# A METHOD TO OBTAIN LOWER BOUNDS FOR CIRCULAR CHROMATIC NUMBER 

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#### Abstract

The circular chromatic number $\chi_{c}(G)$ of a graph $G$ is a very natural generalization of the concept of chromatic number $\chi(G)$, and has been studied extensively in the past decade. In this paper we present a new method for bounding the circular chromatic number from below. Let $\omega$ be an acyclic orientation of a graph $G$. A sequence of acyclic orientations $\omega_{1}, \omega_{2}, \omega_{3}, \ldots$ is obtained from $\omega$ in such a way that $\omega_{1}=\omega$, and $\omega_{i}(i \geq 2)$ is obtained from $\omega_{i-1}$ by reversing the orientations of the edges incident to the sinks of $w_{i-1}$. This sequence is completely determined by $\omega$, and it can be proved that there are positive integers $p$ and $M$ such that $\omega_{i}=\omega_{i+p}$ for every integer $i \geq M$. The value $p$ at its minimum is denoted by $p_{\omega}$. To bound $\chi_{c}(G)$ from below, the methodology we develop in this paper is based on the acyclic orientations $\omega_{M}, \omega_{M+1}, \cdots, \omega_{M+p_{\omega}-1}$ of $G$. Our method demonstrates for the first time the possibility of extracting some information about $\chi_{c}(G)$ from the period $\omega_{M}, \omega_{M+1}, \cdots, \omega_{M+p_{\omega}-1}$ to derive lower bounds for $\chi_{c}(G)$.


## 1. Introduction

The purpose of this paper is to explore the possibilities of using dynamic techniques to obtain lower bounds for circular chromatic number. We use Bondy and Murty's book [4] for terminology and notation not defined here and consider only finite, simple and connected graphs. First let us give a definition of the circular chromatic number $\chi_{c}(G)$ of a graph $G$. Suppose $k \geq 2 d$ are positive integers. A $(k, d)$-coloring of a graph $G$ is a mapping $f: V(G) \rightarrow\{0,1, \ldots, k-1\}$ such that

[^0]for any edge $x y$ of $G, d \leq|f(x)-f(y)| \leq k-d$. If $G$ has a $(k, d)$-coloring then we say $G$ is $(k, d)$-colorable. The circular chromatic number $\chi_{c}(G)$ of a graph $G$ $[6,7,9]$ is defined as
$$
\chi_{c}(G)=\inf \{k / d: G \text { is }(k, d)-\text { colorable }\} .
$$

In fact, to determine the circular chromatic number of a graph $G$, it suffices to check finitely many $k, d$ whether $G$ is $(k, d)$-colorable. In [8, 9, 11] we see the following fact

Fact 1. For any graph $G$ with $n$ vertices, we have

$$
\chi_{c}(G) \in\left\{\frac{k}{d}: k \leq n, d \leq \alpha(G) \text { and } \frac{n}{\alpha(G)} \leq \frac{k}{d} \leq \chi(G)\right\}
$$

where $\alpha(G)$ is the maximum size of an independent set in $G$ and $\chi(G)$ is the chromatic number of $G$.

A graph $G$ is called $k$-colorable if $V(G)$ can be colored by at most $k$ colors so that adjacent vertices are colored by different colors. The chromatic number of $G$, denoted by $\chi(G)$, is the smallest $k$ such that $G$ is $k$-colorable. For any graph $G$, $\chi(G)-1<\chi_{c}(G) \leq \chi(G)$, that is $\chi_{c}(G)$ is a refinement of $\chi(G)$. The study of circular chromatic number $\chi_{c}(G)$ has been very active in the past decade [9, 11]. In this paper we present a new method for bounding the circular chromatic number from below.

To explain the main point of our method we introduce a discrete dynamical system on a graph $G$. Let $\omega$ be an acyclic orientation of $G$. A vertex in $\omega$ with zero outdegree (resp., zero indegree) is called a sink (resp., source) of $\omega$. Let $\operatorname{sink}(\omega)$ (resp., source $(\omega)$ ) denote the set of sinks (resp., sources) in $\omega$. One can obtain a sequence of acyclic orientations $\omega_{1}, \omega_{2}, \omega_{3}, \ldots$ from $\omega$ in such a way that $\omega_{1}=\omega$, and $\omega_{i}(i \geq 2)$ is obtained from $\omega_{i-1}$ by reversing the orientations of the edges incident to the sinks of $w_{i-1}$. This sequence is completely determined by $\omega$, and hence we say that this sequence $\left\{\omega_{i}\right\}_{i=1}^{\infty}$ is generated by $\omega$. Obviously the sequence of $\left\{\omega_{i}\right\}_{i=1}^{\infty}$ has the following periodic behavior [1, 2, 3]: There exist positive integers $p$ and $M$ such that $\omega_{i}=\omega_{i+p}$ for every integer $i \geq M$. The value $p$ at its minimum is denoted by $p_{\omega}$ and is called the period of $\omega$. For any $i \geq M$, the sequence $\omega_{i}, \omega_{i+1}, \cdots, \omega_{i+p_{\omega}-1}$ is called a period generated by $\omega$. For a vertex $u$ of an acyclic digraph $\omega$, let $m_{\omega}^{u}$ denote the number of times that $u$ becomes a sink in a period generated by $\omega$. It was shown in [1,2,3] that $m_{\omega}^{u}=m_{\omega}^{v}$ for any two vertices $u$ and $v$ of the acyclic digraph $\omega$. So we write $m_{\omega}$ instead of $m_{\omega}^{u}$, and $m_{\omega}$ is called the multiplicity of $\omega$. In Figure 1 we depict a sequence of acyclic orientations $\left\{\omega_{i}\right\}_{i=1}^{\infty}$ which is generated by $\omega_{1}$. This sequence has the periodic property that $\omega_{i}=\omega_{i+5}$ for every $i \geq 1$, moreover, $p_{\omega_{1}}=5$ and $m_{\omega_{1}}=2$.

Suppose that $w$ is an orientation of $G$ and $C$ is a closed walk of $G$. Denote by $C_{w}^{+}$and $C_{w}^{-}$the set of forward arcs and the set of backward arcs of $C$ in the orientation $w$, respectively. That is, $C_{w}^{+}$is the collection of edges of $C$ whose direction in the digraph $w$ agree with the direction of the traversal (clockwise or counterclockwise) of the closed walk $C$. From now on, for simplicity of notation, we write $\max _{C}|C| /\left|C_{\omega}^{+}\right|$instead of $\max \left\{|C| /\left|C_{\omega}^{+}\right|,|C| /\left|C_{\omega}^{-}\right|: C\right.$ is a closed walk of $\left.G\right\}$. In 1989 [3], Barbosa and Gafni showed that if $G$ is a tree with at least one edge then $p_{\omega} / m_{\omega}=2$ for any acyclic orientation $\omega$ of $G$. Furthermore, if $G$ contains at least one closed walk, they proved the following result.


Fig. 1. A sequence of acyclic orientations $\left\{\omega_{i}\right\}_{i=1}^{\infty}$ generated by $\omega_{1}$.

Theorem 2. ([3]). Suppose $G$ is not a tree. For any acyclic orientation $\omega$ of $G$ we have

$$
\frac{p_{\omega}}{m_{\omega}}=\max _{C} \frac{|C|}{\left|C_{\omega}^{+}\right|}
$$

where the maximum is over all closed walks of $G$.
In 1998 [5], the following result was proved by Goddyn et al.
Theorem 3. ([5]). The circular chromatic number $\chi_{c}(G)$ of a graph $G$ equals

$$
\min _{\omega} \max _{C} \frac{|C|}{\left|C_{\omega}^{+}\right|}
$$

where the minimum is over all acyclic orientations of $G$ and the maximum is over all closed walks of $G$.

It is clear that the following result follows from Theorems 2 and 3 immediately.
Theorem 4. Suppose $G$ is a connected simple graph. Then

$$
\chi_{c}(G)=\min _{\omega} \frac{p_{\omega}}{m_{\omega}}
$$

where the minimum is over all acyclic orientations of $G$.
In Section 2, we use Theorem 4 to develop a new method for bounding the circular chromatic number $\chi_{c}(G)$ from below. The central feature of our method is that, for a period $\omega_{i}, \omega_{i+1}, \cdots, \omega_{i+p_{\omega}-1}$ generated by an acyclic orientation $\omega$ of a graph $G$, we are going to derive lower bounds on $\chi_{c}(G)$ by considering the sets $\operatorname{sink}\left(\omega_{i}\right), \operatorname{sink}\left(\omega_{i+1}\right), \cdots, \operatorname{sink}\left(\omega_{i+p_{\omega}-1}\right)$ of this period. The aim of this paper is to develop a methodological framework for deriving lower bounds on $\chi_{c}(G)$ by using a period generated by an "optimal" acyclic orientation of $G$. To demonstrate our methodology, throughout this paper several lower bounds for circular chromatic number are derived in a somewhat unified manner. Some of these bounds are new, and some of these bounds might follow from existing theorems.

## 2. Lower Bounds for Circular Chromatic Number

In this section, lower bounds on the circular chromatic number $\chi_{c}(G)$ of a graph $G$ are derived by using the dynamic characterization of $\chi_{c}(G)$ shown in Theorem 4. To simplify our expressions, throughout this section we assume that if $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$ is a period generated by an acyclic digraph $\omega$ then, for any integer $j>p_{\omega}$, we define $\omega_{j}$ to be the digraph $\omega_{j-p_{\omega}}$. For a vertex $u$ of a graph $G$, let $N_{k}(u)$ denote all vertices of distance $k$ from $u$ in $G$, i.e. $N_{k}(u)=\left\{v \in V(G): d_{G}(u, v)=\right.$ $k\}$. For a set $S \subseteq V(G)$, we define $N_{1}(S)=\{v \in V(G) \backslash S: v u \in E(G)$ for some $u \in S\}$. We write $N_{1}(x, y)$ instead of $N_{1}(\{x, y\})$ for short. Let $\alpha_{k}(G)$ (or simply $\alpha_{k}$ if it cause no confusion) denote the maximum number of vertices in a vertex-induced $k$-colorable subgraph of $G$. Notice that $\alpha_{1}(G)=\alpha(G)$. For a vertex $v$ of a graph $G$, let $\alpha_{v}$ denote the maximum size of an independent set of $G$ containing $v$. For a vertex subset $S$ of $G$, by abuse of notation, we also use $S$ to denote the subgraph of $G$ induced by $S$.

The following theorem reveals connection between the circular chromatic number $\chi_{c}(G)$ of a graph $G$ and the chromatic number of the subgraph induced by a vertex's distance-1 neighborhood $N_{1}(u)$.

Theorem 5. (a) For any vertex $u$ of a graph $G, \chi_{c}(G) \geq \chi\left(N_{1}(u)\right)+1$.
(b) For any graph $G$ we have $\chi_{c}(G) \geq \sum_{v \in V(G)} 1 / \alpha_{v}$.

Proof. By Theorem 4, there is an acyclic orientation $\omega$ of $G$ such that $p_{\omega} / m_{\omega}=$ $\chi_{c}(G)$. Let $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$ be a period generated by $\omega$. Let $I_{i}$ denote the indicator function on the set $\operatorname{sink}\left(\omega_{i}\right)$ i.e., $I_{i}(v)=1$ if $v \in \operatorname{sink}\left(\omega_{i}\right)$ and 0 otherwise. Note that $\sum_{i=1}^{p_{\omega}} I_{i}(v)=m_{\omega}$ for any vertex $v$ of $G$.
(a) Let $\xi=\chi\left(N_{1}(u)\right)$. Note that if $u$ and $v$ are adjacent in $G$, and $u$ is a sink of $w_{i}$ and $w_{i+t}$, then there must be an index $j$ such that $i<j<i+t$
and $v$ is a sink of $w_{j}$. Moreover, since each $\operatorname{sink}\left(\omega_{j}\right)$ is an independent set of $G$, the subgraph induced by the neighbors of $u$ is $(t-1)$-colorable. Therefore it must be that $t \geq \xi+1$ and $u \notin \bigcup_{s=1}^{\xi} \operatorname{sink}\left(\omega_{i+s}\right)$. It follows that $p_{\omega} \geq \sum_{i=1}^{p_{\omega}}(\xi+1) I_{i}(u)=(\xi+1) m_{\omega}$, and hence $\chi_{c}(G)=p_{\omega} / m_{\omega} \geq \xi+1$.
(b) This part follows from the fact that
$p_{\omega}=\sum_{i=1}^{p_{\omega}} \sum_{v \in V(G)} I_{i}(v) /\left|\operatorname{sink}\left(\omega_{i}\right)\right|=\sum_{v \in V(G)} \sum_{i=1}^{p_{\omega}} I_{i}(v) /\left|\operatorname{sink}\left(\omega_{i}\right)\right| \geq \sum_{v \in V(G)} m_{\omega} / \alpha_{v}$.
Note that Theorem 5(a) yields the following well-known result that if $H$ has a universal vertex, i.e., a vertex adjacent to every other vertex, then $\chi_{c}(H)=\chi(H)$.

From now on, we say that $\omega$ is an optimal acyclic orientation of $G$ with period $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$ if $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$ is a period generated by $\omega$ and $p_{\omega} / m_{\omega}=\chi_{c}(G)$. The following theorem is a special case of Lemma 1 in [10], here we give a different proof based on arguments similar in concept to the proofs of Theorem 5.

Theorem 6. Let $H$ be a graph with vertex set $\left\{v_{1}, v_{2}, \ldots, v_{n}\right\}$. Let $G$ be the graph obtained from $n+1$ disjoint graphs $H, H_{1}, H_{2}, \ldots, H_{n}$ by joining all vertices in $H_{1}, H_{2}, \ldots, H_{n}$ to a new vertex $x$, and joining all vertices in $H_{i}$ to $v_{i}$, for $i=1,2, \ldots, n$. The graph $G$ is represented diagrammatically in Figure 2 left. If $H_{1}, H_{2}, \ldots, H_{n}$ are $t$-chromatic graphs and $\chi(H) \geq 3$, then $\chi_{c}(G) \geq t+2$.

Proof. Let $\omega$ be an optimal acyclic orientation of $G$ with period $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$. Assume that $x \in \operatorname{sink}\left(\omega_{i}\right)$. Let $s$ be the largest integer such that $x \notin \cup_{k=1}^{s} \operatorname{sink}\left(\omega_{i+k}\right)$. Since $x$ is adjacent to all vertices of $H_{j}(j=1,2, \ldots, n)$ in $G$, we have $V\left(H_{j}\right) \subseteq$ $\cup_{k=1}^{s} \operatorname{sink}\left(\omega_{i+k}\right)(j=1,2, \ldots, n)$. It is clear that $s \geq t$. Let $r=\chi(H)$. To prove this theorem, we make the following stronger claim.

Claim. Either $s \geq t+1$ holds or $s=t$ and $x \notin \bigcup_{k=2}^{t+r} \operatorname{sink}\left(\omega_{i+s+k}\right)$.
To prove the claim, it suffices to assume that $s=t$. In this case, for any $j=1,2, \ldots, n$ and any $k=1,2, \ldots, s$, we have $V\left(H_{j}\right) \cap \operatorname{sink}\left(\omega_{i+k}\right) \neq \emptyset$. Let $\ell$ be the largest integer such that $x \notin \cup_{k=2}^{\ell} \operatorname{sink}\left(\omega_{i+s+k}\right)$. We should show that $\ell \geq t+r$. Note that $x \in \operatorname{sink}\left(\omega_{i}\right) \cap \operatorname{sink}\left(\omega_{i+s+1}\right)$. According to the above arguments, in the digraph $\omega_{i+s+1}$ we see that $V\left(H_{j}\right) \subseteq N^{-}\left(v_{j}\right)$ for $j=1,2, \ldots, n$ (as depicted in Figure 2 right). Next, since $x \in \operatorname{sink}\left(\omega_{i+s+1}\right) \cap \operatorname{sink}\left(\omega_{i+s+\ell+1}\right)$, we see that each vertex in the graphs $H_{1}, H_{2}, \ldots, H_{n}$ is a sink in one of the digraphs $\omega_{i+s+2}, \omega_{i+s+3}, \ldots, \omega_{i+s+\ell}$. Therefore it must be that $V(H) \subseteq \cup_{k=1}^{\ell} \operatorname{sink}\left(\omega_{i+s+k}\right)$. Let $\bar{\ell}$ be the smallest integer such that $V(H) \subseteq \cup_{k=1}^{\bar{\ell}} \operatorname{sink}\left(\omega_{i+s+k}\right)$. Note that $\bar{\ell} \geq \chi(H)=r \geq 3, \operatorname{since} \operatorname{sink}\left(\omega_{i+s+1}\right), \ldots, \operatorname{sink}\left(\omega_{i+s+\bar{\ell}}\right)$ are independent sets of $G$. By the choice of $\bar{\ell}$ there is a vertex in $H$, say $v_{n}$, such that $v_{n} \notin \operatorname{sink}\left(\omega_{i+s+k}\right)$ for
$k=1,2, \ldots, \bar{\ell}-1$ and $v_{n} \in \operatorname{sink}\left(\omega_{i+s+\bar{\ell}}\right)$. It follows that $V\left(H_{n}\right) \cap \operatorname{sink}\left(\omega_{i+s+k}\right)=$ $\emptyset$ for each $k=1,2, \ldots, \bar{\ell}$. However, in the above discussion we have shown that $V\left(H_{n}\right) \subseteq \cup_{k=2}^{\ell} \operatorname{sink}\left(\omega_{i+s+k}\right)$. Therefore we conclude that $V\left(H_{n}\right) \subseteq \cup_{k=\bar{\ell}+1}^{\ell} \operatorname{sink}$ $\left(\omega_{i+s+k}\right)$, and hence $\ell-\bar{\ell} \geq \chi\left(H_{n}\right)=t$. That is $\ell \geq t+r$, since $\bar{\ell} \geq r$, and this proves the claim.

Now we are in the position to be able to prove the theorem. We know that there are exactly $m_{\omega}$ integers $1 \leq i_{1}<i_{2}<\ldots<i_{m_{\omega}} \leq p_{\omega}$ such that $x \in \operatorname{sink}\left(\omega_{i_{k}}\right)$ for $k=1,2, \ldots, m_{\omega}$. Let $\ell_{k}=i_{k+1}-i_{k}$ for $k=1,2, \ldots, m_{\omega}-1$, and let $\ell_{m_{\omega}}=p_{\omega}-\left(i_{m_{\omega}}-i_{1}\right)$. From what was shown in the first paragraph of this proof, we see that $\ell_{k} \geq t+1$ for each $k=1,2, \ldots, m_{\omega}$. Moreover, by the claim we proved above, if $\ell_{k}=t+1$ then $\ell_{k+1} \geq t+r \geq t+3$ (the addition in the subscript of $\ell_{k+1}$ is taken modulo $m_{\omega}$ ). Consequently, we have

$$
p_{\omega}=\sum_{k=1}^{m_{\omega}} \ell_{k} \geq \sum_{k=1}^{m_{\omega}}(t+2)=m_{\omega}(t+2)
$$

and therefore $\chi_{c}(G)=p_{\omega} / m_{\omega} \geq t+2$.


Fig. 2. The graph $G$ (left) and the digraph $\omega_{i+s+1}$ (right).

Next, in the following two theorems, lower bounds of the form $|V(G)| /\left(\alpha_{1}(G)-\right.$ $\epsilon$ ) are established for circular chromatic number $\chi_{c}(G)$ of a graph $G$. From now on, if $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$ is a period generated by $\omega$, then for arbitrary positive integers $i \geq 1$ and $\ell \leq p_{\omega}-1$ the vector $\left(\left|\operatorname{sink}\left(\omega_{i}\right)\right|,\left|\operatorname{sink}\left(\omega_{i+1}\right)\right|, \cdots,\left|\operatorname{sink}\left(\omega_{i+\ell}\right)\right|\right)$ is called a sub-pattern of $\omega$.

Theorem 7. If graph $G$ has the following three properties P1: $\chi\left(N_{1}(u, v)\right) \geq 2$ for any two nonadjacent vertices $u$ and $v$ in $G, P 2:|V(G)| \leq 3 \alpha_{1}(G)-3$, and P3: $\alpha_{2}(G)<2 \alpha_{1}(G)$, then we have $\chi_{c}(G) \geq|V(G)| /\left(\alpha_{1}(G)-\frac{2}{3}\right)$.

Proof. Let $\omega$ be an optimal acyclic orientation of $G$ with period $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$. Throughout the proof, let $I_{i}$ denote the independent set $\operatorname{sink}\left(\omega_{i}\right)$ for $i=1,2,3, \cdots$.

Claim A. For any index $i$, we have $\left|I_{i}\right|+\left|I_{i+1}\right| \leq 2 \alpha_{1}(G)-1$.
Note that, for any index $i$, the vertex subset $I_{i} \cup I_{i+1}$ induces a bipartite subgraph of $G$. Since $G$ has property P3, we see that $2 \alpha_{1}(G)>\alpha_{2}(G) \geq\left|I_{i} \bigcup I_{i+1}\right|=$ $\left|I_{i}\right|+\left|I_{i+1}\right|$ which proves the claim.

Claim B. For any index $i$, we have $\left|I_{i}\right|+\left|I_{i+1}\right|+\left|I_{i+2}\right| \leq 3 \alpha_{1}(G)-2$.
To prove this claim by contradiction, let us assume that, for some index $i,\left|I_{i}\right|+$ $\left|I_{i+1}\right|+\left|I_{i+2}\right| \geq 3 \alpha_{1}(G)-1$. We must have $\left(\left|I_{i}\right|,\left|I_{i+1}\right|,\left|I_{i+2}\right|\right)=\left(\alpha_{1}(G), \alpha_{1}(G)-\right.$ $1, \alpha_{1}(G)$ ), for otherwise either $\left|I_{i}\right|+\left|I_{i+1}\right|=2 \alpha_{1}(G)$ or $\left|I_{i+1}\right|+\left|I_{i+2}\right|=2 \alpha_{1}(G)$ would hold, contrary to Claim A. From property P2 and the fact that $I_{i} \cap I_{i+1}=$ $\emptyset=I_{i+1} \cap I_{i+2}$, we conclude that there exist two distinct nonadjacent vertices $u$ and $v$ in the set $I_{i} \cap I_{i+2}$, and hence it must be $N_{1}(u, v) \subseteq I_{i+1}$. But which is impossible since $G$ has property P1. This completes the proof of Claim B.

We conclude from Claim B that $p_{\omega}\left(3 \alpha_{1}(G)-2\right) \geq \sum_{i=1}^{p_{\omega}}\left(\left|I_{i}\right|+\left|I_{i+1}\right|+\left|I_{i+2}\right|\right)$ $=3 m_{\omega}|V(G)|$, hence that $p_{\omega} / m_{\omega} \geq|V(G)| /\left(\alpha_{1}(G)-\frac{2}{3}\right)$. This completes the proof.

Theorem 8. Suppose $t$ is a positive integer. If a graph $G$ has the following three properties P1: $\chi\left(N_{1}(v)\right) \geq t-2$ for any vertex $v$ in $G, P 2: \chi\left(N_{1}(I)\right) \geq t-1$ for any maximum independent set $I$ of $G$, and P3: any two different maximum independent sets of $G$ intersect in exactly one vertex, then $\chi_{c}(G) \geq|V(G)| /\left(\alpha_{1}(G)-\frac{t-1}{t}\right)$.

Proof. Let $\omega$ be an optimal acyclic orientation of $G$ with period $\omega_{1}, \omega_{2}, \cdots, \omega_{p_{\omega}}$. To shorten notation, let $I_{i}$ stand for the independent set $\operatorname{sink}\left(\omega_{i}\right)$ for $i=1,2,3, \cdots$.

Claim. For any index $i$, we have $\sum_{s=0}^{t-1}\left|I_{i+s}\right| \leq t\left(\alpha_{1}(G)-1\right)+1$.
To prove this claim by contradiction, let us assume that there exists an index $i$ such that $\sum_{s=0}^{t-1}\left|I_{i+s}\right| \geq t\left(\alpha_{1}(G)-1\right)+2$. Since each independent set $I_{i+s}$ has size at most $\alpha_{1}(G)$, there exist two maximum independent sets $I_{i+a}$ and $I_{i+b}$ with $0 \leq a<b \leq t-1$ such that $\left|I_{i+k}\right|<\alpha_{1}(G)$ for each $k \in[a+1, b-1]$. If $I_{i+a}=I_{i+b}$ then we must have $N_{1}\left(I_{i+a}\right) \subseteq \cup_{s=a+1}^{b-1} I_{i+s}$ and hence $\chi\left(N_{1}\left(I_{i+a}\right)\right) \leq$ $(b-1)-(a+1)+1 \leq t-2$. This contradicts the fact that $G$ has the property P2. If $I_{i+a} \neq I_{i+b}$ then, by property P3, there exists a vertex $v$ such that $I_{i+a} \cap I_{i+b}=\{v\}$, which leads to $N_{1}(v) \subseteq \cup_{s=a+1}^{b-1} I_{i+s}$, and hence $\chi\left(N_{1}(v)\right) \leq(b-1)-(a+1)+1 \leq$ $t-2$. Which follows that $b-a=t-1$ and hence $a=0, b=t-1$, since $G$ has property P 1 and $0 \leq a<b \leq t-1$. We see at once that $\sum_{s=0}^{t-1}\left|I_{i+s}\right|=$ $\sum_{s=a}^{b}\left|I_{i+s}\right| \leq t\left(\alpha_{1}(G)-1\right)+1$, since $\left|I_{i+a}\right|=\left|I_{i+b}\right|=\alpha_{1}(G),\left|I_{i+a} \cap I_{i+b}\right|=1$, and $\left|I_{i+k}\right|<\alpha_{1}(G)$ for each $k \in[a+1, b-1]$. This contradicts our assumption that $\sum_{s=0}^{t-1}\left|I_{i+s}\right| \geq t\left(\alpha_{1}(G)-1\right)+2$. This proves the claim.

It follows that $p_{\omega}\left[t\left(\alpha_{1}(G)-1\right)+1\right] \geq \sum_{i=1}^{p_{\omega}} \sum_{s=0}^{t-1}\left|I_{i+s}\right|=t m_{\omega}|V(G)|$, and finally that $p_{\omega} / m_{\omega} \geq|V(G)| /\left(\alpha_{1}(G)-\frac{t-1}{t}\right)$. This proves the theorem.

In the following, we give two examples to show that the lower bounds obtained above are non-trivial, and the methodology we used in this paper throws some interesting light on arguments regarding circular chromatic number of a graph. Let $Q$ be the graph obtained from the Petersen graph by deleting one vertex.

Example 9. $\chi_{c}(Q)=3$.
Proof. By Fact 1 we have $\chi_{c}(Q) \in\left\{\frac{k}{d}: k \leq 9, d \leq 4\right.$ and $\left.\frac{9}{4} \leq \frac{k}{d} \leq 3\right\}$, it follows that $\chi_{c}(Q) \in\left\{\frac{5}{2}, \frac{8}{3}, 3\right\}$. Since $\alpha_{1}(Q)=4, \alpha_{2}(Q)<8$ and $\chi(Q)=3$, we can easily check that the graph $Q$ satisfies all the properties stated in the Theorem 7. It follows that $\chi_{c}(Q) \geq \frac{|V(Q)|}{\alpha_{1}(Q)-(2 / 3)}=27 / 10>8 / 3$, which completes the proof.


Fig. 3. An acyclic orientation $\omega$ on $P_{L}$.

Example 10. Suppose $P_{L}$ is the line graph of the Petersen graph. Then $\chi_{c}\left(P_{L}\right)=11 / 3$.

Proof. The acyclic orientation $\omega$ of $P_{L}$ (depicted in Figure 3) has $p_{\omega} / m_{\omega}=$ $11 / 3$, and hence $\chi_{c}\left(P_{L}\right) \leq 11 / 3$. Since $\alpha_{1}\left(P_{L}\right)=5$ and $\chi\left(P_{L}\right)=4$, similar to the proof of Example 9, by Fact 1 we have $\chi_{c}\left(P_{L}\right) \in\left\{3, \frac{13}{4}, \frac{10}{3}, \frac{7}{2}, \frac{11}{3}\right\}$. Since each vertex of the Petersen graph has degree $3, P_{L}$ has the property P1 of Theorem 8 for $t=4$. Since the subgraph left by deleting a perfect matching from the Petersen graph contains an odd cycle, thus $P_{L}$ has the property P2 of Theorem 8 for $t=4$. We also see that any two different maximum matchings of the Petersen graph intersect in exactly one edge, thus $P_{L}$ has the property P3 of Theorem 8. Therefore
$P_{L}$ satisfies all the properties stated in Theorem 8 for $t=4$. We conclude that

$$
\chi_{c}\left(P_{L}\right) \geq \frac{\left|V\left(P_{L}\right)\right|}{\alpha_{1}\left(P_{L}\right)-\frac{3}{4}}=\frac{15}{5-\frac{3}{4}}=\frac{60}{17}>\frac{7}{2}
$$

Thus it must be $\chi_{c}\left(P_{L}\right)=11 / 3$, since $\chi_{c}\left(P_{L}\right) \in\left\{3, \frac{13}{4}, \frac{10}{3}, \frac{7}{2}, \frac{11}{3}\right\}$.

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