

## $G_\delta$ -DIAGONALS AND METRIZATION THEOREMS

WILLIAM G. MCARTHUR

**The topological space  $X$  is said to have a  $G_\delta$ -diagonal if the diagonal  $\Delta = \{(x, x) : x \in X\}$  is a  $G_\delta$ -set in  $X \times X$ . It is easy to see that if  $X$  has a coarser metrizable topology, then  $X$  has a  $G_\delta$ -diagonal. The main result is that a completely regular pseudocompact space with a regular  $G_\delta$ -diagonal is metrizable.**

A considerable amount of research has been done on the question of what topological properties imply metrizability in the presence of a  $G_\delta$ -diagonal. For example, it is well-known that the existence of a  $G_\delta$ -diagonal is sufficient for metrizability in any of the following classes of spaces:

compact Hausdorff spaces  
linearly ordered spaces  
paracompact  $p$ -spaces.

A question still open is whether a countably compact regular space with a  $G_\delta$ -diagonal must be metrizable. A space  $X$  is said to have a *regular  $G_\delta$ -diagonal* if the diagonal  $\Delta$  is the intersection of countably many closures of open subsets of  $X \times X$  (see [5]). It is known that a countably compact space with a regular  $G_\delta$ -diagonal is metrizable [1].

### 2. The main result.

**DEFINITION 2.1.** A space  $X$  is *pseudocompact* if every real-valued continuous function on  $X$  is bounded.

Pseudocompact spaces were first defined and investigated by Hewitt in [3]. The following characterization of completely regular pseudocompact spaces may be found in [2], page 134.

**LEMMA 2.2.** *Let  $X$  be a completely regular space.  $X$  is pseudocompact if and only if for every sequence  $G_1 \supset G_2 \supset \dots \supset G_n \supset \dots$  of nonvoid open subsets of  $X$ ,  $\bigcap_{n=1}^{\infty} \text{cl}_X(G_n) \neq \emptyset$ .*

**LEMMA 2.3.** *Let  $X$  be a completely regular pseudocompact space. Suppose  $G_1 \supset G_2 \supset \dots \supset G_n \supset \dots$  is a sequence of open sets such that*

$$\bigcap_{n=1}^{\infty} G_n = \bigcap_{n=1}^{\infty} \text{cl}_X(G_n) = \{x\}$$

*for a point  $x$  of  $X$ . Then the sets  $G_n$  form a local neighborhood*

base at  $x$ .

*Proof.* Let  $G$  be an open set containing  $x$ . Suppose

$$G_n \cap (X - G) \neq \emptyset$$

for every  $n$ . Choose  $H$  open such that  $x \in H \subset \text{cl}_X(H) \subset G$ . Then,  $(G_n \cap (X - \text{cl}_X(H)))_{n=1}^{\infty}$  is a decreasing sequence of nonvoid open subsets of  $X$ . Thus, by Lemma 2.2, there is a point  $p$  of  $X$  such that  $p \in \bigcap_{n=1}^{\infty} \text{cl}_X(G_n \cap (X - \text{cl}_X(H)))$ . But,  $p$  belongs to  $\bigcap_{n=1}^{\infty} \text{cl}_X G_n$ , a contradiction! Therefore, there must be an integer  $n$  such that  $G_n \subset G$ !!

DEFINITION 2.4. Let  $\mathcal{S}$  be an open cover of  $X$ ,  $x \in X$ , and  $H \subset X$ . Then,

$$\text{st}(x, \mathcal{S}) = \bigcup \{G \in \mathcal{S} : x \in G\}$$

$$\text{st}(R, \mathcal{S}) = \bigcup \{G \in \mathcal{S} : G \cap H \neq \emptyset\}.$$

The following result was announced by Moore in [4].

LEMMA 2.5. (*Moore's metrization theorem*) A topological space is metrizable if

- (1)  $X$  is Hausdorff, and
- (2) There is a decreasing sequence  $\mathcal{S}_1 \supset \mathcal{S}_2 \supset \dots \subset \mathcal{S}_n \supset \dots$  of open covers of  $X$  such that for every  $x$  in  $X$ , the sets  $\text{st}(\text{st}(x, \mathcal{S}_n), \mathcal{S}_n)$  for  $n = 1, 2, 3, \dots$  form a local neighborhood base at  $x$ .

Our main result appears below.

THEOREM 2.6. Let  $X$  be a completely regular pseudocompact space. If  $X$  has a regular  $G_\delta$ -diagonal, then  $X$  is metrizable.

*Proof.*  $\Delta = \{(x, x) : x \in X\}$ . Then, there is a decreasing sequence  $G_1 \supset G_2 \supset \dots \supset G_n \supset \dots$  of open subsets of  $X \times X$  such that

$$\Delta = \bigcap_{n=1}^{\infty} G_n = \bigcap_{n=1}^{\infty} \text{cl}_{X \times X}(G_n).$$

For each  $x$  in  $X$ , choose a sequence  $(g_n(x))$  of open subsets of  $X$  such that  $(x, x) \in g_n(x) \times g_n(x) \subset G_n$  for each  $n$ . Then, for each  $n$  let

$$\mathcal{S}_n = \bigcup_{k \geq n} \{g_k(x) : x \in X\}.$$

Then,  $\mathcal{S}_1 \supset \mathcal{S}_2 \supset \dots \supset \mathcal{S}_n \supset \dots$  is a decreasing sequence of open covers of  $X$ .

- (i) For  $x$  in  $X$ ,  $\bigcap_{n=1}^{\infty} \text{cl}_X(\text{st}(x, \mathcal{S}_n)) = \{x\}$ . Let  $y \neq x$ . Then,

there is an integer  $n$  such that  $(x, y) \notin \text{cl}_{X \times X}(G_m)$  for  $m \geq n$ . Then, there are neighborhoods  $U$  and  $V$  of  $x$  and  $y$  respectively such that  $(U \times V) \cap G_m = \emptyset$  for  $m \geq n$ . Suppose that  $V \cap \text{st}(x, \mathcal{E}_n) \neq \emptyset$ . Then, there is an integer  $k \geq n$  and a point  $z$  of  $X$  such that  $x$  is in  $g_k(z)$  and  $V \cap g_k(z) \neq \emptyset$ . Then,

$$\emptyset = (U \times V) \cap G_k \supset (U \times V) \cap (g_k(z) \times g_k(z)) \neq \emptyset .$$

Contradiction! Thus, it must be that

$$V \cap \text{st}(x, \mathcal{E}_n) = \emptyset \quad \text{and} \quad y \notin \text{cl}_X(\text{st}(x, \mathcal{E}_n)) .$$

(ii) We conclude by Lemma 2.3 that  $(\text{st}(x, \mathcal{E}_n))$  forms a local base at  $x$ , for each  $x$  in  $X$ .

(iii) For  $x$  in  $X$ ,  $\bigcap_{n=1}^\infty \text{cl}_X(\text{st}(x, \mathcal{E}_n)) = \{x\}$ . Let  $y \neq x$ . Then, there is an integer  $n$  such that  $m \geq n$  implies that

$$(x, y) \notin \text{cl}_{X \times X}(G_m) .$$

Then, there are neighborhoods  $U$  and  $V$  of  $x$  and  $y$  respectively such that  $(U \times V) \cap G_m = \emptyset$  for  $m \geq n$ . There are integers  $k$  and  $j$  such that  $\text{st}(x, \mathcal{E}_k) \subset U$  and  $\text{st}(y, \mathcal{E}_j) \subset V$ . Let  $m = \max\{n, k, j\}$ . Then,  $(\text{st}(x, \mathcal{E}_m) \times \text{st}(y, \mathcal{E}_m)) \cap G_m \subset (U \times V) \cap G_m = \emptyset$ . Suppose

$$\text{st}(y, \mathcal{E}_m) \cap \text{st}(\text{st}(x, \mathcal{E}_m), \mathcal{E}_m) \neq \emptyset .$$

Then, there is an integer  $k \geq m$  and a point  $z$  of  $X$  such that

$$g_k(z) \cap \text{st}(x, \mathcal{E}_m) \neq \emptyset$$

and  $\text{st}(y, \mathcal{E}_m) \cap g_k(z) \neq \emptyset$ . Then,

$$(\text{st}(x, \mathcal{E}_m) \times \text{st}(y, \mathcal{E}_m)) \cap (g_k(z) \times g_k(z)) \neq \emptyset .$$

Contradiction! Thus, it must be that  $\text{st}(y, \mathcal{E}_m) \cap \text{st}(\text{st}(x, \mathcal{E}_m), \mathcal{E}_m) = \emptyset$  and hence  $y \notin \text{cl}_X(\text{st}(\text{st}(x, \mathcal{E}_m), \mathcal{E}_m))$ .

(iv) We conclude by Lemma 2.3 that  $(\text{st}(\text{st}(x, \mathcal{E}_m), \mathcal{E}_m))$  forms a local base at  $x$ , for each  $x$  in  $X$ .

(v) By Moore's Metrization Theorem (Lemma 2.5),  $X$  is metrizable!!

**COROLLARY 2.7.** *If  $X$  is a completely regular pseudocompact space with a coarser metric topology, then  $X$  is metrizable.*

*Proof.* If  $X$  has a coarser metric topology, so does  $X \times X$ !!

**EXAMPLE 2.8.** The space  $E \cap [0, 1]$  of [2], problem 3J is pseudo-compact, Hausdorff, and has a coarser metric topology. Since the space is not completely regular, it is not metrizable.

**EXAMPLE 2.9.** The space  $\mathcal{P}$  of [2], Problem 5I is pseudocompact, completely regular, and the diagonal in  $\mathcal{P} \times \mathcal{P}$  is a  $G_\delta$ -set. But,  $\mathcal{P}$  is not metrizable.

### 3. Some remarks on the countably compact case.

**DEFINITION 3.1.** A space  $X$  is countably compact if every countable family of closed sets with the finite intersection property has nonempty intersection.

**PROPOSITION 3.2.** *If  $X$  is countably compact, regular, with a  $G_\delta$ -diagonal, then  $X$  is first countable.*

*Proof.* Suppose  $\Delta = \bigcap_n G_n$  where the sets  $G_1, G_2, \dots, G_n, \dots$  are open subsets of  $X \times X$ . For  $x$  in  $X$ , choose a sequence  $(g_n(x))$  of open subsets of  $X$  which contain  $x$  such that for each  $n$ ,

$$\text{cl}_X(g_{n+1}(x)) \subset g_n(x) \quad \text{and} \quad g_n(x) \times g_n(x) \subset G_n.$$

Note that  $\bigcap_{n=1}^\infty \text{cl}_X(g_n(x)) = \{x\}$ . Now, suppose  $G$  is an open subset of  $X$  which contains  $x$ . If it is true that no set  $g_n(x)$  is contained in  $G$ , then  $(\text{cl}_X(g_n(x)) \cap (X-G))_n$  is a countable collection of closed sets with the finite intersection property. Thus, since  $X$  is countably compact,  $(\bigcap_{n=1}^\infty \text{cl}_X(g_n(x))) \cap (X-G) \neq \emptyset$ . Contradiction! Hence, there must exist an integer  $n$  such that  $g_n(x) \subset G$ . This shows that  $(g_n(x))_n$  forms a neighborhood base at  $x$  and hence  $X$  is first countable.!!

**PROPOSITION 3.3.** *If  $X$  is countably compact, regular, with a  $G_\delta$ -diagonal, then  $X \times X$  is countably compact, regular, and has a  $G_\delta$ -diagonal.*

*Proof.* It is well-known that regularity is productive and that countable compactness is countably productive in the presence of first countability. Now, suppose that  $\Delta = \bigcap_n G_n$  with the sets  $G_n$  open in  $X \times X$ . Let  $\Delta' = \{(x, y), (x, y) : x, y \in X\}$ . For each  $n$ , let

$$g_n(x, y) = g_n(x) \times g_n(y)$$

where the sets  $g_n(x)$  are as in Proposition 3.2. Let

$$H_n = \bigcup_{(x, y) \in X \times X} (g_n(x, y) \times g_n(x, y)).$$

**Claim:**  $\Delta' = \bigcap_{n=1}^\infty H_n$ . Clearly,  $\Delta' \subset \bigcap_{n=1}^\infty H_n$ . Suppose  $(x_1, y_1) \neq (x_2, y_2)$ .

*Case I.*  $x_1 \neq x_2$ . Then, there is an integer  $n$  such that

$(x_1, x_2) \notin G_n$ . Suppose  $((x_1, y_1), (x_2, y_2)) \in H_n$ . Then, there is a pair  $(x, y)$  in  $X \times X$  such that  $(x_1, y_1) \in g_n(x, y)$  and  $(x_2, y_2) \in g_n(x, y)$ . Then,  $x_1 \in g_n(x)$  and  $x_2 \in g_n(x)$  which implies that  $(x_1, x_2) \in G_n$ . Contradiction!

*Case II.*  $y_1 \neq y_2$ . Similar argument to that of Case I. Thus,  $X \times X$  has a  $G_\delta$ -diagonal!!

**PROPOSITION 3.4.** *Every countably compact, regular, space with a  $G_\delta$ -diagonal is metrizable if and only if every countably compact, regular, space with a  $G_\delta$ -diagonal is normal.*

*Proof.* If  $X$  has a  $G_\delta$ -diagonal and  $X \times X$  is normal, then  $X$  has a regular  $G_\delta$ -diagonal!!

The author would like to thank Professor Robert Heath of the University of Pittsburgh for his many enlightening remarks on this subject matter.

#### REFERENCES

1. B. Anderson, *Topologies comparable to metric topologies*, Proceedings of the 1967 topology conference at Arizona State University, 15-21.
2. L. Gillman and M. Jerison, *Rings of Continuous Functions*, Van Nostrand, Princeton, N. J., 1960.
3. E. Hewitt, *Rings of real-valued continuous functions. I*, Trans. Amer. Math. Soc., **64** (1948), 45-99.
4. R. L. Moore, *A set of axioms for plane analysis situs*, Fund. Math., **25** (1935), 13-28.
5. P. Zenor, *On spaces with regular  $G_\delta$ -diagonals*, Pacific J. Math., to appear.

Received October 29, 1971.

SHIPPENSBURG STATE COLLEGE

