariant for isolated singularities in complex spaces, *Math. Ann.*, **211** (1974), 245–260.
- [Sa] Y.Sakane, Examples of compact Kähler-Einstein manifolds with positive Ricci curvature, *Osaka J. Math.*, **23** (1986), 585–617.
- [S] J.Simons, Characteristic forms and transgression II - Characters associated to a connection, preprint.
- [Si] Y.T.Siu, The existence of Kähler-Einstein metrics on manifolds with positive anticanonical line bundle and a suitable finite symmetry group, *Ann. of Math.*, **127** (1988), 585–627.
- [T] G.Tian, On Kähler-Einstein metrics on certain Kähler manifolds with  $C_1(M) > 0$ , *Invent. Math.*, **89** (1987), 225–246.
- [TS] G.Tian and S.T.Yau, Kähler-Einstein metrics on complex surfaces with  $C_1 > 0$ , *Comm. Math. Phys.*, **112** (1987), 175–203.

A. Futaki

*Department of Mathematics*

*Faculty of Science*

*Chiba University*

*Yayoicho, Chiba 260*

*Japan*

K. Tsuboi

*Department of Natural Sciences*

*Tokyo University of Fisheries*

*Minato-ku, Tokyo 108*

*Japan*