## SOME CONSTRUCTIONS OF K-FRAMES AND THEIR DUALS

## FAHIMEH ARABYANI NEYSHABURI AND ALI AKBAR AREFIJAMAAL

ABSTRACT. K-frames, as a new generalization of frames, have important applications, especially in sampling theory, to help us to reconstruct elements from a range of a bounded linear operator K in a separable Hilbert space. In this paper, we focus on the reconstruction formulae to characterize all K-duals of a given K-frame. Also, we give several approaches for constructing K-frames.

1. Introduction and preliminaries. A family of local atoms for a closed subspace  $\mathcal{H}_0$  of a Hilbert space  $\mathcal{H}$  was introduced in [11] with frame-like properties. In contrast to frames, local atoms do not necessarily belong to  $\mathcal{H}_0$ . This property is especially worthwhile in some problems arising in sampling theory, see [10, 13, 14]. K-frames were recently introduced by Găvruţa to study atomic systems with respect to a bounded operator  $K \in \mathcal{B}(\mathcal{H})$  [12]. It was shown that atomic systems for K are equivalent with K-frames; in addition, every family of local atoms for a closed subspace  $\mathcal{H}_0$  of  $\mathcal{H}$  is a  $\pi_{\mathcal{H}_0}$ -frame, where  $\pi_{\mathcal{H}_0}$  is the orthogonal projection of  $\mathcal{H}$  onto  $\mathcal{H}_0$ .

Let  $\mathcal{H}$  be a separable Hilbert space, and recall that a sequence  $F := \{f_i\}_{i \in I} \subseteq \mathcal{H}$  is called a K-frame for  $\mathcal{H}$ , if there exist constants A, B > 0 such that

(1.1) 
$$A\|K^*f\|^2 \le \sum_{i \in I} |\langle f, f_i \rangle|^2 \le B\|f\|^2, \quad f \in \mathcal{H}.$$

Clearly, if  $K = I_{\mathcal{H}}$ , then F is an ordinary frame; hence, K-frames arise naturally as a generalization of ordinary frames. For more details and applications of ordinary frames, see [2]–[7]. The constants A and B in (1.1) are called the *lower* and the *upper bounds* of F, respectively.

<sup>2010</sup> AMS Mathematics subject classification. Primary 42C15, Secondary 41A58.

Keywords and phrases. K-frames, K-duals, K-minimal frames.

Received by the editors on November 23, 2015, and in revised form on January 27, 2016.

Also, the supremum of all lower bounds is called the *optimal lower bound*, and likewise, the *optimal upper bound* is defined as the infimum of all upper frame bounds of K-frame F. If A=B=1, we call F a Parseval K-frame. Obviously, every K-frame is a Bessel sequence; hence, similar to ordinary frames, the synthesis operator can be defined as

$$T_F: l^2 \longrightarrow \mathcal{H}; \qquad T_F(\{c_i\}_{i \in I}) = \sum_{i \in I} c_i f_i.$$

It is a bounded operator, and its adjoint, called the *analysis operator*, is given by  $T_F^*(f) = \{\langle f, f_i \rangle\}_{i \in I}$ . Finally, the frame operator is given by

$$S_F: \mathcal{H} \longrightarrow \mathcal{H}; \qquad S_F f = T_F T_F^* f = \sum_{i \in I} \langle f, f_i \rangle f_i.$$

Many properties of ordinary frames do not hold for K-frames; for example, the frame operator of a K-frame is not invertible in general. It is worthwhile to mention that, if K has close range, then  $S_F$  from R(K) onto  $S_F(R(K))$  is an invertible operator [15]. In particular,

$$(1.2) B^{-1}||f|| \le ||S_F^{-1}f|| \le A^{-1}||K^{\dagger}||^2||f||, f \in S_F(R(K)),$$

where  $K^{\dagger}$  is the pseudo inverse of K, see [7]. For further information on K-frames refer to [15, 16].

Every Bessel sequence  $\{f_i\}_{i\in I}$  can be considered as a K-frame. Define the operator  $K: \mathcal{H} \to \mathcal{H}$  by  $Ke_i = f_i$  for all  $i \in I$ , where  $\{e_i\}_{i\in I}$  is an orthonormal basis of  $\mathcal{H}$ . Then, by [7, Lemma 3.3.6], K is a bounded operator and has a unique extension to a bounded operator on  $\mathcal{H}$ ; thus,  $\{f_i\}_{i\in I}$  is a K-frame for  $\mathcal{H}$ , by [15, Corollary 3.7].

In addition, every frame sequence  $\{f_i\}_{i\in I}$  can be considered as a  $\pi_{H_0}$ -frame, where  $H_0 = \overline{\operatorname{span}}_{i\in I}\{f_i\}$ . In fact, let  $\{f_i\}_{i\in I}$  be a frame sequence with bounds A and B, respectively, and  $K = \pi_{\mathcal{H}_0}$ . Then, for every  $f \in \mathcal{H}$ ,

$$A\|K^*f\|^2 \leq \sum_{i \in I} |\langle K^*f, f_i \rangle|^2 = \sum_{i \in I} |\langle f, f_i \rangle|^2 \leq B\|K^*\|^2 \|f\|^2.$$

However, the converse does not hold in general. In order to see this, note that the sequence  $F = \{e_i + e_{i+1}\}_{i \in I}$  is a complete and Bessel sequence; however, it is not a frame for  $\mathcal{H}$ , see [7, Example 5.1.10]. On the other hand, consider the mapping  $K : \mathcal{H} \to \mathcal{H}$  defined by

 $K(e_i) = e_i + e_{i+1}$ . It is a bounded operator, and therefore, F is a K-frame for  $\mathcal{H}$  by [15, Corollary 3.7].

Throughout this paper, we suppose that  $\mathcal{H}$  is a separable Hilbert space, I a countable index set and  $I_{\mathcal{H}}$  is the identity operator on  $\mathcal{H}$ . For two Hilbert spaces  $\mathcal{H}_1$  and  $\mathcal{H}_2$  we denote the collection of all bounded linear operators between  $\mathcal{H}_1$  and  $\mathcal{H}_2$  by  $B(\mathcal{H}_1, \mathcal{H}_2)$ , and we abbreviate  $B(\mathcal{H}, \mathcal{H})$  by  $B(\mathcal{H})$ . Also, we denote the range of  $K \in B(\mathcal{H})$  by R(K), and the orthogonal projection of  $\mathcal{H}$  onto a closed subspace  $V \subseteq \mathcal{H}$  is denoted by  $\pi_V$ .

The main results of this paper are presented in two sections. In Section 2, we describe the notion of K-duals and investigate an explicit K-dual, the so-called canonical K-dual. As a result, some characterizations of K-duals are presented; moreover, it is proven that a K-dual is the canonical K-dual if and only if their optimal upper bounds are equal. Section 3 is devoted to introducing the notion of approximate K-duals and presenting some methods of constructing K-frames and their duals.

**2.** K-duals. In this section, we introduce the notion of K-duals for K-frames and characterize such duals. Moreover, by using a K-frame and its K-dual, we can construct a frame for  $R(K^*)$  and R(K). In addition, the relation between the optimal bounds of a K-frame and its K-dual is established.

**Definition 2.1.** Let  $\{f_i\}_{i\in I}$  be a K-frame. A Bessel sequence  $\{g_i\}_{i\in I}$   $\subseteq \mathcal{H}$  is called a K-dual of  $\{f_i\}_{i\in I}$  if

(2.1) 
$$Kf = \sum_{i \in I} \langle f, g_i \rangle f_i, \quad f \in \mathcal{H}.$$

In [12], it was shown that, for every K-frame of  $\mathcal{H}$ , there exists at least a Bessel sequence  $\{g_i\}_{i\in I}$  which satisfies (2.1). The sequences  $\{f_i\}_{i\in I}$  and  $\{g_i\}_{i\in I}$  in (2.1) are not interchangeable in general, see [15]. More precisely, from (2.1), it follows that

(2.2) 
$$K^*f = \sum_{i \in I} \langle f, f_i \rangle g_i, \quad f \in \mathcal{H}.$$

Hence,  $\{f_i\}_{i\in I}$  and  $\{g_i\}_{i\in I}$  in (2.1) are interchangeable if and only if K is self adjoint.

**Lemma 2.2.** Let  $\{f_i\}_{i\in I}$  and  $\{g_i\}_{i\in I}$  be two Bessel sequences as in (2.1). Then,  $\{f_i\}_{i\in I}$  and  $\{g_i\}_{i\in I}$  are a K-frame and a K\*-frame, respectively.

*Proof.* Using (2.1) for any  $f \in \mathcal{H}$ , we have

$$||Kf||^4 = |\langle Kf, Kf \rangle|^2 = \left| \left\langle \sum_{i \in I} \langle f, g_i \rangle f_i, Kf \right\rangle \right|^2$$

$$\leq \sum_{i \in I} |\langle f, g_i \rangle|^2 \sum_{i \in I} |\langle Kf, f_i \rangle|^2$$

$$\leq \sum_{i \in I} |\langle f, g_i \rangle|^2 B ||Kf||^2,$$

where B is an upper bound of  $\{f_i\}_{i\in I}$ . This shows that  $\{g_i\}_{i\in I}$  is a  $K^*$ -frame. In order to obtain a lower bound for  $\{f_i\}_{i\in I}$  apply (2.2) and repeat the above argument for  $K^*$  instead of K.

Now, we are ready to introduce an explicit K-dual for every K-frame. This helps to characterize all K-duals of a K-frame.

**Proposition 2.3.** Let K be a bounded operator on  $\mathcal{H}$  with closed range, and let  $F = \{f_i\}_{i \in I}$  be a K-frame with A and B bounds, respectively. Then,  $\{K^*S_F^{-1}\pi_{S_F(R(K))}f_i\}_{i \in I}$  is a K-dual of  $\pi_{R(K)}F$  with  $B^{-1}$  and  $A^{-1}\|K\|^2\|K^{\dagger}\|^2$  bounds, respectively.

*Proof.* First, note that  $S_F$  is a bounded operator. In addition, by using (1.2), the mapping

$$S_F: R(K) \longrightarrow S_F(R(K))$$

is invertible. It follows that  $\{K^*S_F^{-1}\pi_{S(R(K))}f_i\}_{i\in I}$  is a Bessel sequence. Moreover,  $S_F = T_FT_F^*$  is self-adjoint on  $\mathcal{H}$  and  $S_F^{-1}S_F|_{R(K)} = I_{R(K)}$ . Hence,

$$Kf = (S_F^{-1}S_F)^*Kf = S_F^*(S_F^{-1})^*Kf$$
$$= S_F^*\pi_{S_F(R(K))}(S_F^{-1})^*Kf$$

$$\begin{split} &= \sum_{i \in I} \langle \pi_{S_F(R(K))} (S_F^{-1})^* K f, f_i \rangle \pi_{R(K)} f_i \\ &= \sum_{i \in I} \langle f, K^* S_F^{-1} \pi_{S_F(R(K))} f_i \rangle \pi_{R(K)} f_i, \end{split}$$

for all  $f \in \mathcal{H}$ . Therefore,  $\{K^*S_F^{-1}\pi_{S_F(R(K))}f_i\}_{i\in I}$  is a K-dual of  $\pi_{R(K)}F$  with the lower bound  $B^{-1}$  obtained by Lemma 2.2. Furthermore, by (1.2), for each  $f \in R(K)$ , we obtain

$$\|(S_F^{-1})^*f\|^2 = \langle S_F^{-1}(S_F^{-1})^*f, f \rangle \leq A^{-1} \|K^\dagger\|^2 \|(S_F^{-1})^*f\| \|f\|.$$

Therefore,

$$\begin{split} \sum_{i \in I} |\langle f, K^* S_F^{-1} \pi_{S_F(R(K))} f_i \rangle|^2 &= \sum_{i \in I} |\langle (S_F^{-1})^* K f, f_i \rangle|^2 \\ &= \langle S_F(S_F^{-1})^* K f, (S_F^{-1})^* K f \rangle \\ &= \langle K f, (S_F^{-1})^* K f \rangle \\ &\leq A^{-1} \|K\|^2 \|K^{\dagger}\|^2 \|f\|^2, \end{split}$$

for all  $f \in \mathcal{H}$ ; thus, the result follows.

**Remark 2.4.** We call the K-dual introduced in Proposition 2.3 the canonical K-dual of  $\pi_{R(K)}F = \{\pi_{R(K)}f_i\}_{i\in I}$  and use  $\mathfrak{F}_i := K^*S_F^{-1}\pi_{S_F(R(K))}f_i$ , for convenience. Obviously, this coincides with the canonical dual if  $\{f_i\}_{i\in I}$  is an ordinary frame.

In the next theorem, we characterize all K-duals of  $\pi_{R(K)}F$  by using the canonical K-dual.

**Theorem 2.5.** Let K be a bounded operator on  $\mathcal{H}$  with closed range, and let  $F = \{f_i\}_{i \in I}$  be a K-frame of  $\mathcal{H}$ . Then,  $\{g_i\}_{i \in I}$  is a K-dual of  $\pi_{R(K)}F$  if and only if

$$g_i = \mathfrak{F}_i + \varphi^* \delta_i, \quad i \in I,$$

where  $\{\delta_i\}_{i\in I}$  is the standard orthonormal basis of  $l^2$  and  $\varphi \in B(\mathcal{H}, l^2)$  such that  $\pi_{R(K)}T_F\varphi = 0$ .

*Proof.* Suppose that  $\varphi \in B(\mathcal{H}, l^2)$  and  $\pi_{R(K)}T_F\varphi = 0$ . Then  $\{g_i\}_{i\in I} = \{\mathfrak{F}_i + \varphi^*\delta_i\}_{i\in I}$  is a Bessel sequence; in fact,

$$\sum_{i \in I} |\langle f, g_i \rangle|^2 \le 2(A^{-1} ||K||^2 ||K^{\dagger}||^2 + ||\varphi||^2) ||f||^2,$$

for all  $f \in \mathcal{H}$ , where A is a lower bound for  $\{f_i\}_{i \in I}$ . Moreover,

$$\sum_{i \in I} \langle f, g_i \rangle \pi_{R(K)} f_i = \sum_{i \in I} \langle f, \mathfrak{F}_i + \varphi^* \delta_i \rangle \pi_{R(K)} f_i = Kf + \pi_{R(K)} T_F \varphi f = Kf.$$

Therefore,  $\{g_i\}_{i\in I}$  is a K-dual of  $\pi_{R(K)}F$ . Conversely, let  $\{g_i\}_{i\in I}$  be a K-dual of  $\pi_{R(K)}F$ . Define

$$\varphi = T_G^* - T_F^* \pi_{S_F(R(K))} (S_F^{-1})^* K.$$

Then,  $\varphi \in B(\mathcal{H}, l^2)$  and

$$\pi_{R(K)} T_F \varphi f = \pi_{R(K)} T_F T_G^* f - S_F^* (S_F^{-1})^* K f$$
  
=  $\sum_{i \in I} \langle f, g_i \rangle \pi_{R(K)} f_i - (S_F^{-1} S_F)^* K f = 0,$ 

for every  $f \in \mathcal{H}$ . Moreover,

$$\mathfrak{F}_{i} + \varphi^{*} \delta_{i} = K^{*} S_{F}^{-1} \pi_{S_{F}(R(K))} f_{i} + \varphi^{*} \delta_{i}$$

$$= K^{*} S_{F}^{-1} \pi_{S_{F}(R(K))} f_{i} + T_{G} \delta_{i} - K^{*} S_{F}^{-1} \pi_{S_{F}(R(K))} f_{i} = g_{i},$$

for all  $i \in I$ . This completes the proof.

In the next theorem, we characterize all K-duals of a K-frame when  $K \in B(\mathcal{H})$  is not necessarily a closed range operator.

**Theorem 2.6.** Let  $\{f_i\}_{i\in I}$  be a K-frame. Then,  $\{g_i\}_{i\in I}$  is a K-dual of  $\{f_i\}_{i\in I}$  if and only if  $\{g_i\}_{i\in I} = \{V\delta_i\}_{i\in I}$  where  $\{\delta_i\}_{i\in I}$  is the standard orthonormal basis of  $l^2$  and  $V: l^2 \to \mathcal{H}$  is a bounded operator such that  $T_FV^* = K$ . In this case,  $\{g_i\}_{i\in I}$  is in fact a Parseval V-frame.

*Proof.* Suppose that  $\{g_i\}_{i\in I}$  is a K-dual of  $\{f_i\}_{i\in I}$  with the synthesis operator  $T_G$ . Take  $V=T_G$ . Then  $T_FV^*=K$  by (2.1). Conversely, if  $V\in B(l^2,\mathcal{H})$  such that  $T_FV^*=K$ , take  $g_i=V\delta_i$ . Then  $\{g_i\}_{i\in I}$  is a

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Bessel sequence and, for each  $f \in \mathcal{H}$ , we have

$$\sum_{i \in I} \langle f, g_i \rangle f_i = \sum_{i \in I} \langle V^* f, \delta_i \rangle f_i = T_F V^* f = K f.$$

This implies that  $\{g_i\}_{i\in I}$  is a K-dual of  $\{f_i\}_{i\in I}$ , and thus,  $\{g_i\}_{i\in I}$  is a  $K^*$ -frame. Moreover,

$$\sum_{i \in I} |\langle f, g_i \rangle|^2 = \sum_{i \in I} |\langle V^* f, \delta_i \rangle|^2 = ||V^* f||^2, \quad f \in \mathcal{H}.$$

Thus,  $\{g_i\}_{i\in I}$  is a Parseval V-frame.

In [15], it was shown that, if  $\{f_i\}_{i\in I}$  and  $\{g_i\}_{i\in I}$  are as in (2.1) and K is a closed range operator on  $\mathcal{H}$ , then there exists a sequence  $\{h_i\}_{i\in I} = \{(K^{\dagger}|_{R(K)})^*g_i\}_{i\in I}$  such that

(2.3) 
$$f = \sum_{i \in I} \langle f, h_i \rangle f_i, \quad f \in R(K).$$

Moreover,  $\{h_i\}_{i\in I}$  and  $\{f_i\}_{i\in I}$  are interchangeable for every  $f\in R(K)$ . In the following proposition, we give a characterization of sequences  $\{h_i\}_{i\in I}$  in (2.3). Its proof is similar to that of Theorem 2.6.

**Proposition 2.7.** Let  $K \in B(\mathcal{H})$  be a closed range operator and  $\{f_i\}_{i\in I}$  a K-frame for  $\mathcal{H}$ . Then  $\{h_i\}_{i\in I}$  satisfies (2.3) if and only if  $\{h_i\}_{i\in I} = \{V\delta_i\}_{i\in I}$ , where  $\{\delta_i\}_{i\in I}$  is the standard orthonormal basis of  $l^2$  and  $V: l^2 \to R(K)$  is a left inverse of  $T_F^*|_{R(K)}$ . Moreover,  $\{h_i\}_{i\in I}$  is a Parseval V-frame.

Now, we will obtain the relation between optimal frame bounds of a K-frame and its K-duals.

**Theorem 2.8.** Let K be a closed range operator, and let  $F = \{f_i\}_{i \in I}$  be a K-frame of  $\mathcal{H}$  with the optimal bounds A and B, respectively. Also, let  $G = \{g_i\}_{i \in I}$  be a K-dual of F with the optimal bounds C and D, respectively. Then,

- (i)  $C \ge 1/B$  and  $D \ge 1/A$ .
- (ii) G is the canonical K-dual of  $F \subset R(K)$  if and only if  $S_G = S_{\mathfrak{F}}$ , where  $\mathfrak{F} = \{\mathfrak{F}_i\}_{i \in I}$  is the canonical K-dual.

*Proof.* Applying Lemma 2.2 yields

$$\sum_{i \in I} |\langle f, g_i \rangle|^2 \ge \frac{1}{B} ||Kf||^2,$$

for each  $f \in \mathcal{H}$ ; therefore,  $C \geq 1/B$ . Similarly,

$$\sum_{i \in I} |\langle f, f_i \rangle|^2 \ge \frac{1}{D} ||K^* f||^2.$$

Thus,  $D \ge 1/A$ . In order to show (ii), first note that  $K^*S_F^{-1}\pi_{S_F(R(K))}K$  is the frame operator of the canonical K-dual. Indeed,

$$\begin{split} S_{\mathfrak{F}}f &= \sum_{i \in I} \langle f, \mathfrak{F}_i \rangle \mathfrak{F}_i \\ &= K^* S_F^{-1} \pi_{S_F(R(K))} \sum_{i \in I} \langle (S_F^{-1})^* K f, f_i \rangle f_i \\ &= K^* S_F^{-1} \pi_{S_F(R(K))} S_F (S_F^{-1})^* K f \\ &= K^* S_F^{-1} \pi_{S_F(R(K))} K f. \end{split}$$

Now, by using Theorem 2.5,

$$g_i = \mathfrak{F}_i + \varphi^* \delta_i, \quad i \in I,$$

for some  $\varphi \in B(\mathcal{H}, l^2)$  with  $T_F \varphi = 0$ . Hence, for any  $f, g \in \mathcal{H}$ , we have

$$\langle S_{G}f, f \rangle = \left\langle \sum_{i \in I} \langle f, \mathfrak{F}_{i} + \varphi^{*} \delta_{i} \rangle (\mathfrak{F}_{i} + \varphi^{*} \delta_{i}), f \right\rangle$$

$$= \left\langle \sum_{i \in I} \langle f, \mathfrak{F}_{i} \rangle \mathfrak{F}_{i}, f \right\rangle + \left\langle \varphi^{*} T_{F}^{*} \pi_{S_{F}(R(K))} S_{F}^{-1} K f, f \right\rangle$$

$$+ \left\langle \sum_{i \in I} \langle \varphi f, \delta_{i} \rangle \varphi^{*} \delta_{i}, f \right\rangle + \left\langle K^{*} S_{F}^{-1} \pi_{S_{F}(R(K))} T_{F} \varphi, f \right\rangle$$

$$= \left\langle \sum_{i \in I} \langle f, \mathfrak{F}_{i} \rangle \mathfrak{F}_{i}, f \right\rangle + \left\langle \sum_{i \in I} \langle \varphi f, \delta_{i} \rangle \varphi^{*} \delta_{i}, f \right\rangle$$

$$= \left\langle S_{\mathfrak{F}}f, f \right\rangle + \|\varphi f\|^{2}.$$

On the other hand,  $K^*S_F^{-1}\pi_{S_F(R(K))}K$ , the frame operator of  $K^*$ -frame

 $\{\mathfrak{F}_i\}_{i\in I}$ , is a positive operator. Hence,

$$D = \sup_{\|f\| \le 1} \langle S_G f, f \rangle \le \sup_{\|f\| \le 1} \langle S_{\mathfrak{F}} f, f \rangle + \|\varphi\|^2 = \|S_{\mathfrak{F}}\| + \|\varphi\|^2.$$

Therefore,  $S_G = S_{\mathfrak{F}}$  if and only if G is the canonical K-dual.

A K-frame  $F = \{f_i\}_{i \in I}$  of  $\mathcal{H}$  is called a K-exact frame if, for every  $j \in I$ , the sequence  $\{f_i\}_{i \neq j}$  is not a K-frame for  $\mathcal{H}$ . Also, we call F a K-minimal frame whenever, for each  $\{c_i\}_{i \in I} \in l^2$  such that  $\sum_{i \in I} c_i f_i = 0$ , then  $c_i = 0$  for all  $i \in I$ . By Lemma 2.2, every K-dual of a K-minimal frame is a  $K^*$ -frame. However, the next example shows that it is not a  $K^*$ -minimal frame.

**Example 2.9.** Let  $\mathcal{H} = \mathbb{C}^4$  and  $\{e_i\}_{i=1}^4$  be the standard orthonormal basis of  $\mathcal{H}$ . Define  $K : \mathcal{H} \to \mathcal{H}$  by

$$K\sum_{i=1}^{4} c_i e_i = c_1 e_1 + c_1 e_2 + c_2 e_3.$$

Then,  $K \in B(\mathcal{H})$ , and the sequence  $F = \{e_1, e_2, e_3\}$  is a K-minimal frame with bounds A = 1/8 and B = 1, respectively. On the other hand, if  $\{g_i\}_{i=1}^3$  is a K-dual of F, then

$$\langle f, e_1 \rangle e_1 + \langle f, e_1 \rangle e_2 + \langle f, e_2 \rangle e_3 = \sum_{i=1}^3 \langle f, g_i \rangle f_i, \quad f \in \mathcal{H}.$$

Hence,  $g_1 = g_2 = e_1$  and  $g_3 = e_2$ . This shows that  $\{g_i\}_{i=1}^3$  is not a  $K^*$ -minimal frame.

**Lemma 2.10.** Every K-exact frame is a K-minimal frame. The converse does not hold in general.

*Proof.* Assume that  $F = \{f_i\}_{i \in I}$  is not a K-minimal frame. Without loss of generality, we let  $f_i \neq 0$  for each  $i \in I$ . Then, there exists a  $\{c_i\}_{i \in I} \in l^2$  with  $c_m \neq 0$  such that  $f_m = (-1/c_m) \sum_{i \neq m} c_i f_i$  for some  $m \in I$ . Now, by an argument similar to the proof of [7, Theorem 5.2.3], we can see that  $\{f_i\}_{i \neq m}$  is a K-frame. This shows that F is not a K-exact frame.

For the converse, consider  $\mathcal{H} = \mathbb{C}^3$ , and assume that  $F = \{e_i\}_{i=1}^3$  is the orthonormal basis of  $\mathcal{H}$ . Define  $K : \mathcal{H} \to \mathcal{H}$  by

$$K\sum_{i \in I} c_i e_i = c_1 e_1 + c_2 e_1 + c_3 e_2.$$

Then,  $K \in B(\mathcal{H})$ , and F is a K-minimal frame. We can easily see that  $\{e_1, e_2\}$  is also a K-frame with bounds A = 1/8 and B = 1, respectively.

**Theorem 2.11.** A K-frame  $F = \{f_i\}_{i \in I}$  has a unique K-dual if and only if it is a K-minimal frame.

*Proof.* First, note that, by the definition of the K-minimal frame, the sufficiency is clear; we only prove the necessity. Assume that  $\{g_i\}_{i\in I}$  is a unique K-dual of F and F is not a K-minimal frame. Let  $g_i\neq 0$  for each  $i\in I$ . Then, F is not a K-exact frame by Lemma 2.10. Hence, there exists an  $m\in I$  such that  $\{f_i\}_{i\neq m}$  is a K-frame, and thus, it has a K-dual as  $\{h_i\}_{i\neq m}$ . Take  $h_m=0$ , so  $\{h_i\}_{i\in I}\neq \{g_i\}_{i\in I}$  and

$$Kf = \sum_{i \in I} \langle f, h_i \rangle f_i = \sum_{i \in I} \langle f, g_i \rangle f_i, \quad f \in \mathcal{H}.$$

Thus,  $\{h_i\}_{i\in I}$  is also a K-dual of F, which is a contradiction. Now, suppose that  $g_i=0$  except  $i\in J$  for a set  $J\subseteq I$ . Then,  $\{f_i\}_{i\in J}$  is a K-minimal frame. More precisely, since  $g_i=0$  for each  $i\in I\setminus J$ , we obtain  $Kf=\sum_{i\in J}\langle f,g_i\rangle f_i$  for each  $f\in \mathcal{H}$ . Hence, by Lemma 2.2,  $\{f_i\}_{i\in J}$  is a K-frame. We claim that  $\{g_i\}_{i\in J}$  is a unique K-dual of  $\{f_i\}_{i\in J}$ . Suppose that  $\{h_i\}_{i\in J}$  is a K-dual of  $\{f_i\}_{i\in J}$  such that  $h_j\neq g_j$  for some  $j\in J$ . Taking  $h_i=0$  for all  $i\in I\setminus J$ , we can easily see that  $Kf=\sum_{i\in I}\langle f,h_i\rangle f_i$ . Therefore, by Lemma 2.2,  $\{h_i\}_{i\in J}$  is a K-dual of F distinguishable from  $\{g_i\}_{i\in I}$ , which is a contradiction. Therefore,  $\{f_i\}_{i\in J}$  has a unique K-dual  $\{g_i\}_{i\in J}$  such that  $g_i\neq 0$  for all  $i\in J$ . Thus,  $\{f_i\}_{i\in J}$  is a K-minimal frame by the first part of the proof. Also, by assumption,  $\{f_i\}_{i\in I}$  is not a K-minimal frame. Thus, there exists an  $m\in I\setminus J$  such that  $f_m=(-1/c_m)\sum_{i\neq m}c_if_i$ . Choose  $h_m\neq 0$ , and take  $h_i=g_i+(\overline{c_i}/\overline{c_m})h_m$  for  $i\neq m$ . Therefore,

$$\sum_{i \in I} \langle f, h_i \rangle f_i = \langle f, h_m \rangle f_m + \sum_{i \neq m} \langle f, h_i \rangle f_i$$

$$\begin{split} &= \sum_{i \neq m} \left\langle f, -\frac{\overline{c_i}}{\overline{c_m}} h_m \right\rangle f_i + \sum_{i \neq m} \left\langle f, h_i \right\rangle f_i \\ &= \sum_{i \neq m} \left\langle f, g_i \right\rangle f_i = Kf, \end{split}$$

for every  $f \in \mathcal{H}$ . Hence,  $\{h_i\}_{i \in I}$  is also a K-dual of  $\{f_i\}_{i \in I}$  distinguishable from  $\{g_i\}_{i \in I}$ , which is a contradiction.

For each K-frame  $\{f_i\}_{i\in I}$  of  $\mathcal{H}$ , we can construct a frame for  $R(K^*)$ . More precisely, let  $K \in B(\mathcal{H})$  be a bounded operator with closed range, and let  $\{f_i\}_{i\in I}$  be a K-frame of  $\mathcal{H}$  with bounds A and B, respectively. Then  $\{K^{\dagger}\pi_{R(K)}f_i\}_{i\in I}$  is a frame for  $R(K^*)$ . In fact, for every  $f \in R(K^*)$ , we have

$$\begin{split} A\|f\|^2 &= A\|K^*(K^*)^\dagger f\|^2 \leq \sum_{i \in I} |\langle \pi_{R(K)}(K^*)^\dagger f, f_i \rangle|^2 \\ &= \sum_{i \in I} |\langle f, K^\dagger \pi_{R(K)} f_i \rangle|^2 \leq B\|(K^*)^\dagger\|^2 \|f\|^2. \end{split}$$

Similarly, for each  $K^*$ -frame  $\{f_i\}_{i\in I}$  of  $\mathcal{H}$ , the sequence

$$\{(K^*)^{\dagger} \pi_{R(K^*)} f_i\}_{i \in I}$$

is a frame for R(K). In particular, if  $\{f_i\}_{i\in I}$  is a K-frame with a K-dual  $\{g_i\}_{i\in I}$ , then the sequence  $\{(K^*)^{\dagger}\pi_{R(K^*)}g_i\}_{i\in I}$  is a frame for R(K). We summarize the above discussion in the next corollary.

Corollary 2.12. Let K be a bounded operator on  $\mathcal{H}$  with closed range, and let  $\{f_i\}_{i\in I}$  be a K-frame of  $\mathcal{H}$ . Then,  $\{(K^*)^{\dagger}K^*S_F^{-1}\pi_{S_F(R(K))}f_i\}_{i\in I}$  is a frame for R(K).

**3.** Construction of K-frames. In this section, we present some approaches for construction of K-frames and their K-duals since more reconstructions for the elements of R(K) were obtained. In this respect, we first introduce the notion of approximate K-dual, adopted from [9] for ordinary frames. Approximate K-duals are easier to construct and lead to perfect reconstructions for the elements of R(K).

**Definition 3.1.** The Bessel sequence  $\{g_i\}_{i\in I}$  is called an approximate K-dual of a K-frame  $\{f_i\}_{i\in I}$  whenever

$$||Kf - \sum_{i \in I} \langle f, g_i \rangle f_i|| < 1, \quad f \in \mathcal{H}.$$

**Theorem 3.2.** Let K be a bounded operator on  $\mathcal{H}$  with a closed range. In addition, let  $G = \{g_i\}_{i \in I}$  be an approximate K-dual of  $F = \{f_i\}_{i \in I}$ . Then,

(i)  $\{U^{-1}\pi_{R(K)}f_i\}_{i\in I}$  is a K-frame for  $\mathcal H$  with the K-dual

$$\{K^*(K^{\dagger}|_{R(K)})^*g_i\}_{i\in I},$$

in which  $U = \pi_{R(K)} T_F T_G^* K^{\dagger}|_{R(K)}$ .

(ii)  $\{(K^{\dagger}|_{R(K)})^*g_i\}_{i\in I}$  is a K-frame for  $\mathcal{H}$  with the K-dual

$$\{K^*U^{-1}\pi_{R(K)}f_i\}_{i\in I}.$$

*Proof.* By taking  $h_i = (K^{\dagger}|_{R(K)})^* g_i$ , for each  $f \in R(K)$ , we have

$$\left\| f - \sum_{i \in I} \langle f, h_i \rangle f_i \right\| = \left\| K K^{\dagger} f - \sum_{i \in I} \langle K^{\dagger} f, g_i \rangle f_i \right\| < 1.$$

Therefore,  $||I|_{R(K)} - T_F T_H^*|_{R(K)}|| < 1$ , where  $H = \{h_i\}_{i \in I}$ . Thus,

$$||I|_{R(K)} - \pi_{R(K)} T_F T_H^*|_{R(K)}|| < 1.$$

This implies that  $U = \pi_{R(K)} T_F T_H^*|_{R(K)}$  is an invertible operator on R(K), and thus, for each  $f \in \mathcal{H}$ , we have

$$Kf = U^{-1}UKf = \sum_{i \in I} \langle Kf, h_i \rangle U^{-1} \pi_{R(K)} f_i$$
$$= \sum_{i \in I} \langle f, K^*(K^{\dagger}|_{R(K)})^* g_i \rangle U^{-1} \pi_{R(K)} f_i.$$

Hence, Lemma 2.2 follows (i). In order to show (ii), note that, for every  $f \in R(K)$ , we have

$$f = U^*(U^*)^{-1} f = \sum_{i \in I} \langle f, U^{-1} \pi_{R(K)} f_i \rangle h_i;$$

thus,

$$Kf = \sum_{i \in I} \langle f, K^* U^{-1} \pi_{R(K)} f_i \rangle (K^{\dagger}|_{R(K)})^* g_i,$$

for each  $f \in \mathcal{H}$ . This completes the proof.

**Example 3.3.** Let  $\mathcal{H}$ , F and K be as in Example 2.9. Consider  $G = \{g_i\}_{i \in I}$  such that

$$g_1 = \frac{e_1}{2}, \qquad g_2 = \frac{e_1}{2}, \qquad g_3 = \frac{e_2}{3}.$$

Clearly, G is an approximate K-dual of F, and  $R(K) = \overline{\text{span}}\{e_1 + e_2, e_3\}$ . Hence, for each  $f \in \mathcal{H}$  and  $g \in R(K)$ , we have

$$\begin{split} \langle (K^\dagger|_{R(K)})^*f,g\rangle &= \langle f,K^\dagger|_{R(K)}g\rangle \\ &= \langle f,\langle g,e_1+e_2\rangle K^\dagger|_{R(K)}(e_1+e_2)+\langle g,e_3\rangle K^\dagger|_{R(K)}e_3\rangle \\ &= \langle f,\langle g,e_1+e_2\rangle e_1+\langle g,e_3\rangle e_2\rangle \\ &= \langle \langle f,e_1\rangle (e_1+e_2)+\langle f,e_2\rangle e_3,g\rangle. \end{split}$$

Moreover,

$$(K^{\dagger}|_{R(K)})^* f = \langle f, e_1 \rangle (e_1 + e_2) + \langle f, e_2 \rangle e_3.$$

Therefore,

$$(K^{\dagger}|_{R(K)})^*G = \left\{\frac{e_1 + e_2}{2}, \frac{e_1 + e_2}{2}, \frac{e_3}{3}\right\}$$

is a K-frame for  $\mathcal{H}$  with the K-dual  $\{e_1, e_1, 2e_2\}$  by Theorem 3.2 (ii).

In the next theorem, we will construct K-frames from a given K-frame and characterize their K-duals.

**Theorem 3.4.** Let  $F = \{f_i\}_{i \in I}$  be a K-frame for  $\mathcal{H}$  with bounds A and B, respectively. Also, let  $\{\delta_i\}_{i \in I}$  be the standard orthonormal basis of  $l^2$  and  $\psi \in B(l^2)$  such that there exists an  $\varepsilon > 0$  such that

(3.1) 
$$\|\psi a\|^2 \ge \varepsilon \|a\|^2, \quad a = \{a_i\}_{i \in I} \in R(T_F^*).$$

Then, the following assertions hold:

(i)  $\Psi = \{T_F \psi^* \delta_j\}_{j \in I}$  is also a K-frame for  $\mathcal{H}$ .

- (ii) There exists a correspondence between K-duals of F and K-duals of Ψ.
- (iii) The correspondence in (ii) is one-to-one if  $\psi: l^2 \to l^2$  is an invertible operator.

*Proof.* Since F is a K-frame and  $\psi$  is a bounded linear operator, we conclude that  $\Psi$  is well defined. In fact, it is a Bessel sequence and

$$||T_{\Psi}||^2 \le B||\psi||^2$$
.

Also,

$$\begin{split} T_{\Psi}^*f &= \{\langle f, T_F \psi^* \delta_j \rangle\}_{j \in I} \\ &= \left\{ \sum_{i \in I} \langle f, f_i \rangle \langle \psi \delta_i, \delta_j \rangle \right\}_{j \in I} \\ &= \left\{ \left\langle \psi \sum_{i \in I} \langle f, f_i \rangle \delta_i, \delta_j \right\rangle \right\}_{j \in I} \\ &= \psi \{\langle f, f_i \rangle\}_{i \in I} = \psi T_F^* f, \end{split}$$

for every  $f \in \mathcal{H}$ . Moreover,

$$A\varepsilon \|K^*f\|^2 \le \varepsilon \|T_F^*f\|^2 \le \|\psi T_F^*f\|^2 = \|T_{\Psi}^*f\|^2 \le B\|\psi\|^2 \|f\|^2.$$

Therefore,  $\Psi$  is a K-frame for  $\mathcal{H}$ , which follows from (i). Suppose that  $G = \{g_i\}_{i \in I}$  is a K-dual of F. Then (3.1) implies that  $\psi|_{R(T_F^*)}$  has a left inverse such as  $\theta \in B(l^2, R(T_F^*))$ . Consider  $\Theta = \{T_G \theta \delta_i\}_{i \in I}$ . Then  $\Theta$  is a K-dual of  $\Psi$ . Indeed,

$$T_{\Psi}T_{\Theta}^* = T_{\Psi}\theta^*T_G^* = T_F\psi^*\theta^*T_G^* = (\theta\psi T_F^*)^*T_G^* = T_FT_G^* = K.$$

On the other hand, let  $\Gamma = {\Gamma_i}_{i \in I}$  be a K-dual of  $\Psi$ . Then  ${T_{\Gamma} \psi \delta_i}_{i \in I}$  is a K-dual of F. More precisely,

$$\sum_{i \in I} \langle f, T_{\Gamma} \psi \delta_i \rangle f_i = \sum_{i \in I} \left\langle f, \sum_{j \in I} \langle \psi \delta_i, \delta_j \rangle \Gamma_j \right\rangle f_i$$

$$= \sum_{j \in I} \langle f, \Gamma_j \rangle T_F \{ \langle \delta_j, \psi \delta_i \rangle \}_{i \in I}$$

$$= \sum_{j \in I} \langle f, \Gamma_j \rangle T_F \psi^* \delta_j$$

$$= T_{\Psi} T_{\Gamma}^* f = K f,$$

for each  $f \in \mathcal{H}$ . Thus, (ii) has been obtained. In order to show (iii), let  $\Lambda$  and  $\Delta$  be the set of all K-duals F and  $\Psi$ , respectively. Also, let  $\theta$  be the inverse of  $\psi$ . Define  $\rho : \Lambda \to \Delta$  by

$$\rho\{g_i\}_{i\in I} = \{T_G\theta\delta_i\}_{i\in I}.$$

From (ii), we conclude that  $\rho$  is well defined. Moreover, if  $G = \{g_i\}_{i \in I}$  and  $H = \{h_i\}_{i \in I}$  are K-duals of F such that  $\rho G = \rho H$ , then

$$g_i = T_G \delta_i = T_G \theta \psi \delta_i = T_H \theta \psi \delta_i = T_H \delta_i = h_i.$$

Therefore,  $\rho$  is one-to-one. Also, if  $\Gamma = \{\Gamma_i\}_{i \in I}$  is a K-dual of  $\Psi$ , then  $H = \{T_{\Gamma}\psi\delta_i\}_{i \in I}$  is a K-dual of F by (ii). Furthermore,

$$T_H \theta \delta_i = T_\Gamma \psi \theta \delta_i = T_\Gamma \delta_i = \Gamma_i$$

It follows that  $\rho H = \Gamma$ . Therefore,  $\rho$  is onto. This completes the proof.

In the case of  $K = I_{\mathcal{H}}$ , Theorem 3.4 (i) reduces to a result due to Aldroubi, see [1]. Any pair of Bessel sequences in  $\mathcal{H}$  can be extended to a pair of dual frames for  $\mathcal{H}$ , see [8, Proposition 2.1]. Now, let  $K \in B(\mathcal{H})$ . For every two Bessel sequences  $\{f_i\}_{i \in I}$  and  $\{g_i\}_{i \in I}$  we provide a new reconstruction of the elements R(K). Take  $U = K - T_F T_G^*$ . Then  $U \in B(\mathcal{H})$ , and thus, by combining Theorem 2.5, and [12, Theorem 3], there exists a U-frame  $\{\varphi_i\}_{i \in I}$  for  $\mathcal{H}$ . If  $\{\psi_i\}_{i \in I}$  is a U-dual of  $\{\varphi_i\}_{i \in I}$ , then it is clear that the sequences  $\{f_i\}_{i \in I} \cup \{\varphi_i\}_{i \in I}$  and  $\{g_i\}_{i \in I} \cup \{\psi_i\}_{i \in I}$  are Bessel. Moreover,

$$Kf = Uf + T_F T_G^* f = \sum_{i \in I} \langle f, \psi_i \rangle \varphi_i + \sum_{i \in I} \langle f, g_i \rangle f_i, \quad f \in \mathcal{H}.$$

The result follows from Lemma 2.2.

**Acknowledgments.** The authors are grateful to the referees for their careful reading and useful comments.

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HAKIM SABZEVARI UNIVERSITY, DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCES, P.O. BOX 397, SABZEVAR, IRAN

Email address: f.arabyani@hsu.ac.ir

Hakim Sabzevari University, Department of Mathematics and Computer Sciences, P.O. Box 397, Sabzevar, Iran

Email address: arefijamaal@hsu.ac.ir