# SOME NORMAL CRITERIA FOR FAMILIES OF MEROMORPHIC FUNCTIONS 

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#### Abstract

In the paper, we study the normality of families of meromorphic functions related a Hayman Conjecture. We consider whether a family meromorphic functions $\mathcal{F}$ is normal in $D$, if for each function $f$ in $\mathcal{F}, f^{\prime}+a f^{n}=b$ has at most one zero, where $n$ is a positive integer, $a$ and $b \neq 0$ are two finite complex numbers. Some examples show that the conditions in our results are best possible.


## 1. Introduction and Main Results

Let $f(z)$ and $g(z)$ be two nonconstant meromorphic functions in a domain $D \subseteq \mathbb{C}$, and let $a$ be a finite complex value. We say that $f$ and $g$ share $a$ CM (or IM ) in $D$ provided that $f-a$ and $g-a$ have the same zeros counting (or ignoring) multiplicity in $D$. When $a=\infty$ the zeros of $f-a$ means the poles of $f$ (see [21]). It is assumed that the reader is familiar with the standard notations and the basic results of Nevanlinna's value-distribution theory ([8, 9, 20] or [21]).

Bloch's principle [1] states that every condition which reduces a meromorphic function in the plane $\mathbb{C}$ to be a constant forces a family of meromorphic functions in a domain $D$ normal. Although the principle is false in general (see [17]), many authors proved normality criterion for families of meromorphic functions corresponding to Liouville-Picard type theorem (see [6] or [20]).

It is also more interesting to find normality criteria from the point of view of shared values. In this area, Schwick [18] first proved an interesting result that a family of meromorphic functions in a domain is normal if in which every function shares three distinct finite complex numbers with its first derivative. And later, more

[^0]results about normality criteria concerning shared values have emerged, for instance, (see [15, 16, 24]). In recent years, this subject has attracted the attention of many researchers worldwide.

We now first introduce a normality criterion related to a Hayman normal conjecture [10].

Theorem 1.1. Let $\mathcal{F}$ be a family of holomorphic ( meromorphic) functions defined in a domain $D, n \in \mathbb{N}, a \neq 0, b \in \mathbb{C}$. If $f^{\prime}(z)+a f^{n}(z)-b \neq 0$ for each function $f(z) \in \mathcal{F}$ and $n \geq 2(n \geq 3)$, then $\mathcal{F}$ is normal in $D$.

The results for the holomorphic case are due to Drasin [6] for $n \geq 3$, Pang [14] for $n=3$, Chen and Fang [4] for $n=2$, Ye [22] for $n=2$, Chen and Gu [5] for the generalized result with $a$ and $b$ replaced by meromorphic functions. The results for the meromorphic case are due to Li [12], Li [13] and Langley [11] for $n \geq 5$, Pang [14] for $n=4$, Chen and Fang [4] for $n=3$, Zalcman [26] for $n=3$, obtained independently.

When $n=2$ and $\mathcal{F}$ is meromorphic, Theorem 1.1 is not valid in general. Fang and Yuan [7] gave an example to show this, and got a special result below.

Example 1.1. The family of meromorphic functions $\mathcal{F}=\left\{f_{j}(z)=\frac{j z}{(\sqrt{J} z-1)^{2}}: j=\right.$ $1,2, \cdots$,$\} is not normal in D=\{z:|z|<1\}$. This is deduced by $f_{j}^{\#}(0)=j \rightarrow \infty$, as $j \rightarrow \infty$ and Marty's criterion [8], although for any $f_{j}(z) \in \mathcal{F}, f_{j}^{\prime}+f_{j}^{2}=$ $j(\sqrt{j} z-1)^{-4} \neq 0$.

Here $f^{\#}(\xi)$ denotes the spherical derivative

$$
f^{\#}(\xi)=\frac{\left|f^{\prime}(\xi)\right|}{1+|f(\xi)|^{2}}
$$

Theorem 1.2. Let $\mathcal{F}$ be a family of meromorphic functions in a domain $D$, and , $a \neq 0, b \in \mathbb{C}$. If $f^{\prime}(z)+a(f(z))^{2}-b \neq 0$ and the poles of $f(z)$ are of multiplicity $\geq 3$ for each $f(z) \in \mathcal{F}$, then $\mathcal{F}$ is normal in $D$.

It is nature to ask whether the conditions in above theorems that $f^{\prime}(z)+a f^{n}(z)-b \neq$ 0 can be relaxed. In this paper, we answer above question and prove the following results.

Theorem 1.3. Let $\mathcal{F}$ be a family of meromorphic (holomorphic) functions in $D$, $n$ be a positive integer and $a, b$ be two finite complex numbers such that $a \neq 0$. If $n \geq 4(n \geq 2)$ and for each function $f$ in $\mathcal{F}, f^{\prime}+a f^{n}-b$ has at most one zero in $D$, ignoring multiplicity, then $\mathcal{F}$ is normal in $D$.

Example 1.2. The family of meromorphic functions $\mathcal{F}=\left\{f_{j}(z)=\frac{1}{\sqrt{j}\left(z-\frac{1}{j}\right)}: j=\right.$ $1,2, \cdots$,$\} is not normal in D=\{z:|z|<1\}$. Obviously $f_{j}^{\prime}-f_{j}^{3}=-\frac{z}{\sqrt{j}\left(z-\frac{1}{j}\right)^{3}}$. So for each $j, f_{j}^{\prime}-f_{j}^{3}$ takes the value 0 in $D$, but $\mathcal{F}$ is not normal at the point $z=0$, since $f_{j}^{\#}(0)=\frac{2(\sqrt{j})^{3}}{1+j} \rightarrow \infty$, as $j \rightarrow \infty$.

Remark 1.4. Example 1.2 shows that Theorem 1.3 is not valid when $n=3$, and the condition $n=4$ is best possible for meromorphic case.

Theorem 1.5. Let $\mathcal{F}$ be a family of meromorphic functions in $D$, a and $b$ be two finite complex numbers such that $a \neq 0$. Suppose that each $f(z) \in \mathcal{F}$ has no simple pole. If for each function $f$ in $\mathcal{F}, f^{\prime}+a f^{3}-b$ has at most one zero in $D$, ignoring multiplicity, then $\mathcal{F}$ is normal in $D$.

Remark 1.6. Example 1.2 shows that the condition added in Theorem 1.5 about the multiplicity of poles of $f(z)$ is best possible.

Theorem 1.7. Let $\mathcal{F}$ be a family of meromorphic functions in $D$, a and $b$ be two finite complex numbers such that $a \neq 0$. Suppose that $f(z)$ admits the zeros of multiple and the poles of multiplicity $\geq 3$ for each $f(z) \in \mathcal{F}$. If for each function $f$ in $\mathcal{F}$, $f^{\prime}+a f^{2}-b$ has at most one zero in $D$, ignoring multiplicity, then $\mathcal{F}$ is normal in $D$.

Remark 1.8. Example 1.1 shows that the condition added in Theorem 1.7 about the multiplicity of poles and zeros of $f(z)$ is best possible.

Theorem 1.9. Let $\mathcal{F}$ be a family of meromorphic functions in $D$, a and $b$ be two non-zero finite complex numbers. Suppose that $f(z) \neq 0$, its poles are multiple and $f^{\prime}+a f-b$ has at most one zero in $D$ for each $f(z) \in \mathcal{F}$, ignoring multiplicity, then $\mathcal{F}$ is normal in $D$.

Corollary 1.10. Let $\mathcal{F}$ be a family of holomorphic functions in $D$, a and $b$ be two finite complex numbers such that $b \neq 0$. Suppose that $f(z) \neq 0$ for each $f(z) \in \mathcal{F}$. If for each function $f$ in $\mathcal{F}, f^{\prime}+a f-b$ has at most one zero in $D$, ignoring multiplicity, then $\mathcal{F}$ is normal in $D$.

Example 1.3. The family of holomorphic functions $\mathcal{F}=\left\{f_{j}(z)=j z e^{z}-\right.$ $\left.j e^{z}+j-b: j=1,2, \cdots,\right\}$ is not normal in $D=\{z:|z|<1\}$. Obviously $f_{j}^{\prime}-f_{j}=j\left(e^{z}-1\right)+b$. So for each $j, f_{j}^{\prime}-f_{j}$ takes the value $b$ in $D$. On the other hand, $f_{j}(0)=-b, f_{j}\left(\frac{1}{\sqrt{j}}\right)=\sqrt{j}\left(1+\frac{1}{\sqrt{j}}+o(1)\right) \rightarrow \infty$, as $j \rightarrow \infty$. This implies that the family $\mathcal{F}$ fails to be equicontinuous at 0 , and thus $\mathcal{F}$ is not normal at 0 .

In 2011, Yuan et al. [23] proved the following theorem.

Theorem 1.11. Let $\mathcal{F}$ be a family of meromorphic functions in $D, a$ and $b$ be two finite complex numbers such that $b \neq 0$. Suppose that $f(z) \neq 0$ and $f^{\prime}(z)-a f(z) \neq b$ for each $f(z) \in \mathcal{F}$. Then $\mathcal{F}$ is normal in $D$.

Example 1.4. The family of holomorphic functions $\mathcal{F}=\left\{f_{j}(z)=j(z+1)-\right.$ $1: j=1,2, \cdots$,$\} is normal in D=\{z:|z|<1\}$. Obviously $f_{j}(z) \neq 0$ and $f_{j}^{\prime}-f_{j}=-j z+1$. So for each $j, f_{j}^{\prime}-f_{j}$ takes the value 1 in $D$. Corollary 1.10 implies that the family $\mathcal{F}$ is normal in $D$.

Example 1.5. The family of meromorphic functions $\mathcal{F}=\left\{f_{j}(z)=\frac{z}{j}-1: j=\right.$ $1,2, \cdots$,$\} is normal in D=\{z:|z|<1\}$. The reason is the conditions of Theorem 1.11 hold that $f_{j}(z) \neq 0$ and $f_{j}^{\prime}-f_{j}=\frac{1-z}{j}+1 \neq 1$ in $D=\{z:|z|<1\}$.

Remark 1.12. Example 1.3 shows that Theorem 1.3 is not valid when $n=1$ and holomorphic case, and the condition $f(z) \neq 0$ is necessary in Theorem 1.9, Corollary 1.10. Both Example 1.4 and Example 1.5 tell us that Corollary 1.10 and Theorem 1.11 occur.

## 2. Preliminary Lemmas

In order to prove our result, we need the following lemmas. The first is the extended version Zalcman's [25] concerning normal families.

Lemma 2.1. [27]. Let $\mathcal{F}$ be a family of meromorphic functions on the unit disc satisfying all zeros of functions in $\mathcal{F}$ which have multiplicity $\geq p$ and all poles of functions in $\mathcal{F}$ which have multiplicity $\geq q$. Let $\alpha$ be a real number satisfying $-q<\alpha<p$. Then $\mathcal{F}$ is not normal at 0 if and only if there exist
(a) a number $0<r<1$;
(b) points $z_{n}$ with $\left|z_{n}\right|<r$;
(c) functions $f_{n} \in \mathcal{F}$;
(d) positive numbers $\rho_{n} \rightarrow 0$
such that $g_{n}(\zeta):=\rho^{-\alpha} f_{n}\left(z_{n}+\rho_{n} \zeta\right)$ converges spherically uniformly on each compact subset of $\mathbb{C}$ to a non-constant meromorphic function $g(\zeta)$, whose all zeros have multiplicity $\geq p$ and all poles have multiplicity $\geq q$ and order is at most 2 .

Remark 2.2. If $\mathcal{F}$ is a family of holomorphic functions on the unit disc in Lemma 2.1, then $g(\zeta)$ is a nonconstant entire function whose order is at most 1 .

The order of $g$ is defined by using the Nevanlinna's characteristic function $T(r, g)$ :

$$
\rho(g)=\lim _{r \rightarrow \infty} \sup \frac{\log T(r, g)}{\log r}
$$

Lemma 2.3. [3] or [19]. Let $f(z)$ be a meromorphic function and $c \in \mathbb{C} \backslash\{0\}$. If $f(z)$ has neither simple zero nor simple pole, and $f^{\prime}(z) \neq c$, then $f(z)$ is constant.

Lemma 2.4. [2]. Let $f(z)$ be a transcendental meromorphic function of finite order in $\mathbb{C}$, and have no simple zero, then $f^{\prime}(z)$ assumes every non-zero finite value infinitely often.

Lemma 2.5. [9]. Let $f(z)$ be a meromorphic function in $\mathbb{C}$, then

$$
\begin{equation*}
T(r, f) \leq\left(2+\frac{1}{k}\right) N\left(r, \frac{1}{f}\right)+\left(2+\frac{2}{k}\right) \bar{N}\left(r, \frac{1}{f^{(k)}-1}\right)+S(r, f) . \tag{2.1}
\end{equation*}
$$

and

$$
\begin{equation*}
T(r, f) \leq \bar{N}(r, f)+N\left(r, \frac{1}{f}\right)+\bar{N}\left(r, \frac{1}{f^{(k)}-1}\right)+S(r, f) . \tag{2.2}
\end{equation*}
$$

Remark 2.6. Both (2.1) and (2.2) are called as Hayman inequality and Milloux inequality, respectively.

## 3. Proof of the Results

Proof of Theorem 1.3. Suppose that $\mathcal{F}$ is a family meromorphic and not normal in $D$. Then there exists at least one point $z_{0}$ such that $\mathcal{F}$ is not normal at the point $z_{0}$. Without loss of generality we assume that $z_{0}=0$. By Lemma 2.1, there exist points $z_{j} \rightarrow 0$, positive numbers $\rho_{j} \rightarrow 0$ and functions $f_{j} \in \mathcal{F}$ such that

$$
\begin{equation*}
g_{j}(\xi)=\rho_{j}^{\frac{1}{n-1}} f_{j}\left(z_{j}+\rho_{j} \xi\right) \Rightarrow g(\xi) \tag{3.1}
\end{equation*}
$$

locally uniformly with respect to the spherical metric, where $g$ is a non-constant meromorphic function in $\mathbb{C}$. Moreover, the order of $g$ is less than 2 .

From (3.1) we know

$$
g_{j}^{\prime}(\xi)=\rho_{j}^{\frac{n}{n-1}} f_{j}^{\prime}\left(z_{j}+\rho_{j} \xi\right) \Rightarrow g^{\prime}(\xi)
$$

and

$$
\begin{align*}
\rho_{j}^{\frac{n}{n-1}}\left(f_{j}^{\prime}\left(z_{j}+\rho_{j} \xi\right)+a f_{j}^{n}\left(z_{j}+\rho_{j} \xi\right)-b\right) & =g_{j}^{\prime}(\xi)+a g_{j}^{n}(\xi)-\rho_{j}^{\frac{n}{n-1}} b  \tag{3.2}\\
& \Rightarrow g^{\prime}(\xi)-a g^{n}(\xi)
\end{align*}
$$

in $\mathbb{C} \backslash \mathbf{S}$ locally uniformly with respect to the spherical metric, where $\mathbf{S}$ is the set of all poles of $g(\xi)$.

If $g^{\prime}+a g^{n} \equiv 0$ then $\frac{1}{n-1} \frac{1}{g^{n-1}} \equiv a \xi+c$ where $c$ is a constant. This contradicts with $g$ being a meromorphic function and $n \geq 4$. So $g^{\prime}+a g^{n} \not \equiv 0$.

If $g^{\prime}+a g^{n} \neq 0$, then $\frac{g^{\prime}}{g^{n}} \neq-a$. Set $g=\frac{1}{\varphi}$, then $\varphi^{n-2} \varphi^{\prime} \neq a$. By Lemma 2.3 then $\varphi$ is a constant, so $g$ is also a constant which is a contradiction with $g$ being a non-constant. Hence, $g^{\prime}+a g^{n}$ is a non-constant meromorphic function and has at least one zero.

Next we prove that $g^{\prime}+a g^{n}$ has just a unique zero. By contraries, let $\xi_{0}$ and $\xi_{0}^{*}$ be two distinct zeros of $g^{\prime}+a g^{n}$, and choose $\delta(>0)$ small enough such that $D\left(\xi_{0}, \delta\right) \cap D\left(\xi_{0}^{*}, \delta\right)=\phi$ where $D\left(\xi_{0}, \delta\right)=\left\{\xi:\left|\xi-\xi_{0}\right|<\delta\right\}$ and $D\left(\xi_{0}^{*}, \delta\right)=\{\xi:$ $\left.\left|\xi-\xi_{0}^{*}\right|<\delta\right\}$. From(3.2), by Hurwitz's theorem, there exist points $\xi_{j} \in D\left(\xi_{0}, \delta\right)$, $\xi_{j}^{*} \in D\left(\xi_{0}^{*}, \delta\right)$ such that for sufficiently large $j$

$$
\begin{aligned}
f_{j}^{\prime}\left(z_{j}+\rho_{j} \xi_{j}\right)+a f_{j}^{n}\left(z_{j}+\rho_{j} \xi_{j}\right)-b & =0 \\
f_{j}^{\prime}\left(z_{j}+\rho_{j} \xi_{j}^{*}\right)+a f_{j}^{n}\left(z_{j}+\rho_{j} \xi_{j}^{*}\right)-b & =0
\end{aligned}
$$

Since $z_{j} \rightarrow 0$, positive numbers $\rho_{j} \rightarrow 0$, we have $z_{j}+\rho_{j} \xi_{j} \in D\left(\xi_{0}, \delta\right), z_{j}+\rho_{j} \xi_{j}^{*} \in$ $D\left(\xi_{0}^{*}, \delta\right)$ for sufficiently large $j$. Thus each $f_{j}^{\prime}(z)+a f_{j}^{n}(z)-b$ has two distinct zeros, which contradicts with our hypothesis. So $g^{\prime}+a g^{n}$ has just a unique zero, which can be denoted by $\xi_{0}$.

Set $g=\frac{1}{\varphi}$ again, then $g^{\prime}+a g^{n}=-\frac{\varphi^{\prime} \varphi^{n-2}-a}{\varphi^{n}}$. So $\frac{\varphi^{\prime} \varphi^{n-2}-a}{\varphi^{n}}$ has only a unique zero $\xi_{0}$. Therefore $\xi_{0}$ is a multiple pole of $\varphi$, or else a zero of $\varphi^{\prime} \varphi^{n-2}-a$. If $\xi_{0}$ is a multiple pole of $\varphi$, since $\frac{\varphi^{\prime} \varphi^{n-2}-a}{\varphi^{n}}$ has only one zero $\xi_{0}$, then $\varphi^{\prime} \varphi^{n-2}-a \neq 0$. By Lemma 2.3 again, $\varphi$ is a constant which contradicts with the idea that $g$ is a non-constant.

So $\varphi$ has no multiple pole and $\varphi^{\prime} \varphi^{n-2}-a$ has just a unique zero $\xi_{0}$. By Lemma $2.3, \varphi$ is not any transcendental function.

If $\varphi$ is a non-constant polynomial, then $\varphi^{\prime} \varphi^{n-2}-a=A\left(\xi-\xi_{0}\right)^{l}$, where A is a non-zero constant, $l$ is a positive integer, $l \geq n-2 \geq 2$. Set $\psi=\frac{1}{n-1} \varphi^{n-1}$, then $\psi^{\prime}=A\left(\xi-\xi_{0}\right)^{l}+a$, and $\psi^{\prime \prime}=A l\left(\xi-\xi_{0}\right)^{l-1}$. Note that $n \geq 4$, we see that the zeros of $\psi$ are of multiplicities $\geq n-1 \geq 3$. But $\psi^{\prime \prime}$ has only one zero $\xi_{0}$, so $\psi$ has only the same zero $\xi_{0}$ too. Hence $\psi^{\prime}\left(\xi_{0}\right)=0$ which contradicts with $\psi^{\prime}\left(\xi_{0}\right)=a \neq 0$. Therefore $\varphi$ and $\psi$ are rational functions which are not polynomials, and $\psi^{\prime}-a$ has just a unique zero $\xi_{0}$.

Next we prove that there exists no rational function such as $\psi$. Noting that $\psi=$ $\frac{1}{n-1} \varphi^{n-1}$ and $\varphi$ has no multiple pole, we consider two Csaes.

Case 1. $\psi(\xi)$ has zero.
We can set

$$
\begin{equation*}
\psi(\xi)=A \frac{\left(\xi-\xi_{1}\right)^{m_{1}}\left(\xi-\xi_{2}\right)^{m_{n-1}} \cdots\left(\xi-\xi_{s}\right)^{m_{s}}}{\left(\xi-\eta_{1}\right)^{n-1}\left(\xi-\eta_{n-1}\right)^{n-1} \cdots\left(\xi-\eta_{t}\right)^{n-1}} \tag{3.3}
\end{equation*}
$$

where $A$ is a non-zero constant, $s \geq 1, t \geq 1, m_{i} \geq n-1(i=1,2, \cdots, s)$. For stating briefly, denote

$$
\begin{equation*}
m=m_{1}+m_{2}+\cdots+m_{s} \geq(n-1) s \tag{3.4}
\end{equation*}
$$

From (3.3) then

$$
\begin{equation*}
\psi^{\prime}(\xi)=\frac{A\left(\xi-\xi_{1}\right)^{m_{1}-1}\left(\xi-\xi_{2}\right)^{m_{2}-1} \cdots\left(\xi-\xi_{s}\right)^{m_{s}-1} h(\xi)}{\left(\xi-\eta_{1}\right)^{n}\left(\xi-\eta_{2}\right)^{n} \cdots\left(\xi-\eta_{t}\right)^{n}}=\frac{p_{1}(\xi)}{q_{1}(\xi)}, \tag{3.5}
\end{equation*}
$$

where

$$
\begin{align*}
h(\xi) & =(m-t(n-1)) \xi^{s+t-1}+a_{s+t-2} \xi^{s+t-2}+\cdots+a_{0} \\
p_{1}(\xi) & =A\left(\xi-\xi_{1}\right)^{m_{1}-1}\left(\xi-\xi_{2}\right)^{m_{2}-1} \cdots\left(\xi-\xi_{s}\right)^{m_{s}-1} h(\xi)  \tag{3.6}\\
q_{1}(\xi) & =\left(\xi-\eta_{1}\right)^{n}\left(\xi-\eta_{2}\right)^{n} \cdots\left(\xi-\eta_{t}\right)^{n}
\end{align*}
$$

are polynomials. Since $\psi^{\prime}(\xi)-a$ has only a unique zero $\xi_{0}$, set

$$
\begin{equation*}
\psi^{\prime}(\xi)-a=\frac{B\left(\xi-\xi_{0}\right)^{l}}{\left(\xi-\eta_{1}\right)^{n}\left(\xi-\eta_{2}\right)^{n} \cdots\left(\xi-\eta_{t}\right)^{n}} \tag{3.7}
\end{equation*}
$$

where $B$ is a non-zero constant, so

$$
\begin{equation*}
\psi^{\prime \prime}(\xi)=\frac{\left(\xi-\xi_{0}\right)^{l-1} p_{2}(\xi)}{\left(\xi-\eta_{1}\right)^{n+1}\left(\xi-\eta_{2}\right)^{n+1} \cdots\left(\xi-\eta_{t}\right)^{n+1}} \tag{3.8}
\end{equation*}
$$

where $p_{2}(\xi)=B(l-n t) \xi^{t}+b_{t-1} \xi^{t-1}+\cdots+b_{0}$ is a polynomial. From (3.5) we also have

$$
\begin{equation*}
\psi^{\prime \prime}(\xi)=\frac{\left(\xi-\xi_{1}\right)^{m_{1}-2}\left(\xi-\xi_{2}\right)^{m_{2}-2} \cdots\left(\xi-\xi_{s}\right)^{m_{s}-2} p_{3}(\xi)}{\left(\xi-\eta_{1}\right)^{n+1}\left(\xi-\eta_{2}\right)^{n+1} \cdots\left(\xi-\eta_{t}\right)^{n+1}} \tag{3.9}
\end{equation*}
$$

where $p_{3}(\xi)$ is also a polynomial.
Let $\operatorname{deg}(p)$ denote the degree of a polynomial $p(\xi)$.
From (3.5), (3.6) then

$$
\begin{equation*}
\operatorname{deg}(h) \leq s+t-1, \operatorname{deg}\left(p_{1}\right) \leq m+t-1, \operatorname{deg}\left(q_{1}\right)=n t \tag{3.10}
\end{equation*}
$$

Similarly from (3.8), (3.9) and noting (3.10) then

$$
\begin{gather*}
\operatorname{deg}\left(p_{2}\right) \leq t  \tag{3.11}\\
\operatorname{deg}\left(p_{3}\right) \leq \operatorname{deg}\left(p_{1}\right)+t-1-(m-2 s) \leq 2 t+2 s-2 \tag{3.12}
\end{gather*}
$$

Note that $m_{i} \geq n-1(i=1,2, \cdots, s)$, it follows from (3.5) and (3.7) that $\psi^{\prime}\left(\xi_{i}\right)=0(i=1,2, \cdots, s)$ and $\psi^{\prime}\left(\xi_{0}\right)=a \neq 0$. Thus $\xi_{0} \neq \xi_{i}(i=1,2, \cdots, s)$, and then $\left(\xi-\xi_{0}\right)^{l-1}$ is a factor of $p_{3}(\xi)$. Hence we get that $l-1 \leq \operatorname{deg}\left(p_{3}\right)$. Combining (3.8) and (3.9) we also have $m-2 s=\operatorname{deg}\left(p_{2}\right)+l-1-\operatorname{deg}\left(p_{3}\right) \leq \operatorname{deg}\left(p_{2}\right)$. By (3.11) we obtain

$$
\begin{equation*}
m-2 s \leq \operatorname{deg}\left(p_{2}\right) \leq t \tag{3.13}
\end{equation*}
$$

Since $m \geq(n-1) s$, we know by (3.13) and $n \geq 4$ that

$$
\begin{equation*}
s \leq t \tag{3.14}
\end{equation*}
$$

If $l \geq n t$, from (3.8) and (3.9), we have $l-1 \leq \operatorname{deg}\left(p_{3}\right)$. By (3.12), then $n t-1 \leq l-1 \leq \operatorname{deg}\left(p_{3}\right) \leq 2 t+2 s-2$. Noting (3.14), we obtain $(n-4) t+1 \leq 0$, a contradiction with $n \geq 4$.

If $l<3 t$, from (3.5) and (3.7), then $\operatorname{deg}\left(p_{1}\right)=\operatorname{deg}\left(q_{1}\right)$. Noting that $\operatorname{deg}\left(p_{1}\right)=$ $m+t-i, 1 \leq i \leq s+t, \operatorname{deg}\left(p_{2}\right)=n t$, so $m+t-i=n t, m=(n-1) t+i \neq(n-1) t$. From (3.6), then $\operatorname{deg}(h)=s+t-1$, and then $\operatorname{deg}\left(p_{1}\right)=m+t-1$. Noting $\operatorname{deg}\left(q_{1}\right)=n t$, hence $m=(n-1) t+1$. By (3.13) then $(n-2) t \leq 2 s-1$. From (3.14), we obtain $(n-4) t+1 \leq 0$ again, a contradiction with $n \geq 4$, too.

Case 2. $\psi(\xi)$ has no zero.
We can set

$$
\begin{equation*}
\psi(\xi)=\frac{A}{\left(\xi-\eta_{1}\right)^{n-1}\left(\xi-\eta_{2}\right)^{n-1} \cdots\left(\xi-\eta_{t}\right)^{n-1}} \tag{3.15}
\end{equation*}
$$

where $A$ is a non-zero constant. In this case, $g(\xi)$ is an entire function. Then

$$
\begin{equation*}
\psi^{\prime}(\xi)=\frac{A p_{1}(\xi)}{\left(\xi-\eta_{1}\right)^{n}\left(\xi-\eta_{2}\right)^{n} \cdots\left(\xi-\eta_{t}\right)^{n}} \tag{3.16}
\end{equation*}
$$

where $p_{1}(\xi)=(l-n) t \xi^{t-1}+a_{t-2} \xi^{t-2}+\cdots+a_{0}$ is a polynomial. Since $\psi^{\prime}(\xi)-a$ has only a unique zero $\xi_{0}$, set

$$
\begin{equation*}
\psi^{\prime}(\xi)-a=\frac{B\left(\xi-\xi_{0}\right)^{l}}{\left(\xi-\eta_{1}\right)^{n}\left(\xi-\eta_{2}\right)^{n} \cdots\left(\xi-\eta_{t}\right)^{n}} \tag{3.7}
\end{equation*}
$$

where $B$ is a non-zero constant. Thus $l=n t$. Moreover, (3.7) gives

$$
\begin{equation*}
\psi^{\prime \prime}(\xi)=\frac{\left(\xi-\xi_{0}\right)^{l-1} p_{2}(\xi)}{\left(\xi-\eta_{1}\right)^{n+1}\left(\xi-\eta_{2}\right)^{n+1} \cdots\left(\xi-\eta_{t}\right)^{n+1}} \tag{3.17}
\end{equation*}
$$

where $p_{2}(\xi)$ is a polynomial. From (3.16) we also have

$$
\begin{equation*}
\psi^{\prime \prime}(\xi)=\frac{p_{3}(\xi)}{\left(\xi-\eta_{1}\right)^{n+1}\left(\xi-\eta_{2}\right)^{n+1} \cdots\left(\xi-\eta_{t}\right)^{n+1}}, \tag{3.18}
\end{equation*}
$$

where $p_{3}(\xi)=A\left((n-1)^{2} t^{2}+(n-1) t\right) \xi^{2 t-2}+b_{2 t-3} \xi^{2 t-3}+\cdots+b_{0}$ is also a polynomial.
Therefore, From (3.17) and (3.18), we deduce that $l-1 \leq \operatorname{deg}\left(p_{3}\right)=2 t-2$. Note that $l=n t$, we have $(n-2) t+1 \leq 0$, a contradiction with $n \geq 2$.

Suppose that $\mathcal{F}$ is a family holomorphic and not normal in $D$. As the same as the former arguments, noting that the $g(\xi)$ is a non-constant entire function, only Case 2 occurs. We omit the detail states.

The proof of Theorem 1.3 is complete.
Proof of Theorem 1.5. Suppose that $\mathcal{F}$ is not normal in $D$. As the similar as the arguments in the proof of Theorem 1.3 and take $n=3$. Here, we state the different places from each other.

The poles of $g$ are of multiplicity $\geq 2$.
$m_{i} \geq 4(i=1,2, \cdots, s)$.

$$
\begin{equation*}
m=m_{1}+m_{2}+\cdots+m_{s} \geq 4 s \tag{3.4}
\end{equation*}
$$

Since $m \geq 4 s$, we know by (3.13) that

$$
\begin{equation*}
2 s \leq t \tag{3.14}
\end{equation*}
$$

If $l \geq 3 t$, by (3.12), then $3 t-1 \leq l-1 \leq \operatorname{deg}\left(p_{3}\right) \leq 2 t+2 s-2$. Noting (3.14), we obtain $1 \leq 0$, a contradiction.

If $l<3 t$, from (3.5) and (3.7), then $\operatorname{deg}\left(p_{1}\right)=\operatorname{deg}\left(q_{1}\right)$. Noting that $\operatorname{deg}\left(p_{1}\right)=$ $m+t-i, 1 \leq i \leq s+t, \operatorname{deg}\left(q_{1}\right)=3 t$, so $m+t-i=3 t, m=2 t+i \neq 2 t$. From (3.6), then $\operatorname{deg}(h)=s+t-1$, and then $\operatorname{deg}\left(p_{1}\right)=m+t-1$. Noting $\operatorname{deg}\left(q_{1}\right)=3 t$, hence $m=2 t+1$. By (3.13) then $t \leq 2 s-1$. From $(3.14)^{\prime}$, we obtain $1 \leq 0$, a contradiction.

The proof of Theorem 1.5 is complete.
Proof of Theorem 1.7. Suppose that $\mathcal{F}$ is not normal in $D$. As the similar as the arguments in the proof of Theorem 1.3 and take $n=2$. Here, we state the different places from each other.

All zeros and poles of $g(\xi)$ are multiple.
Hence $\varphi$ is an entire function with no simple zero and growth order at most 2 and $\varphi^{\prime}-a$ has just a unique zero $\xi_{0}$. By Lemma $2.4, \varphi$ is not any transcendental function. Therefore $\varphi$ is a non-constant polynomial, and has the form that $\varphi^{\prime}-a=C\left(\xi-\xi_{0}\right)^{l}$, where $C$ is a non-zero constant, $l$ is a positive integer, because the poles of $g$ are of multiplicity $\geq 3$. So the zeros of $\varphi$ are of multiplicity $\geq 3$, thus, $l \geq 2, \varphi^{\prime \prime}=$ $C l\left(\xi-\xi_{0}\right)^{l-1}$. Note that $\varphi^{\prime \prime}$ has only one zero $\xi_{0}$, so $\varphi$ has only the same zero $\xi_{0}$ too. Hence $\varphi^{\prime}\left(\xi_{0}\right)=0$ which contradicts with $\varphi^{\prime}\left(\xi_{0}\right)=a \neq 0$.

The proof of Theorem 1.7 is complete.
Proof of Theorem 1.9. Suppose that $\mathcal{F}$ is not normal in $D$. As the similar as the arguments in the proof of Theorem 1.3. Here, we state the different places from each other.

$$
g_{j}(\xi)=\rho_{j}^{-1} f_{j}\left(z_{j}+\rho_{j} \xi\right)
$$

converges uniformly with respect to the spherical metric to a non-constant meromorphic function $g(\xi)$ whose poles are multiple and $g(\xi) \neq 0$.

Thus

$$
g_{j}^{\prime}(\xi)=f_{j}^{\prime}\left(z_{j}+\rho_{j} \xi\right) \Rightarrow g^{\prime}(\xi)
$$

and

$$
\begin{aligned}
g_{j}^{\prime}(\xi)+a \rho_{j} g_{j}(\xi)-b & =f_{j}^{\prime}\left(z_{j}+\rho_{j} \xi\right)+a f_{j}\left(z_{j}+\rho_{j} \xi\right)-b \\
& \Rightarrow g^{\prime}(\xi)-b
\end{aligned}
$$

also locally uniformly with respect to the spherical metric.
If $g^{\prime}-b \equiv 0$, then $g=b \xi+c$ where $c$ is a constant. This contradicts with $g(\xi) \neq 0$. So $g^{\prime}-b \not \equiv 0$.

If $g^{\prime}-b \neq 0$, then by Milloux inequality (2.2) of Lemma 2.5 we have

$$
\begin{align*}
T(r, g) & \leq \bar{N}(r, g)+N\left(r, \frac{1}{g}\right)+\bar{N}\left(r, \frac{1}{g^{\prime}-b}\right)+S(r, g) \\
& \leq \frac{1}{2} N(r, g)+S(r, g)  \tag{3.19}\\
& \leq \frac{1}{2} T(r, g)+S(r, g) .
\end{align*}
$$

From (3.19) we know that $g$ is a constant which contradicts with our conclusion. Hence, $g^{\prime}-b$ is a non-constant meromorphic function and has at least one zero.

As the same argument in the proof of Theorem 1.3, we obtain that $g^{\prime}-b$ has only one distinct zero denoted by $\xi_{0}$. Thus Hayman inequality (2.1) of Lemma 2.5 implies that $g$ is a rational function of degree at most 4 . Noting that $g \neq 0$ and has no simple pole, we obtain that $g$ has at most two distinct poles. Using Milloux inequality (2.2) of Lemma 2.5 again we get that $g$ has at most one distinct pole. Hence we can write $g(\xi)=\frac{1}{(d \xi+e)^{m}}, 2 \leq m \leq 3$ where $d \neq 0$ and $e$ are two finite complex numbers. Simple calculating shows that $g^{\prime}-b$ has at least three distinct zeros. This is impossible.

The proof of Theorem 1.9 is complete.

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