ON SUBRINGS OF RINGS WITH INVOLUTION

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We give a systematic account on the relationship between a ring R with involution and its subrings \overline{S} and \overline{K} , which are generated by all its symmetric elements or skew elements respectively.

Introduction. Let R be a ring with involution * and \overline{S} the I. subring generated by the set S of all symmetric elements in R. The relationship between R and \overline{S} has been studied by various authors. In [3] Dieudonné showed that if R is a division ring of characteristic not 2. then either $\overline{S} = R$ or $\overline{S} \subseteq Z(R)$, the center of R. Later Herstein [4] extended this result by proving $\overline{S} = R$ for any simple ring R with $\dim_{\mathbb{Z}} R > 4$ and char. $R \neq 2$. The restriction on characteristic was removed by Montgomery [12]. Recently, Lanski [9] proved that if R is prime or semi-prime, so is \overline{S} . In §2 of this paper, we show that \overline{S} can inherit a number of ring-theoretic properties such as primitivity, semisimplicity, absence of nonzero nil ideals etc.. In doing so, a notion called symmetric subring, which is a generalization of \overline{S} and its *homomorphic images, is introduced so that a group of theorems of the same type, including Lanski's results, can be proved via a more or less unified argument. We show also that numerous radicals of \bar{S} are merely the contractions from those of R. As a consequence, we see that Rmodulo its prime radical behaves much like \overline{S} in many respects.

In §3 we establish a corresponding theory for \bar{K} , the subring generated by all skew elements. The only result hitherto known concerning \bar{K} was as follows [4], [12]: If R is simple and dim_zR > 4, then $\bar{K} = R$. As a matter of fact, the subring $\overline{K^2}$ is more closely related to Rthan \bar{K} is. We apply the technique developed in §2 to study the relationship between R and $\overline{K^2}$, and then derive some parallel theorems for \bar{K} .

II. Symmetric subrings. Our work depends heavily on the notion of *Lie ideals*. By a Lie ideal U of R we mean an additive subgroup which is invariant under all inner derivations of R. That is, $[u, x] = ux - xu \in U$ for all $u \in U$ and $x \in R$. The following lemma concerning Lie ideals will be referred to frequently in the sequel, and it is a combination of some results in [5].

LEMMA 1. Let R be a semi-prime ring and U a subring and Lie ideal of R. Then U contains the ideal of R which is generated by [U, U]. If U is commutative, then $u^2 \in Z$ for all $u \in U$.

Rings with involution abound with examples of Lie ideals. One can easily show that any subring, generated by symmetric elements and containing $T = \{x + x^* | x \in R\}$ the set of all traces, must be a Lie ideal. In particular, both \overline{S} and \overline{T} are Lie ideals.

Another essential property of \overline{S} follows from the next lemma. We denote by N the set of all norms, i.e. $N = \{xx^* | x \in R\}$.

LEMMA 2. Let U be an additive subgroup of R such that $T \subseteq U \subseteq S$ and $xUx^* \subseteq U$ for all $x \in R$. If $N \subseteq \overline{U}$, then $x\overline{U}x^* \subseteq \overline{U}$ for all $x \in R$.

Proof. We prove by induction that $xu_1 \cdots u_n x^* \in \overline{U}$ for all $x \in R$ and $u_1, \cdots, u_n \in U$. The case n = 1 is clear. Assume the assertion holds for n - 1; then

$$xu_1u_2\cdots u_nx^* = [x, u_1][u_2\cdots u_n, x^*] + (xu_1x^*)u_2\cdots u_n + u_1(xu_2\cdots u_nx^*)$$
$$-u_1xx^*u_2\cdots u_n \in \bar{U}$$

because \overline{U} is a Lie ideal.

DEFINITION. A subring U of R is called a symmetric subring if: 1. U is generated by a set of symmetric elements.

- 2. $T \cup N \subset U$
- 3. $xUx^* \subseteq U$ for all $x \in R$.

In light of Lemma 2, we know that \overline{S} is a symmetric subring. From now on, U will always denote a symmetric subring of R. We call an ideal I of R a *-ideal if $I^* = I$.

LEMMA 3. If R is semi-prime and I is a *-ideal of R such that $I \cap U = 0$, then I = 0.

Proof. For any $a \in I$, $a^2 = a(a + a^*) - aa^* = 0$. Then I is nil of index 2 and hence I = 0.

Recall that a ring R is called a *-simple ring if $R^2 \neq 0$ and R has no *-ideal other than 0 and R. It is well-known that R is *-simple if and only if either R is simple or $R = A \bigoplus A^*$ for some simple ring A [8, p. 14]. Let $Z^+ = Z \cap S$. Then if R is *-simple, we have $Z^+ = 0$ or Z^+ is a field.

THEOREM 4. If R is *-simple, then either U = R or U is a field contained in Z^+ .

Proof. If U is not commutative, by Lemma 1 it contains a nonzero *-ideal of R so U = R. Assume that [U, U] = 0; then $U \subseteq S$. In this

case, we need only to prove $U \subseteq Z$, for if $u \in U$ and $u \neq 0$ then $u^{-1} = u^{-1}u(u^{-1})^* \in U$.

If $R = A \bigoplus A^*$ for some simple ring A, then T = U = S. Thus [U, U] = 0 implies [A, A] = 0 and so R is commutative. If R is simple, then U, being a commutative subring and Lie ideal of R, must be central unless 2R = 0 and dim_zR = 4 [5, Theorem 1.5]. So let us examine all possible 4-dimensional cases.

If R is a division ring, then $x^{-1}Ux = x^{-1}(xUx^*)x = Ux^*x \subseteq U$ for all $x \in R$ with $x \neq 0$. Hence $U \subseteq Z$ by the Brauer-Cartan-Hua theorem [7, Theorem 7.13.1, Cor.].

There remains the case $R = F_2$ where F is a field with char.F = 2. We claim that * must be of symplectic type. Assume the contrary,

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}^* = \begin{bmatrix} a & \alpha^{-1}\bar{c} \\ \alpha\bar{b} & \bar{d} \end{bmatrix}$$

for some $\alpha \in F$ with $\overline{\alpha} = \alpha$, where – denotes the induced automorphism on F. Thus

$$U \subseteq S = \left\{ \begin{bmatrix} a & b \\ \alpha \overline{b} & c \end{bmatrix} | \, \overline{a} = a, \, \overline{c} = c \right\}.$$

For any $a \in F$, we have

 $\begin{bmatrix} 0 & a + \bar{a} \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} a + \bar{a} & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ \alpha & 0 \end{bmatrix} \in T^2 \subseteq U$

so $\bar{a} = a$. Next, if $\begin{bmatrix} a & b \\ \alpha b & c \end{bmatrix} \in U$ then

$$\begin{bmatrix} b & 0 \\ a+c & b \end{bmatrix} = \begin{bmatrix} a & b \\ \alpha b & c \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} a & b \\ \alpha b & c \end{bmatrix} \in U$$

and hence a = c. But if $\begin{bmatrix} a & b \\ \alpha b & a \end{bmatrix} \in U$, then

$$\begin{bmatrix} a & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} a & b \\ ab & a \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \in U$$

yields a = 0. So $U = T = \left\{ \begin{bmatrix} 0 & b \\ \alpha b & 0 \end{bmatrix} \middle| b \in F \right\}$ which is ridiculous because T is not a subring. Consequently, $\begin{bmatrix} a & b \\ c & d \end{bmatrix}^* = \begin{bmatrix} d & b \\ c & a \end{bmatrix}$ and

$$U \subseteq S = \left\{ \begin{bmatrix} a & b \\ c & a \end{bmatrix} | a, b, c \in F \right\}.$$

For any $\begin{bmatrix} a & b \\ c & a \end{bmatrix}$, $\begin{bmatrix} a' & b' \\ c' & a' \end{bmatrix} \in U$, we have $\begin{bmatrix} a & b \\ c & a \end{bmatrix} \begin{bmatrix} a' & b' \\ c' & a' \end{bmatrix} \in U$ and hence bc' = b'c by comparing the diagonal entries of the product. If there exists $\begin{bmatrix} a' & b' \\ c' & a' \end{bmatrix} \in U$ with $b' \neq 0$, then

$$U \subseteq \left\{ \begin{bmatrix} a & b \\ \alpha b & a \end{bmatrix} | a, b \in F \right\},$$

where $\alpha = c'b'^{-1}$. However,

$$\begin{bmatrix} 0 & 0 \\ b' & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} a' & b' \\ c' & a' \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \in U$$

forces b' = 0, a contradiction. Hence $U \subseteq \left\{ \begin{bmatrix} a & 0 \\ c & a \end{bmatrix} | a, c \in F \right\}$. On the other hand, if $\begin{bmatrix} a & 0 \\ c & a \end{bmatrix} \in U$,

$$\begin{bmatrix} 0 & c \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} a & 0 \\ c & a \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \in U$$

implies c = 0. Therefore, $U \subseteq Z$.

Following [11], we say R is *-prime if the product of any two nonzero *-ideals is still not zero. It is easy to see that R is *-prime if and only if aRb = a * Rb = 0 implies a = 0 or b = 0. As a consequence, any nonzero element in Z^+ is regular in a *-prime ring R.

We remind the reader of of a well-known fact that a nonzero Lie ideal of a semi-prime ring always contains elements with nonzero square.

THEOREM 5. If R is *-prime, so is U.

Proof. If $[U, U] \neq 0$, then U contains a nonzero *-ideal I of R. For any two *-ideals A, B of U with AB = 0, we have $IAIB \subseteq AB = 0$, so either IAI = 0 or B = 0, ending up with A = 0 or B = 0. Assume that $U \neq 0$ while [U, U] = 0. By Lemma 1, there exists $u_0 \in U$ such that $u_0^2 \in Z$ but $u_0^2 \neq 0$. So consider the ring Q of fractions a/α with $a \in R$ and $\alpha \in Z \cap U$, $\alpha \neq 0$. Q is also *-prime with respect to the involution given by $(a/\alpha)^* = a^*/\alpha$, and $U' = \{u/\alpha \in Q \mid u \in U\}$ is a symmetric subring of Q. As a matter of fact, Q is *-simple. For if J is any nonzero *-ideal of Q, $J \cap U' \neq 0$ and hence $(v/\beta)^2 \neq 0$ for some $v/\beta \in J \cap U'$. Since $v^2 \in Z$, v/β is invertible and so J = Q. By the previous theorem, $U' \subseteq Z^+(Q)$ and hence U is an integral domain contained in $Z^+(R)$.

Let $C_R(V) = \{x \in R \mid xv = vx \text{ for all } v \in V\}$ be the centralizer of a set V in R.

LEMMA 6. Let $I \neq 0$ be an ideal (or *-ideal) of a prime (resp. *-prime) ring R. Then $C_{\mathbb{R}}(I) \subseteq Z$.

Proof. For $a \in I$, $b \in C_R(I)$ and $x \in R$, we have abx = bax = axb, or equivalently, a(bx - xb) = 0. That is, $I[C_R(I), R] = 0$. Hence $[C_R(I), R] = 0$ and so $C_R(I) \subseteq Z$.

COROLLARY. Let R be a prime (or *-prime) ring and I a nonzero ideal (resp. *-ideal) of R such that [I, I] = 0. Then R is commutative.

THEOREM 7. If R is semi-prime, then $Z(U) \subseteq Z(R)$.

Proof. Assume first that R is *-prime. If [U, U] = 0, then $Z(U) = U \subseteq Z(R)$ by Theorem 5. If $[U, U] \neq 0$, then U contains a nonzero *-ideal I of R, so $Z(U) \subseteq C_R(I) \subseteq Z(R)$ in view of Lemma 6. In either case, [Z(U), R] = 0. Now assume that R is semi-prime; then R is a subdirect sum of *-prime rings $\pi_{\alpha}(R)$. Since $\pi_{\alpha}(U)$ is a symmetric subring of $\pi_{\alpha}(R)$, we know $[\pi_{\alpha}(Z(U)), \pi_{\alpha}(R)] \subseteq [Z(\pi_{\alpha}(U)), \pi_{\alpha}(R)] = 0$ for all α . Hence, [Z(U), R] = 0.

The same reduction to *-prime rings together with Theorem 5 gives an alternate proof for Lanski's theorem:

THEOREM 8. If R is semi-prime, so is U.

With this established, we are able to consider the relationship between the prime radicals $\mathfrak{P}(R)$ and $\mathfrak{P}(U)$.

THEOREM 9. $\mathfrak{P}(U) = U \cap \mathfrak{P}(R)$.

Proof. Since $U/[U \cap \mathfrak{P}(R)] \simeq [U + \mathfrak{P}(R)]/\mathfrak{P}(R)$ which is a symmetric subring of the semi-prime ring $R/\mathfrak{P}(R)$, so $U/[U \cap \mathfrak{P}(R)]$ is semi-prime by Theorem 8 and hence $\mathfrak{P}(U) \subseteq U \cap \mathfrak{P}(R)$. On the other hand, if $a \in U \cap \mathfrak{P}(R)$, then $a \in U$ and any *m*-system in *R* containing *a* must contain 0. [7, Theorem 8.2.3]. Certainly, any *m*-system in *U* containing *a* contains 0. That is, $a \in \mathfrak{P}(U)$.

It is well-known that a ring without nonzero nil ideals is a subdirect sum of rings with the following property [6, p. 53]:

There exists a nonnilpotent element a such that $a^{n(I)} \in I$ for all nonzero ideal I.

One can impose this condition only on the *-ideals and show that it is a hereditary property. Then, making use of subdirect sum decomposition, we can prove that U inherits the freedom from nonzero nil ideals. Instead of doing this way, we prefer to present a direct proof by considering the nil radical $\Re(U)$ of U.

THEOREM 10. If R has no nil ideal other than 0, neither does U.

Proof. Let I be the ideal of R which is generated by [U, U]. Since R possesses no nonzero nil ideal, neither does I, considered as a ring. Hence $\Re(U) \cap I = 0$. For any $a \in \Re(U)$ and $u \in U$, we have $[a, u] \in \Re(U) \cap I = 0$. Thus $\Re(U) \subseteq Z(U)$. Since U is semi-prime by Theorem 8, $\Re(U) = 0$.

As an immediate consequence, we have

THEOREM 11. $\mathfrak{N}(U) = U \cap \mathfrak{N}(R)$.

Proceed as above with "locally nilpotent" in place of "nil" and with Levitzki radical \mathfrak{L} in place of \mathfrak{R} , we get

THEOREM 12. If R has no nonzero locally nilpotent ideal, neither does U.

THEOREM 13. $\mathfrak{L}(U) = U \cap \mathfrak{L}(R)$.

In [2] the notion of *-primitive ring was introduced as a ring admitting a *-faithful irreducible module M (i.e. $Mr = Mr^* = 0$ implies r = 0). One can easily verify that a ring is *-primitive if and only if it is either primitive or a subdirect sum of a primitive ring and its opposite with the exchange involution.

We know that a nonzero ideal of a primitive ring is itself primitive. The proof is applicable to the following more general fact.

LEMMA 14. Let R be a primitive (or *-primitive) ring. Suppose that I is a nonzero ideal (resp. *-ideal) of R, and A is a subring (resp. *-subring, i.e. $A^* = A$) containing I. Then A is also primitive (resp. *-primitive).

THEOREM 15. If R is primitive or *-primitive, so is U.

Proof. If $[U, U] \neq 0$, U contains a nonzero *-ideal of R, so it is primitive or *-primitive by Lemma 14. Assume that U is commutative. Then $U \subseteq Z^+$ and every element in R is quadratic over

 Z^+ . Hence R satisfies a polynomial identity. According to Kaplansky's theorem [6, Theorem 6.3.1], R is *-simple and hence U is a field by Theorem 4.

Using the fact that a semi-simple ring is a subdirect sum of *-primitive rings, we get immediately

THEOREM 16. If R is semi-simple, so is U.

In fact, the semi-simplicity of \overline{S} was first proved by Herstein. His elegant proof was the inspiration of our next theorem which relates the Jacobson radicals of R and U.

THEOREM 17. $\mathfrak{J}(U) = U \cap \mathfrak{J}(R)$.

Proof. For $a \in \mathfrak{J}(U)$ and $x \in R$, we have

 $ax \circ ax^* = ax + ax^* + axax^* = a(x + x^* + xax^*) \in \mathfrak{J}(U)U \subseteq \mathfrak{J}(U).$

Thus aR is quasi-regular and hence $a \in U \cap \mathfrak{F}(R)$. Conversely, if $a \in U \cap \mathfrak{F}(R)$, $a \circ b = 0$ for some $b \in R$, then $b = b \circ (a \circ b)^* = (b \circ b^*) \circ a^* \in U$. That is, $U \cap \mathfrak{F}(R)$ is a quasi-regular ideal of U, so $U \cap \mathfrak{F}(R) \subseteq \mathfrak{F}(U)$.

With Theorem 17 in hand, we are ready to study some non-semisimple rings. Following [7], we say R is semi-primary, primary, or completely primary according as $R/\Im(R)$ is an artinian, simple artinian, or division ring respectively. Since $U/\Im(U)$ is isomorphic to a symmetric subring of R/J(R), by Theorem 4 we have

THEOREM 18. If R is primary or completely primary, so is U.

As to semi-primary rings, we need some information about the descending chain condition. In a paper [10] which is to appear, Lanski proved that if R is artinian and $\frac{1}{2} \in R$, then so is \overline{S} . For our purpose, we prove

LEMMA 19. If R is semi-prime artinian, so is U.

Proof. By the Wedderburn-Artin theorem, we may write $R = R_1 \bigoplus \cdots \bigoplus R_n$ where each R_i is *-simple. Denote by e_i the identity of R_i , then $e_i \in Z^+$ and so $e_i U e_i$ is a symmetric subring of R_i for each *i*. By Theorem 4, each $e_i U e_i$ is artinian, so is $U = e_1 U e_1 \bigoplus \cdots \bigoplus e_n U e_n$.

THEOREM 20. If R is semi-primary, so is U.

We remark that the assertion corresponding to Lemma 19 for ascending chain condition is not true even if R is a commutative integral domain. A counter example can be found in [13].

Let \Re stand for any of the four radicals \Re , \Re , \Re and \Im . We have shown $\Re(U) = U \cap \Re(R)$. If $\Re(U) = U$, then $U \subseteq \Re(R)$, so 0 is a symmetric subring of the semi-prime ring $R/\Re(R)$, and hence $\Re(R) = R$ by Lemma 3. That is, if U is locally nilpotent, nil or quasi-regular, so is R.

On the other hand, $\Re(U) = 0$ need not imply $\Re(R) = 0$. For example, let R = F + A be the algebra obtained by adjunction of an identity to a trivial algebra A over a field F with char. $F \neq 2$. Define $(\alpha + a)^* = \alpha - a$ for $\alpha \in F$ and $a \in A$. Then $\overline{S} = F$ is a field, while $\Re(R) = A$ is a nilpotent ideal. In case A has infinite dimension, this example shows also that R is not artinian although \overline{S} is.

However, we still have some results on $\Re(R)$. For if $\Re(U) = 0$, then the *-ideal $\Re(R)$ has trivial intersection with U, hence is nil of index 2. Thus we have aRa = 0 for any $a \in \Re(R)$ and consequently $\Re(R) =$ $\Re(R)$. Besides, U is isomorphic to a symmetric subring of $R/\Re(R)$. Realizing this fact, one might not be surprised to see that $R/\Re(R)$, instead of R itself, satisfies the same properties as U does.

LEMMA 21. Let R be a semi-prime ring and e the identity of U. Then e is also the identity of R.

Proof. By Theorem 7, $e \in Z(U) \subseteq Z(R)$. Since $e \in S$, $I = \{x - ex | x \in R\}$ is a *-ideal of R. If $a - ea \in U$, then a - ea = e(a - ea) = 0. Thus $I \cap U = 0$ and so I = 0. In other words, e is the identity of R.

The case when R is semi-prime and \overline{S} is simple was thoroughly studied by Lanski [9]. An example was given there that R is an integral domain but not simple while \overline{S} is. In the presence of an identity, we have

THEOREM 22. Let R be a semi-prime ring. If U is a *-simple ring with identity, so is R.

Proof. Let I be any nonzero *-ideal of R. Then $I \cap U \neq 0$, and the *-simplicity of U implies $U \subseteq I$. By Lemna 21, U contains the identity of R, so I = R.

Even if U is a field, R can be semi-prime but not simple. The simplest example is the direct sum of two copies of a field with the exchange involution. This example illustrates why we deal with only *-primeness and *-primitivity in what follows.

THEOREM 23. (1) If U is semi-prime, $\mathfrak{P}(R)$ is nil of index 2. (2) If U is *-prime, so is $R/\mathfrak{P}(R)$.

Proof. We have proved (1) in the discussion before Lemma 21. As to (2), we may assume without loss of generality that R is semiprime. Let I and J be *-ideals of R such that IJ = 0. Then $(I \cap U)(J \cap U) = 0$, so $I \cap U = 0$ or $J \cap U = 0$, ending up with I = 0 or J = 0.

Suppose that R is a *-prime ring and I a nonzero *-ideal of R. If I possesses a *-faithful irreducible module M, write M = mI for some $m \in M$ and $m \neq 0$, and define a map from $M \times R$ into M by sending (ma, r) to m(ar). One can easily check that such a map is well defined and that M becomes a *-faithful irreducible R-module. This is the content of

LEMMA 24. Let R be a *-prime ring and I a nonzero ideal of R. If I is *-primitive, so is R.

THEOREM 25. (1) If U is semi-simple, then $\mathfrak{F}(R) = \mathfrak{F}(R)$ is nil of index 2. (2) If U is *-primitive, so is $R/\mathfrak{F}(R)$.

Proof. We have seen the proof of (1) earlier. As to (2), we assume that R is semi-prime. By Theorem 23, R is *-prime. If $[U, U] \neq 0$, then U contains a nonzero *-ideal I of R. Lemma 14 shows that I is itself *-primitive and hence R is also *-primitive by the previous lemma. If U is commutative, it is *-simple with identity. It follows from Theorem 22 that R is *-primitive.

THEOREM 26. If U is semi-primary, so is R.

Proof. It suffices to show that if R is semi-prime and U is artinian, then R is also artinian. In this case, we have $U = U_1 \bigoplus \cdots \bigoplus U_n$, where each U_i is *-simple artinian. Let e_i be the identity of U_i ; then $e_i \in Z(U) \subseteq Z(R)$. Since $1 = e_1 + \cdots + e_n$, $R = R_1 \bigoplus \cdots \bigoplus R_n$, with $R_i = e_i R$. Each R_i is then semi-prime and contains U_i as a symmetric subring. By Theorem 22 R_i is *-simple, so either $U_i = R_i$ or U_i is a field. If U_i is a field, then R_i satisfies a polynomial identity and hence is a finite dimensional algebra over a field contained in $Z(R_i)$. In either case, R_i is always artinian. Hence R must be also artinian.

III. Subrings generated by skew elements. In contrast to \overline{S} , \overline{K} is not necessarily a Lie ideal of R. For instance, in F_2 with

char. $F \neq 2$ and transpose as *, $\overline{K} = \left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} \middle| a, b \in F \right\}$. Although $\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \in K$, $\begin{bmatrix} 0 & -1 \\ -1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} - \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$

falls outside of \overline{K} . However, both $\overline{K^2}$ and $\overline{K_0^2}$, where $K_0 = \{x - x^* | x \in R\}$, are always Lie ideals.

DEFINITION. By a skew subgroup V of R we mean a subgroup of R such that $K_0 \subseteq V \subseteq K$ and $xVx^* \subseteq V$ for all $x \in R$.

Henceforth we shall use V to stand for a skew subgroup of R without further explanation.

LEMMA 27. $\overline{V^2}$ is a Lie ideal of R.

Proof. For $v_1, v_2 \in V$ and $x \in R$, we have

$$[v_1v_2, x] = v_1(v_2x + x^*v_2) - (v_1x^* + xv_1)v_2 \in V^2.$$

If $w_1, \dots, w_n \in V^2$ and $x \in R$, then

$$[w_1\cdots w_n, x] = w_1[w_2\cdots w_n, x] + [w_1, x]w_2\cdots w_n.$$

Hence, this lemma can be proved by induction.

LEMMA 28. Let R be a semi-prime ring and n a natural number. If $v^{2^n} = 0$ for all $v \in V$, then V = 0.

Proof. If $v^2 = 0$ for all $v \in V$, then for any $x \in R$ $(vx + x^*v)^2 = 0$ so $(vx)^3 = 0$. By Levitzki's lemma [5, Lemma 1.1], v = 0 for all $v \in V$. Assume that n > 1. For any $v \in V$ and $x \in R$, we have $(v^{2^{n-1}}x - x^*v^{2^{n-1}})^{2^n} = 0$ and hence $(v^{2^{n-1}}x)^{2^{n+1}} = 0$. Applying Levitzki's lemma again and using the induction hypothesis, we conclude that V = 0.

One might have noticed that the study of a symmetric subring U in R is based on the fact: If R is semi-prime, either $U \subseteq Z^+$ or U contains a nonzero ideal of R. For a skew subgroup V, we have a parallel result for $\overline{V^2}$.

LEMMA 29. If R is *-prime and $[V^2, V^2] = 0$, then $V^2 \subseteq Z$ and [V, V] = 0. Further, R satisfies the standard identity $S[x_1, x_2, x_3, x_4]$ in 4 variables.

Proof. Consider first the situation when R is *-simple. If R = $A \oplus A^*$ for some simple ring A, then $K_0 = V = K$, and so $[V^2, V^2] = 0$ implies $[A^2, A^2] = 0$. Since $A^2 = A$, R is also commutative, and the conclusions follow trivially. Assume that R is simple. Then $V^2 \subseteq Z$ unless possibly 2R = 0 and dim_zR = 4. If R is a division ring, we have $xV^2x^{-1} = xVx^*(x^{-1})^*Vx^{-1} \subseteq V^2$, so $x\overline{V^2}x^{-1} \subseteq \overline{V^2}$ for all $x \in R$, $x \neq 0$. Hence $V^2 \subset Z$ by the Brauer-Cartan-Hua theorem. Suppose that $R = F_2$ for some field F with char. F = 2. If $Z \cap T \neq 0$, say, $\alpha = a + a^* \in Z$ for some $a \notin S$, then $1 = \alpha^{-1}a + (\alpha^{-1}a)^* \in T \subseteq V$ and hence $N \subseteq V$. By Lemma 2, \overline{V} is a symmetric subring. Since V = $1 \cdot V \subseteq V^2$, [V, V] = 0 so $V \subseteq Z$ by Theorem 4. If $Z \cap T = 0$, then $Z \subseteq S$ and * must be of transpose type, namely $\begin{bmatrix} a & b \\ c & d \end{bmatrix}^* = \begin{bmatrix} a & \alpha^{-1}c \\ \alpha b & d \end{bmatrix}$ for some $\alpha \in F$. In this case, $V \subseteq S = \left\{ \begin{bmatrix} a & b \\ \alpha b & c \end{bmatrix} | a, b, c \in F \right\}$. Since $\begin{bmatrix} 0 & 1 \\ \alpha & 0 \end{bmatrix} \in T, \begin{bmatrix} 0 & 1 \\ \alpha & 0 \end{bmatrix} \begin{bmatrix} a & b \\ \alpha b & c \end{bmatrix} \text{ commutes with } \begin{bmatrix} 0 & 1 \\ \alpha & 0 \end{bmatrix} \begin{bmatrix} a' & b' \\ \alpha b' & c' \end{bmatrix} \text{ for }$ any $\begin{bmatrix} a & b \\ \alpha b & c \end{bmatrix}, \begin{bmatrix} a' & b' \\ \alpha b' & c' \end{bmatrix} \in V. \text{ Comparing the (1, 1)-entries of the pro-}$ ducts, we get ca' = ac'. An argument like that in Theorem 4 shows $V = T = \left\{ \begin{bmatrix} 0 & b \\ \alpha b & 0 \end{bmatrix} | b \in F \right\}.$ Hence $V^2 = Z$. Thus we have $V^2 \subseteq Z$ always. By Lemma 28, there exists $v \in V$ such that $v^2 \neq 0$ provided $V \neq 0$. Then v is invertible. Further, $v^{-1} = v^{-1}(-v)(v^{-1})^* \in V$, so $Vv^{-1} \subseteq Z$ and $V \subseteq Zv$. Consequently [V, V] = 0.

Now assume that R is *-prime and $V \neq 0$. By Lemmas 1 and 28, $Z^+ \neq 0$, so we may consider the quotient ring $Q = \{a/\alpha^2 | a \in R, \alpha \in Z^+, \alpha \neq 0\}$. Q can be equipped with * by defining $(a/\alpha^2)^* = a^*/\alpha^2$. Then Q is *-prime and $V' = \{v/\alpha^2 \in Q | v \in V\}$ is a skew subgroup of Q. If there is a nonzero *-ideal I of Q such that $I \cap V' \neq 0$, then $I \subseteq S(Q)$ and hence [I, I] = 0. By the corollary to Lemma 6, Q is commutative and we are done. Suppose that $J \cap V' \neq 0$ for any nonzero *-ideal J of Q. Since $J \cap V'$ contains an element a such that $a^4 \in Z$ and $a^8 \neq 0$ by Lemmas 1 and 28, and a^8 is invertible, we have J = Q. In other words, Q is *-simple and so $V'^2 \subseteq Z(Q)$ and [V', V'] = 0. Hence $V^2 \subseteq Z(R)$ and [V, V] = 0.

Since $K_0 \subseteq V$, we have $[K_0, K_0] = 0$ and hence R satisfies $S_4[x_1, x_2, x_3, x_4]$ by Amitsur's Theorem [1].

We are now in a position to prove a series of theorems concerning $\overline{V^2}$. Since the proofs are parallel to those for U, we shall omit them unless some modification is needed.

THEOREM 30. If R is *-simple and $V \neq 0$, then either $\overline{V^2} = R$ or $\overline{V^2}$ is a field contained in Z^+ .

Proof. By Lemmas 1, 27 and 29, we have either $\overline{V^2} = R$ or $V^2 \subseteq Z^+$. So it suffices to show that $\overline{V^2}$ contains with invertible elements their inverses. First $a^{-1}V = a^{-1}V(a^{-1})^*a^* \subseteq V\overline{V^2}$ if $a \in \overline{V^2}$. Similarly, $Va^{-1} \subseteq \overline{V^2}V$ and hence $a^{-1}\overline{V^2}a^{-1} \subseteq \overline{V^2}$ if $a \in \overline{V^2}$. Thus $a^{-1} = a^{-1}aa^{-1} \in \overline{V^2}$, if $a \in \overline{V^1}$ and is invertible.

THEOREM 31. If R is prime or *-prime, so is $\overline{V^2}$.

THEOREM 32. If R is semi-prime, then $Z(\overline{V^2}) \subseteq Z(R)$.

THEOREM 33. If R is semi-prime, so is $\overline{V^2}$.

THEOREM 34. $\mathfrak{P}(\overline{V^2}) = \overline{V^2} \cap \mathfrak{P}(R)$.

THEOREM 35. If R has no nil ideal other than 0, neither does $\overline{V^2}$.

THEOREM 36. $\mathfrak{N}(\overline{V^2}) = \overline{V^2} \cap \mathfrak{N}(R)$.

THEOREM 37. If R has no nonzero locally nilpotent ideals, neither does $\overline{V^2}$.

THEOREM 38. $\mathfrak{L}(\overline{V^2}) = \overline{V^2} \cap \mathfrak{L}(R)$.

THEOREM 39. If R is primitive or *-primitive, so is $\overline{V^2}$ provided $V \neq 0$.

THEOREM 40. If R is semi-simple, so is $\overline{V^2}$.

THEOREM 41. $\Im(\overline{V^2}) = \overline{V^2} \cap \Im(R)$.

Proof. It suffices to show that if $a \in \overline{V^2}$ and $a \circ b = 0 = b \circ a$ then $b \in \overline{V^2}$. The argument used in Theorem 30 shows that $(1+b)\overline{V^2}(1+b)\subseteq \overline{V^2}$. (The formal use of the symbol 1 is all right.) Then $b = -(1+b)(a+a^2)(1+b)\in \overline{V^2}$.

THEOREM 42. If R is semi-primary, primary, or completely primary, so is $\overline{V^2}$ provided $V \neq J(R)$.

In the example given in [13], 2R = 0 and $1 \in R$, so $\overline{K^2} = \overline{S}$. Hence $\overline{K^2}$ need not be noetherian even if R is a commutative noetherian domain. However, $\overline{K^2}$, as well as \overline{S} , inherits Goldie conditions when R is semi-prime. The proof of the next theorem is based on Lanski's argument [10] but is a little simpler.

THEOREM 43. If R is a semi-prime Goldie ring, so is $\overline{V^2}$.

Proof. Since the a.c.c. on right annihilators is inherited by subrings, it suffices to show that $\overline{V^2}$ has $\Pi \subseteq$ infinite direct sum of nonzero right ideals. Let $\{\rho_{\alpha}\}$ be a set of right ideals of $\overline{V^2}$ such that $\sum_{\alpha} \rho_{\alpha}$ is direct. Denote by I the ideal of R generated by $[\overline{V^2}, \overline{V^2}]$. Then $\sum_{\alpha} \rho_{\alpha} I$ is a direct sum of right ideals of R, so $\rho_{\alpha} I = 0$ and hence $\rho_{\alpha} \subseteq$ $\overline{V^2} \cap \operatorname{Ann} I \subseteq Z(\overline{V^2})$ for almost all α . Being a commutative semi-prime subring of a Goldie ring, $Z(\overline{V^2})$ is itself a Goldie ring and hence $\rho_{\alpha} = 0$ for almost all α .

Let $R = F_2$, where F is a field with char.F = 2 and * is given by transpose. In this case, $\overline{T} = K_0 = \left\{ \begin{bmatrix} a & b \\ b & a \end{bmatrix} \middle| a, b \in F \right\}$ possesses the nilpotent ideal $\left\{ \begin{bmatrix} a & a \\ a & a \end{bmatrix} \middle| a \in F \right\}$ even though R is simple. This example kills the hope for \overline{T} or $\overline{K_0}$ to inherit those nice properties we have discussed so far. Fortunately, the behavior of \overline{K} is not that bad.

THEOREM 44. If R is *-simple, either $\overline{K} = R$ or \overline{K} is a commutative *-simple ring provided $K \neq 0$.

Proof. If char. R = 2, then K = S and hence the assertion follows from Theorem 4. Assume that char. $R \neq 2$. If $[K^2, K^2] \neq 0$, then \bar{K} also contains the nonzero *-ideal of R generated by $[\bar{K}^2, \bar{K}^2]$, so $\bar{K} = R$. If \bar{K}^2 is commutative, then $K^2 \subseteq Z^+$ by Theorem 30. Suppose that $Z \not\subseteq S$, then $\alpha^* \neq \alpha$ for some $\alpha \in Z$, so $\beta = \alpha - \alpha^* \neq 0$. Thus, $S\beta^{-1} \subseteq K$ and hence $S \subseteq K\beta$. Therefore, $R = S + K \subseteq \bar{K}$. Next, assume that $Z \subseteq S$. Then R must be simple. By Lemma 29, R satisfies an identity of degree 4 and hence $\dim_Z R \leq 4$ by Kaplansky's Theorem. If R is a division ring, choose $a \in K, a \neq 0$, then $Ka^{-1} \subseteq K^2 \subseteq$ Z. So $K \subseteq Za \subseteq K$, that is, K = Za. Hence $\bar{K} = Z(a)$ is a field. If $R = F_2$ for some field F, the commutativity of K forces * to be of transpose type, say, $\begin{bmatrix} a & b \\ c & d \end{bmatrix}^* = \begin{bmatrix} a & \sigma^{-1}c \\ \sigma b & d \end{bmatrix}$ for some $\sigma \in F$. Then $\bar{K} = \{\begin{bmatrix} a & b \\ -\sigma b & a \end{bmatrix} \mid a, b \in F\}$. If $-\sigma$ is not a square in F, \bar{K} is a field; while if $-\sigma = \pi^2$ for some $\pi \in F$, $\bar{K} = L_1 \oplus L_2$ where $L_1 =$ $\{\begin{bmatrix} a & \pi^{-1}a \\ \pi a & a \end{bmatrix} \mid a \in F\}$ and $L_2 = \{\begin{bmatrix} a & -\pi^{-1}a \\ -\pi a & a \end{bmatrix} \mid a \in F\}$ are two fields which are isomorphic via the map induced by *.

THEOREM 45. If R is *-prime, so is \overline{K} .

Proof. If $\overline{K^2}$ is not commutative, then \overline{K} also contains the ideal generated by $[\overline{K^2}, \overline{K^2}]$. An argument exactly like that in Theorem 5 proves the *-primeness of \overline{K} . Now we assume that $\overline{K^2}$ is a nonzero commutative ring. The quotient ring $Q = \{a/\alpha \mid a \in R, \alpha \in Z^+, \alpha \neq 0\}$

is either a *-simple ring or a commutative *-prime ring relative to the involution $(a/\alpha)^* = a^*/\alpha$. In the former case, $\overline{K(Q)}$ is a commutative *-simple ring by the previous theorem. So in either case $\overline{K(R)}$ is contained in a commutative *-prime ring and hence is *-prime.

LEMMA 46. If R is semi-prime, then $C_{\bar{V}}(\overline{V^2}) = Z(\bar{V})$.

Proof. Assume first that R is *-prime. If $\overline{V^2}$ is not commutative, then it contains a nonzero *-ideal I of R, so $C_{\bar{V}}(\overline{V^2}) \subseteq C_R(I) \subseteq Z(R)$ by Lemma 6 and hence $C_{\bar{V}}(\overline{V^2}) = Z(\bar{V})$. If $[V^2, V^2] = 0$, then $V^2 \subseteq Z(R)$ and [V, V] = 0 by Lemma 29 and hence $C_{\bar{V}}(\overline{V^2}) = \bar{V} = Z(\bar{V})$. The semi-prime case can be built up easily via subdirect sum.

The next lemma is crucial in the study of \bar{K} .

LEMMA 47. Let R be a semi-prime ring and I a *-ideal of \overline{K} . If $I \cap K = 0$, then I = 0.

Proof. If $I \cap K = 0$, then $\underline{I \subseteq S}$. For any $a \in I$ and $k \in K$, $ak = (ak)^* = -ka$. Hence $I \subseteq C_{\overline{K}}(\overline{K^2}) = Z(\overline{K})$ by Lemma 46. Thus $IK \subseteq I \cap K = 0$, so $I\overline{K} = 0$, and in particular $I^2 = 0$. For any $a \in I$ and $x \in R$, we have $a(x - x^*) = 0$, that is, $ax = ax^*$ and hence $axa = a(xa)^* = a^2x^* = 0$. Since R is semi-prime, it follows that I = 0.

LEMMA 48. If R is semi-prime, and $k \in K$ with kKk = 0, then k = 0.

Proof. For any $x \in R$, $k(x - x^*)k = 0$ so $kxk = kx^*k$. Then $kxkxk = k(xkx^*)k = 0$ and hence kR is nil of index 3. So, k = 0 by Levitzki's lemma.

THEOREM 49. If R is semi-prime, so is \overline{K} .

Proof. Let I be a *-ideal of \overline{K} such that $I^2 = 0$. For any $a \in I \cap K$, we have $aKa \subseteq I^2 = 0$ so a = 0 by Lemma 48. Lemma 47 shows I = 0, so \overline{K} has no nonzero nilpotent *-ideal and hence is semi-prime.

THEOREM 50. If R has no nil ideal other than 0, neither does \overline{K} .

<u>Proof.</u> Let I be the ideal of R which is generated by $[\overline{K^2}, \overline{K^2}]$. Then $\mathfrak{N}(I) = 0$ and $I \subseteq \overline{K}$. If $a \in \mathfrak{N}(\overline{K}) \cap K$ and $b \in \overline{K^2}$, then $a^2b - ba^2 \in I \cap \mathfrak{N}(\overline{K}) = 0$. Thus $a^2 \in Z(\overline{K^2})$ and by Lemma 46 $a^2 \in Z(\overline{K})$. But \overline{K} is semi-prime and a is nilpotent, so $a^2 = 0$ for all $a \in \mathfrak{N}(\overline{K}) \cap K$. In view of Lemma 28, $\mathfrak{N}(\overline{K}) \cap K = 0$ because $\mathfrak{N}(\overline{K})$ is itself a semi-prime ring. Hence, it follows from Lemma 47 that $\mathfrak{N}(\overline{K}) = 0$.

A similar argument proves the following

THEOREM 51. If R has no nonzero locally nilpotent ideal, neither does \overline{K} .

The proof of the next theorem is exactly like that of Theorem 39.

THEOREM 52. If R is *-primitive, so is \overline{K} provided $K \neq 0$.

THEOREM 53. If R is semi-simple, so is \overline{K} .

Proof. Let $a \in \mathfrak{J}(\overline{K}) \cap K$. For any $x \in R$, we have

 $ax \circ (-ax^*) = a(x - x^* - xax^*) \in \mathfrak{J}(\bar{K})K \subseteq \mathfrak{J}(\bar{K}).$

Hence aR is quasi-regular, so a = 0. By Lemma 47, $\Im(\bar{K}) = 0$.

THEOREM 54. If R is semi-prime artinian, so is \overline{K} .

Proof. Immediate from Theorem 44.

Unlike \overline{S} , the semi-prime assumption on R is not sufficient to get the converse theorems for \overline{K} or $\overline{K^2}$. For example, let F be a field with char. $F \neq 2$, σ an automorphism on F with $\sigma^2 = 1$, and A a commutative semi-prime algebra over \underline{F} . Put $R = F \bigoplus A$ and define $(\alpha, a)^* = (\alpha^{\sigma}, a)$. Then $\overline{K} = F$ and $\overline{K^2} = F^{\sigma}$ are fields provided $\sigma \neq 1$, while R is not even *-prime. Further, if A possesses an identity and dim_F $A = \infty$, then R is neither artinian nor Goldie.

On the other hand, the *-primeness is sufficient for our purpose. To begin with, we prove a lemma which is analogous to Lemma 3.

LEMMA 55. Let R be a *-prime ring and I a nonzero *-ideal of R such that $I \cap K_0^2 = 0$. If $K_0 \neq 0$, then I = 0.

Proof. If $I \cap K_0^2 = 0$, then $(I \cap K_0)^2 = 0$. Since I is itself a semiprime ring, and $I \cap K_0$ is a skew subgroup of I, so $I \cap K_0 = 0$ by Lemma 28. Hence $I \subseteq S$. For any $a \in I$ and $x \in R$, we have $ax = (ax)^* = x^*a$. So if $a, b \in I$ and $x \in R$, then $abx = ax^*b = xab = abx^*$. That is, $I^2K_0 = 0$. Since R is *-prime and $K_0 \neq 0$, it follows I = 0.

LEMMA 56. Let R be a *-prime ring and e the identity of \overline{K} or $\overline{V^2}$. If $e \neq 0$, then it is the identity of R.

Proof. Since the only nonzero central symmetric idempotent in a *-prime ring is the identity, it suffices to show that $e \in Z(R)$. If e is the identity of $\overline{V^2}$, then $ex - xe \in \overline{V^2}$ for all $x \in R$ because $\overline{V^2}$ is a Lie ideal. If e works for \overline{K} , then $ex - xe = e(x - x^*) + (ex^* - xe) \in \overline{K}$ for all $x \in R$. Hence e(ex - xe) = ex - xe = (ex - xe)e and this implies that $e \in Z(R)$.

On the basis of Lemma 55, we can prove the converse theorems by using an argument parallel to that for U.

THEOREM 57. If R is *-prime, and \overline{K} or $\overline{V^2}$ is a *-simple ring with identity, so is R.

THEOREM 58. If R is *-prime, and \overline{K} or $\overline{V^2}$ is *-primitive, so is R.

THEOREM 59. Let R be a *-prime ring and * not the identity map. If \overline{K} or $\overline{V^2}$ is semi-simple, so is R.

Proof. Since $\Im(\overline{V^2}) = \overline{V^2} \cap \Im(R)$, so $\Im(R) \cap K_0^2 = 0$ if $\overline{V^2}$ is semisimple. By Lemma 55, R must be also semi-simple. In case \overline{K} is semi-simple, so is $\overline{K^2}$ by Theorem 41, and hence R is also semi-simple.

THEOREM 60. If R is *-prime, and \tilde{K} or $\overline{V^2}$ has no nil ideal other than 0, then neither does R.

THEOREM 61. If R is *-prime, and \overline{K} or $\overline{V^2}$ has no nonzero locally nilpotent ideal, then neither does R.

We close this paper with two theorems on chain conditions.

THEOREM 62. Let R be a *-prime ring. If * is not the identity map and either \overline{K} or $\overline{V^2}$ is artinian, then so is R.

Proof. By Theorems 31 and 45, both \overline{K} and $\overline{V^2}$ are *-prime. Say, if \overline{K} is artinian, then it is *-simple with identity, so R is also *-simple by Theorem 57 and hence $\overline{K} = R$ or \overline{K} is commutative by Theorem 44. In the later case, R satisfies a polynomial identity, and is finite dimensional over a field contained in Z. Hence, R is artinian. The situation when $\overline{V^2}$ is artinian is the same.

For $a \in R$, let $r_R(a) = \{x \in R \mid ax = 0\}$ be the right annihilator of ain R. Denote by $\mathcal{J}(R)$ the right singular ideal of R, that is, $\mathcal{J}(R) = \{a \in R \mid r_R(a) \cap \rho \neq 0 \text{ for any nonzero right ideal } \rho \text{ of } R\}.$

THEOREM 63. Let R be a *-prime ring. If $\overline{V^2}$ is a Goldie ring, so is R.

Proof. If R is commutative, then $Q = \{a/\alpha \mid a \in R, \alpha \in S, \alpha \neq 0\}$ is a commutative *-simple ring, and hence R is a Goldie ring. Assume that R is not commutative, while $[V^2, V^2] = 0$. Then $V^2 \subseteq Z^+$ and $Q = \{a/\alpha \mid a \in R, \alpha \in Z^+, \alpha \neq 0\}$ is a *-simple ring. Since [V, V] = 0, it follows that Q satisfies a polynomial identity, and hence is artinian. So, R is a Goldie ring. Lastly, assume that $[V^2, V^2] \neq 0$ and let I be the ideal of R generated by $\{\overline{V^2}, \overline{V^2}\}$. Suppose $\{\rho_\alpha\}$ is a set of right ideals of R which forms a direct sum. Then $\rho_\alpha I \subseteq \rho_\alpha \cap I \subseteq \overline{V^2}$ and $\rho_\alpha I = 0$ for almost all α . Consequently $\rho_\alpha = 0$ for almost all α . Consider $\mathcal{B}(R) \cap I$. If $a \in \mathcal{B}(R) \cap I$, then for any nonzero right ideal ρ of I, $\rho I \neq 0$, so $r_R(a) \cap \rho I \neq 0$ and hence $r_I(a) \cap \rho \neq 0$. In other words, $\mathcal{B}(R) \cap I \subseteq \mathcal{B}(I) = 0$ because I is itself a semi-prime Goldie ring. So $\mathcal{B}(R) = 0$.

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