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# A METHOD TO OBTAIN LOWER BOUNDS FOR CIRCULAR CHROMATIC NUMBER

Hong-Gwa Yeh

Dedicated to Professor Ko-Wei Lih on the occasion of his 60th birthday.

Abstract. The circular chromatic number  $\chi_c(G)$  of a graph G is a very natural generalization of the concept of chromatic number  $\chi(G)$ , and has been studied extensively in the past decade. In this paper we present a new method for bounding the circular chromatic number from below. Let  $\omega$  be an acyclic orientation of a graph G. A sequence of acyclic orientations  $\omega_1, \omega_2, \omega_3, \ldots$  is obtained from  $\omega$  in such a way that  $\omega_1 = \omega$ , and  $\omega_i$   $(i \ge 2)$  is obtained from  $\omega_{i-1}$  by reversing the orientations of the edges incident to the sinks of  $w_{i-1}$ . This sequence is completely determined by  $\omega$ , and it can be proved that there are positive integers p and M such that  $\omega_i = \omega_{i+p}$  for every integer  $i \ge M$ . The value p at its minimum is denoted by  $p_{\omega}$ . To bound  $\chi_c(G)$  from below, the methodology we develop in this paper is based on the acyclic orientations  $\omega_M, \omega_{M+1}, \cdots, \omega_{M+p_{\omega}-1}$  of G. Our method demonstrates for the first time the possibility of extracting some information about  $\chi_c(G)$  from the period  $\omega_M, \omega_{M+1}, \cdots, \omega_{M+p_{\omega}-1}$  to derive lower bounds for  $\chi_c(G)$ .

### 1. INTRODUCTION

The purpose of this paper is to explore the possibilities of using dynamic techniques to obtain lower bounds for circular chromatic number. We use Bondy and Murty's book [4] for terminology and notation not defined here and consider only finite, simple and connected graphs. First let us give a definition of the circular chromatic number  $\chi_c(G)$  of a graph G. Suppose  $k \ge 2d$  are positive integers. A (k, d)-coloring of a graph G is a mapping  $f : V(G) \to \{0, 1, \ldots, k-1\}$  such that

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for any edge xy of G,  $d \le |f(x) - f(y)| \le k - d$ . If G has a (k, d)-coloring then we say G is (k, d)-colorable. The circular chromatic number  $\chi_c(G)$  of a graph G [6, 7, 9] is defined as

$$\chi_c(G) = \inf\{k/d : G \text{ is } (k,d) - \text{colorable}\}.$$

In fact, to determine the circular chromatic number of a graph G, it suffices to check finitely many k, d whether G is (k, d)-colorable. In [8, 9, 11] we see the following fact

**Fact 1.** For any graph G with n vertices, we have

$$\chi_c(G) \in \{\frac{k}{d} : k \le n, d \le \alpha(G) \text{ and } \frac{n}{\alpha(G)} \le \frac{k}{d} \le \chi(G)\},\$$

where  $\alpha(G)$  is the maximum size of an independent set in G and  $\chi(G)$  is the chromatic number of G.

A graph G is called *k*-colorable if V(G) can be colored by at most *k* colors so that adjacent vertices are colored by different colors. The chromatic number of G, denoted by  $\chi(G)$ , is the smallest *k* such that G is *k*-colorable. For any graph G,  $\chi(G) - 1 < \chi_c(G) \le \chi(G)$ , that is  $\chi_c(G)$  is a refinement of  $\chi(G)$ . The study of circular chromatic number  $\chi_c(G)$  has been very active in the past decade [9, 11]. In this paper we present a new method for bounding the circular chromatic number from below.

To explain the main point of our method we introduce a discrete dynamical system on a graph G. Let  $\omega$  be an acyclic orientation of G. A vertex in  $\omega$  with zero outdegree (resp., zero indegree) is called a *sink* (resp., *source*) of  $\omega$ . Let  $sink(\omega)$  (resp., source( $\omega$ )) denote the set of sinks (resp., sources) in  $\omega$ . One can obtain a sequence of acyclic orientations  $\omega_1, \omega_2, \omega_3, \ldots$  from  $\omega$  in such a way that  $\omega_1 = \omega$ , and  $\omega_i$   $(i \ge 2)$  is obtained from  $\omega_{i-1}$  by reversing the orientations of the edges incident to the sinks of  $w_{i-1}$ . This sequence is completely determined by  $\omega$ , and hence we say that this sequence  $\{\omega_i\}_{i=1}^{\infty}$  is generated by  $\omega$ . Obviously the sequence of  $\{\omega_i\}_{i=1}^{\infty}$  has the following periodic behavior [1, 2, 3]: There exist positive integers p and M such that  $\omega_i = \omega_{i+p}$  for every integer  $i \ge M$ . The value p at its minimum is denoted by  $p_{\omega}$  and is called the *period of*  $\omega$ . For any  $i \geq M$ , the sequence  $\omega_i, \omega_{i+1}, \cdots, \omega_{i+p_\omega-1}$  is called *a period generated by*  $\omega$ . For a vertex u of an acyclic digraph  $\omega$ , let  $m_{\omega}^u$  denote the number of times that u becomes a sink in a period generated by  $\omega$ . It was shown in [1, 2, 3] that  $m_{\omega}^{u} = m_{\omega}^{v}$  for any two vertices u and v of the acyclic digraph  $\omega$ . So we write  $m_{\omega}$  instead of  $m_{\omega}^{u}$ , and  $m_{\omega}$  is called the *multiplicity of*  $\omega$ . In Figure 1 we depict a sequence of acyclic orientations  $\{\omega_i\}_{i=1}^{\infty}$  which is generated by  $\omega_1$ . This sequence has the periodic property that  $\omega_i = \omega_{i+5}$  for every  $i \ge 1$ , moreover,  $p_{\omega_1} = 5$  and  $m_{\omega_1} = 2$ .

Suppose that w is an orientation of G and C is a closed walk of G. Denote by  $C_w^+$  and  $C_w^-$  the set of *forward arcs* and the set of *backward arcs* of C in the orientation w, respectively. That is,  $C_w^+$  is the collection of edges of C whose direction in the digraph w agree with the direction of the traversal (clockwise or counterclockwise) of the closed walk C. From now on, for simplicity of notation, we write  $\max_C |C|/|C_{\omega}^+|$  instead of  $\max\{|C|/|C_{\omega}^+|, |C|/|C_{\omega}^-| : C$  is a closed walk of  $G\}$ . In 1989 [3], Barbosa and Gafni showed that if G is a tree with at least one edge then  $p_{\omega}/m_{\omega} = 2$  for any acyclic orientation  $\omega$  of G. Furthermore, if G contains at least one closed walk, they proved the following result.

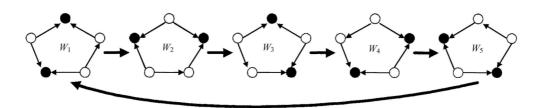


Fig. 1. A sequence of acyclic orientations  $\{\omega_i\}_{i=1}^{\infty}$  generated by  $\omega_1$ .

**Theorem 2.** ([3]). Suppose G is not a tree. For any acyclic orientation  $\omega$  of G we have

$$\frac{p_{\omega}}{m_{\omega}} = \max_{C} \frac{|C|}{|C_{\omega}^+|},$$

where the maximum is over all closed walks of G.

In 1998 [5], the following result was proved by Goddyn et al.

**Theorem 3.** ([5]). The circular chromatic number  $\chi_c(G)$  of a graph G equals

$$\min_{\omega} \max_{C} \frac{|C|}{|C_{\omega}^+|},$$

where the minimum is over all acyclic orientations of G and the maximum is over all closed walks of G.

It is clear that the following result follows from Theorems 2 and 3 immediately.

**Theorem 4.** Suppose G is a connected simple graph. Then

$$\chi_c(G) = \min_{\omega} \frac{p_{\omega}}{m_{\omega}},$$

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# where the minimum is over all acyclic orientations of G.

In Section 2, we use Theorem 4 to develop a new method for bounding the circular chromatic number  $\chi_c(G)$  from below. The central feature of our method is that, for a period  $\omega_i, \omega_{i+1}, \cdots, \omega_{i+p_{\omega}-1}$  generated by an acyclic orientation  $\omega$  of a graph G, we are going to derive lower bounds on  $\chi_c(G)$  by considering the sets  $\operatorname{sink}(\omega_i)$ ,  $\operatorname{sink}(\omega_{i+1}), \cdots$ ,  $\operatorname{sink}(\omega_{i+p_{\omega}-1})$  of this period. The aim of this paper is to develop a methodological framework for deriving lower bounds on  $\chi_c(G)$  by using a period generated by an "optimal" acyclic orientation of G. To demonstrate our methodology, throughout this paper several lower bounds for circular chromatic number are derived in a somewhat unified manner. Some of these bounds are new, and some of these bounds might follow from existing theorems.

## 2. LOWER BOUNDS FOR CIRCULAR CHROMATIC NUMBER

In this section, lower bounds on the circular chromatic number  $\chi_c(G)$  of a graph G are derived by using the dynamic characterization of  $\chi_c(G)$  shown in Theorem 4. To simplify our expressions, throughout this section we assume that if  $\omega_1, \omega_2, \dots, \omega_{p_\omega}$  is a period generated by an acyclic digraph  $\omega$  then, for any integer  $j > p_\omega$ , we define  $\omega_j$  to be the digraph  $\omega_{j-p_\omega}$ . For a vertex u of a graph G, let  $N_k(u)$  denote all vertices of distance k from u in G, i.e.  $N_k(u) = \{v \in V(G) : d_G(u, v) = k\}$ . For a set  $S \subseteq V(G)$ , we define  $N_1(S) = \{v \in V(G) \setminus S : vu \in E(G) \text{ for some } u \in S\}$ . We write  $N_1(x, y)$  instead of  $N_1(\{x, y\})$  for short. Let  $\alpha_k(G)$  (or simply  $\alpha_k$  if it cause no confusion) denote the maximum number of vertices in a vertex v of a graph G, let  $\alpha_v$  denote the maximum size of an independent set of G containing v. For a vertex subset S of G, by abuse of notation, we also use S to denote the subgraph of G induced by S.

The following theorem reveals connection between the circular chromatic number  $\chi_c(G)$  of a graph G and the chromatic number of the subgraph induced by a vertex's distance-1 neighborhood  $N_1(u)$ .

**Theorem 5.** (a) For any vertex u of a graph G,  $\chi_c(G) \ge \chi(N_1(u)) + 1$ . (b) For any graph G we have  $\chi_c(G) \ge \sum_{v \in V(G)} 1/\alpha_v$ .

*Proof.* By Theorem 4, there is an acyclic orientation  $\omega$  of G such that  $p_{\omega}/m_{\omega} = \chi_c(G)$ . Let  $\omega_1, \omega_2, \dots, \omega_{p_{\omega}}$  be a period generated by  $\omega$ . Let  $I_i$  denote the indicator function on the set  $\operatorname{sink}(\omega_i)$  i.e.,  $I_i(v) = 1$  if  $v \in \operatorname{sink}(\omega_i)$  and 0 otherwise. Note that  $\sum_{i=1}^{p_{\omega}} I_i(v) = m_{\omega}$  for any vertex v of G.

(a) Let  $\xi = \chi(N_1(u))$ . Note that if u and v are adjacent in G, and u is a sink of  $w_i$  and  $w_{i+t}$ , then there must be an index j such that i < j < i + t

and v is a sink of  $w_j$ . Moreover, since each  $\operatorname{sink}(\omega_j)$  is an independent set of G, the subgraph induced by the neighbors of u is (t-1)-colorable. Therefore it must be that  $t \ge \xi + 1$  and  $u \notin \bigcup_{s=1}^{\xi} \operatorname{sink}(\omega_{i+s})$ . It follows that  $p_{\omega} \ge \sum_{i=1}^{p_{\omega}} (\xi+1)I_i(u) = (\xi+1)m_{\omega}$ , and hence  $\chi_c(G) = p_{\omega}/m_{\omega} \ge \xi + 1$ .

(b) This part follows from the fact that

$$p_{\omega} = \sum_{i=1}^{p_{\omega}} \sum_{v \in V(G)} I_i(v) / |\operatorname{sink}(\omega_i)| = \sum_{v \in V(G)} \sum_{i=1}^{p_{\omega}} I_i(v) / |\operatorname{sink}(\omega_i)| \ge \sum_{v \in V(G)} m_{\omega} / \alpha_v. \blacksquare$$

Note that Theorem 5(a) yields the following well-known result that if H has a universal vertex, i.e., a vertex adjacent to every other vertex, then  $\chi_c(H) = \chi(H)$ .

From now on, we say that  $\omega$  is an *optimal acyclic orientation* of G with period  $\omega_1, \omega_2, \dots, \omega_{p_\omega}$  if  $\omega_1, \omega_2, \dots, \omega_{p_\omega}$  is a period generated by  $\omega$  and  $p_\omega/m_\omega = \chi_c(G)$ . The following theorem is a special case of Lemma 1 in [10], here we give a different proof based on arguments similar in concept to the proofs of Theorem 5.

**Theorem 6.** Let H be a graph with vertex set  $\{v_1, v_2, \ldots, v_n\}$ . Let G be the graph obtained from n + 1 disjoint graphs  $H, H_1, H_2, \ldots, H_n$  by joining all vertices in  $H_1, H_2, \ldots, H_n$  to a new vertex x, and joining all vertices in  $H_i$  to  $v_i$ , for  $i = 1, 2, \ldots, n$ . The graph G is represented diagrammatically in Figure 2 left. If  $H_1, H_2, \ldots, H_n$  are t-chromatic graphs and  $\chi(H) \ge 3$ , then  $\chi_c(G) \ge t + 2$ .

*Proof.* Let  $\omega$  be an optimal acyclic orientation of G with period  $\omega_1, \omega_2, \dots, \omega_{p_\omega}$ . Assume that  $x \in \operatorname{sink}(\omega_i)$ . Let s be the largest integer such that  $x \notin \bigcup_{k=1}^s \operatorname{sink}(\omega_{i+k})$ . Since x is adjacent to all vertices of  $H_j$   $(j = 1, 2, \dots, n)$  in G, we have  $V(H_j) \subseteq \bigcup_{k=1}^s \operatorname{sink}(\omega_{i+k})$   $(j = 1, 2, \dots, n)$ . It is clear that  $s \ge t$ . Let  $r = \chi(H)$ . To prove this theorem, we make the following stronger claim.

**Claim.** Either  $s \ge t+1$  holds or s = t and  $x \notin \bigcup_{k=2}^{t+r} \operatorname{sink}(\omega_{i+s+k})$ .

To prove the claim, it suffices to assume that s = t. In this case, for any j = 1, 2, ..., n and any k = 1, 2, ..., s, we have  $V(H_j) \cap \operatorname{sink}(\omega_{i+k}) \neq \emptyset$ . Let  $\ell$  be the largest integer such that  $x \notin \bigcup_{k=2}^{\ell} \operatorname{sink}(\omega_{i+s+k})$ . We should show that  $\ell \geq t + r$ . Note that  $x \in \operatorname{sink}(\omega_i) \cap \operatorname{sink}(\omega_{i+s+1})$ . According to the above arguments, in the digraph  $\omega_{i+s+1}$  we see that  $V(H_j) \subseteq N^-(v_j)$  for j = 1, 2, ..., n (as depicted in Figure 2 right). Next, since  $x \in \operatorname{sink}(\omega_{i+s+1}) \cap \operatorname{sink}(\omega_{i+s+\ell+1})$ , we see that each vertex in the graphs  $H_1, H_2, ..., H_n$  is a sink in one of the digraphs  $\omega_{i+s+2}, \omega_{i+s+3}, \ldots, \omega_{i+s+\ell}$ . Therefore it must be that  $V(H) \subseteq \bigcup_{k=1}^{\ell} \operatorname{sink}(\omega_{i+s+k})$ . Let  $\overline{\ell}$  be the smallest integer such that  $V(H) \subseteq \bigcup_{k=1}^{\overline{\ell}} \operatorname{sink}(\omega_{i+s+k})$ . Note that  $\overline{\ell} \geq \chi(H) = r \geq 3$ , since  $\operatorname{sink}(\omega_{i+s+1}), \ldots, \operatorname{sink}(\omega_{i+s+\overline{\ell}})$  are independent sets of G. By the choice of  $\overline{\ell}$  there is a vertex in H, say  $v_n$ , such that  $v_n \notin \operatorname{sink}(\omega_{i+s+k})$  for

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 $k = 1, 2, ..., \overline{\ell} - 1$  and  $v_n \in \operatorname{sink}(\omega_{i+s+\overline{\ell}})$ . It follows that  $V(H_n) \cap \operatorname{sink}(\omega_{i+s+k}) = \emptyset$  for each  $k = 1, 2, ..., \overline{\ell}$ . However, in the above discussion we have shown that  $V(H_n) \subseteq \cup_{k=2}^{\ell} \operatorname{sink}(\omega_{i+s+k})$ . Therefore we conclude that  $V(H_n) \subseteq \cup_{k=\overline{\ell}+1}^{\ell} \operatorname{sink}(\omega_{i+s+k})$ , and hence  $\ell - \overline{\ell} \ge \chi(H_n) = t$ . That is  $\ell \ge t + r$ , since  $\overline{\ell} \ge r$ , and this proves the claim.

Now we are in the position to be able to prove the theorem. We know that there are exactly  $m_{\omega}$  integers  $1 \leq i_1 < i_2 < \ldots < i_{m_{\omega}} \leq p_{\omega}$  such that  $x \in \operatorname{sink}(\omega_{i_k})$  for  $k = 1, 2, \ldots, m_{\omega}$ . Let  $\ell_k = i_{k+1} - i_k$  for  $k = 1, 2, \ldots, m_{\omega} - 1$ , and let  $\ell_{m_{\omega}} = p_{\omega} - (i_{m_{\omega}} - i_1)$ . From what was shown in the first paragraph of this proof, we see that  $\ell_k \geq t + 1$  for each  $k = 1, 2, \ldots, m_{\omega}$ . Moreover, by the claim we proved above, if  $\ell_k = t + 1$  then  $\ell_{k+1} \geq t + r \geq t + 3$  (the addition in the subscript of  $\ell_{k+1}$  is taken modulo  $m_{\omega}$ ). Consequently, we have

$$p_{\omega} = \sum_{k=1}^{m_{\omega}} \ell_k \ge \sum_{k=1}^{m_{\omega}} (t+2) = m_{\omega}(t+2),$$

and therefore  $\chi_c(G) = p_\omega/m_\omega \ge t+2$ .

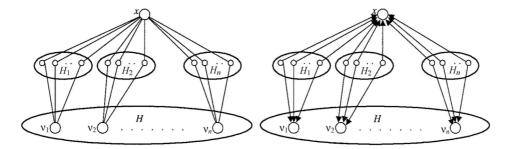


Fig. 2. The graph G (left) and the digraph  $\omega_{i+s+1}$  (right).

Next, in the following two theorems, lower bounds of the form  $|V(G)|/(\alpha_1(G) - \epsilon)$  are established for circular chromatic number  $\chi_c(G)$  of a graph G. From now on, if  $\omega_1, \omega_2, \dots, \omega_{p_\omega}$  is a period generated by  $\omega$ , then for arbitrary positive integers  $i \ge 1$  and  $\ell \le p_\omega - 1$  the vector  $(|\operatorname{sink}(\omega_i)|, |\operatorname{sink}(\omega_{i+1})|, \dots, |\operatorname{sink}(\omega_{i+\ell})|)$  is called a *sub-pattern of*  $\omega$ .

**Theorem 7.** If graph G has the following three properties P1:  $\chi(N_1(u, v)) \ge 2$ for any two nonadjacent vertices u and v in G, P2:  $|V(G)| \le 3\alpha_1(G) - 3$ , and P3:  $\alpha_2(G) < 2\alpha_1(G)$ , then we have  $\chi_c(G) \ge |V(G)|/(\alpha_1(G) - \frac{2}{3})$ .

*Proof.* Let  $\omega$  be an optimal acyclic orientation of G with period  $\omega_1, \omega_2, \cdots, \omega_{p_\omega}$ . Throughout the proof, let  $I_i$  denote the independent set  $\operatorname{sink}(\omega_i)$  for  $i = 1, 2, 3, \cdots$ .

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Claim A. For any index i, we have  $|I_i| + |I_{i+1}| \le 2\alpha_1(G) - 1$ .

Note that, for any index *i*, the vertex subset  $I_i \bigcup I_{i+1}$  induces a bipartite subgraph of *G*. Since *G* has property P3, we see that  $2\alpha_1(G) > \alpha_2(G) \ge |I_i \bigcup I_{i+1}| = |I_i| + |I_{i+1}|$  which proves the claim.

**Claim B.** For any index *i*, we have  $|I_i| + |I_{i+1}| + |I_{i+2}| \le 3\alpha_1(G) - 2$ .

To prove this claim by contradiction, let us assume that, for some index i,  $|I_i| + |I_{i+1}| + |I_{i+2}| \ge 3\alpha_1(G) - 1$ . We must have  $(|I_i|, |I_{i+1}|, |I_{i+2}|) = (\alpha_1(G), \alpha_1(G) - 1, \alpha_1(G))$ , for otherwise either  $|I_i| + |I_{i+1}| = 2\alpha_1(G)$  or  $|I_{i+1}| + |I_{i+2}| = 2\alpha_1(G)$  would hold, contrary to Claim A. From property P2 and the fact that  $I_i \cap I_{i+1} = \emptyset = I_{i+1} \cap I_{i+2}$ , we conclude that there exist two distinct nonadjacent vertices u and v in the set  $I_i \cap I_{i+2}$ , and hence it must be  $N_1(u, v) \subseteq I_{i+1}$ . But which is impossible since G has property P1. This completes the proof of Claim B.

We conclude from Claim B that  $p_{\omega}(3\alpha_1(G)-2) \ge \sum_{i=1}^{p_{\omega}}(|I_i|+|I_{i+1}|+|I_{i+2}|) = 3m_{\omega}|V(G)|$ , hence that  $p_{\omega}/m_{\omega} \ge |V(G)|/(\alpha_1(G)-\frac{2}{3})$ . This completes the proof.

**Theorem 8.** Suppose t is a positive integer. If a graph G has the following three properties P1:  $\chi(N_1(v)) \ge t - 2$  for any vertex v in G, P2:  $\chi(N_1(I)) \ge t - 1$  for any maximum independent set I of G, and P3: any two different maximum independent sets of G intersect in exactly one vertex, then  $\chi_c(G) \ge |V(G)|/(\alpha_1(G) - \frac{t-1}{t})$ .

*Proof.* Let  $\omega$  be an optimal acyclic orientation of G with period  $\omega_1, \omega_2, \cdots, \omega_{p_\omega}$ . To shorten notation, let  $I_i$  stand for the independent set  $\operatorname{sink}(\omega_i)$  for  $i = 1, 2, 3, \cdots$ .

**Claim.** For any index i, we have  $\sum_{s=0}^{t-1} |I_{i+s}| \le t(\alpha_1(G) - 1) + 1$ .

To prove this claim by contradiction, let us assume that there exists an index i such that  $\sum_{s=0}^{t-1} |I_{i+s}| \ge t(\alpha_1(G) - 1) + 2$ . Since each independent set  $I_{i+s}$  has size at most  $\alpha_1(G)$ , there exist two maximum independent sets  $I_{i+a}$  and  $I_{i+b}$  with  $0 \le a < b \le t - 1$  such that  $|I_{i+k}| < \alpha_1(G)$  for each  $k \in [a+1,b-1]$ . If  $I_{i+a} = I_{i+b}$  then we must have  $N_1(I_{i+a}) \subseteq \bigcup_{s=a+1}^{b-1} I_{i+s}$  and hence  $\chi(N_1(I_{i+a})) \le (b-1) - (a+1) + 1 \le t-2$ . This contradicts the fact that G has the property P2. If  $I_{i+a} \ne I_{i+b}$  then, by property P3, there exists a vertex v such that  $I_{i+a} \cap I_{i+b} = \{v\}$ , which leads to  $N_1(v) \subseteq \bigcup_{s=a+1}^{b-1} I_{i+s}$ , and hence  $\chi(N_1(v)) \le (b-1) - (a+1) + 1 \le t-2$ . Which follows that b - a = t - 1 and hence a = 0, b = t - 1, since G has property P1 and  $0 \le a < b \le t - 1$ . We see at once that  $\sum_{s=0}^{t-1} |I_{i+s}| = \sum_{s=a}^{b} |I_{i+s}| \le t(\alpha_1(G) - 1) + 1$ , since  $|I_{i+a}| = |I_{i+b}| = \alpha_1(G)$ ,  $|I_{i+a} \cap I_{i+b}| = 1$ , and  $|I_{i+k}| < \alpha_1(G)$  for each  $k \in [a+1, b-1]$ . This contradicts our assumption that  $\sum_{s=0}^{t-1} |I_{i+s}| \ge t(\alpha_1(G) - 1) + 2$ .

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It follows that  $p_{\omega}[t(\alpha_1(G)-1)+1] \ge \sum_{i=1}^{p_{\omega}} \sum_{s=0}^{t-1} |I_{i+s}| = tm_{\omega}|V(G)|$ , and finally that  $p_{\omega}/m_{\omega} \ge |V(G)|/(\alpha_1(G)-\frac{t-1}{t})$ . This proves the theorem.

In the following, we give two examples to show that the lower bounds obtained above are non-trivial, and the methodology we used in this paper throws some interesting light on arguments regarding circular chromatic number of a graph. Let Q be the graph obtained from the Petersen graph by deleting one vertex.

**Example 9.**  $\chi_c(Q) = 3$ .

*Proof.* By Fact 1 we have  $\chi_c(Q) \in \{\frac{k}{d} : k \leq 9, d \leq 4 \text{ and } \frac{9}{4} \leq \frac{k}{d} \leq 3\}$ , it follows that  $\chi_c(Q) \in \{\frac{5}{2}, \frac{8}{3}, 3\}$ . Since  $\alpha_1(Q) = 4$ ,  $\alpha_2(Q) < 8$  and  $\chi(Q) = 3$ , we can easily check that the graph Q satisfies all the properties stated in the Theorem 7. It follows that  $\chi_c(Q) \geq \frac{|V(Q)|}{\alpha_1(Q) - (2/3)} = 27/10 > 8/3$ , which completes the proof.

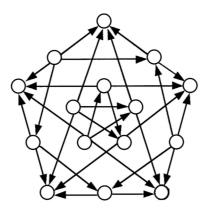


Fig. 3. An acyclic orientation  $\omega$  on  $P_L$ .

**Example 10.** Suppose  $P_L$  is the line graph of the Petersen graph. Then  $\chi_c(P_L) = 11/3$ .

*Proof.* The acyclic orientation  $\omega$  of  $P_L$  (depicted in Figure 3) has  $p_{\omega}/m_{\omega} = 11/3$ , and hence  $\chi_c(P_L) \leq 11/3$ . Since  $\alpha_1(P_L) = 5$  and  $\chi(P_L) = 4$ , similar to the proof of Example 9, by Fact 1 we have  $\chi_c(P_L) \in \{3, \frac{13}{4}, \frac{10}{3}, \frac{7}{2}, \frac{11}{3}\}$ . Since each vertex of the Petersen graph has degree 3,  $P_L$  has the property P1 of Theorem 8 for t = 4. Since the subgraph left by deleting a perfect matching from the Petersen graph contains an odd cycle, thus  $P_L$  has the property P2 of Theorem 8 for t = 4. We also see that any two different maximum matchings of the Petersen graph intersect in exactly one edge, thus  $P_L$  has the property P3 of Theorem 8. Therefore

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 $P_L$  satisfies all the properties stated in Theorem 8 for t = 4. We conclude that

$$\chi_c(P_L) \ge \frac{|V(P_L)|}{\alpha_1(P_L) - \frac{3}{4}} = \frac{15}{5 - \frac{3}{4}} = \frac{60}{17} > \frac{7}{2}.$$

Thus it must be  $\chi_c(P_L) = 11/3$ , since  $\chi_c(P_L) \in \{3, \frac{13}{4}, \frac{10}{3}, \frac{7}{2}, \frac{11}{3}\}$ .

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