

APPENDIX C

p -adic symmetric domains and Totaro's theorem

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This appendix is a short exposition of M. Rapoport and T. Zink's construction of p -adic symmetric domains [RZ96] and of B. Totaro's theorem [Tot96]. Let G be a connected reductive algebraic group over \mathbb{Q}_p . The set \mathcal{F} of filtrations on an F -isocrystal with G -structure has a structure of a homogeneous space. Rapoport and Zink introduced a p -adic rigid analytic structure on the set \mathcal{F}^{wa} of weakly admissible points in \mathcal{F} . They conjectured that the point in \mathcal{F}^{wa} is characterized by the semistability in the sense of the geometric invariant theory [MFK94] and Totaro proved this conjecture.

1. Weakly admissible filtered isocrystals.

We recall J.-M. Fontaine's definition of weakly admissible filtered F -isocrystals [Fon79].

1.1. Let p be a prime number, k a perfect field of characteristic p , K_0 an absolutely unramified discrete valuation field of mixed characteristics $(0, p)$ with residue field k , \overline{K}_0 an algebraic closure of K_0 , and σ the Frobenius automorphism on K_0 .

Definition 1.2. (1) An F -isocrystal over k , (we simply say "isocrystal"), is a finite dimensional K_0 -vector space V with a bijective σ -linear endomorphism $\Phi : V \rightarrow V$. We denote the category of isocrystals over k by $\text{Isoc}(K_0)$.

(2) For a totally ramified finite extension K of K_0 in \overline{K}_0 , a filtered isocrystal (V, Φ, F^*) over K is an isocrystal (V, Φ) with a decreasing filtration F^* on the K -vector space $V \otimes_{K_0} K$ such that $F^r = V \otimes_{K_0} K$ for $r \ll 0$ and $F^s = 0$ for $s \gg 0$. We denote the category of filtered isocrystals over K by $MF(K)$.

Fontaine also introduced a filtered isocrystal with nilpotent operator N [Fon94]. In this appendix we restrict our attention to filtered isocrystals with $N = 0$.

The category $MF(K)$ is a \mathbb{Q}_p -linear additive category with \otimes and internal Hom 's, but not abelian. A subobject (V', Φ', F''') of a filtered isocrystal

(V, Φ, F^*) is a Φ -stable K_0 -subspace V' such that $\Phi' = \Phi|_{V'}$ and $F'^i = (V' \otimes_{K_0} K) \cap F^i$ for all i .

Definition 1.3. Let K be a totally ramified finite extension of K_0 in $\overline{K_0}$. A filtered isocrystal (V, Φ, F^*) over K is weakly admissible if, for any subobject $(V', \Phi', F'^*) \neq 0$, we have

$$\sum_i i \dim_{F'} \text{gr}_{F'}^i(V' \otimes_{K_0} K) \leq \text{ord}_p(\det(\Phi'))$$

and the equality holds for $(V', \Phi', F'^*) = (V, \Phi, F^*)$. Here ord_p is an additive valuation of K_0 normalized by $\text{ord}_p(p) = 1$.

The category of weakly admissible filtered isocrystals is an abelian category which is closed under duals in the category of filtered isocrystals. Fontaine proved that an admissible filtered isocrystal over K , that means a filtered isocrystal arising from a crystalline representation of the absolute Galois group of K via Fontaine's functor, is weakly admissible and conjectured that a weakly admissible filtered isocrystal is admissible in [Fon79]. The category of admissible filtered isocrystals is a \mathbb{Q}_p -linear abelian category with \otimes and duals. Hence, he also conjectured that the category of weakly admissible filtered isocrystals is closed under \otimes , and this was proved by G. Faltings in [Fal95]. (See also [Tot96].)

In [CF00] P. Colmez and Fontaine proved a weakly admissible filtered isocrystal is admissible.

2. Filtered isocrystals with G -structure.

2.1. Let G be a linear algebraic group over \mathbb{Q}_p and denote by $\text{Rep}_{\mathbb{Q}_p}(G)$ the category of finite dimensional \mathbb{Q}_p -rational representations of G . An exact faithful \otimes -functor $\text{Rep}_{\mathbb{Q}_p}(G) \rightarrow \text{Isoc}(K_0)$ is called an isocrystal with G -structure over K_0 .

Let $b \in G(K_0)$. Then, the functor

$$\text{Rep}_{\mathbb{Q}_p}(G) \rightarrow \text{Isoc}(K_0)$$

associated to b , defined by $V \mapsto (V \otimes K_0, b(\text{id} \otimes \sigma))$, is an isocrystal with G -structure over K_0 . [Kot85] Two elements b and b' in $G(K_0)$ are conjugate if and only if there is an element $g \in G(K_0)$ such that $gb\sigma(g)^{-1} = b'$. In this case, g defines an isomorphism between the isocrystals with G -structure associated to b and b' .

If G is connected and k is algebraically closed, then any isocrystal with G -structure over K_0 is associated to an element $b \in G(K_0)$ as above. [RR96]

2.2. Let $\mathbb{D} = \varprojlim \mathbb{G}_m$ be the pro-algebraic group over \mathbb{Q} whose character group is \mathbb{Q}_p . For an element $b \in G(K_0)$, R.E. Kottwitz defined a morphism

$$\nu : \mathbb{D} \rightarrow G_{K_0}$$

of algebraic groups over K_0 which is characterized by the property that, for any object V in $\text{Rep}_{\mathbb{Q}_p}(G)$, the \mathbb{Q} -grading of $V \otimes K_0$ associated to $-\nu$ is the

slope grading of the isocrystal $(V \otimes K_0, b(\text{id} \otimes \sigma))$. (The sign of our ν is different from the one in [Kot85].) For a suitable positive integer s , $s\nu$ is regarded as a one-parameter subgroup of G over K_0 .

Definition 2.3. A σ -conjugacy class \bar{b} of $G(K_0)$ is decent if there is an element $b \in \bar{b}$ such that

$$(b\sigma)^s = s\nu(p)\sigma^s$$

for some positive integer s .

One knows that, for a decent σ -conjugacy class \bar{b} , b and ν as above are defined over \mathbb{Q}_p^s . If G is connected and k is algebraically closed, then any σ -conjugacy class is decent. [Kot85]

2.4. Let K be a totally ramified finite extension of K_0 in \bar{K}_0 . For a one-parameter subgroup $\lambda : \mathbb{G}_m \rightarrow G$ over K and an element $b \in G(K_0)$, we have an exact \otimes -functor

$$\mathcal{I} : \text{Rep}_{\mathbb{Q}_p}(G) \rightarrow MF(K)$$

which is defined by $V \mapsto (V \otimes K_0, b(\text{id} \otimes \sigma), F_\lambda^*)$. Here $V_{K,\lambda,j}$ is the subspace of $V \otimes K$ of weight j with respect to λ and

$$F_\lambda^i = \bigoplus_{j \geq i} V_{K,\lambda,j}$$

is the weight filtration associated to λ .

Definition 2.5. A pair (λ, b) as above is weakly admissible if and only if the filtered isocrystal $\mathcal{I}(V)$ over K is so for any object V in $\text{Rep}_{\mathbb{Q}_p}(G)$.

To see the weak admissibility for (λ, b) , it is enough to check the weak admissibility of $\mathcal{I}(V)$ for a faithful representation V of G . Indeed, any representation of G appears as a direct summand of $V^{\otimes m} \otimes (V^\vee)^{\otimes n}$ and $\mathcal{I}(V)^{\otimes m} \otimes (\mathcal{I}(V)^\vee)^{\otimes n}$ is weakly admissible by Faltings (see 1.3). Here V^\vee (resp. $\mathcal{I}(V)^\vee$) is the dual of V (resp. $\mathcal{I}(V)$).

3. Totaro's theorem.

In this section we assume that k is algebraically closed.

3.1. Let G be a reductive algebraic group over \mathbb{Q}_p . We fix a conjugacy class of a one-parameter subgroup $\lambda : \mathbb{G}_m \rightarrow G$ over \bar{K}_0 . Here two one-parameter subgroups λ, λ' are conjugate if and only if $g\lambda g^{-1} = \lambda'$ for some element $g \in G(\bar{K}_0)$. Then, there is a finite extension E of \mathbb{Q}_p in \bar{K}_0 such that the conjugacy class of λ is defined over E . Let us suppose that λ is defined over E and denote by \check{E} the composite field EK_0 in \bar{K}_0

3.2. Two one-parameter subgroups of G over \bar{K}_0 are equivalent if and only if they define the same weight filtration for any object in $\text{Rep}_{\mathbb{Q}_p}(G)$. Note that, if two one-parameter subgroups are equivalent, then they belong to the same conjugacy class.

Consider the functor

$$R \mapsto \{\text{the equivalence classes in the conjugacy class of } \lambda \text{ defined over } R\}$$

on the category of E -algebras. If one defines an algebraic subgroup of G over E by

$$P(\lambda)(\overline{K}_0) = \{g \in G(\overline{K}_0) \mid g\lambda g^{-1} \text{ is equivalent to } \lambda\},$$

then $P(\lambda)$ is parabolic and the functor above is represented by the projective variety $G_E/P(\lambda)$. We denote this homogeneous space over E by \mathcal{F}_λ . If V is a faithful representation in $\text{Rep}_{\mathbb{Q}_p}(G)$ and if we denote by $\text{Flag}_\lambda(V)$ the flag variety over \mathbb{Q}_p which represents the functor

$$R \mapsto \left\{ \begin{array}{l} \text{the filtrations } F^\bullet \text{ of } V \otimes R \text{ as } R\text{-modules such that} \\ F^i \text{ is a direct summand and } \text{rank}_R F^i = \dim_{\overline{K}_0} F_\lambda^i(V \otimes \overline{K}_0) \end{array} \right\}$$

on the category of \mathbb{Q}_p -algebras, then there is a natural E -closed immersion

$$\mathcal{F}_\lambda \rightarrow \text{Flag}_\lambda(V) \otimes_{\mathbb{Q}_p} E.$$

3.3. Let $b \in G(K_0)$. For a finite extension K of \check{E} , a point ξ in $\mathcal{F}_\lambda(K)$ is called weakly admissible if and only if the pair (ξ, b) is weakly admissible. This condition is independent of the choice of the representative in the equivalence class ξ . We denote by $\mathcal{F}_{\lambda,b}^{wa}(K)$ the subset of weakly admissible points. Totaro gave a characterization of $\mathcal{F}_{\lambda,b}^{wa}$ in the sense of geometric invariant theory. [Tot96] We explain his theory in the rest of this section.

3.4. For a maximal torus T in $G_{\overline{K}_0}$, let $X^*(T)$ be the free abelian group of characters, $X_*(T)$ the free abelian group of one-parameter subgroups, and $\langle , \rangle : X^*(T) \times X_*(T) \rightarrow \mathbb{Z}$ the perfect pairing with $\chi(\xi(t)) = t^{\langle \chi, \xi \rangle}$. If $N(T)$ is the normalizer of T in G , the Weyl group $W(T) = N(T)/T$ acts $X_*(T)$ via inner automorphisms.

Now we fix an invariant norm $\| \cdot \|$ on G , a non-negative real valued function on the set of one-parameter subgroups of $G_{\overline{K}_0}$, such that

- a) $\|g\xi g^{-1}\| = \|\xi\|$ for any $g \in G(\overline{K}_0)$,
- b) for any maximal torus T , there is a positive definite rational valued bilinear form $(,)$ on $X_*(T) \otimes \mathbb{Q}$ with $(\xi, \xi) = \|\xi\|^2$,
- c) $\|\gamma(\xi)\| = \|\xi\|$ for $\gamma \in \text{Gal}(\overline{K}_0/K_0)$, where $\gamma(\xi)(t) = \gamma(\xi(t))$.

The bilinear form on $X_*(T) \otimes \mathbb{Q}$ as above is invariant under the action of the Weyl group by (a). For any maximal torus T , invariant norms are in one-to-one correspondence with $(\text{Gal}(\overline{K}_0/K_0), W(T))$ -invariant positive definite rational valued bilinear forms on $X_*(T) \otimes \mathbb{Q}$ since all maximal tori are conjugate and, if $g\xi g^{-1} \in X_*(T)$ for $\xi \in X_*(T)$ and $g \in G(\overline{K}_0)$, then there is $h \in W(T)$ with $g\xi g^{-1} = h\xi h^{-1}$ by [MFK94]. (See also [Kem78] and [Tot96].) Hence, such an invariant norm exists.

3.5. Now we assume that G is connected. Let $U(\lambda)$ be the unipotent radical of $P(\lambda)$, whose elements act on the graded space $\text{gr } F_\lambda^\bullet$ trivially. Then there is a bijection between the set of maximal tori of G in $P(\lambda)$ and the set of

maximal tori of $P(\lambda)/U(\lambda)$ by the natural projection $T \mapsto \bar{T}$. Hence, the invariant norm on G induces the one on $P(\lambda)/U(\lambda)$. Fix a maximal torus T of G . Since the image of λ is contained in the center of $P(\lambda)/U(\lambda)$, the perfect pairing associated to the invariant norm determines the dual of λ in $X^*(\bar{T})$. This dual can extend to an element in $X^*(P(\lambda)/U(\lambda)) \otimes \mathbb{Q}$, which we call a character $\otimes \mathbb{Q}$ of $P(\lambda)/U(\lambda)$. Now we define a G -line bundle $\otimes \mathbb{Q}$ on \mathcal{F}_λ , $L_\lambda \in \text{Pic}^G(\mathcal{F}_\lambda) \otimes \mathbb{Q}$, by the associated one to the negative of the dual character $\otimes \mathbb{Q}$ of λ . By construction, the line bundle $\otimes \mathbb{Q}$, L_λ , depends only on the conjugacy class of λ and is ample.

Let J be a smooth affine group scheme over \mathbb{Q}_p such that

$$J(\mathbb{Q}_p) = \{g \in G(K_0) \mid g(b\sigma) = (b\sigma)g\}$$

(which is introduced in [RZ96]). Since $J_{K_0} \subset G_{K_0}$, the pull back $L_{\lambda\check{E}}$ of L_λ on $\mathcal{F}_{\lambda\check{E}}$ is an ample $J_{\check{E}}$ -line bundle.

By the same construction as above, ν in 2.2 gives a character $\otimes \mathbb{Q}$ of $P(\nu)$. The opposite of this character $\otimes \mathbb{Q}$ determines a $J_{\check{E}}$ -action $\otimes \mathbb{Q}$ on the trivial line bundle on $\mathcal{F}_{\lambda\check{E}}$ since $J_{K_0} \subset P(\nu)$. We denote it by L_ν^0 .

We put a $J_{\check{E}}$ -line bundle $\otimes \mathbb{Q}$, $L = L_{\lambda\check{E}} \otimes L_\nu^0$, on $\mathcal{F}_{\lambda\check{E}}$. Then it is ample and depends only on b and the conjugacy class of λ . We denote by $\mathcal{F}_\lambda^{ss}(L)$ the set of semistable points in \mathcal{F}_λ with respect to L in the sense of D. Mumford [MFK94].

Theorem 3.6. [Tot96] *Suppose that G is connected and reductive. For any finite extension K of \check{E} , we have*

$$\mathcal{F}_{\lambda,b}^{wa}(K) = \mathcal{F}_{\lambda\check{E}}^{ss}(L)(K).$$

We shall sketch Totaro's proof. First, let $G = GL(n)$ and let us consider the invariant norm induced by the pairing

$$(\alpha, \beta) = \sum_{i,j} ij \dim_K \text{gr}_{F_\alpha^i}^i \text{gr}_{F_\beta^j}^j(V)$$

for one-parameter subgroups $\otimes \mathbb{Q}$, α, β of $G = GL(V)$ over K . If one puts $\mu_\alpha(V) = \sum_i i \dim_K \text{gr}_{F_\alpha^i}^i(V) / \dim_K V$, then one has

$$(\alpha, \beta) = \int (\mu_\alpha(F_\beta^j) - \mu_\alpha(V)) \dim_K F_\beta^j dj + \mu_\alpha(V) \mu_\beta(V) \dim_K V.$$

By using the notation in 2.2, (ξ, b) is weakly admissible if and only if $(\xi, \alpha) + (\nu, \alpha) \leq 0$ for any one-parameter subgroup α of G over K_0 with the filtration F_α^* as subisocrystals. In other words, (ξ, b) is weakly admissible if and only if $(\xi, \alpha) + (\nu, \alpha) \leq 0$ for any one-parameter subgroup α of J over \mathbb{Q}_p . Hence, the assertion follows from the calculation of Mumford's numerical invariant below.

Lemma 3.7. *If $\mu(\xi, \alpha, L)$ is Mumford's numerical invariant of $\xi \in \mathcal{F}_\lambda$ for a one-parameter subgroup α of J over \mathbb{Q}_p , then*

$$\mu(\xi, \alpha, L) = -(\xi, \alpha) - (\nu, \alpha).$$

Next, let G be arbitrary, V a faithful representation of G , and consider the invariant norm on G induced from the above norm by the natural immersion $G \rightarrow GL(V)$. Mumford's numerical invariant of weakly admissible points is non-negative for any one-parameter subgroup of $J(GL(V))$. Hence it is so for any one-parameter subgroup of J , and the weak admissibility implies the semistability. To see the converse, one needs to show that, if $\xi \in \mathcal{F}_\lambda^{ss}(L)(K)$, $(\xi, \alpha) + (\nu, \alpha) \leq 0$ for any one-parameter subgroup α of $J(GL(V))$ over \mathbb{Q}_p . If α is semistable for the G_K -line bundle L_α on \mathcal{F}_α , the assertion follows from the first part. In the case where α is not semistable, one can use Kempf's filtration [Kem78] and Ramanan and Ramanathan's work [RR84], and obtains the required inequality.

Finally one needs to prove the independence of the choice of the norm. Suppose that the identity is valid for the particular norm. Since G is a quotient of a product of a torus and some simple algebraic groups by a finite central subgroup [BT65], one can reduce the assertion in the case of tori and simple groups. In the case of tori it was proved in [RZ96], and in the case of simple groups it is true since the norm of the simple group comes from the Killing form up to a positive rational multiple. \square

4. p -adic symmetric domains.

Let k be the algebraic closure of the prime field \mathbb{F}_p , \mathbb{C}_p the p -adic completion of a fixed algebraic closure $\overline{\mathbb{Q}_p}$ of \mathbb{Q}_p , and $K_0 = \widehat{\mathbb{Q}_p^{ur}}$ the p -adic completion of the maximum unramified extension of \mathbb{Q}_p in \mathbb{C}_p .

4.1. Let G be a reductive group over \mathbb{Q}_p , $b \in G(K_0)$, and fix a conjugacy class $\{\lambda\}$ of a one-parameter subgroup λ of G over $\overline{\mathbb{Q}_p}$.

Rapoport and Zink gave a rigid analytic structure on $\mathcal{F}_{\lambda,b}^{wa}$ as an admissible open subset in $\mathcal{F}_{\lambda,\check{E}}$ and call it the p -adic symmetric domain associated to the triple $(G, \{\lambda\}, b)$ in [RZ96]. This notion of p -adic symmetric domains is different from that of M. van der Put and H. Voskuil in [vdPV92]. Indeed, for any discrete co-compact subgroup Γ of $G(\check{E})$, the quotient $\mathcal{F}_{\lambda,b}^{wa}/\Gamma$ is not always a proper analytic space over \check{E} .

Theorem 4.2. [RZ96] *The set $\mathcal{F}_{\lambda,b}^{wa}$ of weakly admissible points with respect to b in $\mathcal{F}_\lambda(\mathbb{C}_p)$ is an admissible open subset of $\mathcal{F}_{\lambda,\check{E}}$ as a rigid analytic space.*

Now we sketch the proof of the theorem in [RZ96]. By [Kot85] one may assume that the σ -conjugacy class of b is decent with the decent equation $(b\sigma)^s = s\nu(p)\sigma^s$ as in 2.3. Let V be a faithful representation in $\text{Rep}_{\mathbb{Q}_p}(G)$, $V_s = V \otimes \mathbb{Q}_{p^s}$, and $\Phi_s = b(\text{id} \otimes \sigma)$. Then $(V \otimes K_0, b(\text{id} \otimes \sigma)) = (V_s, \Phi_s) \otimes_{\mathbb{Q}_{p^s}} K_0$. Put $V_s = \bigoplus_\lambda V_{s,j}$ to be the isotypical decomposition for Φ_s . The functor

$$R \mapsto \{V' \subset V_s \otimes_{\mathbb{Q}_{p^s}} R \mid V' \text{ is a direct summand with } V' = \bigoplus_j V'_j \cap (V_{s,j} \otimes_{\mathbb{Q}_{p^s}} R)\}$$

on the category of \mathbb{Q}_{p^s} -algebras is represented by a disjoint sum T' of closed subschemes of Grassmannians of V_s . T' descends to a \mathbb{Q}_p -variety T and one

has

$$T(\mathbb{Q}_p) = \{\Phi_s\text{-stable subspaces of } V_s\}.$$

Indeed, Φ_s gives a descent datum $\alpha : T' \rightarrow T'^\sigma$, where $T'^\sigma(R)$ is a set of direct summands of $V_{s,\lambda} \otimes_{\mathbb{Q}_{p^s},\sigma} R$ with the isotypical decomposition, and $\alpha^{s-1} \circ \dots \circ \alpha : T' \rightarrow T'$ is the identity by the decent equation.

Consider the closed subscheme over \mathbb{Q}_{p^s}

$$\mathcal{H} \subset (\text{Flag}_\lambda(V) \times T) \otimes_{\mathbb{Q}_p} \mathbb{Q}_{p^s}$$

which consists of pairs (F^\bullet, V') such that

$$\sum_i i \text{rank gr}_{F^\bullet \cap V'}^i(V') > \text{ord}_p(\det(\Phi_s|_{V'_j})).$$

Then, by the definition of weak admissibility, one has

$$\mathcal{F}_{\lambda,b}^{wa}(\mathbb{C}_p) = \mathcal{F}_\lambda(\mathbb{C}_p) \cap \left(\text{Flag}_\lambda(V)(\mathbb{C}_p) - \bigcup_{t \in T(\mathbb{Q}_p)} \mathcal{H}_t \right),$$

where $\mathcal{F}_\lambda(\mathbb{C}_p)$ is identified with the image of the immersion $\mathcal{F}_\lambda(\mathbb{C}_p) \subset \text{Flag}_\lambda(\mathbb{C}_p)$.

Fix embeddings of $\text{Flag}_\lambda(V)$ and T in projective spaces over \mathbb{Q}_p and a finite set $\{f_j\}$ of bi-homogeneous polynomials of definition of $\text{Flag}_\lambda(V) \times T$ with integral coefficients. For $\epsilon > 0$, consider a tubular neighbourhood

$$\mathcal{H}_t(\epsilon) = \{x \in \text{Flag}_\lambda(V)(\mathbb{C}_p) \mid |f_j(x, t)| < \epsilon \text{ for all } j\}$$

of \mathcal{H}_t . Here we choose unimodular representatives for x and t 's and $||$ is an absolute value on \mathbb{C}_p . Then there is a finite set $S \subset T(\mathbb{Q}_p)$ such that $\bigcup_{t \in T(\mathbb{Q}_p)} \mathcal{H}_t(\epsilon) = \bigcup_{t \in S} \mathcal{H}_t(\epsilon)$ for the local compactness. $\mathcal{F}_\epsilon = \mathcal{F}_\lambda(\mathbb{C}_p) \cap (\text{Flag}_\lambda(V)(\mathbb{C}_p) - \bigcup_{t \in T(\mathbb{Q}_p)} \mathcal{H}_t(\epsilon))$ is an admissible open subset of $\mathcal{F}_{\lambda,b\check{E}}^{wa}$, hence

$$\mathcal{F}_1 \subset \mathcal{F}_{\frac{1}{2}} \subset \mathcal{F}_{\frac{1}{3}} \subset \dots$$

is an admissible covering of $\mathcal{F}_{\lambda,b\check{E}}^{wa}$. □

