

98. Positive Linear Functionals on Self-Adjoint B-Algebras

By Shin-ichi MATSUSHITA

Mathematical Institute, Osaka City University

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1. A self-adjoint Banach (B -) algebra (or abbrev. B_* -algebra) A is a B -algebra over the complex scalar field K which admits such a^* -operation as is a conjugate linear, involutory, anti-automorphism of A , i. e. $(\alpha a + b)^* = \bar{\alpha} a^* + b^*$, $a^{**} = a^*$, and $(ab)^* = b^* a^*$ for $a, b \in A$, $\alpha \in K$.

If a B -algebra A has an approximate identity $\{e^\lambda\}$, $ae^\lambda \rightarrow a$ and $e^\lambda a \rightarrow a$ (strongly), we call A *semi-unitary*, and if A has identity e (of norm 1), *unitary*.

The collection of all hermitian elements, $a^* = a$, of A is denoted by $H(A)$ and called the *hermitian kernel* of A ; $H(A)$ forms a normed sub-space of A , and if A is commutative, a sub- B -algebra which is necessarily real.

A B_* -algebra possessing an additional condition $\|a^* a\| = \|a\| \cdot \|a^*\|$ is a B^* -algebra in the sense of R.V. Kadison¹⁾.

A commutative real B -algebra is always regarded as a B_* algebra, over the reals, with $A = H(A)$.

2. For a real commutative unitary B -algebra, i.e. unitary A with $A = H(A)$, the following assertion is a well-known fact:

The set Π of real linear functionals on A which is non-negative on squares and 1 on e is a w^ -compact,²⁾ convex set.*

If Π is non-void, each of its extreme points is a multiplicative linear functional, so that $f^{-1}(0)$ is a maximal ideal of A for every extreme f of Π .³⁾

In this note, we intend to pursue the relations between extreme points of Π and maximal ideals in the case of non-commutative B_* -algebras A_* .

We begin with some notations:

$\Gamma(\cdot)$ = the dual space of a normed vector space (\cdot) .

$\mathcal{E}(A_*)$ = the sub-space of $\Gamma(A_*)$, over the reals, whose elements satisfy $f(a^*) = \overline{f(a)}$.

$\hat{\Pi}(A_*)$ = the convex subset of $\mathcal{E}(A_*)$, such that $f(a^* a) \geq 0$.

$\Phi(A_*)$ = the set of all multiplicative linear functionals on A_* ;

1) A representation theory for commutative topological algebra, *Memoirs of Amer. Math. Soc.*, **7** (1951).

2) With respect to the weak topology as functionals.

3) R.V. Kadison, *loc. cit.*, pp. 23-24.

$\widehat{\Phi}(A_*) = \Phi(A_*) \cap \mathcal{E}(A_*)$, which is clearly $\subset \widehat{\Pi}(A_*)$.

Proposition 1. For any $f \in \Gamma(A_*)$, the set $'\mathfrak{S}_f$, \mathfrak{S}'_f , or \mathfrak{S}_f ,

$$' \mathfrak{S}_f = \{a; f(xa) = 0 \text{ for every } x \text{ of } A_*\},$$

$$\mathfrak{S}'_f = \{a; f(ax) = 0 \text{ for every } x \text{ of } A_*\},$$

$$\mathfrak{S}_f = \{a; f(xay) = 0 \text{ for every } x, y \text{ of } A_*\},$$

forms a closed left, right, or two-sided ideal of A_* respectively; if A_* is semi-unitary, $f(a) = 0$ for $a \in ' \mathfrak{S}_f$, \mathfrak{S}'_f , or \mathfrak{S}_f .

Proposition 2. For any $f \in \widehat{\Pi}(A_*)$, the quotient B-space $A_*/'\mathfrak{S}_f$ (or A_*/\mathfrak{S}'_f) forms a pre-Hilbert space with the inner product

$$(2.1) \quad (X_a, X_b)_f = f(b^*a) \quad (\text{or } = f(ab^*)),$$

where X_a is a residue class containig a ; the completion of $A_*/'\mathfrak{S}_f$ with respect to the norm $\|X_a\| = (X_a, X_a)^{1/2}$ is a Hilbert algebra.

The Hilbert space, completed from $A_*/'\mathfrak{S}_f$ (or A_*/\mathfrak{S}'_f), is denoted by $'\mathfrak{H}_f$ (or resp. \mathfrak{H}'_f).

Proposition 3. We have $\Phi(A_*) = \widehat{\Phi}(A_*)$, and for any $\varphi \in \Phi(A_*)$, the set $\mathfrak{S}_\varphi = \varphi^{-1}(0)$ forms a maximal two-sided regular ideal such that $A_*/\mathfrak{S}_\varphi \cong K$.

For the proof of Prop. 2, generalized Cauchy-Schwarz's lemma, $|f(ab^*)|^2 = |f(ba^*)|^2 \leq f(aa^*) f(bb^*)$, is usefull.

3. Next, we shall define two manners of product in $H(A_*)$;
1) Jordan product

$$(3.1) \quad a \circ b = \frac{1}{2} (ab + ba),$$

which is always commutative, distributive, but non-associative, and $a \circ a = a^2$.

2) Special Poisson's product

$$(3.2) \quad [a, b] = \frac{1}{2i} (ab - ba),$$

which is skew-symmetric, distributive, and satisfies the Jacobi's equality. The set of all $[a, b]$, $a, b \in H(A_*)$ is denoted by $W(A_*)$, which is contained in $H(A_*)$.

If A_* is commutative, $a \circ b = ab = ba$ and $W(A_*) = (0)$.

$\widetilde{\Pi}(H(A_*)) =$ the convex subset of $\Gamma(H(A_*))$ consisting of all such functionals;

$$(3.3) \quad 2|f([a, b])| \leq f(a^2) + f(b^2), \quad a, b \in H(A_*).$$

$\widetilde{\Phi}(H(A_*)) =$ all of multiplicative linear functionals on $H(A_*)$ with respect to the product (3.1), vanishing on $W(A_*)$.

Theorem 1. $\mathcal{E}(A_*) \cong \Gamma(H(A_*))$, $\widehat{\Pi}(A_*) \cong \widetilde{\Pi}(H(A_*))$ and $\Phi(A_*) \cong \widetilde{\Phi}(H(A_*))$, where the sign " \cong " means a topological isomorphism in which the restriction on $H(A_*)$ of each element in the left coincides with the corresponding one in the right.

In virtue of this Theorem, the Krein-Milman's "extreme points" theorem is also valid for a bounded, regularly convex set

in $\mathcal{E}(A_*)$ even in the case of complex algebra; denoting the unit sphere of $\Gamma(A_*)$ (or $\Gamma(H(A_*))$) by E (or resp. E_0), $E \cap \mathcal{E}$ is w^* -compact, which is a modified formula of Kakutani-Dieudonné's theorem.

4. Now, $\tilde{\Pi}(H(A_*))$ is w^* -closed in $\Gamma(H(A_*))$, so that $\tilde{E}_0 = E_0 \cap \tilde{\Pi}(H(A_*))$ is w^* -compact and regularly convex, then it has the set $S(\tilde{E}_0)$ of extreme points whose convex hull is dense in \tilde{E}_0 ; if ${}^1\mathfrak{S}_r = {}^1\mathfrak{S}_s$, we write $\hat{f} \sim \hat{g}$, calling them *equivalent*⁴⁾ $\hat{f} \sim \hat{g}$ yields $\hat{f} \sim (\alpha \hat{f} + \beta \hat{g})$, for $\alpha, \beta \geq 0$ with $\alpha + \beta = 1$.

Definition. If $\hat{f} \in \tilde{E}_0$ is equivalent to no linear convex combination of \hat{g} and \hat{h} , each of which is in E_0 and not equivalent to \hat{f} , then \hat{f} is said to be *weakly extreme (w. extr.)* in \tilde{E}_0 .

From the definition, it follows immediately:

i) If \hat{f} is *w. extr.* in \tilde{E}_0 and if $\hat{f} \sim \hat{g}$, then \hat{g} is also *w. extr.* in \tilde{E}_0 ,

ii) ${}^1\mathfrak{S}_r \cap {}^1\mathfrak{S}_s \subset {}^1\mathfrak{S}_{\alpha r + \beta s}$ for $\alpha, \beta \geq 0, \alpha + \beta = 1$.

It is not sure whether an extreme point of \tilde{E}_0 is *w. extr.* or not in general cases. But we can settle an important result:

Theorem 2. For a semi-unitary B_* -algebra, a necessary and sufficient condition that ${}^1\mathfrak{S}_r$ would be maximal is that \hat{f} is *w. extr.* in \tilde{E}_0 for $\|f\| \leq 1$.

To prove this, we make use of the Hilbert space completed from A_*/\mathfrak{S}_r and orthogonal decomposition in it.

The above assertions are also valid for \mathfrak{S}'_r or \mathfrak{S}_r at all. We shall define another notion;

Definition. If i) ${}^1\mathfrak{S}_r, \mathfrak{S}'_r$, or \mathfrak{S}_r is a regular ideal and ii) $f(j) = 1$ for an identity j modulo the corresponding ideal, then f (or \hat{f}) is called *left, right, or two-sided regular*; but we need essentially only two regularities of f , *one-sided and two-sided*, since if f is left regular having an identity j modulo ${}^1\mathfrak{S}_r$, then f is also right regular, having an identity j^* modulo $\mathfrak{S}'_r = ({}^1\mathfrak{S}_r)^*$.

The set intersection of \tilde{E}_0 and of all one-sided (two-sided) regular functionals is denoted by \hat{E}_0 (resp. $\hat{\hat{E}}_0$), which is evidently convex and w^* -closed.

If A_* is unitary, it holds $\hat{E}_0 = \hat{\hat{E}}_0$, each of whose element is called a "state" in the case of C^* -algebra.⁵⁾

Theorem 3. For \hat{f} in \hat{E}_0 (or $\hat{\hat{E}}_0$), if ${}^1\mathfrak{S}_r$ (resp. \mathfrak{S}_r) is not maximal, then there exists a segment in \hat{E}_0 (resp. $\hat{\hat{E}}_0$) just in which \hat{f} is an inner point.

4) \hat{f} is a corresponding element of $\tilde{\Pi}(H(A))$ to f of $\hat{\Pi}(A_*)$ with respect to the isomorphism in Thr. 1; $f = \hat{f}$ on $H(A_*)$.

5) See, I. E. Segal, Two-sided ideals in operator algebras, *Ann. Math.*, **50** (1949).

Corollary 3. 1. For an extreme \hat{f} in \hat{E}_0 (or $\hat{\hat{E}}_0$), each of \mathfrak{S} , and \mathfrak{S}' (or resp. \mathfrak{S}') is maximal and regular.

By means of this Corollary and Thr. 2, we have

Corollary 3. 2. Every extreme point of \hat{E}_0 (or, if the algebra is unitary, of \tilde{E}_0) is *w. extr.* in it.

Theorem 4. Every non-null φ in $\Phi(A_*)$ (i.e. multiplicative) is an extreme point of \hat{E}_0 and of $\hat{\hat{E}}_0$.

In Thr. 3~Thr. 4, A_* is assumed to be semi-unitary.

Theorem 5. If A_* is commutative and unitary, it holds

$$\text{extr. } \hat{E}_0 = \text{extr. } \hat{\hat{E}}_0 = S(\tilde{E}_0) = \Phi^0(A_*),$$

where Φ^0 means the collection of non-zero elements of Φ .

5. Assume that A_* is the group-algebra on a LC group G , then $\tilde{E}_0 = \hat{E}_0$ and \tilde{E}_0 is one-to-one corresponding to the collection of all continuous positive definite (c.p.d.) function on G with norms less than 1, by the relation

$$f(a) = \int_G \overline{\xi(x)} a(x) dx, \quad \text{for } a \in A_*, f \in E_0,$$

and $\xi(\cdot)$ is c.p.d. on G with $\|\xi\| = \sup_{x \in G} |\xi(x)| \leq 1$.

In the case, every extreme \hat{f}_0 corresponds to an elementary c.p.d. function and all *w. extr.* points f consists of a segment combining each \hat{f}_0 and 0, i.e. $\hat{f} = \lambda \hat{f}_0$ for $0 < \lambda \leq 1$.⁶⁾

6) See, for example, R. Godement, Les fonctions de type positif et la théorie des groupes, Trans. Amer. Math. Soc., 63 (1948).