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GRAPHS OF FUNCTIONS, REGULAR SETS AND S-STRAIGHT SETS

Abstract

A subset E of \mathbb{R}^p is s -straight if E has finite Hausdorff s -dimensional outer measure which equals its Method I s -outer measure. The graph of a continuously differentiable function is shown to be the countable union of closed 1-straight sets together with a set of Hausdorff 1-measure zero. This result is extended to the graphs of absolutely continuous functions and to regular sets.

1 Introduction

In [6], Foran introduced the notion of s -straight and proposed a subset E of the unit circle such that E is 1-straight and has positive measure. A detailed analysis of this proposed set is given in [2] together with other examples and results for 1-straight sets.

Given a nonempty bounded subset B of \mathbb{R}^p , define $\text{diam}(B) = \sup\{d(x, y) : x, y \in B\}$ where $d(x, y)$ denotes the usual distance function in \mathbb{R}^p . Define $\text{diam}(\emptyset) = 0$. We write $\text{diam}^s(E)$ in place of $[\text{diam}(E)]^s$.

Definition 1.1. Let E be a subset of \mathbb{R}^p and $s > 0$. Given $\infty \geq \delta > 0$, define

$$\overline{m}_\delta^s(E) = \inf \left\{ \sum_{i=1}^{\infty} \text{diam}^s(E_i) : E = \bigcup_{i=1}^{\infty} E_i, \text{diam}(E_i) < \delta \text{ for } i = 1, 2, \dots \right\}.$$

Set $\overline{m}^s(E) = \sup_{\delta > 0} \overline{m}_\delta^s(E)$ and set $\overline{m}_I^s(E) = \overline{m}_\infty^s(E)$.

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The outer measure \overline{m}_I^s is known as a Method I outer measure. The outer measure \overline{m}^s is a metric outer measure on \mathbb{R}^p . Hence, every closed subset of \mathbb{R}^p is \overline{m}^s -measurable. See [3, pp. 132–144] for details. If E is an \overline{m}^s -measurable subset of \mathbb{R}^p , then we write $\mathcal{H}^s(E)$ in place of $\overline{m}^s(E)$. If $(A_n)_{n=1}^\infty$ is a decreasing sequence of compact subsets of \mathbb{R}^p and if $\delta > 0$, then $\overline{m}_\delta^s(\bigcap_{n=1}^\infty A_n) = \lim_{n \rightarrow \infty} \overline{m}_\delta^s(A_n)$. In [6], Foran defines s-straight and proves Theorem 1.3, providing a useful equivalent formulation of s-straight.

Definition 1.2. *Given a subset E of \mathbb{R}^p and $s > 0$, we say E is s-straight if $\overline{m}^s(E) < \infty$ and $\overline{m}^s(E) = \overline{m}_I^s(E)$.*

Theorem 1.3. *Let E be a subset of \mathbb{R}^p with $\overline{m}^s(E) < \infty$. Then E is s-straight if and only if $\overline{m}^s(E \cap K) \leq \text{diam}^s(E \cap K)$ for each compact subset K of \mathbb{R}^p .*

2 Graphs of Functions and S-Straight Sets

Definition 2.1. *Let A_1, \dots, A_n be subsets of \mathbb{R}^p . We say that A_1, \dots, A_n are s-aligned if $\text{diam}^s(B) \geq \sum_{i=1}^n \text{diam}^s(B \cap A_i)$ for each bounded subset B of $A_1 \cup \dots \cup A_n$.*

Example. If $\text{diam}(A \cup B) \geq \text{diam}(A) + \text{diam}(B)$, then A and B may not be 1-aligned. Let $A = \{(x, \sin x) : 0 \leq x \leq \pi\}$ and $B = \{(x, \sin x) : -\pi \leq x \leq 0\}$. Then $\text{diam}(A \cup B) = \text{diam}(A) + \text{diam}(B)$. If $A_1 = \{(x, \sin x) : 0 \leq x \leq \pi/2\}$, then $\text{diam}(A_1 \cup B) < \text{diam}(A_1) + \text{diam}(B)$. Hence, A and B are not 1-aligned.

The motivation for the definition of s-aligned arises from the following result.

Proposition 2.2. *Let A_1, \dots, A_n be s-aligned subsets of \mathbb{R}^p . If A_1, \dots, A_n are s-straight, then $A_1 \cup \dots \cup A_n$ is s-straight.*

Proof. Let K be a compact set in \mathbb{R}^p . Then $\overline{m}^s[K \cap (A_1 \cup \dots \cup A_n)] \leq \sum_{i=1}^n \overline{m}^s(K \cap A_i) \leq \sum_{i=1}^n \text{diam}^s(K \cap A_i) \leq \text{diam}^s[K \cap (A_1 \cup \dots \cup A_n)]$.

Suppose A and B are s-aligned subsets of \mathbb{R}^p . Let \overline{A} and \overline{B} denote the closure of A and B , respectively. Then $\overline{A} \cap \overline{B}$ contains at most one point. Hence, $\overline{m}^s(A_1 \cup B_1) = \overline{m}^s(A_1) + \overline{m}^s(B_1)$ for each $A_1 \subseteq \overline{A}$ and $B_1 \subseteq \overline{B}$.

Theorem 2.3. *Let $(q_n)_{n=1}^\infty$ be a sequence of positive integers. Set $Q^n = \{(i_1, \dots, i_n) : 1 \leq i_1 \leq q_1, \dots, 1 \leq i_n \leq q_n\}$ for $n = 1, 2, \dots$. Let $\{A_\beta : \beta \in Q^n \text{ for some } n \geq 1\}$ be a family of compact subsets of \mathbb{R}^p such that A_1, \dots, A_{q_1} are s-aligned and such that*

- (a) $A_{(\beta, 1)}, \dots, A_{(\beta, q_n)}$ are s-aligned if $\beta \in Q^{n-1}$,
- (b) $A_\beta \supseteq A_{(\beta, 1)} \cup \dots \cup A_{(\beta, q_n)}$ if $\beta \in Q^{n-1}$ and

(c) $\lim_{n \rightarrow \infty} \max\{\text{diam}^s(A_\beta) : \beta \in Q^n\} = 0$.
 Let $P_n = \bigcup\{A_\beta : \beta \in Q^n\}$. Then $\bigcap_{n=1}^\infty P_n$ is s -straight.

Proof. If $E \subseteq P_n$, then $\text{diam}^s(E) \geq \sum_{\beta \in Q^n} \text{diam}^s(E \cap A_\beta)$. Let $P = \bigcap_{n=1}^\infty P_n$. The set P is closed and $P_n \supseteq P_{n+1}$ for $n \geq 1$. Let K be a compact subset of \mathbb{R}^p . It suffices to show that $\text{diam}^s(P \cap K) \geq \overline{m}_\delta^s(P \cap K)$ for each $\delta > 0$. Let $\delta > 0$. Choose ℓ such that $\text{diam}^s(A_\beta) < \delta$ for each $\beta \in Q^\ell$. Then for each $n \geq \ell$

$$\text{diam}^s(P_n \cap K) \geq \sum_{\beta \in Q^n} \text{diam}^s(A_\beta \cap K) \geq \overline{m}_\delta^s(P_n \cap K).$$

Hence, $\lim_{n \rightarrow \infty} \text{diam}^s(P_n \cap K) \geq \lim_{n \rightarrow \infty} \overline{m}_\delta^s(P_n \cap K) \geq \overline{m}_\delta^s(P \cap K)$. Since P is closed, $\text{diam}^s(P \cap K) = \lim_{n \rightarrow \infty} \text{diam}^s(P_n \cap K)$. Thus, $\text{diam}^s(P \cap K) \geq \overline{m}_\delta^s(P \cap K)$. It follows that P is s -straight.

Lemma 2.4. Let $f : [a, b] \rightarrow \mathbb{R}$ be continuously differentiable with $0 \leq m \leq f'(t) \leq M$. If I is a subinterval of $[a, b]$, let $F(I) = \{(x, f(x)) : x \in I\}$. Suppose $0 < w < 1$ satisfies $(1+w)\sqrt{1+m^2} \geq 2w\sqrt{1+M^2}$. Then for each positive integer n , there exist disjoint subintervals I_1, \dots, I_n of $[a, b]$ each of length $w(b-a)/n$ such that $\mathcal{H}^1[\bigcup_{k=1}^n F(I_k)] \geq w^2 \mathcal{H}^1[F([a, b])]$ and $F(I_1), \dots, F(I_n)$ are 1-aligned.

Proof. It suffices to consider the case where $[a, b] = [0, 1]$. Let $F(s, t) = \{(x, f(x)) : s \leq x \leq t\}$ if $0 \leq s \leq t \leq 1$. Assume $(1+w)\sqrt{1+m^2} \geq 2w\sqrt{1+M^2}$ where $0 < w < 1$. Set $\alpha = \arctan m$ and $\beta = \arctan M$. Then $\tan \alpha \leq f' \leq \tan \beta$ and $(1+w)\sec \alpha \geq 2w\sec \beta$. If $0 \leq s \leq t \leq 1$, then $(t-s)\sec \alpha \leq \mathcal{H}^1[F(s, t)] \leq (t-s)\sec \beta$. Let n be a positive integer. Set $\delta = (1-w)/(2n)$. Define $a_k = (k-1)/n + \delta$, $b_k = k/n - \delta$ and $I_k = [a_k, b_k]$ for $k = 1, \dots, n$. Then $\mathcal{H}^1[\bigcup_{k=1}^n F(I_k)] = \sum_{k=1}^n \mathcal{H}^1[F(I_k)] \geq \sum_{k=1}^n |I_k| \sec \alpha = w \sec \alpha$ where $|I_k|$ denotes the length of I_k . Hence

$$\mathcal{H}^1[\bigcup_{k=1}^n F(I_k)] \geq w \frac{\sec \alpha}{\sec \beta} \mathcal{H}^1[F(0, 1)] \geq \frac{2w^2}{1+w} \mathcal{H}^1[F(0, 1)] \geq w^2 \mathcal{H}^1[F(0, 1)].$$

Since f is increasing, to show that $F(I_1), \dots, F(I_n)$ are 1-aligned, it suffices to show that $\text{diam}[F(s, t)] \geq \sum_{k=1}^n \text{diam}[F([s, t] \cap I_k)]$ if $0 \leq s < t \leq 1$. If s, t belong to a single I_k , this inequality is clear. Let $a_j \leq s < b_j$ and let $a_k < t \leq b_k$ where $j < k$. Set

$$\Phi(s, t) = \text{diam}[F(s, t)] - \text{diam}[F(s, b_j)] - \text{diam}[F(a_k, t)] - \sum_{i=j+1}^{k-1} \text{diam}[F(a_i, b_i)].$$

To show that $F(I_1), \dots, F(I_n)$ are 1-aligned, it suffices to show that $\Phi(s, t) \geq 0$. Set $m = k + 1 - j \geq 2$. We have

$$\begin{aligned}
 \Phi(s, t) &\geq (t - s) \sec \alpha - \left[(b_j - s) + (t - a_k) + \sum_{i=j+1}^{k-1} (b_i - a_i) \right] \sec \beta \\
 &\geq (b_k - a_j) \sec \alpha - \left[(b_j - a_j) + (b_k - a_k) + \sum_{i=j+1}^{k-1} (b_i - a_i) \right] \sec \beta \\
 &= \frac{m - (1 - w)}{n} \sec \alpha - \frac{mw}{n} \sec \beta \\
 &= \frac{m}{n} \left[\frac{m - 1 + w}{m} \sec \alpha - w \sec \beta \right] \\
 &\geq \frac{m}{n} \left[\frac{1 + w}{2} \sec \alpha - w \sec \beta \right].
 \end{aligned}$$

Hence, $\Phi(s, t) \geq 0$ and so $F(I_1), \dots, F(I_n)$ are 1-aligned.

Theorem 2.5. *Let $f : [a, b] \rightarrow \mathbb{R}$ be continuously differentiable with $0 \leq f' \leq M$. Let $\Gamma = \{(x, f(x)) : a \leq x \leq b\}$. Then there exists closed 1-straight subset P of Γ such that $\mathcal{H}^1(P) \geq \mathcal{H}^1(\Gamma)/[4(1 + M^2)]$.*

Proof. If I is a subinterval of $[a, b]$, set $F(I) = \{(x, f(x)) : x \in I\}$, $m(f', I) = \min\{f'(x) : x \in I\}$ and $M(f', I) = \max\{f'(x) : x \in I\}$. Set $\beta = \arctan(M)$ and let w_1 be the positive number such that $1 + w_1 = 2w_1 \sec \beta$, that is, $w_1 = 1/(2 \sec \beta - 1)$. Then $w_1^2 > 1/[4(1 + M^2)]$. Choose w_2, w_3, \dots in $(0, 1)$ such that $\prod_{k=1}^{\infty} w_k^2 = 1/[4(1 + M^2)]$. By Lemma 2.4 and by uniform continuity of f' on $[a, b]$, we may choose an integer $q_1 \geq 2$ and closed subintervals I_1, \dots, I_{q_1} of $[a, b]$ each of length $w_1(b - a)/q_1$ such that

$$(\text{step 1}) \left\{ \begin{array}{l} F(I_1), \dots, F(I_{q_1}) \text{ are 1-aligned,} \\ \mathcal{H}^1[\bigcup_{j=1}^{q_1} F(I_j)] \geq w_1^2 \mathcal{H}^1(\Gamma) \text{ and} \\ \frac{1 + w_2}{2w_2} \sqrt{\frac{1 + m^2(f', I_j)}{1 + M^2(f', I_j)}} \geq 1 \text{ for } j = 1, \dots, q_1 \end{array} \right.$$

Let $P_1 = F(I_1) \cup \dots \cup F(I_{q_1})$. Then $\mathcal{H}^1(P_1) \geq w_1^2 \mathcal{H}^1(\Gamma)$. By Lemma 2.4 and by uniform continuity of f' on $[a, b]$, we may choose an integer $q_2 \geq 2$ and closed subintervals $I_{i,1}, \dots, I_{i,q_2}$ of I_i each of length $w_1 w_2 (b - a)/(q_1 q_2)$ for $i = 1, \dots, q_1$ such that

$$(\text{step 2}) \left\{ \begin{array}{l} F(I_{i,1}), \dots, F(I_{i,q_2}) \text{ are 1-aligned for } i = 1, \dots, q_1 \\ \mathcal{H}^1[\bigcup_{j=1}^{q_2} F(I_{i,j})] \geq w_2^2 \mathcal{H}^1[F(I_i)] \text{ for } i = 1, \dots, q_1 \text{ and} \\ \frac{1 + w_3}{2w_3} \sqrt{\frac{1 + m^2(f', I_{i,j})}{1 + M^2(f', I_{i,j})}} \geq 1 \text{ for } i = 1, \dots, q_1 \text{ and } j = 1, \dots, q_2 \end{array} \right.$$

Let $P_2 = \bigcup \{F(I_{i,j}) : 1 \leq i \leq q_1 \text{ and } 1 \leq j \leq q_2\}$. Then $\mathcal{H}^1(P_2) \geq w_1^2 w_2^2 \mathcal{H}^1(\Gamma)$. Continuing this process, we obtain, in accordance with Theorem 2.3, a decreasing sequence $(P_n)_{n=1}^\infty$ of compact subsets of Γ such that $\mathcal{H}^1(P_n) \geq (\prod_{k=1}^n w_k^2) \mathcal{H}^1(\Gamma)$ for each $n \geq 1$ and $\bigcap_{n=1}^\infty P_n$ is 1-straight. Let $P = \bigcap_{n=1}^\infty P_n$. Then P is a closed 1-straight subset of Γ with $\mathcal{H}^1(P) \geq \mathcal{H}^1(\Gamma)/[4(1+M^2)]$.

Let $f : [a, b] \rightarrow \mathbb{R}$ be continuous and let Γ be the graph of f . Assume that $\mathcal{H}^1(\Gamma)$ is finite. If each closed subset of Γ with positive \mathcal{H}^1 measure contains a closed 1-straight subset of positive \mathcal{H}^1 measure, then Γ is the countable union of closed 1-straight subsets together with a set of \mathcal{H}^1 measure zero since the measure \mathcal{H}^1 is regular. See [2] for a similar result.

Corollary 2.6. *Let $f : [a, b] \rightarrow \mathbb{R}$ be continuously differentiable. Then the graph of f is the countable union of closed 1-straight sets together with a set of \mathcal{H}^1 measure zero.*

Proof. If I is a subinterval of $[a, b]$, let $F(I) = \{(x, f(x)) : x \in I\}$. Choose closed subintervals I_1, \dots, I_n of $[a, b]$ that cover $[a, b]$ such that $f' \geq 0$, $f' \leq 0$ or $|f'| \leq \sqrt{3}/3$ on I_k for each $k = 1, \dots, n$. If $|f'| \leq \sqrt{3}/3$ on I_j , then $F(I_j)$ rotated by 30° coincides with the graph of a continuously differentiable function g with $g' \geq 0$. It follows from Theorem 2.5 that each $F(I_k)$ is the countable union of closed 1-straight subsets together with a subset of \mathcal{H}^1 measure zero and so likewise for the graph of f .

Theorem 2.7. *Let $f : [a, b] \rightarrow \mathbb{R}$ be absolutely continuous. Then the graph of f is the countable union of closed 1-straight sets together with a set of \mathcal{H}^1 measure zero.*

Proof. Let $\Gamma_f = \{(x, f(x)) : a \leq x \leq b\}$. Let μ denote Lebesgue measure on $[a, b]$. Let E be a closed subset of Γ_f with positive \mathcal{H}^1 measure. Set $B = \{x \in [a, b] : (x, f(x)) \in E\}$. Since f is absolutely continuous, B is a compact subset of $[a, b]$ with $\mu(B) > 0$. But f is differentiable almost everywhere and f' is μ -measurable. By a theorem due to Federer, see [5, Theorem 3.1.15] and [1, p. 1160], there exists a compact subset K of B and a continuously differentiable function g on $[a, b]$ such that $g = f$ on K and $\mu(K) > 0$. Let $\Gamma_g(K) = \{(x, g(x)) : x \in K\}$. Then $\Gamma_g(K)$ is a compact subset of the graph of g with positive \mathcal{H}^1 measure. By Corollary 2.6, there exists a closed 1-straight subset P of the graph of g with $\mathcal{H}^1(P \cap \Gamma_g(K)) > 0$. Since P is 1-straight, $P \cap \Gamma_g(K)$ is a closed 1-straight subset of E . Hence, the graph of f is the countable union of closed 1-straight subsets together with a set of \mathcal{H}^1 measure zero.

Corollary 2.8. *Let $f : [a, b] \rightarrow \mathbb{R}$ be continuous and increasing. Then the graph of f is the countable union of closed 1-straight sets together with a set of \mathcal{H}^1 measure zero.*

Proof. The graph of f rotated clockwise by 45° coincides with the graph of a continuous Lipschitz function, which is absolutely continuous.

3 Regular Sets and S-Straight Sets

If $E \subset \mathbb{R}^p$, we say E is an s -set if E is \overline{m}^s -measurable and $0 < \mathcal{H}^s(E) < \infty$. If $x \in \mathbb{R}^p$, let $B_r(x)$ denote the closed ball of radius $r > 0$ centered at x . We next recall some definitions concerning density, regular sets and contingents.

Definition 3.1. [4, pp. 20-21]. *We say x is a regular point of E if the \mathcal{H}^s -density of x with respect to E , defined as $\lim_{r \rightarrow 0+} [\mathcal{H}^s(E \cap B_r(x)) / (2r)^s]$, exists and equals 1. A set E is called a regular set if almost every point of E is regular. Here we consider only the case $s = 1$ and sets $E \subseteq \mathbb{R}^2$.*

Definition 3.2. [4, pp. 26-29]. *A curve (or, Jordan curve) Γ is the image of a continuous one-to-one function $\psi : [a, b] \rightarrow \mathbb{R}^p$, where $[a, b] \subseteq \mathbb{R}$ is a closed interval. In particular, a curve is not self-intersecting. If the length $\mathcal{L}(\Gamma)$ of a curve Γ is defined in the usual way and if $\mathcal{L}(\Gamma) < \infty$, we say that Γ is a rectifiable curve. A 1-set contained in a countable union of rectifiable curves is called a Y -set [4, p. 33]. Let $S(x, \theta, \varphi)$ be the closed one-way infinite cone with vertex x and axis in direction of angle θ consisting of those points y such that the line segment between x and y makes an angle of at most φ with that axis.*

Definition 3.3. [7, p. 262]. *Let $E \subseteq \mathbb{R}^2$. For any point $x \in E$, the direction θ of a half-line originating at x is the angle made by that half-line with a fixed direction, usually that of the horizontal axis. Such a half-line is denoted by $l(x, \theta)$. A half-line containing a point $y \neq x$ is denoted by \overrightarrow{xy} . A sequence $\{l_n(x, \theta_n)\}_{n=1}^\infty$ of half-lines is said to converge to the half-line $l(x, \theta)$ if $\lim_{n \rightarrow \infty} \theta_n = \theta$. A half-line $l(x, \theta)$ is called an intermediate half-tangent of E at x if there exists a sequence of points $\{x_n\}_{n=1}^\infty \subseteq E$, with $x_n \neq x$ for all n such that both $\lim_{n \rightarrow \infty} x_n = x$, and the sequence of half-lines $\{\overrightarrow{xx_n}\}_{n=1}^\infty$ converges to $l(x, \theta)$. Finally, the contingent of E at x , denoted by $\text{contg}_E(x)$, is the set of all intermediate half-tangents of E at x . (If x_0 is an isolated point, then x_0 has no intermediate half-tangents, and $\text{contg}_E(x_0) = \emptyset$.)*

The following Lemma is part of the so-called Fundamental Theorem on Contingents of Plane Sets, found in Saks.

Lemma 3.4. [7, p. 264]. Let θ be a fixed direction, and $E \subseteq \mathbb{R}^2$ be such that for each $x \in E$, the set $\text{contg}_E(x)$ contains no half-line of direction θ . If θ is the direction of the positive vertical axis, then $E = \cup_{i=1}^{\infty} E_i$ such that for each i the set E_i is the graph of a Lipschitz function $f_i : B_i \rightarrow \mathbb{R}$ where B_i is a bounded subset of \mathbb{R} and $\mathcal{H}^1(E_i) = \mathcal{L}(E_i) < \infty$.

Theorem 3.5. Let $E \subseteq \mathbb{R}^2$ be a regular 1-set. Then E is a countable union of 1-straight sets together with a set of \mathcal{H}^1 measure zero.

Proof. Besicovitch proved (see [4, p. 45]) that a regular 1-set $E \subseteq \mathbb{R}^2$ consists of a Y -set together with a set of \mathcal{H}^1 -measure zero. In his proof (see [4, p. 32]) that a rectifiable curve Γ in \mathbb{R}^2 has a tangent at almost all of its points, he proved in particular that for almost all $x \in \Gamma$ there exists a direction θ such that for suitable $\varphi, \rho > 0$ it follows that $\Gamma \cap [B_\rho(x) \setminus (S(x, \theta, \varphi) \cup S(x, \theta + \pi, \varphi))] = \emptyset$. So at almost all points x of a rectifiable curve Γ , $\text{contg}_\Gamma(x) \neq \mathbb{R}^2$. As in the proof of the Fundamental Theorem on Contingents of Plane Sets [7, p. 267], let $\{\theta_n\}$ be a countable everywhere dense set of directions in \mathbb{R}^2 . Let Γ_n be the set of points of Γ at which $\text{contg}_\Gamma(x)$ does not contain the half-line of direction θ_n . Then since $\{\theta_n\}$ is dense, it is clear that $\Gamma = \cup_{n=1}^{\infty} \Gamma_n$, otherwise there exist points $x \in \Gamma$ such that $\text{contg}_\Gamma(x) = \mathbb{R}^2$. By Lemma 3.4, with respect to a line of direction $\theta_n + \frac{\pi}{2}$, each Γ_n is the countable union of finite length graphs of Lipschitz functions on bounded domain sets. Thus Γ itself equals such a union. It is well-known, as in [7, p. 264], that any such Lipschitz function can be extended to be Lipschitz on the smallest closed interval containing its bounded domain. Since a Lipschitz function is absolutely continuous, by Theorem 2.7 it follows that the graph of each such extended Lipschitz function is a countable union of 1-straight sets. Since subsets of 1-straight sets are 1-straight and since translations and rotations of 1-straight sets are 1-straight (see [2]), the subset Γ of the countable union of the graphs of these Lipschitz functions is also a countable union of 1-straight sets. Since this is true for each rectifiable curve Γ in \mathbb{R}^2 , it follows that E is therefore a countable union of 1-straight sets together with a set of \mathcal{H}^1 -measure zero.

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