# On an Arithmetical Property of the Normalization of Regular Systems of Weights

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Abstract. The weights of a two-dimensional weighted homogeneous polynomial f of degree h corresponding to an isolated singularity are arithmetically characterized by Prof. K. Saito and are called a regular system of weights. Let  $m_0$  be the dimension of the vector space of the elements of degree h of the Jacobi ring of f. It is shown that  $m_0$  is determined by weights and is estimated from below by using the genus of the central curve and the number of branches of a minimal good resolution of the corresponding singularity.

#### Introduction.

Let (a, b, c; h) be a system of four positive integers such that  $\max(a, b, c) < h$ . We call it a system of weights. Moreover (a, b, c; h) is called regular if the rational function

$$\chi(T) := \frac{T^{-h}(T^h - T^a)(T^h - T^b)(T^h - T^c)}{(T^a - 1)(T^b - 1)(T^c - 1)}$$

is regular on  $C - \{0\}$  and is called reduced if g.c.d.(a, b, c) = 1. (We give its precise definitions in Section 1.) This function is expressed as

$$\chi(T) = \sum_{n=\varepsilon}^{h-\varepsilon} a_n T^n = T^{n_1} + \cdots + T^{n_{\mu}},$$

where  $\varepsilon$  denotes a+b+c-h and  $\mu$  denotes  $\chi(1)$ . We call each  $n_i$  an exponent. Let  $m_0$  and  $a_0$  denote the coefficients of  $T^{-\varepsilon}$  and  $T^0$  in  $\chi(T)$  respectively. Let r denote  $\sum (N(a,b)-1)+\sharp\{e\in\{a,b,c\}\mid e\not\mid h\}$ , where N(a,b) denotes  $\sharp\{(p,q)\in Z_+^2\mid pa+qb=h\}$  and  $Z_+$  denotes  $\{p\in Z\mid p\geq 0\}$ . The summation is carried out over all pairs  $\{a,b\}$  from among  $\{a,b,c\}$  such that g.c.d.(a,b)>1.

In the case of  $\varepsilon < 0$ , Prof. K. Saito has shown in [Sa2] that  $m_0 = r - 3$  for the class corresponding to a minimal elliptic singularity, which is reduced regular systems of weights with one non-positive exponent (i.e.  $a_i = 0$  for  $\varepsilon < i \le 0$ ). The purpose of this

paper is to show more generally that  $m_0 \ge r - 3 + a_0$  for all reduced regular systems of weights except type  $A_1$  (Theorem 2). Moreover if it satisfies  $a_0 = 0$  then  $r = m_0 + 3$ . In [Sa1] and [Sa3],  $a_0$  and r are geometrically characterized. We shall recall them below.

Let f(x, y, z) denote a weighted homogeneous polynomial over C with weights a, b, c and degree h, i.e.,

$$f(x, y, z) = \sum_{ai+bj+ck=h} a_{ijk} x^i y^j z^k \qquad a_{ijk} \in \mathbb{C}.$$

For a regular system of weights (a, b, c; h), there exists a weighted homogeneous polynomial f such that the hypersurface  $S = \{(x, y, z) \in \mathbb{C}^3 \mid f(x, y, z) = 0\}$  has only an isolated singular point at the origin (cf. [Sa3] Theorem 3). The dual graph of the minimal good resolution of S is star shaped and consists of a central curve with some branches (cf. [OW]). We know that the genus of the central curve is equal to  $a_0$  and the number of branches is equal to r ([Sa1](5.6)). When we fix such a polynomial f, the universal unfolding for f is a polynomial  $F(x, y, z, t_1, \dots, t_{\mu})$  ( $\mu = \chi(1)$ ) such that  $F(x, y, z, 0, \dots, 0) = f(x, y, z)$  and

$$\frac{\partial F}{\partial t_i}(x, y, z, 0, \cdots, 0) \qquad (i=1, \cdots, \mu)$$

form a C-basis of the Jacobi ring of f. Then  $m_0$  is equal to the dimension of the vector space over C which is spanned by monomials of degree h in the Jacobi ring ([Sa3](5.7)).

Namely our Theorem 2 asserts that except the type  $A_l$ ,  $m_0$  can be estimated from below by the genus of the central curve  $a_0$  and the number of branches r.

The proof of Theorem 2 consists of two parts. First we consider the normalization for a regular system of weights (Definition 2). We give some invariants under normalization and determine the case with  $a_0 = 0$  in Section 2. Secondly in Section 3, we prove arithmetically that  $m_0 \ge r + 3 - a_0$  in the cases of  $a_0 \ge 1$  and the relation  $r = m_0 + 3$  in the case of  $a_0 = 0$ .

From the view point of singularities, Prof. Tomari pointed out to the author that  $m_0$  can be estimated from above by [Pi] (Theorem 5.1) and [W] (Corollary 2.9). Moreover he showed that in case of  $a_0 = 0$  our estimation can be obtained by using deformation of a singularity. But in case of  $a_0 > 0$  he says that it seems difficult to get the same estimation in this way.

We give some examples in Sections 2 and 3.

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## 1. The normalization of regular systems of weights.

Let (a, b, c; h) be a system of four positive integers. A system (a, b, c; h) such that  $h > \max(a, b, c)$  is called a system of weights. To a system of weights, we associate a

rational function  $\chi(T)$  of a variable T,

$$\chi(T) := \frac{T^{-h}(T^h - T^a)(T^h - T^b)(T^h - T^c)}{(T^a - 1)(T^b - 1)(T^c - 1)}.$$

We call  $\chi(T)$  the characteristic function of the system of weights. This function satisfies the relation

$$\chi(T^{-1}) = T^{-h}\chi(T). \tag{1}$$

DEFINITION 1. A system of weights (a, b, c; h) is called *regular*, if its characteristic function  $\chi(T)$  is regular on  $\mathbb{C}-\{0\}$ .

Noting the equation (1), the Laurent series expansion at T=0 of  $\chi(T)$  is

$$\chi(T) = a_{\varepsilon} T^{\varepsilon} + \cdots + a_{h-\varepsilon} T^{h-\varepsilon} ,$$

where the index  $\varepsilon$  denotes a+b+c-h. Moreover, by the equation (1) this expression satisfies

$$a_i = a_{h-i} \quad (\varepsilon \le i \le h - \varepsilon) \quad \text{(especially } a_{\varepsilon} = a_{h-\varepsilon} = 1).$$
 (2)

Let  $m_0$  denote  $a_{-\varepsilon}$ .

THEOREM 1 ([Sa3](1.6)). A system of weights (a, b, c; h) is regular if and only if it satisfies the following properties:

- i) a, b and c divide at least one of h-a, h-b and h-c,
- ii) (a, b), (b, c) and (c, a) divide h, where (a, b) denotes the g.c.d. of a and b.

PROOF. In [Sa3] (Assertion (1.6)), it is shown that a regular system of weights (a, b, c; h) satisfies the properties i) and ii). Conversely, if a system of weights (a, b, c; h) satisfies the properties i) and ii) then

$$T^{-\varepsilon}\chi(T) = \frac{(T^{h-a}-1)(T^{h-b}-1)(T^{h-c}-1)}{(T^a-1)(T^b-1)(T^c-1)}$$

is a polynomial. Therefore, it is regular.

(q.e.d.)

Since the property ii) gives (a, b, c)|h, we obtain (a, b, c, h) = (a, b, c). So except type  $A_l$ , which satisfies a|h and h=b+c, by definition, we call a regular system of weights (a, b, c; h) reduced if it satisfies (a, b, c, h) = (a, b, c) = 1. In case of type  $A_l$ , we call a regular system of weights (a, b, c; h) with a=1 reduced. From now on, we treat only the cases of reduced regular systems of weights.

We define the normalization of regular systems of weights. Let (a, b, c; h) be a regular system of weights and let  $c_0 = (b, c)$ ,  $c_1 = (c, a)$  and  $c_2 = (a, b)$ . a, b and c can be expressed as follows,

$$a = a'c_1c_2$$
,  $b = b'c_2c_0$ ,  $c = c'c_0c_1$ .

Now since (a, b, c) = 1, these relations  $(a', c_0) = (b', c_1) = (c', c_2) = 1$ , (a', b') = (b', c') = (c', a') = 1,  $(c_i, c_j) = 1$  (for  $i \neq j$ ) can be obtained. By Theorem 1, we have  $c_0|h$ ,  $c_1|h$  and  $c_2|h$ . So h can be expressed as  $h = h'c_0c_1c_2$ . In the following, we shall use these notations.

DEFINITION 2. Let (a, b, c; h) be a regular system of weights and g denote a divisor of (a, b). These a, b and h can be expressed as  $a = \tilde{a}g$ ,  $b = \tilde{b}g$  and  $h = \tilde{h}g$ . If g > 1 then we call the system  $(\tilde{a}, \tilde{b}, c; \tilde{h})$  a reduction of (a, b, c; h). Repeating reductions, we get the system (a', b', c'; h') which can not be reduced any more. So the system (a', b', c'; h') is called the normalization of a regular system of weights (a, b, c; h).

LEMMA 1. Let (a', b', c'; h') be the normalization of a regular system of weights (a, b, c; h). Then we have the following properties:

- i) a|h if and only if a'|h',
- ii) if a|h-b then a'|h'-b'.

**PROOF.** i) By  $(a', c_0) = 1$ ,

$$a|h \Leftrightarrow a'c_1c_2|h'c_0c_1c_2$$
  
 $\Leftrightarrow a'|h'$ .

ii) By  $(a', c_0) = (c_1, c_0) = 1$ ,

$$a|(h-b) \Leftrightarrow a'c_1c_2|(h'c_1-b')c_0c_2$$
  
 $\Leftrightarrow a'c_1|(h'c_1-b')$ .

So we have  $c_1|b'$ . But by  $(c_1, b') = 1$ , we have  $c_1 = 1$ .

(q.e.d)

This Lemma 1 also gives that  $a', b', c' \le h'$ .

LEMMA 2. For a regular system of weights (a, b, c; h), if  $a \nmid h$  then (a, b) < a and if  $a \mid (h-c)$  or  $b \mid (h-c)$  then (a, b) = 1.

Now suppose that  $\max(a', b', c') < h'$ . Then every a', b' and c' divides at least one of h' - a', h' - b' and h' - c' by Theorem 1 and Lemma 1. Namely, this shows that (a', b', c'; h') is a regular system of weights, too. The other case (i.e.  $\max(a', b', c') = h'$ ) is called *non-singular type*.

#### Invariants under the normalization.

Next, we describe invariants under the normalization. For a set of three positive integers  $\{a, b, c\}$ , let N(a, b, c; k) denote the number of  $\{(p, q, r) \in \mathbb{Z}_+^3 \mid pa + qb + rc = k\}$ , where  $\mathbb{Z}_+ := \{p \in \mathbb{Z} \mid p \geq 0\}$ . If there is no ambiguity, we write this number simply as N(k) for simplicity.

FORMULA 1 ([Sa3](1.9.1)). Let  $a_k$  be the coefficient of  $T^k$  of  $\chi(T)$  for a regular system of weights (a, b, c; h). Then

$$a_k = N(k-\epsilon) - N(k-b-c) - N(k-c-a) - N(k-a-b)$$
 for  $k < h + \min(a, b, c)$ .

We note that N(m) = 0 for m < 0. This formula also gives that  $a_0 = N(-\varepsilon)$ . Moreover we obtain the next lemma.

LEMMA 3. Let (a, b, c; h) be a regular system of weights and (a', b', c'; h') be its normalization. Let  $\varepsilon$  and  $\varepsilon'$  denote a+b+c-h and a'+b'+c'-h' respectively. Then we have  $a_0=a'_0$ .

We can easily prove that  $N(-\varepsilon) = N(-\varepsilon')$  by an arithmetical method. In the geometric situation which is explained in Introduction,  $a_0$  is equal to the genus of the central curve ([Sa1]). So Lemma 3 means that the genus is invariant under the normalization. Knowing the geometric meaning of  $a_0$ , we can also prove Lemma 3 by using the following formula of Orlik-Wagreich ([OW]).

FORMULA 2 (Orlik-Wagreich [OW](3.5.1)).

$$2a_0 = \frac{h^2}{abc} - \frac{h(a,b)}{ab} - \frac{h(b,c)}{bc} - \frac{h(c,a)}{ca} + \frac{(h,a)}{a} + \frac{(h,b)}{b} + \frac{(h,c)}{c} - 1.$$

Now we can classify regular systems of weights into types (I)  $\sim$  (IV):

If  $a \nmid h$  then  $a \nmid (h-a)$ , so we have  $a \mid (h-b)$  or  $a \mid (h-c)$  by Theorem 1, i). Considering permutations of the role of a, b and c, we can obtain the following classification:

(I) 
$$(1)$$
  $a|(h-b)$ ,  $b|(h-c)$ ,  $c|(h-a)$   
(2)  $a|(h-b)$ ,  $b|(h-a)$ ,  $c|(h-b)$   
(II)  $(1)$   $a|(h-a)$ ,  $b|(h-a)$ ,  $c|(h-a)$   
(2)  $a|(h-a)$ ,  $b|(h-a)$ ,  $c|(h-b)$   
(3)  $a|(h-a)$ ,  $b|(h-c)$ ,  $c|(h-b)$   
(III)  $a|(h-a)$ ,  $b|(h-b)$ ,  $c|(h-a)$   
(IV)  $a|(h-a)$ ,  $b|(h-b)$ ,  $c|(h-c)$ .

Noting Lemma 1, this classification is also invariant under the normalization. By the way, the following classification of regular systems of weights with  $\varepsilon \ge 0$  is known (cf. [Sa3](2.2)).

(i) The case  $\varepsilon > 0$ 

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A_{l} (l \ge 1): (a, b, c; h), h = b + c, a | h, l = h/a - 1 (II), (III), (IV)

D_{l} (l \ge 4): (2, l - 2, l - 1; 2(l - 1)) (IV) (l = 4), (III) (l > 4)

E_{6} : (3, 4, 6; 12) (IV)

E_{7} : (4, 6, 9; 18) (III)

E_{8} : (6, 10, 15; 30) (IV)
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(ii) The case  $\varepsilon = 0$ 

 $\tilde{E}_{6} : (1, 1, 1; 3) (IV)$   $\tilde{E}_{7} : (1, 1, 2; 4) (IV)$   $\tilde{E}_{8} : (1, 2, 3; 6) (IV)$ 

From the view point of singularities, the sign of  $\varepsilon$  is important. For we can associate the case  $\varepsilon > 0$  with a simple singularity and the case  $\varepsilon = 0$  with a simple elliptic singularity. The names of types (i.e.  $A_l$  or  $D_l$  etc.) show the associated singularities. Now we consider the relation between the sign of  $\varepsilon'$ , which is obtained by normalization, and  $a_0$ . Also we show the possible types of (a, b, c; h).

PROPOSITION 1. We have the following table:

| ε'                 | $a_0$ | type of $(a, b, c; h)$  |
|--------------------|-------|-------------------------|
| $\varepsilon' > 0$ | 0     | (II), (III), (IV)       |
| $\varepsilon' = 0$ | 1     | (IV)                    |
| $\varepsilon'$ < 0 | 0     | (I)-(1)                 |
|                    | 1     | (I), (II), (III)        |
|                    | ≥2    | (I), (II), (III), (IV). |

In the case of  $\varepsilon' > 0$ , the type of its normalization is  $A_1$  or non-singular.

PROOF. We note  $a_0 = a'_0$  by Lemma 3. First, we consider the case  $\varepsilon' \ge 0$ . If  $\varepsilon' > 0$  then  $a'_0 = 0$  by  $a'_0 = N(-\varepsilon')$ . If  $\varepsilon' = 0$  then  $a'_0 = a'_{\varepsilon'} = 1$  by the equation (2). Now when the normalization (a', b', c'; h') is also a regular system of weights, its type is easily seen to be one of the following (we only notice (a', b') = (b', c') = (a', c') = 1):

$$\varepsilon' > 0$$
  $A_l$   
 $\varepsilon' = 0$   $\tilde{E}_6$ ,  $\tilde{E}_7$ ,  $\tilde{E}_8$ . (We have examples for these three types. See Example 1.)

Thus we have found that if  $\varepsilon' > 0$  then the type of the normalization is type  $A_l$  or non-singular type and these types are classified into (II)  $\sim$  (IV), because there are some weights which divide h. Also we have found that if  $\varepsilon' = 0$  then the type of the normalization is one of  $\tilde{E}_6$ ,  $\tilde{E}_7$ ,  $\tilde{E}_8$  and these belong to (IV). So we have proved the case of  $\varepsilon' \ge 0$  because the normalization does not change the type by Lemma 1. Moreover if the type of the normalization is non-singular, we have  $\varepsilon' = a' + b' > 0$ , because there exists c' such that c' = h'. So if  $\varepsilon' < 0$  then it is not non-singular type.

Now assume  $\varepsilon' < 0$ . Therefore in the cases except (I)-(1), we will prove  $a_0 \ge 1$  for a regular system of weights which satisfies that  $\varepsilon < 0$  and (a, b) = (b, c) = (a, c) = 1. Furthermore we may suppose that a, b and c > 1. For if a = 1 then we have  $a_0 \ge 1$  noting  $a_0 = N(-\varepsilon)$ .

First, we shall study  $a_0$  in the case (I)-(2). Since a|(h-b) and b|(h-a), we have (a,c)=(b,c)=1 by Lemma 1. From the assumption that  $a \nmid h$  and  $b \nmid h$ , we have  $(a,b)<\min(a,b)$  by Lemma 2 (i.e.  $1 \le (a,b)=g<\min(a,b)$ ). Since a|(h-b), c|(h-b) and (a,c)=1, we have h=b+acm  $(m \in N)$ . If  $m \ge 2$  then  $a_0 \ge 1$ . So we may assume that h=b+ac. The condition b|(h-a) gives h=a+bk.

$$h=b+ac=a+bk$$
.

$$b(k-1) = a(c-1)$$
.

By  $(a, b) < \min(a, b)$ , a and b can be expressed as follows:

$$a = a'g$$
,  $b = b'g$   $(a', b' > 1, (a', b') = 1)$ .

So we obtain a'|(k-1) and b'|(c-1) and we have k-1=a'm and c-1=b'm,  $m \ge 1$  noting c > 1.

$$h = a + b(a'm + 1) = b + ac$$
.

By (a', b') = 1 and (a, c) = (b, c) = 1, we have (a', c) = (b', c) = 1 and c can be expressed as c = pa' + qb'  $(0 < q < a', p \ne 0)$ .

In the case of p < 0, using h = a'bm + a + b and gc = pa + qb we have

$$-\varepsilon = (a'm - q)b - pa + (g - 1)c.$$

Noting 0 < q < a', we have  $a'm \ge a' > q$ . As -p > 0 and  $g \ge 1$ , we obtain  $a_0 \ge 1$ .

In the case of p > 0, using h = b + ac, we have

$$-\varepsilon = \{(a'-1)g-1\}c + (p-1)a + qb.$$

By a' > 1 and  $g \ge 1$ , we have  $(a'-1)g-1 \ge 0$ . As  $p \ge 1$  and q > 0, we obtain  $a_0 \ge 1$ . Thus we have  $a_0 \ge 1$  in the case (I)-(2) with (a, b) = (b, c) = (a, c) = 1. In case of (I)-(1), we remark that  $a_0 \ge 0$  because there is an example with  $a_0 = 0$ .

Similarly, we can show that  $a_0 \ge 1$  in the other cases (II), (III) and (IV) and we can also show  $a_0 \ge 2$  in the case (IV). (q.e.d.)

REMARK 1. Let (a, b, c; h) be a regular system of weights with  $\varepsilon < 0$ . If it has  $a_0 = 0$ , it belongs to (I)-(1) or the cases such that the type of its normalization is  $A_l$  or non-singular.

We give some examples of a regular system of weights with  $\varepsilon' = 0$  or  $\varepsilon' < 0$ . We put a regular system of weights on the left hand side and its normalization on the right hand side.

Example 1 (
$$\varepsilon'=0$$
). 
$$(1, 5, 5; 15) \rightarrow (1, 1, 1; 3) \quad \tilde{E}_6 \ .$$
 
$$(2, 3, 3; 12) \rightarrow (2, 1, 1; 4) \quad \tilde{E}_7 \ .$$
 
$$(1, 8, 12; 24) \rightarrow (1, 2, 3; 6) \quad \tilde{E}_8 \ .$$

Example 2 (
$$\varepsilon'$$
<0).   
  $(2, 3, 10; 22) \rightarrow (1, 3, 5; 11) \quad a_0 = 1$ .   
  $(1, 3, 4; 18) \rightarrow (1, 1, 2; 5) \quad a_0 = 2$ .   
  $(2, 3, 4; 18) \rightarrow (1, 3, 2; 9) \quad a_0 = 3$ .

## 3. The main result.

Our purpose in this section is to give the inequation  $m_0 \ge r - 3 + a_0$ . We have defined  $m_0$  in Section 1 and r is determined by weights a, b, c and degree h as follows:

$$r = \sum_{\{(e_1,e_2)>1 \mid \{e_1,e_2\}\subset \{a,b,c\}\}} (N(e_1,e_2)-1) + \#\{e\in\{a,b,c\} \mid e \nmid h\}.$$

Here  $N(e_1, e_2)$  denotes  $\{(p, q) \in \mathbb{Z}_+^2 \mid pe_1 + qe_2 = h\}$ . But we also write  $N(e_1, e_2)$  as  $N(e_1, e_2; h)$  in representing explicitly h. The summation is carried out over all pairs  $\{a, b\}$  from among  $\{a, b, c\}$  such that g.c.d.(a, b) > 1.

FORMULA 3. Let (a, b, c; h) be a regular system of weights other than type  $A_1$ . Then we have the following formula

$$r = m_0 + 3 - a_0 - \sum_{\{(e_1, e_2) = 1 \mid \{e_1, e_2\} \subset \{a, b, c\}\}} (N(e_1, e_2) - N(e_3)),$$

where  $e_3$  is the element such that  $\{e_1, e_2, e_3\} = \{a, b, c\}$ .

PROOF. It is known that  $\varepsilon \le 1$  for a regular system of weights (cf. [Sa2], [Sa4]). Moreover we find  $h + \varepsilon < h + \min(a, b, c)$  except the type  $A_l$  in Section 1. So applying Formula 1, we have

$$\begin{aligned} a_{h+\varepsilon} &= N(h+\varepsilon-\varepsilon) - N(h+\varepsilon-b-c) - N(h+\varepsilon-c-a) - N(h+\varepsilon-a-b) \\ &= N(h) - N(a) - N(b) - N(c) \;, \\ N(h) &= \#\{(p,q,r) \in \mathbb{Z}_+^3 \mid pa+qb+rc=h\} \\ &= \#\{(p,q,r) \in \mathbb{N}^3 \mid pa+qb+rc=h\} + \#\{(0,q,r) \in \mathbb{Z}_+^3 \mid qb+rc=h\} \\ &+ \#\{(p,0,r) \in \mathbb{Z}_+^3 \mid pa+rc=h\} + \#\{(p,q,0) \in \mathbb{Z}_+^3 \mid pa+qb=h\} \\ &- \#\{(p,0,0) \in \mathbb{Z}_+^3 \mid pa=h\} - \#\{(0,q,0) \in \mathbb{Z}_+^3 \mid qb=h\} \\ &- \#\{(0,0,r) \in \mathbb{Z}_+^3 \mid rc=h\} \\ &= a_0 + N(b,c) + N(a,c) + N(a,b) - \#\{e \in \{a,b,c\} \mid e|h\} \;. \end{aligned}$$

Next we notice  $m_0 = a_{-\epsilon} = a_{h+\epsilon}$  from the equation (2). So

$$m_0 = a_0 + N(b, c) + N(a, c) + N(a, b) - \#\{e \in \{a, b, c\} \mid e \mid h\} - N(a) - N(b) - N(c)$$
.

Since  $\#\{e \in \{a, b, c\} \mid e \mid h\} + \#\{e \in \{a, b, c\} \mid e \nmid h\} = 3$ ,

$$m_0 + 3 = a_0 + N(b, c) + N(a, c) + N(a, b) + \#\{e \in \{a, b, c\} \mid e \nmid h\} - N(a) - N(b) - N(c)$$

$$= a_0 + N(a, b) - N(c) + N(b, c) - N(a) + N(a, c) - N(b) + \#\{e \in \{a, b, c\} \mid e \nmid h\}.$$

If (a, b) > 1 then c can not be expressed as c = pa + qb by (a, b, c) = 1. So we obtain N(c) = 1. Noting the definition of r, we obtain Formula 3. (q.e.d.)

Next Theorem 2 is our main result.

THEOREM 2. Let (a, b, c; h) be a regular system of weights other than type  $A_1$ . Then it holds that  $m_0 \ge r - 3 + a_0$ . Moreover, if  $a_0 = 0$  then we have  $r = m_0 + 3$ .

PROOF. First, we prove  $r=m_0+3$  in the case  $a_0=0$ . Secondly, we show  $m_0 \ge r-3+a_0$  in the case  $a_0 \ge 1$ .

## (A) The case of $a_0 = 0$ .

We apply Formula 3 to a regular system of weights with  $a_0 = 0$  other than type  $A_1$  and we show

$$\sum_{\{(e_1,e_2)=1\mid\{e_1,e_2\}\subset\{a,b,c\}\}} (N(e_1,e_2)-N(e_3))=0.$$
(3)

In the following, we shall show the equation (3) in every case listed in Remark 1.

(a) The case (I)-(1).

We have noticed (a, b) = (b, c) = (a, c) = 1 in Section 2. So we shall show

$$N(b, c) + N(a, c) + N(a, b) = N(a) + N(b) + N(c).$$
(4)

By c|(h-a), we have h-a=ck (k>1). Suppose that c can be expressed as c=pa+qb (p,q>0). Then we have

$$h = a + c + (k - 1)c$$
  
=  $\{(k-1)p + 1\}a + (k-1)qb + c$ .

But this contradicts the assumption  $a_0 = 0$ . So we have N(c) = 1 noting (a, c) = (b, c) = 1. Similarly we obtain N(a) = N(b) = 1.

We may suppose that a < c and b < c. Then we have

$$h = a + ck$$
  
=  $(c - b + 1)a + ab + (k - a)c$ .

If k>a then we have  $a_0 \ge 1$  noting c>b. This contradicts  $a_0=0$ . If k=a then h=(1+c)a. This contradicts  $a \nmid h$ . Therefore we have k < a. Noting (a, c) = 1 and k < a, we obtain N(a, c) = 1 by h=a+kc. Similarly, by  $b \mid (h-c)$  we can express h as

$$h = c + bl \quad (l > 1)$$
$$= ba + (l - a)b + c.$$

If l > a then  $a_0 \ge 1$ , so we have  $l \le a$ . By the assumption a < c, we have  $l \le a < c$ . Noting (b, c) = 1 and l < c, we have N(b, c) = 1.

Next we express h as h=b+am (m>1). Expressing a as  $a=l+\alpha$   $(\alpha \ge 0)$ , we have

$$h=b+am$$

$$=(a+1)b+(m-b)a$$

$$=bl+(\alpha+1)b+(m-b)a$$

$$=bl+c.$$

Namely we have  $c = (\alpha + 1)b + (m - b)a$ . If m > b then  $a_0 \ge 1$  by  $\alpha + 1 > 0$ . This is a contradiction. If m = b then h = (1 + a)b. This contradicts  $b \nmid h$ . So we have m < b. We obtain N(a, b) = 1 noting (a, b) = 1 and m < b.

Thus we have obtained in this case that N(a) = N(b) = N(c) = 1 and N(a, b) = N(b, c) = N(a, c) = 1, and the equation (4) hold.

(b) The case in which the type of the normalization is  $A_l$  or non-singular.

First we prepare a proposition and a lemma to prove the equation (3) in these cases.

PROPOSITION 2. Let (a, b, c; h) be a regular system of weights.

- (i) N(a, b) is not changed by any reduction. Consequently it is invariant under the normalization.
- (ii) If (a, b) = 1 then N(c) is not changed by reduction.

PROOF. First, we consider N(a, b). If  $(a, b) = c_2 > 1$  then a and b can be expressed as  $a = \tilde{a}c_2$  and  $b = \tilde{b}c_2$ . We have  $c_2 \nmid c$  by (a, b, c) = 1. By Theorem 1, we have  $c_2 \mid h$ . So h can be expressed as  $h = \tilde{h}c_2$ . Since  $c_2 \nmid c$ , if h = pa + qc then we have  $c_2 \mid q$  and we can express q as  $q = q'c_2$  for some q'. Thus we have

$$\exists p, q \in \mathbb{Z}_+ \text{ s.t. } h = pa + qb \iff \exists p, q \in \mathbb{Z}_+ \text{ s.t. } \tilde{h} = p\tilde{a} + q\tilde{b},$$
  
$$\exists p, q \in \mathbb{Z}_+ \text{ s.t. } h = pa + qc \iff \exists p, q' \in \mathbb{Z}_+ \text{ s.t. } \tilde{h} = p\tilde{a} + q'c.$$

Therefore we have found that  $N(a, b; h) = N(\tilde{a}, \tilde{b}; \tilde{h})$  and  $N(a, c; h) = N(\tilde{a}, c; \tilde{h})$  and this proves (i).

Next, we consider N(c). By (a, b) = 1, it is sufficient to consider only the reduction with respect to b and c. Let  $(b, c) = c_0 > 1$  then b, c and h can be expressed as  $b = bc_0$ ,  $c = cc_0$  and  $cc_0$ . Since (a, b) = 1, we have  $(c_0, a) = 1$  and if we express  $cc_0 = pa + qb$ , we can express  $cc_0$  as  $cc_0 = pc_0$  for some  $cc_0$ . So we have

$$\exists p, q \in \mathbb{Z}_+ \text{ s.t. } c = pa + qb \iff \exists p', q \in \mathbb{Z}_+ \text{ s.t. } \tilde{c} = p'a + q\tilde{b}.$$

Thus we obtain  $N(c) = N(\tilde{c})$ . (q.e.d.)

So if (b, c) = (a, c) = 1 and (a, b) > 1 then we have only to show that N(b', c') + N(a', c') = N(a') + N(b'). Eventually it is enough to show that N(a', c') = N(b') in case of (a, c) = 1.

LEMMA 4. Let (a', b', c'; h') be the normalization of a regular system of weights (a, b, c; h) whose type is  $A_l$  (suppose h' = b' + c' and a'|h') or non-singular (suppose a' = h'). Then b'|h' if and only if b' = 1.

PROOF. We have only to prove that if b'|h' then we have b'=1. In the case of non-singular type, we have b'|a'. In the case of type  $A_l$ , we have b'|c'. On the other hand, we have (b', c') = (a', b') = 1 by the definition of the normalization, so we have b'=1 in both cases. (q.e.d.)

By the previous lemma, we may assume that b' and c' satisfies one of the following conditions:

- (II) b' > 1 c' > 1,
- (III)  $b' = 1 \ c' > 1$ ,
- (IV) b' = 1 c' = 1.

First, we consider type  $A_l$ .

The case (II) (b'>1, c'>1, a'|h', h'=b'+c').

Since a'|h' and a' < h', we can express h' as  $h' = a'\alpha$  ( $\alpha > 1$ ).

We have h' = b' + c', so we have N(b', c') = 1 considering b', c' > 1, (b', c') = 1. a' can not be expressed as a' = pb' + qc' (p, q > 0) by  $b' + c' = a'\alpha > a'$ . Since b', c' > 1 and (b', a') = (c', a') = 1, a' can not be expressed as a' = pb' + qc' (p = 0 or q = 0). So N(a') = 1. Therefore we have N(b', c') = N(a') = 1.

Secondly, we can express b' as b' = pc' + qa'  $(0 \le p < a')$  then

$$h' = b' + c' = (p+1)c' + qa'$$
.

Noting  $p+1 \ge 1$  and  $h' = a'\alpha$ , we have N(c', a') = N(c', a'; b') + 1. Note that in general we have N(b') = N(c', a'; b') + 1, since b' = b' + 0c' + 0a'. Therefore we have N(c', a') = N(b'). Similarly we have N(b', a') = N(c').

The case (III) (b'=1, c'>1, a'|h', h'=b'+c').

Noting b'=1 and a'|h', we have  $h'=1+c'=a'\alpha$  ( $\alpha > 1$ ).

First, since b' = 1, we have  $h' = a'\alpha = a'(\alpha - 1) + a'b'$ . So we have  $N(b', a') = \alpha + 1$ . Also noting  $c' = h' - 1 = a'(\alpha - 1) + (a' - 1)b'$ , we have  $N(b', a'; c') = \alpha$ . On the other hand, we have  $N(c') = 1 + N(b', a'; c') = 1 + \alpha$ . So we have N(b', a') = N(c').

Next by  $1+c'=a'\alpha>a'$  and c'>1 we have c'>a'. Noting b'=1, we have a'=a'b'+0c'. So we have N(b',c';a')=1 and N(a')=1+N(b',c';a')=2. Since h'=b'+b'c', we have N(b',c')=2. Therefore we have N(b',c')=N(a')=2.

Lastly noting 1 = b' < c', if a' > 1 then N(b') = 1 and if a' = 1 then N(b') = 2. Also noting  $h' = 1 + c' = a'\alpha$  as above, if a' > 1 then N(c', a') = 1 and if a' = 1 then N(c', a') = 2. So we have N(c', a') = N(b').

The case (IV)  $(b' = c' = 1, a'|h', h' = b' + c' = \alpha a'(\alpha > 1)).$ 

In this case, we have b'=c'=a'=1 and h'=2. Therefore we have N(b')=N(c')=N(a')=3 and N(b',c')=N(c',a')=N(b',a')=3.

Thus we have proved  $r = m_0 + 3$  in the case that the type of the normalization is  $A_l$ . Finally we study the case of non-singular type. Assuming that c' = h', i.e., (a, b) > 1, we notice that if  $b' \not> h'$ , i.e., b' > 1, we have b' < c'. The case (II) (a', b' > 1, c' = h').

By the above notice, we have 1 < a' < c' and 1 < b' < c'. We have N(a', c') = 1 by h' = c' and  $a' \nmid h'$ . We have N(b') = 1 since b' < c', 1 < a' and (a', b') = 1. So we have N(a', c') = N(b') = 1. Similarly we have N(b', c') = N(a') = 1.

The case (III) (a'=1, b'>1, c'=h').

First, we have b' < c'. As we can express b' as b' = b'a' + 0c' noting a' = 1, we have N(b') = 1 + 1 by b' < c'. As h' can be expressed as h' = c'a' noting a' = 1, we have N(a', c') = 2. So we have N(a', c') = N(b').

Secondly, since a' = 1, 1 < b' and 1 < c', we have N(a') = 1. Since h' = c' and  $b' \not | h'$ , we have N(b', c') = 1. So we have N(b', c') = N(a').

The case (IV) (a' = b' = 1, c' = h').

- (i) 1 < c' (b' < c', a' < c'). As h' can be expressed as h' = c' = a'c' (a' = 1), we have N(a', c') = 2. By b' = a' = 1 and b' < c', we have N(b') = 2. So we have N(a', c') = N(b'). Similarly we have N(b', c') = N(a').
- (ii) c' = 1. From the assumption, we have a' = b' = c' = h' = 1. Then we have (a, b), (b, c), (a, c) > 1.

Consequently we have the following (a) or (b):

- (a) (a, b) > 1, N(a', c') = N(b'), N(b', c') = N(a')
- (b) (a, b) > 1, (b, c) > 1, (a, c) > 1.

Now as it was enough to show that if (a, b) = 1 then N(a', b') = N(c'), so we have the equation (3) in any case. (q.e.d.)

(B) The case of  $a_0 \ge 1$ .

It holds that  $\varepsilon' \leq 0$  by Proposition 1. Using the invariants of reduction (Proposition 2), we have only to show that

$$N(a', b') - N(c') \ge 0$$
 for  $(a, b) = 1$ .

In Section 2 we have noticed in the case  $\varepsilon' \le 0$  that the normalization (a', b', c'; h') is also a regular system of weights. So we shall show  $N(a, b) - N(c) \ge 0$  for  $\varepsilon \le 0$  and (a, b) = (b, c) = (a, c) = 1. Noting that N(c) = N(a, b; c) + 1, we shall show that  $N(a, b; h) \ge N(a, b; c) + 1$  in each case of the following:

(a) The case of N(a, b; c) = 0.

It clearly holds  $N(a, b; h) \ge 1$  in the case that a (or b) divides h-a or h-b. So we show the inequality in the case that both a and b divide h-c. Noting (a, b) = 1, we have h-c=dab. So  $h>dab \ge ab$ . If we represent h=pa+qb  $(0 \le p < b)$  then we have q>0 by

 $h \ge ab$ . So  $N(a, b; h) \ge 1$ .

## (b) The case of $N(a, b; c) \ge 1$ .

We shall show that N(a, b; h) > N(a, b; c) in the case that c divides h-a, as we can similarly show it in the other cases. We have an expression h-a=cm where  $m \ge 2$  noting  $h \ge a+b+c$ . On the other hand, we have different expressions

$$c = \alpha_i a + \beta_i b$$
,  $\alpha_i$ ,  $\beta_i \ge 0$ ,  $1 \le i \le k$ , where  $k = N(a, b; c)$ .

So we have different expressions of h using these expressions of c:

$$h = a + cm$$

$$= a + m\alpha_i a + m\beta_i b$$

$$= a + m_1 c + m_2 c \qquad (m = m_1 + m_2, m_1, m_2 > 0)$$

$$= a + (m_1 \alpha_i + m_2 \alpha_j) a + (m_1 \beta_i + m_2 \beta_j) b.$$

If  $\alpha_i < \alpha_j$  then we have  $m\alpha_i < m_1\alpha_i + m_2\alpha_j < m\alpha_j$ . Namely it holds that N(a, b; h) > N(a, b; c). (q.e.d)

The case (B) was pointed out to the author by Prof. M. Tomari.

Finally we give some examples of a regular system of weights with  $a_0 = 0$  or  $m_0 > r - 3 + a_0$  and  $a_0 > 0$ . For each example we give the characteristic function and the dual graph of the resolution associated with an isolated singularity. A number in a circle means the self-intersection number of the corresponding curve multiplied by -1 and circles without a number represent curves with self-intersection -2.

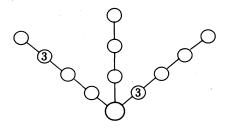
Example 3 (type I-(1)). (4, 9, 11; 31).

$$\chi(T) = T^{-7} + T^{-3} + T + T^2 + T^4 + T^5 + T^6 + T^8 + T^9 + T^{10} + T^{11} + T^{12}$$

$$+ T^{13} + T^{14} + T^{15} + T^{16} + T^{17} + T^{18} + T^{19} + T^{20} + T^{21} + T^{22}$$

$$+ T^{23} + T^{25} + T^{26} + T^{27} + T^{29} + T^{30} + T^{34} + T^{38} .$$

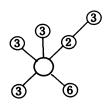
$$m_0 = 0$$
,  $r = 3$ .



EXAMPLE 4 (the normalization is type  $A_l$ ).  $(3, 5, 6; 21) \rightarrow (1, 5, 2; 7)$ .

$$\chi(T) = T^{-7} + T^{-4} + T^{-2} + 2T^{-1} + T + 2T^{2} + T^{3} + 2T^{4} + 3T^{5} + T^{6} + 2T^{7} + 3T^{8} + T^{9} + 3T^{10} + 3T^{11} + T^{12} + 3T^{13} + 2T^{14} + T^{15} + 3T^{16} + 2T^{17} + T^{18} + 2T^{19} + T^{20} + 2T^{22} + T^{23} + T^{25} + T^{28}.$$

$$m_0 = 2$$
,  $r = 5$ .

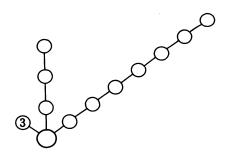


Example 5 (the normalization is non-singular type).  $(8, 9, 12; 36) \rightarrow (2, 3, 1; 3)$ .

$$\chi(T) = T^{-7} + T + T^2 + T^5 + T^9 + T^{10} + T^{11} + T^{13} + T^{14} + T^{17} + T^{18}$$

$$+ T^{19} + T^{22} + T^{23} + T^{25} + T^{26} + T^{27} + T^{31} + T^{34} + T^{35} + T^{43}.$$

$$m_0 = 0$$
,  $r = 3$ .



Example 6. (1, 1, 5; 10).

$$\chi(T) = T^{-3} + 2T^{-2} + 3T^{-1} + 4 + 5T + 6T^{2} + 7T^{3} + 8T^{4} + 9T^{5} + 8T^{6} + 7T^{7} + 6T^{8} + 5T^{9} + 4T^{10} + 3T^{11} + 2T^{12} + T^{13}.$$

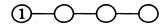
$$m_0 = 7$$
,  $r = 0$ .

(2)

Example 7. (3, 4, 5; 15).

$$\chi(T) = T^{-3} + 1 + T + T^2 + T^3 + T^4 + 2T^5 + 2T^6 + T^7 + T^8 + 2T^9 + 2T^{10} + T^{11} + T^{12} + T^{13} + T^{14} + T^{15} + T^{18}.$$

$$m_0 = 1$$
,  $r = 1$ .



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