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Hyper-Kloosterman Sums of Different Moduli and Their Applications to Automorphic Forms for $\mathrm{SL}_m(\mathbb{Z})$

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Abstract. Hyper-Kloosterman sums of different moduli appear naturally in Voronoi's summation formula for cusp forms for $\mathrm{GL}_m(\mathbb{Z})$. In this paper their square moment is evaluated and their bounds are proved in the case of consecutively dividing moduli. As an application, smooth sums of Fourier coefficients of a Maass form for $\mathrm{SL}_m(\mathbb{Z})$ against an exponential function $e(\alpha n)$ are estimated. These sums are proved to have rapid decay when α is a fixed rational number or a transcendental number with approximation exponent $\tau(\alpha) > m$. Non-trivial bounds are proved for these sums when $\tau(\alpha) > (m+1)/2$.

1. Introduction

Let n and q be positive integers. For $b \in \mathbb{Z}$ and $\mathbf{a} = (a_1, a_2, \dots, a_n) \in \mathbb{Z}^n$, the n-dimensional Kloosterman sum $K_n(\mathbf{a}, b; q)$ is defined as follows (cf. Smith [23] and Katz [13]):

(1.1)

$$K_n(\boldsymbol{a}, b; q) = \sum_{x_1 \pmod{q}}^* \sum_{x_2 \pmod{q}}^* \cdots \sum_{x_n \pmod{q}}^* e\left(\frac{a_1x_1 + a_2x_2 + \cdots + a_nx_n + b\overline{x}_1\overline{x}_2 \cdots \overline{x}_n}{q}\right).$$

Here the star in \sum^* indicates that $(x_i, q) = 1$. When n = 1, $K_1(\boldsymbol{a}, b; q) = K(a, b; q)$ is the classical Kloosterman sum introduced by Kloosterman [14] in 1926:

(1.2)
$$K(a,b;q) = \sum_{\substack{x=1\\ (x,q)=1}}^{q} e\left(\frac{ax+b\overline{x}}{q}\right).$$

The Hasse-Weil bound for K(a, b; q) (Hasse [11], Weil [24] and Hooley [12]) gives

(1.3)
$$K(a,b;q) \ll (a,b,q)^{1/2} q^{1/2} \tau(q).$$

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This bound is sharp. Generalizations of the estimate (1.3) to $K_n(\mathbf{1}, b; q)$ for $n \geq 2$, with $\mathbf{1} = (1, 1, \ldots, 1)$ and $q = p^{\alpha}$, a prime power, were considered by many authors. The classical bound $K_n(\mathbf{1}, 1; p) \leq p^{(n+1)/2}$ was proved by Mordell [18] and Smith [23], while Deligne proved the optimal bound $K_n(\mathbf{1}, 1; p) \leq (n+1)p^{n/2}$. The following bound was obtained by Cochrane, Liu and Zheng in [1]: Suppose $p \nmid b$ and $p^{\gamma} \parallel (n+1)$. Then for any $\alpha \geq 1$ and $n \geq 2$,

$$|K_n(\mathbf{1}, b; p^{\alpha})| \le \delta_p(n+1, p-1)p^{\frac{1}{2}\min\{\gamma, \alpha-2\}}p^{\frac{n\alpha}{2}},$$

where $\delta_p = 1$ if p is odd and $\delta_p = 2$ if p = 2. In Ye [25, 26] the bound (1.4) was improved in certain cases.

In this paper we consider Kloosterman sum $K_n(a, h; \mathbf{q})$ with different moduli:

(1.5)
$$K_{n}(a,h;\boldsymbol{q}) = \sum_{t_{1} \pmod{q_{1}}}^{*} e\left(\frac{at_{1}}{q_{1}}\right) \sum_{t_{2} \pmod{q_{2}}}^{*} e\left(\frac{\overline{t}_{1}t_{2}}{q_{2}}\right) \cdots \sum_{t_{n-1} \pmod{q_{n-1}}}^{*} e\left(\frac{\overline{t}_{n-2}t_{n-1}}{q_{n-1}}\right) \times \sum_{t_{n} \pmod{q_{n}}}^{*} e\left(\frac{\overline{t}_{n-1}t_{n} + h\overline{t}_{n}}{q_{n}}\right),$$

where $a, h \in \mathbb{Z}$, $n \geq 2$, q_i (i = 1, 2, ..., n) are positive integers and $\mathbf{q} = (q_1, q_2, ..., q_n)$. Note that $K_1(a, h; \mathbf{q}) = K(a, h; q)$ is the classical Kloosterman sum defined in (1.2). Note that for $n \geq 2$, $(h, q_n) = 1$, an obvious bound for (1.5) can be obtained by applying (1.3) to the inner sum:

$$|K_n(a,h;\mathbf{q})| \le \phi(q_1) \cdots \phi(q_{n-1}) q_n^{1/2} \tau(q_n).$$

In this paper we will estimate (1.5) for consecutively dividing moduli, i.e., $q_j \mid q_{j-1}$ for $j=2,3,\ldots,n$. To state our result, we introduce some notations. For positive integer a, let a^{**} be the largest square-full divisor of a and write $a^*=a/a^{**}$. Then a^* is square-free and $a=a^*a^{**}$, $(a^*,a^{**})=1$. Here we recall that a positive integer a is square-full means that $p^2 \mid a$ for each $p \mid a$.

Theorem 1.1. Let $n \ge 2$, $(h, q_1) = 1$. Assume $q_j \mid q_{j-1} \text{ for } j = 2, 3, ..., n$. Then we have

(1.7)
$$\sum_{a=1}^{q_1} |K_n(a,h;\boldsymbol{q})|^2 = \begin{cases} \lambda_n q_1 \phi(q_1) q_n^{n-1} & \text{if } q_2^{**} = \dots = q_n^{**}, \\ 0 & \text{otherwise,} \end{cases}$$

where for $j \geq 2$,

$$\lambda_j = \sum_{d|q_n^*} \frac{\mu(d)}{d\phi(d)} \theta_{j-1}(d) \quad with \quad \theta_j(d) = \begin{cases} 1 & \text{if } j = 1, \\ \sum_{u|d} \frac{\theta_{j-1}(u)}{u} & \text{if } j \geq 2. \end{cases}$$

Note that $\theta_j(d)$ $(j \ge 1)$ is multiplicative with $\theta_j(1) = 1$ and for any prime number p,

$$\theta_j(p) = \frac{p^j - 1}{p^{j-1}(p-1)}.$$

Thus for $j \geq 2$,

$$\lambda_j = \prod_{p|q_n^*} \left(1 - \frac{\theta_{j-1}(p)}{p(p-1)} \right) = \prod_{p|q_n^*} \left(1 - \frac{p^j - 1}{p^j(p-1)^2} \right).$$

Obviously $0 < \lambda_j < 1$ and

$$\lambda_{j} > \prod_{p|q_{n}^{*}} \left(1 - \frac{1}{(p-1)^{2}} \right) = \sum_{d|q_{n}^{*}} \frac{\mu(d)}{\phi^{2}(d)} \gg 1, \quad \text{if } 2 \nmid q_{n}^{*},$$

$$\lambda_{j} \ge 2^{-j} \prod_{2 < p|q_{n}^{*}} \left(1 - \frac{1}{(p-1)^{2}} \right) \gg 2^{-j}, \quad \text{if } 2 \mid q_{n}^{*},$$

where the implied constant in the above inequalities are absolute. By (1.7) we have, for any integer a,

$$(1.8) |K_n(a,h;\boldsymbol{q})| \le \begin{cases} \sqrt{\lambda_n q_1 \phi(q_1)} q_n^{(n-1)/2} & \text{if } q_2^{**} = \dots = q_n^{**}, \\ 0 & \text{otherwise.} \end{cases}$$

Apparently, this bound improves (1.6) for $n \geq 2$. For further estimate, we will prove the following.

Theorem 1.2. Let $n \geq 2$, $(h, q_1) = 1$ and $q_j \mid q_{j-1}$ for j = 2, 3, ..., n. Write $q_1 = q'q''$ where (q', q'') = 1 and q'' is the largest divisor of q_1 which has the same prime divisors as q_n . Then we have $K_n(a, h; \mathbf{q}) = 0$ unless $q_2^{**} = \cdots = q_n^{**}$ and in this case there holds

(1.9)
$$|K_n(a,h;\boldsymbol{q})| \le 2\rho(n,q_n)\phi^{-1}\left(\frac{q'}{(a,q')}\right)\phi(q_1)q_n^{(n-1)/2},$$

where

$$\rho(n, q_n) = n_1^{1/2} \prod_{\substack{p \mid \frac{q_n}{(n, q_n)}}} (p - 1, n)$$

with n_1 the largest divisor of n which has the same prime factors as q_n .

The Kloosterman sum defined in (1.5) appears naturally in theory of modular forms via Voronoi summation formula. Let f be a full-level cusp form for $GL_m(\mathbb{Z})$ with Langlands' parameters $\mu_f(j)$, j = 1, 2, ..., m, and Fourier coefficients $A_f(c_{m-2}, ..., c_1, n)$. Let $\psi \in$

 $C_c^{\infty}(\mathbb{R}^+)$, h, q any coprime positive integers and $h\overline{h} \equiv 1 \pmod{q}$. The Voronoi summation formula for f was proved by Miller and Schmid [17]:

$$\sum_{n \neq 0} A_f(c_{m-2}, c_{m-3}, \dots, c_1, n) e\left(-\frac{nh}{q}\right) \psi(|n|)$$

$$= q \sum_{d_1|c_1 q} \sum_{d_2 \left|\frac{c_1 c_2 q}{d_1}\right|} \dots \sum_{d_{m-2} \left|\frac{c_1 \cdots c_{m-2} q}{d_1 d_2 \cdots d_{m-3}}\right|} \sum_{n \neq 0} \frac{A_f(n, d_{m-2}, \dots, d_1)}{d_1 \cdots d_{m-2} |n|}$$

$$\times S(n, \overline{h}; q, \boldsymbol{c}, \boldsymbol{d}) \Psi\left(\frac{|n|}{q^m} \prod_{i=1}^{m-2} \frac{d_i^{m-i}}{c_i^{m-i-1}}\right),$$

where $\mathbf{c} = (c_1, \dots, c_{m-2}), \mathbf{d} = (d_1, \dots, d_{m-2}), \text{ and}$

$$(1.11) S(n, \overline{h}; q, \boldsymbol{c}, \boldsymbol{d}) = \sum_{x_1 \pmod{\frac{c_1 q}{d_1}}}^* e\left(\frac{d_1 x_1 n}{q}\right) \sum_{x_2 \pmod{\frac{c_1 c_2 q}{d_1 d_2}}}^* e\left(\frac{d_2 x_2 \overline{x}_1}{\frac{c_1 q}{d_1}}\right) \cdots$$

$$\times \sum_{x_{m-2} \pmod{\frac{c_1 \cdots c_{m-2} q}{d_1 \cdots d_{m-2}}}^* e\left(\frac{d_{m-2} x_{m-2} \overline{x}_{m-3}}{\frac{c_1 \cdots c_{m-3} q}{d_1 \cdots d_{m-3}}} + \frac{\overline{h} \overline{x}_{m-2}}{\frac{c_1 \cdots c_{m-2} q}{d_1 \cdots d_{m-2}}}\right).$$

Here $\Psi(x)$ is given by

(1.12)
$$\Psi(x) = \frac{1}{2\pi i} \int_{\text{Re } s = -\sigma} \widetilde{\psi}(s) x^s \frac{\widetilde{F}(1-s)}{F(s)} ds,$$

where $\widetilde{\psi}(s)$ is the Mellin transform of $\psi(s)$ and

$$F(s) = \pi^{-ms/2} \prod_{i=1}^{m} \Gamma\left(\frac{s - \mu_f(j)}{2}\right), \quad \widetilde{F}(s) = \pi^{-ms/2} \prod_{i=1}^{m} \Gamma\left(\frac{s - \overline{\mu}_f(j)}{2}\right).$$

In the above expression $\{\overline{\mu}_f(j)\}_{1\leq j\leq m}=\{\mu_{\widetilde{f}}(j)\}_{1\leq j\leq m}$ are the Langlands' parameters for the dual form \widetilde{f} of f. A special case of (1.10) for even Maass forms for $\mathrm{SL}_m(\mathbb{Z})$ and $c_1=c_2=\cdots=c_{m-2}=1$ was proved by Goldfeld and Li [6–8]. Note that for $\boldsymbol{c}=(1,1,\ldots,1)$, $S(n,\overline{h};q,\boldsymbol{c},\boldsymbol{d})$ can be rewritten as $K_{m-2}(n,h;q)$ where $\boldsymbol{q}=(q_1,q_2,\ldots,q_{m-2})$ is given by

(1.13)
$$q_i = \frac{q}{d_1 d_2 \cdots d_i}, \quad i = 1, 2, \dots, m - 2.$$

Note that $q_i \mid q_{i-1}$ for i = 2, 3, ..., m-2. A Voronoi summation formula for Rankin-Selberg products of $SL_m(\mathbb{Z})$ Maass forms was proved by Czarnecki [2].

For applications of Theorem 1.1, we consider the sum

(1.14)
$$\sum_{n} A_f(1,\ldots,1,n) e(\alpha n) \phi\left(\frac{n}{X}\right), \quad \alpha \in (0,1],$$

where X > 2, $\phi(x) \in C_c^{\infty}(0, \infty)$ a fixed function supported on [1, 2] and $A_f(c_{m-2}, \ldots, c_1, n)$ are Fourier coefficients for a Maass cusp form f for $SL_m(\mathbb{Z})$. By Rankin-Selberg theory (cf. [7]), one has

(1.15)
$$\sum_{|n|\prod_{i=1}^{m-2}d_i^{m-i} \le X} |A_f(n, d_{m-2}, \dots, d_1)|^2 \ll_f X.$$

Replacing f by \tilde{f} and noting that $A_f(n,1,\ldots,1)=A_{\tilde{f}}(1,\ldots,n)$, we see that a possible uniform bound over α for (1.14) would be $X^{1/2+\varepsilon}$. This is well-understood in the case of $\mathrm{GL}_2(\mathbb{Z})$ (Hafner and Ivić [9,10]). However it is far beyond reach at present in the case of $\mathrm{GL}_m(\mathbb{Z})$, $m \geq 3$. Actually, in the case of $\mathrm{GL}_3(\mathbb{Z})$, the best uniform bound so far is $X^{3/4+\varepsilon}$ (Miller [16] and Ren and Ye [20]), this bound is obtained by using the Hasse-Weil bound (1.3) for the classical Kloosterman sum. When $m \geq 4$, much less is known concerning the sum (1.14). Actually it seems difficult to achieve a uniform bound in these cases. One of the difficulties comes from lack of proper control of the Kloosterman sum $K_{m-2}(n,h;q)$. In this paper, we seek a nontrivial bound for (1.14) and prove the following.

Theorem 1.3. Let f be a full-level cusp form for $GL_m(\mathbb{Z})$, $m \geq 4$. Denote $\alpha = a/q + \lambda$, (a,q) = 1 and $\lambda \in \mathbb{R}$.

(i) Suppose $q^m \leq X$ and $|\lambda| \leq 1/(2qX^{1-1/m})$, then for any integer r > m/2, we have

(1.16)
$$\sum_{n \neq 0} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X}\right) \ll_{f, \varepsilon, r} (qX)^{1/2 + \varepsilon} \left(\frac{X}{q^m}\right)^{-r/m}.$$

(ii) In other cases we have

$$(1.17) \qquad \sum_{n \neq 0} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X}\right) \ll_{f,\varepsilon} q^{(m+1)/2+\varepsilon} \left((|\lambda| X)^{m/2} + 1 \right).$$

Corollary 1.4. Let $\alpha = a/q$ be a fixed rational number with (a,q) = 1. Then for $q^m \ll X^{1-\varepsilon}$,

$$\sum_{n>0} A_f(1,\ldots,1,n) e\left(\frac{an}{q}\right) \phi\left(\frac{n}{X}\right) \ll_{q,f,M} X^{-M}$$

for any M > 0.

Proof. This follows from Theorem 1.3(i) by taking $\lambda = 0$.

Recall that an irrational number α has approximation exponent $\tau(\alpha)$ if $\tau(\alpha)$ is the smallest number such that for any $\mu > \tau(\alpha)$ the inequality $|\alpha - a/q| < q^{-\mu}$ has only finitely many solutions. By analogous argument as in the proof of Corollary 1.3 in [20], we can easily obtain the following assertion.

Corollary 1.5. For any fixed transcendental number α with approximation exponent $\tau(\alpha) > m$, there is a sequence $X_k \to +\infty$ such that

$$\sum_{n} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X_k}\right) \ll_{f, M} X_k^{-M}$$

for any M > 0.

Proof. There are infinitely many ways to express $\alpha = a_k/q_k + \lambda_k$ with $|\lambda_k| \leq \frac{1}{2}q_k^{-\tau(\alpha)+\varepsilon}$, where we can take $\varepsilon > 0$ such that $\tau(\alpha) - \varepsilon \geq m + \varepsilon$. Let $X_k = q_k^{m+\varepsilon}$. Then

$$|\lambda_k| \le \frac{1}{2q_k^{m+\varepsilon}} < \frac{1}{2q_k X_k^{1-1/m}}.$$

Using Theorem 1.3(i) we have proved the corollary.

We pointed out that the strong decay of the sums in Corollaries 1.4 and 1.5 is a manifestation of the analytic properties of the underlying L-function twisted by such $e(\alpha n)$, due to the fact that ϕ is a smooth function.

Corollary 1.6. Let f be a full-level cusp form for $GL_m(\mathbb{Z})$, $m \geq 4$. For any fixed irrational number α with approximation exponent $\tau(\alpha)$, there exists a sequence $X_k \to +\infty$ such that

$$\sum_{n} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X_k}\right) \ll_{f, \alpha, \varepsilon} X_k^{(m+1)/(2\tau(\alpha)) + \varepsilon}.$$

Proof. There are infinitely many ways to write $\alpha = a_k/q_k + \lambda_k$ with $|\lambda_k| \leq q_k^{-\tau(\alpha)+\varepsilon}$. Take $X_k = q_k^{\tau(\alpha)}$. Then $|\lambda_k| X_k \leq q_k^{\varepsilon}$. Applying (1.17) we get

$$(1.18) \qquad \sum_{n} A_f(1,\dots,1,n) e(\alpha n) \phi\left(\frac{n}{X_k}\right) \ll q_k^{(m+1)/2+2\varepsilon} \ll X_k^{(m+1)/(2\tau(\alpha))+\varepsilon}$$

because
$$\tau(\alpha) \geq 2$$
.

We remark that (1.18) is nontrivial if $\tau(\alpha) > (m+1)/2$. Similar sums were studied by Ernvall-Hytönen et al. [4,5], Ren and Ye [22] and Czarnecki [2]. For the case of $\mathrm{GL}_3(\mathbb{Z})$, we proved in [20] the bound $\ll X_k^{m/(2\tau(\alpha))+\varepsilon}$. One can see that now we have $X_k^{(m+1)/(2\tau(\alpha))+\varepsilon}$. It is interesting if one can improve (1.17) to $\ll q^{m/2+\varepsilon}$ (($|\lambda| X)^{m/2} + 1$), as we did when m=3 (cf. [20, (3.18), p. 235]) which implies the uniform bound $X^{3/4+\varepsilon}$.

2. Proofs of Theorems 1.1 and 1.2

Lemma 2.1. Let $s \ge 1$ and $r \mid s$. Let (b, s) = 1 and $b\bar{b} \equiv 1 \pmod{s}$. Write

(2.1)
$$T(s,r;b,d) = \sum_{\substack{x=1\\(x,s)=1}}^{s} \frac{\rho(\overline{b}x,s)}{\rho(\overline{x},d)} \rho(\overline{x},r),$$

where

(2.2)
$$\rho(g,h) = \frac{\mu\left(\frac{h}{(g-1,h)}\right)}{\phi\left(\frac{h}{(g-1,h)}\right)}.$$

Then for $d \mid r^*$,

$$T(s,r;b,d) = \begin{cases} \frac{r}{\phi(s)} \cdot \frac{\rho(\overline{b},r)}{d\rho(\overline{b},d)} \sum_{u \left| \frac{r^*}{d} \right|} \frac{\mu(u)}{\rho(\overline{b},u)u\phi(u)} & \textit{if } r^{**} = s^{**}, \\ 0 & \textit{otherwise}. \end{cases}$$

Proof. We first show that if $s = s_1 s_2$ with $(s_1, s_2) = 1$, then for $r \mid s, d \mid s, r_i = (r, s_i)$ and $d_i = (d, s_i)$, there holds

$$(2.3) T(s_1s_2, r; b, d) = T(s_1, r_1; b, d_1)T(s_2, r_2; b, d_2).$$

In fact, for $(s_1, s_2) = 1$ we have

$$T(s_1s_2,r;b,d) = \sum_{\substack{x_1=1\\(x_1,s_1)=1}}^{s_1} \sum_{\substack{x_2=1\\(x_2,s_2)=1}}^{s_2} \frac{\rho(\overline{b}(x_1s_2+x_2s_1),s_1s_2)}{\rho(\overline{x_1s_2+x_2s_1},d_1d_2)} \rho(\overline{x_1s_2+x_2s_1},r_1r_2),$$

where

$$b\bar{b} \equiv 1 \pmod{s_1 s_2}, \quad (x_1 s_2 + x_2 s_1) \overline{x_1 s_2 + x_2 s_1} \equiv 1 \pmod{s_1 s_2}.$$

Note that $d_i \mid s_i$ and $r_i \mid s_i$ (i = 1, 2) imply

$$\rho(\overline{b}(x_1s_2 + x_2s_1), s_1s_2) = \rho(\overline{b}x_1s_2, s_1)\rho(\overline{b}x_2s_1, s_2),$$

$$\rho(\overline{x_1s_2 + x_2s_1}, r_1r_2) = \rho(\overline{x_1s_2}, r_1)\rho(\overline{x_2s_1}, r_2),$$

$$\rho(\overline{x_1s_2 + x_2s_1}, d_1d_2) = \rho(\overline{x_1s_2}, d_1)\rho(\overline{x_2s_1}, d_2),$$

where $(x_1s_2)\overline{x_1s_2} \equiv 1 \pmod{s_1}$ and $(x_2s_1)\overline{x_2s_1} \equiv 1 \pmod{s_2}$. Therefore

$$T(s_{1}s_{2}, r; b, d) = \sum_{\substack{x_{1}=1\\(x_{1}, s_{1})=1}}^{s_{1}} \frac{\rho(\overline{b}x_{1}s_{2}, s_{1})}{\rho(\overline{x_{1}s_{2}}, d_{1})} \rho(\overline{x_{1}s_{2}}, r_{1}) \sum_{\substack{x_{2}=1\\(x_{2}, s_{2})=1}}^{s_{2}} \frac{\rho(\overline{b}x_{2}s_{1}, s_{2})}{\rho(\overline{x_{2}s_{1}}, d_{2})} \rho(\overline{x_{2}s_{1}}, r_{2})$$

$$= \sum_{\substack{x_{1}=1\\(x, s_{1})=1}}^{s_{1}} \frac{\rho(\overline{b}x, s_{1})}{\rho(\overline{x}, d_{1})} \rho(\overline{x}, r_{1}) \sum_{\substack{x_{2}=1\\(x, s_{2})=1}}^{s_{2}} \frac{\rho(\overline{b}x, s_{2})}{\rho(\overline{x}, d_{2})} \rho(\overline{x}, r_{2})$$

$$= T(s_{1}, r_{1}; b, d_{1}) T(s_{2}, r_{2}; b, d_{2}).$$

We write $s = \prod_{p|s} p^{k_p}$, $k_p \ge 1$. Then $r \mid s$ and $d \mid r$ imply

$$r = \prod_{p|s} p^{u_p}, \quad d = \prod_{p|s} p^{v_p}, \quad 0 \le v_p \le u_p \le k_p.$$

Moreover, $d \mid r^*$ implies $v_p = 0$ if $u_p > 1$; $v_p = 0$ or 1 if $u_p = 1$; $v_p = 0$ if $u_p = 0$. By (2.3), we get

(2.4)
$$T(s,r;b,d) = \prod_{p|s} \sigma(p^{k_p}),$$

where for $k = k_p$, $u = u_p$ and $v = v_p$,

$$\sigma(p^k) = \sum_{\substack{x=1\\p\nmid x}}^{p^k} \frac{\rho(\overline{b}x, p^k)}{\rho(\overline{x}, p^v)} \rho(\overline{x}, p^u).$$

We will show that for k = 1,

(2.5)
$$\sigma(p^k) = \begin{cases} \frac{1}{\phi(p)} & \text{if } u = v, \\ \frac{p}{\phi(p)} \rho(\overline{b}, p^u) - \frac{1}{\phi^2(p)} & \text{if } u \neq v, \end{cases}$$

and for k > 1,

(2.6)
$$\sigma(p^k) = \begin{cases} 0 & \text{if } u < k, \\ \frac{p}{\phi(p)} \rho(\overline{b}, p^u) & \text{if } u = k. \end{cases}$$

(i) Suppose k = 1. Then $0 \le v \le u \le 1$ and

$$\sigma(p^k) = \sum_{x=1}^{p-1} \frac{\rho(\overline{b}x, p)}{\rho(\overline{x}, p^v)} \rho(\overline{x}, p^u).$$

If p=2 then the right above is equal to 1, hence (2.5) is true. Let p>2. If u=v then

$$\sigma(p^k) = \sum_{x=1}^{p-1} \rho(\overline{b}x, p) = \sum_{x=1}^{p-1} \rho(x, p) = 1 - \frac{p-2}{\phi(p)} = \frac{1}{\phi(p)}.$$

If $u \neq v$, then one has u = 1 and v = 0. Therefore

(2.7)
$$\sigma(p^k) = \sum_{x=1}^{p-1} \rho(\overline{b}x, p) \rho(\overline{x}, p) = \rho(\overline{b}, p) - \frac{1}{\phi(p)} \sum_{x=2}^{p-1} \rho(\overline{b}x, p).$$

The last sum is equal to

$$\sum_{x=1}^{p-1} \rho(x, p) - \rho(\bar{b}, p) = \frac{1}{\phi(p)} - \rho(\bar{b}, p).$$

Putting in (2.7) we obtain (2.5).

(ii) Suppose k > 1. Note that $\rho(\overline{b}x, p^k) = 0$ unless $(\overline{b}x - 1, p^k) = p^k$ or p^{k-1} , that is $x \equiv b \pmod{p^k}$ or $x = x_h \equiv b(1 + hp^{k-1}) \pmod{p^k}$ with $h = 1, 2, \dots, p-1$.

This shows that

(2.8)
$$\sigma(p^k) = \frac{\rho(\overline{b}, p^u)}{\rho(\overline{b}, p^v)} - \frac{1}{\phi(p)} \sum_{h=1}^{p-1} \frac{\rho(\overline{x}_h, p^u)}{\rho(\overline{x}_h, p^v)}.$$

It is easy to see that $\overline{x}_h \equiv \overline{b}(1 - hp^{k-1}) \pmod{p^k}$. If u < k, then $\rho(\overline{x}_h, p^u) = \rho(\overline{b}, p^u)$, $\rho(\overline{x}_h, p^v) = \rho(\overline{b}, p^v)$, hence $\sigma(p^k) = 0$. If u = k, then v = 0 and (2.8) becomes

(2.9)
$$\sigma(p^k) = \rho(\overline{b}, p^k) - \frac{1}{\phi(p)} \sum_{h=1}^{p-1} \rho(\overline{x}_h, p^k).$$

Let $p^j \parallel \overline{b} - 1$. If $j \ge k$, then $(\overline{x}_h - 1, p^k) = p^{k-1}$, therefore $\rho(\overline{b}, p^k) = 1$ and $\rho(\overline{x}_h, p^k) = -\frac{1}{\phi(p)}$. This gives

$$\sigma(p^k) = 1 + \frac{p-1}{\phi^2(p)} = \frac{p}{\phi(p)} \rho(\overline{b}, p^k).$$

If $j \leq k-2$, then $(\overline{x}_h-1,p^k)=p^j$, and hence $\rho(\overline{b},p^k)=\rho(\overline{x}_h,p^k)=0$. Therefore $\sigma(p^k)=0$.

If j=k-1, then one can write $\overline{b}=1+t_0p^{k-1}$ for some t_0 with $p\nmid t_0$. Now $(\overline{x}_h-1,p^k)=p^{k-1}(t_0-h,p)$. Thus $\rho(\overline{x}_h,p^k)=\rho(t-h,p)$ and $\rho(\overline{b},p^k)=-\frac{1}{\phi(p)}$. Therefore

$$\sigma(p^k) = -\frac{1}{\phi(p)} - \frac{1}{\phi(p)} \sum_{h=1}^{p-1} \rho(t_0 - h, p).$$

For p=2 this gives $\sigma(2^k)=-2$ which verifies (2.6). For p>2, it gives

$$\sigma(p^k) = -\frac{2}{\phi(p)} + \frac{p-2}{\phi^2(p)} = -\frac{p}{\phi^2(p)} = \frac{p}{\phi(p)}\rho(\bar{b}, p^k).$$

By (2.4)–(2.6) we see that T(s,r;b,d)=0 unless $u_p=k_p$ for each $p\mid s$, that is, $r^{**}=s^{**}$. In this case we have $r^*\mid s^*$, and for $d\mid r^*$,

$$\begin{split} T(s,r;b,d) &= \prod_{p\left|\frac{s^*}{r^*}} \frac{1}{\phi(p)} \prod_{p\mid d} \frac{1}{\phi(p)} \prod_{p\left|\frac{r^*}{d}} \left\{ \frac{p}{\phi(p)} \rho(\overline{b},p) - \frac{1}{\phi^2(p)} \right\} \prod_{p^k \mid r^{**}} \frac{p}{\phi(p)} \rho(\overline{b},p^k) \\ &= \frac{1}{\phi(\frac{s^*}{r^*})\phi(d)} \frac{r^{**}}{\phi(r^{**})} \rho(\overline{b},r^{**}) \frac{\frac{r^*}{d}}{\phi(\frac{r^*}{d})} \rho\left(\overline{b},\frac{r^*}{d}\right) \prod_{p\left|\frac{r^*}{d}} \left(1 - \frac{1}{\rho(\overline{b},p)p\phi(p)}\right) \\ &= \frac{r}{\phi(s)} \frac{\rho(\overline{b},r)}{d\rho(\overline{b},d)} \sum_{u\left|\frac{r^*}{d}\right|} \frac{\mu(u)}{\rho(\overline{b},u)u\phi(u)}, \end{split}$$

which completes the proof.

Proof of Theorem 1.1. By definition,

$$\sum_{1 \leq a \leq q_{1}} |K_{n}(a, h; \boldsymbol{q})|^{2} = \sum_{t_{1}, t'_{1} \pmod{q_{1}}}^{*} \sum_{a \leq q_{1}} e\left(\frac{at_{1} - at'_{1}}{q_{1}}\right) \sum_{t_{2}, t'_{2} \pmod{q_{2}}}^{*} e\left(\frac{\overline{t}_{1}t_{2} - \overline{t}'_{1}t'_{2}}{q_{2}}\right) \cdots \times \sum_{t_{n-1}, t'_{n-1} \pmod{q_{n-1}}}^{*} e\left(\frac{\overline{t}_{n-2}t_{n-1} - \overline{t}'_{n-2}t'_{n-1}}{q_{n-1}}\right) \times \sum_{t_{n}, t'_{n} \pmod{q_{n}}}^{*} e\left(\frac{\overline{t}_{n-1}t_{n} - \overline{t}'_{n-1}t'_{n}}{q_{n}}\right) e\left(\frac{h(\overline{t}_{n} - \overline{t}'_{n})}{q_{n}}\right).$$

The sum over a is equal to 0 unless $t_1 \equiv t_1' \pmod{q_1}$ in which case it equals q_1 . Write $t_i' \equiv t_i a_i \pmod{q_i}$ for i = 2, 3, ..., n, we get

$$\sum_{1 \le a \le q_1} |K_n(a, h; \mathbf{q})|^2
= q_1 \sum_{t_1 \pmod{q_1}}^* \sum_{t_2, a_2 \pmod{q_2}}^* e\left(\frac{\overline{t}_1 t_2 (1 - a_2)}{q_2}\right) \sum_{t_3, a_3 \pmod{q_3}}^* e\left(\frac{\overline{t}_2 t_3 (1 - \overline{a}_2 a_3)}{q_3}\right) \cdots
\times \sum_{t_n, a_n \pmod{q_n}}^* e\left(\frac{\overline{t}_{n-1} t_n (1 - \overline{a}_{n-1} a_n)}{q_n}\right) e\left(\frac{h\overline{t}_n (1 - \overline{a}_n)}{q_n}\right).$$

Changing the order of the first two sums, then for $(t_2, q_2) = 1$ the sum over t_1 is equal to

$$\begin{split} &\sum_{t_1 \pmod{q_1}}^* e\left(\frac{\bar{t}_1 t_2 (1-a_2) q_1/q_2}{q_1}\right) \\ &= \mu\left(\frac{q_1}{(t_2 (a_2-1) q_1/q_2, q_1)}\right) \phi(q_1) \phi^{-1}\left(\frac{q_1}{(t_2 (a_2-1) q_1/q_2, q_1)}\right) \\ &= \phi(q_1) \mu\left(\frac{q_2}{(a_2-1, q_2)}\right) \phi^{-1}\left(\frac{q_2}{(a_2-1, q_2)}\right) = \phi(q_1) \rho(a_2, q_2). \end{split}$$

Hence

$$\sum_{1 \le a \le q_1} |K_n(a, h; \boldsymbol{q})|^2 = q_1 \phi(q_1) \sum_{a_2 \pmod{q_2}}^* \rho(a_2, q_2) \sum_{t_2 \pmod{q_2}}^* \sum_{t_3, a_3 \pmod{q_3}}^* e\left(\frac{\overline{t}_2 t_3 (1 - \overline{a}_2 a_3)}{q_3}\right) \cdots \times \sum_{t_n, q_n \pmod{q_n}}^* e\left(\frac{\overline{t}_{n-1} t_n (1 - \overline{a}_{n-1} a_n)}{q_n}\right) e\left(\frac{h\overline{t}_n (1 - \overline{a}_n)}{q_n}\right).$$

Similarly, the sum over t_j $(2 \le j \le t_{n-1})$ is equal to $\phi(q_j)\rho(\overline{a}_ja_{j+1},q_{j+1})$, and finally the sum over t_n is equal to $\phi(q_n)\rho(\overline{a}_n,q_n)$. Therefore we get

(2.10)
$$\sum_{1 \leq a \leq q_{1}} |K_{n}(a, h; \boldsymbol{q})|^{2}$$

$$= q_{1}\phi(q_{1})\phi(q_{2})\cdots\phi(q_{m}) \sum_{a_{2} \pmod{q_{2}}}^{*} \rho(a_{2}, q_{2}) \sum_{a_{3} \pmod{q_{3}}}^{*} \rho(\overline{a}_{2}a_{3}, q_{3})\cdots$$

$$\times \sum_{a_{n-1} \pmod{q_{n-1}}}^{*} \rho(\overline{a}_{n-2}a_{n-1}, q_{n-1}) \sum_{a_{n} \pmod{q_{n}}}^{*} \rho(\overline{a}_{n-1}a_{n}, q_{n})\rho(\overline{a}_{n}, q_{n}).$$

When n = 2, we get

$$\sum_{1 \le a \le q_1} |K_2(a, h; \boldsymbol{q})|^2 = q_1 \phi(q_1) \phi(q_2) \sum_{a_2 \pmod{q_2}}^* \rho(a_2, q_2) \rho(\overline{a}_2, q_2).$$

The sum over a_2 is $T(q_2, q_2; 1, 1)$ which, by Lemma 2.1, is equal to

$$\frac{q_2}{\phi(q_2)} \sum_{u|q_2^*} \frac{\mu(u)}{u\phi(u)}.$$

Denote by λ_2 the sum over u, then we get

$$\sum_{1 \le a \le q_1} |K_2(a, h; \mathbf{q})|^2 = \lambda_2 q_1 \phi(q_1) q_2.$$

When $n \geq 3$, the sum over a_n in (2.10) is $T(q_n, q_n; a_{n-1}, 1)$ which, by Lemma 2.1, is equal to

$$\frac{q_n}{\phi(q_n)}\rho(\overline{a}_{n-1},q_n)\sum_{u|q_*^*}\frac{\mu(u)}{\rho(\overline{a}_{n-1},u)u\phi(u)}.$$

Thus (2.10) becomes

(2.11)
$$\sum_{1 \leq a \leq q_{1}} |K_{n}(a, h; \boldsymbol{q})|^{2}$$

$$= q_{1}\phi(q_{1})\phi(q_{2})\cdots\phi(q_{n-1})q_{n} \sum_{a_{2} \pmod{q_{2}}}^{*} \rho(a_{2}, q_{2}) \sum_{a_{3} \pmod{q_{3}}}^{*} \rho(\overline{a}_{2}a_{3}, q_{3})\cdots$$

$$\times \sum_{a_{n-2} \pmod{q_{n-2}}}^{*} \rho(\overline{a}_{n-3}a_{n-2}, q_{n-2}) \sum_{d \mid \sigma_{n}^{*}} \frac{\mu(d)}{d\phi(d)} T(q_{n-1}, q_{n}; a_{n-2}, d).$$

By Lemma 2.1, $T(q_{n-1}, q_n; a_{n-2}, d) = 0$ unless $q_{n-1}^{**} = q_n^{**}$, in this case it is equal to

$$\frac{q_n}{\phi(q_{n-1})} \cdot \frac{\rho(\overline{a}_{n-2}, q_n)}{d\rho(\overline{a}_{n-2}, d)} \sum_{u \mid \frac{q_n^*}{d}} \frac{\mu(u)}{\rho(\overline{a}_{n-2}, u)u\phi(u)}.$$

This gives

$$\begin{split} \sum_{d|q_n^*} \frac{\mu(d)}{d\phi(d)} T(q_{n-1}, q_n; a_{n-2}, d) &= \frac{q_n \rho(\overline{a}_{n-2}, q_n)}{\phi(q_{n-1})} \sum_{d|q_n^*} \frac{\mu(d)}{\rho(\overline{a}_{n-2}, d) d^2 \phi(d)} \sum_{u \left| \frac{q_n^*}{d} \right|} \frac{\mu(u)}{\rho(\overline{a}_{n-2}, u) u \phi(u)} \\ &= \frac{q_n \rho(\overline{a}_{n-2}, q_n)}{\phi(q_{n-1})} \sum_{v \mid q_n^*} \frac{\mu(v)}{\rho(\overline{a}_{n-2}, v) v \phi(v)} \sum_{d \mid v} \frac{1}{d}. \end{split}$$

Write $\theta_2(v) = \sum_{t|v} t^{-1}$ and back to (2.11) we obtain

$$\sum_{1 \leq a \leq q_1} |K_n(a, h; \boldsymbol{q})|^2 = q_1 \phi(q_1) \phi(q_2) \cdots \phi(q_{n-2}) q_n^2 \sum_{a_2 \pmod{q_2}}^* \rho(a_2, q_2) \sum_{a_3 \pmod{q_3}}^* \rho(\overline{a}_2 a_3, q_3) \cdots \times \sum_{a_{n-3} \pmod{q_{n-3}}}^* \rho(\overline{a}_{n-4} a_{n-3}, q_{n-3}) \sum_{d \mid q_2^*} \frac{\mu(d) \theta_2(d)}{d \phi(d)} T(q_{n-2}, q_n; a_{n-3}, d).$$

Continuing this process one yields either $\sum_{1 \leq a \leq q_1} |K_n(a,h;\boldsymbol{q})|^2 = 0$ or $q_3^{**} = \cdots = q_n^{**}$ and

(2.12)
$$\sum_{1 \le a \le q_1} |K_n(a, h; \boldsymbol{q})|^2 = q_1 \phi(q_1) \phi(q_2) q_n^{n-2} \sum_{d \mid q_n^*} \frac{\mu(d) \theta_{n-2}(d)}{d\phi(d)} T(q_2, q_n; 1, d),$$

where $\theta_1(d) = 1$ and $\theta_j(d) = \sum_{t|d} \frac{\theta_{j-1}(t)}{t}$ for $j \geq 2$. Applying Lemma 2.1 again we have $T(q_2, q_n; 1, d) = 0$ unless $q_2^{**} = q_n^{**}$ and

$$T(q_2, q_n; 1, d) = \frac{q_n}{\phi(q_2)} \cdot \frac{1}{d} \sum_{\substack{u \mid \frac{q_n^*}{d}}} \frac{\mu(u)}{u\phi(u)}.$$

Back to (2.12) we finally obtain either $\sum_{1 \le n \le q_1} |K_n(a, h; \mathbf{q})|^2 = 0$ or $q_2^{**} = q_3^{**} = \cdots = q_n^{**}$ and

$$\sum_{1 \le a \le q_1} |K_n(a, h; \mathbf{q})|^2 = \lambda_n q_1 \phi(q_1) q_n^{n-1},$$

where

$$\lambda_n = \sum_{d|q_n^*} \frac{\mu(d)\theta_{n-1}(d)}{d\phi(d)}.$$

Proof of Theorem 1.2. The first assertion follows immediately from Theorem 1.1. To prove (1.9) we write $\bar{t}_{i-1}t_i \equiv x_i \pmod{q_i}$ for i = 2, 3, ..., n, and then write x_1 for t_1 , to get

(2.13)
$$K_{n}(a,h;\boldsymbol{q}) = \sum_{x_{1} \pmod{q_{1}}}^{*} e\left(\frac{ax_{1}}{q_{1}}\right) \sum_{x_{2} \pmod{q_{2}}}^{*} e\left(\frac{x_{2}}{q_{2}}\right) \cdots \sum_{x_{n-1} \pmod{q_{n-1}}}^{*} e\left(\frac{x_{n-1}}{q_{n-1}}\right) \times \sum_{x_{n} \pmod{q_{n}}}^{*} e\left(\frac{x_{n}}{q_{n}}\right) e\left(\frac{h\overline{x}_{1} \cdots \overline{x}_{n-1}\overline{x}_{n}}{q_{n}}\right).$$

For $2 \le i \le n$, we write $r_i = q_i/q_n$, then r_i is square-free and $(r_i, q_n) = 1$, since $q_i^{**} = q_n^{**}$. So we can express x_i as $f_iq_n + g_ir_i$ where f_i , g_i run through reduced residue systems modulo r_i and q_n respectively. Moreover, we express x_1 as $f_1q'' + g_1q'$, where $q'q'' = q_1$, (q', q'') = 1 and q'' is the largest factor of q_1 which has the same prime divisors as q_n . Note that $r_n = 1$ and $q_n \mid q''$. One has

$$x_1 x_2 \cdots x_n \equiv q' g_1 \prod_{i=2}^n g_i r_i \pmod{q_n}.$$

Therefore

$$K_{n}(a, h; \mathbf{q}) = \sum_{f_{1} \pmod{q'}}^{*} e\left(\frac{af_{1}}{q'}\right) \sum_{g_{1} \pmod{q''}}^{*} e\left(\frac{ag_{1}}{q''}\right) \sum_{f_{2} \pmod{r_{2}}}^{*} e\left(\frac{f_{2}}{r_{2}}\right) \sum_{g_{2} \pmod{q_{n}}}^{*} e\left(\frac{g_{2}}{q_{n}}\right) \cdots \times \sum_{f_{n-1} \pmod{r_{n-1}}}^{*} e\left(\frac{f_{n-1}}{r_{n-1}}\right) \sum_{g_{n-1} \pmod{q_{n}}}^{*} e\left(\frac{g_{n-1}}{q_{n}}\right) \times \sum_{g_{n} \pmod{q_{n}}}^{*} e\left(\frac{g_{n}}{q_{n}}\right) e\left(\frac{hq'g_{1} \prod_{i=2}^{n} (g_{i}r_{i})}{q_{n}}\right)$$

which in turn equals

$$\phi(q')\phi^{-1}\left(\frac{q'}{(a,q')}\right)\mu\left(\frac{q'}{(a,q')}\right)\mu(r_2)\cdots\mu(r_{n-1})\sum_{\substack{q\pmod{q''}}}^* e\left(\frac{ag}{q''}\right)H(h,q'gr_2\cdots r_{n-1};q_n),$$

where

$$H(h,y;d) = \sum_{x_1 \pmod{d}}^* \sum_{x_2 \pmod{d}}^* \cdots \sum_{x_{n-1} \pmod{d}}^* e\left(\frac{x_1 + \cdots + x_{n-1} + h\overline{y}\overline{x}_1 \cdots \overline{x}_{n-1}}{d}\right).$$

For H(h, y; d), we have the following factorization: If $d = d_1 d_2$, $(d_1, d_2) = 1$, then for (y, d) = 1,

(2.14)
$$H(h, y; d) = H(h, yd_2^n; d_1)H(h, yd_1^n; d_2).$$

In fact, if we write $x_i = a_i d_1 + b_i d_2 \pmod{d_1 d_2}$ where a_i, b_i run through reduced residue systems modulo d_2 and d_1 , respectively, then

$$yx_1 \cdots x_{n-1} \equiv ya_1 \cdots a_{n-1}d_1^{n-1} + yb_1 \cdots b_{n-1}d_2^{n-1} \pmod{d_1d_2}.$$

Let

$$\overline{yx_1\cdots x_{n-1}} \equiv ud_1 + vd_2 \pmod{d_1d_2}.$$

Then

$$ya_1 \cdots a_{n-1} d_1^n u + yb_1 \cdots b_{n-1} d_2^n v \equiv 1 \pmod{d_1 d_2}$$

from which we find

$$u \equiv \overline{ya_1 \cdots a_{n-1}d_1^n} \pmod{d_2}, \quad v \equiv \overline{yb_1 \cdots b_{n-1}d_2^n} \pmod{d_1}$$

Thus

$$H(h, y, d_1 d_2)$$

$$= \sum_{a_1 \pmod{d_2}}^* \sum_{b_1 \pmod{d_1}}^* e\left(\frac{b_1}{d_1}\right) e\left(\frac{a_1}{d_2}\right) \cdots \sum_{a_{n-1} \pmod{d_2}}^* \times \sum_{b_{n-1} \pmod{d_1}}^* e\left(\frac{b_{n-1}}{d_1}\right) e\left(\frac{a_{n-1}}{d_2}\right) e\left(\frac{h\overline{yb_1 \cdots b_{n-1}d_2^n}}{d_1}\right) e\left(\frac{h\overline{ya_1 \cdots a_{n-1}d_1^n}}{d_2}\right)$$

$$= H(h, yd_1^n; d_2)H(h, yd_2^n; d_1).$$

Let $q_n = \prod_{j=1}^k p_j^{\alpha_j}$ be the canonical decomposition of q_n , and write $z_j = q_n p_j^{-\alpha_j}$. Then by (2.14) we get

$$H(h, q'gr_2 \cdots r_{n-1}; q_n) = \prod_{j=1}^k H(h, q'gr_2 \cdots r_{n-1}z_j^n; p_j^{\alpha_j}).$$

Therefore

(2.15)
$$K_n(a,h;\boldsymbol{q}) = \phi(q')\phi^{-1}\left(\frac{q'}{(a,q')}\right)\mu\left(\frac{q'}{(a,q')}\right)\mu(r_2)\cdots\mu(r_{n-1})$$
$$\times \sum_{q\pmod{q''}}^* e\left(\frac{ag}{q''}\right)\prod_{j=1}^k H(h,q'gr_2\cdots r_{n-1}z_j^n;p_j^{\alpha_j}).$$

Write $q'' = \prod_{j=1}^k p_j^{\beta_j}$. Then $\beta_j \ge \alpha_j$ since $q_n \mid q''$. Let $m_i = \prod_{j=1}^i p_j^{\beta_j}$ and $w_i = q'' p_i^{-\beta_i}$ for $i = 1, 2, \ldots, k$. Note that $m_k = q''$ and $m_{k-1} = w_k$. Write $g \equiv u m_{k-1} + v p_k^{\beta_k} \pmod{q''}$. Then

(2.16)
$$\sum_{g \pmod{q''}}^{*} e\left(\frac{ag}{q''}\right) \prod_{j=1}^{k} H(h, q'gr_{2} \cdots r_{n-1}z_{j}^{n}; p_{j}^{\alpha_{j}})$$

$$= \sum_{u \pmod{p_{k}^{\beta_{k}}}}^{*} e\left(\frac{au}{p_{k}^{\beta_{k}}}\right) H(h, q'uw_{k}r_{2} \cdots r_{n-1}z_{k}^{n}; p_{k}^{\alpha_{k}})$$

$$\times \sum_{v \pmod{m_{k-1}}}^{*} e\left(\frac{av}{m_{k-1}}\right) \prod_{j=1}^{k-1} H(h, q'vp_{k}^{\beta_{k}}r_{2} \cdots r_{n-1}z_{j}^{n}; p_{j}^{\alpha_{j}}).$$

Write $v \equiv u m_{k-2} + v p_{k-1}^{\beta_{k-1}} \pmod{m_{k-1}}$. Then the above sum over v is equal to

(2.17)
$$\sum_{u \pmod{p_{k-1}^{\beta_{k-1}}}}^{*} e\left(\frac{au}{p_{k-1}^{\beta_{k-1}}}\right) H(h, q'uw_{k-1}r_{2} \cdots r_{n-1}z_{k-1}^{n}; p_{k-1}^{\alpha_{k-1}})$$

$$\times \sum_{v \pmod{m_{k-2}}}^{*} e\left(\frac{av}{m_{k-2}}\right) \prod_{j=1}^{k-2} H(h, q'vp_{k}^{\beta_{k}}p_{k-1}^{\beta_{k-1}}r_{2} \cdots r_{n-1}z_{j}^{n}; p_{j}^{\alpha_{j}}).$$

In this way one finally obtains

$$K_n(a,h;\boldsymbol{q}) = \phi(q')\phi^{-1}\left(\frac{q'}{(a,q')}\right)\mu\left(\frac{q'}{(a,q')}\right)\mu(r_2)\cdots\mu(r_{n-1})$$

$$\times \prod_{j=1}^k \sum_{u_j \pmod{p_j^{\beta_j}}}^* e\left(\frac{au_j}{p_j^{\beta_j}}\right)H(h,q'u_jr_2\cdots r_{n-1}w_jz_j^n;p_j^{\alpha_j}).$$

Note that

$$H(h, y; p^{\alpha}) = H(h\overline{y}, 1; p^{\alpha}) = K_{n-1}(\mathbf{1}, h\overline{y}, p^{\alpha}),$$

where $K_n(\boldsymbol{a}, b; q)$ is defined in (1.1). Applying (1.4) we get

$$|K_{n}(a,h;\boldsymbol{q})| \leq 2\phi(q')\phi^{-1}\left(\frac{q'}{(a,q')}\right)\phi(q'') \prod_{\substack{j=1\\p_{j}^{\gamma_{j}}\|n}}^{k} (n,p_{j}-1)p_{j}^{\frac{(n-1)\alpha_{j}}{2} + \frac{1}{2}\min\{\gamma_{j},\alpha_{j}-2\}}$$

$$\leq 2\phi(q_{1})\phi^{-1}\left(\frac{q'}{(a,q')}\right)q_{n}^{(n-1)/2}\left(\prod_{\substack{j=1\\p_{j}^{\gamma_{j}}\|n,\gamma_{j}\geq 1}}^{k}p_{j}^{\gamma_{j}/2}\right)\left(\prod_{\substack{j=1\\p_{j}\neq n}}^{k} (n,p_{j}-1)\right)$$

$$= 2\rho(n,q_{n})\phi^{-1}\left(\frac{q'}{(a,q')}\right)\phi(q_{1})q_{n}^{(n-1)/2},$$

which proves the theorem.

3. Proof of Theorem 1.3

To prove Theorem 1.3 we need estimates for $\Psi(x)$. To this end we record the following lemma which can be found in Ren and Ye [21]. The case m=3 of the lemma can also be found in Li [15] and Ren and Ye [19]. The Rankin-Selberg case was proved by Czarnecki [2].

Lemma 3.1. Let f be a full-level cusp form for $GL_m(\mathbb{Z})$. Let $m \geq 3$ be an integer. Let $\psi(y) = \phi(y/X)$, where $\phi(x) \ll 1$ is a fixed smooth function of compact support on [a,b] with b > a > 0. Then for x > 0, $xX \gg 1$ and r > m/2, we have

$$\Psi(x) = x \sum_{k=0}^{r} c_k \int_0^\infty (xy)^{1/(2m)-1/2-k/m} \psi(y)$$

$$\times \left\{ i^{k+(m-1)/2} e\left(m(xy)^{1/m}\right) + (-i)^{k+(m-1)/2} e\left(-m(xy)^{1/m}\right) \right\} dy$$

$$+ O\left((xX)^{-r/m+1/2+\varepsilon}\right),$$

where c_k (k = 0, 1, ..., r) are constants depending on m and $\{\mu_f(j)\}$ with $c_0 = -1/\sqrt{m}$, and the implied constant depends at most on f, ϕ , r, a, b and ε .

The following lemma gives an upper bound estimate for $\Psi(x)$ without the restriction $xX \gg 1$ in Lemma 3.1.

Lemma 3.2. Suppose that $\psi(y) = \phi(y/X)$ where ϕ is a fixed smooth function of compact support on the interval [a,b] where b > a > 0. Let x, X > 0. Then for $\sigma > 1/4 - 1/(2(m^2 + 1))$ and any integer $h \ge 2m\sigma - m/2 + 1$, we have

(3.2)
$$\Psi(x) \ll_{\sigma} (\pi^{m} x X)^{-2\sigma+1} \sup_{t \in \mathbb{R}} \left| \int_{a}^{b} g_{h}(v) v^{-2(\sigma+it)} dv \right|,$$

where $g_0(v) = \phi(v)$ and

$$g_h(v) = \frac{d(vg_{h-1}(v))}{dv}$$
 for $h \ge 1$.

Proof. By (1.12) we have

$$\Psi(x) = \frac{1}{2\pi i} \int_{\text{Re } s = -\sigma} \widetilde{\psi}(s) x^{s} \frac{\widetilde{F}(1-s)}{F(s)} ds,$$

where

$$\widetilde{\psi}(s) = \int_0^\infty \phi\left(\frac{y}{X}\right) y^{s-1} dy = X^s \widetilde{\phi}(s).$$

Changing s to 2s-1 we get

(3.3)
$$\Psi(x) = i\pi^{-m/2-1} \int_{\text{Re } s = \sigma} G(s) (\pi^m x X)^{-2s+1} \widetilde{\phi}(-2s+1) \, ds,$$

where $\sigma > \sigma_0 = 1/4 - 1/(2(m^2 + 1))$ and

$$G(s) = \prod_{j=1}^{m} \frac{\Gamma\left(s - \frac{\overline{\mu}_f(j)}{2}\right)}{\Gamma\left(-s + \frac{1 - \mu_f(j)}{2}\right)}.$$

By integrating by parts,

$$\widetilde{\phi}(-2s+1) = \int_0^\infty \phi(v)v^{-2s} \, dv = \frac{1}{(2s)^h} \int_a^b g_h(v)e^{-2s\log v} \, dv,$$

where

$$g_0(v) = \phi(v), \quad g_h(v) = \frac{d(vg_{h-1}(v))}{dv}, \quad h = 1, 2, \dots$$

Therefore

$$\left|\widetilde{\phi}(-2s+1)\right| \le \frac{1}{\left|2s\right|^h} \left| \int_a^b g_h(v) e^{-2s\log v} \, dv \right|.$$

By Stirling's formula, for $|t| \ge t_0 = 2 + \sigma + \max_{1 \le j \le m} \{|\mu_f(j)|\}$, one has

$$\log G(s) = \left(ms - \frac{m}{2}\right) \log s - 2ms + ms \log(-s) + O(|s|^{-1}).$$

Hence

$$|G(s)| \ll |s|^{2m\sigma - m/2} e^{-2m\sigma + m|t|(\pi - 2|\arg s|)} \ll |s|^{2m\sigma - m/2}, \quad |t| \ge t_0.$$

Back to (3.3) we get

(3.5)
$$\Psi(x) \ll (\pi^m x X)^{-2\sigma+1} \int_{\substack{\text{Re } s = \sigma \\ |t| \ge t_0}} |s|^{2m\sigma - m/2} \left| \widetilde{\phi}(-2s+1) \right| |ds| + (\pi^m x X)^{-2\sigma+1} \int_{\substack{\text{Re } s = \sigma \\ |t| \le t_0}} |G(s)| \left| \widetilde{\phi}(-2s+1) \right| |ds|.$$

Applying (3.4) and choosing $h > 2m\sigma - m/2 + 1$, the first quantity on the right side above is bounded by

(3.6)
$$(\pi^m x X)^{-2\sigma+1} \sup_{t \in \mathbb{R}} \left| \int_a^b g_h(v) v^{-2(\sigma+it)} dv \right|.$$

Note that $G(s) \ll_{\sigma_0} 1$ on the segment Re $s = \sigma > \sigma_0$ and $|t| \leq t_0$. Applying (3.4) again we see that the second term in the right of (3.5) is also dominated by (3.6). This finishes the proof of Lemma 3.2.

Proof of Theorem 1.3. To prove Theorem 1.3, we let $\psi(n) = e(\lambda n)\phi(n/X)$ and $c_1 = \cdots = c_{m-2} = 1$ in (1.10). Then

$$\sum_{n\neq 0} A_f(1,\ldots,1,n)e(\alpha n)\phi\left(\frac{n}{X}\right)$$

$$= \sum_{n\neq 0} A_f(1,1,\ldots,1,n)e\left(\frac{a}{q}n\right)\psi(n)$$

$$= q\sum_{d_1|q} \sum_{d_2|q_1} \cdots \sum_{d_{m-2}|q_{m-3}} \sum_{n\neq 0} \frac{A_f(n,d_{m-2},\ldots,d_1)}{d_1\cdots d_{m-2}|n|} K_{m-2}(n,-\overline{a};\boldsymbol{q})\Psi\left(\frac{|n|h(\boldsymbol{d})}{q^m}\right),$$

where $h(\boldsymbol{d}) = \prod_{i=1}^{m-2} d_i^{m-i}$, $K_{m-2}(n, -\overline{a}; \boldsymbol{q})$ is as defined in (1.5) with $\boldsymbol{q} = (q_1, q_2, \dots, q_{m-2})$ and q_i defined by (1.13), that is

$$q_i = \frac{q}{d_1 d_2 \cdots d_i}.$$

By Theorem 1.1, $K_{m-2}(n, -\overline{a}; \boldsymbol{q}) = 0$ unless $q_2^{**} = \cdots = q_{m-2}^{**}$, and in this case

(3.7)
$$K_{m-2}(n, -\overline{a}; \mathbf{q}) \ll q_1 q_{m-2}^{(m-3)/2} = \frac{q^{(m-1)/2}}{d_1 (d_1 d_2 \cdots d_{m-2})^{(m-3)/2}}.$$

Therefore

(3.8)
$$\sum_{n \neq 0} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X}\right)$$

$$\ll q^{(m+1)/2} \sum_{d_1 \mid q} \sum_{d_2 \mid q_1} \dots \sum_{d_{m-2} \mid q_{m-3}} \frac{1}{d_1(d_1 d_2 \dots d_{m-2})^{(m-1)/2}}$$

$$\times \sum_{n \neq 0} \frac{|A_f(n, d_{m-2}, \dots, d_1)|}{|n|} \left| \Psi\left(\frac{|n| h(\mathbf{d})}{q^m}\right) \right|.$$

Write

(3.9)
$$x = \frac{|n| h(\mathbf{d})}{q^m}, \quad n_0(\mathbf{d}) = \frac{q^m}{h(\mathbf{d})X}, \quad n_1(\mathbf{d}) = \frac{(2|\lambda| qX)^m}{h(\mathbf{d})X}.$$

Note that for $|n| \ge n_0(\mathbf{d})$ one has $xX \ge 1$. Hence we can use Lemma 3.1 to bound $\Psi(x)$. For $1 \le |n| < n_0(\mathbf{d})$ one has xX < 1. We will use Lemma 3.2 to bound $\Psi(x)$. Denote

by S(X) and T(X) the sums in (3.8) corresponding to $1 \le |n| < n_0(\mathbf{d})$ and $|n| \ge n_0(\mathbf{d})$, respectively. Then

(3.10)
$$\sum_{n \neq 0} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X}\right) = S(X) + T(X).$$

Suppose that $q^m \leq X$. Then $n_0(d) \leq 1$ for all d. Therefore we get

$$(3.11) S(X) = 0.$$

To bound T(X), we apply Lemma 3.1 and changing variable y = Xt to get

(3.12)
$$\Psi(x) = \Psi_1(x) + O((xX)^{-r/m+1/2+\varepsilon}),$$

where r > m/2 and

(3.13)
$$\Psi_1(x) = \sum_{k=0}^r c_k(xX)^{1/(2m)+1/2-k/m} \left\{ I_+(x) + I_-(x) \right\}$$

with

(3.14)
$$I_{\pm}(x) = (\pm i)^{k+(m-1)/2} \int_0^\infty t^{1/(2m)-1/2-k/m} \phi(t) e(\lambda Xt \pm m(xXt)^{1/m}) dt.$$

Let $f(t) = \lambda Xt \pm m(xXt)^{1/m}$. Then for $|n| \ge n_1(d)$, one has

$$f'(t) = \lambda X \pm (xX)^{1/m} t^{1/m-1} \gg (xX)^{1/m}$$
.

By repeated integrating by parts and using the fact that ϕ is supported on [1,2] and $\phi^{(k)}(t) \ll 1$, we get

$$I_{\pm}(x) \ll (xX)^{-\ell/m}$$
 for any integer $\ell \ge 0$.

Choosing $\ell = r + 1$ one obtains $\Psi_1(x) \ll_r (xX)^{-r/m+1/2}$ for $|n| \geq n_1(d)$. Therefore

$$(3.15) T(X) \ll \mathfrak{T}_1(X) + \mathfrak{T}_2(X),$$

where

$$\mathfrak{T}_{1}(X) = q^{(m+1)/2} \sum_{d_{1}|q} \sum_{d_{2}|q_{1}} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{1}{d_{1}(d_{1} \cdots d_{m-2})^{(m-1)/2}} \times \sum_{1 \leq |n| < n_{1}(\mathbf{d})} \frac{|A_{f}(n, d_{m-2}, \dots, d_{1})|}{|n|} |\Psi_{1}(x)|$$

and

(3.16)
$$\mathfrak{T}_{2}(X) = \sqrt{qX} \left(\frac{X}{q^{m}}\right)^{-r/m+\varepsilon} \sum_{d_{1}|q} \sum_{d_{2}|q_{1}} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{(h(\boldsymbol{d}))^{1/2-r/m+\varepsilon}}{d_{1}(d_{1}\cdots d_{m-2})^{(m-1)/2}} \times \sum_{|n|\neq 0} \frac{|A_{f}(n, d_{m-2}, \dots, d_{1})|}{|n|^{1/2+r/m+\varepsilon}}.$$

By (1.15) and Cauchy's inequality, for $\theta > 1$ and Y > 1,

$$\sum_{Y<|n|\leq 2Y} \frac{|A_f(n,d_{m-2},\ldots,d_1)|}{|n|^{\theta}} \ll Y^{1-\theta}(h(\boldsymbol{d}))^{1/2}.$$

Thus for r > m/2, the sum over n in (3.16) is $\ll \sqrt{h(d)} = d_1^{(m-1)/2} d_2^{(m-2)/2} \cdots d_{m-2}$. This shows

(3.17)
$$\mathfrak{T}_2(X) \ll (qX)^{1/2+\varepsilon} \left(\frac{X}{q^m}\right)^{-r/m}.$$

To bound $\mathfrak{T}_1(X)$, we distinguish two cases according to $(2|\lambda|qX)^m \leq X$ or not.

(a) Suppose $(2 |\lambda| qX)^m \leq X$, then $\mathfrak{T}_1(X)$ disappears since now $n_1(\mathbf{d}) \leq 1$ for all \mathbf{d} . In this case we obtain

(3.18)
$$\sum_{n \neq 0} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X}\right) \ll (qX)^{1/2 + \varepsilon} \left(\frac{X}{q^m}\right)^{-r/m}.$$

(b) Suppose $(2 |\lambda| qX)^m > X$. Then $n_1(\mathbf{d}) > 1$ when $h(\mathbf{d}) < (2 |\lambda| qX)^m X^{-1}$. One has $I_{\pm}(x) \ll (xX)^{-1/(2m)}$, by the second derivative test. Hence $\Psi_1(x) \ll (xX)^{1/2}$ and

$$\mathfrak{T}_{1}(X) \ll (qX)^{1/2} \sum_{d_{1}|q} \sum_{d_{2}|q_{1}} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{\sqrt{h(d)}}{d_{1}(d_{1} \cdots d_{m-2})^{(m-1)/2}} \times \sum_{1 \leq |n| \leq n_{1}(d)} \frac{|A_{f}(n, d_{m-2}, \dots, d_{1})|}{\sqrt{|n|}}.$$

By (1.15), the above sum over n is

$$\ll \sqrt{h(\boldsymbol{d})n_1(\boldsymbol{d})} \ll (|\lambda| qX)^{m/2}X^{-1/2}.$$

Thus we get

$$\mathfrak{T}_1(X) \ll q^{1/2+\varepsilon} (|\lambda| qX)^{m/2}$$

This together with (3.10), (3.11), (3.15) and (3.17) shows that

$$\sum_{n \neq 0} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X}\right) \ll q^{1/2 + \varepsilon} (|\lambda| qX)^{m/2}.$$

Suppose $q^m > X$, then $n_0(\mathbf{d}) > 1$ whenever $h(\mathbf{d}) < q^m X^{-1}$. By Lemma 3.2, and choosing $\sigma = 1/4 - 1/(2(m^2 + 1)) + \varepsilon$, h = 1, we get

$$\Psi(x) \ll (xX)^{-2\sigma+1} \sup_{t \in \mathbb{R}} \left| \int_{1}^{2} (v\phi(v)e(\lambda Xv))'v^{-2(\sigma+it)} \, dv \right| \ll_{m} (1+|\lambda|X)(xX)^{-2\sigma+1}.$$

This gives

$$S(X) \ll (qX)^{1/2} (1 + |\lambda| X) \left(\frac{X}{q^m}\right)^{1/(m^2+1)-2\varepsilon} \times \sum_{d_1|q} \sum_{d_2|q_1} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{h(\boldsymbol{d})^{1/2+1/(m^2+1)-2\varepsilon}}{d_1(d_1 \cdots d_{m-2})^{(m-1)/2}} \sum_{1 < |n| < n_0(\boldsymbol{d})} \frac{|A_f(n, d_{m-2}, \dots, d_1)|}{|n|^{1/2-1/(m^2+1)+2\varepsilon}}.$$

Note that $n_0(\mathbf{d})h(\mathbf{d}) = q^m X^{-1}$. By (1.15), the last sum is

$$\ll n_0(\boldsymbol{d})^{1/2+1/(m^2+1)-2\varepsilon}h(\boldsymbol{d})^{1/2} = \left(\frac{q^m}{X}\right)^{1/2+1/(m^2+1)-2\varepsilon}h(\boldsymbol{d})^{-1/(m^2+1)+2\varepsilon}.$$

Thus

(3.19)
$$S(X) \ll q^{(m+1)/2} (1 + |\lambda| X) \sum_{d_1|q} \sum_{d_2|q_1} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{\sqrt{h(\mathbf{d})}}{d_1 (d_1 \cdots d_{m-2})^{(m-1)/2}}$$
$$\ll q^{(m+1)/2+\varepsilon} (1 + |\lambda| X).$$

To bound T(X) we follow the argument from (3.10) to (3.15) to obtain

$$T(X) \ll \mathfrak{R}_1(X) + \mathfrak{R}_2(X)$$

where

$$\mathfrak{R}_{1}(X) = q^{(m+1)/2} \sum_{d_{1}|q} \sum_{d_{2}|q_{1}} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{1}{d_{1}(d_{1} \cdots d_{m-2})^{(m-1)/2}} \times \sum_{n_{0}(\mathbf{d}) \leq |n| \leq n_{1}(\mathbf{d})} \frac{|A_{f}(n, d_{m-2}, \dots, d_{1})|}{|n|} |\Psi_{1}(x)|$$

and

$$\mathfrak{R}_{2}(X) = (qX)^{1/2} \left(\frac{X}{q^{m}}\right)^{-r/m+\varepsilon} \sum_{d_{1}|q} \sum_{d_{2}|q_{1}} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{(h(\boldsymbol{d}))^{1/2-r/m+\varepsilon}}{d_{1}(d_{1}\cdots d_{m-2})^{(m-1)/2}} \times \sum_{|n| \geq n_{0}(\boldsymbol{d})} \frac{|A_{f}(n, d_{m-2}, \dots, d_{1})|}{|n|^{1/2+r/m-\varepsilon}}.$$

The sum over n in $\mathfrak{R}_2(X)$ is

$$\ll n_0(\boldsymbol{d})^{1/2-r/m+\varepsilon}h(\boldsymbol{d})^{1/2} = \left(\frac{q^m}{X}\right)^{1/2-r/m+\varepsilon}h(\boldsymbol{d})^{r/m-\varepsilon}$$

which gives

$$\mathfrak{R}_2(X) \ll q^{(m+1)/2} \sum_{d_1|q} \sum_{d_2|q_1} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{\sqrt{h(d)}}{d_1(d_1 \cdots d_{m-2})^{(m-1)/2}} \ll q^{(m+1)/2+\varepsilon}.$$

To estimate $\mathfrak{R}_1(X)$, we distinguish two cases according to $|\lambda| X \leq 1/2$ or not. Suppose $|\lambda| X \leq 1/2$. Then $n_0(\mathbf{d}) \geq n_1(\mathbf{d})$, hence $\mathfrak{R}_1(X)$ disappears and we get $T(X) \ll q^{(m+1)/2+\varepsilon}$. This together with (3.19) proves

$$\sum_{n\neq 0} A_f(1,\ldots,1,n)e(\alpha n)\phi\left(\frac{n}{X}\right) \ll q^{(m+1)/2+\varepsilon}.$$

Suppose $|\lambda| X > 1/2$. Then $I_{\pm}(x) \ll (xX)^{-1/(2m)}$, by the second derivative test. Thus $\Psi_1(x) \ll (xX)^{1/2}$ and

$$\mathfrak{R}_{1}(X) \ll (qX)^{1/2} \sum_{d_{1}|q} \sum_{d_{2}|q_{1}} \cdots \sum_{d_{m-2}|q_{m-3}} \frac{\sqrt{h(d)}}{d_{1}(d_{1}\cdots d_{m-2})^{(m-1)/2}} \times \sum_{n_{0}(d) \leq |n| \leq n_{1}(d)} \frac{|A_{f}(n, d_{m-2}, \dots, d_{1})|}{\sqrt{|n|}}.$$

The last sum is $\ll (n_1(d)h(d))^{1/2} = ((2|\lambda|qX)^mX^{-1})^{1/2}$ which gives

$$\mathfrak{R}_1(X) \ll q^{1/2+\varepsilon} (|\lambda| \, qX)^{m/2}$$

Hence

$$T(X) \ll q^{1/2+\varepsilon} (|\lambda| qX)^{m/2} + q^{(m+1)/2+\varepsilon} \ll q^{1/2+\varepsilon} (|\lambda| qX)^{m/2}.$$

This together with (3.19) shows that

$$\sum_{n \neq 0} A_f(1, \dots, 1, n) e(\alpha n) \phi\left(\frac{n}{X}\right) \ll q^{(m+1)/2+\varepsilon} \left((|\lambda| X)^{m/2} + 1\right). \qquad \Box$$

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