## GEOMETRIC QUANTIZATION FOR THE MECHANICS ON SPHERES

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1. Introduction. The classical Hamiltonian (kinetic energy) H for the mechanical system consisting of a non-relativistic free particle of unit mass moving on a Riemannian manifold is given by one-half the Riemannian metric. Several authors say that the quantum Hamiltonian  $\hat{H}$  corresponding to H is  $2^{-1}\hbar^{1}\Delta$ , where  $\Delta$  is the Laplacian acting on functions and  $\hbar = (2\pi)^{-1}h$ , h = Planck's constant. See Blattner [4] and Simms and Woodhouse [20]. However, DeWitt [7] and Cheng [5] used the method of "Feynman's path integrals" to derive a different operator for the quantum Hamiltonian. See also Ben-Abraham and Lonke [1]. Elhadad [9] applied the method of "Maslov pairing" to the geodesic flow on the unit *n*-sphere  $S^n$  to obtain a quantum Hamiltonian. Also, Weinstein [21] has shown, in the case of  $S^n$ , that the N-th quasi-classical eigenvalue for H is  $\lambda_N = 2^{-1}\hbar^2(N+2^{-1}(n-1))^2$ , which is not equal to the N-th eigenvalue  $\mu_N = 2^{-1} \hbar^2 N (N + n - 1)$  of the operator  $2^{-1} \hbar^2 \Delta$  on S<sup>n</sup>. Note that the multiplicity of  $\lambda_N$  is equal to that of  $\mu_N$ . See Ii [11]. These results show that  $2^{-1}\hbar^2\Delta$  may not necessarily be the quantum Hamiltonian corresponding to H. The correct quantum Hamiltonian  $\hat{H}$ has to be determined from appropriate general principles. In the present paper, we apply the quantization procedure of Kostant to quantize the mechanical system consisting of a non-relativistic, positive energy free particle of unit mass moving on the unit n-sphere  $S^n$  $(n \ge 3)$ . We construct a polarization which enables us to quantize H. The resulting quantum Hamiltonian  $\hat{H}$  has  $\lambda_N$  as the N-th eigenvalue. Moreover using the same polarization, we define an operator  $\widetilde{L}^2$  which has  $2\mu_N$  as the N-th eigenvalue and has the same eigenspaces as that of  $\hat{H}$ . From the construction  $\tilde{L}^2$  may be identified with  $\hbar^2 \Delta$ . Under this identification, the correct quantum Hamiltonian H on  $S^{*}$  is given by  $2^{-1}\hbar^2(\Delta + 4^{-1}(n-1)^2)$ . The referee pointed out that the idea of adding  $4^{-1}(n-1)^2$  to the Laplacian was also found by Y. Akyildiz in

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his Berkeley thesis in connection with the representation of SO(n + 1, 2) on  $L^2(S^*)$ .

2. Preliminaries. Let  $\mathbb{R}^{n+1}$  and  $T^*\mathbb{R}^{n+1}$  be the (n+1)-space and its cotangent bundle with coordinates  $x = (x_1, \dots, x_{n+1})$  and  $(x, y) = (x_1, \dots, x_{n+1})$  $x_{n+1}, y_1, \cdots, y_{n+1}$ ), respectively. Let  $|x|^2 = \sum x_j^2$ ,  $|y|^2 = \sum y_j^2$  and  $x \cdot y = \sum x_j^2$  $\sum x_i y_i$ , so that the cotangent bundle on the unit *n*-sphere  $S^n$  is represented by  $T^*S^n = \{(x, y) \in T^*R^{n+1} | |x| = 1, x \cdot y = 0\}$ . The Hamiltonian of a free particle of unit mass on  $S^n$  is given by  $H(x, y) = 2^{-1} |y|^2$ . The phase space of the positive energy free particle of unit mass on  $S^n$ is given by  $M = T^*S^n - \{0 \text{-section}\} = \{(x, y) \in T^*S^n \mid |y| > 0\}$  with the action form  $\omega = \sum y_i dx_i$  and the symplectic form  $\Omega = -d\omega = \sum dx_i \wedge dx_i$  $dy_i$ . Let  $C^{\infty}(M; \mathbf{R})$  be the space of real-valued smooth functions on M. Since  $\Omega$  is real and non-degenerate, we can define for each  $f \in C^{\infty}(M; \mathbb{R})$ a real vector field  $X_f$  on M by  $X_f \perp \Omega = df$ .  $X_f$  is called the Hamiltonian vector field generated by f. Let us define  $L_{jk}$  and  $L^2 \in C^{\infty}(M; \mathbb{R})$  by  $L_{jk}(x, y) = x_j y_k - x_k y_j$   $(1 \leq j, k \leq n+1)$  and  $L^2 = \sum_{j \leq k} L_{jk}^2$ , which are called angular momenta and square of angular momenta, respectively. Note that  $H = 2^{-1}L^2$ . Let us denote  $X_j = \partial/\partial x_j$ ,  $Y_j = \partial/\partial y_j$ , X = $(X_1, \dots, X_{n+1})$  and  $Y = (Y_1, \dots, Y_{n+1})$ . For the sake of simplicity, we write  $u \cdot X$  instead of  $\sum u_j X_j$  for  $u = (u_1, \dots, u_{n+1})$ . Then we have  $X_H =$  $\sum (y_j X_j - |y|^2 x_j Y_j) = y \cdot X - |y|^2 x \cdot Y$  and  $X_{jk} \equiv X_{L_{jk}} = \sum_{i=1}^{n+1} \{(-\delta_{ij} x_k + (-\delta_{ij} x_k)) + (-\delta_{ij} x_k)\}$  $\delta_{ik}x_j)X_i + (-\delta_{ij}y_k + \delta_{ik}y_j)Y_i$ , which are complete vector fields on M.  $X_H$ is the geodesic flow vector field on M. See Moser [13].

3. Quantum bundle L. Since the symplectic form  $\Omega$  is exact, and M is simply connected  $(n \geq 3)$ , there exists, up to isomorphism, unique quantum bundle L over M. For quantum bundles, see Kostant [12]. L is a trivial bundle;  $L = (M \times C, p, M)$ . Let  $\Gamma(L)$  denote the space of smooth cross-sections of L. Then  $\Gamma(L)$  is identified with the space  $C^{\infty}(M)$  of complex-valued smooth functions on M in a natural manner. The connection form  $\theta$  on L is given by  $\theta|_{(x,y,z)} = -\hbar^{-1}p^*\omega + i^{-1}z^{-1}dz$  ( $z \in C - \{0\}$ ), where  $i = \sqrt{-1}$ . The covariant differentiation  $\nabla$  corresponding to  $\theta$  is given by  $\nabla_Z \varphi = Z\varphi - i\hbar^{-1}\omega(Z)\varphi$  for any tangent vector Z to M and for any  $\varphi \in C^{\infty}(M)$ .

4. Polarization P. In this section, we construct a polarization of our symplectic manifold  $(M, \Omega)$ , which is invariant under the flows of  $X_{H}$  and  $X_{jk}$ . By means of this polarization, we quantize the "classical observables" H and  $L_{jk}$ . See Elhadad [8], Gawedzki [10], Kostant [12], Onofri [14], [15], [16], Simms [18], [19] and Simms and Woodhouse [20].

Let  $F_j$   $(1 \le j \le n + 1)$  be vector fields on  $T^* \mathbb{R}^{n+1}$  defined by

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 $F_{j|(x,y)} = X_{j} - i |y| Y_{j}$ . For any  $(x, y) \in M$  and for any  $v = (v_{1}, \dots, v_{n+1}) \in \mathbb{R}^{n+1}$ , such that  $v \cdot x = v \cdot y = 0$ , the vector  $v \cdot F|_{(x,y)} = \sum v_{j}F_{j}|_{(x,y)}$  is tangent to M. Let  $P_{(x,y)}$  be the complexified tangent space spanned by the vectors  $X_{H|(x,y)}$  and  $\{v \cdot F|_{(x,y)} | v \in \mathbb{R}^{n+1}, v \cdot x = v \cdot y = 0\}$ . Then  $P: (x, y) \mapsto P_{(x,y)}$  defines a distribution on M, and we have:

**LEMMA 1.** P is a polarization of  $(M, \Omega)$ , which is invariant under the flows of  $X_H$  and  $X_{jk}$ .

PROOF. It is easy to see that P is an n-dimensional smooth, complex distribution on M.  $\Omega(P, P) = 0$  is straightforward. Let  $v = (v_1, \dots, v_{n+1})$ and  $w = (w_1, \dots, w_{n+1})$  be  $\mathbb{R}^{n+1}$ -valued smooth functions on M such that  $v \cdot x = v \cdot y = w \cdot x = w \cdot y = 0$ . Then we have  $[X_H, v \cdot F] = \sum (X_H v_j + i | y | v_j) F_j$ ,  $[v \cdot F, w \cdot F] = \sum (a_j - i | y | b_j) F_j$ ,  $[X_{jk}, X_H] = 0$  and  $[X_{jk}, v \cdot F] = \sum c_i F_i$ , where  $a_j = (v \cdot X) w_j - (w \cdot X) v_j$ ,  $b_j = (v \cdot Y) w_j - (w \cdot Y) v_j$  and  $c_i = X_{jk} v_i + \delta_{ij} v_k - \delta_{ik} v_j$ .  $a_j$ ,  $b_j$  and  $c_i$  are real-valued and satisfy  $\sum (X_H v_j) x_j = \sum (X_H v_j) y_j = a \cdot x = a \cdot y = b \cdot x = b \cdot y = 0$ ,  $c \cdot x = X_{jk} (v \cdot x) = 0$  and  $c \cdot y = X_{jk} (v \cdot y) = 0$ . It follows that P is involutive and invariant under the flows of  $X_H$  and  $X_{jk}$ .  $P \cap \overline{P}$  is a one-dimensional complex distribution spanned by  $X_H$ .  $P + \overline{P}$  is a (2n - 1)-dimensional involutive complex distribution spanned by  $X_H$  and the vectors of the form  $v \cdot X$  and  $v \cdot Y$ . Thus we are done.

In the terminology of Gawedzki [10], P is a strongly admissible, positive polarization.

5. Half-P-forms. Let  $\alpha = \sum y_j dy_j$  and  $\beta_j = dx_j - i |y|^{-1} dy_j$   $(1 \leq j \leq n+1)$  be one-forms on M. Choose  $\mathbb{R}^{n+1}$ -valued (not necessarily continuous) functions  $u_a = (u_a^1, \dots, u_a^{n+1}), (1 \leq a \leq n-1)$ , on M, such that the matrix  ${}^t(x, |y|^{-1}y, u_1, \dots, u_{n-1}) \in SO(n+1)$  at any point  $(x, y) \in M$ . Define  $\beta = \bigwedge_{a=1}^{n-1} \sum_{1 \leq j \leq n+1} u_a^j \beta_j$ . Then  $\beta$  is a smooth (n-1)-form on M, which is independent of the choice of  $\{u_a\}$ . Let  $\mathscr{L}_Z$  denote the Lie derivation with respect to a vector field Z on M.

LEMMA 2.  $\mu = \alpha \wedge \beta$  is a nowhere-vanishing smooth n-form on M, which satisfies: (1)  $Z \, \downarrow \, \mu = 0$  for any vector Z from P, (2)  $\mathscr{L}_{x_{H}} \mu = i(n-1) | y | \mu$ , (3)  $\mathscr{L}_{v \cdot F} \mu = 0$  for any  $\mathbf{R}^{n+1}$ -valued smooth function v on M with  $v \cdot x = v \cdot y = 0$  and (4)  $\mathscr{L}_{x_{ik}} \mu = 0$ .

By the above lemma, it follows that the bundle D of complex *n*forms on M, vanishing after contraction with any vector from P is a trivial bundle. Let  $D^{1/2} = (M \times C, p, M)$  be another complex line bundle (trivial bundle) over M, and  $\nu$  denote the cross-section  $(x, y) \mapsto (x, y, 1)$ of  $D^{1/2}$ . Let  $\iota: D^{1/2} \otimes D^{1/2} \to D$  be the vector bundle isomorphism defined by  $\iota(\nu \otimes \nu) = \mu$ . We call the pair  $(D^{1/2}, \iota)$  the square root structure for P and sections of  $D^{1/2}$  half-P-forms on M. See Gawedzki [10] and Simms and Woodhouse [20]. Since  $H^1(M, \mathbb{Z}_2) = 0$   $(n \geq 3)$ , the square root structure is unique. For any smooth vector field Z on M, let us define a Z-derivation  $\mathscr{L}_Z^{1/2}$  acting on the space  $\Gamma(D^{1/2})$  of smooth cross-sections of  $D^{1/2}$  by the following:  $\iota(2(\mathscr{L}_Z^{1/2}\sigma)\otimes\sigma) = \mathscr{L}_Z(\iota(\sigma\otimes\sigma))$ , for any  $\sigma \in \Gamma(D^{1/2})$ . See Gawedzki [10].

Let  $\mathscr{D}'(M)$  be the space of generalized functions (distributions or 0-currents) on M. See de Rham [6] and Schwartz [17]. We call the tensor product  $\mathscr{D}'(M) \otimes \Gamma(D^{1/2})$ , taken over the ring  $C^{\infty}(M)$ , the space of generalized half-*P*-forms on M. See Simms [19]. Finally, we have the space of generalized *L*-valued half-*P*-forms on M,  $\Gamma = \Gamma(L) \otimes D'(M) \otimes \Gamma(D^{1/2})$ . Note that  $\Gamma$  is naturally identified with  $\mathscr{D}'(M)$  by the correspondence  $1 \otimes T \otimes \nu \leftrightarrow T$ .

6. Quantum phase space  $\mathscr{H}^P$ . Let  $\Gamma(P)$  denote the space of smooth, complex vector fields on M which belong to P at each point of M. A complex vector field Z on M is said to preserve the polarization P if  $[Z, X] \in \Gamma(P)$  for any  $X \in \Gamma(P)$ . For each vector field Z, which preserves P, we define a linear operator  $\delta_Z$  on  $\Gamma$  by  $\delta_Z(\varphi \otimes T \otimes \sigma) = (\nabla_Z \varphi) \otimes T \otimes$  $\sigma + \varphi \otimes ZT \otimes \sigma + \varphi \otimes T \otimes \mathscr{L}_Z^{1/2} \sigma$ , where ZT is defined by (ZT)(A) = $-T(\mathscr{L}_Z A)$  for any smooth 2*n*-form A on M of compact support. See Gawedzki [10] and Simms [19]. A cross-section  $\gamma \in \Gamma$  is called P-horizontal if  $\delta_Z(\gamma) = 0$  for all  $Z \in \Gamma(P)$ . Then by Lemma 2, a cross-section  $1 \otimes T \otimes$  $\nu \in \Gamma$  is P-horizontal if and only if  $X_H T - i|y|(\hbar^{-1}|y| - 2^{-1}(n-1))T = 0$ and  $(v \cdot F)T = 0$ , for any v as in Lemma 2.

For each integer N,  $N > 2^{-1}(n-1)$ , let us denote  $r_N = \hbar(N + 2^{-1}(n-1))$ . Define a submanifold:  $M_N = \{(x, y) \in M \mid |y| = r_N\}$  of M with the inclusion  $p_N \colon M_N \to M$ . Let  $\bigwedge^q (M)$  denote the space of smooth q-forms on M. Define  $\eta = |y|^{-1}(y \cdot Y) \perp \Omega^n \in \bigwedge^{2n-1}(M)$ . Then  $\eta$  satisfies  $(y \cdot Y) \perp \eta = 0$ ,  $d(|y|) \land \eta = \Omega^n$  and  $p_N^*(\mathscr{L}_{X_H}\eta) = 0$ . It follows that  $\eta_N = p_N^*\eta \in \bigwedge^{2n-1}(M_N)$  is non-vanishing and invariant under the flow of  $X_H$  restricted to  $M_N$ . For any  $A \in \bigwedge^{2n}(M)$ ,  $A = a\Omega^n$  with  $a \in C^{\infty}(M)$ , let  $A_N = p_N^*(a\eta) \in \bigwedge^{2n-1}(M_N)$ . For any  $T_N \in \mathscr{D}'(M_N)$ , let us define  $\widetilde{T}_N \in \mathscr{D}'(M)$  by  $\widetilde{T}_N(A) = T_N(A_N)$ , for any  $A \in \bigwedge^{2n}(M)$  of compact support. In the following, we shall determine the subspace  $\mathscr{H}^P$  of  $\Gamma$  composed of P-horizontal cross-sections of the form  $1 \otimes \sum_N \widetilde{T}_N \otimes \nu$ . If we write  $\mathscr{H}_N^P = \{1 \otimes \widetilde{T}_N \otimes \nu \in \mathscr{H}^P \mid T_N \in \mathscr{D}'(M_N)\}$ , then  $\mathscr{H}^P = \bigoplus \mathscr{H}_N^P$ .

LEMMA 3.  $\mathscr{H}_N^P$  is non-trivial if and only if N is non-negative. In this case,  $\mathscr{H}_N^P$  is given by  $\mathscr{H}_N^P = \{1 \otimes \widetilde{T}_N \otimes \nu \mid T_N = \sum_{|K|=N} c_K z^K\}$ , where

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 $c_K \in C$ ,  $z = (z_1, \dots, z_{n+1})$ ,  $z_j = x_j - ir_N^{-1}y_j \in C^{\infty}(M_N)$ ,  $K = (k_1, \dots, k_{n+1})$  and  $|K| = \sum k_j$ .

Note that

$$\dim \mathscr{H}_{\scriptscriptstyle N}^{\scriptscriptstyle P} = rac{2N+n-1}{N} {N+n-2 \choose n-1}$$
 ,

which is equal to the multiplicity of the N-th eigenvalue of the Laplacian  $\Delta$  acting on functions on  $S^n$ . See Berger-Gauduchon-Mazet [2].

7. Kostant quantization for H and  $L_{jk}$ . Following the Kostant quantization prescription, we shall assign for H and  $L_{jk}$  linear operators  $\hat{H} = i^{-1}\hbar \delta_{x_{1l}} + H$  and  $\hat{L}_{jk} = i^{-1}\hbar \delta_{x_{jk}} + L_{jk}$  on  $\mathscr{H}^P$ . We call  $\hat{L}_{jk}$  the angular momentum operators. Furthermore, we define  $\tilde{L}^2 = \sum_{i < k} (\hat{L}_{jk})^2$ , which we call the square of angular momentum operators.

LEMMA 4. (1)  $\hat{H}|_{\mathscr{H}_N^P} = 2^{-1}\hbar^2(N+2^{-1}(n-1))^2$  (multiplication operator). (2)  $\hat{L}_{jk}(1 \otimes z^A \otimes \nu) = 1 \otimes i^{-1}\hbar(a_k z^B - a_j z^C) \otimes \nu$ , where  $z = (z_1, \dots, z_{n+1})$ ,  $z_j = x_j - ir_N^{-1}y_k$ ,  $A = (a_1, \dots, a_{n+1})$ ,  $\sum a_j = N$ ,  $z^A = z_1^{a_1} \dots z_{n+1}^{a_{n+1}}$ ,  $B = (a_1, \dots, a_j + 1, \dots, a_k - 1, \dots, a_{n+1})$  and  $C = (a_1, \dots, a_j - 1, \dots, a_k + 1, \dots, a_{n+1})$ . (3)  $\tilde{L}^2|_{\mathscr{H}_N^P} = \hbar^2 N(N + n - 1)$  (multiplication operator).

PROOF. Since  $\delta_{X_H} = 0$  on  $\mathscr{H}^P$ , we have  $\hat{H}|_{\mathscr{H}_N^P} = H|_{\mathscr{H}_N^P} = 2^{-1}\hbar^2(N + 2^{-1}(n-1))^2$ . Thus (1) is proved. To prove (2), it is sufficient to note  $\nabla_{X_{jk}} 1 = -i\hbar^{-1}L_{jk}$  and  $\mathscr{L}_{X_{jk}}^{1/2}\nu = 0$ , which follow from Lemma 2. To prove (3), it is sufficient to note  $z \cdot z = 0$  on  $M_N$ .

Summing up, we have the following:

THEOREM. There exists a polarization P on  $M = T^*S^n - \{0\text{-section}\}$ , which is invariant under the geodesic flow and under the natural SO(n + 1)-action on M. By means of this polarization, the classical Hamiltonian H and the functions  $L_{jk}$ 's are geometrically quantized. For  $n \geq 3$ , the corresponding quantum Hamiltonian  $\hat{H}$  has  $2^{-1}\hbar^2(N + 2^{-1}(n-1))^2$ as the N-th eigenvalue  $(N \geq 0)$  with the eigenspace  $\mathscr{H}_N^P$  of dimension

$$rac{2N+n-1}{N} {N+n-2 \choose n-1} \, .$$

Moreover, an operator  $\tilde{L}^2$ , defined by  $\sum_{j < k} (\hat{L}_{jk})^2$ , has  $\hbar^2 N(N + n - 1)$  as the N-th eigenvalue with the eigenspace  $\mathscr{H}_N^P$ .

As "classical observables", energy H and one-half the square of angular momenta,  $2^{-1} \sum L_{jk}^2$ , are equal, but as "quantum observables",  $\hat{H}$  and  $2^{-1} \sum (\hat{L}_{jk})^2$  are different by an additive constant;  $\hat{H} = 2^{-1}(\tilde{L}^2 + \hbar^2(2^{-1}(n-1))^2)$ .

A similar observation may be possible for such manifolds as compact symmetric spaces of rank one. See Besse [3].

8. Appendix. Let Q be the restriction to M of the vertical polarization of  $p: T^*S^n \to S^n$ , and E the bundle of complex n-forms on M, vanishing after contraction with any vector from Q. In the following, we use the same letter p for the restriction of p to M. E is a trivial bundle and  $p^*\mu$  is a nowhere-vanishing cross-section of E, where  $\mu \in$  $\bigwedge^{n}(S^{n})$  is the volume form on  $S^{n}$ . Let  $(E^{1/2}, \ell)$  be the square root structure for Q and  $\nu$  the cross-section of the trivial bundle  $E^{1/2}$  such that  $\iota(\nu \otimes \nu) =$  $p^*\mu$ . For each vector field Z on M, which preserves the polarization Q, we define a linear operator  $\delta_z$  on  $\Gamma(L) \otimes \Gamma(E)$  by  $\delta_z(\varphi \otimes \nu) = (\nabla_z \varphi) \otimes$  $\nu + \varphi \otimes \mathscr{L}_z^{1/2} \nu$ . Q-horizontal sections are similarly defined, which are of the form  $(f \circ p) \otimes \nu$  for  $f \in C^{\infty}(S^n)$ . The space  $\mathcal{H}^Q$  of Q-horizontal sections is naturally identified with  $C^{\infty}(S^n)$  by the correspondence  $(f \circ p) \otimes$  $\nu \leftrightarrow f$ . Since  $X_{jk}$  preserves Q, we can define a linear operator  $\hat{L}_{jk}$  by  $\hat{L}_{jk}=i^{-1}\hbar\delta_{{}_{Xjk}}+L_{jk}$  on  $\mathscr{H}^{\varrho}.$  We also call  $\hat{L}_{jk}$  the angular momentum operator. Furthermore, if we define  $\widetilde{L}^2 = \sum_{j < k} (\widehat{L}_{jk})^2$ , then we have  $\widetilde{L}^2((f \circ p) \otimes \nu) = ((\hbar^2 \Delta f) \circ p) \otimes \nu$ . Thus, under the identification of  $\mathscr{H}^q$ with  $C^{\infty}(S^n)$ .  $\tilde{L}^2$  is nothing but  $\hbar^2$  times the Laplacian  $\Delta$  acting on functions on  $S^{n}$ , (the Casimir operator). Since  $X_{H}$  does not preserve Q, we cannot quantize H in the same way as above as a linear operator on  $\mathcal{H}^{q}$ . But, by Lemma 4 and the above calculation, it is reasonable to say that if we quantize the classical Hamiltonian H as an operator on  $\mathcal{H}^{q}$ , then we should have the operator  $\hat{H} = 2^{-1}(\tilde{L}^2 + \hbar^2(2^{-1}(n-1))^2)$  as the corresponding quantum Hamiltonian. If we identify  $\mathcal{H}^{Q}$  with  $C^{\infty}(S^{n})$ , then  $\hat{H}$  is given by  $2^{-1}\hbar^2(\Delta + (2^{-1}(n-1))^2)$ .

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