

## Discreteness criteria and algebraic convergence theorem for subgroups in $PU(1, n; C)$

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**Abstract:** In this paper, we will study the discreteness criterion for non-elementary subgroups in  $PU(1, n; C)$ . Several discreteness criteria are obtained. As an application, the convergence theorem of discrete subgroups in  $PU(1, n; C)$  is discussed.

**Key words:** Discreteness criterion; convergence theorem; subgroup in  $PU(1, n; C)$ .

**1. Introduction.** Throughout this paper, we will adopt the same notations and definitions as in [3, 11, 12, 13] such as  $H_C^n$ ,  $U(1, n; C)$ ,  $PU(1, n; C)$ , discrete groups, limit sets and so on. For example, a subgroup  $G$  in  $PU(1, n; C)$  is called *non-elementary* if it contains two non-elliptic elements of infinite order with distinct fixed points. Otherwise  $G$  is called *elementary*. See [3, 7, 8, 11, 12, 13, 14, 17, 18] etc. for more details of complex hyperbolic space  $H_C^n$ .

In 1976, Jørgensen ([9]) proved a necessary condition for a non-elementary two generator subgroup of  $SL(2, C)$  to be discrete, which is called Jørgensen's inequality. By using this inequality, Jørgensen discussed the discreteness criterion and proved that

**Theorem  $J_1$**  ([9]). *A non-elementary subgroup  $G$  of  $SL(2, C)$  is discrete if and only if all its two-generator subgroups are discrete.*

**Theorem  $J_2$**  ([10]). *A non-elementary subgroup  $G$  of  $SL(2, R)$  is discrete if and only if each one-generator subgroup of  $G$  is discrete.*

See [1, 5, 15, 16, 19, 20, 21] etc. for generalizations of Theorems  $J_1$  and  $J_2$  in  $n$ -dimensional hyperbolic space.

In complex hyperbolic space, Kamiya ([13]) proved that

**Theorem  $K$ .** *If  $G$  is a non-elementary finitely generated subgroup of  $PU(1, n; C)$ , then  $G$  is discrete if and only if  $\langle f, g \rangle$  is discrete for any  $f$  and  $g$  in  $G$ .*

Dai etc. ([4]) generalized Theorem  $K$  as follows:

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**Theorem  $DFN$ .** *If  $G$  is a non-elementary subgroup of  $PU(1, n; C)$  with Condition  $A$ , then  $G$  is discrete if and only if  $\langle f, g \rangle$  is discrete for any  $f$  and  $g$  in  $G$ .*

Here,  $G$  is said to satisfy *Condition  $A$*  if it has no sequence  $\{g_j\}$  of distinct elements of finite order such that  $\text{Card}(\text{fix}(g_j)) = \infty$  and  $g_j \rightarrow I$  as  $j \rightarrow \infty$ , where  $\text{fix}(g_j) = \{x \in \partial H_C^n : g_j(x) = x\}$ .

As the first main aim of this paper, we will study the discreteness criterion further and prove.

**Theorem 1.1.** *Let  $G \subset PU(1, n; C)$  be non-elementary. Then  $G$  is discrete if and only if  $W(G)$  is discrete (i.e., finite) and all non-elementary subgroups generated by two loxodromic elements of  $G$  are discrete.*

Here

$$W(G) = \bigcap_{f \in h(G)} G_{\text{fix}(f)},$$

where  $h(G)$  is the set of all loxodromic elements in  $G$  and  $G_{\text{fix}(f)} = \{g \in G : \text{fix}(f) \subset \text{fix}(g)\}$ .

Following Theorem 1.1, we have

**Corollary 1.1.** *If a non-elementary subgroup  $G \subset PU(1, n; C)$  is not discrete, then either there exists a sequence in  $G$  consisting of elliptic elements such that it converges to  $I$  or there exists a two-generator subgroup of  $G$  which is non-elementary and non-discrete.*

**Theorem 1.2.** *Let  $G \subset PU(1, n; C)$  be non-elementary and  $\dim[M(G)]$  be even. Then  $G$  is discrete if and only if  $W(G)$  is finite and each one-generator subgroup of  $G$  is discrete.*

Here  $M(G)$  denotes the smallest  $G$ -invariant, totally geodesic sub-manifold (cf. [3]).

As the second main aim of this paper, by using Theorem 1.1, we will discuss the convergence theo-

rem in  $PU(1, n; C)$  and prove

**Theorem 1.3.** *Let  $G_0$  be a non-elementary and discrete group of  $PU(1, n; C)$ . For all positive integers  $m$ , let  $\rho_m$  be an isomorphism of  $G_0$  onto a discrete group  $G_m \subset PU(1, n; C)$ . Assume that*

$$\rho_m(g) \rightarrow \rho(g) \quad (m \rightarrow \infty) \quad \forall g \in G_0, \quad \rho(g) \in PU(1, n; C).$$

*Then the group  $G = \{\rho(g) : g \in G_0\}$  is discrete and  $\rho$  is an isomorphism of  $G_0$  onto  $G$ .*

**Remark 1.1.** Theorems 1.1 is a generalization of Theorems  $K$  and  $DFN$ .

**Remark 1.2.** Corollary 1.1 is a generalization of Theorem 1.3 in [4].

**Remark 1.3.** Theorem 1.2 is a generalization of Theorem  $J_2$  and Theorem 2 in [1] into the case of  $PU(1, n; C)$ .

**Remark 1.4.** Theorem 1.3 is a generalization of Theorem 1 in [9] and Theorem 1.6 in [20] into the case of  $PU(1, n; C)$ .

**2. The proofs of the main results.**

**2.1. The proof of Theorem 1.1.** The following lemmas are crucial for us.

**Lemma 2.1** ([4, 13]). *Suppose that  $f$  and  $g \in PU(1, n; C)$  generate a discrete and non-elementary group. Then*

i) *if  $f$  is parabolic or loxodromic, we have*

$$\max\{N(f), N([f, g])\} \geq 2 - \sqrt{3},$$

*where  $[f, g] = fgf^{-1}g^{-1}$  is the commutator of  $f$  and  $g$ ,  $N(f) = \|f - I\|$ .*

ii) *if  $f$  is elliptic, we have*

$$\begin{aligned} \max\{N(f), N([f, g^i]) : i = 1, 2, \dots, n + 1\} \\ \geq 2 - \sqrt{3}. \end{aligned}$$

**Lemma 2.2** ([2]). *If  $g$  is a loxodromic element in  $PU(1, n; C)$  and  $f \in PU(1, n; C)$  does not interchange the two fixed points of  $g$ , then for all large enough  $j$ , the elements  $g^j f$  or  $g^{-j} f$  are loxodromic.*

**The proof of Theorem 1.1.** The necessity is obvious. For the converse, we suppose that  $W(G)$  is finite and each non-elementary subgroup generated by two loxodromic elements of  $G$  is discrete, but  $G$  itself is not discrete. Then there is a sequence  $\{f_m\} \in G$  such that

$$f_m \rightarrow I \quad (m \rightarrow \infty).$$

Since  $G$  is non-elementary, there exist two loxodromic elements  $g_j \in G$  ( $j = 1, 2$ ) which have no common fixed point. Then for large enough  $m$ ,

$$N(f_m) + \sum_{k=1}^{n+1} N([f_m, g_j^k]) < 2 - \sqrt{3} \quad (j = 1, 2).$$

We may assume that for large enough  $m$ ,  $f_m$  doesn't interchange the fixed points of  $g_j$  ( $j = 1, 2$ ) since  $f_m \rightarrow I$  ( $m \rightarrow \infty$ ). Therefore  $\langle f_m, g_j \rangle$  ( $j = 1, 2$ ) are elementary for large enough  $m$  by Lemma 2.1. Hence  $\text{fix}(g_j) \subset \text{fix}(f_m)$  holds for each  $j = 1, 2$  and sufficiently large  $m$ . Let  $T(k_1) = \bigcap_{m \geq k_1} \text{fix}(f_m)$ . Then  $T(k_1)$  contains the linear span of the fixed points of  $g_j$  and so has dimension at least 1 for large positive integer  $k_1$ . Thus by passing to a subsequence of  $\{f_m\}$  (denoted by the same manner), we have

$$T(k_1) \neq \emptyset \quad \text{and} \quad 1 \leq \dim[T(k_1)] \leq n - 1.$$

Suppose that there exists some loxodromic element  $g \in G$  such that

$$\text{fix}(g) \cap T(k_1) = \emptyset.$$

Then (if needed, passing to a subsequence) there exists  $k_2$  ( $> k_1$ ) such that

$$\text{fix}(g) \subset T(k_2)$$

and

$$\dim[T(k_1)] + 1 \leq \dim[T(k_2)] \leq n - 1.$$

By repeating this step finite times, we can find  $k$  such that

$$\text{fix}(h) \subset T(k)$$

holds for any loxodromic element  $h \in G$ . Then  $f_m \in W(G)$  for all  $m > k$ . This contradiction completes the proof.  $\square$

**2.2. The proof of Theorem 1.2.** The following lemma takes an important role in the proof of Theorem 1.2. Its proof follows from Corollary 4.5.3 in [3].

**Lemma 2.3.** *Let  $G \subset PU(1, n; F)$  be non-elementary and  $\dim[M(G)]$  be even. If the identity is not an accumulation point of the elliptic elements in  $G$ , then  $G$  is discrete.*

**Lemma 2.4.** *Let  $G_0 = G|_{M(G)} \subset PU(1, n; C)$  be non-elementary and  $\dim[M(G)]$  be even. Then  $G_0$  is discrete if and only if each one-generator subgroup of  $G_0$  is discrete.*

*Proof.* The necessity is obvious. For the converse, we suppose that each one-generator subgroup of  $G_0$  is discrete, but  $G_0$  itself is not discrete. Apply Theorem 1.1 to get a two-generator subgroup  $\langle f, g \rangle$

of  $G_0$  which is non-elementary and non-discrete. By choosing finitely many elements  $f_1, \dots, f_r$ , we can get a subgroup  $G_1 = \langle f, g, f_1, \dots, f_r \rangle$  of  $G_0$  such that  $G_1$  is non-elementary and non-discrete and  $M(G_1) = M(G_0)$ . Selberg's lemma tells us that  $G_1$  contains a torsion-free subgroup  $G_2$  with finite index. Then  $G_2$  is also non-discrete and  $M(G_2) = M(G_1)$ . Since each one-generator subgroup of  $G_0$  is discrete, we know that  $G_2$  contains no elliptic element. It follows from  $M(G_2) = M(G_0)$  and Lemma 2.3 that  $G_2$  is discrete. This contradiction completes the proof.  $\square$

The following lemma is obvious (cf. [22]).

**Lemma 2.5.** *Let  $G \subset PU(1, n; C)$  be non-elementary. Then  $G$  is discrete if and only if both  $G|_{M(G)}$  and  $W(G)$  are discrete.*

**The proof of Theorem 1.2.** Since  $G|_{M(G)}$  is non-elementary and  $\dim[M(G)]$  is even, by Lemma 2.4,  $G|_{M(G)}$  is discrete if and only if each one-generator subgroup of  $G|_{M(G)}$  is discrete. The proof follows from Lemma 2.5.  $\square$

**2.3. The proof of Theorem 1.3.**

**Lemma 2.6.** *Let  $G$  be a non-elementary subgroup of  $PU(1, n; C)$  and  $\{\rho_m\}$  be a sequence of isomorphisms of  $G$  onto discrete subgroups  $\rho_m(G) \subset PU(1, n; C)$ . If*

$$\rho_m(g) \rightarrow g \quad (m \rightarrow \infty) \quad \forall g \in G,$$

then  $G$  is either discrete or  $W(G)$  is infinite.

*Proof.* By Theorem 1.1, we only need to show that  $\langle f, g \rangle$  is discrete for any two loxodromic elements  $f, g$  in  $G$  which have no common fixed points under the condition  $W(G)$  is finite.

Suppose that there are two loxodromic elements  $f, g \in G$  with no common fixed points such that the two-generator subgroup  $\langle f, g \rangle$  is not discrete. It follows from Selberg's lemma that there exists a torsion free subgroup  $G_1$  of  $\langle f, g \rangle$  with finite index. Therefore  $G_1$  is non-elementary and there is a sequence  $\{h_j\}$  of  $G_1$  such that  $h_j \rightarrow I$  as  $j \rightarrow \infty$ . For any two loxodromic elements  $f_1, f_2$  in  $G_1$  which have no common fixed point, it follows by continuity that

$$N(\rho_m(h_j)) + \sum_{k=1}^{n+1} N([\rho_m(h_j), \rho_m(f_i^k)]) < 2 - \sqrt{3},$$

$$i = 1, 2$$

for large  $j$  and  $m$ . Thus,  $\langle \rho_m(h_j), \rho_m(f_i) \rangle$  is elementary by Lemma 2.1 and the discreteness of  $\rho_m(G)$ . Thus

$$\text{fix}(f_i) \subset \text{fix}(h_j), \quad i = 1, 2$$

for large  $j$ . Hence we may assume that all  $h_j$  are elliptic and we can find an integer  $L$  such that

$$\text{fix}(q) \subset T_h(L)$$

for all loxodromic elements  $q \in G_1$ , where  $T_h(L) = \bigcap_{m \geq L} \text{fix}(h_m)$ .

Since the conditions  $h_j \rightarrow I$  ( $j \rightarrow \infty$ ) and  $\text{ord}(h_j) < m$  imply that  $h_j = I$  for all large enough  $j$ , we may assume that there is a purely elliptic sequence  $\{g_j\}$  of  $G$  such that for all  $j$ ,  $\text{ord}(g_j) = \infty$  and

$$g_j \rightarrow I \quad (j \rightarrow \infty).$$

For any loxodromic element  $h \in G$ , considering the two-generator group  $\rho_m(\langle h, g_j \rangle) = \rho_m(\langle h^l g_j, h \rangle)$ , we can find an integer  $M$  such that

$$\text{fix}(p) \subset T_g(M)$$

for all loxodromic elements  $p \in G$ .

It means that  $g_j \in W(G)$  for  $j > M$ . The finiteness of  $W(G)$  implies that there exists  $j_0$  such that for all  $j > j_0$ ,  $g_j = I$ . This is the desired contradiction.  $\square$

**The proof of Theorem 1.3.** We can prove that the map  $\rho$  is an isomorphism as the proof of Theorem 5.10 in [15].

Since  $G_0$  is discrete and non-elementary, there are loxodromic elements  $f, g \in G_0$  which have no common fixed point such that  $\langle f, g \rangle$  is discrete, non-elementary and isomorphic to the free group of rank two. Similarly, we can show that  $\langle \rho_m(f), \rho_m(g) \rangle$  is discrete and non-elementary by similar reasoning as that in [15], and then  $\langle \rho(f), \rho(g) \rangle$  is non-elementary. Thus,  $G$  is non-elementary.

We claim that  $W(G)$  is finite.

At first, we prove that every nontrivial element  $\rho(h)$  in  $W(G)$  is an element of finite order. Suppose that  $\text{ord}(\rho(h)) = \infty$ . Then  $\langle \rho(h) \rangle$  is infinite and there is a sequence  $\{\rho(h^k)\}$  of  $\langle \rho(h) \rangle$  such that  $\rho(h^k) \rightarrow I$  as  $k \rightarrow \infty$ . Hence  $\rho(h^k) \rightarrow I$  as  $k \rightarrow \infty$ . We know  $\text{ord}(h) = \infty$  since  $\text{ord}(\rho(h)) = \infty$ . It follows from the discreteness of  $G_0$  that  $h$  is not elliptic. There is a loxodromic element  $q \in G_0$  such that  $\langle h, q \rangle$  is non-elementary and isomorphic to a free group of rank two. So is  $\langle h^k, q \rangle$  for every integer  $k$ , and  $\langle \rho_m(h^k), \rho_m(q) \rangle$  is discrete and non-elementary. By Lemma 2.1, we have

$$N(\rho_m(h^k)) + \sum_{l=1}^{n+1} N([\rho_m(h^k), \rho_m(q^l)]) > 2 - \sqrt{3}$$

for all  $m$  and  $k$ . This contradicts the facts  $\rho_m(h^k) \rightarrow \rho(h^k)$  as  $m \rightarrow \infty$  and  $\rho(h^k) \rightarrow I$  as  $k \rightarrow \infty$ . Therefore, every nontrivial element  $\rho(h) \in W(G)$  is an element of finite order.

By Gehring and Martin [6],  $\rho^{-1}[W(G)] \subset G_0$  is finite. Thus  $W(G)$  is finite.

Consider the sequence of isomorphisms  $\psi_m : G \rightarrow G_m$  defined by

$$\psi_m(g) = \rho_m(\rho^{-1}(g)) \quad \forall g \in G, m \in \mathbf{N}.$$

Then  $\psi_m(g) \rightarrow g$  as  $m \rightarrow \infty$  for each  $g \in G$ . It follows from Lemma 2.6 that  $G$  is discrete.  $\square$

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