

### 13. Construction of Integral Basis. I

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Let  $f(x)$  be a monic irreducible separable polynomial of degree  $n$  in  $\mathfrak{o}[x]$ , where  $\mathfrak{o}$  is a principal ideal domain. Let  $k$  be the quotient field of  $\mathfrak{o}$ , and  $\theta$  one of the roots of  $f(x)$  in an algebraic closure of  $\bar{k}$  of  $k$ . The purpose of this series of papers is to give an explicit formula for an  $\mathfrak{o}$ -basis of the integral closure  $\mathfrak{o}_k$  of  $\mathfrak{o}$  in  $K=k(\theta)$ . We begin with considering the "local case".

§ 1. Throughout this section, let  $\mathfrak{o}$  be a discrete valuation ring with maximal ideal  $\mathfrak{p}$ ,  $k$  its quotient field, and assume that  $k$  is complete under the valuation induced by  $\mathfrak{p}$ . Let  $\pi$  be a generator of  $\mathfrak{p}$ . We denote by  $|\cdot|$  a fixed valuation on the algebraic closure  $\bar{k}$  of  $k$ , which is an extension of the valuation corresponding to  $\mathfrak{p}$ . Let  $f(x)$  be a monic irreducible separable polynomial in  $\mathfrak{o}[x]$  of degree  $n$ , and  $\theta$  one of the roots of  $f(x)$  in  $\bar{k}$ . For a polynomial  $h(x)=a_0x^m+\cdots+a_m$  in  $\mathfrak{o}[x]$ , we put  $|h(x)|=\sup_{i=0,\dots,m}|a_i|$ . Then we have the following

**Proposition 1.** *For any positive integer  $m(<n)$ , there exists a monic polynomial  $g_m(x)$  of degree  $m$  in  $\mathfrak{o}[x]$ , having the following property:*

*For any polynomial  $g(x)$  of degree  $m$  in  $\mathfrak{o}[x]$ , we have*

$$|g_m(\theta)| \leq \frac{|g(\theta)|}{|g(x)|}.$$

**Definition.** We will call any monic polynomial  $g_m(x)$  with the property in the Proposition 1 a *divisor polynomial* of degree  $m$  of  $\theta$ , or of  $f(x)$ . We put  $\mu_m = \text{ord}_{\mathfrak{p}}(g_m(\theta))$ , and  $\nu_m = [\mu_m]$ , where  $[\cdot]$  is the Gauss symbol.  $\nu_m$  will be called the *integrality index* of degree  $m$  of  $\theta$ , or of  $f(x)$ . ( $g_m(x)$  is not uniquely determined by  $\theta$  and  $m$ , but it is clear that  $\nu_m$  does not depend on the choice of  $g_m(x)$ .)

**Theorem 1.** *We denote by  $\mathfrak{o}_k$  the valuation ring in  $K=k(\theta)$ . Let  $g_m(x)$ ,  $\nu_m$  be a divisor polynomial and the integrality index of degree  $m$  of  $\theta$  ( $m=1, 2, \dots, n-1$ ), and put  $g_0(x)=1$ ,  $\nu_0=0$ . Then we have  $\mathfrak{o}_K = \sum_{m=0}^{n-1} \mathfrak{o}((g_m(\theta))/\pi^{\nu_m})$ .*

*Proof.* For any  $m=0, 1, \dots, n-1$  we have  $|(g_m(\theta))/\pi^{\nu_m}| \leq 1$ , so that  $\sum_{m=0}^{n-1} \mathfrak{o}((g_m(\theta))/\pi^{\nu_m}) \subset \mathfrak{o}_K$ . As  $\mathfrak{o}_K \subset \mathfrak{o}[\theta]/\pi^l$  for some positive integer  $l$ , there exists, for any element  $\alpha$  of  $\mathfrak{o}_K$ , some polynomial  $h(x)$  in  $\mathfrak{o}[x]$  such that  $\alpha = h(\theta)/\pi^l$ , where the degree  $d$  of  $h(x)$  is less than  $n$ . As  $g_m(x)$  is monic, we can find  $d+1$  elements  $r_0, \dots, r_d$  of  $\mathfrak{o}$  such that  $h(x)$

$\sum_{m=0}^d r_m g_m(x)$ . As  $|h(\theta)|/|h(x)| \geq |g_d(\theta)|$ , we have  $|h(\theta)| \geq |r_d g_d(\theta)|$ . Then  $|h(\theta)/\pi^t| \leq 1$  implies  $|(r_d/\pi^{t-\nu_d}) \cdot (g_d(\theta)/\pi^{\nu_d})| \leq 1$ . Put  $t = \text{ord}_p(r_d/\pi^{t-\nu_d})$ . Now assume  $t$  is negative. As  $t$  is an integer, we have  $t \leq -1$ . Then  $0 \leq \text{ord}_p(g_d(\theta)/\pi^{\nu_d}) < 1$  implies  $\text{ord}_p((r_d/\pi^{t-\nu_d}) \cdot (g_d(\theta)/\pi^{\nu_d})) < 0$  in contradiction with  $r_d g_d(\theta)/\pi^t \in \mathfrak{o}_K$ . Thus we have  $r_d/\pi^{t-\nu_d} \in \mathfrak{o}$ , and so  $(\sum_{m=0}^{d-1} r_m g_m(\theta))/\pi^t \in \mathfrak{o}_K$ . Repeating this argument, we obtain  $r_m/\pi^{t-\nu_m} \in \mathfrak{o}$  for  $m=0, \dots, d-1$ . Thus  $\alpha \in \sum_{m=0}^{n-1} \mathfrak{o}(g_m(\theta)/\pi^{\nu_m})$ . This proves the theorem.

§ 2. Denote the maximal ideal of  $\mathfrak{o}_K$  with  $\mathfrak{P}$ , the residue class degree and the ramification index of  $\mathfrak{P}$  over  $k$  with  $f, e$ , respectively. We shall show that  $f, e$  can be obtained from the knowledge of  $\nu_1, \dots, \nu_{n-1}$ .

Put  $S_m = \{t \mid 0 \leq t \leq n-1, \mu_t - [\mu_t] = \mu_m - [\mu_m]\}$  for any  $m$  with  $0 \leq m \leq n-1$ , and  $\{0, 1, \dots, n-1\} = S_{m_0} \cup S_{m_1} \cup \dots \cup S_{m_l}$  (direct sum), and assume  $\mu_{m_i} - [\mu_{m_i}] < \mu_{m_j} - [\mu_{m_j}]$  for any pair  $i, j$  with  $0 \leq i < j \leq l$ . Then we have,

**Proposition 2.** For any  $m$  and  $t \in S_m$ ,  $(g_t(\theta)/\pi^{\nu_t})(g_m(\theta)/\pi^{\nu_m})^{-1}$  is an element of  $\mathfrak{o}_K$  and  $\{(g_t(\theta)/\pi^{\nu_t})(g_m(\theta)/\pi^{\nu_m})^{-1} \bmod \mathfrak{P} \mid t \in S_m\}$  are linearly independent over  $\mathfrak{o}/\mathfrak{p}$ .

**Proposition 3.** For any  $j$  with  $1 \leq j \leq l$

$$\frac{g_{m_j}(\theta)}{\pi^{\nu_{m_j}}} \mathfrak{o}_K = \sum_{i=0}^{j-1} \sum_{t \in S_{m_i}} \mathfrak{o} \frac{g_t(\theta)}{\pi^{\nu_t-1}} + \sum_{i=j}^l \sum_{t \in S_{m_i}} \mathfrak{o} \frac{g_t(\theta)}{\pi^{\nu_t}}.$$

We omit the proof of these propositions, which will be published elsewhere. The next theorem follows them easily.

**Theorem 2.** (i) The number  $l+1$  of distinct  $S_{m_i}$ 's is equal to  $e$ .

(ii) For any  $i=0, 1, \dots, e-1$ , the number of elements of  $S_{m_i}$  is  $f$ .

(iii)  $\mu_{m_i} - [\mu_{m_i}] = i/e$  ( $i=0, 1, \dots, e-1$ ).

*Proof.* From Propositions 3 follows

$$\mathfrak{o}_K = \sum_{i=0}^{j-1} \sum_{t \in S_{m_i}} \mathfrak{o} \pi \cdot \left(\frac{g_{m_j}(\theta)}{\pi^{\nu_{m_j}}}\right)^{-1} \frac{g_t(\theta)}{\pi^{\nu_t}} + \sum_{i=j}^l \sum_{t \in S_{m_i}} \mathfrak{o} \left(\frac{g_{m_i}(\theta)}{\pi^{\nu_{m_i}}}\right)^{-1} \cdot \frac{g_t(\theta)}{\pi^{\nu_t}}$$

for any  $j$  with  $1 \leq j \leq l$ . So it follows from Proposition 2 that

$$\left\{ \left(\frac{g_{m_j}(\theta)}{\pi^{\nu_{m_j}}}\right)^{-1} \frac{g_t(\theta)}{\pi^{\nu_t}} \bmod \mathfrak{P} \mid t \in S_{m_j} \right\}$$

is a base of the vector space  $\mathfrak{o}_K/\mathfrak{P}$  over  $\mathfrak{o}/\mathfrak{p}$ . Thus the number of elements of  $S_{m_j}$  should be equal to  $f$ . As  $n=e \cdot f$ , we have  $l=e-1$ . And as  $0 \leq \mu_{m_i} - [\mu_{m_i}] < 1$ ,  $e(\mu_{m_i} - [\mu_{m_i}])$  is a natural number, and as  $\mu_{m_i} - [\mu_{m_i}] \neq \mu_{m_j} - [\mu_{m_j}]$  for any pair  $i \neq j$ , we obtain  $\mu_{m_i} - [\mu_{m_i}] = i/e$ . This proves the theorem.

On the discriminant of  $\mathfrak{o}_K$ , we obtain the following.

**Theorem 3.** Let  $D(1, \theta, \dots, \theta^{n-1})$  be the discriminant of  $\mathfrak{o}[\theta]$  and  $D_{K/k}$  the discriminant of  $\mathfrak{o}_K$  over  $k$ . Then

$$\begin{aligned} D_{K/k} &= \pi^{-2(\sum_{m=1}^{n-1} \nu_m)} D(1, \theta, \dots, \theta^{n-1}). \\ &= \pi^{f \cdot (e-1) - 2 \sum_{m=1}^{n-1} \mu_m} D(1, \theta, \dots, \theta^{n-1}). \end{aligned}$$

In Part II, we will give an explicit construction of the divisor polynomial  $f(x)$ .

### References

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