

## Duality Theorems for Abelian Varieties over $Z_p$ -extensions

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*Dedicated to Kenkichi Iwasawa on his 70th birthday*

Our concern in this paper is to define  $p$ -adic height pairings for an abelian variety  $A$  over an algebraic number field  $k$  on the niveau of a  $Z_p$ -extension  $k_\infty$  of  $k$ . We will show that there exists a map from the  $A$ -torsion submodule  $T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$  of the Pontrjagin dual of the  $p$ -Selmer group to the adjoint  $\alpha$  of the corresponding module for the dual abelian variety  $A'$ . Here  $A$  denotes the completed group ring of  $\text{Gal}(k_\infty/k)$  over  $Z_p$  and  $p$  is a prime number where  $A$  has good reduction.  $\mathcal{A}$  denotes the Néron model defined over the ring of integers  $\mathcal{O}_\infty$  of  $k_\infty$ . More generally, for  $i \geq 0$  there are canonical maps

$$T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \longrightarrow \alpha(T_A H^{2-i}(\mathcal{O}_\infty, \mathcal{A}'(p))^*).$$

These maps are quasi-isomorphisms if  $A$  has ordinary good reduction at  $p$ . In this case they can be regarded as non-degenerate pairings between the  $A$ -torsion submodules of  $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$  and of  $H^{2-i}(\mathcal{O}_\infty, \mathcal{A}'(p))^*$ . The pairing induced on a finite layer  $k_n/k$  coincides with the pairing defined by Schneider [8] (for  $i=1$  and assuming that  $H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$  is  $A$ -torsion and fulfills a certain semi-simplicity property).

Furthermore, we define an Iwasawa  $L$ -function in terms of characteristic polynomials of  $T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$ :

$$L_p(A, \kappa, s) = \prod_{i=0}^2 F_i(\kappa(\phi)^{s-1} - 1)^{(-1)^{i+1}}, \quad s \in Z_p,$$

$$F_i(t) = p^{\mu_i} \det(t - (\phi - 1)); T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \otimes \mathcal{Q}_p,$$

where  $\kappa$  is the character corresponding to  $k_\infty$ ,  $\phi$  is a generator of  $\text{Gal}(k_\infty/k)$  and  $\mu_i$  is the  $\mu$ -invariant of  $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$ . In the ordinary case the pairing mentioned above leads to a functional equation for  $L_p(A, \kappa, s)$  with respect to  $s \mapsto 2-s$ . This generalizes a result of Schneider [8] and

Mazur [4], since we do not assume  $H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$  to be  $\Lambda$ -torsion.

In the supersingular case, i.e., if the  $p$ -rank of the reduction  $\mathcal{A}/\kappa_{\mathfrak{p}}$  is zero for every prime  $\mathfrak{p}$  above  $p$ , the adjoint of  $T_\Lambda H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$  can be identified with the dual of the kernel of the canonical map

$$H^1(\mathcal{O}_\infty, \mathcal{A}(p)) \longrightarrow \prod_{\mathfrak{p} \in \Sigma} H^1(k_{\infty, \mathfrak{p}}, A(p))$$

where  $\Sigma$  denotes the set of primes ramified in  $k_\infty/k$ . This generalizes a result for elliptic curves with complex multiplication obtained by Billot [2].

At the end of the paper we study how the pairing for an abelian variety  $A$  which is ordinary at  $p$  behaves on the two parts of the  $p$ -Selmer group given by the  $p$ -part of the Tate-Šafarevič group  $\text{III}_\infty(A)(p)$  and the “Mordell-Weil group”  $A(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p$ . Assuming that the  $p$ -part of  $\text{III}$  on each layer of  $k_\infty/k$  is finite we obtain a quasi-isomorphism

$$T_\delta \text{III}_\infty(A)(p)^* \xrightarrow{\sim} \alpha(T_\delta \text{III}_\infty(A)(p)^*)$$

and a quasi-exact sequence

$$\begin{aligned} 0 \longrightarrow T_\nu \text{III}_\infty(A')(p)^* &\longrightarrow \alpha(T_\Lambda(A(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p)^*) \\ &\longrightarrow T_\Lambda(A'(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p)^* \longrightarrow \alpha(T_\nu \text{III}_\infty(A)(p)^*) \longrightarrow 0 \end{aligned}$$

where  $T_\nu M$  and  $T_\delta M$  of a compact  $\Lambda$ -module  $M$  of finite type are defined by  $\varprojlim_n M^{\Gamma_n}$  and  $T_\delta M = T_\Lambda M / T_\nu M$ , respectively. In particular, if the group of  $\Gamma_n$ -invariants of  $\text{III}_\infty(A)(p)$  is infinite then  $A$  has a  $k_n$ -rational point of infinite order. As a corollary one obtains a non-degenerate pairing

$$A(k_\infty) \times A'(k_\infty) \longrightarrow \mathbf{Q}_p,$$

if  $(A(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p)^*$  is  $\Lambda$ -torsion and  $\text{III}_\infty(A)(p)^{\Gamma_n}$  is finite for all  $n \geq 0$ .

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### § 0. Notations

For an abelian group  $M$  let  $\text{Tor } M$  be the torsion subgroup and  $M_{\text{Tor}} := M/\text{Tor } M$ , let  $\text{Div } M$  be the maximal divisible subgroup and  $M_{\text{Div}} := M/\text{Div } M$ . For  $m \in \mathbf{N}$  let the groups  ${}_m M$  and  $M_m$  be the kernel and cokernel of the multiplication by  $m$ , respectively, and put  $M(p) = \varprojlim_m {}_p M$  for a prime number  $p$ .

For a commutative group scheme  $G$  we use contrary to the convention above the usual notation  $G_m$  for the kernel of the  $m$ -multiplication.

For a  $Z_p$ -module  $M$  let  $M^* = \text{Hom}(M, \mathbf{Q}_p/Z_p)$  be the Pontrjagin dual of  $M$ . For a  $G$ -module  $M$ ,  $G$  a group,  $M^G$  and  $M_G$  denote the invariants and coinvariants of  $G$ , respectively.

Throughout this paper the cohomology groups  $H^i(S, \ )$  are taken with respect to the big fppf-site on a scheme  $S$ .

§ 1.  $\Lambda$ -modules

Let  $\Gamma$  be a pro- $p$ -group isomorphic to  $Z_p$  and let  $\Lambda = Z_p[[\Gamma]]$  be the completed group ring of  $\Gamma$ . We also consider  $\Lambda$  as the ring of power series  $Z_p[[T]]$  over  $Z_p$  via the homeomorphism  $\gamma \mapsto 1+T$ , where  $\gamma$  is a generator of  $\Gamma$ .

Let  $M$  be a finitely generated compact  $\Lambda$ -module, then

$$T_\Lambda M \quad \text{and} \quad T_\mu M$$

denote the  $\Lambda$ -torsion submodule and the  $Z_p$ -torsion submodule of  $M$ , respectively. We define

$$F_\Lambda M := M/T_\Lambda M \quad \text{and} \quad T_\lambda M := T_\Lambda M/T_\mu M.$$

Furthermore let  $\Gamma_n$  be the subgroup of  $\Gamma$  of index  $p^n$  and let

$$T_\nu M := \varinjlim_n M^{\Gamma_n} \quad \text{and} \quad T_\delta M := T_\Lambda M/T_\nu M.$$

If  $\xi_r$  denotes the irreducible polynomial of the  $p^r$ -th root of unity, then there is a quasi-isomorphism

$$T_\nu M \approx \bigoplus_i \Lambda/\xi_i \quad \text{for some polynomials } \xi_i.$$

If

$$T_{\delta_{i+1}} M := T_\delta(T_{\delta_i} M) \quad \text{where } T_{\delta_1} M := T_\delta M$$

then there must be an  $i_0$  with  $T_{\delta_{i_0+1}} M = T_{\delta_{i_0}} M$  and we define

$$T_{\delta_\infty} M := T_{\delta_{i_0}} M \quad \text{and} \quad T_\varepsilon M := \ker(T_\Lambda M \rightarrow T_{\delta_\infty} M).$$

Obviously the characteristic polynomial of  $T_\varepsilon M$  is a product of polynomials  $\xi_r$  and  $T_{\delta_\infty} M$  has no divisor  $\xi_r$ ,  $r \geq 0$ . For a  $\Lambda$ -module  $M$  let  $\dot{M}$  be the  $\Lambda$ -module given by  $M$  with a new action of  $\Gamma$

$$\gamma \cdot m := \gamma^{-1} m \quad \text{for } m \in M, \gamma \in \Gamma.$$

If

$$\alpha(M) := \text{Ext}_\Lambda^1(M, \Lambda).$$

denotes the adjoint of a compact  $\Lambda$ -torsion module  $M$  of finite type then according to [6] I.2.2 or [2] Corollaire 1.2, Remarque 3.4

$$\alpha(M) = \varprojlim_i \text{Hom}_{\mathbb{Z}_p}(M/q_i M, \mathbb{Q}_p/\mathbb{Z}_p) \approx \dot{M}$$

where  $\{q_i\}$  is a sequence of divisors disjoint from the annihilator of  $M$  such that  $\bigcap q_i = 1$ . If  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$  is a quasi-exact sequence of compact  $\Lambda$ -torsion modules of finite type, then applying the contravariant functor  $\alpha$  we obtain a quasi-exact sequence

$$0 \rightarrow \alpha(M_3) \rightarrow \alpha(M_2) \rightarrow \alpha(M_1) \rightarrow 0.$$

If  $m$  denotes the maximal ideal of  $\Lambda$  we get for a compact  $\Lambda$ -module  $M$  of finite type a quasi-isomorphism

$$\beta(M) := \varprojlim_i \text{Hom}(M/m^i M, \mathbb{Q}_p/\mathbb{Z}_p) \approx F_\Lambda M.$$

**Lemma 1.1.** *Let  $M$  be a compact  $\Lambda$ -module of finite type. Then there are quasi-isomorphisms*

- (a)  $\varprojlim_{n,m} ({}_p M^*)_{\Gamma_n} \approx \alpha(T_\lambda M) \approx \dot{T}_\lambda M$
- (b)  $\varprojlim_{n,m} (M^*_{\mathbb{Z}^m})_{\Gamma_n} \approx \alpha(T_\mu M) \approx \dot{T}_\mu M$
- (c)  $\varprojlim_{n,m} (M^*_{\mathbb{Z}^m})_{\Gamma_n} \approx \alpha(T_\delta M) \approx \dot{T}_\delta M$
- (d)  $\varprojlim_{n,m} (M^*_{\Gamma_n})_{p^m} \approx \alpha(T_\nu M) \approx \dot{T}_\nu M$
- (e)  $\varprojlim_{n,m} (M^*_{\Gamma_n})_{p^m} \approx \beta(F_\Lambda M) \approx F_\Lambda M$
- (f)  $\varprojlim_{n,m} M^*_{p^m \Gamma_n} \approx 0,$

where the limit is taken with respect to the  $p$ -multiplication resp. canonical surjection and the norm map resp. canonical surjection. Here and in the following we use the notation  $\dot{T}_-(M) = T_-(\dot{M})$ .

*Proof.* All assertions are obtained easily from the general structure theory of compact noetherian  $\Lambda$ -modules. So we will only indicate the proof of (c) and (d).

Since

$$\varprojlim_{n,m} (M^{\Gamma_n})_{p^m} = \varprojlim_m (T_\nu M)_{p^m}$$

it follows

$$\varprojlim_{n,m} (M^*_{\Gamma_n})_{p^m} \approx \varprojlim_m \text{Hom}(T_\nu M_{p^m}, \mathbb{Q}_p/\mathbb{Z}_p) \approx \dot{T}_\nu M.$$

In order to prove (c) we decompose  $M$

$$M \approx \bar{M} \oplus T_\mu M \quad \text{with } T_\mu \bar{M} = 0.$$

First we see

$$\begin{aligned} \varprojlim_{n,m} ((T_\mu M)^{* \Gamma_n})_{p^m} &= \varprojlim_n \text{Hom}(\varprojlim_m (T_\mu M)_{\Gamma_n}, \mathbf{Q}_p/\mathbf{Z}_p) \\ &= \varprojlim_n \text{Hom}(T_\mu M_{\Gamma_n}, \mathbf{Q}_p/\mathbf{Z}_p) \approx \dot{T}_\mu M \end{aligned}$$

and secondly the exact sequence

$$0 \longrightarrow \bar{M} \xrightarrow{p^m} \bar{M} \longrightarrow \bar{M}_{p^m} \longrightarrow 0$$

leads to an exact sequence

$$0 \longrightarrow (\bar{M}^{\Gamma_n})_{p^m} \longrightarrow (\bar{M}_{p^m})^{\Gamma_n} \longrightarrow {}_{p^m}(\bar{M}_{\Gamma_n}) \longrightarrow 0.$$

Hence we obtain a quasi-exact sequence

$$0 \longrightarrow (\dot{T}_\nu \bar{M})^* \longrightarrow (\dot{T}_\lambda \bar{M})^* \longrightarrow \varprojlim_{n,m} {}_{p^m}(\bar{M}_{\Gamma_n}) \longrightarrow 0$$

(recall that the projective limit is an exact functor in the category of profinite groups). This proves (c).

## § 2. Duality theorems for abelian varieties

Let  $k$  be a number field and let  $A$  be an abelian variety defined over  $k$ . Let  $\mathcal{A}$  be its Néron model over the ring of integers  $\mathcal{O}$  of  $k$  and let  $\mathcal{A}^0$  be the connected component of  $\mathcal{A}$ . By  $A'$  and  $\mathcal{A}'$  we denote the dual abelian variety and its Néron model, respectively. We say  $A$  has good (ordinary) reduction at a prime number  $p$ , if  $A$  has good (ordinary) reduction at all primes of  $k$  above  $p$ . Since  $A$  and  $A'$  are  $k$ -isogenous  $A'$  has in that case good (ordinary) reduction too.

**Theorem 2.1.** *Let  $A$  be an abelian variety over  $k$  with good reduction at  $p$ .*

(i) (Artin/Mazur) *The cup product induces a perfect duality of finite groups*

$$H^i(\mathcal{O}, \mathcal{A}_{p^m}) \times H^{3-i}(\mathcal{O}, \mathcal{A}'_{p^m}) \longrightarrow H^3(\mathcal{O}, \mathbf{G}_m) \xrightarrow{\sim} \mathbf{Q}/\mathbf{Z} \quad \text{for all } i \geq 0.$$

The above pairing induces the following perfect pairings

- (ii)  $H^1(\mathcal{O}, \mathcal{A}^0(p)_{\text{Div}}) \times H^1(\mathcal{O}, \mathcal{A}'(p)_{\text{Div}}) \longrightarrow \mathbf{Q}/\mathbf{Z}$ ,
- (iii) (Cassels/Tate)

$$\text{III}(A)(p)_{\text{Div}} \times \text{III}(A')(p)_{\text{Div}} \longrightarrow \mathbf{Q}/\mathbf{Z}.$$

**Remark.** A proof of (i) is given in an unpublished paper of Artin and Mazur [1] and also by Milne [15] III. Corollary 3.2. The assertion (ii) is proved by Schneider [7] § 6 Lemma 3 (observe that  $H^1(\mathcal{O}, \mathcal{A}(p))_{\text{Div}} = H^1(\mathcal{O}, \mathcal{A})(p)_{\text{Div}}$ ). The perfect duality for the Tate-Šafarevič groups was announced by Tate in [10]. A proof can be found in [5] I. Theorem 6.13, II. Theorem 5.6.

We will shortly indicate, how this also follows from the flat duality theorem and a duality theorem of Grothendieck. The exact sequence

$$0 \longrightarrow \mathcal{A}^0 \longrightarrow \mathcal{A} \longrightarrow \mathcal{F} \longrightarrow 0$$

defines a skyscraper sheaf  $\mathcal{F}$ . The stalk

$$\mathcal{F}_x = \pi_0(\mathcal{A}_x) \quad \text{for } x \in \mathcal{O}$$

is the group of connected components of  $\mathcal{A}_x = \mathcal{A} \times_{\mathcal{O}} \kappa(x)$ . According to [4], Appendix, the image of the middle map in the exact cohomology sequence

$$H^0(\mathcal{O}, \mathcal{F}) \longrightarrow H^1(\mathcal{O}, \mathcal{A}^0) \longrightarrow H^1(\mathcal{O}, \mathcal{A}) \longrightarrow H^1(\mathcal{O}, \mathcal{F})$$

is  $\text{III}(A)$ . Therefore we obtain a commutative and exact diagram

$$\begin{array}{ccccc} H^1(\mathcal{O}, \mathcal{F}')(p) \times H^0(\mathcal{O}, \mathcal{F})(p) & \longrightarrow & \bigoplus_x H^1(\kappa(x), \mathbf{Q}/\mathbf{Z}) & & \\ \uparrow & & \downarrow \delta & & \downarrow \delta \\ H^1(\mathcal{O}, \mathcal{A}')(p)_{\text{Div}} \times H^1(\mathcal{O}, \mathcal{A}^0)(p)_{\text{Div}} & \longrightarrow & H^1(\mathcal{O}, \mathbf{G}_m) & & \\ \uparrow & & \downarrow & & \\ \text{III}(A')(p)_{\text{Div}} & & \text{III}(A)(p)_{\text{Div}} & & \\ \uparrow & & \downarrow & & \\ 0 & & 0 & & \end{array}$$

The vertical exact sequences are induced by the exact sequence above: observe that

$$H^1(\mathcal{O}, \mathcal{F})(p) = \bigoplus_x H^1(\kappa(x), \pi_0(\mathcal{A}_x))(p) = \bigoplus_x H^1(\kappa(x), \pi_0(\mathcal{A}_x)(p))$$

is a finite group. The right vertical map  $\delta$  is defined by the exact divisor sequence

$$0 \longrightarrow \mathbf{G}_{m/\mathcal{O}} \longrightarrow \mathcal{G}_* \mathbf{G}_{m/k} \longrightarrow \bigoplus_x (i_x)_* \mathbf{Z} \longrightarrow 0$$

( $g: \text{Spec } k \rightarrow \text{Spec } \mathcal{O}$  and  $i_x: \text{Spec } \kappa(x) \rightarrow \text{Spec } \mathcal{O}$ ) under consideration of

$$H^1(\kappa(x), \mathbf{Q}/\mathbf{Z}) = H^2(\kappa(x), \mathbf{Z}) = H^2(\mathcal{O}, (i_x)_* \mathbf{Z}).$$

The pairing at the top is defined as follows: By SGA 7 IX 11.3.1 we have a perfect duality

$$\pi_0(\mathcal{A}'_x)(p) \times \pi_0(\mathcal{A}_x)(p) \longrightarrow \mathbf{Q}/\mathbf{Z}$$

(observe  $p \neq \text{char } \kappa(x)$ ). Now it is easy to check that the induced pairing

$$\bigoplus_x H^0(\kappa(x), \pi_0(\mathcal{A}'_x)(p)) \times \bigoplus_x H^1(\kappa(x), \pi_0(\mathcal{A}_x)(p)) \longrightarrow \bigoplus_x H^1(\kappa(x), \mathbf{Q}/\mathbf{Z})$$

coincides with the pairing given by (ii) via  $\delta$ . Therefore we obtain a perfect duality for the Tate-Šafarevič group.

Now let  $k_\infty$  be a  $\mathbf{Z}_p$ -extension of  $k$  and let  $k_n$  be the  $n$ -th layer of  $k_\infty/k$ . Let  $\mathcal{O}_n$  and  $\mathcal{O}_\infty$  be the ring of integers of  $k_n$  and  $k_\infty$ , respectively. We denote by  $\Sigma$  the finite set of primes of  $k$  which are ramified in  $k_\infty$  (and which therefore lie above  $p$ ).

**Theorem 2.2.** *Let  $A$  be an abelian variety over  $k$  with good reduction at  $p$ . Then the flat duality induces quasi-isomorphisms*

- (i)  $\alpha(H^0(\mathcal{O}_\infty, \mathcal{A}(p))^*) \approx T_A H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^*$
- (ii)  $\beta(F_A(H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \approx F_A H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^* \oplus \Lambda^s$

and a quasi-exact sequence

$$\begin{array}{ccccccc}
 0 & \longrightarrow & F_A H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^* & \longrightarrow & \beta(F_A H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) & & \\
 & & & & & \searrow & \\
 & & & & & & \bigoplus_{p \in \Sigma} (A'(k_{\infty p})/N_p' \otimes \mathbf{Q}_p/\mathbf{Z}_p)^* \\
 & & & & & \swarrow & \\
 0 & \longleftarrow & \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \alpha(T_A H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) & \longleftarrow & H^1(\mathcal{O}_\infty, \mathcal{A}(p))^* & & 
 \end{array}$$

where the third term is quasi-isomorphic to  $\Lambda^s$ ,

$$\begin{aligned}
 s &= \sum_{p \in \Sigma} (\dim A - r_p)[k_p : \mathbf{Q}_p] \\
 r_p &= p\text{-rank of the reduction } \mathcal{A}/\kappa(p),
 \end{aligned}$$

and where  $N_p$  denotes the group of “universal norms in  $A(k_{\infty p})$ ”

$$N_p = \bigcup_n \bigcap_{m \geq n} N_{k_{mp}/k_{np}}(A(k_{mp})).$$

In particular, the above sequence induces a quasi-exact sequence

$$0 \longrightarrow \Lambda^s \longrightarrow \Lambda^{2s} \longrightarrow \Lambda^s \oplus T_\Lambda H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* \longrightarrow \dot{T}_\Lambda H^1(\mathcal{O}, \mathcal{A}^0(p))^* \longrightarrow 0.$$

**Remark 2.3.** (i) If  $k_\infty$  is the cyclotomic  $\mathbf{Z}_p$ -extension it is conjectured that  $F_\Lambda H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* \approx 0$ . This is proved for elliptic curves with complex multiplication by an order in an imaginary quadratic field  $K$  defined over an abelian extension of  $K$  with good ordinary reduction at  $p$ , see [3] Proposition 15, and in the case that the reduction of the abelian variety  $A/k$  is supersingular for every  $\mathfrak{p}/p$  and the Iwasawa- $\mu$ -invariant of  $k(A_p)$  is zero, [9] Theorem 5, Remark 1.

(ii) The canonical map

$$H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*$$

is a quasi-isomorphism except for the  $\mu$ -part if  $i=1$ . Indeed, we have

$$\begin{aligned} H^r(\mathcal{O}_\infty, \mathcal{F}(p))^* &= \varprojlim_n \bigoplus_x H^r(\kappa(x), \pi_0(\mathcal{A}_x)(p))^* \\ &\cong \bigoplus_{x \in B} \bigoplus_j \mathbf{Z}/p^{n_j(x)} \llbracket \Gamma \rrbracket \end{aligned}$$

where  $B$  is the set of all bad primes  $x \in \mathcal{O}$  splitting completely in  $k_\infty/k$  and the integers  $n_j(x)$  for  $x \in B$  are given by

$$H^r(\kappa(x), \pi_0(\mathcal{A}_x)(p))^* \cong \bigoplus_j \mathbf{Z}/p^{n_j(x)}.$$

In order to prove Theorem 2.2 we need

**Lemma 2.4.** *Let  $N$  be a discrete  $\Gamma$ -module.*

(i) *There are isomorphisms*

$$\begin{aligned} H^1(\Gamma, N_{\text{Tor}}) &\cong (N \otimes \mathbf{Q}_p / \mathbf{Z}_p)^\Gamma / ((N_{\text{Tor}})^\Gamma \otimes \mathbf{Q}_p / \mathbf{Z}_p). \\ H^2(\Gamma, N) &\cong (N \otimes \mathbf{Q}_p / \mathbf{Z}_p)_{\Gamma}. \end{aligned}$$

(ii) *Let  $(N \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*$  be a  $\Lambda$ -module of finite type. Then there is a quasi-exact sequence*

$$0 \longrightarrow \varprojlim_{n,m} (N_{\text{Tor}})^\Gamma \otimes \mathbf{Z}_p \longrightarrow \beta(F_\Lambda(N \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*) \longrightarrow \varprojlim_{n,m} {}_p m H^1(\Gamma_n, N_{\text{Tor}}) \longrightarrow 0$$

*Proof.* Taking cohomology of the exact sequence

$$0 \longrightarrow N_{\text{Tor}} \longrightarrow N_{\text{Tor}} \otimes \mathbf{Z} \left[ \frac{1}{p} \right] \longrightarrow N \otimes \mathbf{Q}_p / \mathbf{Z}_p \longrightarrow 0$$

leads to an exact sequence

$$0 \longrightarrow N_{\text{Tor}}^{\Gamma} \longrightarrow N_{\text{Tor}}^{\Gamma} \otimes \mathbf{Z} \left[ \frac{1}{p} \right] \longrightarrow (N \otimes \mathbf{Q}_p / \mathbf{Z}_p)^{\Gamma} \longrightarrow H^1(\Gamma, N_{\text{Tor}}) \longrightarrow 0$$

and an isomorphism

$$H^2(\Gamma, N) = H^2(\Gamma, N_{\text{Tor}}) \cong H^1(\Gamma, N \otimes \mathbf{Q}_p / \mathbf{Z}_p) \cong (N \otimes \mathbf{Q}_p / \mathbf{Z}_p)_{\Gamma}.$$

This proves (i). Taking  $\Gamma_n$  instead of  $\Gamma$  and applying the projective limit to the exact sequence

$$0 \longrightarrow {}_p m(N_{\text{Tor}}^{\Gamma_n} \otimes \mathbf{Q}_p / \mathbf{Z}_p) \longrightarrow {}_p m(N \otimes \mathbf{Q}_p / \mathbf{Z}_p)^{\Gamma_n} \longrightarrow {}_p m H^1(\Gamma_n, N_{\text{Tor}}) \longrightarrow 0$$

implies the result (ii).

*Proof of Theorem 2.2.* From the global flat duality theorem we obtain a perfect pairing

$$\varprojlim_{n,m} H^i(\mathcal{O}_n, \mathcal{A}_{p^m}) \times H^{3-i}(\mathcal{O}_{\infty}, \mathcal{A}'(p)) \xrightarrow{\cup} \varprojlim_{n,m} H^2(\mathcal{O}_n, \mathbf{G}_m) \simeq \mathbf{Q}/\mathbf{Z}$$

where the projective limits are taken with respect to the norm map and the multiplication by  $p$ . In order to compute  $\varprojlim_{n,m} H^i(\mathcal{O}_n, \mathcal{A}_{p^m})$  we consider the descent diagram [8] p. 332, [7] Lemmas 6.1, 6.3:

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \downarrow & & \\
 & & & & H^1(\mathcal{O}_n, \mathcal{A}_{p^m}) & & \\
 & & & & \downarrow & & \\
 0 & \longrightarrow & H^1(\Gamma_n, \mathcal{A}_{p^m}(k_{\infty})) & \longrightarrow & H^1(\mathcal{O}_{\infty}/\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^1(\mathcal{O}_{\infty}, \mathcal{A}_{p^m})^{\Gamma_n} \longrightarrow 0 \\
 & & & & \downarrow & & \\
 & & \bigoplus_{\mathfrak{p} \in \Sigma} {}_p m H^1(\Gamma_{n\mathfrak{p}}, \mathcal{A}(k_{\infty\mathfrak{p}})) & & \downarrow & & \\
 & & & & H^2(\mathcal{O}_n, \mathcal{A}_{p^m}) & & \\
 & & & & \downarrow \psi_{n,m} & & \\
 0 & \longrightarrow & H^1(\mathcal{O}_{\infty}, \mathcal{A}_{p^m})_{\Gamma_n} & \longrightarrow & H^2(\mathcal{O}_{\infty}/\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^2(\mathcal{O}_{\infty}, \mathcal{A}_{p^m})^{\Gamma_n} \longrightarrow 0.
 \end{array}$$

Here  $H^i(\mathcal{O}_{\infty}/\mathcal{O}_n, -)$  denotes the equivariant cohomology, [7] Appendix, and  $\Gamma_{n\mathfrak{p}}$  is the decomposition group of  $\Gamma_n$  with respect to  $\mathfrak{p}$ . We calculate the projective limit of the finite groups in the diagram:

$$\varprojlim_{n,m} H^i(\mathcal{O}_n, \mathcal{A}_{p^m}) \cong H^{3-i}(\mathcal{O}_{\infty}, \mathcal{A}'(p))^*,$$

$$\varinjlim_{n,m} H^i(\Gamma_n, H^j(\mathcal{O}_\infty, \mathcal{A}_{p^m})) \cong \varinjlim_{n,m} H^i(\Gamma_n, H^j(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)).$$

The exact Kummer sequence, SGA 7 IX 2.2.1

$$0 \longrightarrow \mathcal{A}_{p^m}^0 \longrightarrow \mathcal{A}^0(p) \xrightarrow{p^m} \mathcal{A}^0(p) \longrightarrow 0$$

implies an exact sequence

$$0 \longrightarrow H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))_{p^m} \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0) \longrightarrow {}_{p^m}H^i(\mathcal{O}_\infty, \mathcal{A}^0(p)) \longrightarrow 0$$

and therefore we obtain an exact sequence

$$\begin{aligned} 0 \longrightarrow & (H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))_{p^m})^{\Gamma_n} \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)^{\Gamma_n} \\ & \longrightarrow {}_{p^m}H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^{\Gamma_n} \longrightarrow H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))_{p^m \Gamma_n} \\ & \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)_{\Gamma_n} \longrightarrow ({}_{p^m}H^i(\mathcal{O}_\infty, \mathcal{A}^0(p)))_{\Gamma_n} \longrightarrow 0. \end{aligned}$$

By Lemma 1.1 we obtain quasi-isomorphisms

$$\begin{aligned} \varinjlim_{n,m} H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)^{\Gamma_n} &\approx \alpha(T_\mu H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*), \\ \varinjlim_{n,m} H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)_{\Gamma_n} &\approx \alpha(T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \end{aligned}$$

inducing quasi-isomorphisms

$$\varinjlim_{n,m} H^i(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}_{p^m}^0) \approx \alpha(T_\lambda H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*).$$

Next, for  $p \in \Sigma$  we want to show

*Claim 1.* 
$$\begin{aligned} \varinjlim_{n,m} {}_{p^m}H^1(\Gamma_n, A(k_{\infty p})) &\cong (A'(k_{\infty p})/N'_p \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \\ &\approx \mathcal{Z}_p \llbracket T_p \rrbracket^{2(\dim A - r_p)[k_p; \mathcal{Q}_p]} \end{aligned}$$

*Proof.* According to [12], Theorem 2.2 the group

$$H^2((\Gamma_p)_n, A(k_{\infty p})) \cong (A(k_{\infty p}) \otimes \mathcal{Q}_p/\mathcal{Z}_p)_{(\Gamma_p)_n}$$

(Lemma 2.4.i) is of finite order independent of  $n$ ,  $n$  big enough. Therefore we obtain a quasi-exact sequence:

$$\begin{aligned} 0 \longrightarrow & \varinjlim_{n,m} {}_{p^m}H^1((\Gamma_p)_n, A(k_{\infty p})) \longrightarrow \varinjlim_{n,m} {}_{p^m}H^1(k_{pn}, A) \\ & \longrightarrow \varinjlim_{n,m} {}_{p^m}H^1(k_{\infty p}, A)^{(\Gamma_p)^n} \longrightarrow \varinjlim_{n,m} H^1((\Gamma_p)_n, A(k_{\infty p}))_{p^m}. \end{aligned}$$

Again by [12] Theorem 2.2 the modules

$$\varinjlim_{n,m} {}_p m H^1(k_{pn}, A) \cong (\varinjlim_{n,m} A'(k_{pn})_p m)^* = (A'(k_{\infty p}) \otimes \mathcal{Q}_p / \mathcal{Z}_p)^*,$$

$$\varinjlim_{n,m} {}_p m H^1(k_{\infty p}, A)^{(\Gamma_p)^n} \approx \beta(H^1(k_{\infty p}, A)^*) \approx F_A H^1(k_{\infty p}, A)^*$$

are quasi-free of rank  $(2 \dim A - r_p)[k_p : \mathcal{Q}_p]$  and  $r_p[k_p : \mathcal{Q}_p]$ , respectively. Since the fourth module in the sequence above is  $\mathcal{Z}_p[[\Gamma_p]]$ -torsion we prove Claim 1.

Now the proof of the theorem will be accomplished once we have shown the quasi-surjectivity of the map

$$\psi = \varinjlim_{n,m} \psi_{n,m} : \varinjlim_{n,m} H^2(\mathcal{O}_n, \mathcal{A}_{p^m}) \longrightarrow \varinjlim_{n,m} H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}).$$

(Observe that  $F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*$  can be divided out of the first exact sequence in 2.2 (iii) in order to obtain the second, since a quasi-exact sequence  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$  of compact  $A$ -modules of finite type induces a quasi-exact sequence

$$0 \longrightarrow M_1 \longrightarrow \ker(M_2 \twoheadrightarrow F_A M_3) \longrightarrow T_A M_3 \longrightarrow 0.)$$

Now, according to [8] Lemma 3 we have a commutative and exact diagram

$$\begin{array}{ccccccc} H^{i-1}(Y_n, \mathcal{A}_{p^m}) & \longrightarrow & H^i_{\Sigma_n}(\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^i(\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^i(Y_n, \mathcal{A}_{p^m}) \\ \parallel & & \downarrow \varphi_{n,m} & & \downarrow \psi_{n,m} & & \parallel \\ H^{i-1}(Y_n, \mathcal{A}_{p^m}) & \longrightarrow & H^i_{\Sigma_n}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^i(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^i(Y_n, \mathcal{A}_{p^m}), \end{array}$$

where  $Y_n = \mathcal{O}_n \setminus \Sigma_n$ . If  $A^i_{n,m}$  and  $B^i_{n,m}$  denote the kernel and cokernel of the map  $\varphi_{n,m}$  and  $C^i_{n,m}$  and  $D^i_{n,m}$  the kernel and cokernel of  $\psi_{n,m}$ , respectively, then we obtain exact sequences

$$0 \longrightarrow B^i_{n,m} \longrightarrow D^i_{n,m} \longrightarrow A^{i+1}_{n,m} \longrightarrow C^{i+1}_{n,m} \longrightarrow 0.$$

Claim 2.  $\varinjlim_{n,m} B^2_{n,m} \approx 0$ .

Proof. Because

$$H^2_{\Sigma_n}(\mathcal{O}_n, \mathcal{A}_{p^m}) = \bigoplus_{p \in \Sigma_n} {}_p m H^1(k_{np}, A),$$

$$H^2_{\Sigma_n}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}) = \bigoplus_{p \in \Sigma_n} {}_p m H^1(k_{\infty p}, A)^{\Gamma_{np}},$$

[4] 5.1, 5.2 and [8] Lemma 7, we have

$$B^2_{n,m} = \bigoplus_{p \in \Sigma_n} \text{coker}({}_p m H^1(k_{np}, A) \longrightarrow {}_p m H^1(k_{\infty p}, A)^{\Gamma_{np}}).$$

Hence by the exact sequence in the proof of Claim 1:

$$\varinjlim_{n,m} B_{n,m}^2 \subseteq \varinjlim_{n,m} H^1(\Gamma_{np}, A(k_{\infty p}))_{pm}.$$

From Lemma 2.4 (i) we obtain a surjection

$$((A(k_{\infty p}) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_{np}})_{pm} \longrightarrow H^1(\Gamma_{np}, A(k_{\infty p})_{\text{Tor}})_{pm}.$$

Because

$$\begin{aligned} \varinjlim_{n,m} H^1(\Gamma_{np}, \text{Tor}(A(k_{\infty p})))_{pm} &\approx 0, \\ \varinjlim_{n,m} ((A(k_{\infty p}) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_{np}})_{pm} &\approx \dot{T}_\delta(A(k_{\infty p}) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx 0 \end{aligned}$$

by Lemma 1.1 and [12] Theorem 2.2 we obtain

$$\varinjlim_{n,m} H^1(\Gamma_{np}, A(k_{\infty p}))_{pm} \approx 0$$

proving Claim 2.

*Claim 3.*  $\varinjlim_{n,m} A_{n,m}^3$  and  $\varinjlim_{n,m} C_{n,m}^3$  are finitely generated  $\mathcal{Z}_p$ -modules of the same rank.

*Proof.* We have the (quasi-) isomorphisms

$$\begin{aligned} \varinjlim_{n,m} H_{\Sigma_n}^3(\mathcal{O}_n, \mathcal{A}_{pm}) &\cong (\varinjlim_{n,m} \bigoplus_{p \in \Sigma_n} H^0(\mathcal{O}_{np}, \mathcal{A}'_{pm}))^* = \bigoplus_{p \in \Sigma} A'(k_{\infty p})(p)^*, \\ \varinjlim_{n,m} H_{\Sigma_n}^3(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{pm}) &\cong \varinjlim_{n,m} \bigoplus_{p \in \Sigma_n} ({}_p H^1(k_\infty A))_{\Gamma_{np}} \approx \bigoplus_{p \in \Sigma} \dot{T}_\lambda H^1(k_{\infty p}, A)^* \\ &\approx \bigoplus_{p \in \Sigma} T_\lambda A'(k_{\infty p})(p)^* \approx \bigoplus_{p \in \Sigma} A'(k_{\infty p})(p)^* \end{aligned}$$

(by local flat duality, Lemma 1.1, [12] Theorem 2.2 and Theorem 3.4),

$$\begin{aligned} \varinjlim_{n,m} H^3(\mathcal{O}_n, \mathcal{A}_{pm}) &\cong A'(k_\infty)(p)^*, \\ \varinjlim_{n,m} H^3(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{pm}) &\cong \varinjlim_{n,m} H^2(\mathcal{O}_\infty, \mathcal{A}_{pm})_{\Gamma_n} \approx \varinjlim_{n,m} ({}_p H^2(\mathcal{O}_\infty, \mathcal{A}^0(p)))_{\Gamma_n} \\ &\approx \dot{T}_\lambda H^2(\mathcal{O}_\infty, \mathcal{A}^0(p))^* \approx A'(k_\infty)(p)^* \end{aligned}$$

(by Lemma 1.1 and the assertion (i) of this theorem proven above). Because  $A_{n,m}^4 = 0$  there is an isomorphism

$$\varinjlim_{n,m} B_{n,m}^3 \cong \varinjlim_{n,m} D_{n,m}^3.$$

Together with the quasi-isomorphisms above this proves Claim 3.

Now, from Claims 2 and 3 it follows

$$\text{coker } \psi = \varinjlim_{n,m} \text{coker } \psi_{n,m} = \varinjlim_{n,m} D_{n,m}^2 \approx 0.$$

This completes the proof of Theorem 2.2.

**Corollary 2.5.** *Let  $A$  be an abelian variety over  $k$  with good supersingular reduction at every prime above  $p$ . Assume  $H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*$  to be a  $A$ -torsion module. Then the Pontrjagin dual of the kernel of the canonical map*

$$H^1(\mathcal{O}_\infty, \mathcal{A}(p)) \longrightarrow \prod_{p \in \Sigma} H^1(k_{\infty,p}, A(p))$$

is quasi-isomorphic to  $\dot{T}_A H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^*$ .

This result is also obtained by Billot [2] for elliptic curves which have complex multiplication. The Corollary 2.5 follows easily from the theorem, because the above map is dual to the projective limit of the maps

$$\begin{aligned} \bigoplus_{p \in \Sigma_n} H^1(k_{np}, A'_{pm}) &\longrightarrow \bigoplus_{p \in \Sigma_n} {}_p m H^1(k_{np}, A') \\ &\longleftarrow \bigoplus_{p \in \Sigma_n} {}_p m H^1(\Gamma_{np}, A(k_{\infty,p})) \longrightarrow H^2(\mathcal{O}_n, \mathcal{A}_{pm}). \end{aligned}$$

Observe that the middle map is an isomorphism, i.e., the universal norms  $NA(k_{np})$  are zero in the supersingular case, [9] Theorem 1. We conclude this section with some easy consequences of the assumption that  $\text{III}_n = \text{III}(A(k_n))(p)$  is finite for all  $n$ .

**Proposition 2.6.** *Let  $\text{III}_n$  be finite for all  $n$ . Then*

- i)  $\text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* \leq \text{rank}_A (A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*$ ,
- ii)  $\text{rank}_A \text{III}_\infty^* \leq \sum_{p \in \Sigma} (\dim A - r_p) [k_p : \mathbf{Q}_p] = s$ .

**Corollary 2.7.** *Let  $\text{III}_n$  be finite for all  $n$ . If  $(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*$  is a  $A$ -torsion module, then  $\text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* = 0$  and  $\text{rank}_A \text{III}_\infty^* = s$ .*

*Proof.* Because of

$$\text{rank}_A (A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^* + \text{rank}_A \text{III}_\infty^* = s + \text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*,$$

[8] Lemma 2.2, the second assertion follows from the first. Now, since  $\text{III}(A(k_n))(p)$  is finite for all  $n$ , we obtain by the flat duality theorem and [8] Lemma 1.4 an isomorphism

$$\varinjlim_n \mathcal{A}^0(\mathcal{O}_n) \otimes \mathbf{Z}_p \simeq \varinjlim_{n,m} H^1(\mathcal{O}_n, \mathcal{A}_{p^m}) \cong H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^*.$$

Hence

$$\begin{aligned} \text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* &= \text{rank}_A \varinjlim_n \mathcal{A}^0(\mathcal{O}_n) \otimes \mathbf{Z}_p \\ &= \text{rank}_A \varinjlim_n A(k_n) \otimes \mathbf{Z}_p \quad (\text{see Remark 2.3 ii}) \\ &\leq \text{rank}_A F_A(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^* \end{aligned}$$

by Lemma 2.4 (ii).

### § 3. Ordinary reduction

In this section we will consider abelian varieties which have ordinary good reduction at  $p$ . As a direct consequence of Theorem 2.2 we obtain the following result

**Theorem 3.1.** *Let  $A$  be an abelian variety with ordinary good reduction at  $p$ . Then for  $i \geq 0$  there are quasi-isomorphisms induced by the global flat duality*

$$\begin{aligned} T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* &\simeq \alpha(T_\lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*), \\ F_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* &\simeq \beta(F_\lambda H^{3-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*). \end{aligned}$$

**Remark 3.2.** The quasi-isomorphism

$$T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* \simeq \alpha(T_\lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*)$$

can be understood as pairing

$$T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* \times T_\lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^* \longrightarrow \mathbf{Z}_p$$

with finite kernels. Indeed, the quasi-isomorphism is obtained from the discrete-compact pairing

$$\begin{array}{ccc} H^i(\mathcal{O}_\infty, \mathcal{A}'(p)) & \times & \varinjlim_{n,m} H^{3-i}(\mathcal{O}_n, \mathcal{A}_{p^m}) \longrightarrow \mathbf{Q}_p / \mathbf{Z}_p \\ \downarrow & & \downarrow \approx \\ (T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^*)^* & & \varinjlim_{n,m} H^{3-i}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}) \\ & & \uparrow \text{quasi-injective} \\ & & \varinjlim_{n,m} ({}_{p^m} H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p)))_{\Gamma_n} \\ & & \uparrow \approx \\ (T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^*)^* & & \alpha(T_\lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*) \end{array}$$

According to [11] Lemma 7.6 we obtain a pairing of compact  $\Lambda$ -modules. Now let

$$\kappa: G_k \longrightarrow \mathbf{Z}_p^*$$

be the continuous character of the absolute Galois group of  $k$  corresponding to the  $\mathbf{Z}_p$ -extension  $k_\infty/k$  and let  $\phi$  be a generator of  $\Gamma = G(k_\infty/k)$ . We define an Iwasawa  $L$ -function of  $A$  with respect to  $\kappa$  by

$$L_p(A, \kappa, s) = \prod_{i \geq 0} F_i(\kappa(\phi)^{s-1} - 1)^{(-1)^{i+1}}, \quad s \in \mathbf{Z}_p,$$

where

$$F_i(t) = p^{\mu_i} \det(t - (\phi - 1); T_\Lambda H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \otimes \mathbf{Q}_p)$$

is the characteristic polynomial of the  $\Lambda$ -torsion module  $T_\Lambda H^i$  and  $\mu_i$  denotes the  $\mu$ -invariant of  $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$  (see also [8] § 2, where  $L_p$  is defined assuming that  $H^i(\mathcal{O}_\infty, \mathcal{A}(p))$  is a  $\Lambda$ -torsion module). Using a polarization we obtain from Theorem 3.1 and [4] Lemma 7.1 a quasi-isomorphism

$$T_i H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \approx \dot{T}_i H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*, \quad i \geq 0,$$

which implies the following result

**Corollary 3.3.** *Let  $A$  be an abelian variety with good ordinary reduction at  $p$ . Then the Iwasawa  $L$ -function satisfies a functional equation with respect to  $s \mapsto 2 - s$ :*

$$L_p(A, \kappa, s) = \varepsilon \cdot \kappa(\phi)^{(s-1)(2\lambda_0 - \lambda_1)} L_p(A, \kappa, 2 - s),$$

where

$$\begin{aligned} \lambda_i &= \text{rank}_{\mathbf{Z}_p} T_i H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \\ \varepsilon &= (-1)^r, \quad r = \text{ord}_{t=0} F_1(t). \end{aligned}$$

**Remark.** The above corollary generalizes a result of Mazur and Schneider [4] Corollary 7.8, [8] p. 342, where  $k_\infty/k$  is an admissible  $\mathbf{Z}_p$ -extension, i.e., the bad primes split only finitely in  $k_\infty/k$  and  $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$  are assumed to be  $\Lambda$ -torsion modules.

**Proposition 3.4.** *If  $A$  has good ordinary reduction at  $p$  and  $\text{III}_n$  is finite for all  $n$ , then  $\text{III}_\infty^*$  is a  $\Lambda$ -torsion module.*

This is a direct consequence of Proposition 2.6 (ii).

**Proposition 3.5.** *Let  $A$  be ordinary at  $p$  and let  $\coprod_n$  be finite for all  $n$ . Then*

$$T_\delta(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx 0,$$

i.e.,

$$(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx A^{\rho_2} \oplus \bigoplus_i A / \xi_i$$

for some polynomials  $\xi_i$  and  $\rho_2 = \text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*$ .

**Remark 3.6.** This result should hold true without any conditions. For trivial reasons one also obtains this assertion if  $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$  is a  $A$ -torsion module: Since  $A(k_\infty)$  is a discrete  $\Gamma$ -module, it is easy to see that  $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$  is fixed under the action of  $\Gamma_n$ ,  $n$  big enough. Indeed, for  $m \geq n$  there are injections

$$\begin{array}{ccc} (A(k_\infty)_{\text{Tor}})^{\Gamma_n} \otimes \mathcal{O}_p / \mathcal{Z}_p & \hookrightarrow & (A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_n} \\ \downarrow & & \downarrow \\ (A(k_\infty)_{\text{Tor}})^{\Gamma_m} \otimes \mathcal{O}_p / \mathcal{Z}_p & \hookrightarrow & (A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_m} \end{array}$$

Lemma 2.4 (i). Since  $A(k_\infty)_{\text{Tor}}$  is discrete, i.e.,  $A(k_\infty)_{\text{Tor}} = \bigcup_n (A(k_\infty)_{\text{Tor}})^{\Gamma_n}$ , we obtain

$$(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* = \varinjlim_n ((A(k_\infty)_{\text{Tor}})^{\Gamma_n} \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$$

where the limit is taken over the surjective norm maps. Since  $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$  is by assumption of finite  $\mathcal{Z}_p$ -rank, the projective system will become stationary. Hence

$$(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* = (A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_n}$$

for some  $n \geq 0$ . Now the general structure theory of compact  $A$ -modules of finite type proves the assertion above.

*Proof of Proposition 3.5.* We have to show that

$$T_\delta(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx \varinjlim_{n,m} ((A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_n})_{p^m} \approx \varinjlim_{n,m} H^1(\Gamma_n, A(k_\infty)_{\text{Tor}})_{p^m}$$

is finite. (Here we used Lemma 1.1 and 2.4i).

From the spectral sequence

$$H^i(\Gamma_n, H^j(\mathcal{O}_\infty, \mathcal{A})) \implies H^{i+j}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}),$$

[7] Appendix, we obtain exact sequences

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H^1(\Gamma_n, A(k_\infty)) & \longrightarrow & H^1(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) & \xrightarrow{\varphi_n} & H^1(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n} \\
 & & & & & & \downarrow \psi_n \\
 & & & & & & H^2(\Gamma_n, A(k_\infty)) \\
 & & & & & & \downarrow \\
 (*) & 0 \longleftarrow & H^2(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n} & \longleftarrow & H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) & \longleftarrow & F_1(n) \longleftarrow 0 \\
 & & & & & & \downarrow \\
 & & & & & & H^1(\Gamma_n, H^1(\mathcal{O}_\infty, \mathcal{A})) \\
 & & & & & & \downarrow \\
 & & & & & & 0
 \end{array}$$

where  $F_1(n)$  denotes the first filtration step of  $H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})$ . Since  $H^1(\mathcal{O}_\infty, \mathcal{A})$  is a torsion group, we have

$$\begin{aligned}
 H^2(\Gamma_n, H^1(\mathcal{O}_\infty, \mathcal{A})) &= 0, \\
 H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}(p)) &\cong H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p), \\
 H^2(\mathcal{O}_n, \mathcal{A}(p)) &\cong H^2(\mathcal{O}_n, \mathcal{A})(p),
 \end{aligned}$$

hence

$$F_1(n) \cong H^1(\mathcal{O}_\infty, \mathcal{A}(p))_{\Gamma_n}.$$

From the second spectral sequence

$$H^i(\mathcal{O}_n, R^j \pi_{\Gamma_n*} \mathcal{A}) \implies H^{i+j}(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}),$$

see [7] Appendix, we obtain the exact sequence

$$\begin{aligned}
 0 \longrightarrow H^1(\mathcal{O}_n, \mathcal{A})(p) &\longrightarrow H^1(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) \longrightarrow \bigoplus_{p \in \Sigma} H^1(\Gamma_{np}, A(k_{\infty p})) \\
 &\longrightarrow H^2(\mathcal{O}_n, \mathcal{A})(p) \longrightarrow H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) \longrightarrow 0
 \end{aligned}$$

using [8] Proposition 1.2. Therefore

$$H^i(\mathcal{O}_n, \mathcal{A})(p) \approx H^i(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p), \quad i \geq 0,$$

where the defect is independent of  $n$ ,  $n$  big enough, [8] Proposition 1.1 (iii). By the perfect duality 2.1 (iii) and the finiteness of  $H^1(\mathcal{O}_n, \mathcal{A})(p)$  we obtain a quasi-exact sequence

$$0 \longrightarrow \varinjlim_n H^1(\Gamma_n, A(k_\infty)) \longrightarrow H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* \longrightarrow \varinjlim_n H^1(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n}$$

where the next term

$$\varinjlim_n H^2(\Gamma_n, A(k_\infty)) \cong (T_p(A(k_\infty) \otimes \mathcal{O}_p/\mathcal{Z}_p))^*$$

(Lemma 2.4i) is  $\mathbb{Z}_p$ -torsion. Now  $H^1(\mathcal{O}_\infty, \mathcal{A})(p)^*$  is a  $\Lambda$ -torsion module (3.4), hence

$$H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx T_{\delta_\infty} H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \oplus T_\varepsilon H^1(\mathcal{O}_\infty, \mathcal{A})(p)^*$$

and therefore

$$\varprojlim_n H^1(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n} \approx \dot{T}_{\delta_\infty} H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \oplus (T_\varepsilon H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \otimes_{\mathbb{Z}_p} \mathcal{Q}_p).$$

Hence the quasi-exact sequence above shows

$$\varprojlim_n H^1(\Gamma_n, A(k_\infty)) \otimes \mathcal{Q}_p = 0,$$

i.e.,  $\varprojlim_n H^1(\Gamma_n, A(k_\infty))$  is  $\mathbb{Z}_p$ -torsion, and therefore

$$\varprojlim_{n,m} H^1(\Gamma_n, A(k_\infty))_{p^m}$$

can only have a  $\mu$ -part. But this is impossible, since  $T_\delta(A(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^*$  has zero  $\mu$ -invariant.

**Theorem 3.6.** *Let  $A$  be an abelian variety over  $k$  with ordinary good reduction at  $p$  and let  $\text{III}(A(k_n))(p)$  be finite for all  $n$ . Then the global flat duality induces quasi-isomorphisms*

$$\begin{aligned} \text{(i)} \quad T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* &\approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}^0)(p)^*), \\ T_\delta \text{III}_\infty(A')(p)^* &\approx \alpha(T_\delta \text{III}_\infty(A)(p)^*) \end{aligned}$$

and a quasi-exact sequence

$$\begin{aligned} \text{(ii)} \quad 0 \longrightarrow T_\nu \text{III}_\infty(A')(p)^* &\longrightarrow \alpha(T_A(A(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^*) \\ &\longrightarrow T_A(A'(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^* \longrightarrow \alpha(T_\nu \text{III}_\infty(A)(p)^*) \longrightarrow 0 \end{aligned}$$

**Corollary 3.7.** *Let the assumptions of 3.4 be fulfilled, then the following is true.*

(i) *Any divisor of the form  $\xi_r$  of  $\text{III}_\infty(A)(p)^*$  is also a divisor of  $(A(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^*$ . In particular, if  $\text{III}_\infty(A)(p)^{\Gamma_n}$  is infinite then  $A$  has a  $k_n$ -rational point of infinite order.*

(ii) *The following assertions are equivalent:*

(a) *The  $\Lambda$ -torsion submodule of  $H^1(\mathcal{O}_\infty, \mathcal{A})(p)^*$  is semi-simple by  $\zeta_{p^n} - 1$  for all  $n \geq 0$ , i.e.,*

$$T_\varepsilon H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx \bigoplus_r \Lambda / \xi_r.$$

(b) The  $\Gamma_n$ -invariants  $\text{III}_\infty(A)(p)^{\Gamma_n}$  of  $\text{III}_n(A)(p)$  are finite groups for all  $n \geq 0$ .

(c) There is a quasi-isomorphism

$$\alpha(T_\Lambda(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*) \approx T_\Lambda(A'(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$$

induced by the global flat duality.

**Corollary 3.8.** Let  $A$  be an abelian variety with ordinary good reduction at  $p$ . Let  $\text{III}_\infty(A)(p)^{\Gamma_n}$  be finite for all  $n$  and assume that  $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$  is a  $\Lambda$ -torsion module. Then there is a non-degenerate pairing

$$A(k_\infty) \times A'(k_\infty) \longrightarrow \mathcal{O}_p.$$

In particular, there are non degenerate pairings

$$A(k_n) \times A'(k_n) \longrightarrow \mathcal{O}_p$$

for all  $n$ .

*Proof of Theorem 3.6.* From the descent diagram we derive the commutative and quasi-exact diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 & & \varprojlim_{n,m} H^1(\mathcal{O}_n, \mathcal{A}^0)_{p^m} & \longrightarrow & \varprojlim_{n,m} H^1(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}^0)_{p^m} & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & \varprojlim_{n,m} H^2(\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & \varprojlim_{n,m} H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \\
 & & \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_n, \mathcal{A}^0) & \longrightarrow & \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}^0) & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

We will compute the projective limits. First, from the lower exact sequence in the diagram (\*) of the proof of 3.5 with  $\mathcal{A}^0$  instead of  $\mathcal{A}$  we obtain a quasi-exact sequence

$$\begin{aligned}
 0 \longrightarrow \varprojlim_{n,m} {}_{p^m}H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))_{\Gamma_n} &\longrightarrow \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}^0) \\
 &\longrightarrow \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_\infty, \mathcal{A}^0)^{\Gamma_n} \longrightarrow 0
 \end{aligned}$$

hence

$$\varinjlim_{n,m} {}_p H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}^0) \approx \alpha(T_v H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*).$$

Because of

$$\varinjlim_{n,m} H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}_{pm}) \approx \alpha(T_A H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*)$$

(see proof of 2.2) we obtain

$$\varinjlim_{n,m} H^1(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}^0)_{pm} \approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*).$$

Now, since  $\text{III}_n$  is finite for all  $n$  we have by Theorem 2.1 (ii) an isomorphism

$$\varinjlim_{n,m} H^1(\mathcal{O}_\infty, \mathcal{A}^0)_{pm} \cong H^1(\mathcal{O}_n, \mathcal{A}'(p))^*.$$

Furthermore

$$\varinjlim_{n,m} H^2(\mathcal{O}_n, \mathcal{A}_{pm}) \cong H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^*$$

hence

$$\varinjlim_{n,m} {}_p H^2(\mathcal{O}_n, \mathcal{A}^0) \cong (A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*.$$

Therefore, the diagram above induces the commutative and quasi exact diagram

$$\begin{array}{ccc}
 0 & & 0 \\
 \downarrow & & \downarrow \\
 H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* & \xrightarrow{\quad} & \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \\
 \downarrow & & \downarrow \\
 (+) \quad H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* & \xrightarrow{\sim} & \alpha(T_A H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*) \\
 \downarrow & & \downarrow \\
 (A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* & \xrightarrow{\quad} & \alpha(T_v H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*). \\
 \downarrow & & \downarrow \\
 0 & & 0
 \end{array}$$

Since  $H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^*$  is  $A$ -torsion (3.4) we have a quasi-isomorphism

$$F_A(A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \approx \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*)$$

and a quasi-exact and commutative diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* & \longrightarrow & T_\lambda H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* & \longrightarrow & T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \longrightarrow 0 \\
 & & & & \uparrow \approx & & \uparrow \psi \\
 0 & \longleftarrow & \alpha(H^1(\mathcal{O}_\infty, \mathcal{A}^0)(p)^*) & \longleftarrow & \alpha(T_\lambda H^1(\mathcal{O}_\infty, \mathcal{A}^0)(p)^*) & \longleftarrow & \alpha(T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*) \longleftarrow 0
 \end{array}$$

where the map  $\psi$  is induced by the quasi-isomorphism in the middle (Theorem 3.1).

Therefore the characteristic polynomial of  $T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \approx T_\nu(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*$  (3.5) divides the characteristic polynomial of  $T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$ . This shows that all horizontal maps in the diagram (+) are quasi-isomorphisms:

$$(3.9) \quad \begin{aligned}
 (A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* &\approx \alpha(T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \beta(F_\lambda H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*), \\
 H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* &\approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*).
 \end{aligned}$$

Thus we obtain from the diagram above the quasi-exact sequence

$$0 \longrightarrow T_\nu \text{III}_\infty(A')(p)^* \longrightarrow \alpha(T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*) \xrightarrow{\psi} T_\lambda(A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*.$$

Obviously the cokernel of  $\psi$  is quasi-isomorphic to  $\alpha(T_\nu \text{III}_\infty(A)(p)^*)$ . Furthermore, the diagram above implies a quasi-injection

$$T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* \hookrightarrow T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^*.$$

Hence, taking the adjoint and combining it with the quasi-isomorphism (3.9) we obtain a quasi-surjection

$$H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^*) \twoheadrightarrow \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^*).$$

This proves Theorem 3.6.

*Proof of Corollary 3.7.* Since

$$T_\nu(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \quad \text{and} \quad T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$$

have the same characteristic polynomials the following assertions are equivalent:

- $H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$  is semi-simple by  $\zeta_{p^n} - 1$  for all  $n \geq 0$ ,
- $T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^* \approx T_\epsilon H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$ ,
- $T_\nu H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx 0$ ,
- $\text{III}_\infty(A)(p)^{*r^n}$  is finite for all  $n \geq 0$ .

Because  $\text{III}_\infty(A)(p)^*$  is  $\lambda$ -torsion the last assertion is equivalent to

$\text{III}_\infty(A)(p)^{F^n}$  is finite for all  $n \geq 0$ .

The equivalence to (c) and the first assertion follow immediately from 3.6 (ii).

*Proof of Corollary 3.8.* This is a consequence of 3.7 (ii), observing that the maps

$$(A(k_\infty) \otimes \mathbf{Q}_p)^{F^n} \longrightarrow (A(k_\infty) \otimes \mathbf{Q}_p)_{F^n}, \quad n \geq 0,$$

induced by the identity are isomorphisms because

$$(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^* \approx T_v(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*.$$

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