

CLEAR VISIBILITY AND UNIONS OF TWO STARSHAPED SETS IN THE PLANE

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Let S be a compact set in R^2 . Assume that for every finite set F in bdry S there exist points s and t (depending on F) such that every point of F is clearly visible via S from at least one of s or t . Then S is a union of two starshaped sets. If "clearly visible" is replaced by the weaker term "visible", then the result fails.

1. Introduction. We begin with some preliminary definitions. Let S be a set in R^d . For points x and y in S , we say x sees y via S (x is *visible* from y via S) if and only if the corresponding segment $[x, y]$ lies in S . Point x is *clearly visible* from y via S if and only if there is some neighborhood N of x such that y sees each point of $S \cap N$ via S . Set S is *starshaped* if and only if there is some point p in S such that p sees each point of S via S , and the set of all such points p is called the (convex) kernel of S .

A well-known theorem of Krasnosel'skii [5] states that if S is a nonempty compact set in R^d , then S is starshaped if and only if every $d + 1$ points of S are visible via S from a common point. Moreover, points of S may be replaced by boundary points of S to produce a stronger result. Other Krasnosel'skii-type theorems have been obtained for starshaped sets, and in several recent studies ([1], [3], [4]), a helpful tool has been the concept of clearly visible.

Here we use the idea of clearly visible to examine a related problem, that of obtaining a Krasnosel'skii-type characterization for unions of starshaped sets. Although this kind of problem is mentioned in [8, Prob. 6.6, p. 178] and in [2], it is also closely related to work by Lawrence, Hare, and Kenelly [6] concerning unions of convex sets, and their results will play an important role.

Restricting our attention to unions of two starshaped sets in the plane, we establish the following result: Let S be a compact set in R^2 . Assume that for every finite set F in the boundary of S there exist points s and t (depending on F) such that every point of F is clearly visible via S from at least one of s or t . Then S is a union of two starshaped sets. If

“clearly visible” is replaced by the weaker term “visible”, then the result fails. Finally, a general characterization theorem for compact unions of starshaped sets is given.

The following terminology will be used throughout the paper: $\text{Conv } S$, $\text{cl } S$, $\text{int } S$, and $\text{bdry } S$ will denote the convex hull, closure, interior, and boundary, respectively, for set S . For distinct points x and y , $L(x, y)$ will represent the line through x and y , and $\text{dist}(x, y)$ will be the distance from x to y . The reader is referred to Valentine [8] and to Lay [7] for a discussion of these concepts.

2. The results. Before establishing the main result, we will present a sequence of four preliminary lemmas adapted from a theorem by Lawrence, Hare, and Kenelly [6, Theorem 1]. For simplicity of notation, these results are stated for pairs of sets. However, each has an immediate analogue for k -tuples of sets as well.

DEFINITION 1. Let T be a collection whose members are unordered pairs of sets. We say that a collection M of ordered pairs is a *pairing* for T if and only if the following hold:

- (1) For every (C, D) in M , $\{C, D\}$ is in T .
- (2) For every $\{C, D\}$ in T , exactly one of the ordered pairs (C, D) , (D, C) is in M .

LEMMA 1. *Let \mathcal{P} be a property meaningful for finite collections of ordered pairs of sets, and let T be a collection of unordered pairs of sets. If every finite subset of T has a pairing satisfying property \mathcal{P} , then T has a pairing M such that every finite subset of M satisfies property \mathcal{P} .*

Proof. The argument is adapted from [6, Theorem 1] and is included for completeness. Let \mathcal{F} be the family of all finite subsets of T . Then for every F in \mathcal{F} , there corresponds a suitable pairing. (That is, there corresponds a pairing for F having property \mathcal{P} .) We let P_F denote the collection of all suitable pairings for F . Observe that since F is finite, so is P_F , and P_F with the discrete topology is compact. By the Tychonoff theorem, the product πP_F is compact, too. For X in the product, let X_F denote its F th coordinate, and for G in \mathcal{F} , define $A_G \equiv \{X \text{ in } \pi P_F: \text{if } H \subseteq G, \text{ then } X_H = X_G|_H\}$, where $X_G|_H$ means X_G restricted to H .

We assert that $\{A_G: G \text{ in } \mathcal{F}\}$ is a collection of compact sets having the finite intersection property: It is not hard to show that each A_G is closed (hence compact) and nonempty. To see that $\{A_G: G \text{ in } \mathcal{F}\}$ has the

finite intersection property, observe that when $F_1, \dots, F_n \in \mathcal{F}$, then $A_{F_1} \cap \dots \cap A_{F_n}$ contains $A_{F_1 \cup \dots \cup F_n} \neq \emptyset$. Hence $\bigcap \{A_G : G \text{ in } \mathcal{F}\} \neq \emptyset$, and we may select Z in this intersection. Notice that for every H and G in \mathcal{F} with $H \subseteq G$, $Z_H = Z_G|_H$.

Finally, for every pair $\{C, D\}$ in T , let $F(C, D)$ denote the member of \mathcal{F} consisting of $\{C, D\}$ only. Then $Z_{F(C, D)}$ is a suitable pairing, say (C, D) , for $F(C, D)$, and whenever $F(C, D) \subseteq G$ for G in \mathcal{F} , then $Z_{F(C, D)}$ and Z_G agree on $F(C, D)$. Letting M be the set of ordered pairs (C, D) such that $\{(C, D)\} = Z_{F(C, D)}$ for some pair $\{C, D\}$ in T , a standard argument shows that M satisfies the lemma.

LEMMA 2. *Let S be a compact set in R^d , Q a finite subset of S , and let $M \equiv \{(C_i, D_i) : 1 \leq i\}$ be a family of ordered pairs of closed sets. Assume that for every j there exists a partition $\{Q_{j1}, Q_{j2}\}$ of Q such that each point of Q_{j1} sees via S a common point of $\bigcap \{C_i : 1 \leq i \leq j\}$ and each point of Q_{j2} sees via S a common point of $\bigcap \{D_i : 1 \leq i \leq j\}$. Then there is a partition $\{Q'_1, Q'_2\}$ of Q such that each point of Q'_1 sees via S a common point of $\bigcap \{C_i : 1 \leq i\}$ and each point of Q'_2 sees via S a common point of $\bigcap \{D_i : 1 \leq i\}$.*

Proof. Again the argument is adapted from [6, Theorem 1]. For every j , let P_j denote the set of all ordered pairs (Q_{j1}, Q_{j2}) , where $\{Q_{j1}, Q_{j2}\}$ is a partition of Q , Q_{j1} sees a common point of $\bigcap \{C_i : 1 \leq i \leq j\}$, and Q_{j2} sees a common point of $\bigcap \{D_i : 1 \leq i \leq j\}$. Using the fact that Q is finite, we see that P_j is finite, P_j is compact with the discrete topology, and the product πP_j is compact. Let X_j denote the j th coordinate of X in πP_j , and for each k , define set $A_k \equiv \{X \text{ in } \pi P_j : X_i = X_k \text{ for } i \leq k\}$. Using an argument like the one in Lemma 1, $\{A_k : 1 \leq k\}$ is a family of compact sets having the finite intersection property, so we may select some Z in $\bigcap \{A_k : 1 \leq k\}$. Then for every i and j , $Z_i = Z_j$, and we let (Q'_1, Q'_2) denote this common value.

We assert that $\{Q'_1, Q'_2\}$ satisfies the lemma: For each j , select a point c_j in $\bigcap \{C_i : 1 \leq i \leq j\}$ such that Q'_1 sees c_j via S . Since S is compact, the sequence $\{c_j : 1 \leq j\}$ has a limit point c in S . Moreover, it is easy to verify that $c \in \bigcap \{C_j : 1 \leq j\}$ and that each point of Q'_1 sees c via S . Parallel statements hold for Q'_2 and some $d \in \bigcap \{D_j : 1 \leq j\}$, and the lemma is established.

The next lemma is a slightly stronger version of [6, Theorem 1]. The proofs are essentially the same.

LEMMA 3 (*Lawrence, Hare, Kenelly Lemma*). For $i = 1, 2$, let P_i be a hereditary property of sets. Let B be a set such that for every finite subset $F \subseteq B$, there is a partition $\{F_1, F_2\}$ of F such that F_i has property P_i , $i = 1, 2$. Then there is a partition $\{B_1, B_2\}$ of B such that every finite subset of B_i has property P_i , $i = 1, 2$.

LEMMA 4. Let S be a compact set in some linear topological space. Suppose that every finite set F in $\text{bdry } S$ may be partitioned into two sets F_1 and F_2 such that each point of F_i is visible via S from a point in the closed set C_i , $i = 1, 2$. Then $\text{bdry } S$ may be partitioned into two sets S_1 and S_2 such that each point in S_i is visible via S from a point in C_i , $i = 1, 2$.

Proof. By the Lawrence, Hare, Kenelly Lemma, there is a partition $\{S_1, S_2\}$ of $\text{bdry } S$ such that every finite subset of S_i is visible via S from a common point of C_i , $i = 1, 2$. For every finite subset G of S_1 , let A_G denote the subset of C_1 seeing G via S . Standard arguments yield a point $c_1 \in \bigcap \{A_G: G \text{ finite, } G \subseteq S_1\} \neq \emptyset$, and each point of S_1 is visible via S from c_1 . A parallel argument holds for S_2 , and the lemma is proved.

We are ready to state our main theorem.

THEOREM 1. Let S be a compact set in R^2 . Assume that for every finite set F in $\text{bdry } S$ there exist points s and t (depending on F) such that every point of F is clearly visible via S from at least one of s or t . Then S is a union of two starshaped sets.

Proof. The proof will require an intermediate result concerning bounded components of $R^2 - S$.

LEMMA 5. If J and K are bounded components of $R^2 - S$ with $\text{conv } J \cap \text{conv } K = \emptyset$, then $\text{cl conv } J \cap \text{cl conv } K = \emptyset$.

Proof of Lemma 5. Suppose on the contrary that $\text{cl conv } J \cap \text{cl conv } K \neq \emptyset$. Since these sets share no interior points, they may be separated by a line L , and clearly

$$\text{bdry conv } J \cap \text{bdry conv } K = \text{cl conv } J \cap \text{cl conv } K \subseteq L.$$

Moreover, it is not hard to show that for an appropriate labeling of J and K , $\text{bdry } J \cap \text{bdry conv } K \neq \emptyset$. Let x be a point in this nonempty intersection. Clearly $x \in \text{bdry } S \cap L$. Let L' and L'' be lines distinct from L and parallel to L , with L' supporting $\text{cl conv } J$ and L'' supporting $\text{cl conv } K$.

By standard arguments, L' meets $\text{bdry conv } J$ at some point y in $\text{bdry } J \subseteq \text{bdry } S$, and similarly L'' meets $\text{bdry conv } K$ at some z in $\text{bdry } K \subseteq \text{bdry } S$. By our hypothesis in Theorem 1, two points from $\{x, y, z\}$ must be clearly visible from a common point of S . However, it is easy to show that this cannot occur. Our supposition is false, the sets are disjoint, and the lemma is established.

We are ready to prove Theorem 1, and we begin by defining special points c and d in S which will satisfy the theorem. Assume for the moment that $R^2 - S$ has at least two bounded components A and B with $\text{conv } A \cap \text{conv } B = \emptyset$. By Lemma 5, $\text{cl conv } A \cap \text{cl conv } B = \emptyset$. Hence there are distinct lines $L(A, B) \equiv L$ and $N(A, B) \equiv N$ such that each line supports both $\text{cl conv } A$ and $\text{cl conv } B$, with A and B in opposite open halfplanes. Standard arguments may be used to produce points $a_1 \in L \cap \text{bdry } A \subseteq \text{bdry } S$ and $b_1 \in L \cap \text{bdry } B \subseteq \text{bdry } S$ with $\text{dist}(a_1, b_1)$ maximal. Similarly, choose $a_2 \in N \cap \text{bdry } A$ and $b_2 \in N \cap \text{bdry } B$ with $\text{dist}(a_2, b_2)$ maximal. Label the open halfplanes determined by L and N so that $b_2 \in L_2$ and $a_1 \in N_1$. It is easy to see that b_1 is clearly visible only from point in $\text{cl } L_1$, a_1 only from points in $\text{cl } L_2$, b_2 only from points in $\text{cl } N_1$ and a_2 only from points in $\text{cl } N_2$.

A simple geometric argument may be used to find point a_3 in $\text{bdry } A \subseteq \text{bdry } S$ not clearly visible from any point of $\text{cl } N_2 \cap \text{cl } L_2$ and point b_3 in $\text{bdry } B \subseteq \text{bdry } S$ not clearly visible from any point of $\text{cl } N_1 \cap \text{cl } L_1$: Precisely, let line H bisect the angles determined by $\text{cl } L_1 \cap \text{cl } N_2$ and $\text{cl } L_2 \cap \text{cl } N_1$. Let H' and H'' be lines parallel to H such that H' supports $\text{conv } A$ at some $a_3 \in \text{bdry } A$ and H'' supports $\text{conv } B$ at some $b_3 \in \text{bdry } B$. Then a_3 is not clearly visible via S from any point of $\text{cl } N_2 \cap \text{cl } L_2$, b_3 is not clearly visible via S from any point of $\text{cl } N_1 \cap \text{cl } L_1$, and a_3 and b_3 are not clearly visible from any common point of S .

Finally, let $Q(A, B) \equiv \{a_i, b_i : 1 \leq i \leq 3\}$. By hypothesis, there exist points s and t of S such that each point of $Q(A, B)$ is clearly visible via S from one of s or t . By comments above, s and t must lie in opposite closed halfplanes determined by each of L and N , neither is in $\text{cl } N_1 \cap \text{cl } L_1$ or $\text{cl } N_2 \cap \text{cl } L_2$, so for an appropriate labeling of s and t , $s \in \text{cl } N_1 \cap \text{cl } L_2$ and $t \in \text{cl } N_2 \cap \text{cl } L_1$. Define $C(A, B) \equiv \text{cl } N_1 \cap \text{cl } L_2$ and $D(A, B) \equiv \text{cl } N_2 \cap \text{cl } L_1$. In the future we shall refer to $C(A, B)$ and $D(A, B)$ as opposite vertical angles associated with A and B .

For every pair of distinct components A and B in $R^2 - S$ satisfying $\text{conv } A \cap \text{conv } B = \emptyset$, define sets $Q(A, B)$, $C(A, B)$, $D(A, B)$ in the manner described above, and let T be the set consisting of all unordered pairs $\{C(A, B), D(A, B)\}$. Let Q be a fixed subset of $\text{bdry } S$, Q finite.

Observe that if $\{\{C(A_i, B_i), D(A_i, B_i)\}: 1 \leq i \leq n\}$ is any finite subset of T , then $Q' \equiv Q \cup Q(A_1, B_1) \cup \cdots \cup Q(A_n, B_n)$ is finite. Hence by hypothesis there exist points s' and t' such that every point of Q' is clearly visible via S from one of s' or t' . Moreover, by comments above, for an appropriate labeling of the corresponding sets $C(A_i, B_i)$ and $D(A_i, B_i)$, $s' \in \bigcap\{C(A_i, B_i): 1 \leq i \leq n\}$ and $t' \in \bigcap\{D(A_i, B_i): 1 \leq i \leq n\}$.

We define property \mathcal{P} as follows: For T' a finite subset of T and $M' = \{(C_1, D_1), \dots, (C_n, D_n)\}$ a pairing for T' , we say that M' has property \mathcal{P} if and only if there exists a partition $\{Q_1, Q_2\}$ of Q such that each point of Q_1 sees via S a common point of $\bigcap\{C_i: 1 \leq i \leq n\}$ and each point of Q_2 sees via S a common point of $\bigcap\{D_i: 1 \leq i \leq n\}$. By comments above, every finite subset of T has a pairing satisfying property \mathcal{P} . Therefore, we may use Lemma 1 to conclude that T has a pairing M such that every finite subset of M satisfies property \mathcal{P} . Since $R^2 - S$ has at most countably many bounded components, M is countable, and we let $M = \{(C_i, D_i): 1 \leq i\}$. Furthermore, sets S , Q , and M satisfy the hypothesis of Lemma 2, so there exists a partition $\{Q'_1, Q'_2\}$ of Q such that each point of Q'_1 sees via S a common point of $\bigcap\{C_i: 1 \leq i\}$ and each point of Q'_2 sees via S a common point of $\bigcap\{D_i: 1 \leq i\}$. Hence we may apply Lemma 4 to conclude that there is a partition $\{S_1, S_2\}$ for $\text{bdry } S$ such that each point of S_1 is visible via S from a common point c of $\bigcap\{C_i: 1 \leq i\}$ and each point of S_2 is visible via S from a common point d of $\bigcap\{D_i: 1 \leq i\}$.

We have defined points c and d in case $R^2 - S$ contains two bounded components A and B with $\text{conv } A \cap \text{conv } B = \emptyset$. In case no such components exist, then by Lemma 4 simply choose points c and d in S such that each point of $\text{bdry } S$ sees via S either c or d .

To complete the proof, we will show that every point of S sees via S either c or d . Let $x \in S$ and suppose that neither c nor d sees x , to reach a contradiction. Clearly x must be an interior point of S . Choose the segment at x in $S \cap L(c, x)$ having maximal length, and let p and q denote its endpoints, with $c < p < x < q$. Then $p, q \in \text{bdry } S$, c sees neither p nor q via S , so d must see both p and q via S . Observe that $d \notin L(c, x)$ since d cannot see x . Similarly, choose a segment at x in $S \cap L(d, x)$ having maximal length, and let r and s denote its endpoints, $d < r < x < s$. Then c sees via S both r and s . (See Figure 1)

Since d does not see x via S , there is a segment in $(d, r) - S$, and this segment belongs to a bounded component K of $R^2 - S$, $K \subseteq \text{int conv}\{p, q, d\}$. Likewise, there is a segment in $(c, p) - S$ belonging to

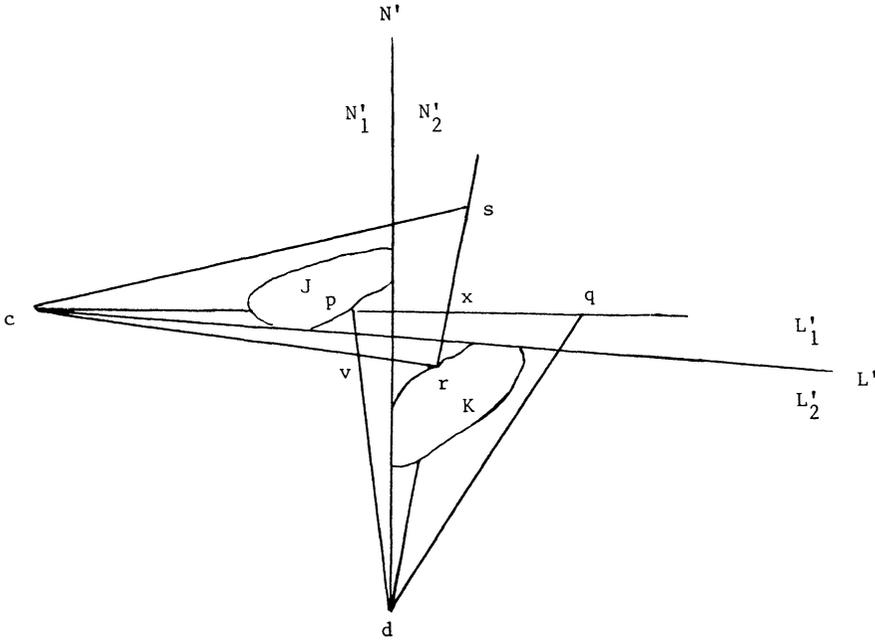


FIGURE 1

a bounded component J of $R^2 - S$, $J \subseteq \text{int conv}\{c, s, r\}$. Letting $L(c, r) \cap L(d, p) = \{v\}$, it is easy to show that J and K lie in opposite open halfplanes determined by $L(v, x)$, so $\text{conv}J \cap \text{conv}K = \emptyset$. Hence points c and d must have been selected according to the lengthy procedure described in previous paragraphs.

Define lines L' and N' as follows: Clearly $L(c, v) \cap J = \emptyset$. If $L(c, v) \cap K = \emptyset$ as well, let $L' = L(c, v)$ and let L'_1 be the open halfplane determined by L' and containing J . Then $K \subseteq L'_2$. Otherwise, $L(c, v)$ meets K . In this case, let L_1 denote the open halfplane determined by $L(c, v)$ and containing J . Clearly d cannot see all the points of $(\text{bdry}K) \cap L_1$, so c must see some of these points via S . Let L' be the line from c which supports $\text{conv}K$ at a point of L_1 . It is easy to show that $L' \cap (\text{bdry conv}K)$ contains some point t of $\text{bdry}K$ such that $[c, t] \subseteq S$. Thus $[c, t] \cap J = \emptyset$, and J lies in the open halfplane L'_1 determined by L' and containing p . Of course K lies in the opposite halfplane L'_2 .

Using a similar argument, $L(d, v) \cap K = \emptyset$. If $L(d, v) \cap J = \emptyset$ as well, let $N' = L(d, v)$ and let N'_2 denote the open halfplane determined by N' and containing K . Then $J \subseteq N'_1$. Otherwise, $L(d, v)$ meets J . In this case, let N_2 denote the open halfplane determined by $L(d, v)$ and containing K . Clearly d must see via S some points of $(\text{bdry}J) \cap N_2$. Let N'

be the line from d which supports $\text{conv}J$ at a point of N_2 . Then $N' \cap (\text{bdry } \text{conv}J)$ contains some point t' of $\text{bdry}J$ such that $[d, t'] \subseteq S$. Thus $[d, t'] \cap K = \emptyset$ and K lies in the open halfplane N'_2 determined by N and containing r . Of course J lies in the opposite halfplane N'_1 .

We have $J \subseteq N'_1 \cap L'_1$ and $K \subseteq N'_2 \cap L'_2$. Observe that if line H meets both $\text{cl}J$ and $\text{cl}K$, then $H \cap \text{cl}N'_1 \cap \text{cl}L'_1$ is an infinite ray, as is $H \cap \text{cl}N'_2 \cap \text{cl}L'_2$. Moreover, c and d must lie in the same closed halfplane determined by H .

Recall that since $\text{conv}J \cap \text{conv}K = \emptyset$, we have associated with J and K distinct lines $L(J, K)$ and $N(J, K)$ which support both $\text{cl} \text{conv}J$ and $\text{cl} \text{conv}K$, with J and K in opposite closed halfplanes determined by each line. Further, by our choice of $c \in \bigcap \{C_i: 1 \leq i\}$ and $d \in \bigcap \{D_i: 1 \leq i\}$, c and d belong to opposite vertical angles $C(J, K)$ and $D(J, K)$ associated with J and K . However, our comments in the preceding paragraph (concerning line H) imply that c and d must lie in the same vertical angle, either $C(J, K)$ or $D(J, K)$. The only way for both these events to occur is for c and d to be the same point, impossible since $d \notin L(c, x)$. Our supposition (that neither c nor d sees x) must be false, and S is indeed a union of two starshaped sets. This finishes the proof of the theorem.

It is easy to find examples to show that the condition in Theorem 1 does not characterize unions of starshaped sets: Consider a W -shaped polygonal path.

Furthermore, it is interesting to observe that if the words "clearly visible" in Theorem 1 are replaced by the weaker term "visible", then the result fails, as the following example illustrates.

EXAMPLE 1. Let S be the compact set in Figure 2, with shaded regions in $R^2 - S$ and dotted segments in S . Then every boundary point of S is visible via S from either c or d , yet S is not a union of two starshaped sets.

However, if in Theorem 1 we replace "clearly visible" by "visible" and require S to be simply connected, then the result holds. The easy proof is a simplified version of our previous argument.

We close with a theorem concerning unions of starshaped sets which follows easily from work by Lawrence, Hare, and Kenelly.

THEOREM 2. *Let S be a compact set in some linear topological space. Then S is a union of k starshaped sets if and only if for every finite set F in S there exist points s_1, \dots, s_k (depending on F) such that each point of F sees via S at least one of the s_i points.*

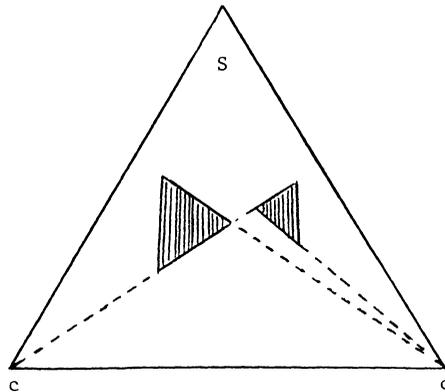


FIGURE 2

Proof. The necessity is immediate. For the sufficiency, apply [6, Theorem 1] to obtain a k -partition $\{S_1, \dots, S_k\}$ of S such that each finite subset of S_i is visible from a common point of S , $1 \leq i \leq k$. By standard arguments, every point of S_i is visible from a common point of S , and the theorem is established.

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