

COMBINATORIAL AND GEOMETRIC PROPERTIES OF
 WEIGHT SYSTEMS OF IRREDUCIBLE FINITE-
 DIMENSIONAL REPRESENTATIONS OF
 SIMPLE SPLIT LIE ALGEBRAS OVER
 FIELDS OF 0 CHARACTERISTIC

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Let R be a simple split Lie algebra over K , a field of 0 characteristic. Let $\pi = \pi(\lambda^+)$ be the representation with highest weight λ^+ . Let $Wt(\lambda^+)$ be its weight system. Let S be a subset of the root system. We define a graph $gr_s(\lambda^+)$ whose set of nodes is $Wt(\lambda^+)$ and set of links is given by pairs of weights whose difference is a root in S . In particular taking $S = \Sigma^0$, the system of simple roots, we investigate the properties of representations $\pi(\lambda^+)$ such that $gr^0(\lambda^+)$ is simply connected. We give a complete list of these, for each simple Lie algebra.

We then attach to $\pi(\lambda^+)$ an affine rational lattice $L(\lambda^+)$, the root of λ^+ in the weight lattice modulo the root lattice and a rational polyhedron, $C(\lambda^+)$, the rational convex closure of the Weyl group orbit of λ^+ . We give the following geometric characterization of the weight system: $Wt(\lambda^+) = L(\lambda^+) \cap C(\lambda^+)$.¹

0. Preliminaries. 1. We shall consider a simple Lie algebra R split over a field of characteristic 0, K , of rank r . For any vector space V , V'_K will denote the K -dual of that space, or V' , if K is understood. We denote a Cartan subalgebra by \mathfrak{h} , the system of roots, positive roots, and simple roots, respectively by Σ , Σ^+ , and Σ^0 . We denote the Q -space spanned by Σ by \mathfrak{h}^0 . The Killing form on R defines inner products on the spaces R , R'_K , \mathfrak{h} , \mathfrak{h}^0 , \mathfrak{h}'_K , \mathfrak{h}^0_Q in the usual manner; we denote any of these by $(\ , \)$. We denote the co-roots corresponding to $a \in \Sigma$ by H_a . H_a is the element of \mathfrak{h} defined by the conditions $b(H_a) = 2(a, b)/(a, a)$ for $b \in \Sigma$. The set of co-roots will be denoted by Σ^* . The system of fundamental weights will be denoted by $F^0 = \{\lambda_a\}_{a \in \Sigma^0}$. It is the dual basis to Σ^* in \mathfrak{h}^0 . Given $a \in \Sigma$ we define t_a to be the 'root subalgebra' or R.S.A., i.e., the natural copy of $\mathfrak{sl}(2)$ imbedded in R spanned by the a , $-a$ not spaces and H_a . We fix in each such root subalgebra a basis such that the commutation relations are given by

$$[H_a, X_a] = 2 \cdot X_a$$

¹ *Added in proof.* For a result equivalent to 2.10. See F. Berezin and I. Gelfand, Trudy Hoshov, Mat. Obsčesvo. 6 (1957), 371-463; the proof there is analytic.

2. Given a set S and a relation $R \subseteq S \times S$, we let R' be the transitive closure of R , i.e., R' consists of all pairs (x, x^0) such that there exists a sequence $x = x_1, \dots, x_n = x^0$ with $(x_i, x_{i+1}) \in R$, for $i = 1, \dots, n - 1$. We say that R is anti-symmetric if $(x, y) \in R$ implies $(y, x) \notin R$. R is said to be strongly anti-symmetric iff R' is anti-symmetric. Then we make the following basic

DEFINITION 0.3. A directed graph (or simply graph) is a pair $gr = (N(gr), Lk(gr))$ with $N(gr)$, a set, the set of 'nodes', and $Lk(gr)$ a strongly anti-symmetric relation on $N(gr)$, the set of 'links'.

When gr is understood we write $x < y$ for $(x, y) \in (Lk(gr))'$, and say that y is above x in gr . We abbreviate ' $x < y$ or $x = y$ ' by ' $x < = y$ '. $N(gr)$ is a partially ordered set with respect to $<$.

Given a graph gr we define the opposite graph gr^0 by

$$(0.4) \quad \begin{aligned} N(gr^0) &= N(gr) \\ Lk(gr^0) &= \{(x, y) \mid (y, x) \in Lk(gr)\} . \end{aligned}$$

$x \in N(gr)$ is said to be an ascending branch point iff for some $y \neq y'$, $(x, y), (x, y') \in Lk(gr)$. It is said to be a descending branch point if it is an ascending branch point of gr^0 . A sequence x_1, \dots, x_i, \dots such that $(x_j, x_{j+1}) \in Lk(gr)$ is called a chain of gr . A loop in gr is a pair of unequal chains whose first and last elements coincide. If there are no loops in gr , we say that gr is simply connected.

A homomorphism of graphs is a set map from $N(gr)$ to $N(gr')$, T , which induces a map from $Lk(gr)$ to $Lk(gr')$ by the formula

$$(x, y) \longrightarrow (T(x), T(y)) .$$

Clearly every homomorphism of graphs from gr to gr' induces a homomorphism of partially ordered sets from $(N(gr), <)$ to $(N(gr'), <')$. A homomorphism from gr to its opposite graph gr^0 is said to be an anti-homomorphism. gr is said to be involutive iff there exists an anti-automorphism of it, i.e., an anti-isomorphism from gr to itself. If this anti-automorphism can be chosen to be of order 2, then gr is said to be symmetric.

We say that gr is upper complete iff every proper subset of $N(gr)$ has an upper bound in gr , with respect to the partial ordering $<$ defined by gr . (We don't require least upper bounds to exist.) If gr^0 is upper complete then gr is said to be lower complete. Finally we say that gr is Noetherian if the corresponding partially ordered set is, i.e., if all chains $x_1 < x_2 < \dots$ are finite, and that gr is downwards Noetherian if gr^0 is Noetherian.

LEMMA 0.5. *An upper complete Noetherian graph has a unique*

element n^+ such that every element $n \neq n^+$ in N satisfies $n < n^+$. If gr is involutive then there exists also a lowest element n^- such that $n^- < n$ for $n \neq n^-$.

Proof. Assume that no n^+ satisfying the assertion exists. Then we can find an infinite ascending chain violating the Noetherian property and thus arriving at a contradiction in the following manner. Choose $n = n_0$ arbitrarily. Having chosen n_0, \dots, n_i , we can choose $n_{i+1} > n_i$. For if this were not possible, i.e., if $n_i \not\prec n$ for all $n \in N$, then for any $m \in N$ we can apply the upper completeness property to the set $S_m = \{n_i, m\}$; we conclude that there exists m' such that $n_i \leq m'$, and $m \leq m'$. Now since $n_i \not\prec m'$, we must have $n_i = m'$. But then for each set $S_m = \{n_i, m\}$, n_i is an upper bound for S . Hence $m \leq n_i$ and $n_i = n^+$. This contradiction proves the existence of n^+ . The uniqueness is immediate from the anti-symmetry of $<$. Lastly if N is involutive, then an involution takes n^+ to n^- as defined. \square

Henceforth we shall say 'complete' for upper complete (which implies lower complete) when we are dealing with involutive graphs, and use the notation n^+ and n^- as in the lemma.

LEMMA 0.6. *If gr is a complete Noetherian involutive graph then T.F.A.E.:*

- (i) gr has no ascending branch point.
- (ii) gr has no descending branch point.
- (iii) gr is simply connected.

Proof. Any anti-automorphism takes an upper branch point to a lower branch point. Hence for involutive graphs (i) is equivalent to (ii). Obviously (iii) follows from (i) or (ii). To show that (iii) implies (i) assume that $n \in N(gr)$ is an ascending branch point. Consider the distinct elements of $Lk(gr)$, (n, n') , (n, n'') . By the preceding Lemma 0.5, we have $n', n'' \leq n^+$. By the definition of the relation \leq in gr , there exist chains in gr , $n' = n'_1, \dots, n'_r = n^+$ and $n'' = n''_1, \dots, n''_s = n^+$. Appending $n = n_0$ to each of these ascending chains we obtain a loop. Hence gr is not simply connected. \square

1. **Graphs attached to irreducible representations.** Now suppose $S \subseteqq \Sigma$, and π an irreducible representation of R with highest weight λ^+ and weight system $Wt(\lambda^+)$. We attach to π and S the following graph denoted by $gr_s(\lambda^+)$:

$$(1.1) \quad N(gr_s(\pi)) = Wt(\lambda^+)$$

$$(1.2) \quad Lk(gr_s(\pi)) = \{(\lambda, \lambda + a)\}_{\lambda \in Wt(\lambda^+), a \in S}.$$

We denote the graph $gr_{\Sigma^0}(\lambda^+)$ by $gr(\lambda^+)$, and $gr_{\Sigma}(\lambda^+)$ by $Gr(\lambda^+)$. $gr(\lambda^+)$ is called the weight graph of $\pi(\lambda^+)$.

LEMMA 1.3. *$gr(\lambda^+)$ is a directed finite complete Noetherian symmetric graph.*

Proof. The finiteness being known, $gr(\lambda)$ is Noetherian. The completeness property is a restatement of the existence of a chain $\lambda^+ = \lambda_0, \dots, \lambda_n = \lambda$ with $\lambda_i - \lambda_{i+1}$ in Σ^0 . We must show that the graph is symmetric.

Now, since the Weyl group acts simply transitively on the set of Weyl chambers there exists a unique element $w^- \in W$ taking the dominant, or positive, Weyl chamber (in terms of the ordering chosen), \underline{C}^+ to the negative chamber \underline{C}^- . This element takes the positive roots to negative roots, and in particular w^- takes Σ^0 to $-\Sigma^0$. Now define the involution w^- of $gr(\lambda^+)$ by $w^- \in W$ on the set of nodes of $gr(\lambda^+)$, $Wt(\lambda^+)$. Then the set of links $\{(\lambda, \lambda + a)\}_{\lambda \in Wt(\lambda^+), a \in \Sigma^0}$ is taken to $\{(w^-(\lambda), w^-(\lambda) + w^-(a))\}$ which, since $w^-(a) \in -\Sigma^0$, consists of elements in $Lk(gr^0)$.

Since $(w^-)^2$ takes \underline{C}^+ , the dominant chamber, to itself, it is the identity, by simple transitivity; so w^- is an anti-automorphism of order 2. Hence $gr(\lambda^+)$ is symmetric. We shall denote $w^-(\lambda^+)$ by λ^- . \square

Given $a \in \Sigma^0$ we call a chain $\dots, \lambda_i, \lambda_{i+1}, \dots, (\lambda_i, \lambda_{i+1}) \in Lk(gr)$ in $gr(\lambda^+)$ an a -chain if all links of the chain consist of pairs $(\lambda, \lambda + a)$. A maximal a -chain is an a -chain not properly contained in any other a -chain. We call λ a -extremal iff λ is either the first or last element of any a -chain in $gr(\lambda^+)$ of which it is a member. It is called a -maximal or minimal depending on which of these possibilities is realized. For $a \in \Sigma$, we have the analogous notion with respect to $Gr(\lambda^+)$.

We say that λ is Σ^0 -extremal iff λ is a -extremal for every $a \in \Sigma^0$. We say that it is extremal iff it is a -extremal for every $a \in \Sigma$ (i.e., extremal in $Gr(\lambda^+)$, in the obvious sense).

LEMMA 1.4. *λ is extremal iff it is Σ^0 -extremal.*

Proof. W acts on $Gr(\lambda^+)$. $w \in W$ takes a -strings to $w(a)$ -strings; it takes a -extremal elements to $w(a)$ -extremal elements. Hence since Σ^0 is a cross section for the action of W on Σ the two definitions are equivalent, since λ^+ is extremal and the only $gr(\lambda^+)$ -extremal weight in C^+ . \square

(1) If R is a simple split algebra with a Dynkin diagram that contains branch points $(E_\alpha, E_\gamma, E_\beta, D_\alpha)$ then R has no s.c. representations.

(2) If λ^+ is the highest weight of an s.c. representation $\pi(\lambda^+)$ then λ^+ is the fundamental weight corresponding to a node on one of the edges of the Dynkin diagram, i.e., is very singular with respect to the Weyl group action.

We now proceed to the proof of the theorem. π will denote an s.c. representation.

LEMMA 1.8. *Suppose π is an irreducible representation of R and $\lambda \in \text{Wt}(\lambda^+)$, $a \in \Sigma^0$, $\lambda(H_a) = n > 0$. Then $\lambda, \dots, \lambda - n \cdot a$ is an a -chain passing through λ . $\lambda - n \cdot a = w_a(\lambda)$. The chain through λ is maximal iff for $k > 0$, $\lambda + ka$ (in case $n > 0$) and $\lambda - ka$ (in case $n < 0$) are not in the weight system $\text{Wt}(\pi)$.*

This lemma is standard theory.

LEMMA 1.9. *If π is simply connected, λ^+ its highest weight, then there exists $a \in \Sigma^0$ such that W'_a , the group generated by the reflections $\{w_b\}_{b \in \Sigma^0, b \neq a}$ is contained in the stabilizer of λ^+ in W .*

Proof. Suppose otherwise. Then there exists $a, a' \in \Sigma^0$ such that $w_a(\lambda^+) = \lambda^+ - \lambda^+(H_a) \cdot a$ and $w_{a'}(\lambda^+) = \lambda^+ - \lambda^+(H_{a'}) \cdot a'$ both are not equal to λ^+ , i.e., $\lambda^+(H_a), \lambda^+(H_{a'})$ are two strictly positive integers (they are always nonnegative). So there are nontrivial a - and a' -strings passing through λ^+, λ^+ being their maximal element. Hence λ^+ is a descending branch point of $gr(\lambda^+)$. Since $gr(\lambda^+)$ is symmetric and complete (1.3), the existence of a branch point implies that $gr(\lambda^+)$ is not simply connected (Lemma 0.6). □

LEMMA 1.10. *For $\pi = \pi(\lambda^+)$ s.c., $\lambda \in \text{Wt}(\lambda^+) = N(gr(\lambda^+))$, there exist two perhaps distinct elements $a, a' \in \Sigma^0$ such that $W'_{a,a'}$, the group generated by all reflections in simple roots distinct from a and a' , is contained in the stabilizer of λ .*

Proof. If the lemma were false there would, by the same computation as in the previous lemma, have to exist in $gr(\lambda^+)$ 3 nontrivial strings corresponding to distinct simple roots passing through λ . So there would have to be two distinct links either of the kind $(\lambda, \lambda + a) \in \text{Lk}(gr(\lambda^+))$ or $(\lambda - a, \lambda) \in \text{Lk}(gr(\lambda^+))$, i.e., λ would be either an ascending or a descending branch point of the weight diagram. This, again by Lemmas 1.3 and 0.6, would imply that $gr(\lambda^+)$ is not simply connected. □

DEFINITION 1.11. A simple root of R is called 'on edge' iff $\alpha(H_b) \neq 0$ for exactly one $b \neq a$ in Σ^0 .

The definition is motivated, obviously, by the Dynkin diagram.

PROPOSITION 1.12. *If π is s.c. then its highest weight λ^+ must be a fundamental weight λ_a for some simple root a on edge.*

Proof. Lemma 1.9 is equivalent to the assertion that λ^+ is perpendicular to all but one simple root. Hence by definition λ^+ must be some multiple of some fundamental weight, say, $\lambda^+ = n \cdot \lambda_a$. We want to show that a is on edge. If not, there exist at least two roots in Σ^0 , b and b' , say, such that (a, b) , (a, b') are smaller than zero. Then the weight $\lambda^+ - a$ (by Lemma 1.8 it is a weight) satisfies

$$(\lambda^+ - a)(H_b) > 0, \quad (\lambda^+ - a)(H_{b'}) > 0$$

and $\lambda^+ - a$, again by Lemma 1.8, is a downwards branch point of $gr(\lambda^+)$. Hence, by Lemma 0.6, $gr(\lambda^+)$ is not simply connected. This shows that a is in fact on edge. Now we wish to show that $\lambda^+ = \lambda_a$. Assume $\lambda^+ = n \cdot \lambda_a$ and $n > 1$. Applying Lemma 1.8 again, we find that then the a -string through λ^+ contains at least the elements $\lambda^+, \lambda^+ - a, \lambda^+ - 2a$. But, since $\lambda^+(H_b) = 0$ for $b \neq a$, choosing b such that $(a, b) < 0$ (which we can do since R is simple and $\text{rank}(R) > 1$), we find that $(\lambda^+ - a)(H_b) > 0$. Hence, by Lemma 1.8 again, both $(\lambda^+ - a) - b$ and $(\lambda^+ - a) - a$ are in $Wt(\lambda^+)$ and hence $\lambda^+ - a$ is a branch point of $gr(\lambda^+)$. Hence $gr(\lambda^+)$, by Lemma 0.6, is not simply connected. \square

PROPOSITION 1.13. *Suppose $\pi = \pi(\lambda^+)$ is s.c. Then the set of nonzero weights of π is equal to the Weyl group orbit of λ^+ . 0 may or may not be a weight.*

Proof. We had seen (Proposition 1.5) that $Wt^{<}(\lambda^+) = W \cdot \lambda^+$. So the proposition is equivalent to the statement that any nonextremal weight in $Wt(\lambda^+)$ is zero. So suppose $\lambda \in Wt(\lambda^+)$, $\lambda \notin Wt^{<}(\lambda^+)$. Then for some fixed $a \in \Sigma^0$, the a -string through λ contains the string in $gr(\lambda^+)$, $\lambda - a, \lambda, \lambda + a$. We wish to show $\lambda = 0$. Now $\lambda = 0$ iff W_λ , the stabilizer of λ in W equals W . The last statement, again, is equivalent to $w_b(\lambda) = \lambda$ for all $b \in \Sigma^0$. Hence the proposition boils down to proving that $\lambda \notin Wt^{<}(\lambda^+)$ implies $w_b(\lambda) = \lambda$ for $b \in \Sigma^0$. (Obviously this holds only for s.c. π .) First we show that $\lambda \notin Wt^{<}(\lambda^+)$ implies $w_a(\lambda) = \lambda$. If not, then, choosing $b \in \Sigma^0$, $(a, b) < 0$,

we see that at least one of $\lambda(H_b)$ or $(w_a(\lambda)(H_b))$ is nonzero. Hence through at least one of λ , $w_a(\lambda)$ passes a nontrivial b -string. But since λ , and hence also $w_a(\lambda)$, are not a -extremal, since $w_a(a) = -a$, this implies that either λ or $w_a(\lambda)$ (and hence both) is a branch point of $gr(\lambda^+)$. Clearly for $b \neq a$, there can be no nontrivial b -string passing through λ . Hence $w_b(\lambda) = \lambda$. \square

COROLLARY 1.14. *If π is s.c. then for all $a \in \Sigma^0$, all a -strings are of length 2 or 3. 0 is a weight iff there exists (exactly) one a -chain of length 3.*

Proof. This follows immediately from the fact that all nonzero weights must be extremal.

Proposition 1.12 reduces the complete identification for each simple algebra to a small number of cases. We now complete this identification. We state for future reference the following obvious

PROPOSITION 1.15. *π is s.c. iff π^\vee , the contragredient representation is s.c.*

The proposition follows from the fact that the weight systems of π and π^\vee are each other's negatives.

Now we investigate the only candidates for s.c. representations, namely the ones of the form $\pi = \pi(\lambda^+)$, and $\lambda^+ = \lambda_a$ with a on edge. We have

LEMMA 1.16. *Let a_1, \dots, a_r , $r \leq p = \text{rank}(R)$ be an initial segment of nodes of the Dynkin diagram of R . Suppose the a_i are all of the same length, i.e., the initial segment is as follows:*

$$0 \text{ --- } 0 \dots \text{ --- } 0 \dots .$$

Then there exists a unique descending chain of length $r + 1$ in $gr(\lambda^+)$ starting with $\lambda^+ = \lambda_{a_1}$. The i th member of this chain is given by $w_{t_i} = \lambda_a - \sum_{j=1}^{i-1} a_j$.

Proof. We prove this proposition by induction. For $i=1$ there is nothing to prove. So assume there to be a unique descending sequence $w_{t_1} = \lambda_{a_1}$, $w_{t_2} = \lambda_{a_2}$, \dots , $w_{t_i} = \lambda_{a_1} - \sum_{j=1}^{i-1} a_j$. We are interested in finding those $b \in \Sigma^0$ for which $w_{t_i}(H_b) > 0$ since those are exactly the ones for which a maximal descending b -chain begins with w_{t_i} . We compute, setting $H_i = H_{a_i}$.

$$(1.17) \left\{ \begin{array}{l} wt_i(H_{a_1}) = \lambda_{a_1}(H_{a_1}) - a_1(H_{a_1}) - a_2(H_{a_1}) = 1 - 2 + 1 = 0 \\ wt_i(H_j) = -(a_{j-1} + a_j + a_{j+1})(H_j) = 0 \text{ for } 1 < j < i - 1 \\ wt_i(H_{i-1}) = -(a_{i-2} - a_{i-1})(H_{i-1}) = -1 \text{ for } j = i - 1 \\ wt_i(H_i) = -a_{i-1}(H_i) = 1 \\ wt_i(H_j) = -\sum_{v=1}^{i-1} a_v(H_j) = 0 \text{ for } j > i. \end{array} \right.$$

(1.17) says that for precisely one $a \in \Sigma^0$, namely $a = a_i$ is $wt_i(H_{a_i}) > 0$. Hence an a_i -string descends from wt_i and only such a string. This proves the lemma. \square

COROLLARY 1.18. *Let $R = A_p$. Then there exactly two, mutually contragradient, representations with simply connected weight diagram. They are the two fundamental representations corresponding to the two roots on edge in the Dynkin diagram. They are both of dimension $p + 1$. Their weight system constitutes a single orbit under W , each weight being of the form $\lambda_{a_1} - \sum_i^m a_i$, $m \leq p$. 0 is not a weight.*

Proof. Let $\lambda^+ = \lambda_a$, a one of the two nodes ‘on edge’. The previous lemma proves that there exists a unique descending chain of length $p + 1$, in $gr(\lambda^+)$. A direct computation as in (1.17) shows that there is no chain of length $p + 2$. The fact that all a -chains occurring in this unique descending chain are of length 2 implies, without direct computation, that 0 doesn’t occur, since all a -chains containing an element λ orthogonal to a must be of odd length. This observation together with Proposition 1.13 implies that $Wt(\lambda^+)$ constitutes a single Weyl group orbit.

Now it is immediately verified that $Wt(\lambda^+) \neq -Wt(\lambda^+)$. Hence $\pi(\lambda^+)$ is not self-contragradient. Hence $\pi(\lambda_{a_1})$ and $\pi(\lambda_{a_p})$ are mutually contragradient since they are the only s.c. representations.

PROPOSITION 1.19. *Suppose R is a simple Lie algebra whose Dynkin diagram has a branch point. Then there are no s.c. representations of R .*

Proof. If an s.c. representation exists we have seen (Proposition 1.12) that it must be of the form $\lambda^+ = \lambda_a$, with a on edge. The Dynkin diagram possesses a connected subgraph of the following sort:

$$(1.20) \quad \begin{array}{c} 0 \text{ --- } \dots \text{ --- } 0 \begin{array}{l} \swarrow 0 \ b \\ \searrow 0 \ b' \end{array} \\ a_1 \qquad \qquad \qquad a_r \end{array}$$

Now consider the naturally imbedded simple Lie algebras with Dynkin diagrams

$$\begin{array}{c}
 0 \text{ --- } \dots \text{ --- } 0 \text{ --- } 0 \text{ --- } b \\
 a_1
 \end{array}
 \quad \text{and} \quad
 \begin{array}{c}
 0 \dots 0 \text{ --- } 0 \text{ --- } b' \\
 a_1
 \end{array}$$

respectively. Reducing π restricted to each of these algebras and applying Lemma 1.16 to each, we immediately obtain the existence of two initial chains in $gr(\lambda^+)$,

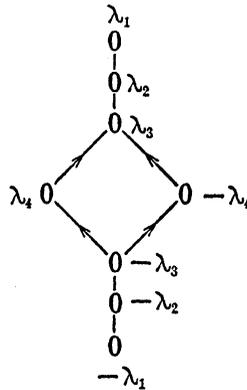
$$(1.21) \quad \begin{array}{l}
 \lambda^+, \dots, \lambda^+ - \sum^r a_j, \quad \lambda^+ - \sum^r a_j - b \\
 \lambda^+, \dots, \lambda^+ - \sum^r a_j, \quad \lambda^+ - \sum^r a_j - b'.
 \end{array}$$

So there exists a branch point in $gr(\lambda^+)$, namely $\lambda^+ - \sum_j^r a_j$, and $gr(\lambda^+)$ is not s.c. □

Proposition 1.19 immediately yields the

PROPOSITION 1.22. *D_p with $p > 3$, E_6 , E_7 , and E_8 have no s.c. representations.*

EXAMPLE 1.23. Consider the first fundamental representation of D_3 , the Lie algebra of $SO(6)$. Its graph $gr(\lambda^+)$ is as follows:



with the λ_i 's being the usual dual coordinates in K^8 with respect to which the weight system consists of $[\pm\lambda_i]_{i=1,\dots,4}$.

Having analyzed all algebras whose roots are all of one length, namely A_p, D_p, E_i , we still need to analyze Lie algebras with different sizes of roots, i.e., B_p, C_p, G_2 and F_4 . We start with $C_2 = B_2$.

PROPOSITION 1.24. *The two fundamental representations of C_2 given by (1.25) are s.c. They are of dimensions 4 and 5, and are the standard representations defining the symplectic algebra of*

dimension 4 and special orthogonal algebra of dimension 5, respectively. Their weight diagrams are as in (1.26) and (1.27) respectively, with respect to the enumeration of simple roots given in (1.25).

$$(1.25) \quad \begin{array}{ccc} 0 & \longleftarrow & 0 \\ a_1 & & a_2 \end{array}$$

$$(1.26) \quad \begin{array}{c} \lambda_1 \\ \nearrow \\ 0 \lambda_1 \\ \searrow \\ 0 \lambda_1 - a_1 - a_2 \\ \nearrow \\ \lambda_1 - 2a_1 - a_2 0 \end{array}$$

$$(1.27) \quad \begin{array}{c} \lambda_2 \\ \nearrow \\ 0 \\ \searrow \\ 0 \lambda_2 - a_2 \\ \nearrow \\ 0 \lambda_2 - a_2 - a_1 = 0 \\ \searrow \\ \lambda_2 - 2a_1 - a_1 0 \\ \nearrow \\ 0 - \lambda_2 = \lambda_2 - 2a_2 - 2a_1 \end{array}$$

Proof. It is elementary to verify by direct computation that in each case there is a unique descending chain of lengths 4 and 5 respectively, determined by the sequence of simple roots for which $\lambda_i(H_{a_{i+1}}) > 0$. \square

To analyze $C_p, B_p(p > 2)$ and F_4 , we first analyze initial segments of the form

$$(1.28a) \quad \begin{array}{ccccccc} 0 & \text{---} & 0 & \dots & 0 & \longleftarrow & 0 \\ a_1 & & a_2 & & a_{i-1} & & a_i \end{array}$$

and

$$(1.28b) \quad \begin{array}{ccccccc} 0 & \text{---} & 0 & \dots & 0 & \longrightarrow & 0 \\ a_1 & & a_2 & & a_{i-1} & & a_i \end{array}$$

LEMMA 1.29. For simple algebras having an initial segment as in (1.28a) and (1.28b) with $i > 2$, the first fundamental representation of $R, \pi(\lambda^+)$ with $\lambda^+ = \lambda_1$ has an initial descending chain of the form (a) and (b) respectively (and letting $a_0 = 0$):

- (a) $wt_k = \lambda_1 - \sum_{j=1}^{k+1} a_{j-1}, k = 0, \dots, i$
 $wt_{k+i} = wt_i - \sum_{j=1}^k a_{i-j}, k = 1, \dots, i - 1$
- (b) $wt_k = \lambda_1 - \sum_{j=1}^{k+1} a_{j-1}, k = 0, \dots, i$
 $wt_{k+i} = wt_i - \sum_{j=0}^k a_{i-j}, k = 0, \dots, i - 1.$

Furthermore, (a) and (b) are the unique descending chains of length $\leq 2i$ starting with λ_1 in case (1.28a) respectively, (1.28b), are the Dynkin diagram of R (not just an initial segment). The proof is a straightforward computation.

COROLLARY 1.30. F_4 has no s.c. representations.

Proof. The Dynkin diagram of F_4 is as follows:

$$(1.31) \quad \begin{array}{ccccccc} 0 & \text{---} & 0 & \text{---} & \Rightarrow & 0 & \text{---} & 0 \\ a_1 & & a_2 & & & a_3 & & a_4 \end{array} .$$

We apply the previous lemma first to the initial segment a_1, a_2, a_3 of this Dynkin diagram. Then, we obtain immediately that $\lambda_1 - \sum_{j=1}^3 a_j$ is a downwards branch point of $gr(\lambda_1)$ since according to the lemma $\lambda_1 - \sum_{i=1}^3 a_i - a_2$ is in the weight system $Wt(\lambda_1)$ and on the other hand $(\lambda_1 - \sum_{i=1}^3 a_i - a_4(H_4)) = 1$, and hence $\lambda_1 - \sum_{i=1}^3 a_i - a_4$ is in $Wt(\lambda_1)$. Hence $gr(\lambda_1)$ is not simply connected.

Secondly we re-enumerate the Dynkin diagram (1.31) by $a'_i = a_{4-i+1}$ and apply the preceding lemma to the initial segment defined by $a'_j, j = 1, 2, 3$. Again we obtain that $\lambda_1 - \sum_{i=1}^3 a_j$ is a branch point. □

COROLLARY 1.32. Let $R = C_p, p > 2$. Then there exists exactly one s.c. representation, namely the first fundamental representation with respect to the enumeration of simple roots

$$\begin{array}{ccccccc} 0 & \text{---} & 0 & \dots & 0 & \text{---} & \Leftarrow & 0 \\ a_1 & & a_2 & & a_{p-1} & & & a_p \end{array} .$$

Furthermore the weight system of π_1 is given by

$$(1.33) \quad \lambda_1, \dots, \lambda_1 - \sum_{j=1}^p a_j, \dots, \lambda_1 - \sum_{j=1}^p a_j - \sum_{j=p-1}^1 a_j .$$

Furthermore 0 does not occur as a weight and the weight system is one orbit under the Weyl group. All a -strings, $a \in \Sigma$, are of length 2, and the j th weight is obtained by applying the j th element of the sequence of Weyl group elements w_j to $\lambda^+ = \lambda_1$ where the sequence of elements w_j is as follows:

$$w_{a_1}, \dots, w_{a_1} \dots w_{a_p}, w_{a_1} \dots w_{a_p} w_{a_{p-1}}, \dots, w_{a_1} \dots w_{a_p} w_{a_{p-1}} \dots w_{a_1} .$$

Proof. The first assertion of the corollary, that $\pi(\lambda_1)$ is s.c., follows immediately from the last statement of the Lemma 1.29, in which the unique initial descending chain of length $2p$ was given, since a direct computation shows that there is no chain of length $> 2p$. The explicit computation of the lemma also shows that all a -

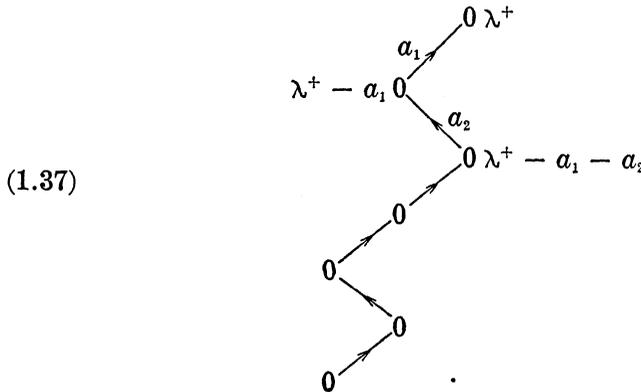
Proof. The statements about $\pi = \pi(\lambda_1)$ follow again from the computations of the lemma. To see that $\pi(\lambda_1)$ is the unique s.c. representation of B_p , $p > 2$, one simply observes that for $\pi = \pi(\lambda_p)$ (the only other candidate) $\lambda^+ - a_p - a_{p-1}$ is a downward branch point, and hence $gr(\lambda_p)$ is not simply connected. \square

REMARK. The unique s.c. representations of C_p and B_p found in Corollaries 1.32 and 1.34 are of course the ‘natural’ representations which are used to define the ‘symplectic’ and ‘orthogonal’ algebras.

PROPOSITION 1.36. *If $R = G_2$ then there exists exactly one s.c. representation, namely the representation with fundamental weight $\lambda^+ = \lambda_{a_1}$ for the enumeration*

$$\begin{array}{ccc} 0 & \rightleftharpoons & 0 \\ a_1 & & a_2 \end{array} .$$

Its weight system is as follows:



Its weight system consists of one (singular) orbit and the zero weight.

Proof. Again, using Lemma 1.8, we can readily compute the weight graph $gr(\lambda_1)$ as given in (1.37), from which the last assertion can be read off directly. \square

We have now determined the s.c. representations of all isomorphism types of split simple Lie algebras, and have verified the assertions of Theorem 1.7. We now have an elementary procedure for constructing an s.c. representation, by giving the matrix coefficients explicitly.

LEMMA 1.38. *If π is s.c. then all weights of π occur with*

We also apply M_i to the sequence $v_2^{i+1}, \dots, v_{r_i}^i$, leaving the preceding v 's the same. We define $v_1^{i+1} = v_{r_i}^i$, and B_{i+1} to be the basis defined in the same way as B_1 , but with respect to the modified set v_j^i . It is clear that under the inductive hypothesis, $\pi_{a_{j_m}}$ has Tits normal form with respect to B_{i+1} for $m \leq i + 1$. \square

2. Geometry of the weight system: Weight polyhedron and weight lattices. The weight graphs $gr(\lambda^+)$ and $Gr(\lambda^+)$ are naturally imbedded in the rational space \mathcal{V}^0 . We now study this geometric object and give a geometric characterization of the weight system $Wt(\lambda^+)$. We know that Σ^0 is a \mathcal{Q} -basis of \mathcal{V}^0 , and that \mathcal{V}^0 is a \mathcal{Q} -inner product space with respect to the Killing form $(,)$. By a rational polyhedron in a rational inner product space \mathcal{V} we shall mean the convex closure of a finite number of points in \mathcal{V} . Note that the closure of a rational polyhedron in the corresponding real space is a polyhedron in the ordinary sense.

Now we introduce the following data: We define the 'representation lattice' of $\pi(\lambda^+)$ to be

$$(2.1) \quad L(\lambda^+) = \lambda^+ + L(\Sigma^0)$$

and the 'representation cone'

$$(2.2) \quad L^-(\lambda^+) = \lambda^+ - (L(\Sigma^0))^+$$

So $L^-(\lambda^+) = \{\lambda^+ - \sum_{\Sigma^0} n_a \cdot a / (n_a) \in N^2\}$. On $L^-(\lambda^+)$, and any subset of it, we define the 'height function' by the formula

$$(2.3) \quad h(\lambda) = \sum_{a \in \Sigma^0} n_a \text{ for the unique expression of } \lambda \text{ as } \lambda = \lambda^+ - \sum_{\Sigma^0} n_a \cdot a .$$

In the following we shall be making use of induction with respect to the N -valued function h .

The first observation we make is the easily verified

LEMMA 2.4. $L(\lambda^+)$ is preserved by the Weyl group.

Note that $L^-(\lambda^+)$ is not preserved by W .

Next, we define the 'weight polyhedron' attached to π , or λ^+ , as

$$(2.5) \quad C = C(\lambda^+) = \text{convex closure of } W \cdot \lambda^+, \text{ the Weyl group orbit of } \lambda^+.$$

We define $C^>$ to be the set of extremal points of C , i.e. the set of points c in C which are only trivially convex combinations $c = \sum d_i \cdot c_i$, with $d_i \in \mathcal{Q}$ and $c_i \in C$ ($d_i \geq 0$, $\sum d_i = 1$). We want to show that this set equals the set of extremal weights introduced in §1, establishing the first interesting relation between the geometry

of C and the representation theory of $\pi(\lambda^+)$.

LEMMA 2.6. *Let some finite group W act linearly on an inner product space V , and act transitively on some finite set S . Then the set of extremal points of the convex closure of S equals S .*

Proof. Since W is finite, we can assume the action of W to be orthogonal with respect to the inner product. The transitivity of the action then assures that S is contained in the unit sphere (renormalizing perhaps) of V . The lemma then follows from the fact that any line segment connecting two points on the unit sphere meets the sphere only in those two points.

We have immediately, for s.c. λ^+

COROLLARY 2.7. $C^>(\lambda^+) = Wt^<(\lambda^+) = W \cdot \lambda^+$.

Proof. The second equality was established in § 1. $C^>(\lambda^+) = W \cdot \lambda^+$ by the definition of $C(\lambda^+)$, in view of the preceding lemma. \square

Next we have

LEMMA 2.8. $C(\lambda^+) \cap L(\lambda^+) \subseteq L^-(\lambda^+)$.

Proof. In fact we prove that any convex combination of weights of $\pi(\lambda^+)$ lies in the negative affine cone $\lambda^+ - \sum_{i=1}^n d_i \cdot a_i$ ($d_i \geq 0$), which will prove the claim. So let $v = \sum d_i \cdot \lambda_i$, some convex combination of weights. Expressing each λ_i as

$$\lambda_i = \lambda^+ - \sum_{a \in \Sigma^0} d_{i,a} \cdot a,$$

we obtain

$$\begin{aligned} v &= \sum_i d_i (\lambda^+ - \sum_a d_{i,a} \cdot a) \quad (\text{since } \sum_i d_i = 1) \\ &= \lambda^+ - \sum_a \left(\sum_i d_i \cdot d_{i,a} \right) a \end{aligned}$$

and the coefficients of the a 's in the last expression are all non-negative. \square

The point of the lemma is that we can apply induction with respect to h to $C(\lambda^+) \cap L(\lambda^+)$, and any of its subsets.

Next we have

LEMMA 2.9. $C(\lambda^+) \cap L^-(\lambda^+)$ is preserved by the Weyl group.

Proof. By the preceding lemma, $C(\lambda^+) \cap L^-(\lambda^+) = C(\lambda^+) \cap L(\lambda^+)$.

Since each of these sets is preserved by the Weyl group (Lemma 2.4), so is their intersection. \square

We can now prove the geometric characterization of $Wt(\lambda^+)$ which we seek.

THEOREM 2.10. $Wt(\lambda^+) = C(\lambda^+) \cap L(\lambda^+) = \bigcup_{w \in W} w(C^+ \cap L^-(\lambda^+))$.

Proof. (i) $Wt(\lambda^+) \subseteq C(\lambda^+) \cap L(\lambda^+)$. We already know $Wt(\lambda^+) \subseteq L^-(\lambda^+) \subseteq L(\lambda^+)$. For the second inclusion $Wt(\lambda^+) \subseteq C(\lambda^+)$ we use induction using our function $h(\lambda)$ (2.3). Starting with the trivial case $h(\lambda) = 0$, i.e., $\lambda = \lambda^+$, we assume now that λ be an h -minimal counterexample to $Wt(\lambda^+) \subseteq C(\lambda^+)$. Then λ can't be an extremal weight since those weights are already in $C(\lambda^+)^> = Wt^{<}(\lambda^+) = W \cdot \lambda^+$. So we can choose $a \in \Sigma^0$ such that λ is an interior member of an a -chain, i.e., $\lambda - a, \lambda, \lambda + a$ are all in $Wt(\lambda^+)$. Letting λ', λ'' be the highest and lowest member of this a -string ($\lambda', \lambda'' \neq \lambda$) we see that $\lambda' \in C(\lambda^+)$ since $h(\lambda') < h(\lambda)$. But $\lambda'' = w_a(\lambda')$ and hence $\lambda'' \in C(\lambda^+)$. Hence λ , lying on the line between those two must be in $C(\lambda^+)$.

(ii) $C(\lambda^+) \cap L(\lambda^+) \subseteq \bigcup_{w \in W} w(\underline{C}^+ \cap L^-(\lambda^+))$. To show this it obviously suffices to show that

$$(2.11) \quad C(\lambda^+) \cap L(\lambda^+) \cap \underline{C}^+ = C(\lambda^+) \cap L^-(\lambda^+) \cap \underline{C}^+ \subseteq C^+ \cap L^-(\lambda^+),$$

by the transitivity of the Weyl group and the invariance of $C(\lambda^+) \cap L^-(\lambda^+)$ under the Weyl group (Lemma 2.9). But (2.11) is a triviality.

It remains to show, and this is the crux of the matter

(iii) $\bigcup_{w \in W} w(C^+ \cap L^-(\lambda^+)) \subseteq Wt(\lambda^+)$. Once again, by Weyl group invariance of both sides of this relation, it suffices to show that

$$(2.12) \quad \underline{C}^+ \cap L^-(\lambda^+) \subseteq Wt(\lambda^+) \cap \underline{C}^+.$$

First we have

LEMMA 2.13. *If for some $a \in \Sigma^0$, $n \in N$, $\lambda = \lambda^+ - n \cdot a \in \underline{C}^+$ then $\lambda \in Wt(\lambda^+)$.*

Proof. The lemma immediately follows from the fact that for the lowest member of the a -chain through λ^+ , we have $\lambda(H_a) \leq 0$, and equality holds only if $\lambda^+(H_a) = 0$. Hence either $\lambda = \lambda^+$, or $\lambda(H_a) < 0$ and hence $\lambda \notin \underline{C}^+$. \square

Next, we have

LEMMA 2.14. *Let $T \subseteq \Sigma^0$ be an orthogonal family of simple roots.*

Then $\{w_a | a \in T\}$ is a commuting family of reflections. Hence $w(T) = \prod_{a \in T} w_a$ is of order 2. Furthermore, $w(T)(\lambda) = \lambda - \sum_{a \in T} \lambda(H_a) \cdot a$.

Proof. The lemma is immediate from standard theory.

Now we prove (2.12). Suppose it is false. Pick some $\lambda \in \underline{C}^+ \cap L^-(\lambda^+)$, $\lambda \notin \text{Wt}(\lambda^+)$, for which $h(\lambda)$ is minimal (minimal among all counterexamples in $\underline{C}^+ \cap L^-(\lambda^+)$). Now by the Lemma 2.13 there exists a set $S \subseteq \Sigma^0$ containing at least two elements such that we can write

$$(2.15) \quad \lambda = \lambda^+ - \sum_S n_a \cdot a$$

with $n_a > 0$ for all $a \in S$. Hence, by standard theory, we can pick $a \in S$ such that for all $b \in \Sigma^0$, $a(H_b) = -1$ or $a(H_b) = 0$. We fix such on a . We now examine the element $\lambda + a$. Since $h(\lambda + a) < h(\lambda)$, we conclude, by h -minimality, that $\lambda + a \notin \underline{C}^+ \cap L^-(\lambda^+)$. But, since $a \in S$, clearly $\lambda + a \in L^-(\lambda^+)$. Hence $\lambda + a \notin \underline{C}^+$. Now define $T_a \subseteq \Sigma^0$ to be the set of simple roots for which $(\lambda + a)(H_b) < 0$. For all $b \in T_a$, $(a, b) \neq 0$, and hence T_a is an orthogonal family of simple roots. T_a is nonempty since $\lambda + a \notin \underline{C}^+$. We show that for $b \in T_a$, $\lambda(H_b) = 0$. This follows immediately from $(\lambda + a)(H_b) = \lambda(H_b) + a(H_b) = \lambda(H_b) - 1$, since $\lambda \in \underline{C}^+$. This implies that $b \in S$: writing

$$0 = \lambda(H_b) = (\lambda^+ - \sum_{c \in S \setminus \{b\}} n_c \cdot c)(H_b) - n_b b(H_b),$$

and $a(H_b) = -1$ implies that $n_b \geq 1/2$. But n_b is an integer, hence ≥ 1 . We conclude that $T_a \subseteq S$. We now apply $w(T_a)$, as in Lemma 2.14, to $\lambda + a$: by the lemma we obtain $w(T_a)(\lambda + a) \in \underline{C}^+$. Furthermore, again by the lemma we have

$$(2.16) \quad w(T_a)(\lambda + a) = \lambda + a - \sum_{b \in T_a} (\lambda + a)(H_b) \cdot b.$$

But we just saw that $(\lambda + a)(H_b) = -1$. So (2.16) can be rewritten as

$$(2.17) \quad w(T_a)(\lambda + a) = \lambda + a + \sum_{T_a} b.$$

Since $a \in S$, and $T_a \subseteq S$, (2.17) implies immediately that $w(T_a)(\lambda + a) \in L^-(\lambda^+)$. Since obviously $h(w(T_a)(\lambda + a)) < h(\lambda)$, the h -minimality of λ as a counterexample to being in $\text{Wt}(\lambda^+)$ implies that $w(T_a)(\lambda + a) \in \text{Wt}(\lambda^+)$. By Weyl group invariance of $\text{Wt}(\lambda^+)$ this implies that $\lambda + a \in \text{Wt}(\lambda^+)$. But

$$(\lambda + a)(H_a) = \lambda(H_a) + a(H_a) \geq 2.$$

Hence $(\lambda + a) - a$, $(\lambda + a) - 2 \cdot a$ must be weights. In particular λ

is a weight, and we have derived a contradiction to λ being a counterexample to $\underline{C}^+ \cap L^-(\lambda^+) \subseteq Wt(\lambda^+)$.

This proves the theorem. □

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