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UPPER BOUNDS FOR NUMERICAL RADIUS INEQUALITIES INVOLVING OFF-DIAGONAL OPERATOR MATRICES

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ABSTRACT. In this article, we establish some upper bounds for numerical radius inequalities, including those of 2×2 operator matrices and their off-diagonal parts. Among other inequalities, it is shown that if $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}$, then

$$\omega^r(T) \leq 2^{r-2} \|f^{2r}(|X|) + g^{2r}(|Y^*|)\|^{\frac{1}{2}} \|f^{2r}(|Y|) + g^{2r}(|X^*|)\|^{\frac{1}{2}}$$

and

$$\omega^r(T) \leq 2^{r-2} \|f^{2r}(|X|) + f^{2r}(|Y^*|)\|^{\frac{1}{2}} \|g^{2r}(|Y|) + g^{2r}(|X^*|)\|^{\frac{1}{2}},$$

where X, Y are bounded linear operators on a Hilbert space \mathcal{H} , $r \geq 1$, and f, g are nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$). Moreover, we present some inequalities involving the generalized Euclidean operator radius of operators T_1, \dots, T_n .

1. Introduction and preliminaries

Let $\mathbb{B}(\mathcal{H})$ denote the C^* -algebra of all bounded linear operators on a Hilbert space \mathcal{H} . In the case when $\dim \mathcal{H} = n$, we identify $\mathbb{B}(\mathcal{H})$ with the matrix algebra \mathbb{M}_n of all $n \times n$ matrices with entries in the complex field. An operator $A \in \mathbb{B}(\mathcal{H})$ is said to be a *contraction*, if $A^*A \leq I$. The numerical radius of $T \in \mathbb{B}(\mathcal{H})$ is defined by

$$\omega(T) := \sup \{ |\langle Tx, x \rangle| : x \in \mathcal{H}, \|x\| = 1 \}.$$

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It is well known that $\omega(\cdot)$ defines a norm on $\mathbb{B}(\mathcal{H})$, which is equivalent to the usual operator norm. In fact, $\frac{1}{2}\|\cdot\| \leq \omega(\cdot) \leq \|\cdot\|$ (see [10]). An important inequality for $\omega(A)$ is the power inequality stating that $\omega(A^n) \leq \omega(A)^n$ ($n = 1, 2, \dots$). (For further information about the properties of numerical radius inequalities, see [1], [5], [6], [12], [15], [17], and references therein.) Let $\mathcal{H}_1, \mathcal{H}_2$ be Hilbert spaces, and consider the direct sum $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$. With respect to this decomposition, every operator $T \in \mathbb{B}(\mathcal{H})$ has a 2×2 operator matrix representation $T = [T_{ij}]$ with entries $T_{ij} \in \mathbb{B}(\mathcal{H}_j, \mathcal{H}_i)$, the space of all bounded linear operators from \mathcal{H}_j to \mathcal{H}_i ($1 \leq i, j \leq 2$). Operator matrices provide a useful tool for studying Hilbert space operators, which have been extensively studied in the literature. Let $A \in \mathbb{B}(\mathcal{H}_1, \mathcal{H}_1)$, $B \in \mathbb{B}(\mathcal{H}_2, \mathcal{H}_1)$, $C \in \mathbb{B}(\mathcal{H}_1, \mathcal{H}_2)$, and $D \in \mathbb{B}(\mathcal{H}_2, \mathcal{H}_2)$. The operator $\begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix}$ is called the *diagonal* part of $\begin{bmatrix} A & B \\ C & D \end{bmatrix}$ and $\begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix}$ is the *off-diagonal* part.

The classical Young inequality says that if $p, q > 1$ such that $\frac{1}{p} + \frac{1}{q} = 1$, then $ab \leq \frac{a^p}{p} + \frac{b^q}{q}$ for positive real numbers a, b . In [3], the authors showed that a refinement of the scalar Young inequality as follows $(a^{\frac{1}{p}}b^{\frac{1}{q}})^m + r_0^m(a^{\frac{m}{2}} - b^{\frac{m}{2}})^2 \leq (\frac{a}{p} + \frac{b}{q})^m$, where $r_0 = \min\{\frac{1}{p}, \frac{1}{q}\}$ and $m = 1, 2, \dots$. In particular, if $p = q = 2$, then

$$(a^{\frac{1}{2}}b^{\frac{1}{2}})^m + \left(\frac{1}{2}\right)^m(a^{\frac{m}{2}} - b^{\frac{m}{2}})^2 \leq 2^{-m}(a + b)^m. \quad (1.1)$$

It has been shown in [9] that if $T \in \mathbb{B}(\mathcal{H})$, then

$$\omega(T) \leq \frac{1}{2}\||T| + |T^*|\|, \quad (1.2)$$

where $|T| = (T^*T)^{\frac{1}{2}}$ is the absolute value of T . Recently, in [2], the authors extended this inequality for off-diagonal operator matrices of the form $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ as follows:

$$\omega(T) \leq \frac{1}{2}\||X| + |Y^*|\|^{\frac{1}{2}}\||X^*| + |Y|\|^{\frac{1}{2}}. \quad (1.3)$$

Let $T_1, T_2, \dots, T_n \in \mathbb{B}(\mathcal{H})$. The functional ω_p of operators T_1, \dots, T_n for $p \geq 1$ is defined in [13] as follows:

$$\omega_p(T_1, \dots, T_n) := \sup_{\|x\|=1} \left(\sum_{i=1}^n |\langle T_i x, x \rangle|^p \right)^{\frac{1}{p}}.$$

If $p = 2$, then we have the Euclidean operator radius of T_1, \dots, T_n which was defined in [11]. In [15], the authors showed that an upper bound for the functional ω_p ,

$$\omega_p^p(T_1, \dots, T_n) \leq \frac{1}{2} \left\| \sum_{i=1}^n (f^{2p}(|T_i|) + g^{2p}(|T_i^*|)) \right\| - \inf_{\|x\|=1} \zeta(x),$$

where $T_i \in \mathbb{B}(\mathcal{H})$ ($i = 1, 2, \dots, n$), f, g are nonnegative continuous functions on $[0, \infty)$ such that $f(t)g(t) = t$ ($t \in [0, \infty)$), $p \geq 1$, and

$$\zeta(x) = \frac{1}{2} \sum_{i=1}^n \left(\langle f^{2p}(|T_i|)x, x \rangle^{\frac{1}{2}} - \langle g^{2p}(|T_i^*|)x, x \rangle^{\frac{1}{2}} \right)^2.$$

In this paper, we show some inequalities involving powers of the numerical radius for off-diagonal parts of 2×2 operator matrices. In particular, we extend inequalities (1.2) and (1.3) for nonnegative continuous functions f, g on $[0, \infty)$ such that $f(t)g(t) = t$ ($t \in [0, \infty)$). Moreover, we present some inequalities, including the generalized Euclidean operator radius ω_p .

2. Main results

To prove our first result, we need the following lemmas.

Lemma 2.1 ([7, Lemma 2.1], [16, p. 84]). *Let $X \in \mathbb{B}(\mathcal{H})$. Then*

- (a) $\omega(X) = \max_{\theta \in \mathbb{R}} \|\operatorname{Re}(e^{i\theta} X)\| = \max_{\theta \in \mathbb{R}} \|\operatorname{Im}(e^{i\theta} X)\|,$
- (b) $\omega\left(\begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix}\right) = \omega(X).$

The next lemma follows from the spectral theorem for positive operators and the Jensen inequality (see, e.g., [8, p. 288]).

Lemma 2.2. *Let $T \in \mathbb{B}(\mathcal{H})$, $T \geq 0$, and $x \in \mathcal{H}$ such that $\|x\| \leq 1$. Then*

- (a) $\langle Tx, x \rangle^r \leq \langle T^r x, x \rangle$ for $r \geq 1$,
- (b) $\langle T^r x, x \rangle \leq \langle Tx, x \rangle^r$ for $0 < r \leq 1$.

Lemma 2.3 ([8, Theorem 1]). *Let $T \in \mathbb{B}(\mathcal{H})$, and let $x, y \in \mathcal{H}$ be any vectors. If f, g are nonnegative continuous functions on $[0, \infty)$ which are satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$), then*

$$|\langle Tx, y \rangle|^2 \leq \langle f^2(|T|)x, x \rangle \langle g^2(|T^*|)y, y \rangle.$$

Now, we are in position to demonstrate the main results of this section by using some ideas from [2, Theorem 4] and [15, Theorem 2.4].

Theorem 2.4. *Let $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$, $r \geq 1$, and f, g be nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$). Then*

$$\omega^r(T) \leq 2^{r-2} \|f^{2r}(|X|) + g^{2r}(|Y^*|)\|^{\frac{1}{2}} \|f^{2r}(|Y|) + g^{2r}(|X^*|)\|^{\frac{1}{2}}$$

and

$$\omega^r(T) \leq 2^{r-2} \|f^{2r}(|X|) + f^{2r}(|Y^*|)\|^{\frac{1}{2}} \|g^{2r}(|Y|) + g^{2r}(|X^*|)\|^{\frac{1}{2}}.$$

Proof. Let $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \in \mathcal{H}_1 \oplus \mathcal{H}_2$ be a unit vector (i.e., $\|x_1\|^2 + \|x_2\|^2 = 1$). Then

$$\begin{aligned} & |\langle T\mathbf{x}, \mathbf{x} \rangle|^r \\ &= |\langle Xx_2, x_1 \rangle + \langle Yx_1, x_2 \rangle|^r \\ &\leq (|\langle Xx_2, x_1 \rangle| + |\langle Yx_1, x_2 \rangle|)^r \quad (\text{by the triangular inequality}) \\ &\leq \frac{2^r}{2} (|\langle Xx_2, x_1 \rangle|^r + |\langle Yx_1, x_2 \rangle|^r) \quad (\text{by the convexity of } f(t) = t^r) \\ &\leq \frac{2^r}{2} ((\langle f^2(|X|)x_2, x_2 \rangle^{\frac{1}{2}} \langle g^2(|X^*|)x_1, x_1 \rangle^{\frac{1}{2}})^r \\ &\quad + (\langle f^2(|Y|)x_1, x_1 \rangle^{\frac{1}{2}} \langle g^2(|Y^*|)x_2, x_2 \rangle^{\frac{1}{2}})^r) \quad (\text{by Lemma 2.3}) \end{aligned}$$

$$\begin{aligned}
&\leq \frac{2^r}{2} (\langle f^{2r}(|X|)x_2, x_2 \rangle^{\frac{1}{2}} \langle g^{2r}|X^*|x_1, x_1 \rangle^{\frac{1}{2}} \\
&\quad + \langle f^{2r}(|Y|)x_1, x_1 \rangle^{\frac{1}{2}} \langle g^{2r}(|Y^*|)x_2, x_2 \rangle^{\frac{1}{2}}) \quad (\text{by Lemma 2.2(a)}) \\
&\leq \frac{2^r}{2} (\langle f^{2r}(|X|)x_2, x_2 \rangle + \langle g^{2r}(|Y^*|)x_2, x_2 \rangle)^{\frac{1}{2}} \\
&\quad \times (\langle f^{2r}(|Y|)x_1, x_1 \rangle \\
&\quad + \langle g^{2r}(|X^*|)x_1, x_1 \rangle)^{\frac{1}{2}} \quad (\text{by the Cauchy-Schwarz inequality}) \\
&= \frac{2^r}{2} \langle (f^{2r}(|X|) + g^{2r}(|Y^*|))x_2, x_2 \rangle^{\frac{1}{2}} \langle (f^{2r}(|Y|) + g^{2r}(|X^*|))x_1, x_1 \rangle^{\frac{1}{2}} \\
&\leq \frac{2^r}{2} \|f^{2r}(|X|) + g^{2r}(|Y^*|)\|^{\frac{1}{2}} \|f^{2r}(|Y|) + g^{2r}(|X^*|)\|^{\frac{1}{2}} \|x_1\| \|x_2\| \\
&\leq \frac{2^r}{2} \|f^{2r}(|X|) + g^{2r}(|Y^*|)\|^{\frac{1}{2}} \|f^{2r}(|Y|) + g^{2r}(|X^*|)\|^{\frac{1}{2}} \left(\frac{\|x_1\|^2 + \|x_2\|^2}{2} \right) \\
&\quad (\text{by the arithmetic-geometric mean inequality}) \\
&= \frac{2^r}{4} \|f^{2r}(|X|) + g^{2r}(|Y^*|)\|^{\frac{1}{2}} \|f^{2r}(|Y|) + g^{2r}(|X^*|)\|^{\frac{1}{2}}.
\end{aligned}$$

Hence, we get the first inequality. Now, applying this fact,

$$\begin{aligned}
&|\langle T\mathbf{x}, \mathbf{x} \rangle|^r \\
&= |\langle Xx_2, x_1 \rangle + \langle Yx_1, x_2 \rangle|^r \\
&\leq (|\langle Xx_2, x_1 \rangle| + |\langle Yx_1, x_2 \rangle|)^r \quad (\text{by the triangular inequality}) \\
&\leq \frac{2^r}{2} (|\langle Xx_2, x_1 \rangle|^r + |\langle Yx_1, x_2 \rangle|^r) \quad (\text{by the convexity of } f(t) = t^r) \\
&\leq \frac{2^r}{2} ((\langle f^2(|X|)x_2, x_2 \rangle^{\frac{1}{2}} \langle g^2(|X^*|)x_1, x_1 \rangle^{\frac{1}{2}})^r \\
&\quad + (\langle g^2(|Y|)x_1, x_1 \rangle^{\frac{1}{2}} \langle f^2(|Y^*|)x_2, x_2 \rangle^{\frac{1}{2}})^r) \quad (\text{by Lemma 2.3}), \quad (2.1)
\end{aligned}$$

and, by a similar argument to the proof of the first inequality, we have the second inequality; this completes the proof of the theorem. \square

Theorem 2.4 includes a special case, as follows.

Corollary 2.5. *Let $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$, $0 \leq p \leq 1$, and $r \geq 1$. Then*

$$\omega^r(T) \leq 2^{r-2} \||X|^{2rp} + |Y^*|^{2r(1-p)}\|^{\frac{1}{2}} \||Y|^{2rp} + |X^*|^{2r(1-p)}\|^{\frac{1}{2}}$$

and

$$\omega^r(T) \leq 2^{r-2} \||X|^{2rp} + |Y^*|^{2rp}\|^{\frac{1}{2}} \||Y|^{2r(1-p)} + |X^*|^{2r(1-p)}\|^{\frac{1}{2}}.$$

Proof. The result follows immediately from Theorem 2.4 for $f(t) = t^p$ and $g(t) = t^{1-p}$ ($0 \leq p \leq 1$). \square

Remark 2.6. Taking $f(t) = g(t) = t^{\frac{1}{2}}$ ($t \in [0, \infty)$) and $r = 1$ in Theorem 2.4, we get (see [2, Theorem 4])

$$\omega(T) \leq \frac{1}{2} \||X| + |Y^*\||^{\frac{1}{2}} \||Y| + |X^*\||^{\frac{1}{2}},$$

where $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$.

If we put $Y = X$ in Theorem 2.4, then by using Lemma 2.1(b) we get an extension of inequality (1.2).

Corollary 2.7. *Let $X \in \mathbb{B}(\mathcal{H})$, $r \geq 1$, and f, g be nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$). Then*

$$\omega^r(X) \leq 2^{r-2} \|f^{2r}(|X|) + g^{2r}(|X^*|)\|$$

and

$$\omega^r(X) \leq 2^{r-2} \|f^{2r}(|X|) + f^{2r}(|X^*|)\|^{\frac{1}{2}} \|g^{2r}(|X|) + g^{2r}(|X^*|)\|^{\frac{1}{2}}.$$

Corollary 2.8. *Let $X, Y \in \mathbb{B}(\mathcal{H})$ and $0 \leq p \leq 1$. Then*

$$\omega^{\frac{r}{2}}(XY) \leq 2^{r-2} \||X|^{2rp} + |Y^*|^{2r(1-p)}\|^{\frac{1}{2}} \||Y|^{2rp} + |X^*|^{2r(1-p)}\|^{\frac{1}{2}}$$

and

$$\omega^{\frac{r}{2}}(XY) \leq 2^{r-2} \||X|^{2rp} + |Y^*|^{2rp}\|^{\frac{1}{2}} \||Y|^{2r(1-p)} + |X^*|^{2r(1-p)}\|^{\frac{1}{2}}$$

for $r \geq 1$.

Proof. It follows from the power inequality $\omega^{\frac{1}{2}}(T^2) \leq \omega(T)$ that

$$\omega^{\frac{1}{2}}(T^2) = \omega^{\frac{1}{2}} \left(\begin{bmatrix} XY & 0 \\ 0 & YX \end{bmatrix} \right) = \max \{ \omega^{\frac{1}{2}}(XY), \omega^{\frac{1}{2}}(YX) \}.$$

The required result follows from Corollary 2.5. \square

Corollary 2.9. *Let $X, Y \in \mathbb{B}(\mathcal{H})$ and $r \geq 1$. Then*

$$\|X \pm Y^*\|^r \leq 2^{2r-2} \||X|^r + |Y^*|^r\|^{\frac{1}{2}} \||Y|^r + |X^*|^r\|^{\frac{1}{2}}.$$

In particular, if X and Y are normal operators, then

$$\|X \pm Y\|^r \leq 2^{2r-2} \||X|^r + |Y|^r\|. \quad (2.2)$$

Proof. Applying Lemma 2.1(a) and Corollary 2.5 (for $p = \frac{1}{2}$), we have

$$\begin{aligned} \|X + Y^*\|^r &= \|T + T^*\|^r \\ &\leq 2^r \max_{\theta \in \mathbb{R}} \|\operatorname{Re}(e^{i\theta} T)\|^r \\ &= 2^r \omega^r(T) \\ &\leq 2^{2r-2} \||X|^r + |Y^*|^r\|^{\frac{1}{2}} \||Y|^r + |X^*|^r\|^{\frac{1}{2}}, \end{aligned}$$

where $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}$. Similarly,

$$\begin{aligned} \|X - Y^*\|^r &= \|T - T^*\|^r \\ &\leq 2^r \max_{\theta \in \mathbb{R}} \|\operatorname{Im}(e^{i\theta} T)\|^r \\ &= 2^r \omega^r(T) \\ &\leq 2^{2r-2} \||X|^r + |Y^*|^r\|^{\frac{1}{2}} \||Y|^r + |X^*|^r\|^{\frac{1}{2}}. \end{aligned}$$

Hence we get the desired result. For the particular case, note that $|Y^*| = |Y|$ and $|X^*| = |X|$. \square

Remark 2.10. It should be mentioned here that inequality (2.2), which has been given earlier, is a generalized form of the well-known inequality (see [4]): if A and B are normal operators, then

$$\|X + Y\| \leq \| |X| + |Y| \| . \quad (2.3)$$

The normality of X and Y are necessary, which means inequality (2.3) is not true for arbitrary operators X and Y (see, e.g., [14]).

Applying inequality (1.1), we obtain the following theorem.

Theorem 2.11. *Let $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$, and let f, g be nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$). Then for $r \geq 1$,*

$$\begin{aligned} \omega^r(T) &\leq 2^{r-2} (\|f^{2r}(|X|) + g^{2r}(|Y^*|)\| + \|f^{2r}(|Y|) + g^{2r}(|X^*|)\|) \\ &\quad - 2^{r-2} \inf_{\|(x_1, x_2)\|=1} \zeta(x_1, x_2), \end{aligned}$$

where

$$\zeta(x_1, x_2) = (\langle (f^{2r}(|X|) + g^{2r}(|Y^*|))x_2, x_2 \rangle^{\frac{1}{2}} - \langle (f^{2r}(|Y|) + g^{2r}(|X^*|))x_1, x_1 \rangle^{\frac{1}{2}})^2.$$

Proof. Let $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \in \mathcal{H}_1 \oplus \mathcal{H}_2$ be a unit vector. Then

$$\begin{aligned} &|\langle T\mathbf{x}, \mathbf{x} \rangle|^r \\ &= |\langle Xx_2, x_1 \rangle + \langle Yx_1, x_2 \rangle|^r \\ &\leq (|\langle Xx_2, x_1 \rangle| + |\langle Yx_1, x_2 \rangle|)^r \quad (\text{by the triangular inequality}) \\ &\leq \frac{2^r}{2} (|\langle Xx_2, x_1 \rangle|^r + |\langle Yx_1, x_2 \rangle|^r) \quad (\text{by the convexity of } f(t) = t^r) \\ &\leq \frac{2^r}{2} (\langle f^2(|X|)x_2, x_2 \rangle^{\frac{r}{2}} \langle g^2(|X^*|)x_1, x_1 \rangle^{\frac{r}{2}} \\ &\quad + \langle f^2(|Y|)x_1, x_1 \rangle^{\frac{r}{2}} \langle f^2(|Y^*|)x_2, x_2 \rangle^{\frac{r}{2}}) \quad (\text{by Lemma 2.3}) \\ &\leq \frac{2^r}{2} (\langle f^{2r}(|X|)x_2, x_2 \rangle^{\frac{1}{2}} \langle g^{2r}(|X^*|)x_1, x_1 \rangle^{\frac{1}{2}} \\ &\quad + \langle f^{2r}(|Y|)x_1, x_1 \rangle^{\frac{1}{2}} \langle g^{2r}(|Y^*|)x_2, x_2 \rangle^{\frac{1}{2}}) \\ &\leq \frac{2^r}{2} (\langle f^{2r}(|X|)x_2, x_2 \rangle + \langle g^{2r}(|Y^*|)x_2, x_2 \rangle)^{\frac{1}{2}} (\langle f^{2r}(|Y|)x_1, x_1 \rangle \\ &\quad + \langle g^{2r}(|X^*|)x_1, x_1 \rangle)^{\frac{1}{2}} \\ &= \frac{2^r}{2} \langle (f^{2r}(|X|) + g^{2r}(|Y^*|))x_2, x_2 \rangle^{\frac{1}{2}} \langle (f^{2r}(|Y|) + g^{2r}(|X^*|))x_1, x_1 \rangle^{\frac{1}{2}} \\ &\leq \frac{2^r}{4} (\langle (f^{2r}(|X|) + g^{2r}(|Y^*|))x_2, x_2 \rangle + \langle (f^{2r}(|Y|) + g^{2r}(|X^*|))x_1, x_1 \rangle) \\ &\quad - \frac{2^r}{4} (\langle (f^{2r}(|X|) + g^{2r}(|Y^*|))x_2, x_2 \rangle^{\frac{1}{2}} - \langle (f^{2r}(|Y|) + g^{2r}(|X^*|))x_1, x_1 \rangle^{\frac{1}{2}})^2 \\ &\quad (\text{by inequality (1.1)}) \\ &\leq \frac{2^r}{4} (\|f^{2r}(|X|) + g^{2r}(|Y^*|)\| + \|f^{2r}(|Y|) + g^{2r}(|X^*|)\|) \end{aligned}$$

$$\begin{aligned} & -\frac{2^r}{4} \left(\langle (f^{2r}(|X|) + g^{2r}(|Y^*|))x_2, x_2 \rangle^{\frac{1}{2}} \right. \\ & \quad \left. - \langle (f^{2r}(|Y|) + g^{2r}(|X^*|))x_1, x_1 \rangle^{\frac{1}{2}} \right)^2. \end{aligned}$$

Taking the supremum over all unit vectors $\mathbf{x} = [\begin{smallmatrix} x_1 \\ x_2 \end{smallmatrix}] \in \mathcal{H}_1 \oplus \mathcal{H}_2$ we get the desired inequality. \square

If we put $Y = X$ in Theorem 2.11, then we get next result.

Corollary 2.12. *Let $X \in \mathbb{B}(\mathcal{H})$, and let f, g be nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$). Then for $r \geq 1$,*

$$\omega^r(X) \leq 2^{r-1} \|f^{2r}(|X|) + g^{2r}(|X^*|)\| - 2^{r-2} \inf_{\|(x_1, x_2)\|=1} \zeta(x_1, x_2),$$

where

$$\zeta(x_1, x_2) = (\langle (f^{2r}(|X|) + g^{2r}(|X^*|))x_2, x_2 \rangle^{\frac{1}{2}} - \langle (f^{2r}(|X|) + g^{2r}(|X^*|))x_1, x_1 \rangle^{\frac{1}{2}})^2.$$

Remark 2.13. If $\mathbf{x} = [\begin{smallmatrix} x_1 \\ x_2 \end{smallmatrix}] \in \mathcal{H}_1 \oplus \mathcal{H}_2$ is a unit vector, then by using the inequality

$$\begin{aligned} & |\langle T\mathbf{x}, \mathbf{x} \rangle|^r \\ &= |\langle Xx_2, x_1 \rangle + \langle Yx_1, x_2 \rangle|^r \\ &\leq (|\langle Xx_2, x_1 \rangle| + |\langle Yx_1, x_2 \rangle|)^r \\ &\leq \frac{2^r}{2} (|\langle Xx_2, x_1 \rangle|^r + |\langle Yx_1, x_2 \rangle|^r) \\ &\leq \frac{2^r}{2} (\langle f^2(|X|)x_2, x_2 \rangle^{\frac{r}{2}} \langle g^2(|X^*|)x_1, x_1 \rangle^{\frac{r}{2}} \langle g^2(|X|)x_2, x_2 \rangle^{\frac{r}{2}} \langle f^2(|X^*|)x_1, x_1 \rangle^{\frac{r}{2}}) \end{aligned}$$

and the same argument in the proof of Theorem 2.11, we get the following inequality:

$$\begin{aligned} \omega^r(T) &\leq \frac{2^r}{4} (\|f^{2r}(|X|) + f^{2r}(|Y^*|)\| + \|g^{2r}(|Y|) + g^{2r}(|X^*|)\|) \\ &\quad - \frac{2^r}{4} \inf_{\|(x_1, x_2)\|=1} \zeta(x_1, x_2), \end{aligned}$$

where $T = [\begin{smallmatrix} 0 & X \\ Y & 0 \end{smallmatrix}] \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$, f, g are nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$), $r \geq 1$, and

$$\zeta(x_1, x_2) = (\langle (f^{2r}(|X|) + f^{2r}(|Y^*|))x_2, x_2 \rangle^{\frac{1}{2}} - \langle (g^{2r}(|Y|) + g^{2r}(|X^*|))x_1, x_1 \rangle^{\frac{1}{2}})^2.$$

3. Some upper bounds for ω_p

In this section, we obtain some upper bounds for ω_P . We first show the following theorem.

Theorem 3.1. *Let $\tilde{S}_i = [\begin{smallmatrix} A_i & 0 \\ 0 & B_i \end{smallmatrix}]$, $\tilde{T}_i = [\begin{smallmatrix} 0 & X_i \\ Y_i & 0 \end{smallmatrix}]$, and $\tilde{U}_i = [\begin{smallmatrix} C_i & 0 \\ 0 & D_i \end{smallmatrix}]$ be operator matrices in $\mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ ($1 \leq i \leq n$) such that A_i, B_i, C_i , and D_i are contractions.*

Then

$$\begin{aligned} \omega_p^p(\tilde{S}_1^* \tilde{T}_1 \tilde{U}_1, \dots, \tilde{S}_n^* \tilde{T}_n \tilde{U}_n) \\ \leq 2^{p-2} \sum_{i=1}^n \|D_i^* f^{2p}(|X_i|) D_i + B_i^* g^{2p}(|Y_i^*|) B_i\|^{\frac{1}{2}} \|C_i^* f^{2p}(|Y_i|) C_i \\ + A_i^* g^{2p}(|X_i^*|) A_i\|^{\frac{1}{2}} \end{aligned}$$

and

$$\begin{aligned} \omega_p^p(\tilde{S}_1^* \tilde{T}_1 \tilde{U}_1, \dots, \tilde{S}_n^* \tilde{T}_n \tilde{U}_n) \\ \leq 2^{p-2} \sum_{i=1}^n \|D_i^* f^{2p}(|X_i|) D_i + B_i^* f^{2p}(|Y_i^*|) B_i\|^{\frac{1}{2}} \|C_i^* g^{2p}(|Y_i|) C_i \\ + A_i^* g^{2p}(|X_i^*|) A_i\|^{\frac{1}{2}}, \end{aligned}$$

where $p \geq 1$ and f, g are nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$).

Proof. For any unit vector $\mathbf{x} = [\begin{smallmatrix} x_1 \\ x_2 \end{smallmatrix}] \in \mathcal{H}_1 \oplus \mathcal{H}_2$ we have

$$\begin{aligned} & \sum_{i=1}^n |\langle T_i \mathbf{x}, \mathbf{x} \rangle|^p \\ &= \sum_{i=1}^n |\langle A_i^* X_i D_i x_2, x_1 \rangle + \langle B_i^* Y_i C_i x_1, x_2 \rangle|^p \\ &\leq \sum_{i=1}^n (|\langle A_i^* X_i D_i x_2, x_1 \rangle| + |\langle B_i^* Y_i C_i x_1, x_2 \rangle|)^p \quad (\text{by the triangular inequality}) \\ &\leq \frac{2^p}{2} \sum_{i=1}^n |\langle A_i^* X_i D_i x_2, x_1 \rangle|^p + |\langle B_i^* Y_i C_i x_1, x_2 \rangle|^p \\ &\quad (\text{by the convexity of } f(t) = t^p) \\ &= \frac{2^p}{2} \sum_{i=1}^n |\langle X_i D_i x_2, A_i x_1 \rangle|^p + |\langle Y_i C_i x_1, B_i x_2 \rangle|^p \\ &\leq \frac{2^p}{2} \sum_{i=1}^n \langle f^2(|X_i|) D_i x_2, D_i x_2 \rangle^{\frac{p}{2}} \langle g^2(|X_i^*|) A_i x_1, A_i x_1 \rangle^{\frac{p}{2}} \\ &\quad + \langle f^2(|Y_i|) C_i x_1, C_i x_1 \rangle^{\frac{p}{2}} \langle g^2(|Y_i^*|) B_i x_2, B_i x_2 \rangle^{\frac{p}{2}} \quad (\text{by Lemma 2.3}) \\ &\leq \frac{2^p}{2} \sum_{i=1}^n \langle f^{2p}(|X_i|) D_i x_2, D_i x_2 \rangle^{\frac{1}{2}} \langle g^{2p}(|X_i^*|) A_i x_1, A_i x_1 \rangle^{\frac{1}{2}} \\ &\quad + \langle f^{2p}(|Y_i|) C_i x_1, C_i x_1 \rangle^{\frac{1}{2}} \langle g^{2p}(|Y_i^*|) B_i x_2, B_i x_2 \rangle^{\frac{1}{2}} \quad (\text{by Lemma 2.2(a)}) \\ &= \frac{2^p}{2} \sum_{i=1}^n \langle D_i^* f^{2p}(|X_i|) D_i x_2, x_2 \rangle^{\frac{1}{2}} \langle A_i^* g^{2p}(|X_i^*|) A_i x_1, x_1 \rangle^{\frac{1}{2}} \end{aligned}$$

$$\begin{aligned}
& + \langle C_i^* f^{2p}(|Y_i|) C_i x_1, x_1 \rangle^{\frac{1}{2}} \langle B_i^* g^{2p}(|Y_i^*|) B_i x_2, x_2 \rangle^{\frac{1}{2}} \\
& \leq \frac{2^p}{2} \sum_{i=1}^n (\langle D_i^* f^{2p}(|X_i|) D_i x_2, x_2 \rangle + \langle B_i^* g^{2p}(|Y_i^*|) B_i x_2, x_2 \rangle)^{\frac{1}{2}} \\
& \quad \times (\langle C_i^* f^{2p}(|Y_i|) C_i x_1, x_1 \rangle + \langle A_i^* g^{2p}(|X_i^*|) A_i x_1, x_1 \rangle)^{\frac{1}{2}} \\
& \quad (\text{by the Cauchy-Schwarz inequality}) \\
& = \frac{2^p}{2} \sum_{i=1}^n (\langle (D_i^* f^{2p}(|X_i|) D_i + B_i^* g^{2p}(|Y_i^*|) B_i) x_2, x_2 \rangle)^{\frac{1}{2}} \\
& \quad \times (\langle (C_i^* f^{2p}(|Y_i|) C_i + A_i^* g^{2p}(|X_i^*|) A_i) x_1, x_1 \rangle)^{\frac{1}{2}} \\
& \leq \frac{2^p}{2} \sum_{i=1}^n \|D_i^* f^{2p}(|X_i|) D_i + B_i^* g^{2p}(|Y_i^*|) B_i\|^{\frac{1}{2}} \|C_i^* f^{2p}(|Y_i|) C_i \\
& \quad + A_i^* g^{2p}(|X_i^*|) A_i\|^{\frac{1}{2}} \|x_1\| \|x_2\| \\
& = \frac{2^p}{2} \sum_{i=1}^n \|D_i^* f^{2p}(|X_i|) D_i + B_i^* g^{2p}(|Y_i^*|) B_i\|^{\frac{1}{2}} \\
& \quad \times \|C_i^* f^{2p}(|Y_i|) C_i + A_i^* g^{2p}(|X_i^*|) A_i\|^{\frac{1}{2}} \left(\frac{\|x_1\|^2 + \|x_2\|^2}{2} \right) \\
& = \frac{2^p}{4} \sum_{i=1}^n \|D_i^* f^{2p}(|X_i|) D_i + B_i^* g^{2p}(|Y_i^*|) B_i\|^{\frac{1}{2}} \|C_i^* f^{2p}(|Y_i|) C_i \\
& \quad + A_i^* g^{2p}(|X_i^*|) A_i\|^{\frac{1}{2}}.
\end{aligned}$$

Taking the supremum over all unit vectors $\mathbf{x} \in \mathcal{H}_1 \oplus \mathcal{H}_2$, we obtain the first inequality. Using the inequality

$$\begin{aligned}
& \sum_{i=1}^n |\langle T_i \mathbf{x}, \mathbf{x} \rangle|^p \\
& = \sum_{i=1}^n |\langle A_i^* X_i D_i x_2, x_1 \rangle + \langle B_i^* Y_i C_i x_1, x_2 \rangle|^p \\
& \leq \sum_{i=1}^n (|\langle A_i^* X_i D_i x_2, x_1 \rangle| + |\langle B_i^* Y_i C_i x_1, x_2 \rangle|)^p \quad (\text{by the triangular inequality}) \\
& \leq \frac{2^p}{2} \sum_{i=1}^n |\langle A_i^* X_i D_i x_2, x_1 \rangle|^p + |\langle B_i^* Y_i C_i x_1, x_2 \rangle|^p \\
& \quad (\text{by the convexity of } f(t) = t^p) \\
& = \frac{2^p}{2} \sum_{i=1}^n |\langle X_i D_i x_2, A_i x_1 \rangle|^p + |\langle Y_i C_i x_1, B_i x_2 \rangle|^p \\
& \leq \frac{2^p}{2} \sum_{i=1}^n \langle f^2(|X_i|) D_i x_2, D_i x_2 \rangle^{\frac{p}{2}} \langle g^2(|X_i^*|) A_i x_1, A_i x_1 \rangle^{\frac{p}{2}}
\end{aligned}$$

$$+ \langle g^2(|Y_i|)C_i x_1, C_i x_1 \rangle^{\frac{p}{2}} \langle f^2(|Y_i^*|)B_i x_2, B_i x_2 \rangle^{\frac{p}{2}}$$

(by Lemma 2.3)

and a similar process in the proof of the first inequality, we reach the second inequality. \square

In the special case of Theorem 3.1 for $A_i = B_i = C_i = D_i = I$ ($1 \leq i \leq n$), we have the next result.

Corollary 3.2. *Let $T_i = \begin{bmatrix} 0 & X_i \\ Y_i & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ ($1 \leq i \leq n$). Then*

$$\omega_p^p(T_1, T_2, \dots, T_n) \leq 2^{p-2} \sum_{i=1}^n \|f^{2p}(|X_i|) + g^{2p}(|Y_i^*|)\|^{\frac{1}{2}} \|f^{2p}(|Y_i|) + g^{2p}(|X_i^*|)\|^{\frac{1}{2}}$$

and

$$\omega_p^p(T_1, T_2, \dots, T_n) \leq 2^{p-2} \sum_{i=1}^n \|f^{2p}(|X_i|) + f^{2p}(|Y_i^*|)\|^{\frac{1}{2}} \|g^{2p}(|Y_i|) + g^{2p}(|X_i^*|)\|^{\frac{1}{2}}$$

in which $p \geq 1$ and f, g are nonnegative continuous functions on $[0, \infty)$ satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$).

If we put $f(t) = g(t) = t^{\frac{1}{2}}$ ($t \in [0, \infty)$), then we get the next result.

Corollary 3.3. *Let $T_i = \begin{bmatrix} 0 & X_i \\ Y_i & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ ($1 \leq i \leq n$). Then*

$$\omega_p^p(T_1, T_2, \dots, T_n) \leq 2^{p-2} \sum_{i=1}^n \||X_i|^p + |Y_i^*|^p\|^{\frac{1}{2}} \||Y_i|^p + |X_i^*|^p\|^{\frac{1}{2}}$$

for $p \geq 1$.

Theorem 3.4. *Let $T_i = \begin{bmatrix} A_i & B_i \\ C_i & D_i \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ ($1 \leq i \leq n$) and $p \geq 1$. Then*

$$\begin{aligned} \omega_p^p(T_1, \dots, T_n) \\ \leq 2^{-p} \sum_{i=1}^n (\omega(A_i) + \omega(D_i) + \sqrt{(\omega(A_i) - \omega(D_i))^2 + (\|B_i\| + \|C_i\|)^2})^p. \end{aligned}$$

In particular,

$$\omega \left(\begin{bmatrix} A & B \\ C & D \end{bmatrix} \right) \leq \frac{1}{2} (\omega(A) + \omega(D) + \sqrt{(\omega(A) - \omega(D))^2 + (\|B\| + \|C\|)^2}).$$

Proof. Let $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$ be a unit vector in $\mathcal{H}_1 \oplus \mathcal{H}_2$. Then

$$\begin{aligned} |\langle T_i \mathbf{x}, \mathbf{x} \rangle| &= \left| \left\langle \begin{bmatrix} A_i & B_i \\ C_i & D_i \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \right\rangle \right| \\ &= \left| \left\langle \begin{bmatrix} A_i x_1 + B_i x_2 \\ C_i x_1 + D_i x_2 \end{bmatrix}, \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \right\rangle \right| \\ &= |\langle A_i x_1, x_1 \rangle + \langle B_i x_2, x_1 \rangle + \langle C_i x_1, x_2 \rangle + \langle D_i x_2, x_2 \rangle| \\ &\leq |\langle A_i x_1, x_1 \rangle| + |\langle B_i x_2, x_1 \rangle| + |\langle C_i x_1, x_2 \rangle| + |\langle D_i x_2, x_2 \rangle|. \end{aligned}$$

Thus,

$$\begin{aligned}
& \omega_p^p(T_1, \dots, T_n) \\
&= \sup_{\|\mathbf{x}\|=1} \sum_{i=1}^n |\langle T_i \mathbf{x}, \mathbf{x} \rangle|^p \\
&\leq \sup_{\|x_1\|^2 + \|x_2\|^2 = 1} \sum_{i=1}^n (\|\langle A_i x_1, x_1 \rangle\| + \|\langle B_i x_2, x_1 \rangle\| + \|\langle C_i x_1, x_2 \rangle\| + \|\langle D_i x_2, x_2 \rangle\|)^p \\
&\leq \sum_{i=1}^n \left(\sup_{\|x_1\|^2 + \|y\|^2 = 1} (\|\langle A_i x_1, x_1 \rangle\| + \|\langle B_i x_2, x_1 \rangle\| + \|\langle C_i x_1, x_2 \rangle\| + \|\langle D_i x_2, x_2 \rangle\|) \right)^p \\
&\leq \sum_{i=1}^n \left(\sup_{\|x_1\|^2 + \|x_2\|^2 = 1} (\omega(A_i) \|x_1\|^2 + \omega(D_i) \|x_2\|^2 + (\|B_i\| + \|C_i\|) \|x_1\| \|x_2\|) \right)^p \\
&= \sum_{i=1}^n \left(\sup_{\theta \in [0, 2\pi]} (\omega(A_i) \cos^2 \theta + \omega(D_i) \sin^2 \theta + (\|B_i\| + \|C_i\|) \cos \theta \sin \theta) \right)^p \\
&= 2^{-p} \sum_{i=1}^n (\omega(A_i) + \omega(D_i) + \sqrt{(\omega(A_i) - \omega(D_i))^2 + (\|B_i\| + \|C_i\|)^2})^p. \quad \square
\end{aligned}$$

For $A_i = D_i$ and $B_i = C_i$ ($1 \leq i \leq n$) we get the following result.

Corollary 3.5. *Let $T_i = \begin{bmatrix} \pm A_i & \pm B_i \\ \pm B_i & \pm A_i \end{bmatrix}$ be an operator matrix with $A_i, B_i \in \mathbb{B}(\mathcal{H})$ ($1 \leq i \leq n$). Then*

$$\omega_p^p(T_1, \dots, T_n) \leq \sum_{i=1}^n (\omega(A_i) + \|B_i\|)^p$$

for $p \geq 1$. In particular, if $A, B \in \mathbb{B}(\mathcal{H})$, then

$$\omega \left(\begin{bmatrix} \pm A & \pm B \\ \pm B & \pm A \end{bmatrix} \right) \leq \omega(A) + \|B\|.$$

If we take $B_i = C_i = 0$ ($1 \leq i \leq n$) in Theorem 3.4, then we get the following inequality.

Corollary 3.6. *Let $T_i = \begin{bmatrix} A_i & 0 \\ 0 & D_i \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ ($1 \leq i \leq n$). Then for all $p \geq 1$,*

$$\omega_p^p(T_1, \dots, T_n) \leq \sum_{i=1}^n \max(\omega^p(A_i), \omega^p(D_i)).$$

For $C_i = D_i = 0$ ($1 \leq i \leq n$) we obtain a result that generalizes and refines the inequality $\omega \left(\begin{bmatrix} A & B \\ 0 & 0 \end{bmatrix} \right) \leq \omega(A) + \frac{\|B\|}{2}$.

Corollary 3.7. *Let $T_i = \begin{bmatrix} A_i & B_i \\ 0 & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ ($1 \leq i \leq n$) and $p \geq 1$. Then*

$$\omega_p^p(T_1, \dots, T_n) \leq 2^{-p} \sum_{i=1}^n (\omega(A_i) + \sqrt{\omega^2(A_i) + \|B_i\|^2})^p.$$

In particular,

$$\omega \left(\begin{bmatrix} A & B \\ 0 & 0 \end{bmatrix} \right) \leq \frac{1}{2} (\omega(A) + \sqrt{\omega^2(A) + \|B\|^2}).$$

If we put $A_i = D_i = 0$ ($1 \leq i \leq n$), then we deduce the following.

Corollary 3.8. *Let $T_i = \begin{bmatrix} 0 & B_i \\ C_i & 0 \end{bmatrix} \in \mathbb{B}(\mathcal{H}_1 \oplus \mathcal{H}_2)$ ($1 \leq i \leq n$) and $p \geq 1$. Then*

$$\omega_p^p(T_1, \dots, T_n) \leq 2^{-p} \sum_{i=1}^n (\|B_i\| + \|C_i\|)^p.$$

In particular, if $B \in \mathbb{B}(\mathcal{H}_2, \mathcal{H}_1)$ and $C \in \mathbb{B}(\mathcal{H}_1, \mathcal{H}_2)$, then

$$\omega \left(\begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix} \right) \leq \frac{1}{2} (\|B\| + \|C\|).$$

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