

THE BOREL SPACE OF VON NEUMANN ALGEBRAS ON A SEPARABLE HILBERT SPACE

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Let (S, \mathcal{S}) be a Borel space (see G.W. Mackey, *Borel structures in groups and their duals*, Trans. Amer. Math. Soc. 85, (1957) 134-165), \mathcal{H} a separable Hilbert space, \mathfrak{L} the bounded linear operators on \mathcal{H} with the Borel structure generated by the weak topology, and \mathcal{A} the collection of von Neumann algebras on \mathcal{H} . A field of \mathcal{H} von Neumann algebras on S is a map $s \rightarrow \mathfrak{A}(s)$ of S into \mathcal{A} . We prove that there is a unique standard Borel structure on \mathcal{A} with the property that $s \rightarrow \mathfrak{A}(s)$ is Borel if and only if there exist countably many Borel functions $s \rightarrow A_i(s)$ of S into \mathfrak{L} such that for each s , the operators $A_i(s)$ generate $\mathfrak{A}(s)$. This is a consequence of the more general result that when it is provided with a suitable Borel structure, the space of weakly* closed subspaces of the dual of a separable Banach space has sufficiently many Borel choice functions.

We show that the commutant, join, and intersection operations on \mathcal{A} are Borel. It follows that the Borel space of factors is standard. The relevance of \mathcal{A} to the theory of group representations is also investigated.

Essentially following von Neumann [9], we say that a field $s \rightarrow \mathfrak{A}(s)$ is *Borel* if there exist countably many Borel functions $s \rightarrow A_i(s)$ of S into \mathfrak{L} such that for each s the operators $A_i(s)$ generate $\mathfrak{A}(s)$. This definition may be regarded as somewhat artificial. Rather than state which maps of S into \mathcal{A} are Borel, one would conjecture that there is a standard Borel structure on \mathcal{A} for which this characterization of the Borel maps of S into \mathcal{A} is then valid. In § 2 and § 3 we shall show that this is the case. The demonstration depends on two results: a theorem in [4] showing that a certain Borel structure on the closed subsets of a polonais space is standard, and Theorem 2 of this paper. In the latter we prove the existence of Borel choice functions for the weakly* closed subspaces of the dual of a separable Banach space.

The Borel space \mathcal{A} is of importance in representation theory. If G is a second countable locally compact group, and $G^c(\mathcal{H})$ are the weakly continuous unitary representations of G on \mathcal{H} with the weak Borel structure (see [8]), the map $L \rightarrow L(G)'$ (prime indicates commutant) of $G^c(\mathcal{H})$ into \mathcal{A} is Borel. By proving in § 3 that the factors \mathcal{F} are

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a Borel subset of \mathcal{A} , we obtain new proof in § 4 of Dixmier's result that the factor representations $G^f(\mathcal{H})$ form a Borel subset of $G^e(\mathcal{H})$. We are also able to show that the quasi-equivalence relation is a Borel subset of $G^e(\mathcal{H}) \times G^e(\mathcal{H})$.

It is interesting to speculate about the isomorphism relation on \mathcal{F} . Conceivably, one might find an argument similar to those in [3] to prove that the quotient space was not smooth, and thus in particular, that there are uncountably many essentially distinct factors on \mathcal{H} .

We remark that an analogous problem of a "nonintrinsic" definition of structure, solved for \mathcal{A} below, exists in Spanier's definition of a quasi-topology [12]. As is shown in [12], one must look for structures more general than topologies.

We are indebted to E. Alfsen and E. Størmer, who enabled us to simplify the proofs of Theorem 2 (by the convexity argument for the continuity of L) and Theorem 5, respectively.

2. Separable Banach spaces. Let \mathfrak{X} be a separable real or complex Banach space, \mathfrak{X}^* the dual of \mathfrak{X} , $\mathcal{N}(\mathfrak{X})$ the norm closed subspaces of \mathfrak{X} , and $\mathcal{W}(\mathfrak{X}^*)$ the weakly* closed subspaces of \mathfrak{X}^* . We wish to define a Borel structure on $\mathcal{W}(\mathfrak{X}^*)$. As $\mathfrak{Y} \rightarrow \mathfrak{Y}^\perp$ (the annihilator of \mathfrak{Y}) is a one-to-one correspondence between $\mathcal{N}(\mathfrak{X})$ and $\mathcal{W}(\mathfrak{X}^*)$, it suffices to find a Borel structure on $\mathcal{N}(\mathfrak{X})$ and then to transfer it to $\mathcal{W}(\mathfrak{X}^*)$.

$\mathcal{N}(\mathfrak{X})$ is a subset of $\mathcal{E}_0(\mathfrak{X})$, the collection of nonempty closed subsets of the polonais space \mathfrak{X} . In [4] we showed that convergence of subsets in $\mathcal{E}_0(\mathfrak{X})$ defines a standard Borel structure on $\mathcal{E}_0(\mathfrak{X})$. Recalling the procedure, if F_α is a net in $\mathcal{E}_0(\mathfrak{X})$ let $\overline{\lim} F_\alpha$ be those x in \mathfrak{X} for which there is a net $x_\alpha \in F_\alpha$ with $x_\alpha \rightarrow x$. Let $\overline{\lim} F_\alpha$ be those x in \mathfrak{X} for which there is a subnet F_{α_β} and $x_{\alpha_\beta} \in F_{\alpha_\beta}$ with $x_{\alpha_\beta} \rightarrow x$. If $F \in \mathcal{E}_0(\mathfrak{X})$, we say that F_α converges to the limit F , $F_\alpha \rightarrow F$, if $F = \overline{\lim} F_\alpha = \overline{\lim} F_\alpha$. If $\Sigma \subseteq \mathcal{E}_0(\mathfrak{X})$, we let $\overline{\Sigma}$ be the limits of nets in Σ , and we say that Σ is convergence closed if $\overline{\Sigma} = \Sigma$. The convergence closed sets form a topology, and generate a standard Borel structure on $\mathcal{E}_0(\mathfrak{X})$. We let $\mathcal{N}(\mathfrak{X})$ have the relative Borel structure. It is easily verified that $\mathcal{N}(\mathfrak{X})$ is convergence closed in $\mathcal{E}_0(\mathfrak{X})$, hence $\mathcal{N}(\mathfrak{X})$ and $\mathcal{W}(\mathfrak{X}^*)$ have standard Borel structures.

If d is any metric on \mathfrak{X} compatible with the topology of \mathfrak{X} , $x \in \mathfrak{X}$, and $F \in \mathcal{E}_0(\mathfrak{X})$, define $d(x, F) = \text{glb} \{d(x, y) : y \in F\}$. For any positive c ,

$$(1) \quad \{F \in \mathcal{E}_0(\mathfrak{X}) : d(x, F) \geq c\}$$

is convergence closed. It follows that $F \rightarrow d(x, F)$ is a Borel function on $\mathcal{E}_0(\mathfrak{X})$. As in the proof of the first theorem in [4], sets of the form (1) separate points in $\mathcal{E}_0(\mathfrak{X})$, and thus as $\mathcal{E}_0(\mathfrak{X})$ is standard, generate the Borel structure. It follows that the Borel structure on

$\mathcal{C}_0(\mathfrak{X})$ is the weakest for which the functions $F \rightarrow d(x, F)$ are Borel (actually it would suffice to restrict to the x in a countable dense subset).

Let d be the norm metric on \mathfrak{X} . Then for $\mathfrak{Y} \in \mathcal{W}(\mathfrak{X}^*)$, $d(x, \mathfrak{Y}^\perp) = \|x + \mathfrak{Y}^\perp\|$, the latter being the quotient norm in $\mathfrak{X}/\mathfrak{Y}^\perp$. As \mathfrak{Y} is weakly* closed, $\mathfrak{Y}^\perp \subseteq \mathfrak{Y}$, and we have a natural isometry $(\mathfrak{X}/\mathfrak{Y}^\perp)^* \cong \mathfrak{Y}$. The corresponding isometry of $\mathfrak{X}/\mathfrak{Y}^\perp$ into \mathfrak{Y}^* is defined by $x + \mathfrak{Y}^\perp \rightarrow x|_{\mathfrak{Y}}$, where $x|_{\mathfrak{Y}}$ in the restriction of x , regarded as an element of \mathfrak{X}^{**} , to \mathfrak{Y} . We conclude:

THEOREM 1. *Let \mathfrak{X} be a separable Banach space, $\mathcal{W}(\mathfrak{X}^*)$ the weakly* closed subspaces of \mathfrak{X}^* . The Borel structure on $\mathcal{W}(\mathfrak{X}^*)$ is standard, and may be described as the smallest structure for which the functions*

$$\mathfrak{Y} \rightarrow \|x + \mathfrak{Y}^\perp\| = \|x|_{\mathfrak{Y}}\|, \quad x \in \mathfrak{X}$$

are Borel.

If \mathfrak{X} is a real or complex separable Banach space, the weak* Borel structure on \mathfrak{X}^* is that generated by the weak* topology. In other words, it is the smallest structure for which the functions $f \rightarrow f(x)$, $x \in \mathfrak{X}$ are Borel. Although we shall not use this fact, we remark that this structure is standard (see the proof of [8, Th. 8.1]).

Theorem 2 may be regarded as an elaborate form of the Hahn-Banach Theorem. Recalling the usual argument, suppose that \mathfrak{X} is a real Banach space, and that we wish to construct a function in the closed unit ball \mathfrak{X}_1^* of \mathfrak{X}^* . Suppose that f has been defined on a linear subspace \mathfrak{B} of \mathfrak{X} , and is in \mathfrak{B}_1^* . If we extend f to the space generated by \mathfrak{B} and a vector x , we must insist that

$$(2) \quad |f(x + w)| \leq \|x + w\|$$

for all $w \in \mathfrak{B}$, i.e.,

$$-\|x + u\| - f(u) \leq f(x) \leq \|x + v\| - f(v)$$

for all $u, v \in \mathfrak{B}$. Let

$$(3) \quad \begin{aligned} L(f) &= \text{lub} \{-\|x + u\| - f(u) : u \in \mathfrak{B}\}, \\ M(f) &= \text{glb} \{\|x + v\| - f(v) : v \in \mathfrak{B}\}. \end{aligned}$$

These exist as for any $u, v \in \mathfrak{B}$,

$$f(v - u) \leq \|v - u\| \leq \|x + v\| + \|x + u\|,$$

$$(4) \text{ i.e., } -\|x + u\| - f(u) \leq \|x + v\| - f(v).$$

Thus we may rewrite (2):

$$(5) \quad L(f) \leq f(x) \leq M(f) .$$

We shall assume below that \mathfrak{X} is finite dimensional, and let \mathfrak{X}^* have the norm topology. The functions $f \rightarrow L(f)$ and $f \rightarrow M(f)$ are defined on the closed unit ball \mathfrak{B}_1^* . As it is the least upper bound of convex functions, $f \rightarrow L(f)$ is convex, and thus continuous on the interior of \mathfrak{B}_1^* (see [1, p. 92]). From

$$(6) \quad M(f) = -L(-f) ,$$

$f \rightarrow M(f)$ is also continuous on the interior of \mathfrak{B}_1^* .

THEOREM 2. *Let \mathfrak{X} be a separable Banach space, $\mathscr{W}(\mathfrak{X}^*)$ the weakly* closed subspaces of \mathfrak{X}^* . There exist countably many Borel choice functions $f_n: \mathscr{W}(\mathfrak{X}^*) \rightarrow \mathfrak{X}^*$ such that for each $\mathfrak{Y} \in \mathscr{W}(\mathfrak{X}^*)$, the vectors $f_n(\mathfrak{Y})$ are weakly* dense in the closed unit ball \mathfrak{B}_1 of \mathfrak{Y} .*

Proof. Suppose that \mathfrak{X} is real. If $\mathfrak{Y} \in \mathscr{W}(\mathfrak{X}^*)$, we may identify \mathfrak{Y} with $(\mathfrak{X}/\mathfrak{Y}^\perp)^*$, the norms and the weak* topologies will coincide.

For each sequence of real numbers $t = (t_1, t_2, \dots)$ with $0 \leq t_i \leq 1$, we shall construct a function $f_t^\mathfrak{Y} \in (\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$. Let x_1, x_2, \dots be norm dense in \mathfrak{X} , with $x_1 = 0$. Let $x_n(\mathfrak{Y}) = x_n + \mathfrak{Y}^\perp$, and $\mathfrak{B}_n(\mathfrak{Y})$ be the linear space spanned by $x_1(\mathfrak{Y}), \dots, x_n(\mathfrak{Y})$ in $\mathfrak{X}/\mathfrak{Y}^\perp$. Define $f_{t_1}^\mathfrak{Y}(0) = 0$. Suppose that we have defined $f_{t_1, \dots, t_n}^\mathfrak{Y}$ to be an element of $\mathfrak{B}_n(\mathfrak{Y})_1^*$. Letting $\mathfrak{B}_n(\mathfrak{Y}) = \mathfrak{B}$, $f_{t_1, \dots, t_n}^\mathfrak{Y} = f$, and $x_{n+1}(\mathfrak{Y}) = x$ in our previous discussion, define

$$(7) \quad f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) = t_{n+1}L(f) + (1 - t_{n+1})M(f) .$$

If $x \in \mathfrak{B}$, letting $u = v = -x$, we have from (3), (5), and (7)

$$-f(u) \leq L(f) \leq f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) \leq M(f) \leq -f(v),$$

i.e.,

$$f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) = f(x) .$$

Thus defining $f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}$ on $\mathfrak{B}_{n+1}(\mathfrak{Y})$ by

$$f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(cx + w) = cf_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) + f(w) ,$$

we obtain an extension of $f_{t_1, \dots, t_n}^\mathfrak{Y}$ to an element of $\mathfrak{B}_{n+1}(\mathfrak{Y})^*$. As $f = f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}$ satisfies (5), it readily follows that $f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}$ is in $\mathfrak{B}_{n+1}(\mathfrak{Y})_1^*$. Define $f_t^\mathfrak{Y}$ on the space spanned by the $x_n(\mathfrak{Y})$ to be the union of the functions $f_{t_1, \dots, t_n}^\mathfrak{Y}$. This extends by continuity to an element of $(\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$.

It is clear that any function in $(\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$ must have the form $f_t^\mathfrak{Y}$

for some sequence $t = (t_1, t_2, \dots)$. We claim that the countable family of functions $f_r^{\mathfrak{Y}}$, $r = (r_1, r_2, \dots)$ with the r_i rational, and all but a finite number equal to 0, are weakly* dense in $(\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$. It suffices to prove that for all n , the functions $f_{r_1, \dots, r_n}^{\mathfrak{Y}}$ are weakly*, or equivalently, norm dense in the interior of $(\mathfrak{B}_n(\mathfrak{Y}))_1^*$. This is trivial if $n = 1$. Suppose that it is true for n . If $g \in \mathfrak{B}_{n+1}(\mathfrak{Y})^*$ and $\|g\| \leq 1$, let f be the restriction of g to $\mathfrak{B}_n(\mathfrak{Y})$. From our hypothesis and the earlier discussion, we may select rationals r_1, \dots, r_n with $f_{r_1, \dots, r_n}^{\mathfrak{Y}}$ close to f in the norm topology, and $L(f_{r_1, \dots, r_n}^{\mathfrak{Y}})$ and $M(f_{r_1, \dots, r_n}^{\mathfrak{Y}})$ close to $L(f)$ and $M(f)$, respectively. Thus by a suitable choice of r_{n+1} , we obtain

$$f_{r_1, \dots, r_{n+1}}^{\mathfrak{Y}}(x_{n+1}(\mathfrak{Y}))$$

close to $g(x_{n+1}(\mathfrak{Y}))$.

For any sequence (t_1, t_2, \dots) we have that $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x_n)$ is Borel (regarding $f_t^{\mathfrak{Y}}$ as an element of \mathfrak{Y}). This is trivial if $n = 1$. Suppose that it is true for $k \leq n$. Then

$$(8) \quad \begin{aligned} f_t^{\mathfrak{Y}}(x_{n+1}) &= f_{t_1, \dots, t_{n+1}}^{\mathfrak{Y}}(x_{n+1}(\mathfrak{Y})) \\ &= t_{n+1}L(f_{t_1, \dots, t_n}^{\mathfrak{Y}}) + (1 - t_{n+1})M(f_{t_1, \dots, t_n}^{\mathfrak{Y}}) \end{aligned}$$

If \mathfrak{B}_n is the linear span of x_1, \dots, x_n ,

$$L(f_{t_1, \dots, t_n}^{\mathfrak{Y}}) = \text{lub} \{ - \|x_{n+1} + u + \mathfrak{Y}^\perp\| - f_t^{\mathfrak{Y}}(u) : u \in \mathfrak{B}_n \}.$$

From Theorem 1 and the induction hypothesis,

$$\mathfrak{Y} \rightarrow - \|x_{n+1} + u + \mathfrak{Y}^\perp\| - f_t^{\mathfrak{Y}}(u)$$

is Borel for any $u \in \mathfrak{B}_n$. Restricting to u that are rational linear combinations of the x_k for $k \leq n$, $\mathfrak{Y} \rightarrow L(f_{t_1, \dots, t_n}^{\mathfrak{Y}})$ is the least upper bound of a countable number of Borel functions, and is thus Borel. From (6) and (8), $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x_{n+1})$ is Borel. For any $x \in \mathfrak{X}$, $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x)$ is a limit of functions of the form $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x_n)$, and hence is Borel. Thus $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}$ is Borel.

Finally, suppose that \mathfrak{X} is a complex Banach space. Letting \mathfrak{X}_R be the corresponding real Banach space, $\mathcal{N}(\mathfrak{X})$ is a convergence closed subset of $\mathcal{N}(\mathfrak{X}_R)$. Define a map of $\mathcal{W}(\mathfrak{X}^*)$ into $\mathcal{W}((\mathfrak{X}_R)^*)$ by $\mathfrak{Y} \rightarrow \text{Re } \mathfrak{Y}$, where the latter consists of all real functions $\text{Re } f$ with $f \in \mathfrak{Y}$ (the customary argument shows that $f \rightarrow \text{Re } f$ is an isometry of \mathfrak{X}^* onto $(\mathfrak{X}_R)^*$). For $\mathfrak{Z} \in \mathcal{N}(\mathfrak{X})$, $\text{Re } (\mathfrak{Z}^\perp) = \mathfrak{Z}^\perp$, where annihilators are taken in \mathfrak{X}^* and $(\mathfrak{X}_R)^*$, respectively. It follows that $\mathfrak{Y} \rightarrow \text{Re } \mathfrak{Y}$ defines a Borel isomorphism of $\mathcal{W}(\mathfrak{X}^*)$ onto a Borel subset of $\mathcal{W}((\mathfrak{X}_R)^*)$. Choose real choice functions $f_n: \mathcal{W}((\mathfrak{X}_R)^*) \rightarrow (\mathfrak{X}_R)^*$ with $f_n(\mathfrak{Y})$ weakly* dense in \mathfrak{Y}_1 for each $\mathfrak{Y} \in \mathcal{W}((\mathfrak{X}_R)^*)$. Let $g_n: \mathcal{W}(\mathfrak{X}^*) \rightarrow \mathfrak{X}^*$ be the corresponding complex functions, i.e., for $\mathfrak{Y} \in \mathcal{W}(\mathfrak{X}^*)$ and $x \in \mathfrak{X}$, let

$$g_n(\mathfrak{Y})(x) = f_n(\operatorname{Re} \mathfrak{Y})(x) - i f_n(\operatorname{Re} \mathfrak{Y})(ix) .$$

Then $\operatorname{Re} g_n(\mathfrak{Y}) = f_n(\operatorname{Re} \mathfrak{Y}) \in (\operatorname{Re} \mathfrak{Y})_1$, implies $g_n(\mathfrak{Y}) \in \mathfrak{Y}_1$. Given an arbitrary $g \in \mathfrak{Y}_1$, $x_1, \dots, x_k \in \mathfrak{X}$, and $\varepsilon > 0$, choose an f_n with

$$\begin{aligned} |f_n(\operatorname{Re} \mathfrak{Y})(x_j) - \operatorname{Re} g(x_j)| &< \varepsilon \\ |f_n(\operatorname{Re} \mathfrak{Y})(ix_j) - \operatorname{Re} g(ix_j)| &< \varepsilon , \end{aligned}$$

for $j = 1, \dots, k$. Then as

$$g(x) = \operatorname{Re} g(x) - i \operatorname{Re} g(ix) ,$$

we have

$$|g_n(\mathfrak{Y})(x_j) - g(x_j)| < 2\varepsilon$$

for $j = 1, \dots, k$. Thus the $g_n(\mathfrak{Y})$ are weakly* dense in \mathfrak{Y}_1 . Clearly the g_n are Borel.

COROLLARY. *If (S, \mathcal{S}) is a Borel space, then a map $s \rightarrow \mathfrak{Y}(s)$ of S into $\mathscr{W}(\mathfrak{X}^*)$ is Borel if and only if there exist countably many Borel functions $s \rightarrow f_n^s$ of S into \mathfrak{X}^* , such that for each s , the vectors f_n^s are weakly dense in $\mathfrak{Y}(s)_1$.*

Proof. If $s \rightarrow \mathfrak{Y}(s)$ is Borel, the functions f_n^s are obtained by composing this map with the choice functions of Theorem 2. Conversely, if such functions exist, we have from the isometry

$$\mathfrak{Y}(s) \cong (\mathfrak{X}/\mathfrak{Y}(s)^\perp)^* ,$$

$$\|x + \mathfrak{Y}(s)^\perp\| = \sup \{|f_i^s(x)| : i = 1, 2, \dots\}$$

for each $x \in \mathfrak{X}$. Thus $s \rightarrow \|x + \mathfrak{Y}(s)^\perp\|$ is Borel for each $x \in \mathfrak{X}$, and by Theorem 1, $s \rightarrow \mathfrak{Y}(s)$ is Borel.

3. Von Neumann algebras. Let \mathcal{H} , \mathfrak{L} , \mathcal{A} , and \mathcal{F} be as in § 1. We have that $\mathfrak{L} = (\mathfrak{L}_*)^*$, where \mathfrak{L}_* is the separable Banach space of ultra-weakly continuous functions on \mathfrak{L} (or by a natural identification, the trace class operators with a suitable norm-see [10]). The ultra-weak and weak* topologies coincide on \mathfrak{L} . Thus letting $\mathscr{W}(\mathfrak{L})$ be the ultra-weakly closed subspaces of \mathfrak{L} , we may give it the Borel structure described in § 2.

If $\mathfrak{Y} \in \mathscr{W}(\mathfrak{L})$, write \mathfrak{Y}^* and \mathfrak{Y}' for the adjoints of elements in \mathfrak{Y} , and the commutant of \mathfrak{Y} , respectively. The proof of the following theorem is largely patterned after that of [6, Th. 2.8].

THEOREM 3. $\mathfrak{Y} \rightarrow \mathfrak{Y}^*$ and $\mathfrak{Y} \rightarrow \mathfrak{Y}'$ define Borel transformations of

$\mathscr{W}(\mathfrak{L})$.

Proof. For $f \in \mathfrak{L}_*$, define $f^* \in \mathfrak{L}_*$ by $f^*(A) = \overline{f(A^*)}$, the bar indicating complex conjugate. This is an isometry of \mathfrak{L}_* , hence the transformation $\mathfrak{B} \rightarrow \mathfrak{B}^*$ on $\mathcal{N}(\mathfrak{L})$ is a homeomorphism (in the sense of convergence), and a Borel isomorphism. For $\mathfrak{Y} \in \mathscr{W}(\mathfrak{L})$, $(\mathfrak{Y}^\perp)^* = (\mathfrak{Y}^*)^\perp$, i.e., the adjoint operation on $\mathcal{N}(\mathfrak{L}^*)$ is carried into that on $\mathscr{W}(\mathfrak{L})$, and thus is a Borel isomorphism on the latter.

From Theorem 2, we may let $\mathfrak{Y} \rightarrow A_n^\mathfrak{Y}$ be Borel choice functions on $\mathscr{W}(\mathfrak{L})$ with $A_n^\mathfrak{Y}$ ultra-weakly dense in \mathfrak{Y}_1 . We have

$$\mathfrak{Y}' = \{B \in \mathfrak{L} : BA_n^\mathfrak{Y} - A_n^\mathfrak{Y}B = 0 \text{ for } n = 1, 2, \dots\}.$$

Let \mathfrak{M} and \mathfrak{M}_* be the sequences (A_n) and (f_n) of elements in \mathfrak{L} and \mathfrak{L}_* , respectively, with $\sup\{\|A_n\| : n = 1, 2, \dots\} < \infty$ and $\sum_{n=1}^\infty \|f_n\| < \infty$. With the norms $\|(A_n)\| = \sup\{\|A_n\| : n = 1, 2, \dots\}$ and $\|(f_n)\| = \sum_{n=1}^\infty \|f_n\|$, \mathfrak{M} and \mathfrak{M}_* are Banach spaces, and defining $(f_n)((A_n)) = \sum_{n=1}^\infty f_n(A_n)$, \mathfrak{M} may be identified with the dual of \mathfrak{M}_* . We have

$$\mathfrak{Y}' = \text{kernel } T^\mathfrak{Y},$$

where $T^\mathfrak{Y} : \mathfrak{L} \rightarrow \mathfrak{M}$ is defined by

$$T^\mathfrak{Y}(B) = (BA_n^\mathfrak{Y} - A_n^\mathfrak{Y}B).$$

we claim that $T^\mathfrak{Y}$ is continuous in the weak* topologies. If $(f_n) \in \mathfrak{M}_*$,

$$(f_n)T^\mathfrak{Y}(B) = \sum_{n=1}^\infty g_n(B),$$

where $g_n(B) = f_n(BA_n^\mathfrak{Y} - A_n^\mathfrak{Y}B)$. The partial sums $\sum_{n=1}^N g_n$ are weakly* continuous, and converge uniformly on the unit ball \mathfrak{L}_1 of \mathfrak{L} , as if $B \in \mathfrak{L}_1$,

$$\left| \sum_{n=N+1}^\infty g_n(B) \right| \leq 2 \sum_{n=N+1}^\infty \|f_n\|.$$

It follows that $B \rightarrow (f_n)T^\mathfrak{Y}(B)$ is continuous on \mathfrak{L}_1 , and thus on \mathfrak{L} (see [2, p. 41]). Define $T_*^\mathfrak{Y} : \mathfrak{M}_* \rightarrow \mathfrak{L}_*$ by

$$T_*^\mathfrak{Y}((f_n))(B) = (f_n)(T^\mathfrak{Y}(B)).$$

We have that $(\text{kernel } T^\mathfrak{Y})^\perp$ is the closure of the range of $T_*^\mathfrak{Y}$. Thus letting B_i be ultra-weakly dense in \mathfrak{L}_1 and $g_j = (f_j)$ be norm dense in \mathfrak{M}_* , we have for any $f \in \mathfrak{L}_*$,

$$\|f + (\mathfrak{Y}')^\perp\| = \text{glb} \{\|f + T_*^\mathfrak{Y}(g_j)\|, j = 1, 2, \dots\}$$

where

$$\begin{aligned} \|f + T_{*}^{\mathfrak{Y}}(g_i)\| &= \text{lub} \{ \|f(B_i) + T_{*}^{\mathfrak{Y}}(g_i)(B_i)\| : i = 1, 2, \dots \} \\ &= \text{lub} \{ \|f(B_i) + \sum_{n=1}^{\infty} f'_n(B_i A_n^{\mathfrak{Y}} - A_n^{\mathfrak{Y}} B_i)\| : i = 1, 2, \dots \}. \end{aligned}$$

As $\mathfrak{Y} \rightarrow A_n^{\mathfrak{Y}}$ is ultra-weakly Borel, $\mathfrak{Y} \rightarrow \|f + (\mathfrak{Y})^{\perp}\|$ is Borel, and as f is arbitrary, we have from Theorem 1 that $\mathfrak{Y} \rightarrow \mathfrak{Y}'$ is Borel.

COROLLARY 1. *\mathcal{A} is a Borel subset of $\mathscr{W}(\mathfrak{Y})$, and thus is standard under the relative Borel structure.*

Proof. \mathcal{A} consists of the $\mathfrak{Y} \in \mathscr{W}(\mathfrak{Q})$ invariant under the Borel transformations $\mathfrak{Y} \rightarrow \mathfrak{Y}^*$ and $\mathfrak{Y} \rightarrow \mathfrak{Y}''$. In general say that θ is a Borel transformation of Borel space (S, \mathcal{S}) . If Δ is the diagonal of $S \times S$, and $\theta \times \iota: S \rightarrow S \times S$ is defined by $\theta \times \iota(s) = (\theta(s), s)$, we have

$$\{s \in S: \theta(s) = s\} = (\theta \times \iota)^{-1}(\Delta).$$

Thus if (S, \mathcal{S}) is standard, Δ is a Borel subset of $S \times S$, and the set of fixed points of θ is Borel.

Given von Neumann algebras \mathfrak{A} and \mathfrak{B} , we let $\mathfrak{A} \vee \mathfrak{B}$ denote the von Neumann algebra generated by \mathfrak{A} and \mathfrak{B} . Providing $\mathcal{A} \times \mathcal{A}$ with the product structure,

COROLLARY 2. *The maps of $\mathcal{A} \times \mathcal{A}$ into \mathcal{A} defined by $(\mathfrak{A}, \mathfrak{B}) \rightarrow \mathfrak{A} \cap \mathfrak{B}$ and $(\mathfrak{A}, \mathfrak{B}) \rightarrow \mathfrak{A} \vee \mathfrak{B}$ are Borel.*

Proof. As $\mathfrak{A} \cap \mathfrak{B} = (\mathfrak{A}' \vee \mathfrak{B}')$, it suffices to prove the second assertion. From Theorem 2, there exist Borel choice functions $A_i: \mathcal{A} \rightarrow \mathfrak{Q}$ with $A_i(\mathfrak{A})$ ultra-weakly dense in \mathfrak{A}_i , for each $\mathfrak{A} \in \mathcal{A}$. For each pair $(\mathfrak{A}, \mathfrak{B}) \in \mathcal{A} \times \mathcal{A}$, let $\mathcal{C}(\mathfrak{A}, \mathfrak{B})$ be the self-adjoint linear algebra generated by the elements $A_i(\mathfrak{A})$ and $A_j(\mathfrak{B})$. Let $B_k(\mathfrak{A}, \mathfrak{B})$ be an enumeration of the finite complex rational combinations of finite products of the elements $A_i(\mathfrak{A})$, $A_j(\mathfrak{B})$ and their adjoints. The $B_k(\mathfrak{A}, \mathfrak{B})$ are norm dense in $\mathcal{C}(\mathfrak{A}, \mathfrak{B})$, hence defining $B'_k(\mathfrak{A}, \mathfrak{B}) = B_k(\mathfrak{A}, \mathfrak{B})$ if $\|B_k(\mathfrak{A}, \mathfrak{B})\| \leq 1$, and $B'_k(\mathfrak{A}, \mathfrak{B}) = 0$ otherwise, the $B'_k(\mathfrak{A}, \mathfrak{B})$ are norm dense in $\mathcal{C}(\mathfrak{A}, \mathfrak{B})_1$. From the Kaplansky Density Theorem, the latter is ultra-weakly dense in $(\mathfrak{A} \vee \mathfrak{B})_1$. As $(\mathfrak{A}, \mathfrak{B}) \rightarrow B'_k(\mathfrak{A}, \mathfrak{B})$ are Borel, our assertion follows from the corollary to Theorem 2.

COROLLARY 3. *\mathcal{F} is a Borel subset of \mathcal{A} , and thus is standard in the relative Borel structure.*

Proof. Let \mathfrak{F} be the von Neumann algebra on \mathcal{H} consisting of complex multiples of the identity operator. Then \mathcal{F} is the inverse

image of the element \mathfrak{F} under the Borel map of \mathcal{A} into \mathcal{A} defined by $\mathfrak{A} \rightarrow \mathfrak{A} \cap \mathfrak{A}'$.

The argument used in the proof of Corollary 2 shows that a map $s \rightarrow \mathfrak{A}(s)$ of a Borel space (S, \mathcal{S}) into \mathcal{A} is Borel if and only if there exist Borel functions $s \rightarrow A_i(s)$ of S into \mathfrak{L} such that the $A_i(s)$ generate $\mathfrak{A}(s)$. Thus we have recaptured the original definition of § 1.

In direct integral theory, it is of some importance to know that various other subsets of \mathcal{A} are measurable (see [9, 11]). We suspect that constructive procedures similar to that used in Theorem 2, would enable one to show that many of these sets are Borel.

4. Representation spaces. Let $\mathcal{H}, \mathfrak{L}, \mathcal{A}$, and \mathcal{F} be as above, and G be a second countable locally compact group (an analogous theory exists for separable C^* -algebras). Let $G^c(\mathcal{H})$ be the weakly continuous unitary representations of G on \mathcal{H} , with the standard Borel structure defined by Mackey (see [8]). Let $G'(\mathcal{H})$ be the subset of factor representations, i.e. those representations $L \in G^c(\mathcal{H})$ with $L(G)'$ a factor von Neumann algebra.

If $L, M \in G^c(\mathcal{H})$, let $\mathfrak{R}(L, M)$ be the ring of intertwining operators for L and M , i.e., those $B \in \mathfrak{L}$ with $BL(t) = M(t)B$ for all $t \in G$. In particular, $\mathfrak{R}(L, L) = L(G)'$. As was the case for Theorem 3, the following is simply a refinement of [6, Th. 2.8].

THEOREM 4. *The map $G^c(\mathcal{H}) \times G^c(\mathcal{H}) \rightarrow G^c(\mathcal{H})$ defined by $(L, M) \rightarrow \mathfrak{R}(L, M)$ is Borel.*

Proof. Let t_n be dense in G , and define \mathfrak{M} and \mathfrak{M}_* as in the proof of Theorem 3. Defining $S^{(L, M)}: \mathfrak{L} \rightarrow \mathfrak{M}$ by

$$S^{(L, M)}(B) = (BL(t_n) - M(t_n)B),$$

we have that

$$\mathfrak{R}(L, M) = \text{kernel } S^{(L, M)},$$

and that $S^{(L, M)}$ is continuous in the weak* topologies. $S^{(L, M)}$ is the adjoint of a map $S_*^{(L, M)}: \mathfrak{M}_* \rightarrow \mathfrak{L}_*$, and choosing B_i ultra-weakly dense in \mathfrak{L}_1 , and $g_j = (f_j^n)$ norm dense in \mathfrak{M}_* , we have for any $f \in L_*$,

$$\|f + \mathfrak{R}(L, M)^\perp\| = \text{glb} \{ \|f + S_*^{(L, M)}(g_j)\| : j = 1, 2, \dots \},$$

where

$$\begin{aligned} \|f + S_*^{(L, M)}(g_j)\| &= \text{lub} \{ |f(B_i)| \\ &+ \sum_{n=1}^\infty f_j^n(B_i L(t_n) - M(t_n)B_i) | : i = 1, 2, \dots \}. \end{aligned}$$

$(L, M) \rightarrow f_j^n(B_i L(t_n) - M(t_n)B_i)$ is Borel when $G^c(\mathcal{H}) \times G^c(\mathcal{H})$ is given the product of the Mackey Borel structures, as any ultra-weakly continuous function is a norm limit of weakly continuous functions. It follows that $(L, M) \rightarrow \|f + \mathfrak{R}(L, M)^\perp\|$ is Borel, and from Theorem 1, $(L, M) \rightarrow \mathfrak{R}(L, M)$ is Borel.

COROLLARY 1. *The map $G^c(\mathcal{H}) \rightarrow \mathcal{A}$ defined by $L \rightarrow L(G)'$ is Borel*

COROLLARY 2. *(This was first proved by J. Dixmier—see [5, Theorem 1].) The set $G^f(\mathcal{H})$ of factor representation of G forms a Borel subset of $G^c(\mathcal{H})$, and thus is standard under the relative Borel structure.*

Following Mackey (see [7]), if $L, M \in G^c(\mathcal{H})$, we say that L is covered by M , $L < M$, if very subrepresentation of L contains a subrepresentation that is unitarily equivalent to a subrepresentation of M . L is quasi-equivalent to M , $L \sim M$, if $L < M$ and $M < L$.

If E is a projection in $L(G)'$, and $E \neq 0$, let L^E denote the corresponding subrepresentation of G on the range of E . If there exists a projection $E \in L(G)'$ with $E \neq 0$ and $L^E < M$, let $C(L, M)$ be the least upper bound of all such projections. Otherwise, let $C(L, M) = 0$. $C(L, M)$ is an element of $L(G)' \cap L(G)''$.

THEOREM 5. *The map $G^c(\mathcal{H}) \times G^c(\mathcal{H}) \rightarrow \mathfrak{L}$ defined by $(L, M) \rightarrow C(L, M)$ is Borel.*

Proof. If $A \in \mathfrak{L}$, let E_A and F_A be the projections on the closure of the range, and the orthogonal complement of the kernel of A . If $A \in \mathfrak{R}(L, M)$, then $F_A \in L(G)'$ and $E_A \in M(G)'$. If $A \neq 0$, and U is the partial isometry in the polar decomposition of A with $U^*U = F_A$, then U determines a unitary equivalence of L^{F_A} and M^{E_A} , and $F_A \leq C(L, M)$. From Theorems 4 and 2, there exist Borel functions $A_i(L, M)$ that are ultra-weakly dense in the unit ball of $\mathfrak{R}(L, M)$ for each L and M . We claim that

$$(9) \quad C(L, M) = \bigvee_{i=1}^{\infty} F_{A_i(L, M)},$$

where on the right we have taken the least upper bound in the complete projection lattice of $L(G)'$.

Suppose that there exist L and M with

$$F = C(L, M) - \bigvee_{i=1}^{\infty} F_{A_i(L, M)} \neq 0.$$

As $L^f < M$, there exists a projection $F_0 \leq F$ with $F_0 \neq 0$ and $F_0 = U^*U$ where $U \in \mathfrak{R}(L, M)$. Choosing i_k for which $A_{i_k}(L, M) \rightarrow U$ ultra-weakly,

$$0 = A_{i_k}(L, M)F_0 \rightarrow UF_0 = F_0,$$

a contradiction.

The map of \mathfrak{S} into itself defined by $A \rightarrow F_A$ is Borel. To see this, note that $A \rightarrow A^*A$ is weakly Borel, as if $x, y \in \mathcal{H}$, letting x_i be an orthonormal basis we have

$$A^*Ax \cdot y = \sum_{i=1}^{\infty} (Ax \cdot x_i)(Ay \cdot x_i)^-.$$

A similar expansion shows that for positive integers n , $A \rightarrow A^n$ is Borel, hence for any polynomial p , $A \rightarrow p(A)$ is Borel. Suppose that f is a bounded real Borel function on the reals, and that there is a sequence of real polynomials p_n converging to f point-wise, uniformly bounded on compact sets. If A is a self-adjoint element in \mathfrak{S} , we have from spectral theory that $p_n(A) \rightarrow f(A)$ weakly. Thus $A \rightarrow f(A)$ is Borel. Letting g be the characteristic function of the open set $(0, \infty)$, $A \rightarrow F_A = g((A^*A)^{1/2})$ is Borel.

For all i , $(L, M) \rightarrow F_{A_i(L, M)}$ is Borel. If F_1, \dots, F_n are projections, then

$$F_1 \vee \dots \vee F_n = F_{(F_1 + \dots + F_n)},$$

hence

$$(L, M) \rightarrow \bigvee_{i=1}^n F_{A_i(L, M)}$$

is Borel. As the projections $\bigvee_{i=1}^n F_{A_i(L, M)}$ converge weakly to $\bigvee_{i=1}^{\infty} F_{A_i(L, M)}$, we conclude from (9) that $(L, M) \rightarrow C(L, M)$ is Borel.

Ernest remarked in the proof of [5, Prop. 2] that the quasi-equivalence relation on $G^f(\mathcal{H})$ is a Borel subset of $G^f(\mathcal{H}) \times G^f(\mathcal{H})$. The above theorem implies:

COROLLARY 1. *The covering and quasi-equivalence relations are Borel subsets of $G^c(\mathcal{H}) \times G^c(\mathcal{H})$.*

COROLLARY 2. *The quasi-equivalence class $[L]$ of a representation L in $G^c(\mathcal{H})$ is a Borel subset of $G^c(\mathcal{H})$.*

Proof. Let $\pi_i: G^c(\mathcal{H}) \times G^c(\mathcal{H}) \rightarrow G^c(\mathcal{H})$, $i = 1, 2$, be the projections on the first and second co-ordinates. Then $[L] = \pi_2(\pi_1^{-1}(L) \cap \sim)$, and as π_2 is one-to-one on $\pi_1^{-1}(L) \cap \sim$, and the latter is standard, $[L]$ is Borel.

It would seem likely that the unitary equivalence relation is also a Borel subset of $G^c(\mathcal{H}) \times G^c(\mathcal{H})$. Presumably one must prove the

existence of a Borel choice function on spaces of the form $\mathfrak{R}(L, M)$, that selects a unitary operator when such exists. If unitary equivalence were a Borel set, it would follow that the representations $L \in G^c(\mathcal{H})$ with $L(G)'$ finite was also Borel. It should be noted that the unitary analogue of Corollary 2 above is true (see [3, Lemma 2.4]).

If G is the free group on countably many generators, the map described in Corollary 1 of Theorem 4 is onto. As the given structure and the corresponding quotient structure on \mathcal{A} must coincide, a subset of \mathcal{A} will be Borel if and only if the inverse image in $G^c(\mathcal{H})$ is Borel.

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