

## ON THE PROXIMALITY OF STONE-WEIERSTRASS SUBSPACES

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**Let  $S$  be a compact Hausdorff space,  $X$  a Banach space,  $C(S, X)$  the Banach space of all continuous  $X$ -valued functions on  $S$  equipped with the supremum norm. In this paper a necessary and sufficient condition on  $X$  for every Stone-Weierstrass subspace of  $C(S, X)$  to be proximal is established. Furthermore, it is shown that every such subspace is proximal if  $X$  is a dual locally uniformly convex space.**

**Introduction and notations.** Let  $S$  be a compact Hausdorff space,  $X$  a Banach space,  $C(S, X)$  the Banach space of all continuous functions on  $S$  with values in  $X$ , equipped with the supremum norm. The purpose of this paper is to study the proximality of certain subspaces, the so-called Stone-Weierstrass subspaces (SW-subspaces) of  $C(S, X)$ . This problem has been studied by many authors: Mazur (unpublished, cf., e.g., [11]) proved that every SW-subspace of  $C(S, X)$  is proximal if  $X$  is the real line  $\mathbf{R}$  (a subspace  $G$  of a normed linear space  $Y$  is called proximal if every  $y \in Y$  possesses an element of best approximation  $x_0$  in  $G$ , i.e., if there is an  $x_0 \in G$  such that  $\|y - x_0\| \leq \|y - x\|$  holds for every  $x \in G$ ). Pelczynski [9] and Olech [8] asked for which Banach spaces  $X$  every SW-subspace of  $C(S, X)$  is proximal. Olech [8] and Blatter [2] showed that this is true if  $X$  is a uniformly convex Banach space and an  $L_1$ -predual space, respectively. It has been shown in [6] that there exists a Banach space  $X$  and a compact Hausdorff space  $S$  such that  $C(S, X)$  has a non-proximal SW-subspace. Thus, the above mentioned question of characterizing those Banach spaces  $X$  for which every SW-subspace is proximal, arises naturally. Here we give such a characterization. Using a modification of a method due to Olech [8], we show further that if  $X$  is a locally uniformly convex space such that every compact subset of  $X$  has a Chebychev center (a point  $x_0$  is called a Chebychev center of a bounded set  $F$  if  $x_0$  is the center of a "smallest" ball containing  $F$ ) then every SW-subspace of  $C(S, X)$  is proximal. Every dual space, e.g., has the latter property [3].

We use the following notations.  $\mathbf{R}$  and  $\mathbf{N}$  will denote the set of all real numbers and the set of all positive integers, respectively. Let  $X$  be a Banach space,  $x \in X$ ,  $r > 0$ .  $B(x, r)$  will denote the closed ball in  $X$  with center  $x$  and radius  $r$ . A set-valued function  $\Phi$  from a topological space  $S$  into  $2^X$  is said to be upper Hausdorff semicon-

tinuous (u.H.s.c.) respectively lower Hausdorff semicontinuous (l.H.s.c.) if for every  $s_0 \in S$  and every  $\varepsilon > 0$  there is a neighborhood  $U$  of  $s_0$  such that for every  $s \in U$  we have

$$\sup_{x \in \Phi(s)} \text{dist}(x, \Phi(s_0)) \leq \varepsilon$$

respectively

$$\sup_{x \in \Phi(s_0)} \text{dist}(x, \Phi(s)) \leq \varepsilon$$

(cf. [10], [12]). The function  $\Phi$  is Hausdorff continuous (H.c.) if  $\Phi$  is both u.H.s.c. and l.H.s.c.  $\Phi$  is l.s.c. respectively u.s.c. if  $\Phi$  is lower semicontinuous respectively upper semicontinuous in the usual sense [7]. A Banach space  $X$  is said to be locally uniformly convex (l.u.c.) if for every  $x \in X$  with  $\|x\| = 1$  and every sequence  $\{y_n\} \subset X$  with  $\lim \|y_n\| \leq 1$ ,  $\lim \|x + y_n\| = 2$  implies  $\lim \|x - y_n\| = 0$ . For a Banach space  $X$ ,  $\mathcal{C}(X)$  will denote the class of all nonempty compact subsets of  $X$ . For a compact Hausdorff space  $S$ ,  $C(S, X)$  will denote the Banach space of all continuous functions  $f$  on  $S$  with values in  $X$  equipped with the norm  $\|f\| = \sup_{s \in S} \|f(s)\|$ , where  $\|\cdot\|$  is the norm of  $X$ . A subspace  $V$  of  $C(S, X)$  is said to be an SW-subspace if there is a compact Hausdorff space  $T$  and a continuous surjection  $\varphi: S \rightarrow T$  such that  $V$  consists exactly of those elements  $f$  of  $C(S, X)$  which have the form  $f = g \circ \varphi$  for some  $g \in C(T, X)$ . Let  $\Phi$  be a function from  $S$  into  $\mathcal{C}(X)$ . A function  $f \in C(S, X)$  is said to be a best approximation of  $\Phi$  in  $C(S, X)$  if the number

$$\text{dist}(f, \Phi) = \sup_{s \in S} \sup_{x \in \Phi(s)} \|x - f(s)\|$$

is equal to  $\inf \text{dist}(g, \Phi)$ , where the infimum is taken over all  $g \in C(S, X)$ . Let  $F$  be a bounded subset of  $X$ . The number

$$r(F) = \inf_{x \in X} \sup_{y \in F} \|x - y\|$$

is called the Chebyshev radius of  $F$ . A point  $x_0 \in X$  is said to be a Chebyshev center of  $F$  if  $\|x_0 - y\| \leq r(F)$  for all  $y \in F$ . The set of all Chebyshev centers of  $F$  will be denoted by  $c(F)$ . For a function  $\Phi: S \rightarrow \mathcal{C}(X)$  we denote by  $r_\Phi$  the number  $\sup_{s \in S} r(\Phi(s))$ . All Banach spaces in this paper are real.

**SW-subspaces of  $C(S, X)$ .** We first establish a simple lemma. Since its proof is straightforward, we omit it here.

**LEMMA 1.** *Let  $\Phi$  be an u.H.s.c. function from a compact Hausdorff space  $T$  into  $\mathcal{C}(X)$ . Then the set  $\bigcup_{t \in T} \Phi(t)$  is compact.*

We formulate now the main theorem of this paper.

**THEOREM 2.** *The following conditions on a Banach space  $X$  are equivalent:*

(i) *For every compact Hausdorff space  $T$  and for every u.H.s.c function  $\Phi: T \rightarrow \mathcal{C}(X)$ , the function*

$$\Psi_\Phi(t) = \bigcap_{x \in \Phi(t)} B(x, r_\Phi), \quad t \in T,$$

*has a continuous selection.*

(ii) *Every u.H.s.c. function  $\Phi$  from an arbitrary compact Hausdorff space  $T$  into  $\mathcal{C}(X)$  has in  $C(T, X)$  a best approximation.*

(iii) *For any compact Hausdorff space  $S$ , every SW-subspace of  $C(S, X)$  is proximal.*

*Proof.* (i)  $\Rightarrow$  (ii). If  $f$  is a continuous selection of  $\Psi_\Phi$ , then  $\text{dist}(f, \Phi) = r_\Phi$ . Further, we obviously have

$$(1) \quad \inf_{g \in C(T, X)} \text{dist}(g, \Phi) \geq r_\Phi.$$

It follows that  $f$  is a best approximation of  $\Phi$ .

(ii)  $\Rightarrow$  (i). It suffices to show that

$$(2) \quad \inf_{g \in C(T, X)} \text{dist}(g, \Phi) = r_\Phi.$$

Let  $r > r_\Phi$  be a fixed number. Let  $\Psi_1: T \rightarrow 2^X$  be defined by

$$\Psi_1(t) = \{x \in X; \text{there is a neighborhood } U \text{ of } t \text{ such} \\ \text{that } \Phi(t') \subset B(x, r) \text{ for all } t' \in U\}.$$

We show first that  $\Psi_1(t) \neq \emptyset$  for every  $t \in T$ . Since  $r(\Phi(t)) \leq r_\Phi < r$ , there is an  $x_0 \in X$  for which

$$\Phi(t) \subset B(x_0, (r + r_\Phi)/2)$$

holds. Since  $\Phi$  is u.H.s.c., there is a neighborhood  $U$  of  $t$  such that

$$\sup_{y \in \Phi(t')} \text{dist}(y, \Phi(t)) < (r - r_\Phi)/2$$

for every  $t' \in U$ . It follows that  $\Phi(t') \subset B(x_0, r)$  for all  $t' \in U$ . Hence  $x_0 \in \Psi_1(t)$ . For every  $t \in T$  the set  $\Psi_1(t)$  is obviously convex. It follows immediately from the definition of  $\Psi_1$  that it is l.s.c. We put now  $\Psi_2(t) = \text{cl } \Psi_1(t)$ ,  $t \in T$ . The map  $\Psi_2$  is still l.s.c. and therefore it has a continuous selection [7]. Denote this continuous selection by  $g$ . Let us show now that  $\text{dist}(g, \Phi) \leq r$ . To see this, let  $\varepsilon > 0$  and  $t \in T$  be given. There is an  $x \in \Psi_1(t)$  with  $\|g(t) - x\| < \varepsilon$ . Consequently,

$$\Phi(t) \subset B(g(t), r + \varepsilon).$$

Since  $\varepsilon$  and  $t$  has been arbitrary, we have  $\text{dist}(g, \Phi) \leq r$ . Since  $r > r_\phi$  has been arbitrary, it follows

$$\inf_{h \in C(T, X)} \text{dist}(h, \Phi) \leq r_\phi.$$

Thus, by (1), we have (2).

(ii)  $\Rightarrow$  (iii). This has been essentially proved in [8].

(iii)  $\Rightarrow$  (ii). Let  $\Phi$  be an u.H.s.c. function from  $T$  into  $\mathcal{C}(X)$ . We show that there is a compact Hausdorff space  $S$ , a continuous surjection  $\varphi: S \rightarrow T$  and a function  $f \in C(S, X)$  such that if, for some  $g \in C(T, X)$ ,  $g \circ \varphi$  is a best approximation of  $f$  in the corresponding SW-subspace  $V$ , then  $g$  is a best approximation of  $\Phi$ .

By Lemma 1, there is a number  $a > 0$  such that  $\|x\| < a$  for all for all  $x \in \Phi(t)$  and all  $t \in T$ . Choose an arbitrary  $z \in X$  such that  $\|z\| > a$  holds. Let  $R$  be the subset of  $X^T$  defined by

$$R = \{s \in X^T; \|s(t)\| < a \text{ for some } t \in T \text{ and } s(t') = z \text{ for all } t' \neq t\}.$$

Let  $\varphi: R \rightarrow T$  be a function which assigns to every  $s \in R$  the only  $t \in T$  with  $\|s(t)\| < a$ . We assume  $R$  to be equipped with the following topology  $\tau$ : For every  $s \in R$  the neighborhood base of  $s$  consists of all subsets  $W_{\varepsilon, U}$  of  $R$  which have the form

$$W_{\varepsilon, U} = \{s' \in R; \psi(s') \in U \text{ and } \|s'(\psi(s')) - s(\psi(s))\| < \varepsilon\},$$

where  $U$  is a neighborhood from a fixed neighborhood base of  $\psi(s)$  and  $\varepsilon$  is a positive number. Let  $S$  be a subset of  $R$  consisting of all  $s \in R$  for which  $s(\psi(s)) \in \Phi(\psi(s))$  holds. We show that  $S$  equipped with the relative topology generated by  $\tau$  is a compact Hausdorff space. To verify this, let  $\{N_\alpha\}_{\alpha \in A}$  be a covering of  $S$  by open subsets of  $R$ . Let  $t \in T$ . For every  $\alpha \in A$  with  $\psi^{-1}(t) \cap N_\alpha \neq \emptyset$  let  $O_\alpha = \{s(t); s \in \psi^{-1}(t) \cap N_\alpha\}$ . Since  $\{O_\alpha\}$  is a covering of  $\Phi(t)$  by open subsets of  $X$ , there exists a finite subcovering  $\{O_{\alpha_i(t)}\}$ ,  $i = 1, \dots, n(t)$ . We will show now that there exists an  $\varepsilon_t > 0$  and neighborhood  $U_0$  of  $t$  such that we have

$$\{s; \psi(s) \in U_0\} \cap \{s; \text{dist}(s(\psi(s)), \Phi(t)) < \varepsilon_t\} \subset \bigcup_{i=1}^{n(t)} N_{\alpha_i(t)}.$$

Suppose that this is not true. Then for every neighborhood  $U$  and every  $n \in \mathbb{N}$  there exists an  $s_{U, n}$  with  $\psi(s_{U, n}) \in U$  and  $\text{dist}(s_{U, n}(\psi(s_{U, n})), \Phi(t)) < 1/n$  which is not in the union of all  $N_{\alpha_i(t)}$ ,  $i = 1, \dots, n(t)$ . It follows from the compactness of  $\Phi(t)$  that there is a cluster point  $s_0 \in S$  of the net  $\{s_{U, n}\}$  with  $s_0(t) \in \Phi(t)$ . The point  $s_0$  cannot be in the

union of all  $N_{\alpha_i(t)}$ ,  $i = 1, \dots, n(t)$ , which implies that  $s_0(t)$  cannot be in the union of all  $O_{\alpha_i(t)}$ ,  $i = 1, \dots, n(t)$ . A contradiction.

Now, it follows from the assumption that  $\Phi$  is u.H.s.c. that there is an open neighborhood  $U_t$  of  $t$  such that for all  $t' \in U_t$  and all  $y \in \Phi(t')$  we have  $\text{dist}(y, \Phi(t)) < \varepsilon_t$ . Moreover,  $U_t$  can be chosen such that  $U_t \subset U_0$ . It follows that

$$\{s \in S; \psi(s) \in U_t\} \subset \bigcup_{i=1}^{n(t)} N_{\alpha_i(t)} .$$

Construct such a neighborhood  $U_t$  for every  $t \in T$  and choose a finite subcovering  $U_{t_1}, \dots, U_{t_m}$ ,  $m \in \mathbb{N}$ , of  $T$ . Then the sets  $N_{\alpha_i(t_j)}$ ,  $i = 1, \dots, n(t_j)$ ,  $j = 1, \dots, m$ , are obviously a finite subcovering of  $S$ .

The restriction  $\varphi$  of  $\psi$  to  $S$  is obviously a continuous surjection from  $S$  onto  $T$ . Let  $f: S \rightarrow X$  be defined by  $f(s) = s(\varphi(s))$ . The function  $f$  is obviously continuous. Let  $g \circ \varphi$  be a best approximation of  $f$  in the corresponding SW-subspace  $V$ . Then we have

$$\begin{aligned} \text{dist}(g, \Phi) &= \|f - g \circ \varphi\| = \inf_{h \in C(T, X)} \|f - h \circ \varphi\| \\ &= \inf_{h \in C(T, X)} \text{dist}(h, \Phi) . \end{aligned}$$

Hence  $g$  is a best approximation of  $\Phi$  in  $C(T, X)$ . This completes the proof of the theorem.

Let  $\Phi$  be an u.H.s.c. function from  $S$  into  $\mathcal{C}(X)$ . We establish now a sufficient condition for the existence of a continuous selection of  $\Psi_\Phi$ .

**DEFINITION.** A Banach space  $X$  is said to have the property (QUCC) if  $c(K) \neq \emptyset$  for every  $K \in \mathcal{C}(X)$  and if the following is true: Given a set  $K \subset \mathcal{C}(X)$ , an element  $x \in X$  and numbers  $r > 0, \varepsilon > 0$ , there is a  $\delta > 0$  such that for every  $y \in X$  there exists an element  $z_y \in B(x, \varepsilon)$  satisfying

$$B(x, r + \delta) \cap B(y, r) \cap K \subset B(z_y, r) \cap K .$$

**THEOREM 3.** *Let  $X$  be a Banach space with the property (QUCC),  $S$  a compact Hausdorff space,  $\Phi: S \rightarrow \mathcal{C}(X)$  an u.H.s.c. map. Then  $\Psi_\Phi$  has a continuous selection.*

*Proof.* We show that  $\Psi_\Phi$  is l.s.c. First, since for all  $t \in T$   $c(\Phi(t)) \subset \Psi_\Phi(t)$ , we have  $\Psi_\Phi(t) \neq \emptyset$  for every  $t \in T$ . Let  $t \in T$ ,  $x \in \Psi_\Phi(t)$  and  $\varepsilon > 0$  be given. For  $x, K = \bigcup_{t \in T} \Phi(t)$  (which is a compact set by Lemma 1),  $r = r_\Phi$  and  $\varepsilon$  find the corresponding  $\delta$ . Since  $\Phi$  is u.H.s.c., there is a neighborhood  $U$  of  $t$  with  $\Phi(t') \subset B(x, r + \delta) \cap K$

for every  $t' \in U$ . For  $t' \in U$  let  $y \in \Psi_\phi(t')$ . Then  $\Phi(t') \subset B(x, r + \delta) \cap B(y, r) \cap K \subset B(z_y, r) \cap K$ . Hence  $z_y \in B(x, \varepsilon) \cap \Psi_\phi(t')$ . The existence of a continuous selection of  $\Psi_\phi$  follows then from Michael's selection theorem [7].

The following proposition provides an example of a class of Banach spaces with the property (QUCC). To prove it, we need the following easy lemma which we state without proof.

**LEMMA 4.** *Let  $\{s_n\}, \{t_n\}$  be two sequences in a Banach space  $X$ . Let for some  $r > 0$   $\lim \|s_n\| \leq r$ ,  $\lim \|t_n\| \leq r$ . Let*

$$u_n = \lambda_n s_n + (1 - \lambda_n) t_n$$

*be such that we have  $\beta_0 \leq \lambda_n \leq \eta_0$  for some  $0 < \beta_0 < 1$ ,  $0 < \eta_0 < 1$  and every  $n \in \mathbb{N}$ , and such that  $\lim \|u_n\| \geq r$ . Then  $\lim \|(s_n + t_n)/2\| \geq r$  for suitable subsequences.*

**PROPOSITION 5.** *Let  $X$  be a l. u. c. space such that  $c(K) \neq \emptyset$  for every  $K \in \mathcal{C}(X)$ . Then  $X$  has the property (QUCC).*

*Proof.* Assume the contrary. Then there exist positive numbers  $\varepsilon$  and  $r$ , an element  $x \in X$  and a compact set  $K \subset X$ , such that for every  $n \in \mathbb{N}$  there is a  $y_n \in X$  and a  $w_n \in K$  with  $\|x - w_n\| \leq r + 1/n$ ,  $\|y_n - w_n\| \leq r$ , and  $\|z_n - w_n\| > r$ , where

$$z_n = (1 - \varepsilon/2a_n)x + (\varepsilon/2a_n)y_n$$

and  $a_n = \|x - y_n\|$ . One can obviously assume  $a_n > \varepsilon$  for every  $n \in \mathbb{N}$ . Without loss of generality we can further assume that  $w_n$  converges to some  $w_0 \in K$ . It follows that  $\|x - w_0\| \leq r$ ,  $\|y_n - w_0\| \leq r + \eta_n$ ,  $\|z_n - w_0\| > r - \eta_n$  for every  $n \in \mathbb{N}$  holds, where  $\eta_n = \|w_n - w_0\|$ . For every  $n \in \mathbb{N}$  denote  $t_0 = x - w_0$ ,  $s_n = y_n - w_0$ ,  $u_n = z_n - w_0$ . Without loss of generality one can now assume that  $\lim \|s_n\| \leq r$  and  $\lim \|u_n\| \geq r$ . Thus, by Lemma 4, we have  $\lim \|(t_0 + s_n)/2\| \geq r$  which, together with  $\|t_0 - s_n\| = a_n > \varepsilon$ ,  $n \in \mathbb{N}$ , contradicts the local uniform convexity of  $X$ .

The following corollary is an immediate consequence of Theorems 2 and 3 and Proposition 5.

**COROLLARY 6.** *Let  $X$  be a dual l. u. c. space. Let  $S$  be a compact Hausdorff space. Then every SW-subspace of  $C(S, X)$  is proximal.*

*Proof.* By a result of Garkavi [3],  $c(F)$  is nonempty even for every bounded subset of  $X$ .

It is an easy consequence of Lindenstrauss' well-known theorem concerning intersection properties of balls in  $L_1$ -predual spaces with centers in a compact set that these spaces also have the property (QUCC). So we have the following result of Blatter [2].

**COROLLARY 7.** *Let  $X$  be an  $L_1$ -predual space,  $S$  a compact Hausdorff space. Then every SW-subspace of  $C(S, X)$  is proximal.*

Ward [13] proved that  $c(F) \neq \emptyset$  for every bounded subset of  $C(S, X)$  if  $X$  is a Hilbert space and  $S$  is an arbitrary topological space. Amir [1] and Lau [4], independently, improved this result by showing that this is true for every  $X$  uniformly convex. We show now that, for compact subsets of  $C(S, X)$  with  $S$  compact Hausdorff, this still remains true, if  $X$  has the property (QUCC).

**THEOREM 8.** *Let  $S$  be a compact Hausdorff space,  $X$  a Banach space with the property (QUCC). Then  $c(K) \neq \emptyset$  for every compact subset  $K$  of  $C(S, X)$ .*

*Proof.* Let

$$\Phi(s) = \{x \in X; x = f(s) \text{ for some } f \in K\}, \quad s \in S.$$

Then  $\Phi$  obviously is a H.c. map from  $S$  into  $\mathcal{C}(X)$ . Furthermore, it is easy to show that  $r(K) \geq r_\phi$ . Hence every continuous selection of  $\Psi_\phi$  is in  $c(K)$ . The assertion of the theorem follows then from Theorem 3.

**COROLLARY 9.** *Let  $X$  be a dual l. u. c. space,  $S$  a compact Hausdorff space. Then  $c(K) \neq \emptyset$  for every compact subset  $K$  of  $C(S, X)$ .*

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