

## DEC GROUPS FOR ARBITRARILY HIGH EXPONENTS

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**For each prime  $p$  and each  $n \geq 1$  ( $n \geq 2$  if  $p = 2$ ), examples are constructed of a Galois extension  $K/F$  whose Galois group has exponent  $p^n$  and a central simple  $F$ -algebra  $A$  of exponent  $p$  which is split by  $K$  but is not in the Dec group of  $K/F$ .**

**1. Introduction.** Let  $K/F$  be an abelian Galois extension of fields, and let  $G = \mathcal{G}(K/F)$ . Let  $G = G_1 \times G_2 \times \cdots \times G_k$  be a direct sum decomposition of  $G$  into cyclic groups, with  $G_i = \langle \sigma_i \rangle$  ( $i = 1, \dots, k$ ). Let  $F_i$  be the fixed field of  $G_1 \times \cdots \times G_{i-1} \times G_{i+1} \times \cdots \times G_k$  ( $i = 1, \dots, k$ ). Thus, the  $F_i$  are cyclic Galois extensions of  $F$ , with Galois group isomorphic to  $G_i$ . The group  $\text{Dec}(K/F)$  is defined as the subgroup of  $\text{Br}(K/F)$  generated by the subgroups  $\text{Br}(F_i/F)$  ( $i = 1, \dots, k$ ). This group was introduced by Tignol ([T1]), where he shows that  $\text{Dec}(K/F)$  is independent of the choice of the direct sum decomposition of  $G$ . If  $p$  is a prime, we will write  ${}_{p^n} \text{Br}(K/F)$  and  ${}_{p^n} \text{Dec}(K/F)$  for the subgroups of  $\text{Br}(K/F)$  and  $\text{Dec}(K/F)$  consisting of all elements with exponent dividing  $p^n$ .

A key issue in several past constructions of division algebras has been the non-triviality of the factor group  ${}_p \text{Br}(K/F) / {}_p \text{Dec}(K/F)$  for suitable abelian extensions  $K/F$ . For instance, the Amitsur-Rowen-Tignol construction of an algebra of index 8 with involution with no quaternion subalgebra ([ART]) depends crucially on the existence of a triquadratic extension  $K/F$  for which  ${}_2 \text{Br}(K/F) \neq {}_2 \text{Dec}(K/F)$ . Similarly, the constructions of indecomposable algebras of exponent  $p$  by Tignol ([T2]) and Jacob ([J]) also depend on the existence of an (elementary) abelian extension  $K/F$  for which  ${}_p \text{Br}(K/F) \neq {}_p \text{Dec}(K/F)$ .

The extension fields  $K/F$  that occur in these examples above are all of exponent  $p$ , and it is an interesting question whether there exist abelian extensions  $K/F$  whose Galois groups have arbitrarily high ( $p$ -power) exponents for which the factor group  ${}_p \text{Br}(K/F) / {}_p \text{Dec}(K/F)$  is non-trivial. The purpose of this paper is to show that for each  $n \geq 1$  ( $n \geq 2$  if  $p = 2$ ), there exists an abelian extension  $K/F$  with Galois group  $\mathbb{Z}/p^n\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$  (and thus, of exponent  $p^n$ ) and an algebra  $A \in {}_p \text{Br}(K/F)$  such that  $A \notin {}_p \text{Dec}(K/F)$ . (Note that if  $K/F$  is an

$\mathbb{Z}/2 \times \mathbb{Z}/2$  extension, then  ${}_2\text{Br}(K/F)$  is always equal to  ${}_2\text{Dec}(K/F)$ , see [T3] for instance.)

Our field  $F$  will be the rational function field in 3 variables over a field  $F_0$  of characteristic 0 that contains sufficiently many roots of unity. (For instance,  $F_0$  may be algebraically closed.) Our algebras will in fact be generalizations of the example given by Tignol in [T2]. Moreover, we will prove that for  $A$ ,  $K$ , and  $F$  as above,  $A \otimes_F L \notin {}_p\text{Dec}(K \cdot L/L)$  for any finite degree extension  $L/F$  with  $p \nmid [L:F]$ .

The special case  $n = 2$  (and  $p$  odd) of these computations was done in [Se1], where the result was used to construct non-elementary abelian crossed products of index  $p^3$  and exponent  $p^2$ .

We remark that using different techniques, Rowen and Tignol ([RT]) have shown that if the ground field is assumed to only contain a primitive  $p^s$ th root of unity but *not* a primitive  $p^{s+1}$ th root of unity for some  $s \geq 1$ , then examples of non-trivial factor groups

$${}_p\text{Br}(K/F)/{}_p\text{Dec}(K/F)$$

exist for suitable abelian extensions  $K/F$  whose Galois groups have arbitrarily large ( $p$ -power) exponents. Using ultraproducts ([R]), their example can be extended to also cover the case where the ground field contains all primitive  $p^i$ th roots of unity ( $i = 1, 2, \dots$ ).

**2.  $p$ -adic valuations on rational function fields.** Let  $p$  be a prime, which, for now, can be either odd or even. Let  $F_0$  be a field of characteristic 0. The subfield  $\mathbb{Q}$  of  $F_0$  has a standard valuation  $v: \mathbb{Q} \rightarrow \mathbb{Z}$  obtained by writing any non-zero element in  $\mathbb{Q}$  as  $p^n a/b$ , where  $n$ ,  $a$ , and  $b$  are integers, and  $p$  is relatively prime to  $a$  and  $b$ , and defining  $v(p^n a/b) = n$ . We will refer to any valuation on  $F_0$  that extends this distinguished valuation on  $\mathbb{Q}$  as a  *$p$ -adic valuation*. Since the residue field of  $\mathbb{Q}$  under  $v$  is  $\mathbb{Z}/p\mathbb{Z}$ , the residue of  $F_0$  under any  $p$ -adic valuation is of characteristic  $p$ .

Now let  $F = F_0(x_1, x_2, \dots, x_k)$  be the rational function field over  $F_0$  in  $k$  indeterminates ( $k \geq 1$ ), and let  $v$  be a fixed  $p$ -adic valuation on  $F_0$ . Then  $v$  admits an extension  $w$  to  $F$  defined as follows: for any polynomial  $f \in F_0[x_1, x_2, \dots, x_k]$ ,  $w(f)$  is the minimum of the values of the coefficients, and for  $f$  and  $g$  in  $F_0[x_1, x_2, \dots, x_k]$ ,  $w(f/g) = w(f) - w(g)$ . (It is easy to check that  $w$  is indeed a valuation on  $F$ .) It can be shown that the residues  $\bar{x}_i$  of the  $x_i$  ( $i = 1, \dots, k$ ) are algebraically independent over the residue  $\bar{F}_0$  of  $F_0$ ; and that, moreover,  $\bar{F}$  is precisely the rational function field  $\bar{F}_0(\bar{x}_1, \bar{x}_2, \dots, \bar{x}_k)$ . (It is also clear from the definition of  $w$  that

$\Gamma_F = \Gamma_{F_0}$ .) We will refer to  $w$  as the *standard extension of  $v$  to  $F$* . Also, we will abuse notation and continue to write  $x_i$  for the residues  $\bar{x}_i$ .

**REMARK 2.1.** Furthermore, it can be shown that  $w$  is the *unique* extension of  $v$  to  $F$  with the property that the values of the  $x_i$  are 0, and the residues of the  $x_i$  are algebraically independent over  $\bar{F}_0$ . (See [B, §10, Proposition 2].)

The following is well known, but we include a proof here for convenience.

**LEMMA 2.2.** *Let  $p$  be any prime, and let  $F$  be a field of characteristic 0. Let  $v$  be a  $p$ -adic valuation on  $F$ . Let  $K = F(f^{1/p})$ , where  $f \notin F^{*p}$ , and  $v(f) = 0$ . Assume that  $f = f_0^p + \pi f_1 + \delta$ , where  $v(f_0) = v(f_1) = 0$ ,  $0 < v(\pi) < (p/(p - 1))v(p)$ , and  $v(\delta) > v(\pi)$ . Assume, too, that  $\bar{f}_1 \notin \bar{F}^p$ , and that there exists  $\theta \in F^*$  such that  $\theta^p = \pi$ . Then  $v$  extends uniquely to  $K$ , and  $\bar{K} = \bar{F}(\bar{f}_1^{1/p})$ .*

*Proof.* Let  $r \in K^*$  satisfy  $r^p = f$ , and let  $s = (r - f_0)/\theta$ . Then  $s + (f_0/\theta) = (r/\theta)$ , so  $s$  satisfies

$$(1) \quad \left(s + \frac{f_0}{\theta}\right)^p = \frac{f_0^p + \pi f_1 + \delta}{\theta^p}.$$

Expanding the left-hand side of (1) and noting that  $\theta^p = \pi$ , we find

$$(2) \quad s^p + \sum_{i=1}^{p-1} \binom{p}{i} s^i \left(\frac{f_0}{\theta}\right)^{p-i} = f_1 + \left(\frac{\delta}{\theta^p}\right).$$

Now for  $i = 1, \dots, p - 1$ ,  $v(\binom{p}{i}) = v(p)$ , while  $v(\theta^{p-i}) \leq v(\theta^{p-1}) = v(\pi^{(p-1)/p}) < v(p)$ . (The last inequality is because  $v(\pi) < (p/(p - 1))v(p)$ .) From this, as well as the fact that  $v(f_0) = 0$ , we find that each of the expressions  $\binom{p}{i}(f_0/\theta)^{p-i}$  ( $i = 1, \dots, p - 1$ ) has positive value. It follows that for any extension  $w$  of  $v$  from  $F$  to  $K$ , if  $w(s) < 0$ , then the left-hand side of (2) would have the same value as  $s^p$ . (Here we use the fact that if  $w(a) < w(b)$ , then  $w(a + b) = w(a)$ .) Since this contradicts the fact that the value of the right-hand side of (2) is 0 (note that  $v(f_1) = 0$ , while  $v(\delta/\theta^p) > 0$ ), we must have  $w(s) \geq 0$ . Similarly, if  $w(s) > 0$ , then from  $w(a + b) \geq \min(w(a), w(b))$ , it follows that the left-hand side of (2) must have positive value. Hence  $w(s) = 0$ . Taking the residues of each term in (2) and noting again that all terms except  $s^p$  and  $f_1$  have positive value, we find  $\bar{s}^p = \bar{f}_1$ . Thus  $\bar{K} \supset \bar{F}(\bar{f}_1^{1/p})$ .

Since  $\overline{f_1} \notin \overline{F}^p$ , and since  $[K : F] = p$ , we find by the fundamental inequality ([E, Corollary 17.5]) that  $w$  is unique, and  $\overline{K} = \overline{F}(\overline{f_1}^{1/p})$ . □

Now let  $F_0$  be a field of characteristic 0. We will assume that  $F_0$  contains  $p^{1/p^i}$  for all  $i$  ( $i = 1, 2, \dots$ ). Let  $F$  be the rational function field  $F_0(x_1, x_2, y)$ . For each  $n$  ( $n \geq 0$ ), let

$$(3) \quad \phi_n = (x_1^{p^n} - y^{p^n})(x_2^{p^n} - y^{p^n}).$$

Let  $H_n = F(\phi_n^{1/p})$ . Let  $v$  be the standard extension of any  $p$ -adic valuation on  $F_0$  to  $F$ . The manner in which  $v$  extends from  $F$  to  $H_n$  will be crucial to our Dec results, and the rest of §2 is devoted to this topic.

First, some notation. For  $p$  odd, and  $i = 1, 2, \dots, p - 1$ , let

$$(4) \quad \lambda_i = \frac{(-1)^{p-i}}{p} \binom{p}{i}$$

(so each  $\lambda_i$  is an integer). For  $p$  odd, again, define  $g_n(x, y) \in \mathbb{Z}[x, y]$  ( $n = 0, 1, 2, \dots$ ) by

$$(5) \quad g_n(x, y) = \sum_{i=1}^{p-1} \lambda_i (x^{p^n})^i (y^{p^n})^{p-i},$$

so

$$(x^{p^n} - y^{p^n})^p = x^{p^{n+1}} - y^{p^{n+1}} + p g_n(x, y).$$

Now for  $p$  odd, define  $h_n(x_1, x_2, y) \in \mathbb{Z}[x_1, x_2, y]$  ( $n = 0, 1, 2, \dots$ ) by

$$(6) \quad h_n(x_1, x_2, y) = (x_1^{p^n} - y^{p^n})^p g_n(x_2, y) + (x_2^{p^n} - y^{p^n})^p g_n(x_1, y),$$

and for  $p = 2$ , define  $h_n(x_1, x_2, y) \in \mathbb{Z}[x_1, x_2, y]$  ( $n = 0, 1, 2, \dots$ ) by

$$(7) \quad h_n(x_1, x_2, y) = (x_1^{2^n} + y^{2^n})^2 x_2^{2^n} y^{2^n} + (x_2^{2^n} + y^{2^n})^2 x_1^{2^n} y^{2^n}.$$

**REMARK 2.3.** We will abuse notation and continue to write  $g_n$  and  $h_n$  for the images of  $g_n$  and  $h_n$  in  $\mathbb{Z}/p\mathbb{Z}[x, y]$  and  $\mathbb{Z}/p\mathbb{Z}[x_1, x_2, y]$  (respectively).

The special case  $n = 1$  (and  $p$  odd) of the following was proved in [T2, Lemma 3.7].

**PROPOSITION 2.4.** *For every prime  $p$  and for all  $n$  ( $n \geq 1$ ),  $v$  extends uniquely from  $F$  to  $H_n$ , and  $\overline{H_n} = \overline{F}(h_0(x_1, x_2, y)^{1/p})$ .*

Before proving Proposition 2.4, we need some further notation, as well as some easy lemmas.

For  $p = 2$ , define  $e_n(x_1, x_2, y) \in \mathbb{Z}[x_1, x_2, y]$  (for  $n \geq 1$ ) by

$$(8) \quad e_n(x_1, x_2, y) = y^{2^n} (x_1^{2^n} + x_2^{2^n}),$$

and  $\psi_n(x_1, x_2, y) \in \mathbb{Z}[x_1, x_2, y]$  (for  $n \geq 0$ ) by

$$(9) \quad \psi_n(x_1, x_2, y) = (x_1^{2^n} + y^{2^n})(x_2^{2^n} + y^{2^n}).$$

For  $n \in \mathbb{Z}$  ( $n \geq 1$ ), define  $\alpha_n \in \mathbb{Q}$  by

$$(10) \quad \alpha_n = \begin{cases} 1, & \text{if } n = 1, \\ 1 + 1/p + 1/p^2 + \dots + 1/p^{n-1}, & \text{if } n > 1. \end{cases}$$

Finally, for any  $k \in \mathbb{Q}$ , abbreviate the phrase “terms of value at least  $v(p^k)$ ” by  $[[p^k]]$ .

**REMARK 2.5.** Just as with  $g_n$  and  $h_n$ , we will abuse notation and continue to write  $e_n$  for the image of  $e_n$  in  $\mathbb{Z}/2\mathbb{Z}[x_1, x_2, y]$ .

**LEMMA 2.6.** *Let  $f, g, f_1$ , and  $g_1$  be polynomials in  $\mathbb{Z}[x_1, x_2, y]$ . Then, with respect to the restriction of  $v$  to  $\mathbb{Q}(x_1, x_2, y)$  (i.e., the standard extension of the  $p$ -adic valuation on  $\mathbb{Q}$  to  $\mathbb{Q}(x_1, x_2, y)$ ),*

1. *If  $f = g + [[p]]$ , and  $f_1 = g_1 + [[p]]$ , then  $f + f_1 = g + g_1 + [[p]]$  and  $ff_1 = gg_1 + [[p]]$ .*

2.  *$(f + g)^p = f^p + g^p + [[p]]$ .*

3. *Let  $k \geq 1$ , and suppose*

$$f = \sum c_{i_1, i_2, i_3} (x_1^{p^k})^{i_1} (x_2^{p^k})^{i_2} (x_3^{p^k})^{i_3},$$

*for some  $c_{i_1, i_2, i_3} \in \mathbb{Z}$ . Define  $f^{1/p} \in \mathbb{Z}[x_1, x_2, y]$  by*

$$f^{1/p} = \sum c_{i_1, i_2, i_3} (x_1^{p^{k-1}})^{i_1} (x_2^{p^{k-1}})^{i_2} (x_3^{p^{k-1}})^{i_3}.$$

*Then  $f = (f^{1/p})^p + [[p]]$ .*

*Proof.* Note that the values of  $f, g, f_1$ , and  $g_1$  are non-negative. (1) and (2) are now elementary. (3) follows from (2) along with the fact that  $a^p \equiv a \pmod{p}$  for any  $a \in \mathbb{Z}$ . □

LEMMA 2.7. *With respect to the restriction of  $v$  to  $\mathbb{Q}(x_1, x_2, y)$ ,*

1. *For  $n \geq 1$  and for all  $p$ ,  $h_n = h_{n-1}^p + [[p]]$ , and for  $n \geq 2$  and  $p = 2$ ,  $e_n = e_{n-1}^2 + [[2]]$ .*

2. *For  $n \geq 1$  and  $p$  odd,  $\phi_n = \phi_{n-1}^p - ph_{n-1} + [[p^2]]$ .*

3. *For  $n \geq 1$  and  $p = 2$ ,  $\phi_n = \psi_n - 2e_n$ , and  $\psi_n = \psi_{n-1}^2 - 2h_{n-1} + [[4]]$  (so  $\phi_n = \psi_{n-1}^2 - 2(h_{n-1} + e_n) + [[4]]$ ).*

*Proof.* (1) follows from the definitions of  $h_n$  and  $e_n$  and Lemma 2.6. For instance, for  $p$  odd (and  $n \geq 1$ ) we have

$$(x_1^{p^n} - y^{p^n})^p = ((x_1^{p^{n-1}} - y^{p^{n-1}})^p + [[p]])^p = (x_1^{p^{n-1}} - y^{p^{n-1}})^{p^2} + [[p]].$$

Also,

$$\begin{aligned} g_n(x_2, y) &= \sum_{i=1}^{p-1} \lambda_i (x_2^{p^n})^i (y^{p^n})^{p-i} \\ &= \left( \sum_{i=1}^{p-1} \lambda_i (x_2^{p^{n-1}})^i (y^{p^{n-1}})^{p-i} \right)^p + [[p]] \\ &= (g_{n-1}(x_2, y))^p + [[p]]. \end{aligned}$$

Since similar relations hold for  $(x_2^{p^n} - y^{p^n})^p$  and  $g_n(x_1, y)$ , we find

$$\begin{aligned} h_n &= (x_1^{p^n} - y^{p^n})^{p^2} (g_{n-1}(x_2, y))^p \\ &\quad + (x_2^{p^n} - y^{p^n})^{p^2} (g_{n-1}(x_1, y))^p + [[p]] \\ &= ((x_1^{p^{n-1}} - y^{p^{n-1}})^p g_{n-1}(x_2, y) \\ &\quad + (x_2^{p^{n-1}} - y^{p^{n-1}})^p g_{n-1}(x_1, y))^p + [[p]] \\ &= h_{n-1}^p + [[p]]. \end{aligned}$$

The proof for  $p = 2$  is similar. For (2), we have

$$\begin{aligned} \phi_n &= (x_1^{p^n} - y^{p^n})(x_2^{p^n} - y^{p^n}) \\ &= [(x_1^{p^{n-1}} - y^{p^{n-1}})^p - pg_{n-1}(x_1, y)] \\ &\quad \cdot [(x_2^{p^{n-1}} - y^{p^{n-1}})^p - pg_{n-1}(x_2, y)] \\ &= [(x_1^{p^{n-1}} - y^{p^{n-1}})(x_2^{p^{n-1}} - y^{p^{n-1}})]^p \\ &\quad - p[(x_1^{p^{n-1}} - y^{p^{n-1}})^p g_{n-1}(x_2, y) \\ &\quad + (x_2^{p^{n-1}} - y^{p^{n-1}})^p g_{n-1}(x_1, y)] + [[p^2]] \\ &= \phi_{n-1}^p - ph_{n-1} + [[p^2]]. \end{aligned}$$

As for (3),

$$\begin{aligned} \phi_n &= (x_1^{2^n} - y^{2^n})(x_2^{2^n} - y^{2^n}) \\ &= (x_1^{2^n} + y^{2^n} - 2y^{2^n})(x_2^{2^n} + y^{2^n} - 2y^{2^n}) \\ &= (x_1^{2^n} + y^{2^n})(x_2^{2^n} + y^{2^n}) - 2y^{2^n}(x_1^{2^n} + x_2^{2^n}) \\ &= \psi_n - 2e_n. \end{aligned}$$

Also,

$$\begin{aligned} \psi_n &= (x_1^{2^n} + y^{2^n})(x_2^{2^n} + y^{2^n}) \\ &= [(x_1^{2^{n-1}} + y^{2^{n-1}})^2 - 2x_1^{2^{n-1}}y^{2^{n-1}}][(x_2^{2^{n-1}} + y^{2^{n-1}})^2 - 2x_2^{2^{n-1}}y^{2^{n-1}}] \\ &= [(x_1^{2^{n-1}} + y^{2^{n-1}})(x_2^{2^{n-1}} + y^{2^{n-1}})]^2 \\ &\quad - 2[(x_1^{2^{n-1}} + y^{2^{n-1}})^2x_2^{2^{n-1}}y^{2^{n-1}} + (x_2^{2^{n-1}} + y^{2^{n-1}})^2x_1^{2^{n-1}}y^{2^{n-1}}] + [[4]] \\ &= \psi_{n-1}^2 - 2h_{n-1} + [[4]]. \quad \square \end{aligned}$$

LEMMA 2.8. For all  $p$  and for all  $k \geq 0$ ,  $\alpha_{k+1} < \alpha_2 + 1/p$ .

*Proof.* Since  $\alpha_1 < \alpha_2 < \alpha_2 + 1/p$ , we may assume  $k > 2$ . Now  $\alpha_{k+1} = 1 + 1/p + \dots + 1/p^k$  and  $\alpha_2 = 1 + 1/p$ , so it is sufficient to prove that  $1/p^2 + \dots + 1/p^k < 1/p$ . Multiplying both sides by  $p$ , we need to prove that  $1/p + \dots + 1/p^{k-1} < 1$ . But this is clear, since

$$\begin{aligned} 1/p + \dots + 1/p^{k-1} &= 1/p(1 + 1/p + \dots + 1/p^{k-2}) \\ &< 1/p(1 + 1/p + 1/p^2 + \dots) \\ &= 1/(p - 1) \leq 1. \quad \square \end{aligned}$$

*Proof of Proposition 2.4.* We divide the proof according to whether  $p$  is odd or whether  $p = 2$ .

*Case 1 (Odd  $p$ ).* If  $n = 1$ , this follows from Lemmas 2.7 and 2.2. For, by Lemma 2.7,  $\phi_1 = \phi_0^p - ph_0 + \delta$ , for some  $\delta \in \mathbb{Z}[x_1, x_2, y]$  with  $v(\delta) \geq v(p^2)$ . By assumption,  $p^{1/p} \in F_0$ . Clearly,  $-h_0 \notin \overline{F}^p = \overline{F}_0^p(x_1^p, x_2^p, y^p)$ . Thus, by Lemma 2.2,  $v$  extends uniquely to  $H_1$ , and  $\overline{H}_1 = \overline{F}((-h_0)^{1/p}) = \overline{F}(h_0^{1/p})$ .

In general, for  $n > 1$ , we have by Lemma 2.7,

$$\begin{aligned}
 (11) \quad \phi_n &= \phi_{n-1}^p - p h_{n-1} + [[p^2]] \\
 &= \phi_{n-1}^p - p(h_{n-2}^p + [[p]]) + [[p^2]] \\
 &= \phi_{n-1}^p - p h_{n-2}^p + [[p^2]] \\
 &= \phi_{n-1}^p - (p^{1/p} h_{n-2})^p + [[p^2]] \\
 &= (\phi_{n-1} - p^{1/p} h_{n-2})^p - p g_0(\phi_{n-1}, p^{1/p} h_{n-2}) + [[p^2]] \\
 &= (\phi_{n-1} - p^{1/p} h_{n-2})^p - p^{\alpha_2} \phi_{n-1}^{p-1} h_{n-2} + [[p^{\alpha_2+1/p}]].
 \end{aligned}$$

(For the last equality, note that

$$\begin{aligned}
 p g_0(\phi_{n-1}, p^{1/p} h_{n-2}) &= p(p^{1/p}) h_{n-2} \phi_{n-1}^{p-1} \\
 &\quad + \binom{p}{2} (p^{1/p} h_{n-2})^2 \phi_{n-1}^{p-2} + \dots
 \end{aligned}$$

Also, note that  $p^{1+1/p} = p^{\alpha_2}$ , and  $p^{1+2/p} = p^{\alpha_2+1/p}$ . Finally, note that since  $p \geq 3$ ,  $1 + 2/p < 2$ .)

*Claim.* For  $2 \leq k \leq n - 1$ , if

$$S_k = a_k^p - p^{\alpha_k} \phi_{n-1}^{p-1} \phi_{n-2}^{p-1} \dots \phi_{n-k+1}^{p-1} h_{n-k} + [[p^{\alpha_2+1/p}]],$$

for some  $a_k \in F$  with  $a_k = \phi_{n-1} + [[p^{1/p}]]$ , then

$$S_k = a_{k+1}^p - p^{\alpha_{k+1}} \phi_{n-1}^{p-1} \phi_{n-2}^{p-2} \dots \phi_{n-k}^{p-1} h_{n-k-1} + [[p^{\alpha_2+1/p}]],$$

for some  $a_{k+1} \in F$  with  $a_{k+1} = \phi_{n-1} + [[p^{1/p}]]$ .

*Proof of claim.* For, by Lemma 2.7,  $\phi_j = \phi_{j-1}^p + [[p]]$  and  $h_j = h_{j-1}^p + [[p]]$  for all  $j \geq 1$ , so

$$\begin{aligned}
 S_k &= a_k^p - p^{\alpha_k} (\phi_{n-2}^p + [[p]])^{p-1} (\phi_{n-3}^p + [[p]])^{p-1} \\
 &\quad \dots (\phi_{n-k}^p + [[p]])^{p-1} (h_{n-k-1}^p + [[p]]) + [[p^{\alpha_2+1/p}]] \\
 &= a_k^p - p^{\alpha_k} (\phi_{n-2}^{p(p-1)} + [[p]]) (\phi_{n-3}^{p(p-1)} + [[p]]) \\
 &\quad \dots (\phi_{n-k}^{p(p-1)} + [[p]]) (h_{n-k-1}^p + [[p]]) + [[p^{\alpha_2+1/p}]] \\
 &= a_k^p - p^{\alpha_k} (\phi_{n-2})^{p(p-1)} \dots (\phi_{n-k})^{p(p-1)} h_{n-k-1}^p \\
 &\quad + [[p^{\alpha_k+1}]] + [[p^{\alpha_2+1/p}]] \\
 &= a_k^p - (p^{\alpha_k/p} (\phi_{n-2})^{(p-1)} \dots (\phi_{n-k})^{(p-1)} h_{n-k-1})^p + [[p^{\alpha_2+1/p}]] \\
 &\quad \text{(as } \alpha_2 + 1/p < \alpha_k + 1) \\
 &= (a_k - p^{\alpha_k/p} \phi_{n-2}^{p-1} \dots \phi_{n-k}^{p-1} h_{n-k-1})^p \\
 &\quad - p g_0(a_k, p^{\alpha_k/p} \phi_{n-2}^{p-1} \dots \phi_{n-k}^{p-1} h_{n-k-1}) + [[p^{\alpha_2+1/p}]].
 \end{aligned}$$

Expanding  $pg_0(a_k, p^{\alpha_k/p}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1})$  and considering the first two terms of lowest value, we find

$$S_k = (a_k - p^{\alpha_k/p}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1})^p - p^{1+\alpha_k/p}a_k^{p-1}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1} + [[p^{1+(2\alpha_k/p)}]] + [[p^{\alpha_2+1/p}]].$$

Now  $1 + \alpha_k/p = \alpha_{k+1}$ . Also,  $1 + (2\alpha_k/p) = \alpha_{k+1} + \alpha_k/p > \alpha_2 + 1/p$  (as  $\alpha_{k+1} > \alpha_2$  and  $\alpha_k > 1$  when  $k \geq 2$ ). Thus,

$$S_k = (a_k - p^{\alpha_k/p}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1})^p - p^{\alpha_{k+1}}a_k^{p-1}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1} + [[p^{\alpha_2+1/p}]].$$

Now recalling that  $a_k = \phi_{n-1} + [[p^{1/p}]]$ , we find  $a_k^{p-1} = \phi_{n-1}^{p-1} + [[p^{1/p}]]$ . Hence,

$$S_k = (a_k - p^{\alpha_k/p}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1})^p - p^{\alpha_{k+1}}\phi_{n-1}^{p-1}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1} + [[p^{\alpha_{k+1}+1/p}]] + [[p^{\alpha_2+1/p}]].$$

Since  $\alpha_{k+1} > \alpha_2$ ,  $\alpha_{k+1} + 1/p > \alpha_2 + 1/p$ . Thus,

$$S_k = (a_k - p^{\alpha_k/p}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1})^p - p^{\alpha_{k+1}}\phi_{n-1}^{p-1}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1} + [[p^{\alpha_2+1/p}]].$$

Take  $a_{k+1} = (a_k - p^{\alpha_k/p}\phi_{n-2}^{p-1}\cdots\phi_{n-k}^{p-1}h_{n-k-1})$ . Since  $a_k = \phi_{n-1} + [[p^{1/p}]]$  and since  $1/p < \alpha_k/p$  (as  $k \geq 2$ ),  $a_{k+1} = \phi_{n-1} + [[p^{1/p}]]$ . This proves the claim.

*Proof of Case 1 (continued).* We now use the claim above to inductively reduce (11) until it yields

$$(12) \quad \phi_n = a^p + p^{\alpha_n}bh_0 + \delta,$$

for some  $a \in F$  with  $v(a) = 0$ , some  $b \in F$  with  $v(b) = 0$  and  $\bar{b} \in \overline{F^p}$ , and some  $\delta \in F$  with  $v(\delta) > \alpha_n$ . Since  $p^{\alpha_n/p} = p^{1/p+1/p^2+\cdots+1/p^n} \in F_0$ , it will follow immediately from Lemma 2.2 that  $v$  extends uniquely from  $F$  to  $H_n$ , and  $\overline{H_n} = \overline{F}(h_0^{1/p})$ .

If  $n = 2$ , then (11) is already in the desired form, since  $\overline{\phi_1} \in \overline{F^p}$ . Otherwise, we write (11) as

$$\phi_n = S_2 + [[p^{\alpha_2+1/p}]],$$

with  $a_2 = \phi_{n-1} - p^{1/p}h_{n-2}$ . By repeatedly applying the claim, we find

$$\phi_n = S_n + [[p^{\alpha_2+1/p}]],$$

with  $S_n = a_n^p - p^{\alpha_n}\phi_{n-1}^{p-1} \cdots \phi_1^{p-1}h_0$ , for some  $a_n \in F$  with  $a_n = \phi_{n-1} + [[p^{1/p}]]$ . By Lemma 2.8,  $\alpha_n < \alpha_2 + 1/p$  for all  $n \geq 3$ . Observing that the residues of  $\phi_{n-1}, \dots, \phi_1$  are all  $p$ th powers in  $\overline{F}$ , we find that  $\phi_n$  is now in the form (12), and we are done.

*Case 2* ( $p = 2$ ). The basic steps for the  $p = 2$  case are the same as for the odd  $p$  case, the differences are only in the details.

If  $n = 1$ , then, by Lemma 2.7,  $\phi_1 = \psi_0^2 - 2(h_0 + e_1) + [[4]]$ , so by Lemma 2.2,  $v$  extends uniquely to  $H_1$ , and  $\overline{H_1} = \overline{F}(\sqrt{(h_0 + e_1)})$ . But  $e_1$  is already a square in  $\overline{F}$ , so  $\overline{H_1} = \overline{F}(\sqrt{h_0})$ .

In general, for  $n > 1$ , we have, by Lemma 2.7

$$\begin{aligned} \phi_n &= \psi_{n-1}^2 - 2(h_{n-1} + e_n) + [[4]] \\ &= \psi_{n-1}^2 - 2(h_{n-2}^2 + [[2]] + e_{n-1}^2 + [[2]]) + [[4]] \\ &= \psi_{n-1}^2 - 2(h_{n-2}^2 + e_{n-1}^2) + [[4]] \\ &= \psi_{n-1}^2 - 2((h_{n-2} + e_{n-1})^2 + [[2]]) + [[4]] \\ &= \psi_{n-1}^2 - 2(h_{n-2} + e_{n-1})^2 + [[4]] \\ &= \psi_{n-1}^2 + 2(h_{n-2} + e_{n-1})^2 - 4(h_{n-2} + e_{n-1})^2 + [[4]] \\ &= \psi_{n-1}^2 + (2^{1/2}(h_{n-2} + e_{n-1}))^2 + [[4]] \\ &= (\psi_{n-1} + (2^{1/2}(h_{n-2} + e_{n-1})))^2 \\ &\quad - 2(2)^{1/2}\psi_{n-1}(h_{n-2} + e_{n-1}) + [[4]] \\ (13) \quad &= (\psi_{n-1} + (2^{1/2}(h_{n-2} + e_{n-1})))^2 \\ &\quad - 2^{\alpha_2}\psi_{n-1}(h_{n-2} + e_{n-1}) + [[4]]. \end{aligned}$$

*Claim.* For  $2 \leq k \leq n - 1$ , let

$$S_k = a_k^2 - 2^{\alpha_k}\psi_{n-1} \cdots \psi_{n-k+1}(h_{n-k} + e_{n-k+1}) + [[4]],$$

for some  $a_k \in F$  with  $a_k = \psi_{n-1} + [[2^{1/2}]]$ . Then,

$$S_k = a_{k+1}^2 - 2^{\alpha_{k+1}}\psi_{n-1} \cdots \psi_{n-k}(h_{n-k-1} + e_{n-k}) + [[4]],$$

for some  $a_{k+1} \in F$  with  $a_{k+1} = \psi_{n-1} + [[2^{1/2}]]$ .

*Proof of Claim.* We have

$$\begin{aligned}
S_k &= a_k^2 - (2^{\alpha_k/2})^2(\psi_{n-2}^2 + [[2]]) \\
&\quad \cdots (\psi_{n-k}^2 + [[2]])(h_{n-k-1}^2 + [[2]] + e_{n-k}^2 + [[2]]) + [[4]] \\
&= a_k^2 - (2^{\alpha_k/2})^2 \psi_{n-2}^2 \cdots \psi_{n-k}^2 (h_{n-k-1}^2 + e_{n-k}^2) \\
&\quad + [[2^{1+2(\alpha_k/2)}]] + [[4]] \\
&= a_k^2 - (2^{\alpha_k/2})^2 \psi_{n-2}^2 \cdots \psi_{n-k}^2 ((h_{n-k-1} + e_{n-k})^2 + [[2]]) + [[4]] \\
&= a_k^2 - (2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}))^2 + [[4]] \\
&= a_k^2 + (2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}))^2 \\
&\quad - 2(2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}))^2 + [[4]] \\
&= a_k^2 + (2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}))^2 + [[4]] \\
&= (a_k + 2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}))^2 \\
&\quad - 2(2^{\alpha_k/2}) a_k \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}) + [[4]] \\
&= (a_k + 2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}))^2 \\
&\quad - 2^{1+\alpha_k/2} (\psi_{n-1} + [[2^{1/2}]]) \psi_{n-2} \\
&\quad \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}) + [[4]] \\
&= (a_k + 2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}))^2 \\
&\quad - 2^{\alpha_{k+1}} \psi_{n-1} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}) \\
&\quad + [[2^{\alpha_{k+1}+1/2}]] + [[4]] \\
&= a_{k+1}^2 - 2^{\alpha_{k+1}} \psi_{n-1} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}) + [[4]],
\end{aligned}$$

where

$$a_{k+1} = a_k + 2^{\alpha_k/2} \psi_{n-2} \cdots \psi_{n-k} (h_{n-k-1} + e_{n-k}),$$

(so  $a_{k+1} = \psi_{n-1} + [[2^{1/2}]] + [[2^{\alpha_k/2}]] = \psi_{n-1} + [[2^{1/2}]]$ ).

*Proof of Case 2 (continued).* We now use the claim above to inductively reduce (13) until it yields

$$(14) \quad \phi_n = a^2 + 2^{\alpha_n} b(h_0 + e_1) + \delta,$$

for some  $a \in F$  with  $v(a) = 0$ , some  $b \in F$  with  $v(b) = 0$  and  $\bar{b} \in \overline{F^2}$ , and some  $\delta \in F$  with  $v(\delta) > \alpha_n$ . Since  $2^{\alpha_n/2} = 2^{1/2+1/2^2+\cdots+1/2^n} \in F_0$ , it will follow immediately from Lemma 2.2 that  $v$  extends uniquely from  $F$  to  $H_n$ , and  $\overline{H_n} = \overline{F}(\sqrt{h_0 + e_1}) = \overline{F}(\sqrt{h_0})$ .

If  $n = 2$ , then (13) is already in the desired form, since  $\overline{\psi_1} \in \overline{F^2}$ .

Otherwise, we write (13) as

$$\phi_n = S_2 + [[4]],$$

with  $a_2 = \psi_{n-1} + 2^{1/2}(h_{n-2} + e_{n-1})$ . By repeatedly applying the claim, we find

$$\phi_n = S_n + [[4]],$$

with  $S_n = a_n^2 - (2^{\alpha_n})\psi_{n-1} \cdots \psi_1(h_0 + e_1)$ , for some  $a_n \in F$  with  $a_n = \psi_{n-1} + [[2^{1/2}]]$ . By Lemma 2.8 (or by more direct means),  $\alpha_n < 2$  for all  $n \geq 3$ . Observing that the residues of  $\psi_{n-1}, \dots, \psi_1$  are all squares in  $\overline{F}$ , we find that  $\phi_n$  is now in the form (15), and we are done.  $\square$

**3. The Dec results.** Let  $F_0$  be a field of characteristic 0 containing all primitive  $p^i$ th roots of unity  $\omega_i$  ( $i = 1, 2, \dots$ ), chosen so that  $\omega_{i+1}^p = \omega_i$ . (We will write  $\omega$  for  $\omega_1$ .) If  $L \supseteq F_0$  is any field, and if  $a$  and  $b$  are in  $L^*$ , then, as in [D, Chapter 11],  $(a, b; p^n, L, \omega_n)$  will denote the algebra generated over  $L$  by two symbols  $\alpha$  and  $\beta$  subject to  $\alpha^{p^n} = a$ ,  $\beta^{p^n} = b$ , and  $\alpha\beta = \omega_n\alpha\beta$ , and will be referred to as a *symbol algebra*. Now let  $F = F_0(x_1, x_2, y)$  be the rational function field over  $F_0$  in the three indeterminates  $x_1, x_2$ , and  $y$ . For each  $n \geq 1$ , define

$$A_n = (x_1, x_1^{p^n} - y; p, F, \omega) \otimes_F (x_2, x_2^{p^n} - y; p, F, \omega).$$

LEMMA 3.1. *For each  $n \geq 1$ ,  $A_n$  has index  $p^2$  and exponent  $p$ . Further,*

$$A_n \sim \left( y, \frac{(x_1^{p^n} - y)(x_2^{p^n} - y)}{x_1^{p^n} x_2^{p^n}}; p^{n+1}, F, \omega_{n+1} \right).$$

*Proof.* This is very similar to the proof of Proposition 2 in [Se2], and we only sketch the proof. The factor  $(x_1, x_1^{p^n} - y; p, F, \omega)$  is NSR with respect to the  $x_1$ -adic valuation on  $F$ , with residue isomorphic to  $F_0(x_2, z)$ , where  $z = y^{1/p}$ . The factor  $(x_2, x_2^{p^n} - y; p, F_0(x_2, z), \omega)$  (i.e., defined over  $F_0(x_2, z)$ ) is NSR with respect to the  $x_2^{p^{n-1}} - z$  adic valuation (with residue isomorphic to  $F_0(x_2^{1/p})$ ). It follows from [JW, Theorem 5.15] that  $A_n$  has index  $p^2$ . It is clear that  $\exp(A_n) = p$ . As for the final statement of the lemma, standard symbol algebra identities (e.g., [D, Chapter 11, pages 77–82]) along with the assumption

about the roots of unity in  $F_0$  show that

$$\begin{aligned} A_n &\sim (x_1^{p^n}, x_1^{p^n} - y; p^{n+1}, F, \omega_{n+1}) \\ &\quad \otimes_F (x_2^{p^n}, x_2^{p^n} - y; p^{n+1}, F, \omega_{n+1}) \\ &\sim \left( -y, \frac{x_1^{p^n} - y}{x_1^{p^n}}; p^{n+1}, F, \omega_{n+1} \right) \\ &\quad \otimes_F \left( -y, \frac{x_2^{p^n} - y}{x_2^{p^n}}; p^{n+1}, F, \omega_{n+1} \right) \\ &\sim \left( y, \frac{(x_1^{p^n} - y)(x_2^{p^n} - y)}{x_1^{p^n} x_2^{p^n}}; p^{n+1}, F, \omega_{n+1} \right). \quad \square \end{aligned}$$

Now write  $\phi_n$  for  $(x_1^{p^n} - y)(x_2^{p^n} - y)$  (this notation will be seen to be consistent with that of §2), and write  $K_n$  for the field  $F(y^{1/p^n}, \phi_n^{1/p})$ . Then  $A_n \in \text{Br}(K_n/F)$ . Tignol ([T2, Theorem 1]) showed that when  $p$  is odd,  $A_1 \notin \text{Dec}(K_1/F)$ . We have

**THEOREM 3.2.** 1. For  $p$  odd and  $n \geq 1$ , or  $p = 2$  and  $n \geq 2$ ,  $A_n \notin \text{Dec}(K_n/F)$ .

2. More generally, for  $p$  odd,  $n \geq 1$ , and  $0 \leq l \leq n - 1$ , or  $p = 2$ ,  $n \geq 2$ , and  $0 \leq l \leq n - 2$ , let  $F_l = F(y^{1/p^l})$  (so  $F_l \subset K_n$ ). Then,  $A_n \otimes_F F_l \notin \text{Dec}(K_n/F_l)$ .

3. Further, let  $E$  be any finite extension of  $F$ , with  $p \nmid [E : F]$ . For  $p$  odd,  $n \geq 1$ , and  $0 \leq l \leq n - 1$ , or  $p = 2$ ,  $n \geq 2$ , and  $0 \leq l \leq n - 2$ , let  $E_l = E(y^{1/p^l})$  (so  $E_l \subset K_n \cdot E$ ). Then,  $A_n \otimes_F E_l \notin \text{Dec}(K_n \cdot E/E_l)$ .

*Proof of Theorem 3.2.* It is clearly sufficient to prove (3). Moreover, it is sufficient to prove (3) for the case  $l = n - 1$  (for  $p$  odd) and  $l = n - 2$  (for  $p = 2$ ). For, assume that for  $l < n - 1$  and  $p$  odd, or for  $l < n - 2$  and  $p = 2$ ,

$$A_n \otimes_F E_l \sim (y^{1/p^l}, b_1; p^{n-l}, E_l, \omega_{n-l}) \otimes_{E_l} (b_2, \phi_n; p, E_l, \omega),$$

for some  $b_1$  and  $b_2 \in E_l^*$ . Then, extending scalars to  $E_{n-1}$  (for  $p$  odd) and  $E_{n-2}$  (for  $p = 2$ ), we find by standard symbol algebra identities

$$A_n \otimes_F E_{n-1} \sim (y^{1/p^{n-1}}, b_1; p, E_{n-1}, \omega) \otimes_{E_{n-1}} (b_2, \phi_n; p, E_{n-1}, \omega)$$

for  $p$  odd, and

$$A_n \otimes_F E_{n-2} \sim (y^{1/p^{n-2}}, b_1; p^2, E_{n-2}, \omega_2) \otimes_{E_{n-2}} (b_2, \phi_n; p, E_{n-2}, \omega)$$

for  $p = 2$ . Thus, we find that for  $p$  odd and  $l < n - 1$ , if

$$A_n \otimes_F E_l \in \text{Dec}(K_n \cdot E/E_l)$$

then

$$A_n \otimes_F E_{n-1} \in \text{Dec}(K_n \cdot E/E_{n-1}),$$

and for  $p = 2$  and  $l < n - 2$ , if

$$A_n \otimes_F E_l \in \text{Dec}(K_n \cdot E/E_l)$$

then

$$A_n \otimes_F E_{n-2} \in \text{Dec}(K_n \cdot E/E_{n-2}).$$

We find it convenient at this point to divide the proof according to whether  $p$  is odd or even.

*Case 1 (p odd).* Assume that

$A_n \otimes_F E_{n-1} \sim (y^{1/p^{n-1}}, b_1; p, E_{n-1}, \omega) \otimes E_{n-1}(b_2, \phi_n; p, E_{n-1}, \omega)$ ,  
for some  $b_1$  and  $b_2 \in E_{n-1}^*$ . By Lemma 3.1 and standard symbol algebra identities,

$$A_n \otimes_F E_{n-1} \sim \left( y^{1/p^{n-1}}, \frac{\phi_n}{x_1^{p^n} x_2^{p^n}}; p^2, E_{n-1}, \omega_2 \right).$$

Put  $z = y^{1/p^n}$ . Then, extending scalars further to  $E_n = E(z)$ , and noting that  $x_1^{p^n}$  and  $x_2^{p^n}$  are  $p$ th powers, we find

$$(z, \phi_n; p, E_n, \omega) \sim (b, \phi_n; p, E_n, \omega),$$

where we have written  $b$  for  $b_2$ . Hence,

$$(z/b, \phi_n; p, E_n, \omega) \sim 1,$$

so

$$(15) \quad z/b = N(u)$$

for some  $u \in E_n((\phi_n)^{1/p})$ , where  $N$  denotes the norm from  $E_n((\phi_n)^{1/p})$  to  $E_n$ . We will prove that it is impossible to find  $b \in E_{n-1}$  and  $u \in E_n((\phi_n)^{1/p})$  such that (16) holds.

If  $\overline{F_0}$  denotes the algebraic closure of  $F_0$ , then  $\overline{F_0}(x_1, x_2, y)$  is normal over  $F_0(x_1, x_2, y)$ , so if  $E = F_0(x_1, x_2, y)(t)$  for some  $t \in E^*$ , then it is standard that the degree of the minimum polynomial of  $t$  over  $\overline{F_0}(x_1, x_2, y)$  divides the degree of the minimum polynomial of  $t$  over  $F_0(x_1, x_2, y)$ . Hence  $p \nmid [E \cdot \overline{F_0}(x_1, x_2, y) : \overline{F_0}(x_1, x_2, y)]$ . Thus, while showing that (15) cannot hold, we may assume that  $F_0$  is

algebraically closed. In particular, we may assume that  $F_0$  contains  $p^{1/p^i}$  for all  $i$  ( $i = 1, 2, \dots$ ), so we may apply the machinery of §2.

Now write  $\chi$  for  $h_0(x_1, x_2, z)$ , where  $h_0$  is as in §2. As with the polynomial  $h_0$ , we will abuse notation and continue to write  $\chi$  for the residue of  $h_0$  under appropriate  $p$ -adic valuations. Observe that over  $E_n$ ,  $\phi_n = (x_1^{p^n} - z^{p^n})(x_2^{p^n} - z^{p^n})$ , which, after renaming variables is indeed the same as the “ $\phi_n$ ” of §2.

We first need an easy lemma:

**LEMMA 3.3.** *Let  $p$  be a prime, and let  $(F, v)$  be a valued field. Let  $K$  be a finite dimensional separable extension of  $F$  such that  $p \nmid [K : F]$ . Then for some extension of  $v$  to  $K$ ,  $p \nmid [(\overline{K}) : \overline{F}]$ .*

*Proof.* Let  $v_i$  ( $1 \leq i \leq s$ ) be the extensions of  $v$  to  $K$ , and let  $(\overline{K})_i$  denote the residues of  $K$  with respect to the valuations  $v_i$ . Let  $F_h$  denote the henselization of  $F$  with respect to  $v$ , and let  $K_{i,h}$  denote the henselization of  $K$  with respect to  $v_i$  ( $1 \leq i \leq s$ ). Then (by [E, Theorem 17.17])  $[K : F] = \sum_{i=1}^s [K_{i,h} : F_h]$ , so if  $p \nmid [K : F]$ , then  $p \nmid [K_{i,h} : F_h]$  for some  $i$ . Now  $\overline{K_{i,h}} = (\overline{K})_i$  and  $\overline{F_h} = \overline{F}$ , so by Ostrowski’s theorem ([O, Satz 4], see also [E, Theorem 20.21]),  $[(\overline{K})_i : \overline{F}] \mid [K_{i,h} : F_h]$ . Hence, for this  $i$ ,  $p \nmid [(\overline{K})_i : \overline{F}]$ .

*Proof of Theorem 3.2 (continued).* Now let  $L = F_0(x_1, x_2, z)$  and let  $v$  be the standard extension of any  $p$ -adic valuation on  $F_0$  to  $L$  (so  $\overline{L} = \overline{F_0}(x_1, x_2, z)$ ). Let  $L_1 = F_0(x_1, x_2, z^p)$ , and let  $v_{L_1}$  denote the restriction of  $v$  to  $L_1$ . Choose an extension  $w$  of  $v_{L_1}$  to  $E_{n-1}$  such that  $p \nmid [\overline{E_{n-1}} : \overline{L_1}]$ . (Since  $[E_{n-1} : L_1] = [E : F]$ , the lemma above shows that such a choice is possible.) By Proposition 2.4  $v$  extends uniquely from  $L$  to  $L(\phi_n^{1/p})$ , with residue  $\overline{L}(\chi^{1/p})$ . Since  $p \nmid [\overline{E_{n-1}} : \overline{L_1}]$ , while  $[L(\phi_n^{1/p}) : L_1] = p^2$ , it follows easily that  $w$  extends uniquely from  $E_{n-1}$  to  $E_n(\phi_n^{1/p})$ , with residue  $\overline{E_n}(\chi^{1/p})$ .

Now, continue to write  $w$  for the (unique) extension of  $w$  to  $E_n(\phi_n^{1/p})$  and consider the relation (15). Since  $v(z) = 0$ , we get  $w(b) + w(N(u)) = 0$ . Since  $\Gamma_{E_{n-1}} = \Gamma_{E_n(\phi_n^{1/p})}$ , there is a  $c \in E_{n-1}$  such that  $w(c) = w(u)$ . Then,  $bN(u) = bc^p N(u/c)$ , and  $w(u/c) = 0$ ,  $w(bc^p) = w(b) + p \cdot w(u) = w(b) + w(N(u)) = 0$ , and of course,  $bc^p \in E_{n-1}$ . Hence, we may assume in (15) that  $w(b) = w(u) = 0$ .

Now let  $\sigma$  be a generator of  $\mathcal{G}(E_n(\phi_n^{1/p})/E_n)$ , so

$$N(u) = u \cdot \sigma(u) \cdots \sigma^{p-1}(u).$$

Hence,  $\overline{N(u)} = \overline{u} \cdot \overline{\sigma(u)} \cdots \overline{\sigma^{p-1}(u)}$ , where  $\overline{\sigma}$  is the induced automorphism of  $\overline{E_n(\chi^{1/p})}/\overline{E_n}$  (i.e.,  $\overline{\sigma(x)} = \overline{\sigma(x)}$  for all  $\overline{x} \in \overline{E_n(\chi^{1/p})}$ ). Since the extension  $\overline{E_n(\chi^{1/p})}/\overline{E_n}$  is purely inseparable,  $\overline{\sigma}$  is just the identity, so find  $\overline{N(u)} = \overline{u^p}$ . Thus, reducing the relation  $z = bN(u)$  modulo the maximal ideal of the valuation ring of  $w$ , we find  $z = \overline{b}\overline{u^p}$ , where  $\overline{b} \in \overline{E_{n-1}}$ , and  $\overline{u} \in \overline{E_n(\chi^{1/p})}$ . We will show that such a relation is impossible.

Let  $\overline{E_{n-1}} = \overline{L_1}(\theta)$ , so that  $1, \theta, \dots, \theta^{s-1}$  form a basis for  $\overline{E_{n-1}}/\overline{L_1}$ , with  $s = [E_{n-1} : L_1]$ . Since  $p \nmid s$ , it follows easily that  $\overline{E_{n-1}} = \overline{L_1}(\theta^p)$ , and  $1, \theta^p, \dots, \theta^{(s-1)p}$  also form a basis of  $\overline{E_{n-1}}/\overline{L_1}$ . Likewise,  $1, \theta, \dots, \theta^{s-1}$ , as well as  $1, \theta^p, \dots, \theta^{(s-1)p}$ , are both bases of  $\overline{E_n(\chi^{1/p})}/\overline{L}(\chi^{1/p})$ . Now let

$$1/\overline{b} = b_0 + b_1\theta^p + \cdots + b_{s-1}\theta^{(s-1)p},$$

where the  $b_i \in \overline{L_1}$  ( $i = 0, 1, \dots, s-1$ ). Similarly, let

$$\overline{u} = u_0 + u_1\theta + \cdots + u_{s-1}\theta^{s-1},$$

where the  $u_i \in \overline{L}(\chi^{1/p})$  ( $i = 0, 1, \dots, s-1$ ). Substituting the expressions above for  $1/\overline{b}$  and  $\overline{u}$  in  $z/\overline{b} = \overline{u^p}$  and comparing like terms, we find

$$(16) \quad zb_0 = u_0^p,$$

where of course,  $b_0 \in \overline{L_1}$  and  $u_0 \in \overline{L}(\chi^{1/p})$ . The impossibility of (16) above is just the impossibility of [T2, (23)], and follows immediately from the proof given there. However, for the sake of completeness, we will reprove this result here. Our proof will be different from that in [T2]; instead, it will be similar in spirit to the proof below of a corresponding result for  $p = 2$ .

Write  $c$  for  $1/b_0$  and  $u$  for  $u_0$ , so we need to show that there do not exist  $c \in \overline{L_1}$  ( $= \overline{F_0}(x_1, x_2, z^p)$ ) and  $u \in \overline{L}(\chi^{1/p})$  ( $= \overline{F_0}(x_1, x_2, z)(\chi^{1/p})$ ) such that  $z/c = u^p$ . By considering the  $z$ -adic valuation on  $\overline{L_1}$ , it is easy to see that for any  $c \in \overline{L_1}^*$   $z/c \notin \overline{L}^{*p}$ . Now assume that  $z/c = u^p$  for some  $c \in \overline{L_1}^*$  and some  $u \in \overline{L}(\chi^{1/p})$ . Then  $\overline{L}((z/c)^{1/p}) \subset \overline{L}(\chi^{1/p})$ , so we find  $\overline{L}((z/c)^{1/p}) = \overline{L}(\chi^{1/p})$ . Thus, there exist  $f_i \in \overline{L}^p$  ( $i = 0, 1, \dots, p-1$ ) such that

$$(17) \quad \chi (= h_0(x_1, x_2, z)) = \sum_{i=1}^{p-1} f_i(z/c)^i.$$

Since  $1, z, \dots, z^{p-1}$  form a basis for  $L/\overline{L}_1$ , we may write

$$h_0(x_1, x_2, z) = \sum_{i=0}^{p-1} e_i z^i \quad \text{for } e_i \in \overline{L}_1,$$

where the values of the  $e_i$  may be derived from the definition of  $h_0$  in (6). Then, (17) takes the form

$$(18) \quad c^{p-1} \left( \sum_{i=0}^{p-1} e_i z^i \right) = \sum_{i=0}^{p-1} c^{p-1-i} f_i z^i.$$

Now  $c \in \overline{L}_1$ , and  $\overline{L}^p \subset \overline{L}_1$ . Hence, comparing the coefficients of  $z^i$  in (18), we find  $c^i e_i = f_i$  ( $i = 0, 1, \dots, p-1$ ). In particular, we find  $e_1 e_{p-1} = f_1 f_{p-1} / c^p$ . Since  $f_1, f_{p-1}$ , and  $c^p \in \overline{L}^p$ , this shows  $e_1 e_{p-1} \in \overline{L}^p$ . Now from (6), it is easy to see that

$$\begin{aligned} e_1 &= -[(x_1^p - z^p)x_2^{p-1} + (x_2^p - z^p)x_1^{p-1}], \\ e_{p-1} &= [(x_1^p - z^p)x_2 + (x_2^p - z^p)x_1]. \end{aligned}$$

Multiplying out, we find  $x_2 x_2^{p-1} + x_2 x_1^{p-1} \in \overline{L}^p = \overline{F}_0^p(x_1^p, x_2^p, z^p)$ . Since  $p > 2$  (so  $x_1 x_2^{p-1} + x_2 x_1^{p-1} \neq 0$ ), this is clearly impossible.

Case 2 ( $p = 2$ ). Assume that

$$\begin{aligned} A_n \otimes_F E_{n-2} &\sim (y^{1/2^{n-2}}, b_1; 2^2, E_{n-2}, \omega_2) \\ &\otimes E_{n-2}(b_2, \phi_n; 2, E_{n-2}, -1), \end{aligned}$$

for some  $b_1$  and  $b_2 \in E_{n-2}^*$ . Then, letting  $z = y^{1/2^n}$  and  $E_n = E(z)$ , we find, exactly as in the  $p$  odd case, that  $z/b = N(u)$  for some  $b \in E_{n-2}^*$  and  $u \in E_n(\sqrt{\phi_n})$ , where  $N$  denotes the norm from  $E_n(\sqrt{\phi_n})$  to  $E_n$ . Letting  $\chi = h_0(x_1, x_2, z)$ , assuming  $F_0$  is algebraically closed, and considering the standard extension of any 2-adic valuation on  $F_0$  to  $F_0(x_1, x_2, \dots, z)$ , we find, just as in the  $p$  odd case that for some  $b_0 \in \overline{F}_0(x_1, x_2, z^4)$  and  $u_0 \in \overline{F}_0(x_1, x_2, z)(\sqrt{\chi})$ ,

$$(19) \quad z b_0 = u_0^2.$$

We will show that (19) is impossible.

Write  $L$  for the field  $\overline{F}_0(x_1, x_2, z)$ ,  $L_1$  for the field  $\overline{F}_0(x_1, x_2, z^2)$ , and  $L_2$  for the field  $\overline{F}_0(x_1, x_2, z^4)$ . Assume that (19) holds for some  $b_0 \in L_2$  and  $u_0 \in L(\sqrt{\chi})$ . By considering the  $z$ -adic valuation on  $L$  and noting that  $b_0 \in L_2$ , it is easy to see that  $z b_0 \notin L^2$ . Hence,

$zb_0 = u_0^2$ , then  $L(\sqrt{\chi}) = L(\sqrt{zb_0})$ . From this, as well as the definition of  $h_0$  in (7), it follows that

$$z((x_1^2 + z^2)x_2 + (x_2^2 + z^2)x_1) = f_0^2 + f_1^2 z b_0,$$

for some  $f_0$  and  $f_1 \in L$ . Since 1 and  $z$  form a basis for  $L$  as an  $L_1$  vector space, and since  $f_0^2, f_1^2, (x_1^2 + z^2)x_2 + (x_2^2 + z^2)x_1$ , and  $b_0$  are all in  $L_1$ , we find

$$(x_1^2 + z^2)x_2 + (x_2^2 + z^2)x_1 = f_1^2 b_0.$$

We write this as

$$(20) \quad \frac{x_1^2 x_2 + x_2^2 x_1}{b_0} + \frac{z^2(x_2 + x_1)}{b_0} = f_1^2.$$

Now  $f_1^2 \in L^2 = L_1^2(z^2)$ . Thus  $f_1^2 = g_0^2 + g_1^2 z^2$  for some  $g_0$  and  $g_1 \in L_1$ . Substituting this in (20), we find

$$(21) \quad \frac{x_1^2 x_2 + x_2^2 x_1}{b_0} + \frac{z^2(x_2 + x_1)}{b_0} = g_0^2 + g_1^2 z^2.$$

Now  $x_1^2 x_2 + x_2^2 x_1, x_2 + x_1$ , and  $b_0$  (note!) are all in  $L_2$ . Moreover,  $L_1^2 \subset L_2$ . Since 1 and  $z^2$  form a basis of  $L_1$  as an  $L_2$  vector space, we find on viewing (21) as an equation in  $L_1$  that

$$\frac{x_1^2 x_2 + x_2^2 x_1}{b_0} = g_0^2,$$

and

$$\frac{x_2 + x_1}{b_0} = g_1^2.$$

Dividing, we find  $x_1 x_2 = (g_0/g_1)^2$  for some  $g_0$  and  $g_1 \in L_1$ . But  $x_1 x_2$  is clearly not a square in  $L_1$ , and we are done.  $\square$

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