

## CHAPTER II

### 6. Preliminary Lemmas of Lie Type

*Hypothesis 6.1.*

(i)  $p$  is a prime,  $\mathfrak{P}$  is a normal  $S_p$ -subgroup of  $\mathfrak{P}\mathfrak{U}$ , and  $\mathfrak{U}$  is a non identity cyclic  $p'$ -group.

(ii)  $C_{\mathfrak{U}}(\mathfrak{P}) = 1$ .

(iii)  $\mathfrak{P}'$  is elementary abelian and  $\mathfrak{P}' \subseteq Z(\mathfrak{P})$ .

(iv)  $|\mathfrak{P}\mathfrak{U}|$  is odd.

Let  $\mathfrak{U} = \langle U \rangle$ ,  $|\mathfrak{U}| = u$ , and  $|\mathfrak{P} : D(\mathfrak{P})| = p^a$ . Let  $\mathcal{L}$  be the Lie ring associated to  $\mathfrak{P}$  ([12] p. 328). Then  $\mathcal{L} = \mathcal{L}_1^* \oplus \mathcal{L}_2$  where  $\mathcal{L}_1^*$  and  $\mathcal{L}_2$  correspond to  $\mathfrak{P}/\mathfrak{P}'$  and  $\mathfrak{P}'$  respectively. Let  $\mathcal{L}_1 = \mathcal{L}_1^*/p\mathcal{L}_1^*$ . For  $i = 1, 2$ , let  $U_i$  be the linear transformation induced by  $U$  on  $\mathcal{L}_i$ .

**LEMMA 6.1.** *Assume that Hypothesis 6.1 is satisfied. Let  $\varepsilon_1, \dots, \varepsilon_n$  be the characteristic roots of  $U_1$ . Then the characteristic roots of  $U_2$  are found among the elements  $\varepsilon_i\varepsilon_j$  with  $1 \leq i < j \leq n$ .*

*Proof.* Suppose the field is extended so as to include  $\varepsilon_1, \dots, \varepsilon_n$ . Since  $\mathfrak{U}$  is a  $p'$ -group, it is possible to find a basis  $x_1, \dots, x_n$  of  $\mathcal{L}_1$  such that  $x_i U_1 = \varepsilon_i x_i$ ,  $1 \leq i \leq n$ . Therefore,  $x_i U_1 \cdot x_j U_1 = \varepsilon_i \varepsilon_j x_i \cdot x_j$ . As  $U$  induces an automorphism of  $\mathcal{L}$ , this yields that

$$(x_i \cdot x_j) U_2 = x_i U_1 \cdot x_j U_1 = \varepsilon_i \varepsilon_j x_i \cdot x_j.$$

Since the vectors  $x_i \cdot x_j$  with  $i < j$  span  $\mathcal{L}_2$ , the lemma follows.

By using a method which differs from that used below, M. Hall proved a variant of Lemma 6.2. We are indebted to him for showing us his proof.

**LEMMA 6.2.** *Assume that Hypothesis 6.1 is satisfied, and that  $U_1$  acts irreducibly on  $\mathcal{L}_1$ . Assume further that  $n = q$  is an odd prime and that  $U_1$  and  $U_2$  have the same characteristic polynomial. Then  $q > 3$  and*

$$u < 3^{q/2}$$

*Proof.* Let  $\varepsilon^{p^i}$  be the characteristic roots of  $U_1$ ,  $0 \leq i < n$ . By Lemma 6.1 there exist integers  $i, j, k$  such that  $\varepsilon^{p^i \varepsilon^{p^j}} = \varepsilon^{p^k}$ . Raising this equation to a suitable power yields the existence of integers  $a$  and  $b$  with  $0 \leq a < b < q$  such that  $\varepsilon^{p^a + p^b - 1} = 1$ . By Hypothesis 6.1 (ii), the preceding equality implies  $p^a + p^b - 1 \equiv 0 \pmod{u}$ . Since  $U_1$  acts irreducibly, we also have  $p^q - 1 \equiv 0 \pmod{u}$ . Since  $\mathfrak{U}$  is a  $p'$ -group,

$ab \neq 0$ . Consequently,

$$(6.1) \quad \begin{aligned} p^a + p^b - 1 &\equiv 0 \pmod{u}, \\ p^a - 1 &\equiv 0 \pmod{u}, \quad 0 < a < b < q. \end{aligned}$$

Let  $d$  be the resultant of the polynomials  $f = x^a + x^b - 1$  and  $g = x^q - 1$ . Since  $q$  is a prime, the two polynomials are relatively prime, so  $d$  is a nonzero integer. Also, by a basic property of resultants,

$$(6.2) \quad d = hf + kg$$

for suitable integral polynomials  $h$  and  $k$ .

Let  $\varepsilon_q$  be a primitive  $q$ th root of unity over  $\mathcal{O}$ , so that we also have

$$(6.3) \quad \begin{aligned} d^2 &= \prod_{i=0}^{q-1} (\varepsilon_q^{ia} + \varepsilon_q^{ib} - 1) \prod_{i=0}^{q-1} (\varepsilon_q^{-ia} + \varepsilon_q^{-ib} - 1) \\ &= \prod_{i=0}^{q-1} \{3 + \varepsilon_q^{i(a-b)} + \varepsilon_q^{i(b-a)} - \varepsilon_q^{ia} - \varepsilon_q^{ib} - \varepsilon_q^{-ib} - \varepsilon_q^{-ia}\}. \end{aligned}$$

For  $q = 3$ , this yields that  $d^2 = (3 - 1 + 1 + 1)^2 = 4^2$ , so that  $d = \pm 4$ . Since  $u$  is odd (6.1) and (6.2) imply that  $u = 1$ . This is not the case, so  $q > 3$ .

Each term on the right hand side of (6.3) is non negative. As the geometric mean of non negative numbers is at most the arithmetic mean, (6.3) implies that

$$d^{2/q} \leq \frac{1}{q} \sum_{i=0}^{q-1} \{3 + \varepsilon_q^{i(a-b)} + \varepsilon_q^{i(b-a)} - \varepsilon_q^{ia} - \varepsilon_q^{-ia} - \varepsilon_q^{ib} - \varepsilon_q^{-ib}\}.$$

The algebraic trace of a primitive  $q$ th root of unity is  $-1$ , hence

$$d^{2/q} \leq 3.$$

Now (6.1) and (6.2) imply that

$$u \leq |d| \leq 3^{q/2}.$$

Since  $3^{q/2}$  is irrational, equality cannot hold.

**LEMMA 6.3.** *If  $\mathfrak{P}$  is a  $p$ -group and  $\mathfrak{P}' = D(\mathfrak{P})$ , then  $C_n(\mathfrak{P})/C_{n+1}(\mathfrak{P})$  is elementary abelian for all  $n$ .*

*Proof.* The assertion follows from the congruence

$$[A_1, \dots, A_n]^p \equiv [A_1, \dots, A_{n-1}, A_n^p] \pmod{C_{n+1}(\mathfrak{P})},$$

valid for all  $A_1, \dots, A_n$  in  $\mathfrak{P}$ .

**LEMMA 6.4.** *Suppose that  $\sigma$  is a fixed point free  $p'$ -automorphism-*

of the  $p$ -group  $\mathfrak{B}$ ,  $\mathfrak{B}' = D(\mathfrak{B})$  and  $A^\sigma \equiv A^x \pmod{\mathfrak{B}'}$  for some integer  $x$  independent of  $A$ . Then  $\mathfrak{B}$  is of exponent  $p$ .

*Proof.* Let  $A^\sigma = A^x \cdot A^\phi$  so that  $A^\phi$  is in  $\mathfrak{B}'$  for all  $A$  in  $\mathfrak{B}$ . Then

$$\begin{aligned} [A_1, \dots, A_n]^\sigma &= [A_1^\sigma, \dots, A_n^\sigma] = [A_1^x \cdot A_1^\phi, \dots, A_n^x \cdot A_n^\phi] \\ &\equiv [A_1^x, \dots, A_n^x] \equiv [A_1, \dots, A_n]^{x^n} \pmod{C_{n+1}(\mathfrak{B})}. \end{aligned}$$

Since  $\sigma$  is regular on  $\mathfrak{B}$ ,  $\sigma$  is also regular on each  $C_n/C_{n+1}$ . As the order of  $\sigma$  divides  $p - 1$  the above congruences now imply that  $\text{cl}(\mathfrak{B}) \leq p - 1$  and so  $\mathfrak{B}$  is a regular  $p$ -group. If  $\mathcal{O}^1(\mathfrak{B}) \neq 1$ , then the mapping  $A \rightarrow A^p$  induces a non zero linear map of  $\mathfrak{B}/D(\mathfrak{B})$  to  $C_n(\mathfrak{B})/C_{n+1}(\mathfrak{B})$  for suitable  $n$ . Namely, choose  $n$  so that  $\mathcal{O}^1(\mathfrak{B}) \subseteq C_n(\mathfrak{B})$  but  $\mathcal{O}^1(\mathfrak{B}) \not\subseteq C_{n+1}(\mathfrak{B})$ , and use the regularity of  $\mathfrak{B}$  to guarantee linearity. Notice that  $n \geq 2$ , since by hypothesis  $\mathcal{O}^1(\mathfrak{B}) \subseteq \mathfrak{B}'$ . We find that  $x \equiv x^n \pmod{p}$ , and so  $x^{n-1} \equiv 1 \pmod{p}$  and  $\sigma$  has a fixed point on  $C_{n-1}/C_n$ , contrary to assumption. Hence,  $\mathcal{O}^1(\mathfrak{B}) = 1$ .

### 7. Preliminary Lemmas of Hall-Higman Type

Theorem B of Hall and Higman [21] is used frequently and will be referred to as (B).

**LEMMA 7.1.** *If  $\mathfrak{X}$  is a  $p$ -solvable linear group of odd order over a field of characteristic  $p$ , then  $O_p(\mathfrak{X})$  contains every element whose minimal polynomial is  $(x - 1)^2$ .*

*Proof.* Let  $\mathcal{V}$  be the space on which  $\mathfrak{X}$  acts. The hypotheses of the lemma, together with (B), guarantee that either  $O_p(\mathfrak{X}) \neq 1$  or  $\mathfrak{X}$  contains no element whose minimal polynomial is  $(x - 1)^2$ .

Let  $X$  be an element of  $\mathfrak{X}$  with minimal polynomial  $(x - 1)^2$ . Then  $O_p(\mathfrak{X}) \neq 1$ , and the subspace  $\mathcal{V}_0$  which is elementwise fixed by  $O_p(\mathfrak{X})$  is proper and is  $\mathfrak{X}$ -invariant. Since  $O_p(\mathfrak{X})$  is a  $p$ -group,  $\mathcal{V}_0 \neq 0$ . Let

$$\mathfrak{R}_0 = \ker(\mathfrak{X} \rightarrow \text{Aut } \mathcal{V}_0), \quad \mathfrak{R}_1 = \ker(\mathfrak{X} \rightarrow \text{Aut } (\mathcal{V}/\mathcal{V}_0)).$$

By induction on  $\dim \mathcal{V}$ ,  $X \in O_p(\mathfrak{X} \text{ mod } \mathfrak{R}_i)$ ,  $i = 0, 1$ . Since

$$O_p(\mathfrak{X} \text{ mod } \mathfrak{R}_0) \cap O_p(\mathfrak{X} \text{ mod } \mathfrak{R}_1)$$

is a  $p$ -group, the lemma follows.

**LEMMA 7.2.** *Let  $\mathfrak{X}$  be a  $p$ -solvable group of odd order, and  $\mathfrak{A}$  a  $p$ -subgroup of  $\mathfrak{X}$ . Any one of the following conditions guarantees that  $\mathfrak{A} \subseteq O_{p',p}(\mathfrak{X})$ :*

1.  $\mathfrak{A}$  is abelian and  $|\mathfrak{X} : N(\mathfrak{A})|$  is prime to  $p$ .
2.  $p \geq 5$  and  $[\mathfrak{B}, \mathfrak{A}, \mathfrak{A}, \mathfrak{A}, \mathfrak{A}] = 1$  for some  $S_p$ -subgroup  $\mathfrak{B}$  of  $\mathfrak{X}$ .
3.  $[\mathfrak{B}, \mathfrak{A}, \mathfrak{A}] = 1$  for some  $S_p$ -subgroup  $\mathfrak{B}$  of  $\mathfrak{X}$ .
4.  $\mathfrak{A}$  acts trivially on the factor  $O_{p',p}(\mathfrak{X})/O_{p',p}(\mathfrak{X})$ .

*Proof.* Conditions 1, 2, or 3 imply that each element of  $\mathfrak{A}$  has a minimal polynomial dividing  $(x - 1)^{p-1}$  on  $O_{p',p}(\mathfrak{X})/\mathfrak{D}$ , where  $\mathfrak{D} = D(O_{p',p}(\mathfrak{X}) \text{ mod } O_{p'}(\mathfrak{X}))$ . Thus (B) and the oddness of  $|\mathfrak{X}|$  yield 1, 2, and 3. Lemma 1.2.3 of [21] implies 4.

**LEMMA 7.3.** *If  $\mathfrak{X}$  is  $p$ -solvable, and  $\mathfrak{B}$  is a  $S_p$ -subgroup of  $\mathfrak{X}$ , then  $\mathfrak{N}(\mathfrak{B})$  is a lattice whose maximal element is  $O_p(\mathfrak{X})$ .*

*Proof.* Since  $O_p(\mathfrak{X}) \triangleleft \mathfrak{X}$  and  $\mathfrak{B} \cap O_p(\mathfrak{X}) = 1$ ,  $O_p(\mathfrak{X})$  is in  $\mathfrak{N}(\mathfrak{B})$ . Thus it suffices to show that if  $\mathfrak{H} \in \mathfrak{N}(\mathfrak{B})$ , then  $\mathfrak{H} \subseteq O_p(\mathfrak{X})$ . Since  $\mathfrak{B}\mathfrak{H}$  is a group of order  $|\mathfrak{B}| \cdot |\mathfrak{H}|$  and  $\mathfrak{B}$  is a  $S_p$ -subgroup of  $\mathfrak{X}$ ,  $\mathfrak{H}$  is a  $p'$ -group, as is  $\mathfrak{H}O_p(\mathfrak{X})$ . In proving the lemma, we can therefore assume that  $O_p(\mathfrak{X}) = 1$ , and try to show that  $\mathfrak{H} = 1$ . In this case,  $\mathfrak{H}$  is faithfully represented as automorphisms of  $O_p(\mathfrak{X})$ , by Lemma 1.2.3 of [21]. Since  $O_p(\mathfrak{X}) \subseteq \mathfrak{B}$ , we see that  $[\mathfrak{H}, O_p(\mathfrak{X})] \subseteq \mathfrak{H} \cap \mathfrak{B}$ , and  $\mathfrak{H} = 1$  follows.

**LEMMA 7.4.** *Suppose  $\mathfrak{B}$  is a  $S_p$ -subgroup of  $\mathfrak{X}$  and  $\mathfrak{A} \in \mathcal{SBA}(\mathfrak{B})$ . Then  $\mathfrak{N}(\mathfrak{A})$  contains only  $p'$ -groups. If in addition,  $\mathfrak{X}$  is  $p$ -solvable, then  $\mathfrak{N}(\mathfrak{A})$  is a lattice whose maximal element is  $O_p(\mathfrak{X})$ .*

*Proof.* Suppose  $\mathfrak{A}$  normalizes  $\mathfrak{H}$  and  $\mathfrak{A} \cap \mathfrak{H} = \langle 1 \rangle$ . Let  $\mathfrak{A}^*$  be a  $S_p$ -subgroup of  $\mathfrak{A}\mathfrak{H}$  containing  $\mathfrak{A}$ . By Sylow's theorem,  $\mathfrak{P}_1 = \mathfrak{A}^* \cap \mathfrak{H}$  is a  $S_p$ -subgroup of  $\mathfrak{H}$ . It is clearly normalized by  $\mathfrak{A}$ , and  $\mathfrak{A} \cap \mathfrak{P}_1 = \langle 1 \rangle$ . If  $\mathfrak{P}_1 \neq \langle 1 \rangle$ , a basic property of  $p$ -groups implies that  $\mathfrak{A}$  centralizes some non identity element of  $\mathfrak{P}_1$ , contrary to 3.10. Thus,  $\mathfrak{P}_1 = \langle 1 \rangle$  and  $\mathfrak{H}$  is a  $p'$ -group. Hence we can assume that  $\mathfrak{X}$  is  $p$ -solvable and that  $O_p(\mathfrak{X}) = \langle 1 \rangle$  and try to show that  $\mathfrak{H} = \langle 1 \rangle$ .

Let  $\mathfrak{X}_1 = O_p(\mathfrak{X})\mathfrak{H}\mathfrak{A}$ . Then  $O_p(\mathfrak{X})\mathfrak{A}$  is a  $S_p$ -subgroup of  $\mathfrak{X}_1$ , and  $\mathfrak{A} \in \mathcal{SBA}(O_p(\mathfrak{X})\mathfrak{A})$ . If  $\mathfrak{X}_1 \subset \mathfrak{X}$ , then by induction  $\mathfrak{H} \subseteq O_p(\mathfrak{X}_1)$  and so  $[O_p(\mathfrak{X}), \mathfrak{H}] \subseteq O_p(\mathfrak{X}) \cap O_p(\mathfrak{X}_1) = 1$  and  $\mathfrak{H} = 1$ . We can suppose that  $\mathfrak{X}_1 = \mathfrak{X}$ .

If  $\mathfrak{A}$  centralizes  $\mathfrak{H}$ , then clearly  $\mathfrak{A} \triangleleft \mathfrak{X}$ , and so  $\ker(\mathfrak{X} \rightarrow \text{Aut } \mathfrak{A}) = \mathfrak{A} \times \mathfrak{F}_1$ , by 3.10 where  $\mathfrak{H} \subseteq \mathfrak{F}_1$ . Hence,  $\mathfrak{F}_1 \text{ char } \mathfrak{A} \times \mathfrak{F}_1 \triangleleft \mathfrak{X}$ , and  $\mathfrak{F}_1 \triangleleft \mathfrak{X}$ , so that  $\mathfrak{F}_1 = 1$ . We suppose that  $\mathfrak{A}$  does not centralize  $\mathfrak{H}$ , and that  $\mathfrak{H}$  is an elementary  $q$ -group on which  $\mathfrak{A}$  acts irreducibly. Let  $\mathfrak{B} = O_p(\mathfrak{X})/D(O_p(\mathfrak{X})) = \mathfrak{B}_1 \times \mathfrak{B}_2$ , where  $\mathfrak{B}_1 = C_{\mathfrak{B}}(\mathfrak{H})$  and  $\mathfrak{B}_2 = [\mathfrak{B}, \mathfrak{H}]$ . Let  $V \in \mathfrak{B}_2$ , and  $X \in V$ , so that  $[X, \mathfrak{A}] \subseteq \mathfrak{A}$ . Hence,  $[X, \mathfrak{A}]$  maps into  $\mathfrak{B}_1$ , since  $[[X, \mathfrak{A}], \mathfrak{H}] \subseteq \mathfrak{H} \cap O_p(\mathfrak{X}) = 1$ . But  $\mathfrak{B}_2$  is  $\mathfrak{X}$ -invariant, so  $[X, \mathfrak{A}]$  maps into  $\mathfrak{B}_1 \cap \mathfrak{B}_2 = 1$ . Thus,  $\mathfrak{A} \subseteq \ker(\mathfrak{X} \rightarrow \text{Aut } \mathfrak{B}_2)$ , and so  $[\mathfrak{A}, \mathfrak{H}]$

centralizes  $\mathfrak{B}_2$ . As  $\mathfrak{A}$  acts irreducibly on  $\mathfrak{H}$ , we have  $\mathfrak{H} = [\mathfrak{H}, \mathfrak{A}]$ , so  $\mathfrak{B}_2 = 1$ . Thus,  $\mathfrak{H}$  centralizes  $\mathfrak{B}$  and so centralizes  $O_p(\mathfrak{X})$ , so  $\mathfrak{H} = 1$ , as required.

**LEMMA 7.5.** *Suppose  $\mathfrak{H}$  and  $\mathfrak{H}_1$  are  $S_{p,q}$ -subgroups of the solvable group  $\mathfrak{G}$ . If  $\mathfrak{B} \subseteq O_p(\mathfrak{H}_1) \cap \mathfrak{H}$ , then  $\mathfrak{B} \subseteq O_p(\mathfrak{H})$ .*

*Proof.* We proceed by induction on  $|\mathfrak{G}|$ . We can suppose that  $\mathfrak{G}$  has no non identity normal subgroup of order prime to  $pq$ . Suppose that  $\mathfrak{G}$  possesses a non identity normal  $p$ -subgroup  $\mathfrak{J}$ . Then

$$\mathfrak{J} \subseteq O_p(\mathfrak{H}) \cap O_p(\mathfrak{H}_1).$$

Let  $\bar{\mathfrak{G}} = \mathfrak{G}/\mathfrak{J}$ ,  $\bar{\mathfrak{B}} = \mathfrak{B}\mathfrak{J}/\mathfrak{J}$ ,  $\bar{\mathfrak{H}} = \mathfrak{H}/\mathfrak{J}$ ,  $\bar{\mathfrak{H}}_1 = \mathfrak{H}_1/\mathfrak{J}$ . By induction,  $\bar{\mathfrak{B}} \subseteq O_p(\bar{\mathfrak{H}})$ , so  $\mathfrak{B} \subseteq O_p(\mathfrak{H} \text{ mod } \mathfrak{J}) = O_p(\mathfrak{H})$ , and we are done. Hence, we can assume that  $O_p(\mathfrak{G}) = \langle 1 \rangle$ . In this case,  $F(\mathfrak{G})$  is a  $q$ -group, and  $F(\mathfrak{G}) \subseteq \mathfrak{H}_1$ . By hypothesis,  $\mathfrak{B} \subseteq O_p(\mathfrak{H}_1)$ , and so  $\mathfrak{B}$  centralizes  $F(\mathfrak{G})$ . By 3.3, we see that  $\mathfrak{B} = \langle 1 \rangle$ , so  $\mathfrak{B} \subseteq O_p(\mathfrak{H})$  as desired.

The next two lemmas deal with a  $S_p$ -subgroup  $\mathfrak{B}$  of the  $p$ -solvable group  $\mathfrak{X}$  and with the set

- 1.  $\mathfrak{S} = \{\mathfrak{H} | 1. \mathfrak{H} \text{ is a subgroup of } \mathfrak{X} .$
- 2.  $\mathfrak{B} \subseteq \mathfrak{H} .$
- 3. The  $p$ -length of  $\mathfrak{H}$  is at most two .
- 4.  $|\mathfrak{H}|$  is not divisible by three distinct primes .}

**LEMMA 7.6.**  $\mathfrak{X} = \langle \mathfrak{H} | \mathfrak{H} \in \mathfrak{S} \rangle$ .

*Proof.* Let  $\mathfrak{X}_1 = \langle \mathfrak{H} | \mathfrak{H} \in \mathfrak{S} \rangle$ . It suffices to show that  $|\mathfrak{X}_1|_q = |\mathfrak{X}|_q$  for every prime  $q$ . This is clear if  $q = p$ , so suppose  $q \neq p$ . Since  $\mathfrak{X}$  is  $p$ -solvable,  $\mathfrak{X}$  satisfies  $E_{p,q}$ , so we can suppose that  $\mathfrak{X}$  is a  $p, q$ -group. By induction, we can suppose that  $\mathfrak{X}_1$  contains every proper subgroup of  $\mathfrak{X}$  which contains  $\mathfrak{B}$ . Since  $\mathfrak{B}O_q(\mathfrak{X}) \in \mathfrak{S}$ , we see that  $O_q(\mathfrak{X}) \subseteq \mathfrak{X}_1$ . If  $N(\mathfrak{B} \cap O_{p,q}(\mathfrak{X})) \subset \mathfrak{X}$ , then  $N(\mathfrak{B} \cap O_p(\mathfrak{X})) \subseteq \mathfrak{X}_1$ . Since  $\mathfrak{X} = O_q(\mathfrak{X}) \cdot N(\mathfrak{B} \cap O_{p,q}(\mathfrak{X}))$ , we have  $\mathfrak{X} = \mathfrak{X}_1$ . Thus, we can assume that  $O_p(\mathfrak{X}) = \mathfrak{B} \cap O_{p,q}(\mathfrak{X})$ . Since  $\mathfrak{B}O_{p,q}(\mathfrak{X}) \in \mathfrak{S}$ , we see that  $O_{p,q}(\mathfrak{X}) \subseteq \mathfrak{X}_1$ . If  $\mathfrak{B}O_{p,q}(\mathfrak{X}) = \mathfrak{X}$ , we are done, so suppose not. Then  $N(\mathfrak{B} \cap O_{p,q,p}(\mathfrak{X})) \subset \mathfrak{X}$ , so that  $\mathfrak{X}_1$  contains  $N(\mathfrak{B} \cap O_{p,q,p}(\mathfrak{X}))O_{p,q}(\mathfrak{X}) = \mathfrak{X}$ , as required.

**LEMMA 7.7.** *Suppose  $\mathfrak{M}, \mathfrak{N}$  are subgroups of  $\mathfrak{X}$  which contain  $\mathfrak{B}$  such that  $\mathfrak{H} = (\mathfrak{H} \cap \mathfrak{M})(\mathfrak{H} \cap \mathfrak{N})$  for all  $\mathfrak{H}$  in  $\mathfrak{S}$ . Then  $\mathfrak{X} = \mathfrak{MN}$ .*

*Proof.* It suffices to show that  $|\mathfrak{MN}|_q \geq |\mathfrak{X}|_q$  for every prime  $q$ . This is clear if  $q = p$ , so suppose  $q \neq p$ . Let  $\mathfrak{Q}_1$  be a  $S_q$ -subgroup of

$\mathfrak{M} \cap \mathfrak{R}$  permutable with  $\mathfrak{P}$ , which exists by  $E_{p,q}$  in  $\mathfrak{M} \cap \mathfrak{R}$ . Since  $\mathfrak{X}$  satisfies  $D_{p,q}$ , there is a  $S_q$ -subgroup  $\mathfrak{Q}$  of  $\mathfrak{X}$  which contains  $\mathfrak{Q}_1$  and is permutable with  $\mathfrak{P}$ . Set  $\mathfrak{R} = \mathfrak{P}\mathfrak{Q}$ . We next show that

$$\mathfrak{R} = (\mathfrak{R} \cap \mathfrak{M})(\mathfrak{R} \cap \mathfrak{N}).$$

If  $\mathfrak{R} \in \mathcal{S}$ , this is the case by hypothesis, so we can suppose the  $p$ -length of  $\mathfrak{R}$  is at least 3. Let  $\mathfrak{P}_1 = \mathfrak{P} \cap O_{p,q,p}(\mathfrak{R})$ , and  $\mathfrak{Z} = N_{\mathfrak{R}}(\mathfrak{P}_1)$ . Then  $\mathfrak{Z}$  is a proper subgroup of  $\mathfrak{R}$  so by induction on  $|\mathfrak{X}|$ , we have  $\mathfrak{Z} = (\mathfrak{Z} \cap \mathfrak{M})(\mathfrak{Z} \cap \mathfrak{N})$ . Let  $\mathfrak{R} = \mathfrak{P} \cdot O_{p,q,p}(\mathfrak{R}) = \mathfrak{P}O_{p,q}(\mathfrak{R})$ . Since  $\mathfrak{R}$  is in  $\mathcal{S}$ , we have  $\mathfrak{R} = (\mathfrak{R} \cap \mathfrak{M})(\mathfrak{R} \cap \mathfrak{N})$ . Furthermore, by Sylow's theorem,  $\mathfrak{R} = \mathfrak{R}\mathfrak{Z}$ . Let  $R \in \mathfrak{R}$ . Then  $R = KL$  with  $K \in \mathfrak{R}$ ,  $L \in \mathfrak{Z}$ . Then  $K = PK_1$ , with  $P$  in  $\mathfrak{P}$ ,  $K_1$  in  $O_{p,q}(\mathfrak{R})$ . Also,  $L = MN$ ,  $M$  in  $\mathfrak{Z} \cap \mathfrak{M}$ ,  $N$  in  $\mathfrak{Z} \cap \mathfrak{N}$ , and so  $R = KL = PK_1MN = PMK_1^mN$ . Since  $K_1^m \in O_{p,q}(\mathfrak{R})$ , we have  $K_1^m = M_1N_1$  with  $M_1$  in  $\mathfrak{M} \cap \mathfrak{R}$ ,  $N_1$  in  $\mathfrak{N} \cap \mathfrak{R}$ . Hence,  $R = PMM_1 \cdot N_1N$  with  $PMM_1$  in  $\mathfrak{M} \cap \mathfrak{R}$ ,  $N_1N$  in  $\mathfrak{N} \cap \mathfrak{R}$ .

Since  $\mathfrak{R} = (\mathfrak{R} \cap \mathfrak{M})(\mathfrak{R} \cap \mathfrak{N})$ , we have

$$|\mathfrak{X}|_q = |\mathfrak{R}|_q = \frac{|\mathfrak{R} \cap \mathfrak{M}|_q \cdot |\mathfrak{R} \cap \mathfrak{N}|_q}{|\mathfrak{R} \cap \mathfrak{M} \cap \mathfrak{N}|_q}.$$

By construction,  $|\mathfrak{R} \cap \mathfrak{M} \cap \mathfrak{N}|_q = |\mathfrak{M} \cap \mathfrak{N}|_q$ . Furthermore,  $|\mathfrak{R} \cap \mathfrak{M}|_q \leq |\mathfrak{M}|_q$  and  $|\mathfrak{R} \cap \mathfrak{N}|_q \leq |\mathfrak{N}|_q$ , so

$$|\mathfrak{M}\mathfrak{N}|_q = \frac{|\mathfrak{M}|_q |\mathfrak{N}|_q}{|\mathfrak{M} \cap \mathfrak{N}|_q} \geq \frac{|\mathfrak{R} \cap \mathfrak{M}|_q \cdot |\mathfrak{R} \cap \mathfrak{N}|_q}{|\mathfrak{R} \cap \mathfrak{M} \cap \mathfrak{N}|_q} = |\mathfrak{X}|_q,$$

completing the proof.

**LEMMA 7.8.** *Let  $\mathfrak{X}$  be a finite group and  $\mathfrak{G}$  a  $p'$ -subgroup of  $\mathfrak{X}$  which is normalized by the  $p$ -subgroup  $\mathfrak{A}$  of  $\mathfrak{X}$ . Set  $\mathfrak{A}_1 = C_{\mathfrak{A}}(\mathfrak{G})$ . Suppose  $\mathfrak{Z}$  is a  $p$ -solvable subgroup of  $\mathfrak{X}$  containing  $\mathfrak{A}\mathfrak{G}$  and  $\mathfrak{G} \not\subseteq O_{p'}(\mathfrak{Z})$ . Then there is a  $p$ -solvable subgroup  $\mathfrak{R}$  of  $\mathfrak{X}C_{\mathfrak{X}}(\mathfrak{A}_1)$  which contains  $\mathfrak{A}\mathfrak{G}$  and  $\mathfrak{G} \not\subseteq O_{p'}(\mathfrak{R})$ .*

*Proof.* Let  $\mathfrak{F} = O_{p',p}(\mathfrak{Z})/O_p(\mathfrak{Z})$ . Then  $\mathfrak{G}$  does not centralize  $\mathfrak{F}$ . Let  $\mathfrak{B}$  be a subgroup of  $\mathfrak{F}$  which is minimal with respect to being  $\mathfrak{A}\mathfrak{G}$ -invariant and not centralized by  $\mathfrak{G}$ . Then  $\mathfrak{B} = [\mathfrak{B}, \mathfrak{G}]$ , and  $[\mathfrak{B}, \mathfrak{A}_1] \subseteq D(\mathfrak{B})$ , while  $[D(\mathfrak{B}), \mathfrak{G}] = 1$ . Hence,  $[\mathfrak{B}, \mathfrak{A}_1, \mathfrak{G}] = [\mathfrak{A}_1, \mathfrak{G}, \mathfrak{B}] = 1$ , and so  $[\mathfrak{G}, \mathfrak{B}, \mathfrak{A}_1] = 1$ . Since  $[\mathfrak{G}, \mathfrak{B}] = \mathfrak{B}$ ,  $\mathfrak{A}_1$  centralizes  $\mathfrak{B}$ . Since  $\mathfrak{B}$  is a subgroup of  $\mathfrak{F}$ , we have  $\mathfrak{B} = \mathfrak{B}_0/O_p(\mathfrak{Z})$  for suitable  $\mathfrak{B}_0$ . As  $O_{p'}(\mathfrak{Z})$  is a  $p'$ -group and  $\mathfrak{B}$  is a  $p$ -group, we can find an  $\mathfrak{A}$ -invariant  $p$ -subgroup  $\mathfrak{P}_0$  of  $\mathfrak{B}_0$  incident with  $\mathfrak{B}$ . Hence,  $\mathfrak{A}_1$  centralizes  $\mathfrak{P}_0$ . Set

$$\mathfrak{R} = \langle \mathfrak{A}, \mathfrak{P}_0, \mathfrak{G} \rangle \subseteq \mathfrak{Z}.$$

As  $\mathfrak{Z}$  is  $p$ -solvable so is  $\mathfrak{R}$ . If  $\mathfrak{G} \subseteq O_{p'}(\mathfrak{R})$ , then

$$[\mathfrak{P}_0, \mathfrak{Q}] \subseteq \mathfrak{Q}_0 \cap O_{p'}(\mathfrak{R}) \subseteq O_{p'}(\mathfrak{B})$$

and  $\mathfrak{Q}$  centralizes  $\mathfrak{B}$ , contrary to construction. Thus,  $\mathfrak{Q} \not\subseteq O_{p'}(\mathfrak{R})$ , as required.

LEMMA 7.9. *Let  $\mathfrak{Q}$  be a  $p$ -solvable subgroup of the finite group  $\mathfrak{X}$ , and let  $\mathfrak{P}$  be a  $S_p$ -subgroup of  $\mathfrak{Q}$ . Assume that one of the following conditions holds:*

- (a)  $|\mathfrak{X}|$  is odd.
- (b)  $p \geq 5$ .
- (c)  $p = 3$  and a  $S_2$ -subgroup of  $\mathfrak{Q}$  is abelian.

Let  $\mathfrak{P}_0 = O_{p',p}(\mathfrak{Q}) \cap \mathfrak{P}$  and let  $\mathfrak{P}^*$  be a  $p$ -subgroup of  $\mathfrak{X}$  containing  $\mathfrak{P}$ . If  $\mathfrak{P}$  is a  $S_p$ -subgroup of  $N_{\mathfrak{X}}(\mathfrak{P}_0)$ , then  $\mathfrak{P}_0$  contains every element of  $\mathcal{S}\mathcal{E}\mathcal{N}(\mathfrak{P}^*)$ .

*Proof.* Let  $\mathfrak{A} \in \mathcal{S}\mathcal{E}\mathcal{N}(\mathfrak{P}^*)$ . By (B) and (a), (b), (c), it follows that  $\mathfrak{A} \cap \mathfrak{P} = \mathfrak{A} \cap \mathfrak{P}_0 = \mathfrak{A}_1$ , say. If  $\mathfrak{A}_1 \subset \mathfrak{A}$ , then there is a  $\mathfrak{P}_0$ -invariant subgroup  $\mathfrak{B}$  such that  $\mathfrak{A}_1 \subset \mathfrak{B} \subseteq \mathfrak{A}$ ,  $|\mathfrak{B} : \mathfrak{A}_1| = p$ . Hence,  $[\mathfrak{P}_0, \mathfrak{B}] \subseteq \mathfrak{A}_1 \subseteq \mathfrak{P}_0$ , so  $\mathfrak{B} \subseteq N_{\mathfrak{X}}(\mathfrak{P}_0) \cap \mathfrak{P}^*$ . Hence,  $\langle \mathfrak{B}, \mathfrak{P} \rangle$  is a  $p$ -subgroup of  $N_{\mathfrak{X}}(\mathfrak{P}_0)$ , so  $\mathfrak{B} \subseteq \mathfrak{P}$ . Hence,  $\mathfrak{B} \subseteq \mathfrak{A} \cap \mathfrak{P} = \mathfrak{A}_1$ , which is not the case, so  $\mathfrak{A} = \mathfrak{A}_1$ , as required.

### 8. Miscellaneous Preliminary Lemmas

LEMMA 8.1. *If  $\mathfrak{X}$  is a  $\pi$ -group, and  $\mathcal{C}$  is a chain  $\mathfrak{X} = \mathfrak{X}_0 \supseteq \mathfrak{X}_1 \supseteq \dots \supseteq \mathfrak{X}_n = 1$ , then the stability group  $\mathfrak{A}$  of  $\mathcal{C}$  is a  $\pi$ -group.*

*Proof.* We proceed by induction on  $n$ . Let  $A \in \mathfrak{A}$ . By induction, there is a  $\pi$ -number  $m$  such that  $B = A^m$  centralizes  $\mathfrak{X}_1$ . Let  $X \in \mathfrak{X}$ ; then  $X^B = XY$  with  $Y$  in  $\mathfrak{X}_1$ , and by induction,  $X^{B^r} = XY^r$ . It follows that  $B^{|\mathfrak{X}_1|} = 1$ .

LEMMA 8.2. *If  $\mathfrak{P}$  is a  $p$ -group, then  $\mathfrak{P}$  possesses a characteristic subgroup  $\mathfrak{C}$  such that*

- (i)  $\text{cl}(\mathfrak{C}) \leq 2$ , and  $\mathfrak{C}/\mathbf{Z}(\mathfrak{C})$  is elementary.
- (ii)  $\ker(\text{Aut } \mathfrak{P} \xrightarrow{\text{res}} \text{Aut } \mathfrak{C})$  is a  $p$ -group. (res is the homomorphism induced by restricting  $A$  in  $\text{Aut } \mathfrak{P}$  to  $\mathfrak{C}$ .)
- (iii)  $[\mathfrak{P}, \mathfrak{C}] \subseteq \mathbf{Z}(\mathfrak{C})$  and  $C(\mathfrak{C}) = \mathbf{Z}(\mathfrak{C})$ .

*Proof.* Suppose  $\mathfrak{C}$  can be found to satisfy (i) and (iii). Let  $\mathfrak{R} = \ker \text{res}$ . In commutator notation,  $[\mathfrak{R}, \mathfrak{C}] = 1$ , and so  $[\mathfrak{R}, \mathfrak{C}, \mathfrak{P}] = 1$ . Since  $[\mathfrak{C}, \mathfrak{P}] \subseteq \mathfrak{C}$ , we also have  $[\mathfrak{C}, \mathfrak{P}, \mathfrak{R}] = 1$  and 3.1 implies  $[\mathfrak{P}, \mathfrak{R}, \mathfrak{C}] = 1$ , so that  $[\mathfrak{P}, \mathfrak{R}] \subseteq \mathbf{Z}(\mathfrak{C})$ . Thus,  $\mathfrak{R}$  stabilizes the chain  $\mathfrak{P} \supseteq \mathfrak{C} \supseteq 1$  so is a  $p$ -group by Lemma 8.1.

If now some element of  $\mathcal{SBN}(\mathfrak{P})$  is characteristic in  $\mathfrak{P}$ , then (i) and (iii) are satisfied and we are done. Otherwise, let  $\mathfrak{A}$  be a maximal characteristic abelian subgroup of  $\mathfrak{P}$ , and let  $\mathfrak{C}$  be the group generated by all subgroups  $\mathfrak{D}$  of  $\mathfrak{P}$  such that  $\mathfrak{A} \subset \mathfrak{D}$ ,  $|\mathfrak{D}:\mathfrak{A}| = p$ ,  $\mathfrak{D} \subseteq Z(\mathfrak{P} \bmod \mathfrak{A})$ ,  $\mathfrak{D} \subseteq C(\mathfrak{A})$ . By construction,  $\mathfrak{A} \subseteq Z(\mathfrak{C})$ , and  $\mathfrak{C}$  is seen to be characteristic. The maximal nature of  $\mathfrak{A}$  implies that  $\mathfrak{A} = Z(\mathfrak{C})$ . Also by construction  $[\mathfrak{P}, \mathfrak{C}] \subseteq \mathfrak{A} = Z(\mathfrak{C})$ , so in particular,  $[\mathfrak{C}, \mathfrak{C}] \subseteq Z(\mathfrak{C})$  and  $\text{cl}(\mathfrak{C}) \leq 2$ . By construction,  $\mathfrak{C}/Z(\mathfrak{C})$  is elementary.

We next show that  $C(\mathfrak{C}) = Z(\mathfrak{C})$ . This statement is of course equivalent to the statement that  $C(\mathfrak{C}) \subseteq \mathfrak{C}$ . Suppose by way of contradiction that  $C(\mathfrak{C}) \not\subseteq \mathfrak{C}$ . Let  $\mathfrak{E}$  be a subgroup of  $C(\mathfrak{C})$  of minimal order subject to (a)  $\mathfrak{E} \triangleleft \mathfrak{P}$ , and (b)  $\mathfrak{E} \not\subseteq \mathfrak{C}$ . Since  $C(\mathfrak{C})$  satisfies (a) and (b),  $\mathfrak{E}$  exists. By the minimality of  $\mathfrak{E}$ , we see that  $[\mathfrak{P}, \mathfrak{E}] \subseteq \mathfrak{C}$  and  $D(\mathfrak{E}) \subseteq \mathfrak{C}$ . Since  $\mathfrak{E}$  centralizes  $\mathfrak{C}$ , so do  $[\mathfrak{P}, \mathfrak{E}]$  and  $D(\mathfrak{E})$ , so we have  $[\mathfrak{P}, \mathfrak{E}] \subseteq \mathfrak{A}$  and  $D(\mathfrak{E}) \subseteq \mathfrak{A}$ . The minimal nature of  $\mathfrak{E}$  guarantees that  $\mathfrak{E}/\mathfrak{E} \cap \mathfrak{C}$  is of order  $p$ . Since  $\mathfrak{E} \cap \mathfrak{C} = \mathfrak{E} \cap \mathfrak{A}$ ,  $\mathfrak{E}/\mathfrak{E} \cap \mathfrak{A}$  is of order  $p$ , so  $\mathfrak{E}\mathfrak{A}/\mathfrak{A}$  is of order  $p$ . By construction of  $\mathfrak{C}$ , we find  $\mathfrak{E}\mathfrak{A} \subseteq \mathfrak{C}$ , so  $\mathfrak{E} \subseteq \mathfrak{C}$ , in conflict with (b). Hence,  $C(\mathfrak{C}) = Z(\mathfrak{C})$ , and (i) and (iii) are proved.

**LEMMA 8.3.** *Let  $\mathfrak{X}$  be a  $p$ -group,  $p$  odd, and among all elements of  $\mathcal{SBN}(\mathfrak{X})$ , choose  $\mathfrak{A}$  to maximize  $m(\mathfrak{A})$ . Then  $\Omega_1(C(\Omega_1(\mathfrak{A}))) = \Omega_1(\mathfrak{A})$ .*

**REMARK.** The oddness of  $p$  is required, as the dihedral group of order 16 shows.

*Proof.* We must show that whenever an element of  $\mathfrak{X}$  of order  $p$  centralizes  $\Omega_1(\mathfrak{A})$ , then the element lies in  $\Omega_1(\mathfrak{A})$ .

If  $X \in C(\Omega_1(\mathfrak{A}))$  and  $X^p = 1$ , let  $\mathfrak{B}(X) = \mathfrak{B}_1 = \langle \Omega_1(\mathfrak{A}), X \rangle$ , and let  $\mathfrak{B}_1 \subset \mathfrak{B}_2 \subset \dots \subset \mathfrak{B}_n = \langle \mathfrak{A}, X \rangle$  be an ascending chain of subgroups, each of index  $p$  in its successor. We wish to show that  $\mathfrak{B}_1 \triangleleft \mathfrak{B}_n$ . Suppose  $\mathfrak{B}_1 \triangleleft \mathfrak{B}_m$  for some  $m \leq n - 1$ . Then  $\mathfrak{B}_m$  is generated by its normal abelian subgroups  $\mathfrak{B}_1$  and  $\mathfrak{B}_m \cap \mathfrak{A}$ , so  $\mathfrak{B}_m$  is of class at most two, so is regular. Let  $Z \in \mathfrak{B}_m$ ,  $Z$  of order  $p$ . Then  $Z = X^k A$ ,  $A$  in  $\mathfrak{A}$ ,  $k$  an integer. Since  $\mathfrak{B}_m$  is regular,  $X^{-k}Z$  is of order 1 or  $p$ . Hence,  $A \in \Omega_1(\mathfrak{A})$ , and  $Z \in \mathfrak{B}_1$ . Hence,  $\mathfrak{B}_1 = \Omega_1(\mathfrak{B}_m) \text{ char } \mathfrak{B}_m \triangleleft \mathfrak{B}_{m+1}$ , and  $\mathfrak{B}_1 \triangleleft \mathfrak{B}_n$  follows. In particular,  $X$  stabilizes the chain  $\mathfrak{A} \supseteq \Omega_1(\mathfrak{A}) \supseteq \langle 1 \rangle$ .

It follows that if  $\mathfrak{D} = \Omega_1(C(\Omega_1(\mathfrak{A})))$ , then  $\mathfrak{D}'$  centralizes  $\mathfrak{A}$ . Since  $\mathfrak{A} \in \mathcal{SBN}(\mathfrak{X})$ ,  $\mathfrak{D}' \subseteq \mathfrak{A}$ . We next show that  $\mathfrak{D}$  is of exponent  $p$ . Since  $[\mathfrak{D}, \mathfrak{D}] \subseteq \mathfrak{A}$ , we see that  $[\mathfrak{D}, \mathfrak{D}, \mathfrak{D}] \subseteq \Omega_1(\mathfrak{A})$ , and so

$$[\mathfrak{D}, \mathfrak{D}, \mathfrak{D}, \mathfrak{D}] = 1,$$

and  $\text{cl}(\mathfrak{D}) \leq 3$ . If  $p \geq 5$ , then  $\mathfrak{D}$  is regular, and being generated by

elements of order  $p$ , is of exponent  $p$ . It remains to treat the case  $p = 3$ , and we must show that the elements of  $\mathfrak{D}$  of order at most 3 form a subgroup. Suppose false, and that  $\langle X, Y \rangle$  is of minimal order subject to  $X^3 = Y^3 = 1$ ,  $(XY)^3 \neq 1$ ,  $X$  and  $Y$  being elements of  $\mathfrak{D}$ . Since  $\langle Y, Y^2 \rangle \subset \langle X, Y \rangle$ ,  $[Y, X] = Y^{-1}$ .  $X^{-1}YX$  is of order three. Hence,  $[X, Y]$  is in  $\Omega_1(\mathfrak{A})$ , and so  $[Y, X]$  is centralized by both  $X$  and  $Y$ . It follows that  $(XY)^3 = X^3Y^3[Y, X]^3 = 1$ , so  $\mathfrak{D}$  is of exponent  $p$  in all cases.

If  $\Omega_1(\mathfrak{A}) \subset \mathfrak{D}$ , let  $\mathfrak{E} \triangleleft \mathfrak{X}$ ,  $\mathfrak{E} \subseteq \mathfrak{D}$ ,  $|\mathfrak{E} : \Omega_1(\mathfrak{A})| = p$ . Since  $\Omega_1(\mathfrak{A}) \subseteq Z(\mathfrak{E})$ ,  $\mathfrak{E}$  is abelian. But  $m(\mathfrak{E}) = m(\mathfrak{A}) + 1 > m(\mathfrak{A})$ , in conflict with the maximal nature of  $\mathfrak{A}$ , since  $\mathfrak{E}$  is contained in some element of  $\mathcal{SEN}(\mathfrak{X})$  by 3.9.

**LEMMA 8.4.** *Suppose  $p$  is an odd prime and  $\mathfrak{X}$  is a  $p$ -group.*

(i) *If  $\mathcal{SEN}_3(\mathfrak{X})$  is empty, then every abelian subgroup of  $\mathfrak{X}$  is generated by two elements.*

(ii) *If  $\mathcal{SEN}_3(\mathfrak{X})$  is empty and  $A$  is an automorphism of  $\mathfrak{X}$  of prime order  $q$ ,  $p \neq q$ , then  $q$  divides  $p^2 - 1$ .*

*Proof.* (i) Suppose  $\mathfrak{A}$  is chosen in accordance with Lemma 8.3. Suppose also that  $\mathfrak{X}$  contains an elementary subgroup  $\mathfrak{E}$  of order  $p^3$ . Let  $\mathfrak{E}_1 = C_{\mathfrak{E}}(\Omega_1(\mathfrak{A}))$ , so that  $\mathfrak{E}_1$  is of order  $p^3$  at least. But by Lemma 8.3,  $\mathfrak{E}_1 \subseteq \Omega_1(\mathfrak{A})$ , a group of order at most  $p^2$ , and so  $\mathfrak{E}_1 = \Omega_1(\mathfrak{A})$ . But now Lemma 8.3 is violated since  $\mathfrak{E}$  centralizes  $\mathfrak{E}_1$ .

(ii) Among the  $A$ -invariant subgroups of  $\mathfrak{X}$  on which  $A$  acts non trivially, let  $\mathfrak{H}$  be minimal. By 3.11,  $\mathfrak{H}$  is a special  $p$ -group. Since  $p$  is odd,  $\mathfrak{H}$  is regular, so 3.6 implies that  $\mathfrak{H}$  is of exponent  $p$ . By the first part of this lemma,  $\mathfrak{H}$  contains no elementary subgroup of order  $p^3$ . It follows readily that  $m(\mathfrak{H}) \leq 2$ , and (ii) follows from the well known fact that  $q$  divides  $|\text{Aut } \mathfrak{H}/D(\mathfrak{H})|$ .

**LEMMA 8.5.** *If  $\mathfrak{X}$  is a group of odd order,  $p$  is the smallest prime in  $\pi(\mathfrak{X})$ , and if in addition  $\mathfrak{X}$  contains no elementary subgroup of order  $p^3$ , then  $\mathfrak{X}$  has a normal  $p$ -complement.*

*Proof.* Let  $\mathfrak{B}$  be a  $S_p$ -subgroup of  $\mathfrak{X}$ . By hypothesis, if  $\mathfrak{H}$  is a subgroup of  $\mathfrak{B}$ , then  $\mathcal{SEN}_3(\mathfrak{H})$  is empty. Application of Lemma 8.4 (ii) shows that  $N_{\mathfrak{X}}(\mathfrak{H})/C_{\mathfrak{X}}(\mathfrak{H})$  is a  $p$ -group for every subgroup  $\mathfrak{H}$  of  $\mathfrak{B}$ . We apply Theorem 14.4.7 in [12] to complete the proof.

Application of Lemma 8.5 to a simple group  $\mathfrak{G}$  of odd order implies that if  $p$  is the smallest prime in  $\pi(\mathfrak{G})$ , then  $\mathfrak{G}$  contains an elementary subgroup of order  $p^3$ . In particular, if  $3 \in \pi(\mathfrak{G})$ , then  $\mathfrak{G}$  contains an elementary subgroup of order 27.

LEMMA 8.6. Let  $\mathfrak{N}_1, \mathfrak{N}_2, \mathfrak{N}_3$  be subgroups of a group  $\mathfrak{X}$  and suppose that for every permutation  $\sigma$  of  $\{1, 2, 3\}$ ,

$$\mathfrak{N}_{\sigma(1)} \subseteq \mathfrak{N}_{\sigma(2)} \mathfrak{N}_{\sigma(3)}$$

Then  $\mathfrak{N}_1 \mathfrak{N}_2$  is a subgroup of  $\mathfrak{X}$ .

*Proof.*  $\mathfrak{N}_2 \mathfrak{N}_1 \subseteq (\mathfrak{N}_1 \mathfrak{N}_3)(\mathfrak{N}_3 \mathfrak{N}_2) \subseteq \mathfrak{N}_1 \mathfrak{N}_3 \mathfrak{N}_2 \subseteq \mathfrak{N}_1(\mathfrak{N}_1 \mathfrak{N}_2) \mathfrak{N}_2 \subseteq \mathfrak{N}_1 \mathfrak{N}_2$ , as required.

LEMMA 8.7. If  $\mathfrak{A}$  is a  $p'$ -group of automorphisms of the  $p$ -group  $\mathfrak{B}$ , if  $\mathfrak{A}$  has no fixed points on  $\mathfrak{B}/D(\mathfrak{B})$ , and  $\mathfrak{A}$  acts trivially on  $D(\mathfrak{B})$ , then  $D(\mathfrak{B}) \subseteq Z(\mathfrak{B})$ .

*Proof.* In commutator notation, we are assuming  $[\mathfrak{B}, \mathfrak{A}] = \mathfrak{B}$ , and  $[\mathfrak{A}, D(\mathfrak{B})] = 1$ . Hence,  $[\mathfrak{A}, D(\mathfrak{B}), \mathfrak{B}] = 1$ . Since  $[D(\mathfrak{B}), \mathfrak{B}] \subseteq D(\mathfrak{B})$ , we also have  $[D(\mathfrak{B}), \mathfrak{B}, \mathfrak{A}] = 1$ . By the three subgroups lemma, we have  $[\mathfrak{B}, \mathfrak{A}, D(\mathfrak{B})] = 1$ . Since  $[\mathfrak{B}, \mathfrak{A}] = \mathfrak{B}$ , the lemma follows.

LEMMA 8.8. Suppose  $\mathfrak{Q}$  is a  $q$ -group,  $q$  is odd,  $A$  is an automorphism of  $\mathfrak{Q}$  of prime order  $p$ ,  $p \equiv 1 \pmod{q}$ , and  $\mathfrak{Q}$  contains a subgroup  $\mathfrak{Q}_0$  of index  $q$  such that  $\mathcal{S}\mathcal{E}\mathcal{N}_3(\mathfrak{Q}_0)$  is empty. Then  $p = 1 + q + q^2$  and  $\mathfrak{Q}$  is elementary of order  $q^3$ .

*Proof.* Since  $p \equiv 1 \pmod{q}$  and  $q$  is odd,  $p$  does not divide  $q^2 - 1$ . Since  $D(\mathfrak{Q}) \subseteq \mathfrak{Q}_0$ , Lemma 8.4 (ii) implies that  $A$  acts trivially on  $D(\mathfrak{Q})$ .

Suppose that  $A$  has a non trivial fixed point on  $\mathfrak{Q}/D(\mathfrak{Q})$ . We can then find an  $A$ -invariant subgroup  $\mathfrak{M}$  of index  $q$  in  $\mathfrak{Q}$  such that  $A$  acts trivially on  $\mathfrak{Q}/\mathfrak{M}$ . In this case,  $A$  does not act trivially on  $\mathfrak{M}$ , and so  $\mathfrak{M} \neq \mathfrak{Q}_0$ , and  $\mathfrak{M} \cap \mathfrak{Q}_0$  is of index  $q$  in  $\mathfrak{M}$ . By induction,  $p = 1 + q + q^2$  and  $\mathfrak{M}$  is elementary of order  $q^3$ . Since  $A$  acts trivially on  $\mathfrak{Q}/\mathfrak{M}$ , it follows that  $\mathfrak{Q}$  is abelian of order  $q^4$ . If  $\mathfrak{Q}$  were elementary,  $\mathfrak{Q}_0$  would not exist. But if  $\mathfrak{Q}$  were not elementary, then  $A$  would have a fixed point on  $\mathfrak{Q}_1(\mathfrak{Q}) = \mathfrak{M}$ , which is not possible. Hence  $A$  has no fixed points on  $\mathfrak{Q}/D(\mathfrak{Q})$ , so by Lemma 8.7,  $D(\mathfrak{Q}) \subseteq Z(\mathfrak{Q})$ .

Next, suppose that  $A$  does not act irreducibly on  $\mathfrak{Q}/D(\mathfrak{Q})$ . Let  $\mathfrak{R}/D(\mathfrak{Q})$  be an irreducible constituent of  $A$  on  $\mathfrak{Q}/D(\mathfrak{Q})$ . By induction,  $\mathfrak{R}$  is of order  $q^3$ , and  $p = 1 + q + q^2$ . Since  $D(\mathfrak{Q}) \subset \mathfrak{R}$ ,  $D(\mathfrak{Q})$  is a proper  $A$ -invariant subgroup of  $\mathfrak{R}$ . The only possibility is  $D(\mathfrak{Q}) = 1$ , and  $|\mathfrak{Q}| = q^3$  follows from the existence of  $\mathfrak{Q}_0$ .

If  $|\mathfrak{Q}| = q^3$ , then  $p = 1 + q + q^2$  follows from Lemma 5.1. Thus, we can suppose that  $|\mathfrak{Q}| > q^3$ , and that  $A$  acts irreducibly on  $\mathfrak{Q}/D(\mathfrak{Q})$ , and try to derive a contradiction. We see that  $\mathfrak{Q}$  must be non abelian. This implies that  $D(\mathfrak{Q}) = Z(\mathfrak{Q})$ . Let  $|\mathfrak{Q} : D(\mathfrak{Q})| = q^n$ . Since

$p \equiv 1 \pmod{q}$ , and  $q^n \equiv 1 \pmod{p}$ ,  $n \geq 3$ . Since  $D(\Omega) = Z(\Omega)$ ,  $n$  is even,  $\Omega/Z(\Omega)$  possessing a non singular skew-symmetric inner product over integers mod  $q$  which admits  $A$ . Namely, let  $\mathfrak{C}$  be a subgroup of order  $q$  contained in  $\Omega'$  and let  $\mathfrak{C}_1$  be a complement for  $\mathfrak{C}$  in  $\Omega'$ . This complement exists since  $\Omega'$  is elementary. Then  $Z(\mathfrak{B} \text{ mod } \mathfrak{C}_1)$  is  $A$ -invariant, proper, and contains  $D(\Omega)$ . Since  $A$  acts irreducibly on  $\Omega/D(\Omega)$ , we must have  $D(\Omega) = Z(\Omega \text{ mod } \mathfrak{C}_1)$ , so a non singular skew-symmetric inner product is available. Now  $\Omega$  is regular, since  $\text{cl}(\Omega) = 2$ , and  $q$  is odd, so  $|\Omega_1(\Omega)| = |\Omega : \mathcal{O}^1(\Omega)|$ , by [14]. Since  $\text{cl}(\Omega) = 2$ ,  $\Omega_1(\Omega)$  is of exponent  $q$ . Since

$$|\Omega : \mathcal{O}^1(\Omega)| \geq |\Omega : D(\Omega)| \geq q^4,$$

we see that  $|\Omega_1(\Omega)| \geq q^4$ . Since  $\Omega_0$  exists,  $\Omega_1(\Omega)$  is non abelian, of order exactly  $q^4$ , since otherwise  $\Omega_0 \cap \Omega_1(\Omega)$  would contain an elementary subgroup of order  $q^3$ . It follows readily that  $A$  centralizes  $\Omega_1(\Omega)$ , and so centralizes  $\Omega$ , by 3.6. This is the desired contradiction.

**LEMMA 8.9.** *If  $\mathfrak{B}$  is a  $p$ -group, if  $\mathcal{S}\mathcal{E}\mathcal{N}_3(\mathfrak{B})$  is non empty and  $\mathfrak{A}$  is a normal abelian subgroup of  $\mathfrak{B}$  of type  $(p, p)$ , then  $\mathfrak{A}$  is contained in some element of  $\mathcal{S}\mathcal{E}\mathcal{N}_3(\mathfrak{B})$ .*

*Proof.* Let  $\mathfrak{C}$  be a normal elementary subgroup of  $\mathfrak{B}$  of order  $p^2$ , and let  $\mathfrak{C}_1 = C_{\mathfrak{C}}(\mathfrak{A})$ . Then  $\mathfrak{C}_1 \triangleleft \mathfrak{B}$ , and  $\langle \mathfrak{A}, \mathfrak{C}_1 \rangle = \mathfrak{F}$  is abelian. If  $|\mathfrak{F}| = p^2$ , then  $\mathfrak{A} = \mathfrak{C}_1 = \mathfrak{F} \subset \mathfrak{C}$ , and we are done, since  $\mathfrak{C}$  is contained in an element of  $\mathcal{S}\mathcal{E}\mathcal{N}_3(\mathfrak{B})$ . If  $|\mathfrak{F}| \geq p^3$ , then again we are done, since  $\mathfrak{F}$  is contained in an element of  $\mathcal{S}\mathcal{E}\mathcal{N}_3(\mathfrak{B})$ .

If  $\mathfrak{X}$  and  $\mathfrak{Y}$  are groups, we say that  $\mathfrak{Y}$  is involved in  $\mathfrak{X}$  provided some section of  $\mathfrak{X}$  is isomorphic to  $\mathfrak{Y}$  [18].

**LEMMA 8.10.** *Let  $\mathfrak{B}$  be a  $S_p$ -subgroup of the group  $\mathfrak{X}$ . Suppose that  $Z(\mathfrak{B})$  is cyclic and that for each subgroup  $\mathfrak{A}$  in  $\mathfrak{B}$  of order  $p$  which does not lie in  $Z(\mathfrak{B})$ , there is an element  $X = X(\mathfrak{A})$  of  $\mathfrak{B}$  which normalizes but does not centralize  $\langle \mathfrak{A}, \Omega_1(Z(\mathfrak{B})) \rangle$ . Then either  $SL(2, p)$  is involved in  $\mathfrak{X}$  or  $\Omega_1(Z(\mathfrak{B}))$  is weakly closed in  $\mathfrak{B}$ .*

*Proof.* Let  $\mathfrak{D} = \Omega_1(Z(\mathfrak{B}))$ . Suppose  $\mathfrak{C} = \mathfrak{D}^\sigma$  is a conjugate of  $\mathfrak{D}$  contained in  $\mathfrak{B}$ , but that  $\mathfrak{C} \neq \mathfrak{D}$ . Let  $\mathfrak{D} = \langle D \rangle$ ,  $\mathfrak{C} = \langle E \rangle$ . By hypothesis, we can find an element  $X = X(\mathfrak{C})$  in  $\mathfrak{B}$  such that  $X$  normalizes  $\langle E, D \rangle = \mathfrak{F}$ , and with respect to the basis  $(E, D)$  has the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Enlarge  $\mathfrak{F}$  to a  $S_p$ -subgroup  $\mathfrak{B}^*$  of  $C_{\mathfrak{X}}(\mathfrak{C})$ . Since  $\mathfrak{C} = \mathfrak{D}^\sigma$ ,  $\mathfrak{B}^\sigma \subseteq C_{\mathfrak{X}}(\mathfrak{C})$ , so  $\mathfrak{B}^*$  is a  $S_p$ -subgroup of  $\mathfrak{X}$ , and  $\mathfrak{C} \subseteq Z(\mathfrak{B}^*)$ . Since  $Z(\mathfrak{B}^*)$  is cyclic by hypothesis, we have  $\mathfrak{C} = \Omega_1(Z(\mathfrak{B}^*))$ . By hypothesis, there is an element  $Y = Y(\mathfrak{D})$  in  $\mathfrak{B}^*$  which normalizes  $\mathfrak{F}$  and with respect

to the basis  $(E, D)$  has the matrix  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ . Now  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$  generate  $SL(2, p)$  [6, Sections 262 and 263], so  $SL(2, p)$  is involved in  $N_{\mathbb{F}}(\mathbb{F})$ , as desired.

**LEMMA 8.11.** *If  $\mathfrak{A}$  is a  $p$ -subgroup and  $\mathfrak{B}$  is a  $q$ -subgroup of  $\mathfrak{X}$ ,  $p \neq q$ , and  $\mathfrak{A}$  normalizes  $\mathfrak{B}$  then  $[\mathfrak{B}, \mathfrak{A}] = [\mathfrak{B}, \mathfrak{A}, \mathfrak{A}]$ .*

*Proof.* By 3.7,  $[\mathfrak{A}, \mathfrak{B}] \triangleleft \mathfrak{A}\mathfrak{B}$ . Since  $\mathfrak{A}\mathfrak{B}/[\mathfrak{A}, \mathfrak{B}]$  is nilpotent, we can suppose that  $[\mathfrak{A}, \mathfrak{B}]$  is elementary. With this reduction,  $[\mathfrak{B}, \mathfrak{A}, \mathfrak{A}] \triangleleft \mathfrak{A}\mathfrak{B}$ , and we can assume that  $[\mathfrak{B}, \mathfrak{A}, \mathfrak{A}] = 1$ . In this case,  $\mathfrak{A}$  stabilizes the chain  $\mathfrak{B} \supseteq [\mathfrak{B}, \mathfrak{A}] \supseteq 1$ , so  $[\mathfrak{B}, \mathfrak{A}] = 1$  follows from Lemma 8.1 and  $p \neq q$ .

**LEMMA 8.12.** *Let  $p$  be an odd prime, and  $\mathfrak{C}$  an elementary subgroup of the  $p$ -group  $\mathfrak{P}$ . Suppose  $A$  is a  $p'$ -automorphism of  $\mathfrak{P}$  which centralizes  $\Omega_1(C_{\mathfrak{P}}(\mathfrak{C}))$ . Then  $A = 1$ .*

*Proof.* Since  $\mathfrak{C} \subseteq \Omega_1(C_{\mathfrak{P}}(\mathfrak{C}))$ ,  $A$  centralizes  $\mathfrak{C}$ . Since  $\mathfrak{C}$  is  $A$ -invariant, so is  $C_{\mathfrak{P}}(\mathfrak{C})$ . By 3.6  $A$  centralizes  $C_{\mathfrak{P}}(\mathfrak{C})$ , so if  $\mathfrak{C} \subseteq Z(\mathfrak{P})$ , we are done.

If  $C_{\mathfrak{P}}(\mathfrak{C}) \subset \mathfrak{P}$ , then  $C_{\mathfrak{P}}(\mathfrak{C})D(\mathfrak{P}) \subset \mathfrak{P}$ , and by induction  $A$  centralizes  $D(\mathfrak{P})$ . Now  $[\mathfrak{P}, \mathfrak{C}] \subseteq D(\mathfrak{P})$  and so  $[\mathfrak{P}, \mathfrak{C}, \langle A \rangle] = 1$ . Also,  $[\mathfrak{C}, \langle A \rangle] = 1$ , so that  $[\mathfrak{C}, \langle A \rangle, \mathfrak{P}] = 1$ . By the three subgroups lemma, we have  $[\langle A \rangle, \mathfrak{P}, \mathfrak{C}] = 1$ , so that  $[\mathfrak{P}, \langle A \rangle] \subseteq C_{\mathfrak{P}}(\mathfrak{C})$ , and  $A$  stabilizes the chain  $\mathfrak{P} \supseteq C_{\mathfrak{P}}(\mathfrak{C}) \supset 1$ . It follows from Lemma 8.1 that  $A = 1$ .

**LEMMA 8.13.** *Suppose  $\mathfrak{P}$  is a  $S_p$ -subgroup of the solvable group  $\mathfrak{S}$ ,  $\mathcal{S}\mathcal{E}\mathcal{N}_3(\mathfrak{P})$  is empty and  $\mathfrak{S}$  is of odd order. Then  $\mathfrak{S}'$  centralizes every chief  $p$ -factor of  $\mathfrak{S}$ .*

*Proof.* We assume without loss of generality that  $O_p(\mathfrak{S}) = 1$ . We first show that  $\mathfrak{P} \triangleleft \mathfrak{S}$ . Let  $\mathfrak{H} = O_p(\mathfrak{S})$ , and let  $\mathfrak{C}$  be a subgroup of  $\mathfrak{H}$  chosen in accordance with Lemma 8.2. Let  $\mathfrak{B} = \Omega_1(\mathfrak{C})$ . Since  $p$  is odd and  $\text{cl}(\mathfrak{C}) \leq 2$ ,  $\mathfrak{B}$  is of exponent  $p$ .

Since  $O_p(\mathfrak{S}) = 1$ , Lemma 8.2 implies that  $\ker(\mathfrak{S} \rightarrow \text{Aut } \mathfrak{C})$  is a  $p$ -group. By 3.6, it now follows that  $\ker(\mathfrak{S} \xrightarrow{\alpha} \text{Aut } \mathfrak{B})$  is a  $p$ -group. Since  $\mathfrak{P}$  has no elementary subgroup of order  $p^2$ , neither does  $\mathfrak{B}$ , and so  $|\mathfrak{B} : D(\mathfrak{B})| \leq p^2$ . Hence no  $p$ -element of  $\mathfrak{S}$  has a minimal polynomial  $(x - 1)^p$  on  $\mathfrak{B}/D(\mathfrak{B})$ . Now (B) implies that  $\mathfrak{P}/\ker \alpha \triangleleft \mathfrak{S}/\ker \alpha$  and so  $\mathfrak{P} \triangleleft \mathfrak{S}$ , since  $\ker \alpha \subseteq \mathfrak{P}$ .

Since  $\mathfrak{P} \triangleleft \mathfrak{S}$ , the lemma is equivalent to the assertion that if  $\mathfrak{B}$  is a  $S_p$ -subgroup of  $\mathfrak{S}$ , then  $\mathfrak{B}' = 1$ . If  $\mathfrak{B}' \neq 1$ , we can suppose that  $\mathfrak{B}'$  centralizes every proper subgroup of  $\mathfrak{P}$  which is normal in  $\mathfrak{S}$ . Since  $\mathfrak{B}$  is completely reducible on  $\mathfrak{P}/D(\mathfrak{P})$ , we can suppose that  $[\mathfrak{B}, \mathfrak{B}'] = \mathfrak{B}$

and  $[D(\mathfrak{P}), \mathfrak{Z}'] = 1$ . By Lemma 8.7 we have  $D(\mathfrak{P}) \subseteq Z(\mathfrak{P})$  and so  $\Omega_1(\mathfrak{P}) = \mathfrak{R}$  is of exponent  $p$  and class at most 2. Since  $\mathfrak{P}$  has no elementary subgroup of order  $p^3$ , neither does  $\mathfrak{R}$ . If  $\mathfrak{R}$  is of order  $p$ ,  $\mathfrak{Z}'$  centralizes  $\mathfrak{R}$  and so centralizes  $\mathfrak{P}$  by 3.6, thus  $\mathfrak{Z}' = 1$ . Otherwise,  $|\mathfrak{R} : D(\mathfrak{R})| = p^2$  and  $\mathfrak{Z}$  is faithfully represented as automorphisms of  $\mathfrak{R}/D(\mathfrak{R})$ . Since  $|\mathfrak{Z}|$  is odd,  $\mathfrak{Z}' = 1$ .

**LEMMA 8.14.** *If  $\mathfrak{G}$  is a solvable group of odd order, and  $\mathcal{S}\mathcal{E}\mathcal{N}_s(\mathfrak{P})$  is empty for every  $S_p$ -subgroup  $\mathfrak{P}$  of  $\mathfrak{G}$  and every prime  $p$ , then  $\mathfrak{G}'$  is nilpotent.*

*Proof.* By the preceding lemma,  $\mathfrak{G}'$  centralizes every chief factor of  $\mathfrak{G}$ . By 3.2,  $\mathfrak{G}' \subseteq F(\mathfrak{G})$ , a nilpotent group.

**LEMMA 8.15.** *Let  $\mathfrak{G}$  be a solvable group of odd order and suppose that  $\mathfrak{G}$  does not contain an elementary subgroup of order  $p^3$  for any prime  $p$ . Let  $\mathfrak{P}$  be a  $S_p$ -subgroup of  $\mathfrak{G}$  and let  $\mathfrak{C}$  be any characteristic subgroup of  $\mathfrak{P}$ . Then  $\mathfrak{C} \cap \mathfrak{P}' \triangleleft \mathfrak{G}$ .*

*Proof.* We can suppose that  $\mathfrak{C} \subseteq \mathfrak{P}'$ , since  $\mathfrak{C} \cap \mathfrak{P}'$  char  $\mathfrak{P}$ . By Lemma 8.14  $F(\mathfrak{G})$  normalizes  $\mathfrak{C}$ . Since  $F(\mathfrak{G})\mathfrak{P} \triangleleft \mathfrak{G}$ , we have  $\mathfrak{G} = F(\mathfrak{G})N(\mathfrak{P})$ . The lemma follows.

The next two lemmas involve a non abelian  $p$ -group  $\mathfrak{P}$  with the following properties:

- (1)  $p$  is odd.
- (2)  $\mathfrak{P}$  contains a subgroup  $\mathfrak{P}_0$  of order  $p$  such that

$$C(\mathfrak{P}_0) = \mathfrak{P}_0 \mathfrak{P}_1,$$

where  $\mathfrak{P}_1$  is cyclic.

Also,  $\mathfrak{A}$  is a  $p'$ -group of automorphisms of  $\mathfrak{P}$  of odd order.

**LEMMA 8.16.** *With the preceding notation,*

- (i)  $\mathfrak{A}$  is abelian.
- (ii) No element of  $\mathfrak{A}^\#$  centralizes  $\Omega_1(C(\mathfrak{P}_0))$ .
- (iii) If  $\mathfrak{A}$  is cyclic, then either  $|\mathfrak{A}|$  divides  $p - 1$  or  $\mathcal{S}\mathcal{E}\mathcal{N}_s(\mathfrak{P})$  is empty.

*Proof.* (ii) is an immediate consequence of Lemma 8.12.

Let  $\mathfrak{B}$  be a subgroup of  $\mathfrak{P}$  chosen in accordance with Lemma 8.2, and let  $\mathfrak{W} = \Omega_1(\mathfrak{B})$  so that  $\mathfrak{A}$  is faithfully represented on  $\mathfrak{W}$ . If  $\mathfrak{P}_0 \not\subseteq \mathfrak{W}$ , then  $\mathfrak{P}_0\mathfrak{W}$  is of maximal class, so that with  $\mathfrak{W}_0 = \mathfrak{W}$ ,  $\mathfrak{W}_{i+1} = [\mathfrak{W}_i, \mathfrak{P}]$ , we have  $|\mathfrak{W}_i : \mathfrak{W}_{i+1}| = p$ ,  $i = 0, 1, \dots, n - 1$ ,  $|\mathfrak{W}| = p^n$ , and both (i) and (iii) follow. If  $\mathfrak{P}_0 \subseteq \mathfrak{W}$ , then  $m(\mathfrak{W}) = 2$ . Since  $[\mathfrak{W}, \mathfrak{P}] \subseteq Z(\mathfrak{W})$ ,

it follows that  $\langle \mathfrak{P}_0, Z(\mathfrak{B}) \rangle \triangleleft \mathfrak{P}$ . By Lemma 8.9,  $\mathcal{S}\mathcal{C}\mathcal{N}_i(\mathfrak{P})$  is empty. The lemma follows readily from 3.4.

**LEMMA 8.17.** *In the preceding notation, assume in addition that  $|\mathfrak{A}| = q$  is a prime, that  $q$  does not divide  $p - 1$ , that  $\mathfrak{P} = [\mathfrak{P}, \mathfrak{A}]$  and that  $C_{\mathfrak{P}}(\mathfrak{A})$  is cyclic. Then  $|\mathfrak{P}| = p^3$ .*

*Proof.* Since  $q \nmid p - 1$ ,  $\mathfrak{A}$  centralizes  $Z(\mathfrak{P})$ , and so  $Z(\mathfrak{P}) \subseteq \mathfrak{P}'$ . Since  $C_{\mathfrak{P}}(\mathfrak{A})$  is cyclic,  $\Omega_1(Z_2(\mathfrak{P}))$  is not of type  $(p, p)$ . Hence,  $\mathfrak{P}_0 \subseteq \Omega_1(Z_2(\mathfrak{P}))$ . Since every automorphism of  $\Omega_1(Z_2(\mathfrak{P}))$  which is the identity on  $\Omega_1(Z_2(\mathfrak{P}))/\Omega_1(Z(\mathfrak{P}))$  is inner, it follows that  $\mathfrak{P} = \Omega_1(Z_2(\mathfrak{P})) \cdot \mathfrak{D}$ , where  $\mathfrak{D} = C_{\mathfrak{P}}(\Omega_1(Z_2(\mathfrak{P})))$ . Since  $\mathfrak{P}_1$  is cyclic, so is  $\mathfrak{D}$ , and so  $\mathfrak{D} \subseteq \Omega_1(Z_2(\mathfrak{P}))$ , by virtue of  $\mathfrak{P} = [\mathfrak{P}, \mathfrak{A}]$  and  $q \nmid p - 1$ .