# ON THE SHAPE OF NUMERICAL RANGES ASSOCIATED WITH LIE GROUPS 

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Dedicated to Ky Fan on the occasion of his 85 th birthday


#### Abstract

A survey of some recent results on the shape of the numerical ranges associated with Lie groups, mainly convexity and star-shapedness, is given. Some questions are asked.


## 1. Introduction

The classical numerical range of $A \in \mathbb{C}_{n \times n}$ is defined as the following subset of $\mathbb{C}$ :

$$
W(A):=\left\{x^{*} A x: x \in \mathbb{C}^{n}, x^{*} x=1\right\}
$$

The celebrated Toeplitz-Hausdorff theorem [27,13] asserts that it is convex. It is remarkable for it states that the image of the unit sphere in $\mathbb{C}^{n}$ (a hollow object) is convex under the nonlinear map, $x \mapsto x^{*} A x$. Perhaps it is the most interesting geometric property of the set. Various generalizations have been considered in the literature and the development has been very active in the last decades [12, 20]. Our focus will be on the numerical ranges arising from Lie groups. Though the study is fruitful, it is still a new development and by no means covers all generalizations. In this note, we give a brief survey of some recent results on the shape of the numerical ranges, mainly convexity and star-shapedness. Some questions are asked. Our general references for Lie theory are [14, 18, 23].

[^0]Halmos introduced the $k$-numerical range of $A \in \mathbb{C}_{n \times n}$ :

$$
W_{k}(A):=\left\{\sum_{i=1}^{k} x_{i}^{*} A x_{i}: x_{1}, \ldots, x_{k} \text { o.n. in } \mathbb{C}^{n}\right\}, \quad k=1, \ldots, n .
$$

He conjectured and Berger [8] proved that $W_{k}(A)$ is always convex. Then Westwick [29] considered the $c$-numerical range of $A$, where $c \in \mathbb{C}^{n}$ :

$$
W_{c}(A):=\left\{\sum_{i=1}^{n} c_{i} x_{i}^{*} A x_{i}: x_{1}, \ldots, x_{n} \text { o.n. in } \mathbb{C}^{n}\right\} .
$$

By spectral decomposition, it can be formulated as

$$
W_{C}(A):=\left\{\operatorname{tr} C U A U^{-1}: U \in U(n)\right\},
$$

where $U(n)$ denotes the unitary group and $C$ is normal with eigenvalues $c \in \mathbb{C}^{n}$. He proved that $W_{C}(A)$ is always convex for real $c$, i.e., $C$ is Hermitian, and this is known as Westwick's convexity theorem, but $W_{C}(A)$ fails to be convex for complex $c$ when $n \geq 3$. The main idea of Westwick's proof is the application of Morse theory on the homogeneous space $U(n) / \triangle(n)$ where $\triangle(n) \subset U(n)$ is the subgroup of diagonal matrices. Poon [24] gave the first elementary proof to Westwick's result. The result was later rediscovered by Ginsburg [2, p. 8].

## 2. Numerical Range and Compact Connected Lie Group

Let us elaborate on Westwick's setting. If $A=A_{1}+i A_{2}$ is the Hermitian decomposition of $A \in \mathbb{C}_{n \times n}$, where $A_{1}, A_{2}$ are $n \times n$ Hermitian matrices, and $C$ is an $n \times n$ Hermitian matrix, then $W_{C}(A)$ may be identified as the following subset of $\mathbb{R}^{2}$ :

$$
\begin{equation*}
W_{C}\left(A_{1}, A_{2}\right):=\left\{\left(\operatorname{tr} C U A_{1} U^{-1}, \operatorname{tr} C U A_{2} U^{-1}\right): U \in U(n)\right\} . \tag{1}
\end{equation*}
$$

It is well-known that $U(n)$ is a compact connected Lie group whose Lie algebra $\mathfrak{u}(n)$ is the set of skew Hermitian matrices. Notice that

$$
\operatorname{tr} C U^{-1} B U=\operatorname{tr} B U C U^{-1}=-\operatorname{tr}(i B) U(i C) U^{-1}
$$

and thus we may assume that $A_{1}, A_{2}, C \in \mathfrak{u}(n)$ if convexity is the main concern, and (1) can be written as $W_{C}\left(A_{1}, A_{2}\right)=\left\{\left(\operatorname{tr} A_{1} L, \operatorname{tr} A_{2} L\right): L \in \operatorname{Ad}(U(n)) C\right\}$, where $\operatorname{Ad}(U(n)) C:=\left\{U C U^{-1}: U \in U(n)\right\}$ is the adjoint orbit of $C$. This orbital point of view turns out to be very useful in our study. The consideration of

Raïs [25] is then natural: Let $G$ be a compact Lie group with Lie algebra $\mathfrak{g}$ which is equipped with a $G$-invariant inner product $\langle\cdot, \cdot\rangle$, i.e.,

$$
\langle\operatorname{Ad}(g) X, \operatorname{Ad}(g) Y\rangle=\langle X, Y\rangle, \quad X, Y \in \mathfrak{g}, \quad g \in G
$$

For $A_{1}, A_{2}, C \in \mathfrak{g}$, the $C$-numerical range of the pair $\left(A_{1}, A_{2}\right)$ is defined to be the following subset of $\mathbb{R}^{2}$ :

$$
\begin{equation*}
W_{C}\left(A_{1}, A_{2}\right):=\left\{\left(\left\langle A_{1}, \operatorname{Ad}(g) C\right\rangle,\left\langle A_{2}, \operatorname{Ad}(g) C\right\rangle\right): g \in G\right\} \tag{2}
\end{equation*}
$$

It can be rewritten as

$$
\begin{equation*}
W_{C}\left(A_{1}, A_{2}\right)=\left\{\left(\left\langle A_{1}, L\right\rangle,\left\langle A_{2}, L\right\rangle\right): L \in \operatorname{Ad}(G) C\right\} \tag{3}
\end{equation*}
$$

where $\operatorname{Ad}(G) C:=\{\operatorname{Ad}(g) C: g \in G\}$ is the adjoint orbit of $C$ in $\mathfrak{g}$.
By using a result of Atiyah [1] on a smooth function whose Hamiltonian vector field generates a torus action on a compact connected symplectic manifold, and the well-known result of Kirillov-Kostant-Souriau: the co-adjoint orbit of a compact connected Lie group has a natural symplectic structure [17], we have

Theorem 2.1. [26] Let $G$ be a compact connected Lie group. For $A_{1}, A_{2}, C \in$ $\mathfrak{g}$, the generalized numerical range $W_{C}\left(A_{1}, A_{2}\right)$ defined by (2) is convex.

## Corollary 2.2 .

(1) (Westwick [27]) Let $G=U(n)$ or $S U(n)$. The $C$-numerical range $W_{C}\left(A_{1}\right.$, $\left.A_{2}\right)=\left\{\left(\operatorname{tr} A_{1} U C U^{-1}, \operatorname{tr} A_{2} U C U^{-1}\right): U \in G\right\}$ is convex, where $A_{1}, A_{2}$ and $C$ are Hermitian matrices.
(2) The set $W_{C}\left(A_{1}, A_{2}\right)=\left\{\left(\operatorname{tr} A_{1} O C O^{-1}, \operatorname{tr} A_{2} O C O^{-1}\right): O \in S O(n)\right\}$ is convex, where $A_{1}, A_{2}$, and $C$ are real skew symmetric matrices.
(3) The set $W_{C}\left(A_{1}, A_{2}\right)=\left\{\left(\operatorname{tr} A_{1} O C O^{-1}, \operatorname{tr} A_{2} O C O^{-1}\right): O \in O(2 n+1)\right\}$ is convex and is equal to $\left\{\left(\operatorname{tr} A_{1} O C O^{T}, \operatorname{tr} A_{2} O C O^{T}\right): O \in S O(2 n+1)\right\}$, where $A_{1}, A_{2}$, and $C$ are real skew symmetric matrices.
(4) The set $W_{C}\left(A_{1}, A_{2}\right)=\left\{\left(\operatorname{tr} A_{1} U C U^{-1}, \operatorname{tr} A_{2} U C U^{-1}\right): U \in S p(n)\right\}$ is convex, where $A_{1}, A_{2}, C \in \mathfrak{s p}(n)$ and the symplectic group $S p(n) \subset U(2 n)$ consists of

$$
\left[\begin{array}{cc}
A & -\bar{B} \\
B & \bar{A}
\end{array}\right] \in U(2 n)
$$

Remark 2.3. Theorem 2.1 is best possible in the sense that $W_{C}\left(A_{1}, \ldots, A_{p}\right)$ may fail to be convex if $p \geq 3$. Indeed, when $G=U(n)$ and $C=\operatorname{diag}(1,0, \ldots, 0)$, $W_{C}\left(A_{1}, \ldots, A_{p}\right)$ fails to be convex [3] for some choice of $A$ 's when $p \geq 3$ or $n=2$ while $p=3$. But it is convex when $p=3$ and $n \geq 3$. Also see [6].

## 3. Numerical Range and Reductive Lie Algebra

Let $\mathfrak{g}=\mathfrak{g}_{0}+\mathfrak{z}$ be a real reductive Lie algebra, where $\mathfrak{g}_{0}=[\mathfrak{g}, \mathfrak{g}]$ is semisimple and $\mathfrak{z}$ is the center of $\mathfrak{g}$. Let $K \subset G_{0}$ (it is unique once we fix the analytic group $G$ for $\mathfrak{g}$ [14, p. 112]) be the analytic group of $\mathfrak{k}$, where $\mathfrak{g}=\mathfrak{k}+\mathfrak{p}$ is a given Cartan decomposition of $\mathfrak{g}$. Here $\mathfrak{p}$ is the orthogonal complement of $\mathfrak{k}$ in $\mathfrak{g}$ with respect to the Killing form $B(\cdot, \cdot)$. For $A_{1}, \ldots, A_{p}, C \in \mathfrak{p}$, the $C$-numerical range of $\left(A_{1}, \ldots, A_{p}\right)$ is defined $[26,21]$ as the following subset of $\mathbb{R}^{p}$ :

$$
\begin{equation*}
W_{C}\left(A_{1}, \ldots, A_{p}\right)=\left\{\left(B\left(A_{1}, Z\right), \ldots, B\left(A_{p}, Z\right)\right): Z \in \operatorname{Ad}(K) C\right\}, \tag{4}
\end{equation*}
$$

where $\operatorname{Ad}(K) C=\{\operatorname{Ad}(k) C: k \in K\}$ is the orbit of $C$ in $\mathfrak{p}$ under the adjoint action of $K$. Once we fix the Lie algebra $\mathfrak{g}$, the $C$-numerical range is independent of the choice of analytic group $G$ associated with it [21]. Moreover, the choice of Cartan decomposition of $\mathfrak{g}$ does not affect the convexity or the nonconvexity of the numerical range. The above definition was motivated by a result of AuYeung and Tsing [6]: $W_{C}\left(A_{1}, A_{2}, A_{3}\right)$ is convex when $\mathfrak{g}=\mathfrak{g l}(n, \mathbb{C})(\mathfrak{g l}(n, \mathbb{H}))$ and $C, A_{1}, A_{2}, A_{3}$ are Hermitian matrices over $\mathbb{C}(\mathbb{H})$ with $n \geq 3$.

Indeed, the setting (4) is more general than (3) if the invariant inner product is $-B(\cdot, \cdot)$. To see this, it is sufficient to consider semisimple compact connected Lie group $G$ in (3). It is because for every compact connected Lie group $G, G$ is the commuting product $G_{s} Z_{0}$ and $\mathfrak{g}=\mathfrak{g}_{s}+\mathfrak{z}$, where $G_{s}$ is the analytic subgroup of $G$ with semisimple Lie algebra [14, p. 132], $\mathfrak{g}_{s}=[\mathfrak{g}, \mathfrak{g}]$ and $Z_{0}$ is the identity component of the center $Z$ of $G$ whose Lie algebra is $\mathfrak{z}$. Now $\operatorname{Ad}(Z)$ is trivial and $\operatorname{Ad}(G)$ acts trivially on $\mathfrak{z}$. So for any $C=C_{s}+C_{z}$, where $C_{s} \in \mathfrak{g}_{s}, C_{z} \in \mathfrak{z}$, we have $\operatorname{Ad}(G) C=\operatorname{Ad}\left(G_{s}\right) C_{s}+C_{z}$. So $W_{C}\left(A_{1}, A_{2}\right)$ in (3) can be written as

$$
\left\{\left(\left\langle A_{1 s}, L\right\rangle,\left\langle A_{2 s}, L\right\rangle\right): L \in \operatorname{Ad}\left(G_{s}\right) C_{s}\right\}+H,
$$

where $A_{i}=A_{i s}+A_{i z}, i=1,2$, and

$$
H:=\left(\left\langle A_{1 s}, C_{z}\right\rangle,\left\langle A_{2 s}, C_{z}\right\rangle\right)+\left(\left\langle A_{1 z}, C_{s}\right\rangle,\left\langle A_{2 z}, C_{s}\right\rangle\right)+\left(\left\langle A_{1 z}, C_{z}\right\rangle,\left\langle A_{2 z}, C_{z}\right\rangle\right)
$$

is a constant since $\langle\cdot, \cdot\rangle$ is Ad-invariant and the adjoint action is trivial on $\mathfrak{z}$. Thus it suffices to consider the semisimple $G_{s}$. Now $\mathfrak{g}=\mathfrak{g}_{s}+i \mathfrak{g}_{s}$ is complex semisimple which is viewed as a real semisimple Lie algebra. Identifying $\mathfrak{p}=i \mathfrak{g}_{s}$ with $\mathfrak{g}_{s}$ in (4), we get (3).

It is known [21] that $\mathfrak{s l}_{2}(\mathbb{R})$ is the only one giving nonconvex $W_{C}\left(A_{1}, A_{2}\right)$ among simple classical real Lie algebras (up to isomorphism). Concerning the convexity of $W_{C}\left(A_{1}, A_{2}, A_{3}\right)$ we have the following table and the proofs involve delicate computation.

Table 3.1. [21]

$$
\begin{aligned}
\mathfrak{g}=\mathfrak{s l}_{n}(\mathbb{C}), n \geq 2 & : \text { Yes if } n>2 \text { (best possible) } \\
\mathfrak{h}=\mathfrak{s l}_{n}(\mathbb{R}) & : \text { No } \\
\mathfrak{h}=\mathfrak{s l}_{m}(\mathbb{H}), n=2 m & : \text { Yes if } n>2 \text { (best possible) } \\
\mathfrak{h}=\mathfrak{s u}_{p, q}(p=0,1, \ldots,[n / 2], p+q=n) & : \text { Yes if } p \neq q(\text { best possible); } \\
& \text { No if } p=q \\
\mathfrak{g}=\mathfrak{s o}_{2 n+1}(\mathbb{C}), n \geq 2 & : \\
\mathfrak{h}=\mathfrak{s o}_{p, q}(p=0,1, \ldots, n, p+q=2 n+1) & : \\
& \text { Yes if } n>2 \text { (best possible) } \\
\mathfrak{g}=\mathfrak{s p}_{n}(\mathbb{C}), n=2 m, m \geq 3 & : \text { Yes (best possible) } \\
\mathfrak{h}=\mathfrak{s p}_{n}(\mathbb{R}), n=2 m & : \text { No } \\
\mathfrak{h}=\mathfrak{s p}_{p, q},(p=0,1, \ldots,[m / 2], p+q=m) & : \text { No } \\
& \\
\mathfrak{g}=\mathfrak{s o}_{2 n}(\mathbb{C}), n \geq 4 & : \text { Yes (best possible) } \\
\mathfrak{h}=\mathfrak{s o}_{p, q},(p=0,1, \ldots, n, p+q=2 n) & : \text { No } \\
\mathfrak{h}=\mathfrak{s o}^{*}(2 n) & : \text { No if } n \text { is even. Yes if } n \text { is odd. }
\end{aligned}
$$

The following is the only case in the above list without an answer.
Problem 3.2 [21]. For the case $\mathfrak{5 o}^{*}(2 n)$ with an odd integer $n$, what is the largest $m \geq 3$ so that $W_{C}\left(A_{1}, \ldots, A_{m}\right)$ is always convex? It is known that $m \leq 5$.

Remark 3.2 [21]. The exceptional simple Lie algebras are [23]: 3 for $\mathfrak{g}_{2} ; 4$ for $\mathfrak{f}_{4} ; 6$ for $\mathfrak{e}_{6} ; 5$ for $\mathfrak{e}_{7}$ and 4 for $\mathfrak{e}_{8}$. The total number of cases is 22 . Among them 5 are compact Lie algebras and the corresponding numerical ranges are trivial. For those 5 complex simple Lie algebras of exceptional type, when we consider them as real Lie algebras, Theorem 2.1 yields the convexity of $W_{C}\left(A_{1}, A_{2}\right)$. Hence 12 cases are left open.

## 4. Generalized Numerical Range and Normality

Westwick's convexity result asserts (after a suitable translation and rotation) that $W_{C}(A)$ is convex if $C$ is normal and has collinear eigenvalues, for all $A \in \mathbb{C}_{n \times n}$. Given a normal $C$, Marcus [22] further conjectured that if $W_{C}(A)$ is convex for all $A \in \mathbb{C}_{n \times n}$, then the eigenvalues of $C$ are collinear. Au-Yeung and Tsing [7] proved Marcus' conjecture affirmatively and their result is even stronger: $W_{c}\left([c]^{*}\right)=\left\{\operatorname{tr}[c] U[c]^{*} U^{-1}: U \in U(n)\right\}$ is not convex if the entries of $c$ are not collinear, where $[c]=\operatorname{diag}\left(c_{1}, \ldots, c_{n}\right)$. Also see $[9,10]$.

Now we have the following setting. Let $\mathfrak{g}=\mathfrak{k}+i \mathfrak{k}$ be the Cartan decomposition of a complex semisimple Lie algebra and let $B(\cdot, \cdot)$ be the Killing form on $\mathfrak{g}$. Let
$\theta$ be the Cartan involution, i.e., $\theta: \mathfrak{g} \rightarrow \mathfrak{g}$ such that $x+y \mapsto x-y$ if $x \in \mathfrak{k}$ and $\mathfrak{p}=i \mathfrak{k}$. Then $\theta$ and the Killing form induce an inner product on $\mathfrak{g}$ :

$$
(x, y)_{\theta}=-B(x, \theta y), \quad x, y \in \mathfrak{g}
$$

Given $x, y \in \mathfrak{g}$, we define the $x$-numerical range of $y$ as the following subset of $\mathbb{C}$ :

$$
W_{x}(y):=\left\{(x, z)_{\theta}: z \in \operatorname{Ad}(K) y\right\}
$$

The numerical range for the complex reductive case is similarly defined. When $\mathfrak{g}=\mathfrak{g l}(n, \mathbb{C})$, the Cartan decomposition is the usual Hermitian decomposition, $K=S U(n)$ and $\theta(A)=-A^{*}, A \in \mathfrak{g l}(n, \mathbb{C})$. Thus if $A, C \in \mathfrak{g l}(n, \mathbb{C})$, then $W_{C}(A)=\left\{\operatorname{tr} C U A^{*} U^{-1}: U \in S U(n)\right\}$. The only difference between this setting and the usual setting in the literature is that $A$ is replaced by $A^{*}$ and this yields no difficulty.

Let $\mathfrak{a}$ be a maximal abelian subalgebra in $\mathfrak{p}=i \mathfrak{k}$ and thus $i \mathfrak{a}+\mathfrak{a}$ is a Cartan subalgebra of $\mathfrak{g}$. Now an element $x \in \mathfrak{g}$ is said to be normal if $\operatorname{Ad}(k) x \in i \mathfrak{a}+\mathfrak{a}$ for some $k \in K$. Motivated by the result of Au-Yeung and Tsing [7] and some computer generated figures, we have

Conjecture 4.1. Let $\mathfrak{g}$ be a complex simple Lie algebra. If $x \in \mathfrak{g}$ is normal and there does not exist a $\xi \in \mathbb{C}$ such that $\xi x \in \mathfrak{a}$, then $W_{x}(x)$ is not convex.

For example, if $\mathfrak{g}=\mathfrak{s o}(n, \mathbb{C})$, then the conjecture is that the set

$$
\left\{\operatorname{tr} C O C^{*} O^{-1}: O \in S O(n)\right\}
$$

is not convex, where

$$
\begin{aligned}
C= & {\left[\begin{array}{cc}
0 & a_{1}+i b_{1} \\
-\left(a_{1}+i b_{1}\right) & 0
\end{array}\right] \oplus \cdots \oplus\left[\begin{array}{cc}
0 & a_{m}+i b_{m} \\
-\left(a_{m}+i b_{m}\right) & 0
\end{array}\right](\oplus 0) } \\
& \in \mathbb{C}_{n \times n}, m=[n / 2],
\end{aligned}
$$

if $a_{1}+i b_{1}, \ldots, a_{m}+i b_{m}$ are not collinear. We remark that

$$
\begin{aligned}
\left|(x, \operatorname{Ad}(k) x)_{\theta}\right|^{2} & \leq(x, x)_{\theta}(\operatorname{Ad}(k) x, \operatorname{Ad}(k) x)_{\theta} \quad(\text { by Cauchy-Schwarz inequality }) \\
& =-(x, x)_{\theta} B(\operatorname{Ad}(k) x, \theta \operatorname{Ad}(k) x) \\
& =-(x, x)_{\theta} B(\operatorname{Ad}(k) x, \operatorname{Ad}(k) \theta x) \quad(\text { by } \theta \operatorname{Ad}(k)=\operatorname{Ad}(k) \theta) \\
& =-(x, x)_{\theta} B(x, \theta x) \quad(\text { since } B(\cdot, \cdot) \text { is } \operatorname{Ad}(K) \text {-invariant }) \\
& =(x, x)_{\theta}^{2} .
\end{aligned}
$$

Note that $\theta$ and $\operatorname{Ad}(k)$ commute since $\operatorname{Ad}(K)$ leaves $\mathfrak{k}$ and $\mathfrak{p}=i \mathfrak{k}$ invariant. So $(x, x)_{\theta} \in W_{x}(x)$ is positive and has the largest magnitude. (The boundary of $W_{c}(c)$
near this point is concave as shown in the proof of Au-Yeung and Tsing [7] when $c^{\prime} s$ are not collinear for the $\mathfrak{g l}_{n}(\mathbb{C})$ case). Moreover $W_{x}(x)$ is symmetric about the origin for if $w \in W_{x}(x)$, then $w=(x, \operatorname{Ad}(k) x)_{\theta}$ and $\bar{w}=\overline{(x, \operatorname{Ad}(k) x)_{\theta}}=$ $(\operatorname{Ad}(k) x, x)_{\theta}=\left(x, \operatorname{Ad}\left(k^{-1}\right) x\right) \in W_{x}(x)$.

A related problem is concerning Kostant's convexity theorem [19] for complex reductive Lie algebras. Kostants's result claims that if $\mathfrak{g}$ is a real reductive Lie algebra, then

$$
\pi(\operatorname{Ad}(K) x)=\operatorname{conv} W x, \quad x \in \mathfrak{a}
$$

where $\mathfrak{a} \subset \mathfrak{p}$ is a maximal abelian subalgebra in $\mathfrak{p}$ and $\mathfrak{g}=\mathfrak{k}+\mathfrak{p}$ is a Cartan decomposition of $\mathfrak{g}, W$ is the Weyl group of $(\mathfrak{g}, \mathfrak{a}), \pi: \mathfrak{p} \rightarrow \mathfrak{a}$ is the orthogonal projection with respect to the Killing form and conv $S$ denotes the convex hull of the set $S$. This generalizes a classical result of Schur and Horn, namely,

$$
\mathcal{W}(\lambda):=\left\{\operatorname{diag} U \Lambda U^{-1}: U \in U(n)\right\}=\operatorname{conv} S_{n} \lambda
$$

where $\Lambda=\operatorname{diag}(\lambda), \lambda=\left(\lambda_{1}, \ldots, \lambda_{n}\right) \in \mathbb{R}^{n}$ and $S_{n}$ is the symmetric group. Au-Yeung and Sing [4] proved that if $\lambda \in \mathbb{C}^{n}$ with $\lambda^{\prime} s$ not collinear, then $\mathcal{W}(\lambda)$ is not convex. Neverthesless, Tsing [26] proved that $\mathcal{W}(\lambda)$ is star-shaped with respect to the star center $\left(\sum_{i=1}^{n} \lambda_{i}\right) e$, where $e=(1,1, \ldots, 1)$. Here we say that a nonempty subset $X$ of a vector space is star-shaped with respect to a star center $s$ if $t x+(1-t) s \in X$ whenever $x \in X$ and $t \in[0,1]$. Thus it is natural to ask the following questions.

Question 4.2. Let $\mathfrak{g}$ be a complex simple Lie algebra. If $x \in \mathfrak{g}$ is normal and there does not exist a $\xi \in \mathbb{C}$ such that $\xi x \in \mathfrak{a}$, is it true that $\mathcal{W}(x):=\pi(\operatorname{Ad}(K) x)$ is not convex, where $\pi: \mathfrak{g} \rightarrow i \mathfrak{a}+\mathfrak{a}$ is the orthogonal projection with respect to the inner product $(\cdot, \cdot)_{\theta}$ ?

For example, if $\mathfrak{g}=\mathfrak{s o}(n, \mathbb{C})$, then the question is whether the set

$$
\mathcal{W}(C):=\left\{\left(a_{12}, a_{34}, a_{56}, \ldots, a_{2 m+1,2 m}\right): A=O C O^{-1}, O \in S O(n)\right\} \subset \mathbb{R}^{m}
$$

is not convex where

$$
\begin{aligned}
C= & {\left[\begin{array}{cc}
0 & a_{1}+i b_{1} \\
-\left(a_{1}+i b_{1}\right) & 0
\end{array}\right] \oplus \cdots \oplus\left[\begin{array}{cc}
0 & a_{m}+i b_{m} \\
-\left(a_{m}+i b_{m}\right) & 0
\end{array}\right](\oplus 0) } \\
& \in \mathbb{C}_{n \times n}, m=[n / 2],
\end{aligned}
$$

if $a_{1}+i b_{1}, \ldots, a_{m}+i b_{m}$ are not collinear with the origin. We remark that if Conjecture 4.1 is true, then the answer to Question 4.2 is positive.

Question 4.3. Let $\mathfrak{g}$ be a complex reductive Lie algebra. If $x \in \mathfrak{g}$ is normal, is it true that $\mathcal{W}(x):=\pi(\operatorname{Ad}(K) x)$ is star-shaped with respect to the star center $\pi\left(x_{z}\right)$, where $x=x_{s}+x_{z}, x_{z} \in \mathfrak{z}$ and $x_{s} \in[\mathfrak{g}, \mathfrak{g}]$ ?

For the case $\mathfrak{g}=\mathfrak{s o}(n, \mathbb{C})$, the question is whether the set $\mathcal{W}(C)$ is star-shaped or not for the above $C$ with general $a_{1}+i b_{1}, \ldots, a_{m}+i b_{m}$ ?

## 5. Star-Shapedness

When $C, A \in \mathbb{C}_{n \times n}$ with $C$ normal, Straus conjectured and Tsing [28] proved that the $C$-numerical range

$$
W_{C}(A)=\left\{\operatorname{tr} C U A U^{-1}: U \in U(n)\right\}
$$

is star-shaped with star center $(1 / n) \operatorname{tr} A \operatorname{tr} C$, a very interesting result on the shape of the numerical range. Later Hughes [15] proved an infinite-dimensional analog of Tsing's result: the closure of the set

$$
W_{C}(T):=\left\{\sum_{i, j=1}^{n} c_{i j}\left\langle T e_{i}, e_{j}\right\rangle: e_{1}, \ldots, e_{n} \text { is o.n. in } H\right\}
$$

is star-shaped with respect to the set $(\operatorname{tr} C) W_{e}(T)$, where $H$ is an infinite-dimensional Hilbert space with inner product $\langle\cdot, \cdot\rangle, T$ is a bounded linear operator on $H$, and $W_{e}(T)=\left\{\lambda: \lambda=\lim _{m \rightarrow \infty}\left\langle T f_{m}, f_{m}\right\rangle,\left\{f_{m}\right\}\right.$ is o.n. in $\left.H\right\}$. Jones [16] proved the same result without assuming that $C$ is normal. However, as pointed out in [11], Hughes' proof could not be applied to prove the finite-dimensional result of Tsing and it seems that the proof of Jones cannot be modified to prove the star-shapedness of $W_{C}(T)$ when $H$ is finite-dimensional. Recently, Cheung and Tsing [11] proved that $W_{C}(A)$ is star-shaped with the star center $\frac{1}{n} \operatorname{tr} A \operatorname{tr} C$. With the notations as before, we make the following

Conjecture 5.1. Let $\mathfrak{g}$ be a complex reductive Lie algebra. If $x, y \in \mathfrak{g}$, then the $x$-numerical range of $y, W_{x}(y):=\left\{(x, w)_{\theta}: w \in \operatorname{Ad}(K) y\right\}$ is star-shaped with respect to the star center $\left(x_{z}, y_{z}\right)_{\theta}$, where $x=x_{s}+x_{z} \in \mathfrak{g}, x_{z} \in \mathfrak{z}$ and $x_{s} \in[\mathfrak{g}, \mathfrak{g}]$.

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