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ON THE NORM OF IDEMPOTENTS IN C*-ALGEBRAS

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ABSTRACT. In this paper we study norms of idempotents in C^* -algebras. Results of Ljance, Vidav, Buckholtz and Wimmer on idempotent operators in Hilbert spaces are considered in the setting of C^* -algebras, and simpler new proofs, based on algebraic and spectral-rather than spatial-arguments, are given. We give an application to projections with respect to a-involutions.

1. Introduction. The paper addresses the twin problem of the existence of an idempotent h in a C*-algebra \mathcal{A} satisfying $h\mathcal{A} = p\mathcal{A}$ and $(1-h)\mathcal{A} = q\mathcal{A}$, where p, q are given projections (self-adjoint idempotents) in \mathcal{A} , and of the exact value of ||h|| if h exists. We denote such an idempotent h by $\pi(p,q)$.

Ljance [10] showed in 1959 that, for Hilbert space operators, ||h|| = $(1 - \|pq\|^2)^{-1/2}$. In 1964 Vidav [15] found necessary and sufficient conditions for the existence of $\pi(p,q)$, again in the case of Hilbert space operators. Pták [13], apparently unaware of the work of Vidav, and originally also of Ljance, gave in 1984 a solution to both problems, and applied it to extremal operators.

Recently the Hilbert space version of the topic was revisited by Buckholtz [3, 4], Galántai [5], Wimmer [16, 17], and the second author [14]. The first author [8] extended Vidav's results to C^* -algebras.

The purpose of this paper is to consider the existence of $\pi(p,q)$ and Ljance's formula in C^* -algebras, and to give alternative simpler proofs of these theorems. The spectral results on two projections in a C^* algebra given in Lemma 2.4 hold the key to this simplification. We believe that avoiding spatial arguments in Hilbert spaces in favor of

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simpler algebraic and spectral techniques gives a greater insight into both problems.

2. Preliminaries. We denote by \mathcal{A} a C^* -algebra with unit 1 and by \mathcal{A}^{-1} the set of all invertible elements in \mathcal{A} . For an element $a \in \mathcal{A}$ we denote by $\sigma(a)$ the spectrum of a and by r(a) the spectral radius of a.

The term *projection* will be reserved for an element p of a C^* -algebra \mathcal{A} which is self-adjoint and idempotent, that is, $p^* = p = p^2$. If $f, g \in \mathcal{A}$ are idempotents, then $f\mathcal{A} \subset g\mathcal{A} \iff gf = f$; consequently,

(2.1)
$$f\mathcal{A} = g\mathcal{A} \iff gf = f \text{ and } fg = g\mathcal{A}$$

This provides a geometrical motivation for the definition of the range projection. Let $f \in \mathcal{A}$ be an idempotent. Following Koliha [8], we say that $p \in \mathcal{A}$ is a range projection of f if p is a projection satisfying

$$(2.2) pf = f and fp = p.$$

If \mathcal{A} is a C^* -subalgebra of B(H), the C^* -algebra of all bounded linear operators on a Hilbert space H, then (2.2) holds if and only if p is the (orthogonal) projection onto the range of f. Let us recall [8, Theorem 1.3] that, for every idempotent $f \in \mathcal{A}$, there exists a unique range projection of f denoted by f^{\perp} given explicitly by the Kerzman-Stein formula [7]

(2.3)
$$f^{\perp} = f(f + f^* - 1)^{-1}$$

If p is a projection, then $p^{\perp} = p$. Recall that [8, Proposition 1.4]

(2.4)
$$1 - f^{\perp} = (1 - f^*)^{\perp}$$
 and $1 - (f^*)^{\perp} = (1 - f)^{\perp}$.

Definition 2.1. Let $e, f \in \mathcal{A}$ be idempotents. By $\pi(e, f)$ we denote an idempotent $h \in \mathcal{A}$, if it exists, satisfying the conditions

(2.5)
$$h^{\perp} = e^{\perp}, \quad (1-h)^{\perp} = f^{\perp}.$$

Motivated by results obtained for bounded linear operators on Hilbert spaces by Labrousse [9], Vidav [15], Pták [13] and Buckholtz [3, 4],

the first author [8] considered the problem of finding $\pi(p,q)$ in the case when p, q are projections in a C^* -algebra \mathcal{A} . In this paper we give a new proof of the existence of $\pi(p,q)$ for projections p, q in Theorem 4.1, and discuss a more general case in Theorem 5.2. In comparison with the proofs in [3, 4, 9, 10, 12, 13, 15–17], which depend on spatial arguments, our proofs use algebraic and spectral techniques in C^* algebras.

Basic auxiliary results are summarized in the following three lemmas. The first is the well-known Akhiezer-Glazman equality. See [1] for the Hilbert space setting and [11, Lemma 1 (i)] for a C^* -algebra formulation.

Lemma 2.2. If p, q are projections in a C^* -algebra \mathcal{A} , then

(2.6)
$$||p-q|| = \max\{||p(1-q)||, ||q(1-p)||\}$$

The following result was obtained for bounded linear operators on Hilbert spaces by Del Pasqua [12], see also [6, 8, 10, 14]. We give a proof based on matrix representations.

Lemma 2.3. If $h \in A$ is a nontrivial idempotent, then

(2.7)
$$||h|| = ||1 - h|| = ||h + h^* - 1||.$$

Proof. Let $p = h^{\perp}$. The C^{*}-algebra \mathcal{A} has a matrix representation which preserves the involution in \mathcal{A} , namely

$$x = \begin{bmatrix} pxp & px(1-p)\\ (1-p)xp & (1-p)x(1-p). \end{bmatrix}$$

Recall that since p is a projection, pAp and (1-p)A(1-p) are C^{*}-algebras with units p and 1-p, respectively.

Let u = h - p. Then $(h + h^* - 1)^2 = 1 + uu^* + u^*u$, and

$$(h+h^*-1)^2 = \begin{bmatrix} 1+uu^* & 0\\ 0 & 1+u^*u \end{bmatrix}.$$

Similarly,

$$h^*h = \begin{bmatrix} 1+uu^* & 0\\ 0 & 0 \end{bmatrix}, \quad (1-h)^*(1-h) = \begin{bmatrix} 0 & 0\\ 0 & 1+u^*u \end{bmatrix}.$$

As $\sigma(1 + uu^*) = \sigma(1 + u^*u)$, we have

$$\sigma((h+h^*-1)^2) \cup \{0\} = \sigma(h^*h) = \sigma((1-h)^*(1-h)),$$

from which (2.7) follows via the formula $||x|| = ||x^*x||^{1/2} = r(x^*x)^{1/2}$.

The next result summarizes pertinent spectral properties of a pair of projections. This lemma, in particular part (v), is the key to the proof of Theorem 3.1.

Lemma 2.4. Let $p, q \in A$ be nontrivial projections. Then the following are true.

(i) σ(pq) = σ(pqp) ⊂ [0, r(pq)] ⊂ [0, 1].
(ii) r(pq) = r(pqp) = ||pqp|| = ||pq||².
(iii) 1 - pq ∈ A⁻¹ if and only if ||pq|| < 1.
(iv) σ(p - q) ⊂ [-1, 1].
(v) If λ ∈ C \ {0, 1, -1}, then λ ∈ σ(p - q) if and only if 1 - λ² ∈ σ(pq).

Proof. (i) For any $\lambda \in \mathbf{C}$,

$$\lambda - pq = \begin{bmatrix} p(\lambda - pqp)p & -pq(1-p) \\ 0 & \lambda(1-p), \end{bmatrix},$$

which implies that $\sigma(pq) = \sigma'(pqp) \cup \{0\}$, where $\sigma'(x)$ stands for the spectrum of $x \in pAp$ in the algebra pAp. From the equation $\lambda - pqp = p(\lambda - pqp)p + \lambda(1-p)$ we conclude that $\sigma(pqp) = \sigma'(pqp) \cup \{0\}$, and $\sigma(pq) = \sigma(pqp)$ follows. The rest follows from the positivity of $pqp = (pq)(pq)^*$ and the inequality $r(pq) \leq ||pq|| \leq ||p|| ||q|| = 1$.

To prove (ii) we only need to observe that $||pq||^2 = ||(pq)(pq)^*|| = ||pqp||$.

Property (iii) is a consequence of (i) and (ii), and the inclusion (iv) follows from the Akhiezer-Glazman equality (2.6).

For (v) it is enough to note that, for any $\lambda \in \mathbf{C}$,

$$\begin{aligned} &(\lambda - 1 + p)[\lambda - (p - q)](\lambda + 1 - q) \\ &= [(\lambda - 1)(\lambda + q) + pq](\lambda + 1 - q) \\ &= (\lambda - 1)(\lambda + 1)(\lambda + q) + (\lambda + 1)pq - (\lambda - 1)(\lambda + 1)q - pq \\ &= \lambda(\lambda^2 - 1 + pq). \quad \Box \end{aligned}$$

3. The norm of $h = \pi(p, q)$. In this section we give a formula for the norm of an idempotent h in \mathcal{A} in terms of the range projections of h and 1 - h, a C^* -algebra version of the result obtained for bounded linear operators on Hilbert spaces by Ljance [10]. The result was proved also in [3, 13, 14, 16] in the setting of Hilbert spaces. Our approach is different in eschewing spatial arguments, and using algebra—and a little analysis.

Theorem 3.1. Let $h \in A$ be a nontrivial idempotent. Then

(3.1)
$$||h|| = \frac{1}{\sqrt{1 - ||h^{\perp}(1 - h)^{\perp}||^2}}.$$

Proof. Write $p = h^{\perp}$ and $q = (1-h)^{\perp}$. Using equations ph = h, hp = p, qh = h + q - 1, hq = 0, we verify that

$$(1 - pq)(1 + hh^* - h) = 1 = (1 + hh^* - h)(1 - pq).$$

Hence $1 - pq \in \mathcal{A}^{-1}$, and ||pq|| < 1 by Lemma 2.4 (iii).

By the Kerzman-Stein formula (2.3),

$$p = h(h + h^* - 1)^{-1}, \qquad q = (h - 1)(h + h^* - 1)^{-1}.$$

Therefore $p - q = (h + h^* - 1)^{-1}$, that is

$$p - q \in \mathcal{A}^{-1}$$
 with $(p - q)^{-1} = h + h^* - 1.$

Since $||h|| = ||h + h^* - 1||$ by (2.7), we have

(3.2)
$$||h|| = ||(p-q)^{-1}||.$$

By Lemma 2.4 (v) we obtain (3.3)

$$\|(p-q)^{-1}\| = r((p-q)^{-1}) = \frac{1}{\inf\{|\lambda| : \lambda \in \sigma(p-q)\}}$$
$$= \frac{1}{\inf\{|\lambda| : \lambda^2 = 1 - t, t \in [0, \|pq\|^2]\}} = \frac{1}{\sqrt{1 - \|pq\|^2}}.$$

From (3.2) and (3.3) we get (3.1).

The theorem has the following useful corollary.

Corollary 3.2. Let $h \in A$ be a nontrivial idempotent. Then

(3.4)
$$\|h^{\perp}(1-h)^{\perp}\| = \frac{\sqrt{\|h\|^2 - 1}}{\|h\|}$$

Proof. Clearly (3.1) implies (3.4).

For P_R and P_K , the projections onto the range R and the null space K of a bounded idempotent operator M in a Hilbert space H, Vidav [15, Proof of Theorem 1] proved the inequality

(3.5)
$$||P_R P_K|| \le \frac{||M||}{\sqrt{1+||M||^2}}.$$

Note that $P_R = M^{\perp}$ and $P_K = (I - M)^{\perp}$. Then (3.5) follows from our sharper estimate (3.4).

4. The existence of $h = \pi(p,q)$. The results of the preceding section lead to a simple algebraic proof of the following theorem which extends [8, Theorem 2.2] and [8, Corollary 2.2]. In the setting of Hilbert spaces, the equivalence of (ii) and (v) is Vidav's result [15,

Theorem 1], and the equivalence of (i), (ii), (vii) and (viii) was derived by Buckholtz [3, 4]. Recall that, for projections $p, q \in \mathcal{A}, \pi(p,q)$ denotes an idempotent $h \in \mathcal{A}$ satisfying $p = h^{\perp}$ and $q = (1-h)^{\perp}$.

Theorem 4.1. Let $p, q \in A$ be nontrivial projections. Then the following conditions are equivalent:

- (i) $\mathcal{A} = p\mathcal{A} \oplus q\mathcal{A}$.
- (ii) The idempotent $\pi(p,q)$ exists.
- (iii) $\|pq\| < 1$ and $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$.
- (iv) $1 pq \in \mathcal{A}^{-1}$ and $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$.
- (v) $\|pqp\| < 1$ and $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$.
- (vi) $1 pqp \in \mathcal{A}^{-1}$ and $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$.
- (vii) ||p+q-1|| < 1.
- (viii) $p q \in \mathcal{A}^{-1}$.

The idempotent $\pi(p,q)$ is given by the formulae

(4.1)
$$\pi(p,q) = (1-pqp)^{-1}(p-pq) = (p-q)^{-1}(1-q).$$

Proof. (i) \iff (ii). First assume that $\mathcal{A} = p\mathcal{A} \oplus q\mathcal{A}$. The unit 1 is uniquely decomposed as 1 = h + g, where h = pu and g = qv for some $u, v \in \mathcal{A}$. From this decomposition we obtain $h = h^2 + hg$ and $g = hg + g^2$, which implies $h - h^2 = g - g^2 = 0$ in view of $p\mathcal{A} \cap q\mathcal{A} = \{0\}$. Hence h, g are idempotents, and g = 1 - h. Expressing p - hp in two ways as p - hp = p(1 - up) and p - hp = (1 - h)p = qvp we conclude that p - hp = 0, that is, hp = p. On the other hand, $ph = p^2u = pu = h$. This proves that $p = h^{\perp}$. By symmetry, $q = (1 - h)^{\perp}$.

Conversely, if $h = \pi(p,q)$, then $\mathcal{A} = h\mathcal{A} \oplus (1-h)\mathcal{A} = p\mathcal{A} \oplus q\mathcal{A}$ by (2.1).

(ii) \Longrightarrow (iii). Write $h = \pi(p,q)$. Since $h\mathcal{A} = p\mathcal{A}$ and $(1-h)\mathcal{A} = q\mathcal{A}$, we have $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$. By Corollary 3.2, $\|pq\| = (\|h\|^2 - 1)^{1/2} \|h\|^{-1} < 1$.

The equivalence of (iii)–(vi) follows from Lemma 2.4.

J.J. KOLIHA AND V. RAKOČEVIĆ

The implication (vi) \implies (ii) is established in the proof of [8, Theorem 2.1] by verifying that $h = (1 - pqp)^{-1}(p - pq) = \pi(p, q)$. Note that the condition $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$ is used to show that (1 - h)q = q: Indeed, 1 - h = pa + qb for some $a, b \in \mathcal{A}$. Then 0 = h(1 - h) = hpa + hqb = pa, so that 1 - h = qb and q(1 - h) = qqb = qb = 1 - h.

(ii) \implies (vii). By hypothesis, $h = \pi(p, q)$ exists. We show that

(4.2)
$$\|p+q-1\| = \|pq\| = \|(1-q)(1-p)\|$$

By (2.4), $(h^*)^{\perp} = 1 - (1-h)^{\perp} = 1 - q$ and $(1-h^*)^{\perp} = 1 - h^{\perp} = 1 - p$. Hence $\|pq\| = \|(1-q)(1-p)\|$. Equation (4.2) follows from the Akhiezer-Glazman equality (2.6).

(vii) \implies (viii) follows from the equation $(p-q)^2 = 1 - (p+q-1)^2$.

(viii) \implies (ii). Set $h = (p-q)^{-1}(1-q)$. Since (p-q)p = (1-q)p = (1-q)(p-q), we have also $h = p(p-q)^{-1}$. We show that $h = \pi(p,q)$. First,

$$h^{2} = (p-q)^{-1}(1-q)p(p-q)^{-1} = (p-q)^{-1}(1-q)(p-q)(p-q)^{-1} = h,$$

and h is idempotent. Clearly, ph = h and (1 - h)q = q. From (1 - q)p = (p - q)p we obtain hp = p. Finally, from $1 - h = 1 - p(p - q)^{-1} = -q(p - q)^{-1}$ we get q(1 - h) = 1 - h.

From the proof of Theorem 4.1 we distill the following result.

Theorem 4.2. Let p, q be nontrivial projections in A satisfying one of the equivalent conditions of Theorem 4.1. Then (4.2) holds.

Example 4.3. Equation (4.2) does not hold for general projections p, q. Consider the C^* -algebra $\mathbf{C}^{3,3}$ of all 3×3 complex matrices with the spectral norm, and let

	0	0	0			[1	0	0		0	0	0	
p =	0	0	0	,	q =	0	0	0,	1 - p - q =	0	1	0	.
	0	0	1			0	0	0		0	0	0	

Then p and q are projections in $\mathbb{C}^{3,3}$, and pq = 0. Hence $||pq|| = 0 \neq 1 = ||p+q-1||$.

5. Applications. Our aim in this section is to further extend the problem considered in Theorem 4.1, and to give simpler algebraic proofs for recent Wimmer's results [17].

But first the following generalization of Theorem 4.1.

Theorem 5.1. Let a be a positive invertible element of A. If $p, q \in A$ are nontrivial idempotents satisfying $ap = p^*a$ and $aq = q^*a$, then the following conditions are equivalent:

- (i) $\mathcal{A} = p\mathcal{A} \oplus q\mathcal{A}$.
- (ii) There exists an idempotent $f \in \mathcal{A}$ such that

$$p = a^{-1/2} f^{\perp} a^{1/2}$$
 and $q = a^{-1/2} (1-f)^{\perp} a^{1/2}$.

- (iii) $||a^{-1/2}pqa^{-1/2}|| < 1$ and A = pA + qA.
- (iv) $1 pq \in \mathcal{A}^{-1}$ and $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$.
- (v) $||a^{1/2}pqa^{-1/2} < 1$ and $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$.
- (vi) $1 pqp \in \mathcal{A}^{-1}$ and $\mathcal{A} = p\mathcal{A} + q\mathcal{A}$.
- (vii) $||a^{1/2}(p+q-1)a^{-1/2}|| < 1.$
- (viii) $p q \in \mathcal{A}^{-1}$.

The idempotent f is given by the formula

$$f = a^{1/2}(p-q)^{-1}(1-q)a^{-1/2}.$$

Proof. It is known (see, for instance, [2]) that $x^{*a} = a^{-1}x^*a$ is an involution on \mathcal{A} and that \mathcal{A} becomes a C^* -algebra with the involution $x \mapsto x^{*a}$ and the norm $||x||_a = ||a^{1/2}xa^{-1/2}||$. We denote this C^* -algebra by \mathcal{A}_a . The condition $ax = x^*a$ means that x is self-adjoint in \mathcal{A}_a ; hence, the hypotheses of the theorem imply that p, q are projections in \mathcal{A}_a . We then apply Theorem 4.1 to \mathcal{A}_a : There exists an idempotent $h \in \mathcal{A}_a$ such that $h^{\perp a} = p$ and $(1-h)^{\perp a} = q$, where $^{\perp a}$ denotes the range projection in \mathcal{A}_a .

Write $f = a^{1/2}ha^{-1/2}$. Then f is an idempotent, and

$$\begin{split} h^{\perp a} &= h(h + a^{-1}h^*a - 1)^{-1} \\ &= h[a^{-1/2}(a^{1/2}ha^{-1/2} + a^{-1/2}h^*a^{1/2} - 1)a^{1/2}]^{-1} \\ &= ha^{-1/2}(f + f^* - 1)^{-1}a^{1/2} \\ &= a^{-1/2}f(f + f^* - 1)^{-1}a^{-1/2} \\ &= a^{-1/2}f^{\perp}a^{1/2}. \end{split}$$

Similarly, $1-f = a^{1/2}(1-h)a^{-1/2}$, and $(1-h)^{\perp a} = a^{-1/2}(1-f)^{\perp}a^{1/2}$. The rest follows from Theorem 4.1.

The following theorem is motivated by Wimmer's result [17, Theorem 2.1], proved for finite dimensional Hilbert spaces. Recall that, for idempotents $u, v \in \mathcal{A}, \pi(u, v) = \pi(u^{\perp}, v^{\perp})$.

Theorem 5.2. Let $h \in A$ be a nontrivial idempotent and $f \in A$ a nontrivial projection such that

(5.1)
$$||h|| ||f - (1 - h)^{\perp}|| < 1.$$

Then $g := \pi(h, f)$ exists and

(5.2)
$$||g-h|| \le \frac{||h||^2 ||f-(1-h)^{\perp}||}{1-||h|| ||f-(1-h)^{\perp}||}.$$

Proof. From the proof of Theorem 3.1 we recall that $h^{\perp} - (1-h)^{\perp} = (h+h^*-1)^{-1}$. In view of (5.1) and Lemma 2.3,

$$||f - (1 - h)^{\perp}|| < \frac{1}{||h||} = \frac{1}{||(h^{\perp} - (1 - h)^{\perp})^{-1}||}.$$

Hence $||f - (1 - h)^{\perp}|| ||(h^{\perp} - (1 - h)^{\perp})^{-1}|| < 1$, and

$$h^{\perp} - f = (h^{\perp} - (1-h)^{\perp}) - (f - (1-h)^{\perp}) \in \mathcal{A}^{-1}.$$

By Theorem 4.1 (viii) there exists $g = \pi(h, f)$, that is, an idempotent $g \in \mathcal{A}$ such that $g^{\perp} = h^{\perp}$ and $(1 - g)^{\perp} = f$. Hence

(5.3)
$$gh^{\perp} = h^{\perp}, \quad h^{\perp}g = g, \quad (1-g)f = f, \quad f(1-g) = 1-g.$$

From these equations and properties of range projection we deduce

(5.4)
$$h(1-h)^{\perp} = 0, \quad gh = h, \quad hg = g.$$

In the following calculations we will use (5.3) and (5.4) freely.

Consider $s = (1 - g)(1 - h)^{\perp} = (1 - h)^{\perp} - g(1 - h)^{\perp}$. We have

$$-g(1-h)^{\perp} = h(1-g)(1-h)^{\perp} = hf(1-g)(1-h)^{\perp} = hfs,$$

and $s = (1 - h)^{\perp} + hfs$. Hence $||s|| \le 1 + ||hf|| ||s||$, and

$$||s|| \le \frac{1}{1 - ||hf||},$$

since $||hf|| = ||h(f - (1 - h)^{\perp})|| \le ||h|| ||f - (1 - h)^{\perp}|| < 1$. Therefore

$$g - h = g(1 - h) = g(1 - h)^{\perp}(1 - h) = -hfs(1 - h).$$

Applying the norm, we get

$$||g-h|| \le ||hf|| ||s|| ||1-h|| \le \frac{||hf||}{1-||hf||} ||h||,$$

and (5.2) follows.

From the preceding theorem and its proof we obtain the following result.

Corollary 5.3. Let $h, g \in A$ be nontrivial idempotents and $f \in A$ a nontrivial projection such that ||hf|| < 1 and $g = \pi(h, f)$. Then

(5.5)
$$||g-h|| \le \frac{||hf||}{1-||hf||} ||h||.$$

Remark 5.4. From Theorem 5.2 we recover Wimmer's result [17, Theorem 2.1 (ii)]. Corollary 5.3 is a C^* -algebra version of [17, Theorem 2.1 (i)] with an additional hypothesis that $\pi(h, f)$ exists which compensates for the finite dimensionality assumption of [17].

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