

## THE BOREL SPACE OF VON NEUMANN ALGEBRAS ON A SEPARABLE HILBERT SPACE

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Let  $(S, \mathcal{S})$  be a Borel space (see G.W. Mackey, *Borel structures in groups and their duals*, Trans. Amer. Math. Soc. 85, (1957) 134-165),  $\mathcal{H}$  a separable Hilbert space,  $\mathfrak{L}$  the bounded linear operators on  $\mathcal{H}$  with the Borel structure generated by the weak topology, and  $\mathcal{A}$  the collection of von Neumann algebras on  $\mathcal{H}$ . A field of  $\mathcal{H}$  von Neumann algebras on  $S$  is a map  $s \rightarrow \mathfrak{A}(s)$  of  $S$  into  $\mathcal{A}$ . We prove that there is a unique standard Borel structure on  $\mathcal{A}$  with the property that  $s \rightarrow \mathfrak{A}(s)$  is Borel if and only if there exist countably many Borel functions  $s \rightarrow A_i(s)$  of  $S$  into  $\mathfrak{L}$  such that for each  $s$ , the operators  $A_i(s)$  generate  $\mathfrak{A}(s)$ . This is a consequence of the more general result that when it is provided with a suitable Borel structure, the space of weakly\* closed subspaces of the dual of a separable Banach space has sufficiently many Borel choice functions.

We show that the commutant, join, and intersection operations on  $\mathcal{A}$  are Borel. It follows that the Borel space of factors is standard. The relevance of  $\mathcal{A}$  to the theory of group representations is also investigated.

Essentially following von Neumann [9], we say that a field  $s \rightarrow \mathfrak{A}(s)$  is *Borel* if there exist countably many Borel functions  $s \rightarrow A_i(s)$  of  $S$  into  $\mathfrak{L}$  such that for each  $s$  the operators  $A_i(s)$  generate  $\mathfrak{A}(s)$ . This definition may be regarded as somewhat artificial. Rather than state which maps of  $S$  into  $\mathcal{A}$  are Borel, one would conjecture that there is a standard Borel structure on  $\mathcal{A}$  for which this characterization of the Borel maps of  $S$  into  $\mathcal{A}$  is then valid. In § 2 and § 3 we shall show that this is the case. The demonstration depends on two results: a theorem in [4] showing that a certain Borel structure on the closed subsets of a polonais space is standard, and Theorem 2 of this paper. In the latter we prove the existence of Borel choice functions for the weakly\* closed subspaces of the dual of a separable Banach space.

The Borel space  $\mathcal{A}$  is of importance in representation theory. If  $G$  is a second countable locally compact group, and  $G^c(\mathcal{H})$  are the weakly continuous unitary representations of  $G$  on  $\mathcal{H}$  with the weak Borel structure (see [8]), the map  $L \rightarrow L(G)'$  (prime indicates commutant) of  $G^c(\mathcal{H})$  into  $\mathcal{A}$  is Borel. By proving in § 3 that the factors  $\mathcal{F}$  are

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a Borel subset of  $\mathcal{A}$ , we obtain new proof in § 4 of Dixmier's result that the factor representations  $G^f(\mathcal{H})$  form a Borel subset of  $G^c(\mathcal{H})$ . We are also able to show that the quasi-equivalence relation is a Borel subset of  $G^c(\mathcal{H}) \times G^c(\mathcal{H})$ .

It is interesting to speculate about the isomorphism relation on  $\mathcal{F}$ . Conceivably, one might find an argument similar to those in [3] to prove that the quotient space was not smooth, and thus in particular, that there are uncountably many essentially distinct factors on  $\mathcal{H}$ .

We remark that an analogous problem of a "nonintrinsic" definition of structure, solved for  $\mathcal{A}$  below, exists in Spanier's definition of a quasi-topology [12]. As is shown in [12], one must look for structures more general than topologies.

We are indebted to E. Alfsen and E. Størmer, who enabled us to simplify the proofs of Theorem 2 (by the convexity argument for the continuity of  $L$ ) and Theorem 5, respectively.

**2. Separable Banach spaces.** Let  $\mathfrak{X}$  be a separable real or complex Banach space,  $\mathfrak{X}^*$  the dual of  $\mathfrak{X}$ ,  $\mathcal{N}(\mathfrak{X})$  the norm closed subspaces of  $\mathfrak{X}$ , and  $\mathcal{W}(\mathfrak{X}^*)$  the weakly\* closed subspaces of  $\mathfrak{X}^*$ . We wish to define a Borel structure on  $\mathcal{W}(\mathfrak{X}^*)$ . As  $\mathfrak{Y} \rightarrow \mathfrak{Y}^\perp$  (the annihilator of  $\mathfrak{Y}$ ) is a one-to-one correspondence between  $\mathcal{N}(\mathfrak{X})$  and  $\mathcal{W}(\mathfrak{X}^*)$ , it suffices to find a Borel structure on  $\mathcal{N}(\mathfrak{X})$  and then to transfer it to  $\mathcal{W}(\mathfrak{X}^*)$ .

$\mathcal{N}(\mathfrak{X})$  is a subset of  $\mathcal{E}_0(\mathfrak{X})$ , the collection of nonempty closed subsets of the polonais space  $\mathfrak{X}$ . In [4] we showed that convergence of subsets in  $\mathcal{E}_0(\mathfrak{X})$  defines a standard Borel structure on  $\mathcal{E}_0(\mathfrak{X})$ . Recalling the procedure, if  $F_\alpha$  is a net in  $\mathcal{E}_0(\mathfrak{X})$  let  $\overline{\lim} F_\alpha$  be those  $x$  in  $\mathfrak{X}$  for which there is a net  $x_\alpha \in F_\alpha$  with  $x_\alpha \rightarrow x$ . Let  $\overline{\lim} F_\alpha$  be those  $x$  in  $\mathfrak{X}$  for which there is a subnet  $F_{\alpha_\beta}$  and  $x_{\alpha_\beta} \in F_{\alpha_\beta}$  with  $x_{\alpha_\beta} \rightarrow x$ . If  $F \in \mathcal{E}_0(\mathfrak{X})$ , we say that  $F_\alpha$  converges to the limit  $F$ ,  $F_\alpha \rightarrow F$ , if  $F = \overline{\lim} F_\alpha = \overline{\lim} F_\alpha$ . If  $\Sigma \subseteq \mathcal{E}_0(\mathfrak{X})$ , we let  $\overline{\Sigma}$  be the limits of nets in  $\Sigma$ , and we say that  $\Sigma$  is convergence closed if  $\overline{\Sigma} = \Sigma$ . The convergence closed sets form a topology, and generate a standard Borel structure on  $\mathcal{E}_0(\mathfrak{X})$ . We let  $\mathcal{N}(\mathfrak{X})$  have the relative Borel structure. It is easily verified that  $\mathcal{N}(\mathfrak{X})$  is convergence closed in  $\mathcal{E}_0(\mathfrak{X})$ , hence  $\mathcal{N}(\mathfrak{X})$  and  $\mathcal{W}(\mathfrak{X}^*)$  have standard Borel structures.

If  $d$  is any metric on  $\mathfrak{X}$  compatible with the topology of  $\mathfrak{X}$ ,  $x \in \mathfrak{X}$ , and  $F \in \mathcal{E}_0(\mathfrak{X})$ , define  $d(x, F) = \text{glb} \{d(x, y) : y \in F\}$ . For any positive  $c$ ,

$$(1) \quad \{F \in \mathcal{E}_0(\mathfrak{X}) : d(x, F) \geq c\}$$

is convergence closed. It follows that  $F \rightarrow d(x, F)$  is a Borel function on  $\mathcal{E}_0(\mathfrak{X})$ . As in the proof of the first theorem in [4], sets of the form (1) separate points in  $\mathcal{E}_0(\mathfrak{X})$ , and thus as  $\mathcal{E}_0(\mathfrak{X})$  is standard, generate the Borel structure. It follows that the Borel structure on

$\mathcal{C}_0(\mathfrak{X})$  is the weakest for which the functions  $F \rightarrow d(x, F)$  are Borel (actually it would suffice to restrict to the  $x$  in a countable dense subset).

Let  $d$  be the norm metric on  $\mathfrak{X}$ . Then for  $\mathfrak{Y} \in \mathcal{W}(\mathfrak{X}^*)$ ,  $d(x, \mathfrak{Y}^\perp) = \|x + \mathfrak{Y}^\perp\|$ , the latter being the quotient norm in  $\mathfrak{X}/\mathfrak{Y}^\perp$ . As  $\mathfrak{Y}$  is weakly\* closed,  $\mathfrak{Y}^\perp \subseteq \mathfrak{Y}$ , and we have a natural isometry  $(\mathfrak{X}/\mathfrak{Y}^\perp)^* \cong \mathfrak{Y}$ . The corresponding isometry of  $\mathfrak{X}/\mathfrak{Y}^\perp$  into  $\mathfrak{Y}^*$  is defined by  $x + \mathfrak{Y}^\perp \rightarrow x|_{\mathfrak{Y}}$ , where  $x|_{\mathfrak{Y}}$  in the restriction of  $x$ , regarded as an element of  $\mathfrak{X}^{**}$ , to  $\mathfrak{Y}$ . We conclude:

**THEOREM 1.** *Let  $\mathfrak{X}$  be a separable Banach space,  $\mathcal{W}(\mathfrak{X}^*)$  the weakly\* closed subspaces of  $\mathfrak{X}^*$ . The Borel structure on  $\mathcal{W}(\mathfrak{X}^*)$  is standard, and may be described as the smallest structure for which the functions*

$$\mathfrak{Y} \rightarrow \|x + \mathfrak{Y}^\perp\| = \|x|_{\mathfrak{Y}}\|, \quad x \in \mathfrak{X}$$

are Borel.

If  $\mathfrak{X}$  is a real or complex separable Banach space, the weak\* Borel structure on  $\mathfrak{X}^*$  is that generated by the weak\* topology. In other words, it is the smallest structure for which the functions  $f \rightarrow f(x)$ ,  $x \in \mathfrak{X}$  are Borel. Although we shall not use this fact, we remark that this structure is standard (see the proof of [8, Th. 8.1]).

Theorem 2 may be regarded as an elaborate form of the Hahn-Banach Theorem. Recalling the usual argument, suppose that  $\mathfrak{X}$  is a real Banach space, and that we wish to construct a function in the closed unit ball  $\mathfrak{X}_1^*$  of  $\mathfrak{X}^*$ . Suppose that  $f$  has been defined on a linear subspace  $\mathfrak{B}$  of  $\mathfrak{X}$ , and is in  $\mathfrak{B}_1^*$ . If we extend  $f$  to the space generated by  $\mathfrak{B}$  and a vector  $x$ , we must insist that

$$(2) \quad |f(x + w)| \leq \|x + w\|$$

for all  $w \in \mathfrak{B}$ , i.e.,

$$-\|x + u\| - f(u) \leq f(x) \leq \|x + v\| - f(v)$$

for all  $u, v \in \mathfrak{B}$ . Let

$$(3) \quad \begin{aligned} L(f) &= \text{lub} \{-\|x + u\| - f(u) : u \in \mathfrak{B}\}, \\ M(f) &= \text{glb} \{\|x + v\| - f(v) : v \in \mathfrak{B}\}. \end{aligned}$$

These exist as for any  $u, v \in \mathfrak{B}$ ,

$$f(v - u) \leq \|v - u\| \leq \|x + v\| + \|x + u\|,$$

$$(4) \text{ i.e., } \quad -\|x + u\| - f(u) \leq \|x + v\| - f(v).$$

Thus we may rewrite (2):

$$(5) \quad L(f) \leq f(x) \leq M(f) .$$

We shall assume below that  $\mathfrak{X}$  is finite dimensional, and let  $\mathfrak{X}^*$  have the norm topology. The functions  $f \rightarrow L(f)$  and  $f \rightarrow M(f)$  are defined on the closed unit ball  $\mathfrak{B}_1^*$ . As it is the least upper bound of convex functions,  $f \rightarrow L(f)$  is convex, and thus continuous on the interior of  $\mathfrak{B}_1^*$  (see [1, p. 92]). From

$$(6) \quad M(f) = -L(-f) ,$$

$f \rightarrow M(f)$  is also continuous on the interior of  $\mathfrak{B}_1^*$ .

**THEOREM 2.** *Let  $\mathfrak{X}$  be a separable Banach space,  $\mathscr{W}(\mathfrak{X}^*)$  the weakly\* closed subspaces of  $\mathfrak{X}^*$ . There exist countably many Borel choice functions  $f_n: \mathscr{W}(\mathfrak{X}^*) \rightarrow \mathfrak{X}^*$  such that for each  $\mathfrak{Y} \in \mathscr{W}(\mathfrak{X}^*)$ , the vectors  $f_n(\mathfrak{Y})$  are weakly\* dense in the closed unit ball  $\mathfrak{B}_1$  of  $\mathfrak{Y}$ .*

*Proof.* Suppose that  $\mathfrak{X}$  is real. If  $\mathfrak{Y} \in \mathscr{W}(\mathfrak{X}^*)$ , we may identify  $\mathfrak{Y}$  with  $(\mathfrak{X}/\mathfrak{Y}^\perp)^*$ , the norms and the weak\* topologies will coincide.

For each sequence of real numbers  $t = (t_1, t_2, \dots)$  with  $0 \leq t_i \leq 1$ , we shall construct a function  $f_t^\mathfrak{Y} \in (\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$ . Let  $x_1, x_2, \dots$  be norm dense in  $\mathfrak{X}$ , with  $x_1 = 0$ . Let  $x_n(\mathfrak{Y}) = x_n + \mathfrak{Y}^\perp$ , and  $\mathfrak{B}_n(\mathfrak{Y})$  be the linear space spanned by  $x_1(\mathfrak{Y}), \dots, x_n(\mathfrak{Y})$  in  $\mathfrak{X}/\mathfrak{Y}^\perp$ . Define  $f_{t_1}^\mathfrak{Y}(0) = 0$ . Suppose that we have defined  $f_{t_1, \dots, t_n}^\mathfrak{Y}$  to be an element of  $\mathfrak{B}_n(\mathfrak{Y})_1^*$ . Letting  $\mathfrak{B}_n(\mathfrak{Y}) = \mathfrak{B}$ ,  $f_{t_1, \dots, t_n}^\mathfrak{Y} = f$ , and  $x_{n+1}(\mathfrak{Y}) = x$  in our previous discussion, define

$$(7) \quad f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) = t_{n+1}L(f) + (1 - t_{n+1})M(f) .$$

If  $x \in \mathfrak{B}$ , letting  $u = v = -x$ , we have from (3), (5), and (7)

$$-f(u) \leq L(f) \leq f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) \leq M(f) \leq -f(v),$$

i.e.,

$$f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) = f(x) .$$

Thus defining  $f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}$  on  $\mathfrak{B}_{n+1}(\mathfrak{Y})$  by

$$f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(cx + w) = cf_{t_1, \dots, t_{n+1}}^\mathfrak{Y}(x) + f(w) ,$$

we obtain an extension of  $f_{t_1, \dots, t_n}^\mathfrak{Y}$  to an element of  $\mathfrak{B}_{n+1}(\mathfrak{Y})^*$ . As  $f = f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}$  satisfies (5), it readily follows that  $f_{t_1, \dots, t_{n+1}}^\mathfrak{Y}$  is in  $\mathfrak{B}_{n+1}(\mathfrak{Y})_1^*$ . Define  $f_t^\mathfrak{Y}$  on the space spanned by the  $x_n(\mathfrak{Y})$  to be the union of the functions  $f_{t_1, \dots, t_n}^\mathfrak{Y}$ . This extends by continuity to an element of  $(\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$ .

It is clear that any function in  $(\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$  must have the form  $f_t^\mathfrak{Y}$

for some sequence  $t = (t_1, t_2, \dots)$ . We claim that the countable family of functions  $f_r^{\mathfrak{Y}}$ ,  $r = (r_1, r_2, \dots)$  with the  $r_i$  rational, and all but a finite number equal to 0, are weakly\* dense in  $(\mathfrak{X}/\mathfrak{Y}^\perp)_1^*$ . It suffices to prove that for all  $n$ , the functions  $f_{r_1, \dots, r_n}^{\mathfrak{Y}}$  are weakly\*, or equivalently, norm dense in the interior of  $(\mathfrak{B}_n(\mathfrak{Y}))_1^*$ . This is trivial if  $n = 1$ . Suppose that it is true for  $n$ . If  $g \in \mathfrak{B}_{n+1}(\mathfrak{Y})^*$  and  $\|g\| \leq 1$ , let  $f$  be the restriction of  $g$  to  $\mathfrak{B}_n(\mathfrak{Y})$ . From our hypothesis and the earlier discussion, we may select rationals  $r_1, \dots, r_n$  with  $f_{r_1, \dots, r_n}^{\mathfrak{Y}}$  close to  $f$  in the norm topology, and  $L(f_{r_1, \dots, r_n}^{\mathfrak{Y}})$  and  $M(f_{r_1, \dots, r_n}^{\mathfrak{Y}})$  close to  $L(f)$  and  $M(f)$ , respectively. Thus by a suitable choice of  $r_{n+1}$ , we obtain

$$f_{r_1, \dots, r_{n+1}}^{\mathfrak{Y}}(x_{n+1}(\mathfrak{Y}))$$

close to  $g(x_{n+1}(\mathfrak{Y}))$ .

For any sequence  $(t_1, t_2, \dots)$  we have that  $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x_n)$  is Borel (regarding  $f_t^{\mathfrak{Y}}$  as an element of  $\mathfrak{Y}$ ). This is trivial if  $n = 1$ . Suppose that it is true for  $k \leq n$ . Then

$$(8) \quad \begin{aligned} f_t^{\mathfrak{Y}}(x_{n+1}) &= f_{t_1, \dots, t_{n+1}}^{\mathfrak{Y}}(x_{n+1}(\mathfrak{Y})) \\ &= t_{n+1}L(f_{t_1, \dots, t_n}^{\mathfrak{Y}}) + (1 - t_{n+1})M(f_{t_1, \dots, t_n}^{\mathfrak{Y}}) \end{aligned}$$

If  $\mathfrak{B}_n$  is the linear span of  $x_1, \dots, x_n$ ,

$$L(f_{t_1, \dots, t_n}^{\mathfrak{Y}}) = \text{lub} \{ - \|x_{n+1} + u + \mathfrak{Y}^\perp\| - f_t^{\mathfrak{Y}}(u) : u \in \mathfrak{B}_n \}.$$

From Theorem 1 and the induction hypothesis,

$$\mathfrak{Y} \rightarrow - \|x_{n+1} + u + \mathfrak{Y}^\perp\| - f_t^{\mathfrak{Y}}(u)$$

is Borel for any  $u \in \mathfrak{B}_n$ . Restricting to  $u$  that are rational linear combinations of the  $x_k$  for  $k \leq n$ ,  $\mathfrak{Y} \rightarrow L(f_{t_1, \dots, t_n}^{\mathfrak{Y}})$  is the least upper bound of a countable number of Borel functions, and is thus Borel. From (6) and (8),  $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x_{n+1})$  is Borel. For any  $x \in \mathfrak{X}$ ,  $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x)$  is a limit of functions of the form  $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}(x_n)$ , and hence is Borel. Thus  $\mathfrak{Y} \rightarrow f_t^{\mathfrak{Y}}$  is Borel.

Finally, suppose that  $\mathfrak{X}$  is a complex Banach space. Letting  $\mathfrak{X}_R$  be the corresponding real Banach space,  $\mathcal{N}(\mathfrak{X})$  is a convergence closed subset of  $\mathcal{N}(\mathfrak{X}_R)$ . Define a map of  $\mathcal{W}(\mathfrak{X}^*)$  into  $\mathcal{W}((\mathfrak{X}_R)^*)$  by  $\mathfrak{Y} \rightarrow \text{Re } \mathfrak{Y}$ , where the latter consists of all real functions  $\text{Re } f$  with  $f \in \mathfrak{Y}$  (the customary argument shows that  $f \rightarrow \text{Re } f$  is an isometry of  $\mathfrak{X}^*$  onto  $(\mathfrak{X}_R)^*$ ). For  $\mathfrak{Z} \in \mathcal{N}(\mathfrak{X})$ ,  $\text{Re } (\mathfrak{Z}^\perp) = \mathfrak{Z}^\perp$ , where annihilators are taken in  $\mathfrak{X}^*$  and  $(\mathfrak{X}_R)^*$ , respectively. It follows that  $\mathfrak{Y} \rightarrow \text{Re } \mathfrak{Y}$  defines a Borel isomorphism of  $\mathcal{W}(\mathfrak{X}^*)$  onto a Borel subset of  $\mathcal{W}((\mathfrak{X}_R)^*)$ . Choose real choice functions  $f_n: \mathcal{W}((\mathfrak{X}_R)^*) \rightarrow (\mathfrak{X}_R)^*$  with  $f_n(\mathfrak{Y})$  weakly\* dense in  $\mathfrak{Y}_1$  for each  $\mathfrak{Y} \in \mathcal{W}((\mathfrak{X}_R)^*)$ . Let  $g_n: \mathcal{W}(\mathfrak{X}^*) \rightarrow \mathfrak{X}^*$  be the corresponding complex functions, i.e., for  $\mathfrak{Y} \in \mathcal{W}(\mathfrak{X}^*)$  and  $x \in \mathfrak{X}$ , let

$$g_n(\mathfrak{Y})(x) = f_n(\operatorname{Re} \mathfrak{Y})(x) - i f_n(\operatorname{Re} \mathfrak{Y})(ix) .$$

Then  $\operatorname{Re} g_n(\mathfrak{Y}) = f_n(\operatorname{Re} \mathfrak{Y}) \in (\operatorname{Re} \mathfrak{Y})_1$ , implies  $g_n(\mathfrak{Y}) \in \mathfrak{Y}_1$ . Given an arbitrary  $g \in \mathfrak{Y}_1$ ,  $x_1, \dots, x_k \in \mathfrak{X}$ , and  $\varepsilon > 0$ , choose an  $f_n$  with

$$\begin{aligned} |f_n(\operatorname{Re} \mathfrak{Y})(x_j) - \operatorname{Re} g(x_j)| &< \varepsilon \\ |f_n(\operatorname{Re} \mathfrak{Y})(ix_j) - \operatorname{Re} g(ix_j)| &< \varepsilon , \end{aligned}$$

for  $j = 1, \dots, k$ . Then as

$$g(x) = \operatorname{Re} g(x) - i \operatorname{Re} g(ix) ,$$

we have

$$|g_n(\mathfrak{Y})(x_j) - g(x_j)| < 2\varepsilon$$

for  $j = 1, \dots, k$ . Thus the  $g_n(\mathfrak{Y})$  are weakly\* dense in  $\mathfrak{Y}_1$ . Clearly the  $g_n$  are Borel.

**COROLLARY.** *If  $(S, \mathcal{S})$  is a Borel space, then a map  $s \rightarrow \mathfrak{Y}(s)$  of  $S$  into  $\mathscr{W}(\mathfrak{X}^*)$  is Borel if and only if there exist countably many Borel functions  $s \rightarrow f_n^s$  of  $S$  into  $\mathfrak{X}^*$ , such that for each  $s$ , the vectors  $f_n^s$  are weakly dense in  $\mathfrak{Y}(s)_1$ .*

*Proof.* If  $s \rightarrow \mathfrak{Y}(s)$  is Borel, the functions  $f_n^s$  are obtained by composing this map with the choice functions of Theorem 2. Conversely, if such functions exist, we have from the isometry

$$\mathfrak{Y}(s) \cong (\mathfrak{X}/\mathfrak{Y}(s)^\perp)^* ,$$

$$\|x + \mathfrak{Y}(s)^\perp\| = \sup \{|f_i^s(x)| : i = 1, 2, \dots\}$$

for each  $x \in \mathfrak{X}$ . Thus  $s \rightarrow \|x + \mathfrak{Y}(s)^\perp\|$  is Borel for each  $x \in \mathfrak{X}$ , and by Theorem 1,  $s \rightarrow \mathfrak{Y}(s)$  is Borel.

**3. Von Neumann algebras.** Let  $\mathcal{H}$ ,  $\mathfrak{L}$ ,  $\mathcal{A}$ , and  $\mathcal{F}$  be as in § 1. We have that  $\mathfrak{L} = (\mathfrak{L}_*)^*$ , where  $\mathfrak{L}_*$  is the separable Banach space of ultra-weakly continuous functions on  $\mathfrak{L}$  (or by a natural identification, the trace class operators with a suitable norm-see [10]). The ultra-weak and weak\* topologies coincide on  $\mathfrak{L}$ . Thus letting  $\mathscr{W}(\mathfrak{L})$  be the ultra-weakly closed subspaces of  $\mathfrak{L}$ , we may give it the Borel structure described in § 2.

If  $\mathfrak{Y} \in \mathscr{W}(\mathfrak{L})$ , write  $\mathfrak{Y}^*$  and  $\mathfrak{Y}'$  for the adjoints of elements in  $\mathfrak{Y}$ , and the commutant of  $\mathfrak{Y}$ , respectively. The proof of the following theorem is largely patterned after that of [6, Th. 2.8].

**THEOREM 3.**  $\mathfrak{Y} \rightarrow \mathfrak{Y}^*$  and  $\mathfrak{Y} \rightarrow \mathfrak{Y}'$  define Borel transformations of

$\mathscr{W}(\mathfrak{L})$ .

*Proof.* For  $f \in \mathfrak{L}_*$ , define  $f^* \in \mathfrak{L}_*$  by  $f^*(A) = \overline{f(A^*)}$ , the bar indicating complex conjugate. This is an isometry of  $\mathfrak{L}_*$ , hence the transformation  $\mathfrak{B} \rightarrow \mathfrak{B}^*$  on  $\mathcal{N}(\mathfrak{L})$  is a homeomorphism (in the sense of convergence), and a Borel isomorphism. For  $\mathfrak{Y} \in \mathscr{W}(\mathfrak{L})$ ,  $(\mathfrak{Y}^\perp)^* = (\mathfrak{Y}^*)^\perp$ , i.e., the adjoint operation on  $\mathcal{N}(\mathfrak{L}^*)$  is carried into that on  $\mathscr{W}(\mathfrak{L})$ , and thus is a Borel isomorphism on the latter.

From Theorem 2, we may let  $\mathfrak{Y} \rightarrow A_n^\mathfrak{Y}$  be Borel choice functions on  $\mathscr{W}(\mathfrak{L})$  with  $A_n^\mathfrak{Y}$  ultra-weakly dense in  $\mathfrak{Y}_1$ . We have

$$\mathfrak{Y}' = \{B \in \mathfrak{L} : BA_n^\mathfrak{Y} - A_n^\mathfrak{Y}B = 0 \text{ for } n = 1, 2, \dots\}.$$

Let  $\mathfrak{M}$  and  $\mathfrak{M}_*$  be the sequences  $(A_n)$  and  $(f_n)$  of elements in  $\mathfrak{L}$  and  $\mathfrak{L}_*$ , respectively, with  $\sup\{\|A_n\| : n = 1, 2, \dots\} < \infty$  and  $\sum_{n=1}^\infty \|f_n\| < \infty$ . With the norms  $\|(A_n)\| = \sup\{\|A_n\| : n = 1, 2, \dots\}$  and  $\|(f_n)\| = \sum_{n=1}^\infty \|f_n\|$ ,  $\mathfrak{M}$  and  $\mathfrak{M}_*$  are Banach spaces, and defining  $(f_n)((A_n)) = \sum_{n=1}^\infty f_n(A_n)$ ,  $\mathfrak{M}$  may be identified with the dual of  $\mathfrak{M}_*$ . We have

$$\mathfrak{Y}' = \text{kernel } T^\mathfrak{Y},$$

where  $T^\mathfrak{Y} : \mathfrak{L} \rightarrow \mathfrak{M}$  is defined by

$$T^\mathfrak{Y}(B) = (BA_n^\mathfrak{Y} - A_n^\mathfrak{Y}B).$$

we claim that  $T^\mathfrak{Y}$  is continuous in the weak\* topologies. If  $(f_n) \in \mathfrak{M}_*$ ,

$$(f_n)T^\mathfrak{Y}(B) = \sum_{n=1}^\infty g_n(B),$$

where  $g_n(B) = f_n(BA_n^\mathfrak{Y} - A_n^\mathfrak{Y}B)$ . The partial sums  $\sum_{n=1}^N g_n$  are weakly\* continuous, and converge uniformly on the unit ball  $\mathfrak{L}_1$  of  $\mathfrak{L}$ , as if  $B \in \mathfrak{L}_1$ ,

$$\left| \sum_{n=N+1}^\infty g_n(B) \right| \leq 2 \sum_{n=N+1}^\infty \|f_n\|.$$

It follows that  $B \rightarrow (f_n)T^\mathfrak{Y}(B)$  is continuous on  $\mathfrak{L}_1$ , and thus on  $\mathfrak{L}$  (see [2, p. 41]). Define  $T_*^\mathfrak{Y} : \mathfrak{M}_* \rightarrow \mathfrak{L}_*$  by

$$T_*^\mathfrak{Y}((f_n))(B) = (f_n)(T^\mathfrak{Y}(B)).$$

We have that  $(\text{kernel } T^\mathfrak{Y})^\perp$  is the closure of the range of  $T_*^\mathfrak{Y}$ . Thus letting  $B_i$  be ultra-weakly dense in  $\mathfrak{L}_1$  and  $g_j = (f_j)$  be norm dense in  $\mathfrak{M}_*$ , we have for any  $f \in \mathfrak{L}_*$ ,

$$\|f + (\mathfrak{Y}')^\perp\| = \text{glb} \{\|f + T_*^\mathfrak{Y}(g_j)\|, j = 1, 2, \dots\}$$

where

$$\begin{aligned} \|f + T_*^{\mathfrak{Y}}(g_i)\| &= \text{lub} \{ \|f(B_i) + T_*^{\mathfrak{Y}}(g_i)(B_i)\| : i = 1, 2, \dots \} \\ &= \text{lub} \{ \|f(B_i) + \sum_{n=1}^{\infty} f'_n(B_i A_n^{\mathfrak{Y}} - A_n^{\mathfrak{Y}} B_i)\| : i = 1, 2, \dots \}. \end{aligned}$$

As  $\mathfrak{Y} \rightarrow A_n^{\mathfrak{Y}}$  is ultra-weakly Borel,  $\mathfrak{Y} \rightarrow \|f + (\mathfrak{Y}')^\perp\|$  is Borel, and as  $f$  is arbitrary, we have from Theorem 1 that  $\mathfrak{Y} \rightarrow \mathfrak{Y}'$  is Borel.

**COROLLARY 1.**  *$\mathcal{A}$  is a Borel subset of  $\mathscr{W}(\mathfrak{Y})$ , and thus is standard under the relative Borel structure.*

*Proof.*  $\mathcal{A}$  consists of the  $\mathfrak{Y} \in \mathscr{W}(\mathfrak{Q})$  invariant under the Borel transformations  $\mathfrak{Y} \rightarrow \mathfrak{Y}^*$  and  $\mathfrak{Y} \rightarrow \mathfrak{Y}''$ . In general say that  $\theta$  is a Borel transformation of Borel space  $(S, \mathcal{S})$ . If  $\Delta$  is the diagonal of  $S \times S$ , and  $\theta \times \iota: S \rightarrow S \times S$  is defined by  $\theta \times \iota(s) = (\theta(s), s)$ , we have

$$\{s \in S: \theta(s) = s\} = (\theta \times \iota)^{-1}(\Delta).$$

Thus if  $(S, \mathcal{S})$  is standard,  $\Delta$  is a Borel subset of  $S \times S$ , and the set of fixed points of  $\theta$  is Borel.

Given von Neumann algebras  $\mathfrak{A}$  and  $\mathfrak{B}$ , we let  $\mathfrak{A} \vee \mathfrak{B}$  denote the von Neumann algebra generated by  $\mathfrak{A}$  and  $\mathfrak{B}$ . Providing  $\mathcal{A} \times \mathcal{A}$  with the product structure,

**COROLLARY 2.** *The maps of  $\mathcal{A} \times \mathcal{A}$  into  $\mathcal{A}$  defined by  $(\mathfrak{A}, \mathfrak{B}) \rightarrow \mathfrak{A} \cap \mathfrak{B}$  and  $(\mathfrak{A}, \mathfrak{B}) \rightarrow \mathfrak{A} \vee \mathfrak{B}$  are Borel.*

*Proof.* As  $\mathfrak{A} \cap \mathfrak{B} = (\mathfrak{A}' \vee \mathfrak{B}')'$ , it suffices to prove the second assertion. From Theorem 2, there exist Borel choice functions  $A_i: \mathcal{A} \rightarrow \mathfrak{Q}$  with  $A_i(\mathfrak{A})$  ultra-weakly dense in  $\mathfrak{A}_i$ , for each  $\mathfrak{A} \in \mathcal{A}$ . For each pair  $(\mathfrak{A}, \mathfrak{B}) \in \mathcal{A} \times \mathcal{A}$ , let  $\mathcal{C}(\mathfrak{A}, \mathfrak{B})$  be the self-adjoint linear algebra generated by the elements  $A_i(\mathfrak{A})$  and  $A_j(\mathfrak{B})$ . Let  $B_k(\mathfrak{A}, \mathfrak{B})$  be an enumeration of the finite complex rational combinations of finite products of the elements  $A_i(\mathfrak{A})$ ,  $A_j(\mathfrak{B})$  and their adjoints. The  $B_k(\mathfrak{A}, \mathfrak{B})$  are norm dense in  $\mathcal{C}(\mathfrak{A}, \mathfrak{B})$ , hence defining  $B'_k(\mathfrak{A}, \mathfrak{B}) = B_k(\mathfrak{A}, \mathfrak{B})$  if  $\|B_k(\mathfrak{A}, \mathfrak{B})\| \leq 1$ , and  $B'_k(\mathfrak{A}, \mathfrak{B}) = 0$  otherwise, the  $B'_k(\mathfrak{A}, \mathfrak{B})$  are norm dense in  $\mathcal{C}(\mathfrak{A}, \mathfrak{B})_1$ . From the Kaplansky Density Theorem, the latter is ultra-weakly dense in  $(\mathfrak{A} \vee \mathfrak{B})_1$ . As  $(\mathfrak{A}, \mathfrak{B}) \rightarrow B'_k(\mathfrak{A}, \mathfrak{B})$  are Borel, our assertion follows from the corollary to Theorem 2.

**COROLLARY 3.**  *$\mathcal{F}$  is a Borel subset of  $\mathcal{A}$ , and thus is standard in the relative Borel structure.*

*Proof.* Let  $\mathfrak{F}$  be the von Neumann algebra on  $\mathcal{H}$  consisting of complex multiples of the identity operator. Then  $\mathcal{F}$  is the inverse

image of the element  $\mathfrak{F}$  under the Borel map of  $\mathcal{A}$  into  $\mathcal{A}$  defined by  $\mathfrak{A} \rightarrow \mathfrak{A} \cap \mathfrak{A}'$ .

The argument used in the proof of Corollary 2 shows that a map  $s \rightarrow \mathfrak{A}(s)$  of a Borel space  $(S, \mathcal{S})$  into  $\mathcal{A}$  is Borel if and only if there exist Borel functions  $s \rightarrow A_i(s)$  of  $S$  into  $\mathfrak{L}$  such that the  $A_i(s)$  generate  $\mathfrak{A}(s)$ . Thus we have recaptured the original definition of § 1.

In direct integral theory, it is of some importance to know that various other subsets of  $\mathcal{A}$  are measurable (see [9, 11]). We suspect that constructive procedures similar to that used in Theorem 2, would enable one to show that many of these sets are Borel.

**4. Representation spaces.** Let  $\mathcal{H}, \mathfrak{L}, \mathcal{A}$ , and  $\mathcal{F}$  be as above, and  $G$  be a second countable locally compact group (an analogous theory exists for separable  $C^*$ -algebras). Let  $G^c(\mathcal{H})$  be the weakly continuous unitary representations of  $G$  on  $\mathcal{H}$ , with the standard Borel structure defined by Mackey (see [8]). Let  $G'(\mathcal{H})$  be the subset of factor representations, i.e. those representations  $L \in G^c(\mathcal{H})$  with  $L(G)'$  a factor von Neumann algebra.

If  $L, M \in G^c(\mathcal{H})$ , let  $\mathfrak{R}(L, M)$  be the ring of intertwining operators for  $L$  and  $M$ , i.e., those  $B \in \mathfrak{L}$  with  $BL(t) = M(t)B$  for all  $t \in G$ . In particular,  $\mathfrak{R}(L, L) = L(G)'$ . As was the case for Theorem 3, the following is simply a refinement of [6, Th. 2.8].

**THEOREM 4.** *The map  $G^c(\mathcal{H}) \times G^c(\mathcal{H}) \rightarrow G^c(\mathcal{H})$  defined by  $(L, M) \rightarrow \mathfrak{R}(L, M)$  is Borel.*

*Proof.* Let  $t_n$  be dense in  $G$ , and define  $\mathfrak{M}$  and  $\mathfrak{M}_*$  as in the proof of Theorem 3. Defining  $S^{(L, M)}: \mathfrak{L} \rightarrow \mathfrak{M}$  by

$$S^{(L, M)}(B) = (BL(t_n) - M(t_n)B),$$

we have that

$$\mathfrak{R}(L, M) = \text{kernel } S^{(L, M)},$$

and that  $S^{(L, M)}$  is continuous in the weak\* topologies.  $S^{(L, M)}$  is the adjoint of a map  $S_*^{(L, M)}: \mathfrak{M}_* \rightarrow \mathfrak{L}_*$ , and choosing  $B_i$  ultra-weakly dense in  $\mathfrak{L}_1$ , and  $g_j = (f_j^n)$  norm dense in  $\mathfrak{M}_*$ , we have for any  $f \in L_*$ ,

$$\|f + \mathfrak{R}(L, M)^\perp\| = \text{glb} \{ \|f + S_*^{(L, M)}(g_j)\| : j = 1, 2, \dots \},$$

where

$$\begin{aligned} \|f + S_*^{(L, M)}(g_j)\| &= \text{lub} \{ |f(B_i)| \\ &+ \sum_{n=1}^\infty f_j^n(B_i L(t_n) - M(t_n)B_i) | : i = 1, 2, \dots \}. \end{aligned}$$

$(L, M) \rightarrow f_j^n(B_i L(t_n) - M(t_n)B_i)$  is Borel when  $G^c(\mathcal{H}) \times G^c(\mathcal{H})$  is given the product of the Mackey Borel structures, as any ultra-weakly continuous function is a norm limit of weakly continuous functions. It follows that  $(L, M) \rightarrow \|f + \mathfrak{R}(L, M)^\perp\|$  is Borel, and from Theorem 1,  $(L, M) \rightarrow \mathfrak{R}(L, M)$  is Borel.

**COROLLARY 1.** *The map  $G^c(\mathcal{H}) \rightarrow \mathcal{A}$  defined by  $L \rightarrow L(G)'$  is Borel*

**COROLLARY 2.** *(This was first proved by J. Dixmier—see [5, Theorem 1].) The set  $G^f(\mathcal{H})$  of factor representation of  $G$  forms a Borel subset of  $G^c(\mathcal{H})$ , and thus is standard under the relative Borel structure.*

Following Mackey (see [7]), if  $L, M \in G^c(\mathcal{H})$ , we say that  $L$  is covered by  $M$ ,  $L < M$ , if very subrepresentation of  $L$  contains a subrepresentation that is unitarily equivalent to a subrepresentation of  $M$ .  $L$  is quasi-equivalent to  $M$ ,  $L \sim M$ , if  $L < M$  and  $M < L$ .

If  $E$  is a projection in  $L(G)'$ , and  $E \neq 0$ , let  $L^E$  denote the corresponding subrepresentation of  $G$  on the range of  $E$ . If there exists a projection  $E \in L(G)'$  with  $E \neq 0$  and  $L^E < M$ , let  $C(L, M)$  be the least upper bound of all such projections. Otherwise, let  $C(L, M) = 0$ .  $C(L, M)$  is an element of  $L(G)' \cap L(G)''$ .

**THEOREM 5.** *The map  $G^c(\mathcal{H}) \times G^c(\mathcal{H}) \rightarrow \mathfrak{L}$  defined by  $(L, M) \rightarrow C(L, M)$  is Borel.*

*Proof.* If  $A \in \mathfrak{L}$ , let  $E_A$  and  $F_A$  be the projections on the closure of the range, and the orthogonal complement of the kernel of  $A$ . If  $A \in \mathfrak{R}(L, M)$ , then  $F_A \in L(G)'$  and  $E_A \in M(G)'$ . If  $A \neq 0$ , and  $U$  is the partial isometry in the polar decomposition of  $A$  with  $U^*U = F_A$ , then  $U$  determines a unitary equivalence of  $L^{F_A}$  and  $M^{E_A}$ , and  $F_A \leq C(L, M)$ . From Theorems 4 and 2, there exist Borel functions  $A_i(L, M)$  that are ultra-weakly dense in the unit ball of  $\mathfrak{R}(L, M)$  for each  $L$  and  $M$ . We claim that

$$(9) \quad C(L, M) = \bigvee_{i=1}^{\infty} F_{A_i(L, M)},$$

where on the right we have taken the least upper bound in the complete projection lattice of  $L(G)'$ .

Suppose that there exist  $L$  and  $M$  with

$$F = C(L, M) - \bigvee_{i=1}^{\infty} F_{A_i(L, M)} \neq 0.$$

As  $L^F < M$ , there exists a projection  $F_0 \leq F$  with  $F_0 \neq 0$  and  $F_0 = U^*U$  where  $U \in \mathfrak{R}(L, M)$ . Choosing  $i_k$  for which  $A_{i_k}(L, M) \rightarrow U$  ultra-weakly,

$$0 = A_{i_k}(L, M)F_0 \rightarrow UF_0 = F_0,$$

a contradiction.

The map of  $\mathfrak{S}$  into itself defined by  $A \rightarrow F_A$  is Borel. To see this, note that  $A \rightarrow A^*A$  is weakly Borel, as if  $x, y \in \mathcal{H}$ , letting  $x_i$  be an orthonormal basis we have

$$A^*Ax \cdot y = \sum_{i=1}^{\infty} (Ax \cdot x_i)(Ay \cdot x_i)^-.$$

A similar expansion shows that for positive integers  $n$ ,  $A \rightarrow A^n$  is Borel, hence for any polynomial  $p$ ,  $A \rightarrow p(A)$  is Borel. Suppose that  $f$  is a bounded real Borel function on the reals, and that there is a sequence of real polynomials  $p_n$  converging to  $f$  point-wise, uniformly bounded on compact sets. If  $A$  is a self-adjoint element in  $\mathfrak{S}$ , we have from spectral theory that  $p_n(A) \rightarrow f(A)$  weakly. Thus  $A \rightarrow f(A)$  is Borel. Letting  $g$  be the characteristic function of the open set  $(0, \infty)$ ,  $A \rightarrow F_A = g((A^*A)^{1/2})$  is Borel.

For all  $i$ ,  $(L, M) \rightarrow F_{A_i(L, M)}$  is Borel. If  $F_1, \dots, F_n$  are projections, then

$$F_1 \vee \dots \vee F_n = F_{(F_1 + \dots + F_n)},$$

hence

$$(L, M) \rightarrow \bigvee_{i=1}^n F_{A_i(L, M)}$$

is Borel. As the projections  $\bigvee_{i=1}^n F_{A_i(L, M)}$  converge weakly to  $\bigvee_{i=1}^{\infty} F_{A_i(L, M)}$ , we conclude from (9) that  $(L, M) \rightarrow C(L, M)$  is Borel.

Ernest remarked in the proof of [5, Prop. 2] that the quasi-equivalence relation on  $G^f(\mathcal{H})$  is a Borel subset of  $G^f(\mathcal{H}) \times G^f(\mathcal{H})$ . The above theorem implies:

**COROLLARY 1.** *The covering and quasi-equivalence relations are Borel subsets of  $G^c(\mathcal{H}) \times G^c(\mathcal{H})$ .*

**COROLLARY 2.** *The quasi-equivalence class  $[L]$  of a representation  $L$  in  $G^c(\mathcal{H})$  is a Borel subset of  $G^c(\mathcal{H})$ .*

*Proof.* Let  $\pi_i: G^c(\mathcal{H}) \times G^c(\mathcal{H}) \rightarrow G^c(\mathcal{H})$ ,  $i = 1, 2$ , be the projections on the first and second co-ordinates. Then  $[L] = \pi_2(\pi_1^{-1}(L) \cap \sim)$ , and as  $\pi_2$  is one-to-one on  $\pi_1^{-1}(L) \cap \sim$ , and the latter is standard,  $[L]$  is Borel.

It would seem likely that the unitary equivalence relation is also a Borel subset of  $G^c(\mathcal{H}) \times G^c(\mathcal{H})$ . Presumably one must prove the

existence of a Borel choice function on spaces of the form  $\mathfrak{R}(L, M)$ , that selects a unitary operator when such exists. If unitary equivalence were a Borel set, it would follow that the representations  $L \in G^c(\mathcal{H})$  with  $L(G)'$  finite was also Borel. It should be noted that the unitary analogue of Corollary 2 above is true (see [3, Lemma 2.4]).

If  $G$  is the free group on countably many generators, the map described in Corollary 1 of Theorem 4 is onto. As the given structure and the corresponding quotient structure on  $\mathcal{A}$  must coincide, a subset of  $\mathcal{A}$  will be Borel if and only if the inverse image in  $G^c(\mathcal{H})$  is Borel.

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