35. Prolongation of the Homeomorphic Mapping

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1. Mr. G. Choquet enunciated the following theorem¹⁾ "any homeomorphism between two closed bounded subsets of 2-dimensional Euclidean spaces contained in a 3-dimensional Euclidean space can be extended to a homeomorphism of 3-dimensional Euclidean space onto itself".

In this paper we shall give a solution of an analogous theorem in the case of two dimensions i.e. "any homeomorphism between two closed bounded subsets of 1-dimensional Euclidean spaces contained in a 2-dimensional Euclidean space can be extended to a homeomorphism of 2-dimensional Euclidean space onto itself".

Let E^i , L^i , F^i (i=1, 2) and $\xi = f(x)$ be respectively the 2-dimensional Euclidean spaces (which are supposed hereafter to be two planes of complex numbers), the real axes of E^i , a closed bounded subsets of L^i , and a given homeomorphism between F^1 and F^2 .

Now, we know that a homeomorphism between two Jordan arcs in a Euclidean plane can be extended to that of the whole plane by using the correspondences between the boundaries in conformal mappings²⁾ and the correspondence between the corresponding radii of two unit circles. Therefore, in order to prove the theorem, it is sufficient to show that we can construct a Jordan arc J which has the following properties: J is homeomorphic to the closed interval [a, b], where a and b are the two end-points of F^1 , and this homeomorphism between J and [a, b] is an extension of the given homeomorphism $\xi = f(x)$.

2. In the first place, we assume that F^i is totally disconnected.

Since the derived set $(F^{1})'$ is closed, $L^{1}-(F^{1})'$ is open in L^{1} , therefore $L^{1}-(F^{1})'$ is a sum set of an at most enumerable number of disjoint open intervals. As the number of those intervals, whose lengths are longer than a positive number $\rho > 0$, is finite, we can enumerate all of the bounded disjoint open intervals in the order of their lengths. We denote them by

 $(x_{n,1}^*, x_{n,2}^*), \quad x_{n,1}^*(\in (F^1)') < x_{n,2}^*(\in (F^1)'), \quad x_{n,2}^* - x_{n,1}^* \ge x_{n+1,2}^* - x_{n+1,1}^*$ (where $x_{n,2}^* > x_{n+1,2}^*$ in the case of equality), $n=1, 2, 3, \ldots$. Since $(x_{n,1}^*, x_{n,2}^*) \cap F^1$ can have only isolated points³⁾ of F^1 , the order type

¹⁾ Comptes Rendus de l'Académie des Sciences de Paris, 219, 542 (1944).

²⁾ Cf. Hurwitz-Courant: Funktionentheorie, 400-405 (1929).

³⁾ Inversely, it is clear that any isolated point F^1 belongs to one of the sets $(x_{n,1}^*, x_{n,2}^*) \cap F^1$, $n=1, 2, 3, \ldots$

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 η of the points of that set must be equal to m_n (finite ≥ 0), ω , ω^* , or $\omega^* + \omega$. We shall denote all of the points of $[x_{n,1}^*, x_{n,2}^*] \cap F^1$ by

$$egin{aligned} &x_{n,1} = x_{n,1}^* < x_{n,2} < x_{n,3} < \cdots < x_{n,m_n+2} = x_{n,2}^*, \ &x_{n,1} = x_{n,1}^* < x_{n,2} < x_{n,3} < \cdots < x_{n,m} < \cdots
ightarrow x_{n,2}^*, \ &x_{n,1} = x_{n,2}^* > x_{n,2} > x_{n,3} > \cdots > x_{n,m} > \cdots
ightarrow x_{n,1}^*, \end{aligned}$$

when the type η is finite (including 0), ω or ω^* respectively. In the case of $\omega^* + \omega$ type, the set $f([x_{n,1}^*, \frac{1}{2}(x_{n,1}^* + x_{n,2}^*)] \cap F^{1})^{(4)}$ is closed in L^2 and so it has two end-points, for $[x_{n,1}^*, \frac{1}{2}(x_{n,1}^* + x_{n,2}^*)] \cap F^1$ is closed in L^1 . Therefore at least one of these two end-points are different from $\xi_{n,1}^* = f(x_{n,1}^*)$, and we shall denote it by $\xi_{n,1}^{(1)}(\neq \xi_{n,1}^*)$. We write $x_{n,1}^{(1)} = f^{-1}(\xi_{n,1}^{(1)})$ and denote all of the points of $[x_{n,1}^*, x_{n,2}^*] \cap F^1$ by $x_{n,1}^* \leftarrow \cdots < x_{n,m}^{(1)} < \cdots < x_{n,2}^{(1)} < x_{n,1}^{(2)} < \cdots < x_{n,m}^{(2)} < \cdots < x_{n,2}^{(2)}$.

Before we construct the Jordan arc J having the desired properties, we shall define some definitions of deformations of a family of arcs and give a lemma.

(1°) Deformation $\mathfrak{D}(\xi, \mathbf{I})$: Let ξ be an isolated point of F^2 and let $\gamma_{\nu} = \widehat{\xi_{2\nu-1}} \xi_{2\nu}, \nu = 1, 2, \ldots, m$, be a finite family of upper semi-circular arcs⁵⁾ which jump over the point ξ (i.e. $\xi_{2\nu-1} < \xi < \xi_{2\nu}$) and have following properties:

(i) $\xi_{2\nu-1}$, $\xi_{2\nu} \in L^2$, but ξ_{λ} does not necessarily belong to F^2 ,

(ii) $\xi_1 \leq \xi_3 \leq \cdots \leq \xi_{2m-1} < \xi < \xi_{2m} \leq \xi_{2m-2} \leq \cdots \leq \xi_2$,

(iii) any two of γ_{ν} do not cross each other i.e. the intersecting point must be one of their end-points,

(iv) and three of them have no common point.

Then, there exists a neighbourhood $V_{\rho(\xi)}(\xi)$ such as $V_{\rho(\xi)}(\xi) \cap F^2{\{\xi\}} = {\{\xi\}}$, where $\rho(\xi)$ is a positive number and $\{\xi\}$ denotes a set containing only one point ξ . We shall consider a tunnel $T(\xi)$ in the lower half plane, by drawing two semi-circular arcs from $\xi - \frac{k}{3}\rho(\xi)$ to $\xi + \frac{k}{3}\rho(\xi)$ in the lower half plane, k=1, 2. Draw *m* concentric semi-circular arcs $\zeta_{2\nu-1}\zeta_{2\nu}$ in the inside of the tunnel $T(\xi)$, where $\zeta_{2\nu-1}, \zeta_{2\nu} \in L^2 - F^2$, $\nu=1, 2, \ldots, m$, and

$$\begin{split} &\xi - \frac{2}{3}\rho(\xi) < \zeta_{2m-1} < \zeta_{2m-3} < \cdots < \zeta_1 < \xi - \frac{1}{3}\rho(\xi), \\ &\zeta_{2j-3} - \zeta_{2j-1} = \xi - \frac{1}{2}\rho(\xi) - \zeta_1 = \zeta_{2m-1} - \left(\xi - \frac{2}{3}\rho(\xi)\right), \ j = 1, 2, \dots, m, \\ &\xi + \frac{1}{3}\rho(\xi) < \zeta_2 < \zeta_4 < \cdots < \zeta_{2m} < \xi + \frac{2}{3}\rho(\xi), \\ &\zeta_{2j} - \zeta_{2(j-1)} = \zeta_2 - \left(\xi + \frac{1}{3}\rho(\xi)\right) = \xi + \frac{2}{3}\rho(\xi) - \zeta_{2m}, \ j = 1, 2, \dots, m. \end{split}$$

4) We considered this set in order to avoid Zermelo's axiom. As the point $\xi_{n,1}^{(1)}$, in general, we can adopt the end-point of $f([x_{n,1}^*, x_{n,2}^*] \cap (F^1 - V_p(x_{n,2}^*)))$, where $V_p(x_{n,2}^*)$ is a ρ -neighbourhood of the point $x_{n,2}^*$.

or

⁵⁾ This means semi-circular arc drawn in the upper half plane.

Join the points ξ_{λ} and ζ_{λ} by the upper semi-circular arcs, $\lambda = 1, 2, 3, \ldots, 2m$. And we shall denote *m* arcs thus obtained by the same symbols $\gamma_{\nu}, \nu = 1, 2, \ldots, m$, for simplification.

We shall denote this deformation of a family of arcs $\gamma_1, \gamma_2, \ldots, \gamma_m$ by $\mathfrak{D}(\xi, I)$. Then,

(2,1) any deformed γ_{ν} has the same length and the same endpoints of the old γ_{ν} . And the family of deformed $\gamma_1, \gamma_2, \ldots, \gamma_m$ has also the above properties (iii) and (iv).

(2°) Deformation $\mathfrak{D}(\xi^*, \operatorname{II})$: Let ξ^* be a point of $(F^2)'$, and let $\gamma_{\nu} = \widehat{\xi_{2\nu-1}} \xi_{2\nu}, \nu = 1, 2, \ldots$, be a family of upper semi-circular arcs jumping over the point ξ^* (i.e. $\xi_{2\nu-1} < \xi^* < \xi_{2\nu}$) and having the properties (i), (iii), (iv), and

(ii') $\xi_1 \leq \xi_3 \leq \cdots \leq \xi_{2\nu-1} \leq \cdots \rightarrow \xi^* \leftarrow \cdots \leq \xi_{2\nu} \leq \cdots \leq \xi_4 \leq \xi_2$. By virtue of (iv), the equalities in (ii') never succeed. Therefore, if $\xi_1 = \xi_3$ then $\xi_3 < \xi_5$, and so there exist two points $\zeta_{(1,1)}$, $\zeta_{(1,2)} \in L^2 - F^2$ such as $\xi_3 < \zeta_{(1,1)} < \zeta_{(1,2)} < \xi_5$,⁶⁾ and in this case it must be $\xi_2 > \xi_4$ and so there exists a point $\zeta_{(2,1)} \in L^2 - F^2$ such as $\xi_2 > \zeta_{(2,1)} > \xi_4$.⁷⁾ If $\xi_1 < \xi_3$, then there exists a point $\zeta_{(1,1)} \in L^2 - F^2$ such as $\xi_1 < \zeta_{(1,1)} < \xi_3$; and in this case there exists a point $\zeta_{(2,1)} \in L^2 - F^2$ such as $\xi_2 > \zeta_{(2,1)} > \xi_4$, or two points $\zeta_{(2,1)}$, $\zeta_{(2,2)}$ such as $\xi_4 > \zeta_{(2,1)} > \zeta_{(2,2)} > \xi_6$ provided $\xi_2 > \xi_4$ or $\xi_2 = \xi_4 > \xi_6$ respectively, and so on. Thus we have two sequences of points as follows:

$$\begin{split} & \zeta_{(1,1)} < \zeta_{(1,2)} < \cdots < \zeta_{(1,\nu)} < \cdots \rightarrow \xi^*; \ \zeta_{(2,1)} > \zeta_{(2,2)} > \cdots > \zeta_{(2,\nu)} > \cdots \rightarrow \xi^*, \\ & \text{where the numbers of } \zeta_{(1,\lambda)} \text{ such as } \zeta_{(1,\lambda)} < \xi_{2\nu+1} \text{ or } \zeta_{(2,\nu)} > \xi_{2\nu+2} \text{ is } \\ & \text{equal to } \nu, \text{ for any index } \nu \text{ such as } \xi_{2\nu-1} < \xi_{2\nu+1} \text{ or } \xi_{2\nu+2} < \xi_{2\nu} \text{ respectively.} \\ & \text{Since } L^2 - F^2 \text{ is open in } L^2, \text{ there exist disjoint neighbourhoods } V_{\rho(k,\nu)}(\zeta_{(k,\nu)}) \subset L^2 - F^2, \ \rho(k,\nu) > 0; \ k=1,2; \ \nu=1,2,3,\ldots \quad \text{Put into } \rho(\nu) = \min\left(\frac{1}{2}\rho(1,\nu), \ \frac{1}{2}\rho(2,\nu)\right), \text{ and consider the tunnels } T(\xi^*,\nu), \\ & \nu=1,2,\ldots, \text{ by drawing semi-circular arcs in the lower half plane from } \zeta_{(1,\nu)} - \rho(\nu), \ \zeta_{(1,\nu)} + \rho(\nu) \text{ to } \zeta_{(2,\nu)} + \rho(\nu), \ \zeta_{(2,\nu)} - \rho(\nu) \text{ respectively.} \\ & \text{Deform the arc } \gamma_\nu \text{ with respect to the tunnel } T(\xi^*,\nu) \text{ having the same index, similarily in the case of } (1^\circ). \\ & \text{And we shall denote the deformed arcs by the same symbols } \gamma_\nu \text{ for simplification, } \nu=1,2,\ldots . \end{split}$$

We shall denote this deformation of a family of arcs $\gamma_1, \gamma_2, \ldots$ by $\mathfrak{D}(\boldsymbol{\xi}^*, \mathrm{II})$. Then,

6) In order to avoid Zermelo's axiom, we consider the most right open interval $(\xi_{(3)}, \xi_{(5)})$ among the longest open intervals (it is clear that the number of them is finite), which are the components of $[\xi_3, \xi_5] - F^2$, and put into $\zeta_{(1,k)} = \xi_{(3)} + \frac{k}{3}(\xi_{(5)} - \xi_{(3)}), k=1, 2$. Those that follow are the same.

7) We consider the most right open interval $(\xi_{(4)}, \xi_{(2)})$ among the longest open intervals $\leq [\xi_4, \xi_2] - F^2$, and put into $\zeta_{(2,1)} = \frac{1}{2}(\xi_{(4)} + \xi_{(2)})$. Those that follow are the same.

(2,2) any deformed γ_{ν} has the same length and the same endpoints of the old γ_{ν} . And the family of deformed $\gamma_1, \gamma_2, \ldots$ has also the above properties (iii) and (iv).

Lemma. Let (α, β) any open interval, where $\alpha < \beta$, the numbers of pairs of points ξ , $\hat{\xi}$ of F^2 such as $\xi < \alpha < \beta < \hat{\xi}$ or $\hat{\xi} < \alpha < \beta < \xi$, where $\hat{x}=f^{-1}(\hat{\xi})$ is the next right point of $x=f^{-1}(\xi)$ in F^1 , is finite.

(Proof). Assume that there exists an infinite number of pairs $\hat{\xi}$, $\hat{\xi}$ having above properties, then there exists at least an accumulated point x^* of the set of the above points x_s or that of the set of the above points \hat{x}_s , for F^1 is bounded. And so, in the first case for example, there exists a sequence $x^{(1)}, x^{(2)}, \ldots, x^{(n)}, \cdots \to x^*$. Since the open intervals $(x^{(n)}, \hat{x}^{(n)})$, $n=1,2,\ldots$, are disjoint, the lengths of the intervals $(x^{(n)}, \hat{x}^{(n)})$ tend to 0 when $n \to \infty$. Therefore the lengths $|\hat{\xi}^{(n)} - \hat{\xi}^{(n)}|$ of the intervals $(\hat{\xi}^{(n)}, \hat{\xi}^{(n)})$ tend to 0 when $n \to \infty$, where $\hat{\xi}^{(n)} = f(x^{(n)})$, $\hat{\xi}^{(n)} = f(\hat{x}^{(n)})$. This result contradicts the assumption of the lemma: $|\hat{\xi}^{(n)} - \hat{\xi}^{(n)}| > \beta - \alpha > 0$ for all $n=1, 2, \ldots$.

3. (1°) In the first place, we shall consider the points of $f([x_{i_{1}}^{*}, x_{i_{2}}^{*}] \cap F^{1})$.

a) The case when the order type η of the points of $(x_{1,1}^*, x_{1,2}^*) \cap F^1$ is $m_1 \geq 0$, ω , or ω^* . We draw the upper semi-circular arc $\gamma_{1,1} = \hat{\xi}_{1,1} \hat{\xi}_{1,2}$. If the arc $\gamma_{1,1}$ jumps over⁸ the point $\hat{\xi}_{1,3}$, then we practice the deformation $\mathfrak{D}(\xi_{1,3}, \mathbf{I})$ of the arc $\gamma_{1,1}$ already drawn, and next we draw the upper semi-circular arc $\gamma_{1,2} = \dot{\xi}_{1,2} \dot{\xi}_{1,3}$. If the deformed $\gamma_{1,1}$ or $\gamma_{1,2}$ has the upper semi-circular part jumping over the point $\xi_{1,4}$, then we practice the deformation $\mathfrak{D}(\xi_{1,4}, \mathbf{I})$ of a family of the above parts of $\gamma_{1,1}$ and $\gamma_{1,2}$; next we draw the upper semi-circular arc $\gamma_{1,3} = \hat{\xi}_{1,3} \hat{\xi}_{1,4}$. Then, by virtue of (2,1), we can practice the deformation $\mathfrak{D}(\xi_{1,5}, \mathbf{I})$ of a family of the upper semicircular parts (jumping over the point $\xi_{1,5}$) of the arcs already drawn, and draw the upper semi-circular arcs $\gamma_{1,4} = \hat{\xi}_{1,4} \hat{\xi}_{1,5}$, and so on. If $\eta = m_1 > 0$, then we consider a family of the upper semicircular parts (jumping over the point $\xi_{1,2}^*$) of the arcs $\gamma_{1,1}, \gamma_{1,2}, \ldots$, γ_{1,m_1} , and practice $\mathfrak{D}(\xi_{1,2}^*, \mathrm{II})$ of this family of arcs, and we draw the upper semi-circular arc $\gamma_{1,m_1+1} = \hat{\xi}_{1,m_1+1} \hat{\xi}_{1,2}^*$.

Then, by virtue of (2,1) and (2,2), we obtain the Jordan arc J_1 which is homeomorphic to $I_1 = [x_{1,1}^*, x_{1,2}^*]$, $[x_{1,1}^*, x_{1,2}^*]$, or $[x_{1,1}^*, x_{1,2}^*]$ when η is equal to a finite number (including 0), ω or ω^* respectively, and this homeomorphism between J_1 and I_1 is an extension of $\xi = f(x)$

⁸⁾ Cf. n° 2.

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where $x \in I_1 \cap F^1$.

b) The case when the order type η is equal to $\omega^* + \omega$. At the first place, we consider the points $\xi_{1,1}^{(1)}, \xi_{1,2}^{(1)}, \ldots$ and construct successively the arcs $\gamma_{1,1}^{(1)}, \gamma_{1,2}^{(1)}, \ldots, \gamma_{1,m}^{(1)}, \ldots$ similarly at a). By virtue of (2,1) and the definition of $\xi_{1,1}^{(1)}$, any deformed $\gamma_{1,m}^{(1)}$ does not jump over this point $\xi_{1,1}^{(1)}$, and so there is no need of practicing the deformation $\mathfrak{D}(\xi_{1,1}^{(1)}, \mathbf{I})$. Next we consider the point $\xi_{1,1}^{(2)}$ and a family of all of the upper semi-circular parts (jumping over the point $\xi_{1,1}^{(2)}$) of the arcs already drawn. Then, by virtue of the lemma and (2,1), this family is a finite family satisfing (i), (ii), (iii), and (iv), therefore we can practice the deformation $\mathfrak{D}(\xi_{1,1}^{(2)}, \mathbf{I})$ of this family, and we draw the upper semi-circular arc $\gamma_{1,1}^{(2)} = \widehat{\xi}_{1,1}^{(1)} \widehat{\xi}_{1,2}^{(2)}$ and so on. Then the arc J_1 thus obtained is homeomorphic to $(x_{1,1}^*, x_{1,2}^*) \cap F^1$.

(2°) Next, we consider the point of $f([x_{2,1}^*, x_{2,2}^*] \cap F^1)$. A family of all of the upper semi-circular parts (jumping over the point $\xi_{2,1}(=\xi_{2,1}^*, \xi_{2,2}^*)$ or $\xi_{2,1}^{(1)}$ of the arcs already drawn, is an at most enumerable family. And so, by virtue of (2,1), (2,2), and the lemma, it is easy to verify that this family satisfies the properties (i), (ii'), (iii), (iv), or (i), (ii), (iii), (iv); therefore we can practice the deformation $\mathfrak{D}(\xi_{2,1}, \mathrm{II})$, or $\mathfrak{D}(\xi_{2,1}^{(1)}, \mathrm{I})$ of the above family of arcs, and so we can construct the Jordan arc J_2 similarly as (1°) , and so on. Thus we obtain deformed $J_1, J_2, \ldots, J_m, \ldots$. As any tunnel has only a finite number of arcs inside of it, any accumulated point of $\bigcup J_n$ is that of $\bigcup_{1=n<\infty} J_n \cap F^2$. And the set φ consisted of all isolated points and $x_{n,1}^*, x_{n,2}^*, n=1, 2, \ldots$, which are not the accumulated points of the isolated points of F^1 , is dense in F^1 and $f(\Phi)$ is dense in F^2 . Therefore, if we make the point $\xi = \lim_{\lambda \to \infty} f(x(\lambda))$ correspond to $x = \lim_{\lambda \to \infty} x(\lambda)$ where $x(\lambda) \in \Phi$, then it is clear that the closure $(\bigcup_{1=n\leq\infty} J_n)$ is a Jordan arc J which is homeomorphic to the segment [a, b] and this homeomorphism is an extension of $\xi = f(x), x \in F^1$.

4. At the end, we consider the case such as F^i is not totally disconnected. If a component K of F^1 is a closed interval, then f(K) is a component of F^2 and so it is a closed interval. And the end-points of the components play the role of the points of F^1 in the case of n° 2 and n° 3. The sum set of the arcs constructed similarly as n° 3 and the components of F^2 constructs a Jordan arc J having all of the desired properties.

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