# On the Iwasawa Invariants of the Cyclotomic $\mathbf{Z}_{2}$-Extensions of Certain Real Quadratic Fields 

Yoshinori NISHINO<br>Waseda University<br>(Communicated by Y. Yamada)


#### Abstract

We study some conditions that the Iwasawa $\lambda$-, $\mu$-invariants of the the cyclotomic $\mathbf{Z}_{2}$-extension of $k=\mathbf{Q}(\sqrt{p q})$ with $p \equiv 7(\bmod 8), q \equiv 1(\bmod 8),\left(\frac{p}{q}\right)=-1$ are zero.


## 1. Introduction

Let $k$ be a finite extension of the field $\mathbf{Q}$ of rational numbers, $l$ any prime number, and $k_{\infty}$ the cyclotomic $\mathbf{Z}_{l}$-extension of $k$, where $\mathbf{Z}_{l}$ is the ring of $l$-adic integers. Then $k_{\infty}$ has the unique subfield $k_{n}$ which is a cyclic extension of degree $l^{n}$ over $k$ for any integer $n \geq 0$. Let $e_{n}$ be the highest power of $l$ dividing the class number of $k_{n}$. The following theorem about $e_{n}$ is well-known as Iwasawa's class number formula.

ThEOREM 1 (Iwasawa) (cf. [4], [9]). There exist integers $\lambda_{l}(k), \mu_{l}(k) \geq 0, v_{l}(k)$, all independent of $n$, and an integer $n_{0}$ such that

$$
e_{n}=\lambda_{l}(k) n+\mu_{l}(k) l^{n}+v_{l}(k)
$$

for all $n \geq n_{0}$.
$\lambda_{l}(k), \mu_{l}(k)$, and $\nu_{l}(k)$ are called Iwasawa $\lambda$-, $\mu$-, and $\nu$-invariants of $k_{\infty}$, respectively.
Greenberg conjectured that if $k$ is a totally real number field, then $\lambda_{l}(k)=\mu_{l}(k)=0$ for any prime number $l$ (cf. [2]).Many authors have studied the conditions that Iwasawa $\lambda$-, $\mu$-invariants are zero. In this paper, we prove the following theorem related to the Iwasawa $\lambda$-, $\mu$-invariants of the cyclotomic $\mathbf{Z}_{2}$-extensions of certain real quadratic fields.

ThEOREM 2. Let $p, q$ be prime numbers such that

$$
p \equiv 7(\bmod 8), \quad q \equiv 1(\bmod 8), \quad\left(\frac{p}{q}\right)=-1
$$

where $\left(\frac{*}{*}\right)$ is Legendre's symbol. Let $k=\mathbf{Q}(\sqrt{p q})$ or $\mathbf{Q}(\sqrt{2 p q})$, and $\lambda_{2}(k), \mu_{2}(k)$, the Iwasawa $\lambda$-, $\mu$-invariants of the cyclotomic $\mathbf{Z}_{2}$-extension $k_{\infty}$ of $k$, respectively.
(1) If $q \equiv 9(\bmod 16)$, then $\lambda_{2}(k)=\mu_{2}(k)=0$.
(2) If $q \equiv 1(\bmod 16), p \equiv 7(\bmod 16)$, and $2^{\frac{q-1}{4}} \equiv-1(\bmod q)$, then $\lambda_{2}(k)=$ $\mu_{2}(k)=0$.

## 2. Known results

There are many results about the Iwasawa invariants of the cyclotomic $\mathbf{Z}_{2}$-extensions of real quadratic fields. We refer to some of them in this section.

Let $n$ be a non-negative integer, $a_{n}=2 \cos \left(\frac{2 \pi}{2^{n+2}}\right)$ and $\mathbf{Q}_{n}=\mathbf{Q}\left(a_{n}\right)$.Then $\mathbf{Q}_{n} \subset \mathbf{Q}_{n+1}$ by $a_{n+1}=\sqrt{2+a_{n}} . \mathbf{Q}_{n}$ is a cyclic extension of $\mathbf{Q}$ of degree $2^{n}$ and $\mathbf{Q}_{\infty}=\cup_{n=0}^{\infty} \mathbf{Q}_{n}$ is the unique $\mathbf{Z}_{2}$-extension of $\mathbf{Q}$. Weber proved that $\lambda_{2}(\mathbf{Q})=\mu_{2}(\mathbf{Q})=\nu_{2}(\mathbf{Q})=0$ (cf. [3], Satz 6, p.29).

Let $m$ be a positive square-free integer, let $k=\mathbf{Q}(\sqrt{m})$, and $k_{n}=k \mathbf{Q}_{n}$. Then the cyclotomic $\mathbf{Z}_{2}$-extension $k_{\infty}$ of $k$ is given by $\cup_{n=0}^{\infty} k_{n}=k \mathbf{Q}_{\infty}$. If $m>2, k_{1}=\mathbf{Q}(\sqrt{2}, \sqrt{m})$ contains just three real quadratic subfields $\mathbf{Q}_{1}, k, k^{\prime}=\mathbf{Q}(\sqrt{2 m})$. Hence $k$ and $k^{\prime}$ have the same cyclotomic $\mathbf{Z}_{2}$-extension, which means the Iwasawa invariants are also the same.

Iwasawa proved that for each prime number $l$, if a Galois $l$-extension $K / k$ of number fields has at most one (finite or infinite) ramified prime and the class number of $k$ is not divisible by $l$, then the class number of $K$ is also not divisible by $l$ (cf. [5]). This implies if a real quadratic field $k$ with odd class number has only one prime ideal above the prime number 2 , then the class number of $k_{n}$ is also odd for each $n \geq 0$, i.e., $\lambda_{2}(k)=\mu_{2}(k)=\nu_{2}(k)=0$. Moreover, by genus theory and the theorem of Rédei and Reichardt (cf. [8]), we can determine the real quadratic fields which have odd class number and only one prime ideal above the prime number 2. Hence we obtain the following:

THEOREM 3. Let $k=\mathbf{Q}(\sqrt{m})$ or $\mathbf{Q}(\sqrt{2 m})$ and let $\lambda_{2}(k), \mu_{2}(k), \nu_{2}(k)$ be the Iwasawa $\lambda$-, $\mu$-, and $\nu$-invariants of the cyclotomic $\mathbf{Z}_{2}$-extension $k_{\infty}$ of $k$, respectively. Suppose that $m$ is one of the following:
(1) $m=2$,
(2) $m=p \quad p \equiv 5(\bmod 8)$,
(3) $m=q \quad q \equiv 3(\bmod 4)$,
(4) $m=p q \quad p \equiv 3, q \equiv 7(\bmod 8)$,
where $p$ and $q$ are prime numbers. Then we have $\lambda_{2}(k)=\mu_{2}(k)=\nu_{2}(k)=0$.
These cases are often called trivial cases.
On the other hand, Ozaki and Taya, Fukuda and Komatsu proved the following theorems which are non-trivial.

THEOREM 4 (Ozaki-Taya) (cf. [7]). Let $k=\mathbf{Q}(\sqrt{m})$ or $\mathbf{Q}(\sqrt{2 m})$ and let $\lambda_{2}(k)$, $\mu_{2}(k)$ be the Iwasawa $\lambda$-, $\mu$-invariants of the cyclotomic $\mathbf{Z}_{2}$-extension $k_{\infty}$ of $k$, respectively. Suppose that $m$ is one of the following:
(1) $m=p \quad p \equiv 1(\bmod 8)$ and $\quad 2^{\frac{p-1}{4}} \equiv(-1)^{\frac{p-1}{8}}(\bmod p)$,
(2) $m=p q \quad p \equiv q \equiv 3(\bmod 8)$,
(3) $m=p q \quad p \equiv 3, q \equiv 5(\bmod 8)$,
(4) $m=p q \quad p \equiv 5, q \equiv 7(\bmod 8)$,
(5) $m=p q \quad p \equiv q \equiv 5(\bmod 8)$,
where $p$ and $q$ are distinct prime numbers. Then we have $\lambda_{2}(k)=\mu_{2}(k)=0$.
THEOREM 5 (Fukuda-Komatsu) (cf. [1]). Let $k=\mathbf{Q}(\sqrt{m})$ or $\mathbf{Q}(\sqrt{2 m})$ and let $\lambda_{2}(k)$, $\mu_{2}(k)$ be the Iwasawa $\lambda$-, $\mu$-invariants of the cyclotomic $\mathbf{Z}_{2}$-extension $k_{\infty}$ of $k$, respectively. Suppose that

$$
m=p q \quad p \equiv 3, \quad q \equiv 1(\bmod 8), \quad\left(\frac{p}{q}\right)=-1 \quad \text { and } \quad 2^{\frac{q-1}{4}} \equiv-1(\bmod q)
$$

where $p$ and $q$ are prime numbers and $\left(\frac{*}{*}\right)$ is Legendre's symbol. Then we have $\lambda_{2}(k)=$ $\mu_{2}(k)=0$.

Theorem 2 deals with non-trivial cases. We prove it according to the idea of Theorem 5.

## 3. Preparation

To prove Theorem 2 we need some preparation which were also used in the proof of Theorem 5.

Let $p$ and $q$ be prime numbers such that $p \equiv 7(\bmod 8), q \equiv 1(\bmod 8),\left(\frac{p}{q}\right)=-1$, and $k=\mathbf{Q}(\sqrt{p q}), k_{n}=k \mathbf{Q}_{n}, k_{\infty}=\cup_{n=0}^{\infty} k_{n}$.
Since $k_{n}=k\left(a_{n}\right)=k_{n-1}\left(\sqrt{2+a_{n-1}}\right)$, we have $N_{k_{n} / k_{n-1}}\left(2-a_{n}\right)=\left(2-a_{n}\right)\left(2+a_{n}\right)=$ $2-a_{n-1}$, where $N_{k_{n} / k_{n-1}}$ is the norm. Thus $N_{k_{n} / k}\left(2-a_{n}\right)=2$. Since $a_{n}$ is an algebraic integer of $\mathbf{Q}_{n}$, it means $2 \mathfrak{O}_{\mathbf{Q}_{n}}=\left(2-a_{n}\right)^{2^{n}} \mathfrak{O}_{\mathbf{Q}_{n}}=\left(2+a_{n}\right)^{2^{n}} \mathfrak{O}_{\mathbf{Q}_{n}}$, where $\mathfrak{O}_{\mathbf{Q}_{n}}$ is the integer ring of $\mathbf{Q}_{n}$. So the ideal $\left(2-a_{n}\right) \mathfrak{O}_{\mathbf{Q}_{n}}=\left(2+a_{n}\right) \mathfrak{O}_{\mathbf{Q}_{n}}$ is the unique prime ideal of $\mathbf{Q}_{n}$ lying above 2 . Therefore the square of the unique prime ideal $\mathfrak{L}_{n}$ of $k_{n}$ lying above 2 is $\left(2-a_{n}\right) \mathfrak{O}_{k_{n}}$, where $\mathfrak{O}_{k_{n}}$ is the integer ring of $k_{n}$.
First, we show the following important proposition.
PRoposition 1. Let $k$ be as above and $\lambda_{2}(k), \mu_{2}(k)$ the Iwasawa $\lambda$-, $\mu$-invariants of the cyclotomic $\mathbf{Z}_{2}$-extension $k_{\infty}$ of $k$, respectively. If there exists a non-negative integer $n_{0}$ such that $\mathfrak{L}_{n_{0}}$ is non-principal in $k_{n_{0}}$, then $\lambda_{2}(k)=\mu_{2}(k)=0$.

PROOF. Let $A_{n}$ be the 2-Sylow subgroup of the ideal class group of $k_{n}, B_{n}$ the subgroup of $A_{n}$ consisting of ideal classes invariant under the action of $G a l\left(k_{n} / k\right)$ and $B_{n}^{\prime}$ the subgroup of $B_{n}$ consisting of ideal classes containing ideals invariant under the action of $\operatorname{Gal}\left(k_{n} / k\right)$. Then by genus formula, we have

$$
\begin{gathered}
o\left(B_{n}\right)=2 \text {-part of } h_{k} /\left(E_{k}: E_{k} \cap N_{k_{n} / k}\left(k_{n}^{\times}\right)\right), \\
o\left(B_{n}^{\prime}\right)=2 \text {-part of } h_{k} /\left(E_{k}: N_{k_{n} / k}\left(E_{k_{n}}\right)\right)
\end{gathered}
$$

where $o\left(B_{n}\right)$ is the order of $B_{n}, h_{k}$ the class number of $k, E_{k}$ the unit group of $k, k_{n}^{\times}$the group of invertible elements of $k_{n},\left(E_{k}: E_{k} \cap N_{k_{n} / k}\left(k_{n}^{\times}\right)\right)$the index of $E_{k} \cap N_{k_{n} / k}\left(k_{n}^{\times}\right)$in $E_{k}$, $o\left(B_{n}^{\prime}\right)$ the order of $B_{n}^{\prime}, E_{k_{n}}$ the unit group of $k_{n},\left(E_{k}: N_{k_{n} / k}\left(E_{k_{n}}\right)\right)$ the index of $N_{k_{n} / k}\left(E_{k_{n}}\right)$ in $E_{k}$. By genus fomula, we can also show that $k(\sqrt{q})$ is the 2-genus field of $k / \mathbf{Q}$. Let $G$ be $\operatorname{Gal}(k / \mathbf{Q}), \sigma$ a generator of $G, A_{0}^{G}$ the subgroup of $A_{0}$ consisting of ideal classes invariant under the action of $G$. Then $A_{0} / A_{0}^{1-\sigma} \cong \operatorname{Gal}(k(\sqrt{q}) / k)$ by Artin map. Since $\left(\frac{p}{q}\right)=-1$, we have $A_{0}=A_{0}^{G} A_{0}^{1-\sigma}$, which shows $A_{0}=A_{0}^{G}$. It follows that the 2-Hilbelt class field of $k$ is $k(\sqrt{q})$ and we obtain $o\left(B_{n}\right)=2 /\left(E_{k}: E_{k} \cap N_{k_{n} / k}\left(k_{n}^{\times}\right)\right), o\left(B_{n}^{\prime}\right)=2 /\left(E_{k}: N_{k_{n} / k}\left(E_{k_{n}}\right)\right)$. Hence by the assumption, we have $B_{n}=B_{n}^{\prime}=\left\langle\operatorname{cl}\left(\mathfrak{L}_{n}\right)\right\rangle \cong \mathbf{Z} / 2 \mathbf{Z}$ for all $n \geq n_{0}$, where $\operatorname{cl}\left(\mathfrak{L}_{n}\right)$ is the ideal class of $k_{n}$ containing $\mathfrak{L}_{n},\left\langle\operatorname{cl}\left(\mathfrak{L}_{n}\right)\right\rangle$ the group generated by $\operatorname{cl}\left(\mathfrak{L}_{n}\right)$, and $\mathbf{Z}$ the ring of rational integers. Since $N_{k_{n} / k_{n_{0}}}\left(\mathfrak{L}_{n}\right)=\mathfrak{L}_{n_{0}}$, the norm map $N_{k_{n} / k_{n_{0}}}$ of $B_{n}$ to $B_{n_{0}}$ is an isomorphism, which shows that the intersection of $B_{n}$ and the kernel $C_{n}$ of the norm map $A_{n}$ to $A_{n_{0}}$ is trivial. It means $C_{n}$ is also trivial. Therefore, since $N_{k_{n} / k_{n_{0}}}\left(A_{n}\right)=A_{n_{0}}, A_{n}$ is isomorphic to $A_{n_{0}}$, which implies $\lambda_{2}(k)=\mu_{2}(k)=0$.

REMARK 1. Since the 2-Hilbelt class field of $k$ is $k(\sqrt{q})$ and $q \equiv 1(\bmod 8), \mathfrak{L}_{0}$ is principal in $k$.
Since $q \equiv 1(\bmod 8), q$ splits completely in $\mathbf{Q}_{1}$. Moreover, the class number of $\mathbf{Q}_{1}$ is 1 and $N_{\mathbf{Q}_{1} / \mathbf{Q}}(1+\sqrt{2})=-1$. Hence there exist positive integers $r, s$ such that $q=(r+s \sqrt{2})(r-$ $s \sqrt{2}$ ). Let $q_{1}=r+s \sqrt{2}, q_{2}=r-s \sqrt{2}$ (Note that $q_{1}, q_{2}$ are totally positive.). Then there exist integers $a, b, c, d$ with $q_{1}=a+b \sqrt{2}+4 \sqrt{2}(c+d \sqrt{2}), 0 \leq a \leq 8,0 \leq b \leq 3$ and we have $q=q_{1} q_{2} \equiv a^{2}-2 b^{2}(\bmod 16)$. Thus if $q \equiv 1(\bmod 16)$, then

$$
q_{i} \equiv \pm 1, \pm(1+\sqrt{2})^{2} \quad(\bmod 4 \sqrt{2}) \quad-(\mathrm{i})
$$

and if $q \equiv 9(\bmod 16)$, then

$$
q_{i} \equiv \pm 3, \pm(1+2 \sqrt{2}) \quad(\bmod 4 \sqrt{2}) . \quad-(\text { ii })
$$

On the other hand, since $p \equiv 7(\bmod 8), p$ also splits completely in $\mathbf{Q}_{1}$. So there exist positive integers $t, u$ such that $p=(t+u \sqrt{2})(t-u \sqrt{2})$. Let $p_{1}=t+u \sqrt{2}, p_{2}=t-u \sqrt{2}$ (Note that $p_{1}, p_{2}$ are also totally positive.). In the same way as above, we can show that if $p \equiv 7(\bmod 16)$, then

$$
p_{i} \equiv 3 \pm \sqrt{2},-3 \pm \sqrt{2} \quad(\bmod 4 \sqrt{2}) . \quad-(\text { iiii })
$$

By class field theory, we can show the following lemma.
Lemma 1. (1) Suppose that $q \equiv 1(\bmod 16)$.
If $2^{\frac{q-1}{4}} \equiv-1(\bmod q)$, then the ray class field $\mathbf{Q}_{1}\left(\bmod q_{i}\right)$ of $\mathbf{Q}_{1} \bmod q_{i}$ does not contain any quadratic extension of $\mathbf{Q}_{1}$. If $2^{\frac{q-1}{4}} \equiv 1(\bmod q)$, then $\mathbf{Q}_{1}\left(\bmod q_{i}\right)$ contains a quadratic extension of $\mathbf{Q}_{1}$.
(2) Suppose that $q \equiv 9(\bmod 16)$.

If $2^{\frac{q-1}{4}} \equiv-1(\bmod q)$, then $\mathbf{Q}_{1}\left(\bmod q_{i}\right)$ contains a quadratic extension of $\mathbf{Q}_{1}$. If $2^{\frac{q-1}{4}} \equiv 1$ $(\bmod q)$, then $\mathbf{Q}_{1}\left(\bmod q_{i}\right)$ does not contain any quadratic extension of $\mathbf{Q}_{1}$.
(3) Suppose that $p \equiv 7(\bmod 8)$. Then the ray class field $\mathbf{Q}_{1}\left(\bmod p_{i}\right)$ of $\mathbf{Q}_{1} \bmod p_{i}$ does not contain any quadratic extension of $\mathbf{Q}_{1}$.

Proof. At first we show (1), (2). Note that

$$
(2+\sqrt{2})^{\frac{q-1}{2}}=(\sqrt{2}(1+\sqrt{2}))^{\frac{q-1}{2}}=2^{\frac{q-1}{4}}(1+\sqrt{2})^{\frac{q-1}{2}}
$$

If $q \equiv 1(\bmod 16)$, then $q$ splits completely in $\mathbf{Q}_{2} / \mathbf{Q}_{1}$, which implies $(2+\sqrt{2})^{\frac{q-1}{2}} \equiv 1$ $(\bmod q)$. Hence if $2^{\frac{q-1}{4}} \equiv-1(\bmod q)$, then $(1+\sqrt{2})^{\frac{q-1}{2}} \equiv-1(\bmod q)$, and if $2^{\frac{q-1}{4}} \equiv 1$ $(\bmod q)$, then $(1+\sqrt{2})^{\frac{q-1}{2}} \equiv 1(\bmod q)$.

If $q \equiv 9(\bmod 16)$, then $(2+\sqrt{2})^{\frac{q-1}{2}} \equiv-1(\bmod q)$. Hence if $2^{\frac{q-1}{4}} \equiv-1(\bmod q)$, then $(1+\sqrt{2})^{\frac{q-1}{2}} \equiv 1(\bmod q)$, and if $2^{\frac{q-1}{4}} \equiv 1(\bmod q)$, then $(1+\sqrt{2})^{\frac{q-1}{2}} \equiv-1(\bmod q)$. Let $J_{\mathbf{Q}_{1}}^{q_{i}}=\left\{\mathfrak{a}\right.$ :ideal of $\mathbf{Q}_{1} \mid \mathfrak{a}$ is relatively prime to $\left.q_{i}\right\}$, and $P_{\mathbf{Q}_{1}}^{q_{i}}=\left\{(\alpha)\right.$ : principal ideal of $\left.\mathbf{Q}_{1} \mid \alpha \equiv 1\left(\bmod q_{i}\right)\right\}$. Then we have $J_{\mathbf{Q}_{1}}^{q_{i}} / P_{\mathbf{Q}_{1}}^{q_{i}} \cong$ $\operatorname{Gal}\left(\mathbf{Q}_{1}\left(\bmod q_{i}\right) / \mathbf{Q}_{1}\right)$ by class field theory. There is a surjection such that

$$
\begin{aligned}
& \left(\mathbf{Z}[\sqrt{2}] / q_{i} \mathbf{Z}[\sqrt{2}]\right)^{\times} \rightarrow J_{\mathbf{Q}_{1}}^{q_{i}} / P_{\mathbf{Q}_{1}}^{q_{i}} \\
& \alpha \bmod q_{i} \mapsto(\alpha) \quad \bmod P_{\mathbf{Q}_{1}}^{q_{i}}
\end{aligned}
$$

Since the kernel of this morphism is $\left\langle-1 \bmod q_{i}, 1+\sqrt{2} \bmod q_{i}\right\rangle$ and -1 is a quadratic residue $\bmod q_{i}$, we obtain (1) and (2).

Similarly, let $J_{\mathbf{Q}_{1}}^{p_{i}}=\left\{\mathfrak{a}\right.$ : ideal of $\mathbf{Q}_{1} \mid \mathfrak{a}$ is relatively prime to $\left.p_{i}\right\}$, $P_{\mathbf{Q}_{1}}^{p_{i}}=\left\{(\alpha)\right.$ : principal ideal of $\left.\mathbf{Q}_{1} \mid \alpha \equiv 1\left(\bmod p_{i}\right)\right\}$. Then we also have $J_{\mathbf{Q}_{1}}^{p_{i}} / P_{\mathbf{Q}_{1}}^{p_{i}} \cong$ $\operatorname{Gal}\left(\mathbf{Q}_{1}\left(\bmod p_{i}\right) / \mathbf{Q}_{1}\right)$ and $\left\langle-1 \bmod p_{i}, 1+\sqrt{2} \bmod p_{i}\right\rangle$ is the kernel of the surjection

$$
\left(\mathbf{Z}[\sqrt{2}] / p_{i} \mathbf{Z}[\sqrt{2}]\right)^{\times} \rightarrow J_{\mathbf{Q}_{1}}^{p_{i}} / P_{\mathbf{Q}_{1}}^{p_{i}}
$$

$\alpha \bmod p_{i} \mapsto(\alpha) \quad \bmod P_{\mathbf{Q}_{1}}^{p_{i}}$,
Since $p \equiv 7(\bmod 8), 2 \mid p-1$ and $2^{2} \nmid p-1$. Furthermore, the order of $-1 \bmod p_{i}$ is 2 , which implies the order of the kernel is even. Hence we have (3).

## 4. Proof of Theorem 2

We use the following well-known fact to prove Theorem 2.
LEMMA 2 (cf. [9], p. 183). Let a be an element of $\mathbf{Q}_{1}$ which is prime to 2. Then,
(1) there exists an element $\alpha$ of $\mathbf{Q}_{1}$ such that $\alpha^{2} \equiv a(\bmod 4)$ if and only if $\mathbf{Q}_{1}(\sqrt{a}) / \mathbf{Q}_{1}$ is unramified at all primes of $\mathbf{Q}_{1}$ above 2.
(2) there exists an element $\alpha$ of $\mathbf{Q}_{1}$ such that $\alpha^{2} \equiv a(\bmod 4 \sqrt{2})$ if and only if all primes of $\mathbf{Q}_{1}$ above 2 split in $\mathbf{Q}_{1}(\sqrt{a}) / \mathbf{Q}_{1}$.

Proof of Theorem 2. Note that for any element $\alpha$ in $\mathfrak{O}_{\mathbf{Q}_{1}}$ which is prime to 2 , we have

$$
\alpha^{2} \equiv 1,3+2 \sqrt{2} \quad(\bmod 4 \sqrt{2}) . \quad-(i v)
$$

(1) Suppose that $2^{\frac{q-1}{4}} \equiv-1(\bmod q)$. If $q \equiv 9(\bmod 16), \mathbf{Q}_{1}\left(\bmod q_{i}\right) / \mathbf{Q}_{1}$ has a quadratic subextension by Lemma 1 (2). First we show the quadratic extension of $\mathbf{Q}_{1}$ must be $\mathbf{Q}_{1}\left(\sqrt{q_{i}}\right) / \mathbf{Q}_{1}$. Let $\mathbf{Q}_{1}(\sqrt{m}) / \mathbf{Q}_{1}$ be the quadratic subextension, where $m \in \mathfrak{O}_{\mathbf{Q}_{1}}$. Since $\mathbf{Q}_{1}(\sqrt{m}) / \mathbf{Q}_{1}$ is unramified at the infinite primes, we have $m>0$. Note that we can assume $v_{\mathfrak{p}}(m)=0$ or 1 for any prime $\mathfrak{p}$ of $\mathbf{Q}_{1}$, where $v_{\mathfrak{p}}$ is the $\mathfrak{p}$-adic additive valuation. If $v_{\mathfrak{p}}(m)=1$, then $X^{2}-m$ is an Eisenstein polynomial with regard to $\mathfrak{p}$, which implies $\mathfrak{p}$ is totally ramified in $\mathbf{Q}_{1}(\sqrt{m}) / \mathbf{Q}_{1}$. Furthermore, since the relative discriminant of $\mathbf{Q}_{1}(\sqrt{m}) / \mathbf{Q}_{1}$ divides $4 m \mathfrak{O}_{\mathbf{Q}_{1}}$, any prime $\mathfrak{p}$ with $\mathfrak{p} \nmid 4 m \mathfrak{O}_{\mathbf{Q}_{1}}$ is unramified in $\mathbf{Q}_{1}(\sqrt{m}) / \mathbf{Q}_{1}$. Hence $m$ must be $q_{i}$ or $q_{i} \varepsilon$, where $\varepsilon=1+\sqrt{2}$. By (ii), (iv) and Lemma $2(1), \mathbf{Q}_{1}\left(\sqrt{q_{i} \varepsilon}\right) / \mathbf{Q}_{1}$ is ramified at a prime of $\mathbf{Q}_{1}$ above 2. Therefore $\mathbf{Q}_{1}(\sqrt{m})$ must be $\mathbf{Q}_{1}\left(\sqrt{q_{i}}\right)$ as desired.

It follows that all primes of $\mathbf{Q}_{1}$ above 2 are unramified in $\mathbf{Q}_{1}\left(\sqrt{q_{i}}\right) / \mathbf{Q}_{1}$. Hence we have $q_{i} \equiv 1,3+2 \sqrt{2}(\bmod 4)$ by Lemma 2 and (iv), which shows $q_{i} \equiv-3,-1+2 \sqrt{2}$ $(\bmod 4 \sqrt{2})$ by (ii). On the other hand, $k_{1}\left(\sqrt{q_{i}}\right)$ is an unramified extension of $k_{1}$. Since $\mathfrak{L}_{1}$ does not split in $k_{1}\left(\sqrt{q_{i}}\right)$ by Lemma $2, \mathfrak{L}_{1}$ is non-principal in $k_{1}$. Therefore we have $\lambda_{2}(k)=\mu_{2}(k)=0$ by Proposition 1 .

Secondly, suppose that $2^{\frac{q-1}{4}} \equiv 1(\bmod q)$. If $q \equiv 9(\bmod 16)$, then $\mathbf{Q}_{1}\left(\sqrt{q_{i}}\right)$ is not contained in $\mathbf{Q}_{1}\left(\bmod q_{i}\right)$ by Lemma 1 (2), which shows $q_{i} \equiv 3,1+2 \sqrt{2}(\bmod 4 \sqrt{2})$ by Lemma 2 and (ii), (iv). Hence we have $p q_{i} \equiv-3,-1+2 \sqrt{2}(\bmod 4 \sqrt{2})$. Since $\mathfrak{L}_{1}$ does not split in an unramified extension $k_{1}\left(\sqrt{p q_{i}}\right) / k_{1}, \mathfrak{L}_{1}$ is non-principal in $k_{1}$. Therefore we also have $\lambda_{2}(k)=\mu_{2}(k)=0$ by Proposition 1 .
This completes the proof of Theorem 2 (1).
(2) Suppose that $q \equiv 1(\bmod 16), p \equiv 7(\bmod 16)$, and $2^{\frac{q-1}{4}} \equiv-1(\bmod q)$. By Lemma 1 (1), Lemma 2, (i) and (iv), we have $q_{i} \equiv-1,-3+2 \sqrt{2}(\bmod 4 \sqrt{2})$. By (iii) we have $p_{i} \varepsilon \equiv \pm 3, \pm 1+2 \sqrt{2}(\bmod 4 \sqrt{2})$. Lemma 1 (3) implies that all primes of $\mathbf{Q}_{1}$ above 2 are ramified in $\mathbf{Q}_{1}\left(\sqrt{p_{i}} \varepsilon\right) / \mathbf{Q}_{1}$, which shows $p_{i} \varepsilon \equiv 3,1+2 \sqrt{2}(\bmod 4 \sqrt{2})$ by Lemma 2 and (iv). Hence we have $p_{i} q_{j} \varepsilon \equiv-3,-1+2 \sqrt{2}(\bmod 4 \sqrt{2})$. Since $\mathfrak{L}_{1}$ does not split in an unramified extension $k_{1}\left(\sqrt{p_{i} q_{j} \varepsilon}\right) / k_{1}, \mathfrak{L}_{1}$ is non-principal. Therefore we have $\lambda_{2}(k)=$ $\mu_{2}(k)=0$ by Proposition 1 .

REMARK 2. Suppose that $q \equiv 1(\bmod 16), p \equiv-1(\bmod 16)$, and $2^{\frac{q-1}{4}} \equiv-1$ $(\bmod q)$. Then we can show that $\mathfrak{L}_{1}$ splits in an unramified extension $k_{1}\left(\sqrt{p_{i} q_{j} \varepsilon}\right) / k_{1}$. But Kuroda's class number formula (cf. [6]) shows that the 2-Hilbelt class field of $k_{1}$ is $k_{1}\left(\sqrt{p_{1} q_{1} \varepsilon}, \sqrt{p_{1} q_{2} \varepsilon}\right)$. Hence $\mathfrak{L}_{1}$ is principal in $k_{1}$, i.e., we can not decide $\lambda_{2}(k)=\mu_{2}(k)=0$ by using Proposition 1 .

Acknowledgement. The author expresses his appreciation to Professor Takashi Fukuda and Professor Keiichi Komatsu for many valuable advice.

## References

[ 1 ] T. Fukuda and K. Komatsu, On the Iwasawa $\lambda$-invariant of the cyclotomic $\mathbf{Z}_{2}$-extension of a real quadratic field, to appear in Tokyo J. Math.
[2] R. Greenberg, On the Iwasawa invariants of totally real number fields, Amer. J. Math. 98 (1976) 263-284.
[3] H. Hasse, Über die Klassenzahl abelscher Zahlkörper, Akademie Verlag (1952).
[4] K. Iwasawa, On $\Gamma$-extension of algebraic number fields, Bull. Amer. Math. Soc. 65 (1959), 183-226.
[ 5 ] K. IWASAWA, A note on class numbers of algebraic number fields, Abh. Math. Sem. Univ. Hamburg 20 (1956), 257-258.
[6] S. Kuroda, Über den Dirichletschen Körper, J. Fac. Sci. Imp. Univ. Tokyo Sec. I. 4 (1943), 383-406.
[7] M. OZAKI and H. TAYA, On the Iwasawa $\lambda_{2}$-invariants of certain families of real quadratic fields, Manuscripta Math. 94 (1997), 437-444.
[ 8 ] L. RÉdei and H. Reichardt, Die Anzahl der durch 4 teilbaren Invarianten der Klassengruppe eines beliebigen quadratischen Zahlkörpers, J. Reine. Angew. Math. 170 (1933), 69-74.
[9] L. C. WAShington, Introduction to Cyclotomic Fields (2nd. Edition), GTM 83, Springer (1997).

