THE LOCAL RIGIDITY OF THE MODULI SCHEME FOR CURVES

R. F. LAX

Let Y be a smooth, quasi-projective scheme of finite type over an algebraically closed field of characteristic zero. Let Xbe the auotient of Y by finite group of a automorphisms. Assume that the branch locus of Y over X is of codimension at least 3. In this note, it is shown that X is locally rigid in the following sense: the singular locus of X is stratified and, given a point on a stratum, it is shown that there exists a locally algebraic transverse section to the stratum at the point which is rigid. This result is then applied to the coarse moduli scheme for curves of genus g, where g > 4 (in characteristic zero).

1. Stratifying quotient schemes. Let k be an algebraically closed field. Let V' be a smooth, irreducible quasi-projective algebraic k-scheme. By a quotient scheme, we mean a scheme V = V'/G, where G is a finite group of automorphisms of V'. In [3], Popp defines a stratification of such schemes.

Given a point $P \in V$ and a point $P' \in V'$ lying over P, one may define the inertia group of P':

$$I(P') = \{ \sigma \in G \mid \sigma x \equiv x \mod \mathcal{M}_{P'}, \text{ for all } x \in \mathcal{O}_{V',P'} \}.$$

If $P'' \in V'$ is another point lying over P, then I(P') and I(P'') are conjugate subgroups of G.

Let Z_P denote the closed subscheme of Spec (\mathcal{O}_P) which is ramified in the covering $f: V' \to V$ and let $Z_{P'}$ be the inverse image of Z_P in Spec $(\mathcal{O}_{P'})$. Denote by Z'_1, \dots, Z'_s those irreducible components of $Z_{P'}$ of dimension n-1 (where $n = \dim V$). Let H_1, \dots, H_s denote the inertia groups of the generic points of Z'_1, \dots, Z'_s respectively and let H(P')denote the subgroup of I(P') generated by the H_i , $i = 1, 2, \dots, s$. (If s = 0, put H(P') = (1).) Let

$$\bar{I}(P') = I(P')/H(P')$$

and call this the *small inertia group* of P'. Under the assumption that V' is smooth, Popp shows that $\overline{I}(P')$ is independent of the cover; i.e.,

for any smooth cover $V'' \to V$, if $P'' \in V''$ is a point lying over P, then $\overline{I}(P'') \cong \overline{I}(P')$. Thus, we may write $\overline{I}(P)$ and speak of the small inertia group of P.

Let W be an irreducible subscheme of V and suppose $P \in W$. W. Then one says that V is *equisingular at P along W* if the following two conditions hold:

(1) P is a smooth point of W

(2) Suppose P' is a point lying over P and W' is the irreducible component of $f^{-1}(W)$ containing P'. Then the canonical homomorphism $\overline{I}(W') \rightarrow \overline{I}(P')$ is a (surjective) isomorphism.

Let

Eqs $(V/W) = \{P \in W \mid V \text{ is equisingular at } P \text{ along } W\}$.

Popp shows, under the assumption that k is of characteristic 0, that this notion of equisingularity satisfies the axioms which any good notion should (cf. [6]).

In particular, given $Q \in V$, let M_Q denote the family of closed, irreducible subschemes W of V such that $Q \in \text{Eqs}(V/W)$. Then the family $\{\text{Eqs}(V/W) | W \in M_Q\}$, for fixed Q, has a greatest element called the *stratum* through Q.

Another important property is that if E is a stratum and $P \in E$, then there exists a neighborhood U of P in V and a minimal biholomorphic embedding $\psi: U \to \mathbb{C}^e$ (where $e = \dim \mathcal{M}_P/\mathcal{M}_P^2$) such that $\psi(U)$ is topologically isomorphic to the direct product of $\psi(U \cap E) = \mathscr{C}$ and a locally algebraic transverse section to \mathscr{C} at $\psi(P)$ (see [3] for details).

The above straification, in characteristic 0, is really quite neat: if E is a stratum and $P \in E$, then $E = \{Q \mid Q \text{ is analytically isomorphic to } P\}$.

2. The local rigidity of certain quotient schemes.

DEFINITION. Let V be a quotient scheme in characteristic 0. Stratify V as in §1. Then we will say V is *locally rigid* if given a point P on a stratum E, then there is a locally algebraic transverse section to E at P which is rigid.

PROPOSITION 1. Let a finite group I act by holomorphic automorphisms of \mathbb{C}^m , leaving the origin fixed. If I acts freely outside some I-invariant complex subspace W' (through the origin) of codimension ≥ 3 , then $X = \mathbb{C}^m / I$ is rigid.

Proof. As is noted in [5], this is a valid generalization of Theorem 3 of [4].

THEOREM 1. Suppose k is an algebraically closed field of characteristic 0. Let Y be a smooth, quasi-projective algebraic k-scheme and let G be a finite group of automorphisms of Y. Let X = Y/G. If the branch locus of Y over X is of codimension at least 3, then X is locally rigid.

Proof. Suppose x is a point of X. Let I denote the inertia group of x. Note that since there is no ramification in codimension 1, we have $I = \overline{I}$. In a neighborhood of x, we can linearize the action of I (cf. [1], [3]) so that X at x is locally analytically isomorphic to \mathbb{C}^n/I at the point O which is the image of the origin under the canonical map $\mathbb{C}^n \to \mathbb{C}^n/I$.

Choose coordinates z_1, \dots, z_n in \mathbb{C}^n such that z_1, \dots, z_r span the fixed space of I (we may do this since the fixed space is linear). Then

$$\mathbf{C}^n/I \cong \operatorname{Spec}\left(\mathbf{C}[z_1,\cdots,z_r] \otimes \mathbf{C}[z_{r+1},\cdots,z_n]^I\right).$$

The stratum on which Q lies is

$$E = \operatorname{Spec}\left(\mathbb{C}[z_1, \cdots, z_r]\right)$$

and the transverse section we desire is

$$S = \operatorname{Spec} \left(\mathbf{C}[z_{r+1}, \cdots, z_n]^I \right).$$

Locally at x, the space X is isomorphic to $E \times S$, not just topologically, but analytically as well. It follows from this and our hypotheses that the branch locus of the map Spec $(\mathbb{C}[z_{r+1}, \dots, z_n]) \rightarrow S$ has codimension at least 3. Hence, applying Proposition 1, we may conclude that S is rigid.

We may apply this theorem to M_g , the coarse moduli scheme for curves of genus g, in characteristic zero. M_g is the quotient of the smooth, higher-level moduli scheme $J_{g,n}$, for n sufficiently large, by the group $GL(2g, \mathbb{Z}/n)$ [2]. In [2], Popp computes the dimension of ramification points of the map $J_{g,n} \to M_g$. An inspection of his computations shows that, for g > 4, the branch locus of this map has codimension at least 3. Applying our theorem then yields:

PROPOSITION 2. M_g , the coarse moduli scheme for curves of genus g in characteristic 0, is locally rigid if g > 4.

References

1. H. Cartan, Quotient d'un espace analytique par un groupe d'automorphismes, in Algebraic

Geometry and Topology, Princeton University Press (Princeton, 1957).

2. H. Popp, The singularities of the moduli scheme of curves, J. Number Theory, 1 (1969), 90–107.

3. ____, Stratifikation von Quotientmannigfaltigkeiten..., J. reine angew. Math., 250 (1971), 12-41.

4. M. Schlessinger, Rigidity of quotient singularities, Invent. Math., 14 (1971), 17-26.

5. ____, On rigid singularities, Rice University Studies on Complex Analysis, Vol. 59, No. 1 (1972), 147-162.

6. O. Zariski, Some open questions in the theory of singularities, Bull. Amer. Math. Soc., 77 (1971), 481-491.

Received November 1, 1973 and in revised form May 7, 1974.

LOUISIANA STATE UNIVERSITY