

# ON THE OPERATOR $M(Y) = TYS^{-1}$ IN LOCALLY CONVEX ALGEBRAS

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**Let  $\mathfrak{A}$  be a locally convex separated unitary algebra over the complex field. If  $T$  and  $S$  are fixed elements of  $\mathfrak{A}$  and  $S$  is invertible, it is possible to define on  $\mathfrak{A}$  the linear operator**

$$M(Y) = M(T, S)(Y) = TYS^{-1}$$

**for all  $Y \in \mathfrak{A}$ . The purpose of this paper is to construct a functional calculus with analytic functions for the operator  $M(T, S)$ , by means of  $T$  and  $S$ , in order to obtain "multiplicative variants" of some results of M. Rosenblum. In the last section these results are applied to normal operators and matrices.**

In what follows  $U$  will be a locally convex algebra i.e., an algebra which is a locally convex space and where the multiplication is separately continuous. The topology on  $\mathfrak{A}$  is defined by a family of seminorms  $\{p_\alpha\}_{\alpha \in J}$  and the space is assumed to be quasi-complete, i.e., every Cauchy net is convergent. It is also supposed that the mappings  $Y \rightarrow YZ$  (resp.  $Z \rightarrow YZ$ ) are uniformly continuous when  $Z$  (resp.  $Y$ ) belongs to a bounded set.

Denoting  $B(\mathfrak{A})$  the algebra of all continuous linear operators on  $\mathfrak{A}$ , the topology on  $B(\mathfrak{A})$  is defined by the family of seminorms  $\{p_{\alpha, B}\}_{\alpha \in J, B \in \mathfrak{B}}$ , where

$$p_{\alpha, B}(L) = \sup_{Y \in B} p_\alpha(L(Y)),$$

for each  $L \in B(\mathfrak{A})$ ,  $\mathfrak{B}$  being the family of all bounded sets of  $\mathfrak{A}$ .

When  $T, S \in \mathfrak{A}$  and  $S$  is invertible, it is shown that the spectrum  $\sigma(M)$  of the operator

$$M(Y) = TYS^{-1}$$

is contained in the set  $\sigma(T) \cdot \sigma(S^{-1})$  (Proposition 2.4) hence, taking a complex-valued function  $f$ , analytic in a neighbourhood of the set  $\sigma(T) \cdot \sigma(S^{-1})$ , we can construct the operator  $f(M)$  in each point of  $\mathfrak{A}$ , as well by means of the functional calculus of  $T, S$  and they are equal (Theorem 2.9). Since the logarithm of an element of  $\mathfrak{A}$  does not always exist, this case is more general than Rosenblum's results (see [3] and Proposition 3.1).

All the statements of this paper can be applied to linear operators on Banach spaces or on locally convex ones, with supplementary properties.

1. **Preliminaries.** It is useful to recall some of the concepts and results contained in [1] and [4].

On a locally convex algebra it is possible to define the spectrum  $\sigma(T)$  and the resolvent  $\rho(T)$  of an element  $T \in \mathfrak{A}$  (these sets are considered in the complex compactified plane  $C_\infty = C \cup \{\infty\}$ ) [4].

We recall that  $C_\infty \ni \lambda \in \rho(T)$  if there is a neighbourhood  $V_\lambda$  of  $\lambda$  such that:

- 1°  $(\mu I - T)^{-1} \in \mathfrak{A}$  for any  $\mu \in V_\lambda \cap C$  (here  $I$  is the identity of  $\mathfrak{A}$ ).
- 2° the set  $\{(\mu I - T)^{-1}; \mu \in V_\lambda \cap C\}$  is bounded in  $\mathfrak{A}$ .

In the following we shall write for  $\mu I$  simply  $\mu$ .

For each  $T \in \mathfrak{A}$  let us denote by  $\mathfrak{F}(T)$  the set of all analytic functions in a neighbourhood of  $\sigma(T) = \mathbb{C} \setminus \rho(T)$ . Then, if  $\Gamma$  is a contour (throughout we mean by “contour” a finite system of curves, admissible for the integral calculus) “surrounding”  $\sigma(T)$ , contained in the domain of definition of  $f \in \mathfrak{F}(T)$ , we put, by definition

$$f(T) = \begin{cases} \frac{1}{2\pi i} \int_{\Gamma} f(\lambda)(\lambda - T)^{-1} d\lambda & \text{if } \sigma(T) \not\ni \infty \\ f(\infty) + \frac{1}{2\pi i} \int_{\Gamma} f(\lambda)(\lambda - T)^{-1} d\lambda & \text{if } \sigma(T) \ni \infty \end{cases}$$

where the integral exists since the space is quasi-complete [1], [4]. For such functions we have the “spectral mapping theorem”, namely

$$\sigma(f(T)) = f(\sigma(T)) [4].$$

Let us remark that if  $L \in B(\mathfrak{A})$ ,  $f \in \mathfrak{F}(L)$  and  $\Gamma$  is a contour in the domain of  $f$  “surrounding”  $\sigma(L)$ , then we may define the expression  $f(L)(Y)$ , by using the natural extension of the formula given above for the elements of  $\mathfrak{A}$ . Since  $\mathfrak{A}$  is quasi-complete, the integrals do also exist.

2. **A functional calculus of the operator M.** For two sets  $A, B$  in the complex compactified plane with the property that if one contains the point  $\infty$  then the other does not contain zero, we denote by  $A \cdot B$  the set  $\{\lambda\mu; \lambda \in A, \mu \in B\}$ . Also, if  $A \not\ni 0$ , we denote by  $A^{-1}$  the set  $\{1/\lambda; \lambda \in A\}$ . In the following we need the next geometrical result:

**LEMMA 2.1.** *Let  $K$  and  $F$  be two closed sets in  $C_\infty$ ,  $0 \notin K \not\ni \infty$ . If  $\lambda_0 \notin K \cdot F$  and  $V_0$  is a closed neighbourhood of  $\lambda_0$  disjoint from  $K \cdot F$ , then there is an open set  $G_0 \supset K$  such that  $V_0 \cap \bar{G}_0 \cdot F = \emptyset$ . Moreover, if  $\Gamma_0$  is a contour in  $G_0$  which surrounds  $K$  and separates it from zero, then  $\Gamma_\lambda = \lambda \Gamma_0^{-1}$  is a contour such that the set  $F$  is “outside” it,*

for each  $\lambda \in V_0$ ,  $0 \neq \lambda \neq \infty$ .

*Proof.* If we put

$$G_n = \left\{ \mu \in C; \text{dist}(\mu, K) < \frac{1}{n} \right\},$$

on account of the compactness of  $F, K$  and  $V_0$  in  $C_\infty$ , there is an index  $n_0$  such that  $V_0 \cap \bar{G}_{n_0} \cdot F = \emptyset$ . We take  $G_0 = G_{n_0}$ . We can actually suppose that  $\bar{G}_0 \not\ni 0$ . Now, let  $\Gamma_0$  be a contour in  $G_0$  surrounding  $K$  and separating it from zero. Then for  $\lambda \in V_0$ ,  $0 \neq \lambda \neq \infty$  we have  $\Gamma_\lambda = \lambda \Gamma_0^{-1} \subset V_0 \cdot G_0^{-1}$  and  $V_0 \cdot G_0^{-1} \cap F = \emptyset$ .

**LEMMA 2.2.** *Let  $\Gamma$  be a system of curves in the complex plane, admissible for the integral calculus,  $V_0 \subset C_\infty$  a closed set and  $F: V_0 \times \Gamma \rightarrow \mathfrak{A}$ ,  $G: \Gamma \rightarrow \mathfrak{A}$  two continuous functions. Then for any  $\lambda \in V_0 \cap C$  we can define on  $\mathfrak{A}$  the linear continuous operator*

$$R_\lambda(Y) = \int_\Gamma F(\lambda, \xi) YG(\xi) d\xi,$$

for each  $Y \in \mathfrak{A}$ .

*Proof.* By our assumption on the algebra  $\mathfrak{A}$ , it is easy to see that the product of two continuous functions is also a continuous function (since if  $U$  is a neighbourhood of zero in  $\mathfrak{A}$  and  $B$  is a bounded set then there are two neighbourhoods of zero  $U_1$  and  $U_2$  such that  $U_1 B \subset U$  and  $B U_2 \subset U$ ). Since the mapping  $\xi \rightarrow F(\lambda, \xi) YG(\xi)$  is continuous on  $\Gamma$  for each  $\lambda \in V_0 \cap C$  and the algebra is quasi-complete, then the integral

$$\int_\Gamma F(\lambda, \xi) YG(\xi) d\xi$$

exists as an element of  $\mathfrak{A}$ .

Obviously, it defines a linear operator on  $\mathfrak{A}$  denoted by  $R_\lambda(Y)$ . To see that  $R$  is a continuous operator on  $\mathfrak{A}$ , let us denote by  $B_1$  the set  $\{F(\lambda, \xi); \lambda \in V_0, \xi \in \Gamma\}$  and by  $B_2$  the set  $\{G(\xi); \xi \in \Gamma\}$  which are bounded in  $\mathfrak{A}$ . If  $U = \{T \in \mathfrak{A}; p_\alpha(T) < \varepsilon\}$  then, by our hypothesis, there is a neighbourhood  $U_0$  of zero in  $\mathfrak{A}$  such that  $B_1 U_0 B_2 \subset (2\pi/|\Gamma|)U$ , where  $|\Gamma|$  is the length of  $\Gamma$ . Thus we have

$$p_\alpha(R_\lambda(Y)) \leq \frac{1}{2\pi} \int_\Gamma |F(\lambda, \xi) YG(\xi)|_\alpha |d\xi| < \varepsilon$$

whenever  $Y \in U_0$ , hence  $R_\lambda$  is continuous.

**PROPOSITION 2.3.** *Let  $T, S \in \mathfrak{A}$  be such that  $S^{-1} \in \mathfrak{A}$ . If  $\lambda_0 \notin \sigma(T)$ ,  $\sigma(S^{-1})$  and  $V_0$  is a closed neighbourhood of  $\lambda_0$  such that  $V_0 \cap \sigma(T) = \emptyset$ , then there is an open set  $G_0 \supset \sigma(S^{-1})$ ,  $G_0 \not\ni 0$  such that  $V_0$*

$G_0^{-1} \subset \rho(T)$ .

Moreover, if  $\Gamma_0$  is a contour in  $G_0$  which surrounds  $\sigma(S^{-1})$  and separates it from zero, then we have

$$(\lambda - M(T, S))^{-1}(Y) = \frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi T)^{-1} Y(\xi - S^{-1})^{-1} d\xi$$

for each  $Y \in \mathfrak{A}$  and  $\lambda \in V_0 \cap C$ .

*Proof.* By the spectral mapping theorem,  $\sigma(S^{-1}) = \sigma(S)^{-1}$  does not contain zero and it is a compact set in  $C$ , therefore we may apply Lemma 2.1, by putting  $F = \sigma(T)$  and  $K = \sigma(S^{-1})$ . Let  $G_0$  and  $\Gamma_0$  be as in this lemma. Then  $V_0 \cdot \Gamma_0^{-1} \subset V_0 \cdot G_0^{-1}$  does not intersect the set  $F = \sigma(T)$ , therefore  $\lambda \xi^{-1} \in \rho(T)$  for all  $\lambda \in V_0 \cap C$  and  $\xi \in \Gamma_0$ , and  $\sigma(T)$  is “outside”  $\Gamma_\lambda = \lambda \Gamma_0^{-1}$  ( $0 \neq \lambda \neq \infty$ ). By Lemma 2.2, the integral

$$\frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi T)^{-1} Y(\xi - S^{-1})^{-1} d\xi = \frac{1}{2\pi i} \int_{\Gamma_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} Y(\xi - S^{-1})^{-1} \frac{d\xi}{\xi}$$

exists, therefore we have for any  $\lambda \in V_0$  ( $0 \neq \lambda \neq \infty$ )

$$\begin{aligned} & (\lambda - M(T, S)) \left( \frac{1}{2\pi i} \int_{\Gamma_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} Y(\xi - S^{-1})^{-1} \frac{d\xi}{\xi} \right) \\ &= \frac{\lambda}{2\pi i} \int_{\Gamma_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} Y(\xi - S^{-1})^{-1} \frac{d\xi}{\xi} \\ &\quad - \frac{1}{2\pi i} \int_{\Gamma_0} \left( T - \frac{\lambda}{\xi} + \frac{\lambda}{\xi} \right) \left( \frac{\lambda}{\xi} - T \right)^{-1} Y(\xi - S^{-1})^{-1} (S^{-1} - \xi + \xi) \frac{d\xi}{\xi} \\ &= -\frac{1}{2\pi i} \int_{\Gamma_0} Y \frac{d\xi}{\xi} + \frac{\lambda}{2\pi i} \int_{\Gamma_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} \frac{d\xi}{\xi} \\ &\quad + \frac{1}{2\pi i} \int_{\Gamma_0} Y(\xi - S^{-1})^{-1} d\xi = Y, \end{aligned}$$

since

$$\begin{aligned} \frac{1}{2\pi i} \int_{\Gamma_0} \frac{d\xi}{\xi} &= 0, \\ \frac{1}{2\pi i} \int_{\Gamma_0} Y(\xi - S^{-1})^{-1} d\xi &= Y \end{aligned}$$

by the well-known functional calculus for an element of  $\mathfrak{A}$  with the spectrum compact in  $C$  and

$$\frac{\lambda}{2\pi i} \int_{\Gamma_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} \frac{d\xi}{\xi^2} = -\frac{1}{2\pi i} \int_{\lambda \Gamma_0^{-1}} (\eta - T)^{-1} d\eta = 0,$$

since  $\sigma(T)$  is “outside”  $\lambda \Gamma_0^{-1}$ .

Analogously

$$\frac{1}{2\pi i} \int_{r_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} ((\lambda - M(T, S))(Y)) (\xi - S^{-1})^{-1} \frac{d\xi}{\xi} = Y,$$

therefore

$$(\lambda - M(T, S))^{-1}(Y) = \frac{1}{2\pi i} \int_{r_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} Y (\xi - S^{-1})^{-1} \frac{d\xi}{\xi}.$$

If  $\lambda = 0$  then  $0 \notin \sigma(T)$ , hence  $T^{-1} \in \mathfrak{A}$  and

$$(-M(T, S))^{-1}(Y) = -T^{-1}YS = \frac{1}{2\pi i} \int_{r_0} -T^{-1}Y(\xi - S^{-1})^{-1} \frac{d\xi}{\xi}$$

and this finishes the proof.

**PROPOSITION 2.4.** *With the same conditions as in the previous proposition, we have*

$$\sigma(M(T, S)) \subset \sigma(T) \cdot \sigma(S^{-1}).$$

*Proof.* We can suppose  $\sigma(T) \cdot \sigma(S^{-1}) \neq C_\infty$ . By the preceding proposition, if  $\lambda_0 \notin \sigma(T) \cdot \sigma(S^{-1})$  and  $V_0$  is a closed neighbourhood of  $\lambda_0$ ,  $V_0 \cap \sigma(T) \cdot \sigma(S^{-1}) = \emptyset$ , then for any  $\lambda \in V_0 \cap C$  the operator  $(\lambda - M(T, S))^{-1}$  exists and, by Lemma 2.2, it is a continuous operator. We have only to prove that the set

$$\{(\lambda - M(T, S))^{-1}; \lambda \in V_0 \cap C\}$$

is a bounded one in  $B(\mathfrak{A})$ .

For, let  $\{p_\alpha\}_{\alpha \in J}$  the family of semi-norms on  $\mathfrak{A}$  and  $\{p_{\alpha B}\}_{\alpha \in J, B \in \mathfrak{B}}$  the family of semi-norms on  $B(\mathfrak{A})$  (see the introduction). Define  $B_1 = \{(\lambda - \xi T)^{-1}, \lambda \in V_0 \cap C, \xi \in \Gamma_0\}$  and  $B_2 = \{(\xi - S^{-1})^{-1}; \xi \in \Gamma_0\}$  which are bounded in  $\mathfrak{A}$ . Indeed,  $V_0 \cdot \Gamma_0^{-1} \subset \rho(T)$  and it is a compact set in  $C$ , therefore by reasoning with a finite covering, we obtain the boundedness of the family  $B_1$ . A similar argument is valid for  $B_2$ . If  $B \in \mathfrak{B}$  is arbitrary, then  $B_1 B B_2$  is also a bounded set, therefore we have

$$\begin{aligned} p_{\alpha, B}((\lambda - M(T, S))^{-1}) &= \sup_{y \in B} p_\alpha((\lambda - M(T, S))^{-1}(Y)) \\ &\leq \frac{1}{2\pi} \sup_{y \in B} \int_{r_0} p_\alpha((\lambda - \xi T)^{-1} Y (\xi - S^{-1})^{-1}) |d\xi| \\ &\leq C(\alpha, B) < \infty, \end{aligned}$$

where we kept the notations of the preceding proposition. Consequently  $\lambda_0 \in \rho(M(T, S))$ .

**COROLLARY 1.** *If  $M = M(T, T)$  is an inner automorphism of the algebra  $\mathfrak{A}$  then*

$$\sigma(M) \subset \sigma(T) \cdot \sigma(T^{-1}) .$$

**PROPOSITION 2.5.** *Let  $T, S$  be in  $\mathfrak{A}$  with  $S^{-1} \in \mathfrak{A}$ , and  $M = M(T, S)$ . If  $\lambda_0 \notin \sigma(M) \cdot \sigma(S)$  and  $V_0$  is a closed neighbourhood of  $\lambda_0$  such that  $V_0 \cap \sigma(M) \cdot \sigma(S) = \emptyset$ , then there is an open set  $G_0 \supset \sigma(S)$ ,  $G_0 \neq \emptyset$  such that  $V_0 \cdot G_0^{-1} \subset \rho(M)$ .*

*Moreover, if  $\Gamma_0$  is a contour in  $G_0$  which surrounds  $\sigma(S)$  and separates it from zero, we have*

$$(\lambda - T) \left( \frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi M)^{-1}(Y)(\xi - S)^{-1} d\xi \right) = Y$$

for all  $Y \in \mathfrak{A}$  and  $\lambda \in V_0 \cap \mathbf{C}$ .

*Proof.* We apply Lemma 2.1 with  $F = \sigma(M)$  and  $K = \sigma(S)$ . Therefore, if  $\Gamma_0$  is a contour as in the quoted lemma, then the integral

$$\frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi M)^{-1}(Y)(\xi - S)^{-1} d\xi$$

exists as an element of  $\mathfrak{A}$  for each  $\lambda \in V_0 \cap \mathbf{C}$ .

From the relation

$$\lambda(\lambda - \xi M)^{-1}(Y) - \xi T(\lambda - \xi M)^{-1}S^{-1} = Y$$

we obtain

$$T(\lambda - \xi M)^{-1} = \frac{1}{\xi} (\lambda(\lambda - \xi M)^{-1}(Y) - Y)S ,$$

therefore we can write, for  $\lambda \in V_0 \cap \mathbf{C}$ ,  $\lambda \neq 0$ ,

$$\begin{aligned} & (\lambda - T) \frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi M)^{-1}(Y)(\xi - S)^{-1} d\xi \\ &= \frac{\lambda}{2\pi i} \int_{\Gamma_0} (\lambda - \xi M)^{-1}(Y)(\xi - S)^{-1} d\xi \\ &\quad - \frac{1}{2\pi i} \int_{\Gamma_0} \frac{1}{\xi} (\lambda(\lambda - \xi M)^{-1}(Y) - Y)(-1 + \xi(\xi - S)^{-1}) d\xi \\ &= \frac{1}{2\pi i} \int_{\Gamma_0} \frac{\lambda}{\xi} (\lambda - \xi M)^{-1}(Y) d\xi - \frac{1}{2\pi i} \int_{\Gamma_0} \frac{d\xi}{\xi} Y \\ &\quad + \frac{1}{2\pi i} \int_{\Gamma_0} Y(\xi - S)^{-1} d\xi = Y , \end{aligned}$$

since

$$\frac{1}{2\pi i} \int_{\Gamma_0} \frac{d\xi}{\xi} = 0 ,$$

$$\frac{1}{2\pi i} \int_{\Gamma_0} (\xi - S)^{-1} d\xi = I$$

and

$$\frac{1}{2\pi i} \int_{\Gamma_0} \frac{\lambda}{\xi} (\lambda - \xi M)^{-1}(Y) d\xi = -\frac{1}{2\pi i} \int_{i\Gamma_0^{-1}} (\eta - M)^{-1}(Y) d\eta = 0$$

because  $\sigma(M)$  is “outside”  $\lambda\Gamma_0^{-1}$ .

If  $\lambda = 0$ , a direct argument proves the validity of the given formula.

**PROPOSITION 2.6.** *With the same conditions as in the previous proposition, if  $\sigma(T) \ni \infty$  then  $\sigma(M) \ni \infty$ .*

*Proof.* Let us suppose that  $\sigma(M) \not\ni \infty$ . Then there is a closed neighbourhood of  $\infty$ , let us say  $V_\infty$ , where we have

$$(\lambda - \xi M)^{-1}(Y) = \sum_{n=0}^{\infty} \frac{\xi^n M^n(Y)}{\lambda^{n+1}}$$

for all  $\xi \in \Gamma_0$  where the series is uniformly convergent in  $\mathfrak{A}$ .

Hence we have

$$\begin{aligned} & \frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi M)^{-1}(Y) (\xi - S)^{-1} d\xi \\ &= \frac{1}{2\pi i} \int_{\Gamma_0} \sum_{n=0}^{\infty} \frac{\xi^n M^n(Y)}{\lambda^{n+1}} (\xi - S)^{-1} d\xi \\ &= \sum_{n=0}^{\infty} \frac{T^n Y S^{-n}}{\lambda^{n+1}} \frac{1}{2\pi i} \int_{\Gamma_0} \xi^n (\xi - S)^{-1} d\xi \\ &= \sum_{n=0}^{\infty} \frac{T^n Y}{\lambda^{n+1}}, \end{aligned}$$

thus the last series is uniformly convergent in a neighbourhood of  $\infty$  and defines, for  $Y = I$ , the inverse  $\lambda - T$ .

Moreover, the set  $\{(\lambda - T)^{-1}; \lambda \in V_\infty\}$  is bounded in  $\mathfrak{A}$  since the set

$$\left\{ \frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi M)^{-1}(I) (\xi - S)^{-1} d\xi; \lambda \in V_\infty \right\}$$

is bounded in  $\mathfrak{A}$  (see the proof of Proposition 2.4), thus  $\infty \in \rho(T)$ .

**PROPOSITION 2.7.** *Let  $T, S \in \mathfrak{A}$  be such that  $S^{-1} \in \mathfrak{A}$  and  $M = M(T, S)$ . Suppose  $M$  invertible on  $\mathfrak{A}$ . If  $\lambda_0 \notin \sigma(T) \cdot \sigma(M)^{-1}$  and  $V_0$  is a closed neighbourhood of  $\lambda_0$  such that  $V_0 \cap \sigma(T) \cdot \sigma(M)^{-1} = \emptyset$  then there is an open set  $G_0 \supset \sigma(M)^{-1}$ ,  $G_0 \ni 0$  such that  $V_0 \cdot G_0^{-1} \subset \rho(T)$ . Moreover, if  $\Gamma_0$*

is a contour in  $G_0$  which surrounds  $\sigma(M)^{-1}$ , we have

$$\left( \frac{1}{2\pi i} \int_{r_0} (\lambda - \xi T)^{-1} (\xi M - 1)^{-1} (Y) \frac{d\xi}{\xi} \right) (\lambda - S) = Y$$

for all  $Y \in \mathfrak{A}$  and  $\lambda \in V_0 \cap C$ .

*Proof.* If  $1/\xi \in \rho(M)$  then from the relation

$$\left( M - \frac{1}{\xi} \right) \left( M - \frac{1}{\xi} \right)^{-1} (Y) = Y$$

we obtain

$$\left( M - \frac{1}{\xi} \right)^{-1} (Y) S = \xi \left( T \left( M - \frac{1}{\xi} \right)^{-1} (Y) - Y S \right).$$

As in the proof of Proposition 2.5, we may apply Lemma 2.1 with  $F = \sigma(T)$  and  $K = \sigma(M)^{-1}$  and if  $\Gamma_0$  surrounds  $\sigma(M)^{-1}$ , we have for  $\lambda$  in a neighbourhood  $V_0$  of  $\lambda_0$ ,  $0 \neq \lambda \neq \infty$ ,

$$\begin{aligned} & \left( \frac{1}{2\pi i} \int_{r_0} (\lambda - \xi T)^{-1} (\xi M - 1)^{-1} (Y) \frac{d\xi}{\xi} \right) (\lambda - S) \\ &= \left( \frac{1}{2\pi i} \int_{r_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} \frac{d\xi}{\xi} \right) Y S + \frac{1}{2\pi i} \int_{r_0} \left( M - \frac{1}{\xi} \right)^{-1} (Y) \frac{d\xi}{\xi^2} = Y, \end{aligned}$$

since

$$\frac{1}{2\pi i} \int_{r_0} \left( \frac{\lambda}{\xi} - T \right)^{-1} \frac{d}{\xi^2} = -\frac{1}{2\pi i} \int_{r_0^{-1}} (\eta - T)^{-1} d\eta = 0$$

(because  $\sigma(T)$  is “outside”  $\lambda\Gamma_0^{-1}$ ) and

$$\frac{1}{2\pi i} \int_{r_0} \left( M - \frac{1}{\xi} \right)^{-1} (Y) \frac{d\xi}{\xi^2} = \frac{1}{2\pi i} \int_{r_0^{-1}} (\eta - M)^{-1} (Y) d\eta = Y$$

as  $\Gamma_0^{-1}$  surrounds  $\sigma(M)$ .

If  $0 \notin \sigma(T) \cdot \sigma(M)^{-1}$  then  $T^{-1} \in \mathfrak{A}$  and the formula is immediate.

**LEMMA 2.8.** *Let  $F$  and  $K$  be two closed sets,  $0 \notin K \neq \infty$  and  $G \supset K \cdot F$  an open set. Then there is an open set  $G_0 \ni 0$ ,  $G_0 \supset K$  such that  $G \supset \bar{G}_0 \cdot F$ . Moreover, if  $\Gamma \subset G$  is a contour surrounding  $K \cdot F$  then we can take a contour  $\Gamma_0$  in  $G_0$  surrounding  $K$  and separating it from zero such that  $\xi \cdot F$  is “inside”  $\Gamma$  for all  $\xi \in \Gamma_0$ .*

*Proof.* The set  $\mathfrak{L}G$  is compact in  $C_\infty$ , therefore we can apply Lemma 2.1 for any  $\lambda_0 \in \mathfrak{L}G$  and, taking a finite covering of  $\mathfrak{L}G$ , we obtain the set  $G_0$ . If  $\Gamma$  is a contour surrounding  $K \cdot F$ , we can choose  $G_0$  such that  $\bar{G}_0 \cdot F$  is “inside”  $\Gamma$ , hence there is a contour  $\Gamma_0$  in  $G_0$

which surrounds  $K$  and separates it from zero such that  $\Gamma_0 \cdot F$  is “inside”  $\Gamma$ .

**THEOREM 2.9.** *Let  $T, S \in \mathfrak{A}$  be such that  $S^{-1} \in \mathfrak{A}$ . If  $\sigma(T) \cdot \sigma(S^{-1}) \neq C_\infty$  and  $f$  is an analytic function, defined in an open set containing  $\sigma(T) \cdot \sigma(S^{-1})$ , then there is a contour  $\Gamma_0$  surrounding  $\sigma(S^{-1})$  and separating it from zero such that  $f(\xi T)$  is defined for each  $\xi \in \Gamma_0$  and*

$$f(M(T, S))(Y) = \frac{1}{2\pi i} \int_{\Gamma_0} f(\xi T) Y(\xi - S^{-1})^{-1} d\xi ,$$

for all  $Y \in \mathfrak{A}$  (where the left side is defined as in the Introduction).

*Proof.* We apply Lemma 2.5 with  $F = \sigma(T)$  and  $K = \sigma(S^{-1})$ . Let  $\Gamma$  and  $\Gamma_0$  be as in this lemma. Suppose that  $\sigma(M) \ni \infty$ . Then, by Proposition 2.4, we must have  $\sigma(T) \ni \infty$ . Hence, by Proposition 2.3, we can write

$$\begin{aligned} f(M(T, S))(Y) &= f(\infty) Y + 1/2\pi i \int_{\Gamma} f(\lambda) (\lambda - M(T, S))^{-1}(Y) d\lambda \\ &= f(\infty) Y + 1/2\pi i \int_{\Gamma} f(\lambda) \left( \frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi T)^{-1} Y(\xi - S^{-1})^{-1} d\xi \right) d\lambda . \end{aligned}$$

By interchanging the order of integration, we obtain

$$\begin{aligned} &\frac{1}{2\pi i} \int_{\Gamma} f(\lambda) \left( \frac{1}{2\pi i} \int_{\Gamma_0} (\lambda - \xi T)^{-1} Y(\xi - S^{-1})^{-1} d\xi \right) d\lambda \\ &= \frac{1}{2\pi i} \int_{\Gamma_0} \left( \frac{1}{2\pi i} \int_{\Gamma} f(\lambda) (\lambda - \xi T)^{-1} d\lambda \right) Y(\xi - S^{-1})^{-1} d\xi \\ &= \frac{1}{2\pi i} \int_{\Gamma_0} (f(\xi T) - f(\infty)) Y(\xi - S^{-1})^{-1} d\xi \\ &= \frac{1}{2\pi i} \int_{\Gamma_0} f(\xi T) Y(\xi - S^{-1})^{-1} d\xi - f(\infty) Y \end{aligned}$$

since, by Lemma 2.5,  $\sigma(\xi T) = \xi \sigma(T)$  is “inside”  $\Gamma$  for all  $\xi \in \Gamma_0$ .

In this manner we obtain

$$f(M(T, S))(Y) = \frac{1}{2\pi i} \int_{\Gamma_0} f(\xi T) Y(\xi - S^{-1})^{-1} d\xi .$$

If  $\sigma(T) \ni \infty$  we have  $\sigma(M) \ni \infty$  and a similar calculus leads to the same formula. No other case is possible because of Proposition 2.6, and this finishes our proof.

**3. Some applications of the functional calculus.** First of all, we shall show that, in a certain sense, the commutator of two elements

[3] can be found again as a function of the operator  $M$ .

**PROPOSITION 3.1.** *Let  $T, S$  be in  $\mathfrak{A}$ , with compact spectra in  $\mathbb{C}$  and with  $S^{-1} \in \mathfrak{A}$ . If none of the sets  $\sigma(T)$ ,  $\sigma(S)$  and  $\sigma(T) \cdot \sigma(S^{-1})$  separates the complex plane, we have the relation*

$$(\log M(T, S))(Y) = (\log T)Y - Y(\log S),$$

for all  $Y \in \mathfrak{A}$ .

*Proof.* By our assumption,  $\log T$ ,  $\log S$  and  $\log M(T, S)$  exist and, from Theorem 2.9, it follows that

$$\begin{aligned} (\log M(T, S))(Y) &= \frac{1}{2\pi i} \int_{r_0} \log(\xi T) Y(\xi - S^{-1})^{-1} d\xi \\ &= \frac{1}{2\pi i} \int_{r_0} (\log \xi) Y(\xi - S^{-1})^{-1} d\xi + \frac{1}{2\pi i} \int_{r_0} (\log T) Y(\xi - S^{-1})^{-1} d\xi \\ &= Y(\log S^{-1}) + (\log T)T = (\log T)Y - Y(\log S). \end{aligned}$$

Let now  $E(\cdot)$  and  $F(\cdot)$  two selfadjoint spectral measures on a Hilbert space, defined on Borel sets of the complex plane. Then the mappings

$$\begin{aligned} \mathfrak{E}(\sigma_1)(Y) &= E(\sigma_1)Y \\ \mathfrak{F}(\sigma_2)(Y) &= YF(\sigma_2) \end{aligned}$$

are two commuting spectral measures,  $Y$  being an arbitrary linear bounded operator; therefore the mapping

$$(\mathfrak{E} \times \mathfrak{F})(\sigma_1 \times \sigma_2)(Y) = E(\sigma_1)YF(\sigma_2)$$

induces a spectral measure on the space of the operators and it is possible to integrate with respect to it (see [2] for details).

**PROPOSITION 3.2.** *Suppose that  $\mathfrak{A}$  is the algebra of all linear operators on a Hilbert space and  $T, S \in \mathfrak{A}$  ( $S^{-1} \in \mathfrak{A}$ ) two normal operators. If  $E, F$  are the spectral measures of  $T$  and  $S$  respectively, then for any function  $f$ , analytic in a neighbourhood of  $\sigma(T) \cdot \sigma(S^{-1})$ , we have*

$$f(M(T, S))(Y) = \iint f(\lambda \mu^{-1}) dE_\lambda Y dE_\mu,$$

for all  $Y \in \mathfrak{A}$ .

*Proof.* Using the same notations as in Theorem 2.9, we have

$$f(M(T, S))(Y) = \frac{1}{2\pi i} \int_{r_0} f(\xi T) Y(\xi - S^{-1})^{-1} d\xi$$

$$\begin{aligned}
 &= \frac{1}{2\pi i} \int_{r_0} f(\xi T) Y \left( (\xi - \mu^{-1})^{-1} dF_\mu \right) d\xi \\
 &= \int \left( \frac{1}{2\pi i} \int_{r_0} f(\xi T) (\xi - \mu^{-1})^{-1} d\xi \right) Y dF_\mu \\
 &= \int \left( \frac{1}{2\pi i} \int_{r_0} (Sf(\xi \lambda) dE_\lambda) (\xi - \mu^{-1})^{-1} d\xi \right) Y dF_\mu \\
 &= \int \left( \frac{1}{2\pi i} \int_{r_0} f(\xi \lambda) (\xi - \mu^{-1})^{-1} d\xi \right) dE_\lambda Y dF_\mu \\
 &= \iint f(\lambda \mu^{-1}) dE_\lambda Y dF_\mu .
 \end{aligned}$$

**PROPOSITION 3.3.** *Assume that the Hilbert space in the previous proposition is finite dimensional and that*

$$T = \sum_{j=1}^p \lambda_j E_j, \quad S = \sum_{k=1}^2 \mu_k F_k$$

(where  $\{E_j\}$  and  $\{F_k\}$  are now finite orthogonal resolutions of the identity). If  $f$  is an analytic function in an open set containing the set  $\{\lambda_1, \dots, \lambda_p\} \cdot \{\mu_1^{-1}, \dots, \mu_2^{-1}\}$  then we have

$$f(M(T, S))(Y) = \sum_{j=1}^p \sum_{k=1}^2 f(\lambda_j \mu_k^{-1}) E_j Y F_k ,$$

for all  $Y \in \mathfrak{A}$ .

The proof follows easily from the preceding proposition.

**PROPOSITION 3.4.** *Suppose that  $\mathfrak{A}$ ,  $T$  and  $S$  are as in Proposition 3.3. Then a necessary and sufficient condition that the equation  $TY = ZS$  have a solution  $Y \in \mathfrak{A}$  is that  $\lambda_r = 0$  implies  $E_r Z = 0$ .*

*Proof.* Let  $Y$  be a solution of the equation  $TY = ZS$ , hence

$$TYS^{-1} = \sum_{j=1}^p \sum_{k=1}^2 \lambda_j \mu_k^{-1} E_j Y F_k = Z .$$

From this relation we obtain easily

$$E_r TYS^{-1} F_s = \lambda_r \mu_s^{-1} E_r Y F_s = E_r Z F_s ,$$

thus  $\lambda_r E_s Y F_s = \mu_s E_r Z F_s$ .

If  $\lambda_r = 0$ , since  $\mu_s \neq 0$  for all  $s$ , we have  $E_r Z F_s = 0$ , hence  $\sum_s E_r Z F_s = E_r Z = 0$ .

Conversely, if  $\lambda_r = 0$  implies  $E_r Z = 0$ , let us consider the matrix

$$Y = \sum_{\lambda_j \neq 0} \sum_{k=1}^2 \frac{\mu_k}{\lambda_j} E_j Z F_k .$$

We have

$$\begin{aligned} TYS^{-1} &= \sum_{l=1}^q \lambda_l E_l \sum_{\lambda_j \neq 0} \sum_{k=1}^q \frac{\mu_l}{\lambda_j} E_j Z F_k \sum_{t=1}^q \mu_t^{-1} F_t \\ &= \sum_{l=1}^p E_l Z \sum_{t=1}^q F_t = Z, \end{aligned}$$

consequently  $Y$  is a solution of the equation.

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