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ON THE UNIQUENESS PROBLEMS OF ENTIRE FUNCTIONS AND THEIR DIFFERENCE OPERATORS

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Abstract. In this paper, the uniqueness problems of entire functions and their difference operators are investigated. It is shown that if a finite order entire function f shares $0, \alpha$ CM with its difference operator $\Delta_{\eta} f(z) = f(z+\eta) - f(z)$, then $\Delta_{\eta} f \equiv f$, where α is an entire function with order less than f. The research results also include a difference analogue of Brück conjecture, and extend some results in Chen-Yi *Results Math.*, **63** (2013), 557-565).

1. INTRODUCTION AND MAIN RESULTS

Let f(z) be a non-constant meromorphic function in the complex plane. We adopt the standard notations in Nevanlinna's value distribution theory of meromorphic functions as explained in [7, 11, 16]. In addition, we use notations $\sigma(f)$, $\lambda(f)$ to denote the order and the exponent of convergence of the sequence of zeros of f respectively. It will be convenient to let E denote any set of finite logarithmic measure, not necessarily the same at each occurrence.

Let f(z) and g(z) be two non-constant meromorphic functions, and let a be a complex number in the extended plane. We say that f and g share a CM, provided that f and g have the same a-points with the same multiplicities. Similarly, we say that f and g share a IM, provided that f and g have the same a-points ignoring multiplicities.

Mues and Steinmetz [14] proved that if a non-constant entire function f shares two distinct finite values IM with its derivative f', then $f \equiv f'$. In general, this theorem is false, if f and f' share only one value CM (see [16], p. 386). Especially, Brück posed the well-known conjecture.

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Conjecture. [1]. Let f be a non-constant entire function of hyper-order $\sigma_2(f) < \infty$, where $\sigma_2(f)$ is not a positive integer. If f and f' share one finite value a CM, then $f - a \equiv c(f' - a)$ for some nonzero constant.

The conjecture has been verified in the special cases when a = 0 or N(r, f' = 0) = S(r, f) (see [1]), or when f is of finite order (see [5], [15]). But the conjecture is still an open question until now.

Recently, many authors [8, 9, 12] started to consider the uniqueness of meromorphic functions sharing values with their shifts or their difference operators. Heittokangas et al. proved the following result.

Theorem A. [8]. Let f be a meromorphic function of $\sigma(f) < 2$, and η be a non-zero constant. If f(z) and $f(z + \eta)$ share the finite value a and ∞ CM, then

$$\frac{f(z+\eta)-a}{f(z)-a} = \tau$$

for some constant au .

In [8], Heittokangas et al. gave the example $f(z) = e^{z^2} + 1$ which shows that $\sigma(f) < 2$ can't be relaxed to $\sigma(f) \le 2$.

It is known that $\Delta_{\eta} f(z) = f(z+\eta) - f(z)$ is regarded as the difference counterpart of f'(z). Considering the difference analogue of the Brück conjecture, Chen and Yi [2] obtained the following result.

Theorem B. [2]. Let f be a finite order transcendental entire function which has a finite Borel exceptional value a, and let η be a constant such that $f(z + \eta) \not\equiv f(z)$. If f and $\Delta_{\eta} f$ share a CM, then

$$a = 0$$
 and $\frac{f(z+\eta) - f(z)}{f(z)} = c$

for some non-zero constant c.

When the condition "f has a finite Borel exceptional value" is omitted, They also obtained the following result.

Theorem C. [2]. Let f be a transcendental entire function such that its order $\sigma(f)$ is not an integer or infinite, and let η be a constant such that $f(z + \eta) \neq f(z)$. If f and $\Delta_{\eta} f$ share two distinct finite values a, b CM, then $f \equiv \Delta_{\eta} f$.

Regarding Theorems B and C, it is natural to ask, what can be said if a non-constant entire function f shares a small and finite order entire function α with $\Delta_{\eta} f$? For the case $\sigma(\alpha) < 1$, Li and Yi obtained the following result.

Theorem D. [13]. Let f be a non-constant entire function of finite order, η be a non-zero constant, and let $\alpha \neq 0$ be an entire function such that $\sigma(\alpha) < 1$ and $\lambda(f-\alpha) < \sigma(f)$. Then $f - \alpha$ and $\Delta_n^n f - \alpha$ share 0 CM, if and only if

$$f(z) = \alpha(z) + B(\Delta_{\eta}^{n}\alpha(z) - \alpha(z))e^{Az} \quad and \quad \Delta_{\eta}^{2n}\alpha(z) - \Delta_{\eta}^{n}\alpha(z) \equiv 0,$$

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where A, B are nonzero constants and $e^{A\eta} = 1$.

In this paper, we continue to investigate the above question and obtain the following results, which extend Theorems B–D.

Theorem 1.1. Let f be a non-constant entire function of finite order, η be a non-zero constant, and let $\alpha \neq 0$ be an entire function such that $\sigma(\alpha) < \sigma(f)$ and $\lambda(f - \alpha) < \sigma(f)$. If f and $\Delta_{\eta} f$ share α CM, then $\sigma(f) = 1$.

From Theorem 1.1 and Theorem D, we can obtain the following corollary.

Corollary 1.1. Let f, α satisfy the hypothesis of Theorem 1.1. If f and $\Delta_{\eta} f$ share α CM, then

$$f(z) = \alpha(z) + B(\Delta_{\eta}\alpha(z) - \alpha(z))e^{Az} \quad and \quad \Delta_{\eta}^{2}\alpha(z) - \Delta_{\eta}\alpha(z) \equiv 0,$$

where A, B are non-zero constants and $e^{A\eta} = 1$.

Theorem 1.2. Let f be a non-constant entire function of finite order, η be a nonzero constant, and let $\alpha \neq 0$ be an entire function of $\sigma(\alpha) < \sigma(f)$. If f and $\Delta_{\eta} f$ share $0, \alpha$ CM, then $f \equiv \Delta_{\eta} f$.

By Lemma 2.4, we know that if a finite order non-constant entire function f shares 0 CM with its difference operator $\Delta_{\eta} f$, then $\sigma(f) \ge 1$. This deduces $\sigma(z) < \sigma(f)$. Hence by Theorem 1.2, we obtain the following result.

Corollary 1.2. Let f be a non-constant entire function of finite order, and let η be a non-zero constant. If f and $\Delta_{\eta} f$ share 0, z CM, then $f \equiv \Delta_{\eta} f$.

2. Lemmas

Lemma 2.1. [3]. Let f be a meromorphic function of finite order σ , η be a non-zero constant. Let $\varepsilon > 0$ be given, then there exists a set $E \subset (1, \infty)$ of finite logarithmic measure such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

$$\exp\{-r^{\sigma-1+\varepsilon}\} \le \left|\frac{f(z+\eta)}{f(z)}\right| \le \exp\{r^{\sigma-1+\varepsilon}\}.$$

Lemma 2.2. [16]. Let $f_j(j = 1, \dots, n+1)$ and $g_j(j = 1, \dots, n)$ be entire functions such that

- (i) $\sum_{j=1}^{n} f_j(z) e^{g_j(z)} \equiv f_{n+1}(z),$
- (ii) The order of f_j is less than the order of e^{g_k} for $1 \le j \le n+1, 1 \le k \le n$; And furthermore, the order of f_j is less than the order of $e^{g_h-g_k}$ for $n \ge 2$ and $1 \le j \le n+1, 1 \le h < k \le n$.

Then $f_j(z) \equiv 0 (j = 1, \dots n + 1).$

Lemma 2.3. [4]. Let f be a meromorphic function with $\sigma(f) < 1$, η be a non-zero constant. Then for any given $\varepsilon > 0$, and integers $0 \le j < k$, there exists a set $E \subset (1, \infty)$ of finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

$$\left|\frac{\Delta_{\eta}^{k}f(z)}{\Delta_{\eta}^{j}f(z)}\right| \leq |z|^{(k-j)(\sigma(f)-1)+\varepsilon}.$$

Lemma 2.4. Let f be a non-constant entire function of finite order and η be a non-zero constant. If f and $\Delta_{\eta} f$ share 0 CM, then $\sigma(f) \ge 1$.

Proof. Since f and $\Delta_{\eta} f$ share 0 CM, we have

(2.1)
$$\frac{\Delta_{\eta}f}{f} = e^P,$$

where P is a polynomial. If $\sigma(f) < 1$, by (2.1) and Lemma 2.3, for any given $\varepsilon(0 < \varepsilon < 1 - \sigma(f))$, there exists a set $E \subset (1, \infty)$ of finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

$$|e^{P(z)}| \le \left|\frac{\Delta_{\eta} f(z)}{f(z)}\right| \le r^{\sigma(f)-1+\varepsilon} \to 0, \ (r \to \infty).$$

This is a contradiction. So $\sigma(f) \ge 1$.

Remark 2.1. The following examples show that the result in Lemma 2.4 is the best possible.

Example 2.1. Let $f(z) = e^z$, $\eta = \log 2$, then f and $\Delta_{\eta} f$ share 0 CM. Here $\sigma(f) = 1$.

Example 2.2. Let $f(z) = \sin z, \eta = \pi$, then f and $\Delta_{\eta} f$ share 0 CM. Here $\sigma(f) = 1$.

Lemma 2.5. [10]. Let $\varphi(r)$ be a nondecreasing, continuous function on \mathbb{R}^+ , and let $0 < \rho < \overline{\lim_{r \to \infty} \frac{\log \varphi(r)}{\log r}}$ and $H = \{r \in \mathbb{R}^+ : |\varphi(r)| \ge r^{\rho}\}$. Then

$$\overline{\log dens}H = \overline{\lim_{r \to \infty}} \frac{\int_{H \cap [1,r]} \frac{1}{t} dt}{\log r} > 0.$$

Lemma 2.6. [3]. Let f be a transcendental meromorphic function of finite order, and let η be a non-zero constant. Then

$$T(r, f(z+\eta)) = T(r, f(z)) + O(r^{\sigma(f)-1+\varepsilon}) + O(\log r)$$

as $r \to \infty$, where ε is any given positive number.

Lemma 2.7. [6]. Let f(z) be a transcendental meromorphic function of finite order, k, j ($k > j \ge 0$) be integers. Then for any given $\varepsilon > 0$, there exists a set $E \subset (1, +\infty)$ of finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

$$\left|\frac{f^{(k)}(z)}{f^{(j)}(z)}\right| \le |z|^{(k-j)(\sigma(f)-1+\varepsilon)}.$$

3. PROOFS OF THE RESULTS

Proof of Theorem 1.1. By the Hadamard factorization theorem and $\lambda(f - \alpha) < \sigma(f)$, we get

(3.1)
$$f(z) = \alpha(z) + h(z)e^{P(z)},$$

where $h(z) \neq 0$ is an entire function, P(z) is a polynomial such that

(3.2)
$$\sigma(h) = \lambda(h) = \lambda(f - \alpha) < \sigma(f) = \deg P.$$

Since $\Delta_{\eta} f$ and f share α CM, we have

(3.3)
$$\frac{\Delta_{\eta} f(z) - \alpha(z)}{f(z) - \alpha(z)} = e^{Q(z)},$$

where Q(z) is a polynomial. By (3.2) and (3.3), we get

$$(3.4) deg Q \le deg P.$$

Substituting (3.1) into (3.3), we have

(3.5)
$$h(z+\eta)e^{P(z+\eta)-P(z)} - h(z)e^{Q(z)} - h(z) = \left(2\alpha(z) - \alpha(z+\eta)\right)e^{-P(z)}.$$

Now we discuss the following two cases.

Case 1. $2\alpha(z) - \alpha(z + \eta) \equiv 0$. If $\sigma(\alpha) < 1$, then by Lemma 2.1, for any given $\varepsilon(0 < 2\varepsilon < 1 - \sigma(\alpha))$, there exists a set $E \subset (1, \infty)$ of finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

$$2 = \left|\frac{\alpha(z+\eta)}{\alpha(z)}\right| \le \exp\{r^{\sigma(\alpha)-1+\varepsilon}\} \to 0, \ (r \to \infty).$$

This is a contradiction. Hence we have

$$(3.6) \sigma(\alpha) \ge 1.$$

Next we discuss the following three subcases.

Subcase 1.1. $1 \le \deg Q < \deg P$. By (3.5), we get

(3.7)
$$\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)} - 1 = e^{Q(z)}.$$

By (3.7), we know that $\frac{h(z+\eta)}{h(z)}$ is a non-zero entire function. Then by Lemma 2.1, for any given $\varepsilon(0 < 2\varepsilon < \deg P - \sigma(h))$, there exists a set $E \subset (1, \infty)$ of finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

(3.8)
$$\left|\frac{h(z+\eta)}{h(z)}\right| \le \exp\{r^{\sigma(h)-1+\varepsilon}\}.$$

Since $\frac{h(z+\eta)}{h(z)}$ is an entire function, by (3.8), we get for all z satisfying $|z| = r \notin E_2 \bigcup [0, 1]$,

$$T\left(r, \frac{h(z+\eta)}{h(z)}\right) = m\left(r, \frac{h(z+\eta)}{h(z)}\right) \le r^{\sigma(h)-1+\varepsilon}.$$

Hence we get

(3.9)
$$\sigma\left(\frac{h(z+\eta)}{h(z)}\right) \le \sigma(h) - 1 + \varepsilon < \deg P - 1.$$

If deg $Q < \deg P - 1$, since deg $(P(z + \eta) - P(z)) = \deg P - 1$, by (3.8), we obtain that the order of the left side of (3.7) is deg P - 1, and the order of the right side of (3.7) is deg Q, which is less than deg P - 1. This is a contradiction. If deg $Q = \deg P - 1$, by (3.9), we get

(3.10)
$$\lambda\left(\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)}\right) = \lambda\left(\frac{h(z+\eta)}{h(z)}\right) \le \sigma\left(\frac{h(z+\eta)}{h(z)}\right) < \deg P - 1 = \sigma\left(\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)}\right).$$

By (3.10), we know that 0 is a Borel exceptional value of $\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)}$. But by (3.7), we know that 1 is also a Borel exceptional value of $\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)}$. This contradicts that $\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)}$ is an entire function.

Subcase 1.2. deg $Q = \deg P \ge 1$. By (3.7) and (3.9), we obtain that the order of the left side of (3.7) is deg P - 1, and the order of the right side of (3.7) is deg P. This is a contradiction.

Subcase 1.3. Q is a constant. Then by (3.7) we get

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(3.11)
$$\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)} = c+1,$$

where $c(=e^Q)$ is a non-zero constant. Since $\frac{h(z+\eta)}{h(z)}$ is a non-zero entire function, we get $c \neq -1$. If deg P > 1, then by (3.9) and deg $(P(z+\eta) - P(z)) = \deg P - 1 \ge 1$, we know that $\sigma\left(\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)}\right) \ge 1$, but $\sigma(c+1) = 0$. This is a contradiction. So deg $P \le 1$. Then combining (3.2) and (3.6), we get $\sigma(f) \le \sigma(\alpha)$. This contradicts the hypothesis of Theorem 1.1.

Case 2. $2\alpha(z) - \alpha(z+\eta) \neq 0$. If deg $Q < \deg P$, then by (3.2) we obtain that the order of the left side of (3.5) is less than deg P, and the order of the right side of (3.5) is deg P. This is a contradiction. Hence by (3.4) and (3.2), we get deg $Q = \deg P \geq 1$. Set

$$P(z) = a_m z^m + \dots + a_0, \quad Q(z) = b_m z^m + \dots + b_0,$$

where $a_m \neq 0, \dots, a_0, b_m \neq 0, \dots, b_0$ are constants, $m \ge 1$ is an integer. Next we discuss the following two subcases.

Subcase 2.1. $a_m + b_m \neq 0$. By (3.5), we get

(3.12)
$$(2\alpha(z) - \alpha(z+\eta))e^{-P(z)} + h(z)e^{Q(z)} = h(z+\eta)e^{P(z+\eta)-P(z)} - h(z).$$

Since

$$\deg(P(z+\eta) - P(z)) = m - 1, \quad \sigma(h) < m, \quad \sigma(\alpha) < m,$$

we obtain that

$$\sigma(2\alpha(z) - \alpha(z+\eta)) < m, \quad \sigma(h(z+\eta)e^{P(z+\eta) - P(z)} - h(z)) < m.$$

Note that $e^{-P(z)}$, $e^{Q(z)}$ and $e^{Q(z)+P(z)}$ are of regular growth, by Lemma 2.2 and (3.12), we obtain that

$$2\alpha(z) - \alpha(z+\eta) \equiv 0, \quad h(z) \equiv 0.$$

This is absurd.

Subcase 2.2. $a_m + b_m = 0$. By (3.12), we get

(3.13)
$$e^{-P(z)} \left(2\alpha(z) - \alpha(z+\eta) + h(z)e^{Q(z)+P(z)} \right) = h(z+\eta)e^{P(z+\eta)-P(z)} - h(z).$$

If $2\alpha(z) - \alpha(z+\eta) + h(z)e^{Q(z)+P(z)} \neq 0$, then by

$$\sigma(\alpha) < m, \quad \sigma(h) < m, \quad \deg(P(z+\eta) - P(z)) = m - 1$$

we know that the order of the left side of (3.13) is m, and the order of the right side of (3.13) is less than m. This is a contradiction. If $2\alpha(z) - \alpha(z+\eta) + h(z)e^{Q(z)+P(z)} \equiv 0$, then by (3.13), we get

(3.14)
$$\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)} \equiv 1.$$

By (3.14), we know that $\frac{h(z+\eta)}{h(z)}$ is a non-zero entire function. Then using the same argument as that of subcase 1.1, we get

$$\sigma\Big(\frac{h(z+\eta)}{h(z)}\Big) < m-1.$$

Since $\deg(P(z+\eta) - P(z)) = m - 1$, we get

$$\sigma\Big(\frac{h(z+\eta)}{h(z)}e^{P(z+\eta)-P(z)}\Big) = m-1.$$

Then by (3.14), we get m = 1. Hence by (3.2) we get $\sigma(f) = 1$.

Proof of Theorem 1.2. Since $\Delta_{\eta} f$ and f share $0, \alpha$ CM, we have

(3.15)
$$\frac{\Delta_{\eta} f(z)}{f(z)} = e^{P(z)},$$

(3.16)
$$\frac{\Delta_{\eta} f(z) - \alpha(z)}{f(z) - \alpha(z)} = e^{Q(z)},$$

where P(z), Q(z) are polynomials of degree max{deg $P, \deg Q$ } $\leq \sigma(f)$. By (3.15), Lemma 2.1 and Lemma 2.4, for any given $\varepsilon > 0$, there exists a set $E \subset (1, \infty)$ of finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

$$|e^{P(z)}| \le \left|\frac{f(z+\eta)}{f(z)}\right| + 1 \le 2\exp\{r^{\sigma(f)-1+\varepsilon}\}.$$

Hence we get

$$(3.17) deg P \le \sigma(f) - 1 < \sigma(f)$$

By (3.15) and (3.16), we get

(3.18)
$$(e^P - e^Q)f = (1 - e^Q)\alpha.$$

Now we discuss the following two cases.

Case 1. deg $Q < \sigma(f)$. If $e^{P(z)} - e^{Q(z)} \neq 0$, by (3.17), we get $\sigma(e^P - e^Q) < \sigma(f)$. So $\sigma((e^P - e^Q)f) = \sigma(f)$. But $\sigma((1 - e^Q)\alpha) < \sigma(f)$. This is a contradiction. If $e^{P(z)} - e^{Q(z)} \equiv 0$, by (3.18), we get $e^{Q(z)} \equiv 1$. Then by (3.16), we get $\Delta_{\eta}f \equiv f$.

Case 2. deg $Q = \sigma(f)$. Then by (3.17), we get

$$(3.19) deg P \le deg Q - 1.$$

Differentiating (3.18) we get

(3.20)
$$e^{P}(P'f+f') - e^{Q}(Q'f+f'-Q'\alpha-\alpha') - \alpha' = 0.$$

Set $F = \Delta_{\eta} f$, then by (3.15), (3.16) and (3.20), we get

$$(3.21) \ (P'-Q')Ff + \alpha Q'(F+f) + \alpha'(F-f) - \alpha FP' - \alpha F\frac{f'}{f} + \alpha f' - \alpha^2 Q' = 0.$$

By (3.15) we get

(3.22)
$$F'f - Ff' - fFP' = 0.$$

Then combining (3.21) and (3.22), we get

(3.23)
$$(P'-Q')Ff + \alpha Q'(F+f) + \alpha'(F-f) - \alpha(F'-f') - \alpha^2 Q' = 0.$$

For any given $\varepsilon(0 < 2\varepsilon < \min\{1, \sigma(f) - \sigma(\alpha)\})$, let

$$H = \{r : \log M(r, f) \ge r^{\sigma(f) - \varepsilon}\},\$$

then by Lemma 2.5, we have $\overline{\log dens}H > 0$. Hence for the point z_r satisfying $|z_r| = r \in H$ and $|f(z_r)| = M(r, f)$, we have

$$(3.24) |f(z_r)| \ge \exp\{r^{\sigma(f)-\varepsilon}\}.$$

By Lemma 2.6 and Lemma 2.7, for the above given $\varepsilon > 0$, there exists a set $E \subset (1, \infty)$ of finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \bigcup [0, 1]$, we have

(3.25)
$$\left|\frac{F'(z)}{F(z)}\right| \le r^{\sigma(f)-1+\varepsilon}, \quad \left|\frac{f'(z)}{f(z)}\right| \le r^{\sigma(f)-1+\varepsilon}.$$

On the other hand, for the above given $\varepsilon > 0$, there exists $r_1 > 0$, such that for all z satisfying $|z| = r > r_1$, we have

$$(3.26) \quad |\alpha(z)| \le \exp\{r^{\sigma(\alpha)+\varepsilon}\}, \quad |\alpha'(z)| \le \exp\{r^{\sigma(\alpha)+\varepsilon}\}, \quad |\alpha^2(z)| \le \exp\{r^{\sigma(\alpha)+\varepsilon}\},$$

$$(3.27) |e^{-P(z)}| \le \exp\{r^{\deg P + \varepsilon}\} \le \exp\{r^{\sigma(f) - 1 + \varepsilon}\},$$

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$$(3.28) |Q'(z)| \le r^{\sigma(f)}$$

By (3.19), (3.23)–(3.28), for the point z_r satisfying $|z_r| = r \in H - [0, 1] - E$ and $|f(z_r)| = M(r, f)$, we have

$$0 < |P'(z_r) - Q'(z_r)| \le \left(|\alpha(z_r)| |Q'(z_r)| + |\alpha'(z_r)| \right) \left(\frac{1}{|f(z_r)|} + \frac{1}{|F(z_r)|} \right) + |\alpha(z_r)| \left(\left| \frac{F'(z_r)}{F(z_r)} \right| \frac{1}{|f(z_r)|} + \left| \frac{f'(z_r)}{f(z_r)} \right| \frac{1}{|F(z_r)|} \right) + |\alpha^2(z_r)| |Q'(z_r)| \frac{1}{|F(z_r)||f(z_r)|} \le Mr^{\sigma(f)} \exp\{r^{\sigma(\alpha) + \varepsilon} + r^{\sigma(f) - 1 + \varepsilon} - r^{\sigma(f) - \varepsilon}\} \to 0, (r \to \infty),$$

where M > 0 is a constant. This is a contradiction.

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