

## LOCAL DOMAINS WITH TOPOLOGICALLY $T$ -NILPOTENT RADICAL

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This paper is concerned with local integral domains (no chain condition) which have the following property: for each ideal  $\mathcal{A} \neq 0$  of  $A$  and for each sequence  $(a_n)_{n \in N}$  of elements of  $M(A)$ , the maximal ideal of  $A$ , there is an  $M \in N$  such that  $a_0 a_1 \cdots a_k \in \mathcal{A}$ . A local domain with this property is called a local domain with  $TTN$ . These rings are shown to be rings with Krull dimension 1 and local domains with Krull dimension 1 are shown to be dominated by rank 1 valuation rings. Modules over these rings are studied and results concerning divisibility and existence of simple submodules are obtained.

Noetherian integral domains with  $TTN$  are studied. Integral extensions of these rings are also studied. By localization of previous results, a characterization is given of those integral domains  $A$  with the property that every nonzero torsion  $A$ -module has a simple submodule.

H. Bass in [1] studied rings with the property that the Jacobson radical was  $T$ -nilpotent ( $T$  for transfinite), i.e., for each sequence  $(a_n)_{n \in N}$  of elements of the Jacobson radical,  $a_0 a_1 \cdots a_k = 0$  for some  $k$ . Local integral domains with  $TTN$  are just local domains with the property that  $A/\mathcal{A}$  has  $T$ -nilpotent radical for each ideal  $\mathcal{A} \neq 0$  of  $A$ .

In this paper  $A$  will denote a ring. All rings will be assumed to be commutative and have an identity. All modules will be unitary.

$A$  will be called a local ring if  $A$  has a unique maximal ideal. If  $A$  is a local ring,  $M(A)$  will denote its maximal ideal. If  $B$  is a local subring of  $A$ ,  $A$  is said to dominate  $B$  if  $M(A) \cap B = M(B)$ . For convenience we agree that an integral domain is not a field.

If  $E$  and  $F$  are  $A$ -modules,  $E \otimes F$  will mean  $E \otimes_A F$ .

DEFINITION. An ideal  $\mathcal{A}$  of a ring  $A$  will be called topologically  $T$ -nilpotent if for each ideal  $\mathcal{B}$  of  $A$ ,  $\mathcal{B} \subset \mathcal{A}$ ,  $\mathcal{B} \neq 0$ , and each sequence  $(a_i)_{i \in N}$  of elements of  $\mathcal{A}$ , there is an  $n \in N$  with  $a_0 a_1 \cdots a_n \in \mathcal{B}$ .

DEFINITION. An ideal  $\mathcal{A}$  of a ring  $A$  will be called topologically nilpotent if for each ideal  $\mathcal{B}$  of  $A$ ,  $\mathcal{B} \subset \mathcal{A}$ ,  $\mathcal{B} \neq 0$ , and each element  $a$  of  $\mathcal{A}$ ,  $a^n \in \mathcal{B}$  for some  $n \in N$ .

It is clear that it suffices to consider ideals  $\mathcal{B}$  which are nonzero principal ideals.

DEFINITION. A local ring  $A$  will be said to have  $TTN$  (respectively Krull dimension 1) if  $M(A)$  is topologically  $T$ -nilpotent (respectively topologically nilpotent.)

It is clear that “ $TTN$ ” is stronger than “Krull dim 1”.

EXAMPLES. If  $K$  is a field,  $K[[X]]$ , the ring of formal power series in one indeterminate, is a local domain with  $TTN$ . Formal series in more indeterminates are local domains with neither  $TTN$  or Krull dim 1. More generally, a discrete valuation ring has  $TTN$ .

It is easy to see that a local domain has Krull dimension 1 if and only if it has only one nonzero prime ideal, for if there are prime ideals  $\mathcal{P}$  and  $\mathcal{P}'$  with  $x \in \mathcal{P}$ ,  $x \notin \mathcal{P}'$ , then  $x^n \in \mathcal{P} \cap \mathcal{P}' \neq 0$  for any  $n$ . Conversely, if  $A$  is a local domain with only one nonzero prime ideal, and  $x \in M(A)$ , any ideal  $\mathcal{P}$  maximal with respect to  $x^n \in \mathcal{P}$  for any  $n$  is a prime ideal. Hence this definition of Krull dimension 1 and the standard definition agree.

An example of a local domain with Krull dim 1 but not  $TTN$  will be given after the following construction.

Let  $G$  be an ordered group,  $K$  a field. It is well known that there is a field  $F$  with a valuation  $v$  such that  $v(F - \{0\}) = G$  and  $K$  is the residue field; that is, if  $A$  is the valuation ring for  $v$ ,  $K$  and  $[A/(M(A))]$  are isomorphic. (See McLane, [6]). This can be constructed by letting  $F$  be the set of formal power series with coefficients in  $K$  and “exponents” in  $G$ ; i.e., an element in  $F$  looks like  $\sum_{\alpha \in G} a_\alpha x^\alpha$  where  $(a_\alpha)_{\alpha \in G}$  is a family of elements of  $K$  with well-ordered support. Multiplication and addition are as power series. The unit is  $\sum_{\alpha \in G} a_\alpha x^\alpha$  where  $a_0 = 1$ ,  $a_\alpha = 0$  if  $\alpha \neq 0$ . This same construction can be done when  $G$  is not a group but a submonoid of the positive elements of an ordered group. One still obtains a local ring with residue field  $K$ , but it is not in general a valuation ring, much less a field. We will call this ring  $K^G$ . (For a more detailed explanation, see [6].)

EXAMPLE. Let  $K$  be a field,  $G$  the set of nonnegative real numbers under addition. Then  $K^G$  is a valuation ring with Krull dim 1 but not  $TTN$ .

2. Relationships to valuation rings. The following theorem is well-known.

THEOREM. Let  $A$  be a local domain,  $K$  its field of fraction. Then there is a valuation  $v$  on  $K$  such that  $A$  is dominated by the valuation ring of  $v$ . (See [4], p. 92).

In this section it is proved that if  $A$  is a local domain with Krull  $\dim 1$  the group of values can be picked as a subgroup of the additive real numbers.

**DEFINITION.** A subgroup  $H$  of an ordered group  $G$  is called isolated if whenever  $x, y \in G, x \geq y \geq 0$  and  $x \in H$ , then  $y \in H$ .

**DEFINITION.** An ordered group  $G$  is called Archimedean if whenever  $x, y \in G$  and  $x > 0, y \geq 0$  there is a positive integer  $n$  such that  $nx > y$ .

It is easily shown that any Archimedean ordered group is order and group isomorphic to a subgroup of the additive real numbers. (See [8], p. 45).

A valuation  $v: K \rightarrow G_\infty$  is said to be of rank 1 if  $v(K - \{0\})$  is Archimedean.

**THEOREM.** *If a local domain  $A$  has Krull  $\dim 1$  then there is a rank 1 valuation  $w$  on  $K$ , the field of fractions of  $A$ , such that  $A$  is dominated by the valuation ring of  $w$ . If  $A$  also has TTN, there is an  $s \in H$ , the group of values of  $w$ ,  $s > 0$ , such that  $w(x) \geq s$  if  $x \in M(A)$ .*

*Proof.*  $A$  is dominated by a valuation ring  $V$  which is a subring of  $K$ . Let  $G = v(K - \{0\})$  where  $v: K \rightarrow G_\infty$  is a valuation on  $K$  which has  $V$  as its valuation ring. Consider the set  $L$  of isolated proper subgroups of  $G$ . If  $L$  is empty we are through. If not,  $\Gamma = \bigcup_{C \in L} C$  is an isolated subgroup of  $G$ , for it is easy to see  $\Gamma$  is a subgroup: and if  $x \in \Gamma, x \geq 0$ , then  $x \in C$  for some  $C \in L$ . So if  $y \in G, x \geq y > 0$ , then  $y \in C$  so  $y \in \Gamma$ .  $\Gamma$  is also a proper subgroup, for if  $a \in M(A), a \neq 0$ , then  $v(a) \notin C$  for any  $C \in L$ , for if  $c/d \in K, c, d \in A$ , then  $v(c/d) = v(c) - v(d)$ . But  $a^n \in (c)$  for some  $n$ . Hence  $v(a^n) = nv(a) \geq v(c) \geq 0$ . So  $nv(a) \geq v(c/d) > 0$ . If  $v(a) \in C$ , then  $nv(a) \in C$  and  $C$  is isolated, so  $v(c/d) \in C$ . But  $c/d$  is arbitrary so  $C = G$ , a contradiction. Thus if  $v(a) \notin C$  for any  $C \in L, v(a) \notin \bigcup_{C \in L} C$  so  $\Gamma$  is a proper subgroup of  $G$ . Thus  $\Gamma$  is a—in fact the only—maximal isolated subgroup of  $G$ . Then  $G/\Gamma$  can be made into an ordered group by setting  $x + \Gamma \geq y + \Gamma$  in  $G/\Gamma$  if  $x \geq y$  in  $G$ . It is easily verified that  $G/\Gamma$  with this order is an Archimedean ordered group. If  $\phi: G \rightarrow G/\Gamma$  is the canonical surjection, we can extend  $\phi$  to a map  $\phi^*: G_\infty \rightarrow (G/\Gamma)_\infty$  by defining  $\phi^*(\infty) = \infty$ . Then  $\phi^* \circ v: K \rightarrow (G/\Gamma)_\infty$  is a valuation on  $K$ . Also if  $x \in K$  and  $v(x) \geq 0$ , then  $\phi^* \circ v(x) \geq 0$ . By construction if  $x \in M(A), v(x) \notin \Gamma$  so then  $\phi^* \circ v(x) \neq 0$ . So  $\phi^* \circ v(x) > 0$  if  $x \in M(A)$ . Thus  $W$ , the valuation ring of  $w = \phi^* \circ v$ , dominates  $A$ .

If  $A$  has  $TTN$ , suppose that the elements of the form  $w(x)$ ,  $x \in M(A)$ , are not bounded away from zero. Then, identifying  $G/\Gamma$  with a subgroup of  $R$ , the additive real numbers, there is a sequence  $(a_n)_{n \in \mathbb{N}}$  of elements of  $M(A)$  with  $w(a_n) \leq 1/2^n$ , and a  $b \in M(A)$  with  $w(b) > 2$ . Then  $w(a_0 a_1 \cdots a_n) = w(a_0) + \cdots + w(a_n) < 2$ . So

$$a_0 a_1 a_2 \cdots a_n \notin (b)$$

for any integer  $n$ , a contradiction. Thus the theorem is proved.

**COROLLARY.**  $\bigcap_{n \in \mathbb{N}} M(A)^n = 0$  if  $A$  has  $TTN$ .

*Proof.* Let  $s$  be as above. Then if  $x \in M(A)^n$ ,  $w(x) \geq ns$ . No  $x$  except 0 can satisfy this for all  $n$ .

The above theorem shows that a valuation ring has  $TTN$  if and only if it is a discrete valuation ring.

From the proof of the theorem it is not hard to see the following. Let  $A$  be a local domain with Krull dim 1. Let a valuation ring  $V$  have these properties:

- (1)  $V$  is a subring of  $K$ , the field of fractions of  $A$ .
- (2)  $V$  has rank 1.
- (3)  $V$  dominates  $A$ .

Then  $V$  is maximal with respect to these conditions.  $V$  is not unique as is shown in § 5.

Many examples are furnished by the following easy proposition.

**PROPOSITION.** Let  $V$  be a rank 1 valuation ring,  $K$  its field of fractions,  $v: K \rightarrow R_\infty$  the valuation. Let  $A$  be a local subring of  $V$  such that there is an  $s \in R$ ,  $s > 0$  such that if  $x \in M(A)$   $v(x) \geq s$ . Also suppose that there is a  $p \in R$  such that  $M(A)$  contains  $\{x \in V \mid v(x) \geq p\}$ . Then  $A$  has  $TTN$ .

*Proof.* Let  $(a_i)_{i \in \mathbb{N}}$  be a sequence of elements of  $M(A)$ ,  $a \in M(A)$ ,  $a \neq 0$ . Let  $n$  be such that  $ns > p + v(a)$ . Then  $v(a_0 a_1 a_2 \cdots a_n \cdot 1/a) = ns - v(a) \geq p$ . So  $a_0 a_1 a_2 \cdots a_n \cdot 1/a \in M(A)$  so  $a_0 a_1 a_2 \cdots a_n \in (a)$ .

This proposition does not allow a converse for we have the following example of a local domain  $A$  with  $TTN$ , and a rank 1 valuation  $v$  on  $K$ , the field of fractions of  $A$ , such that there are elements of  $K$  of arbitrarily large valuation which are not in  $A$ . Let  $S = \{x \in R \mid x = a\sqrt{2} + b, a, b \in \mathbb{Z} \text{ and } a\sqrt{2} + b \geq \sqrt{|a| + |b| + 1}\} \cup \{0\}$ . Consider  $F^s = A$  for  $F$  a field. Let  $K$  be the field of fractions of  $A$ .  $S$  is a submonoid of the nonnegative real numbers under addition. Then  $A$  is a local domain with  $M(A)$  being  $\{x \in A \mid v(x) > 0\}$  where  $v: K \rightarrow R \cup \{\infty\}$  is the obvious valuation.

It is easy to see that any  $n + 1$  elements of  $M(A)$ ,  $a_0, a_1, \cdots, a_n$ ,

are such that if  $a\sqrt{2} + b \in \text{supp}(x)$ , where  $x = a_0 a_1 \cdots a_n$ , then  $a\sqrt{2} + b > \sqrt{|a| + |b|} + 1 + n$ . This fact will be used often subsequently without direct reference.

To show that  $M(A)$  is topologically  $T$ -nilpotent it suffices to show that if  $(a_i)_{i \in N}$  is a sequence of elements of  $M(A)$ ,  $b \in M(A)$ ,  $b \neq 0$ , then for some  $n \in N$ ,  $a_0 a_1 \cdots a_n b^{-1} \in A$ , for then  $a_0 a_1 \cdots a_n \in (b)$ . To do this we show that for some  $n$ ,  $\text{supp}(a_0 a_1 \cdots a_n b^{-1}) \subset S$ . Let  $\text{supp}(b) = (d_i)_{i \in I}$  where  $(d_i)_{i \in I}$  is a well-ordered family of elements of  $S$  with  $d_0$  as least element. Then an element in  $\text{supp}(b^{-1})$  looks like

$$-d_0 + \sum_{i \in I} n_i (d_i - d_0)$$

where  $(n_i)_{i \in I}$  is a family of positive integers with finite support. If we can show that there is an  $m \in N$  such that for any sequence  $(n_i)_{i \in I}$  of nonnegative integers of finite support

$$-d_0 + \sum_{i \in I} n_i (d_i - d_0) + m \in S,$$

we are finished.

Let  $d_i = s_i \sqrt{2} + t_i$  for each  $i \in I$ . Let  $M \in R$  be such that if  $M_1 > M$

$$2\sqrt{M_1} - \sqrt{2M_1} > 2(|s_0 \sqrt{2}| + |t_0|) + 2\sqrt{|s_0| + |t_0|} + 1.$$

There are only a finite number elements of  $S$ ,  $a\sqrt{2} + b$ , with

$$|a| + |b| < M.$$

If  $a\sqrt{2} + b$  is such that  $a\sqrt{2} + b - (s_0 \sqrt{2} + t_0) > 0$  there is an  $n \in N$ ,  $n > 0$ , such that  $n(a\sqrt{2} + b - (s_0 \sqrt{2} + t_0)) \in S$ . For if

$$(a - s_0)\sqrt{2} + (b - t_0) = \varepsilon,$$

let  $n$  be such that

$$\sqrt{n} > \frac{\sqrt{|a - s_0| + |b - t_0|} + 1}{\varepsilon}.$$

Notice that if  $s\sqrt{2} + t \in S$  there is a  $p \in N$  such that

$$s\sqrt{2} + t - (s_0 \sqrt{2} + t_0) + p \in S.$$

So there is a  $q \in N$  such that  $-d_0 + \sum n_i (d_i - d_0) + q \in S$ , where the sum runs over all  $d_i$  with  $|s_i| + |t_i| < M$ , for there are only a finite number of these in  $S$  and for each one there are only a finite number of integers  $q$  with  $q(d_i - d_0) \in S$ . On the other hand, if  $|s_i| + |t_i| \geq M$ ,  $|s_j| + |t_j| \geq M$ , then  $(d_i - d_0) + (d_j - d_0) \in S$ ; for

$$d_i > \sqrt{|s_i| + |t_i|} = \sqrt{M_1} \geq \sqrt{M}$$

$$d_j > \sqrt{|s_j| + |t_j|} = \sqrt{M_1} \geq \sqrt{M}$$

so  $d_i + d_j - 2d_0 > \sqrt{M_1} + \sqrt{M_2} - 2d_0$

$$> \sqrt{M_1} + \sqrt{M_2} + 2\sqrt{|s_0| + |t_0|} + 1 + 2(|s_0\sqrt{2}| + |t_0|) - 2d_0$$

$$> \sqrt{|s_i + s_j - 2s_0| + |t_i + t_j - 2t_0|} + 1 .$$

So for large enough  $m$ ,  $\sum_{i \in I} n_i(d_i - d_0) - d_0 + m \in S$ . So  $A$  has  $TTN$ .

There are elements in  $K$  with arbitrarily large values whose values are not in  $S$ .

It is not hard to see that this is, up to isomorphism, the only rank 1 valuation on  $K$  such that elements of  $A$  have nonnegative order and elements of  $M(A)$  have strictly positive order, for if  $a, b \in Z, a\sqrt{2} + b > 0$ , then  $n(a\sqrt{2} + b) \in S$  for some  $n \in N, n > 0$ .

Notice that in each example given of a local domain  $A$  with  $TTN$ , for each nonzero ideal  $\mathcal{A}$  of  $A$  there is an  $n \in N$  such that  $M(A)^n \subset \mathcal{A}$ . Whether this is true in general is doubtful, but at present there are no examples to the contrary.

3. In this section the relationship of “ $A$  has  $TTN$ ” to divisibility and other concepts is explored.

DEFINITION. An  $A$ -module  $E$  is called divisible if for all  $x \in E, a \in A, a \neq 0$ , there is  $ay \in E$  such that  $ay = x$ .

THEOREM. If  $A$  is a local domain with  $TTN$ , an  $A$ -module  $E$  is divisible if and only if  $M(A)E = E$ .

*Proof.* Suppose  $M(A)E = E$ . Let  $a \in A, a \neq 0, x \in E$ . Then  $A/(a)$  has  $T$ -nilpotent radical  $M(A)/(a)$ , and  $M(A)/(a) \cdot E/(a)E = E/(a)E$ . Thus by Bass [1 p. 473]  $E/(a)E = 0$  or  $E = (a)E$ . So for some  $y \in E, x = ay$ . So  $E$  is divisible. The opposite implication is trivial.

THEOREM. Let  $A$  be a local domain such that  $M(A)E = E$  implies  $E$  is divisible. Then  $A$  has  $TTN$ .

*Proof.* Let  $a \in A, a \neq 0, (a_i)_{i \in I}$  be a sequence of element of  $M(A)$ . If  $a_k = 0$  for some  $k$   $a_0 a_1 \cdots a_k = 0 \in (a)$  so suppose no  $a_k = 0$ .

Consider the submodule  $E$  of the field of fractions of  $A$  consisting of fractions which can be written as  $b/a_0 a_1 \cdots a_n$  for some  $b \in A, n \in N$ .  $M(A)E = E$  so  $E$  is divisible by hypothesis. Thus there is an element  $b/a_0 a_1 \cdots a_n$  such that  $a \cdot b/a_0 a_1 \cdots a_n = a_0/a_0$ . Then  $a_0 a_1 \cdots a_n = ab \in (a)$ . Thus  $A$  has  $TTN$ .

Note that  $E$  is in this case equal to the field of fractions of  $A$ .

DEFINITION. An  $A$ -module  $E$  is called torsion free if whenever  $a \in A, x \in E$  and  $ax = 0$ , then  $a = 0$  or  $x = 0$ .

DEFINITION. An  $A$ -module  $S$  is called simple if  $S \neq 0$  and  $0$  and  $S$  are its only submodules.

It is easy to see that if  $S$  is simple,  $S$  is isomorphic to  $A/M$  for some maximal ideal  $M$  of  $A$ . In the local case there is only one simple module up to isomorphism,  $A/M(A)$ . In this case it is clear that a cyclic module is simple if and only if it has annihilator  $M(A)$ .

PROPOSITION. If  $A$  is a local domain with TTN and  $E$  an  $A$ -module which is not torsion free,  $E$  contains a simple submodule.

*Proof.* Let  $x \in E, x \neq 0$  be such that there is an  $a \in A, a \neq 0$  and  $ax = 0$ . Then  $a \in M(A)$ . If  $bx = 0$  for all  $b \in M(A)$ ,  $(x)$  is simple. If not let  $a_1 \in M(A)$  be such that  $a_1x \neq 0$ . If  $b \cdot a_1x = 0$  for all  $b \in M(A)$  we are finished. So suppose  $a_2 \in M(A)$  is chosen such that  $a_2a_1x \neq 0$ . Having chosen  $a_1, a_2, \dots, a_n$  such that  $a_1a_2 \dots a_n \in M(A)$  and

$$a_n \dots a_2a_1 \cdot x \neq 0,$$

if  $b$  is such that  $b \in M(A)$  and  $b \cdot a_n a_{n-1} \dots a_1 x \neq 0$ , let  $a_{n+1} = b$ . But this process must end, for there is a  $p$  such that  $a_p a_{p-1} \dots a_1 \in (a)$  and  $ax = 0$ .

PROPOSITION. Suppose  $A$  is a local domain such that every  $A$ -module which is not torsion free contains a simple submodule. Then  $A$  has TTN.

*Proof.* Let  $\mathcal{A}$  be an ideal of  $A, \mathcal{A} \neq 0$  and  $(a_i)_{i \in N}$  a sequence of elements of  $M(A)$ . Suppose  $a_0 a_1 a_2 \dots a_n \notin \mathcal{A}$  for any  $n$ . Let  $L$  be the set of all ideals  $C$  of  $A$  such that  $a_0 a_1 a_2 \dots a_n \in C$  for any  $n$ .  $L$  is inductively ordered by inclusion for if  $S$  is a chain of elements of  $L$ , then  $\bigcup_{C \in S} C$  is an element of  $L$ . Let  $\mathcal{B}$  be a maximal element in this set. Then  $M(A)/\mathcal{B}$  is not torsion free so let  $T$  be a submodule of  $M(A)$  containing  $\mathcal{B}$  such that  $T/\mathcal{B}$  is a simple submodule of  $M(A)/\mathcal{B} \cdot a_0 a_1 a_2 \dots a_n \notin T$  for any  $n \in N$  for if so  $a_0 a_1 \dots a_{n+1} \in \mathcal{B}$ , for  $T/\mathcal{B}$  is simple so  $M(A)$  annihilates it and  $a_{n+1} \in M(A)$ . But then  $T \neq \mathcal{B}$  and  $T \supset \mathcal{B}$  and  $T \in L$  which is a contradiction.

PROPOSITION. Let  $E$  and  $F$  be  $A$ -modules,  $A$  a local domain with TTN. If  $E \otimes F = 0$ , then  $E$  or  $F$  is torsion and  $E$  or  $F$  is divisible.

*Proof.* If neither of them is divisible, then  $E \neq M(A)E$ ,  $F \neq M(A)F$  and there is a surjective homomorphism  $E \otimes F \rightarrow E/M(A)E \otimes F/M(A)F$  so  $E/M(A)E \otimes F/M(A)F = 0$ . But these are  $A/M(A)$  modules and  $E/M(A)E \otimes_{A/M(A)} F/M(A)F$  is isomorphic to  $E/M(A) \otimes_{A/M(A)} F/M(A)F$ . (See [2, p. 123]). But  $A/M(A)$  is a field and the tensor product of two modules over  $A/M(A)$  is not 0 unless one of them is 0. Thus either  $E$  or  $F$  is divisible. We may as well suppose  $E$  is divisible. If  $E$  is not torsion, then  $E/t(E)$  is divisible and torsion free and not 0 (where  $t(E)$  is the torsion submodule of  $E$ ). So  $E/t(E)$  is isomorphic to a direct sum of copies of  $K$ , the field of fractions of  $A$  (see [6, p. 10]). But if  $F$  is not torsion,  $F/t(F)$  is torsion free and not 0. But we have epimorphism  $E \otimes F \rightarrow E/t(E) \otimes F/t(F)$ . But  $E/t(E) \otimes F/t(F) \neq 0$  if  $E/t(E)$  is isomorphic to a nontrivial sum of copies of  $K$  and  $F/t(F)$  is torsion free and not 0, for if  $K \otimes F/t(F) = 0$ ,  $K \otimes M = 0$  for all submodules  $M$  of  $F/t(F)$  as  $K$  is flat (see [2, p. 115]). But  $F/t(F)$  is torsion free and thus has a submodule isomorphic to  $A$  and  $K \otimes A \neq 0$ . So either  $E$  or  $F$  is torsion.

This unfortunately is not nearly as complete a proposition as would be desired. The proper conjecture may be:  $E \otimes F = 0$  if and only if one is divisible and the other torsion, or one is divisible and torsion and (supposing  $E$  to be the torsion divisible one)  $F/t(F)$  is divisible. One can easily show that a local domain satisfying this property has *TTN*.

REMARK. E. Matlis in [7] proves that if an integral domain  $A$  has the property that its field of fractions  $K$  is a countably generated  $A$ -module, then: every divisible  $A$  module is the image of a surjective homomorphism from a direct sum of copies of  $K$ ; projective dimension of  $K = 1$ ; and the torsion submodule of a divisible module is a direct summand. If  $A$  is a local domain with Krull dim 1, its field of fractions is countably generated by elements of the form  $(1/a^n)_{n \in \mathbb{N}}$  for any  $a \in M(A)$ ,  $a \neq 0$ , so these propositions apply.

4. The Noetherian case. If  $A$  is a local domain and  $M(A)$  is finitely generated, the situation is simplified.

PROPOSITION. *Let  $A$  be a local domain with Krull dimension 1. Let  $M(A)$  be finitely generated. Then:*

- (a)  *$A$  has *TTN* and in fact, if  $\mathcal{A} \neq 0$  is an ideal of  $A$ , there is an integer  $n$  such that  $M(A)^n \subset \mathcal{A}$ .*
- (b)  *$A$  is Noetherian.*

*Proof.* (a) Let  $M(A)$  be generated by  $x_1, x_2, \dots, x_k$ . Let  $\mathcal{A} \neq 0$  be an ideal of  $A$ . Let  $p$  be such that  $x_i^p \in \mathcal{A}$ ,  $i = 1, \dots, k$ . Then

$M(A)^{kp} \subset \mathcal{A}$ , for since any element of  $M(A)$  can be written as

$$a_1x_1 + \cdots + a_nx_n$$

for some  $a_1, a_2, \dots, a_n$ , a product of  $kp$  elements of  $M(A)$  must contain  $(x_q)^p$  for some  $q$ . Hence any product of  $kp$  elements of  $M(A)$  is in  $\mathcal{A}$ , and hence any sum of elements of this type, so  $M(A)^{kp} \subset \mathcal{A}$ . Clearly this implies  $A$  has  $TTN$ .

(b) Let  $\mathcal{A} \neq 0$  be an ideal of  $A$ . Then  $M(A)^n \subset \mathcal{A}$  for some  $n \in \mathbb{N}$ .  $A/M(A)$  is a Noetherian  $A$ -module,  $M(A)/M(A)^2$  is a Noetherian  $A$ -module since it is finitely generated and an  $A/M(A)$ -module, hence a direct sum of simple modules which must be finite since  $M(A)$  is finitely generated. So  $A/M(A)^2$  is Noetherian as  $0 \rightarrow M(A)/M(A)^2 \rightarrow A/M(A)^2 \rightarrow A/M(A) \rightarrow 0$  is exact and an extension of a Noetherian module by a Noetherian module is Noetherian. Continuing by induction, we see that  $A/M(A)^n$  is a Noetherian  $A$ -module for all  $n$ . But since  $M(A)^k \subset \mathcal{A}$  for some  $k$ ,  $0 \rightarrow M(A)^k \rightarrow \mathcal{A} \rightarrow \mathcal{A}/M(A)^k \rightarrow 0$  is exact.  $\mathcal{A}/M(A)^k$  is finitely generated as it is a submodule of the Noetherian module  $A/M(A)^k$ ,  $M(A)^k$  is finitely generated, so  $\mathcal{A}$  is finitely generated. Therefore every ideal of  $A$  is finitely generated so  $A$  is Noetherian.

5. Integral extensions.

DEFINITION. If  $B$  is a ring,  $A$  a subring of  $B$ ,  $x \in B$  is called integral over  $A$  if  $x$  satisfies a unitary polynomial with coefficients in  $A$ .  $B$  is said to be an integral extension of  $A$  if every element of  $B$  is integral over  $A$ .

THEOREM. Let  $A$  be a local domain with  $TTN$ . Let  $A \subset K \subset F$  where  $K$  is the field of fractions of  $A$  and  $F$  a field containing  $K$ . Suppose  $x \in F$  is integral over  $A$ . Let  $x$  satisfy the unitary polynomial

$$f = X^{n+1} + a_nX^n + \cdots + a_0$$

with coefficients in  $A$ . Then if  $a_i \in M(A)$ ,  $i = 0, \dots, n$ ,  $A[x]$  is a local domain with  $TTN$ .

Proof.  $A[x]$  is a local domain, for it is an integral domain and

$$M = \{y \in A[x] \mid y = c_0 + c_1x + \cdots + c_nx^n \text{ and } c_0 \in M(A)\}$$

is the maximal ideal. To see this, we can suppose  $f$  is the unitary polynomial of least degree with all but the leading coefficient in  $M(A)$  that  $x$  satisfies. Then  $M \neq A[x]$ , for if  $1 \in M$ ,  $1 = c_0 + c_1x + \cdots + c_nx^n$  with  $c_0 \in M(A)$ .  $1 - c_0$  is invertible in  $A$  so  $x$  is invertible in  $A[x]$  and

$x^{-1} = (c_1 + c_2x + \cdots + c_nx^{n-1})(1 - c_0)^{-1}$ . Then

$$x^{-1}(a_0 + a_1x + \cdots + a_nx^n + x^{n+1}) = 0$$

so  $a_0(c_1 + c_2x + \cdots + c_nx^{n-1})(1 - c_0)^{-1} + a_1 + \cdots + x^n = 0$ . But this produces a unitary polynomial of degree  $n$  with all but the leading coefficient in  $M(A)$  which  $x$  satisfies, a contradiction. So  $M \neq A[x]$ . then let  $\bar{M}$  be a maximal ideal of  $A[x]$ . Then  $\bar{M} \cap A$  is a maximal ideal of  $A$  (see [4, p. 36]). Therefore  $\bar{M} \cap A = M(A)$ . So

$$a_0 + a_1x + \cdots + a_nx^n \in \bar{M}$$

so  $x^{n+1} \in \bar{M}$ . As  $\bar{M}$  is maximal, it is prime, so  $x \in \bar{M}$ , so  $M \subset \bar{M}$ . But  $M$  is clearly maximal and hence the only maximal ideal of  $A[x]$ .

Now let  $(f_i)_{i \in N}$  be a sequence of elements of  $M(A[x])$  and  $\mathcal{B}$  an ideal of  $A[x]$ ,  $\mathcal{B} \neq 0$ . Then  $\mathcal{B} \cap A \neq 0$  as  $A[x]$  is an integral extension of  $A$ , (see [4, p. 14]). Let  $\mathcal{B} \cap A = \mathcal{A}$ . Then  $\mathcal{A}[x] \subset \mathcal{B}$  so it would suffice to prove that for some  $n$   $f_0f_1 \cdots f_n \in \mathcal{A}[x]$ . First notice that there is an  $m$  such that  $x^m \in \mathcal{A}[x]$ , for if  $p$  is such that  $a_i^p \in \mathcal{A}$  for  $i = 0 \cdots n$ , let  $m = p(n+1)(n+1)$ . Then

$$x^m = -(a_0 + a_1x + \cdots + a_nx^n)^{p(n+1)}.$$

When this is expanded, each term will be a product of  $p(n+1)$  factors, so one of  $a_0, \dots, a_n$  must be repeated at least  $p$  times so  $x^m \in \mathcal{A}[x]$ . Then if  $f_0f_1 \cdots f_n \notin \mathcal{A}[x]$  for any  $n$ , when each  $f_i$  is written as a sum

$$f_i = c_{i0} + c_{i1}x + \cdots + c_{in}x^n$$

and the products  $f_0f_1 \cdots f_n$  are expanded, there must be an infinite number of terms  $c_{0k_0}x^{k_0} \cdot c_{1k_1}x^{k_1} \cdots c_{nk_n}x^{k_n}$  which are not in  $\mathcal{A}[x]$ . But, as before, we can find a sequence of  $(c_{ik_i})_{i \in N}$  such that

$$c_{0k_0}x^{k_0} \cdots c_{rk_r}x^{k_r}$$

is not an element of  $\mathcal{A}[x]$  for any  $r$ . But then, as only a finite number of the  $k_i$  could be nonzero ( $x^m \in \mathcal{A}[x]$ ), there is a  $q \in N$  such that  $k_r = 0$  if  $r \geq q$ . Then  $(c_{i+q0})_{i \in N}$  is a sequence of elements of  $M(A)$  such that  $c_{0+q0} \cdots c_{i+q0} \notin \mathcal{A}$  for any  $i$ , a contradiction.

This proof could easily be modified to show that the theorem is still true if “*TTN*” is replaced by “*Krull dimension 1*”, in its statement.

The stringent requirements on the polynomial that  $x$  must satisfy in the above theorem are not superfluous, at least to obtain a local domain; for  $Z_3[\sqrt{-5}]$  is not local, as  $2 + \sqrt{-5}$  and  $1 + \sqrt{-5}$  are neither invertible and cannot be in the same maximal ideal. It is true however that  $M(A)$  generates a topologically *T*-nilpotent ideal in  $A[x]$  if  $x$  is integral over  $A$ , the proof being similar to the above.

If  $A$  is a discrete valuation ring,  $A[x]$  is not necessarily a discrete

valuation ring, even if  $x$  satisfies the type polynomial above, as  $\mathbb{Z}_3[3\sqrt[3]{3}]$  shows.

It is not hard to show that an integral extension of a local domain  $A$  with Krull dimension 1, such that each element of the extension satisfies a unitary polynomial with all but the leading coefficient in  $M(A)$ , is a local domain with Krull dim 1. This is not true if “Krull dimension 1” is replaced by “ $TTN$ ” for if  $S = \mathbb{R}^+ - [0, 1]$ ,  $K$  a field,  $\mathbb{R}^+$  the additive nonnegative real numbers, then  $K^{\mathbb{R}^+}$  is an extension of the proper type of  $K^S$ , but  $K^{\mathbb{R}^+}$  does not have  $TTN$  and  $K^S$  does.

Since the integral closure of a local domain is the intersection of all the valuation rings dominating it and contained in its field of fractions, (see [4, p. 93]), one might guess that an integrally closed local domain with  $TTN$  is a valuation ring, but this is not true.

EXAMPLE. Let  $Z \times Z$  be given the product group structure and be ordered lexicographically. Then  $Z \times Z$  is an ordered group. Let  $S \subset Z \times Z$ ,  $S = \{(x, y) \mid x = 0, y = 0 \text{ or } x > 0\}$ . Then  $A = K^S$  is a local subring of  $F = K^{Z \times Z}$ ,  $A$  is integrally closed in  $F$  which is the field of fractions of  $A$ ,  $A$  has  $TTN$ , but is not a valuation ring for  $F$ .

*Proof.* Let  $w: F \rightarrow (Z \times Z)_\infty$  be the obvious valuation. Let  $v: F \rightarrow (Z \times Z)_\infty$  be the valuation  $v(0) = \infty, v(x) = (a, -b)$  where  $w(x) = (a, b)$ . Then  $A = W \cap V$  where  $W$  is the valuation ring of  $w$ ,  $V$  that of  $v$ . Hence  $A$  is integrally closed and it is easily seen that  $A$  is not a valuation ring.

6. Some of the above theorems can be used to obtain characterizations of those integral domains whose localizations with respect to maximal ideals have  $TTN$ . First an easy internal characterization of these rings.

PROPOSITION. *Let  $A$  be an integral domain,  $M$  a maximal ideal of  $A$ . Then  $A_M$  has  $TTN$  if and only if for all sequences  $(a_i)_{i \in \mathbb{N}}$  of elements of  $M$  and all subideals  $\mathcal{A}$  of  $M, \mathcal{A} \neq 0$ , there is a  $t \in M$  such that  $t \circ a_0 a_1 \circ \dots \circ a_n \in \mathcal{A}$ .*

*Proof.* Suppose  $A_M$  has  $TTN, \mathcal{A}$  is a subideal of  $M, \mathcal{A} \neq 0$  and  $(a_i)_{i \in \mathbb{N}}$  is a sequence of elements of  $M$ . Then  $(a_i/1)_{i \in \mathbb{N}}$  is a sequence of elements of  $M(A_M), \mathcal{A} \circ A_M$  is a nonzero ideal of  $A_M$ , so

$$\frac{a_0}{1} \cdot \frac{a_1}{1} \dots \frac{a_n}{1} \in \mathcal{A} \circ A_M$$

for some  $n$ . So  $a_0/1 \cdot a_1/1 \dots a_n/1 = a/t$  for some  $a \in \mathcal{A}, t \in M$ . So

$a_0 a_1 \cdots a_n t = a$ , as required. Conversely, suppose the condition is satisfied and that  $\mathcal{A}$  is an ideal of  $A_M$ ,  $\mathcal{A} \neq 0$  and  $(a_i/b_i)_{i \in N}$  a sequence of elements of  $M(A_M)$ . Then  $(a_i)_{i \in N}$  is a sequence of elements of  $M$ ,  $\mathcal{A}' = \mathcal{A}^{\mathcal{A}} \cap A$  is a subideal of  $M$  and  $\mathcal{A}' \neq 0$ , so there is a  $t \in A$ ,  $t \notin M$  such that  $a_0 a_1 \cdots a_n t = a \in \mathcal{A}'$ . Then  $a_0/b_0 \cdot a_1/b_1 \cdots a_n/b_n \in \mathcal{A}$ .

EXAMPLE.  $Z$ , the integers is an example of an integral domain with the property that all its localizations have *TTN*.

THEOREM. *The following are equivalent for an integral domain  $A$ .*

- (1)  $A_M$  has *TTN* for every maximal ideal  $M$  of  $A$ .
- (2) Every  $A$ -module which is not torsion free has a simple submodule.
- (3) An  $A$ -module is divisible if and only if  $ME = E$  for each maximal ideal  $M$  of  $A$ .

*Proof.* (1)  $\Rightarrow$  (2) Let  $E$  be an  $A$ -module which is not torsion free,  $x \in E$ ,  $a \in A$ ,  $ax = 0$ ,  $a \neq 0$ ,  $x \neq 0$ . Let  $M$  be a maximal ideal of  $A$  which contains  $a$ . Then the map  $x \rightarrow x/1: E \rightarrow E_M$  is an injection, for  $\text{Ann}(x) \subset M$ . Then there is a simple  $A_M$ -module  $S \subset A_M \cdot x/1$  by a previous theorem.  $S$  is then a simple  $A$ -module contained in  $Ax$ , for if  $s/a \in S$ ,  $s/a = rs/1$  where  $ra + b = 1$  for some  $b \in M$ . (2)  $\Rightarrow$  (1). Let  $E$  be an  $A_M$ -module for some maximal ideal  $M$  of  $A$ ,  $E$  not a torsion free  $A_M$ -module. Then  $E$  is not a torsion free  $A$ -module, so  $E$  contains a simple  $A$ -module which must be isomorphic to  $A_m/M(A_M)$ , hence a simple  $A_M$ -module. Hence  $A_M$  has *TTN* by a previous theorem. (1)  $\Rightarrow$  (3)  $E$  is divisible if and only if  $E_M$  is for each maximal ideal  $M$  of  $A$  by [2, p. 111]. If  $ME = E$ ,  $M(A_M)E_M = E_M$  so if  $A_M$  has *TTN*  $E_M$  is divisible. (3)  $\Rightarrow$  (1) Let  $M$  be a maximal ideal of  $A$ ,  $E$  an  $A_M$ -module such that  $M(A_M)E = E$ . Then  $E$  is an  $A$ -module. If  $M'$  is a maximal ideal of  $A$  distinct from

$$M, M' \circ E = E \text{ as } M' \cap M \neq \emptyset.$$

Thus  $\bar{M}E = E$  for all maximal ideals  $\bar{M}$  of  $A$ . So  $E$  is a divisible  $A$ -module and hence a divisible  $A_M$ -module. Thus by a previous theorem  $A_M$  has *TTN*.

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