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## ON A VANISHING THEOREM FOR CERTAIN COHOMOLOGY GROUPS

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Let  $G$  be a connected semisimple Lie group with finite center and  $K$  a maximal compact subgroup of  $G$ . We assume that the quotient manifold  $X=G/K$  carries a  $G$ -invariant complex structure, so that  $X$  is holomorphically isomorphic to a symmetric bounded domain in  $\mathbb{C}^N$ . Let  $\Gamma$  be a discrete subgroup of  $G$  acting on  $X$  freely and such that the quotient  $M=\Gamma\backslash X$  is compact. The quotient  $\Gamma\backslash G$  is then also compact. Suppose now an irreducible representation  $\tau$  of  $K$  be given in a finite-dimensional complex vector space  $V$ . We know that  $\tau$  defines an automorphic factor  $J_\tau$  on  $X$ , called the canonical automorphic factor of type  $\tau$ , and this defines in turn a holomorphic vector bundle  $E(J_\tau)$  over the complex manifold  $M=\Gamma\backslash X$ . The vector bundle  $E(J_\tau)$  is in fact differentiably equivalent to the vector bundle over  $M$  which is associated to the principal bundle  $\Gamma\backslash G$  over  $M$  with group  $K$  by the representation  $\tau$  of  $K$  in  $V$ . We shall denote by  $\mathbf{E}(J_\tau)$  the sheaf of germs of holomorphic sections of the vector bundle  $E(J_\tau)$ , and by  $H^q(M, \mathbf{E}(J_\tau))$  ( $q=0, 1, \dots$ ) the  $q$ -th cohomology group of  $M$  with coefficients in the sheaf  $\mathbf{E}(J_\tau)$ .

In a series of papers [6], [7], [8] (cf. also [9]), Y. Matsushima and one of the present authors have discussed the cohomology groups  $H^q(M, \mathbf{E}(J_\tau))$  and in particular the vanishing of these cohomology groups. The aim of this note is to prove anew a vanishing theorem for these cohomology groups which generalizes one of the main results in [7]. In [7] (and also in [8]), the result has been obtained after proving the following two kind of assertions. (1) Vanishing theorems for the cohomology groups of  $M$  with coefficients in certain locally constant sheaves, and (2) Isomorphisms between cohomology groups of this type and the groups  $H^q(M, \mathbf{E}(J_\tau))$ . In this note we will apply a formula proved in [8] which expresses the dimension of the space of automorphic forms in terms of the unitary representation of  $G$  in  $L^2(\Gamma\backslash G)$ . As this formula has nothing to do with the earlier results as (1), (2), we get in this way a direct proof to a theorem in [7]. We note that N. Wallach and one of the present authors [3] have recently applied a similar kind of formula proved by Matsushima [5], thus giving a completely new proof to a theorem of Matsushima concerning the first Betti number of the space  $\Gamma\backslash X$ . The method used in this note generalizes that of [3] and depends

on an argument used by R. Parthasarathy [11] who treated “ $L^2$ -cohomologies” of  $X$ . We remark also that a different kind of vanishing theorem for the cohomology groups is found in [2].

We need also a formula on a laplacian operator, which is essentially the same as the one given by K. Okamoto and H. Ozeki [10]. The proof of this formula given here may be considered as a simplification of the method developed by them (cf. [1] for another proof).

**1. Preliminaries on Lie algebras.** We retain the notation in the introduction. Let  $\mathfrak{g}$  be the Lie algebra of  $G$  and  $\mathfrak{k}$  the subalgebra corresponding to the subgroup  $K$ . Since  $G/K$  carries a  $G$ -invariant complex structure,  $\mathfrak{k}$  contains a Cartan subalgebra  $\mathfrak{h}$  of  $\mathfrak{g}$ . We denote by  $\mathfrak{g}^c$  the complexification of  $\mathfrak{g}$  and by  $\mathfrak{h}^c$  and  $\mathfrak{k}^c$  the subspaces of  $\mathfrak{g}^c$  spanned by  $\mathfrak{h}$  and  $\mathfrak{k}$  respectively.

Let  $\Delta$  be the root system of  $\mathfrak{g}^c$  relative to  $\mathfrak{h}^c$  and

$$\mathfrak{g}^c = \mathfrak{h}^c + \sum_{\alpha \in \Delta} \mathfrak{g}_\alpha$$

be the root space decomposition. Then

$$\mathfrak{k}^c = \mathfrak{h}^c + \sum_{\alpha \in \Delta_k} \mathfrak{g}_\alpha$$

for a subset  $\Delta_k \subset \Delta$ . Moreover, by our assumption on  $G/K$ , there exist abelian subalgebras  $\mathfrak{n}^+$  and  $\mathfrak{n}^-$  of  $\mathfrak{g}^c$  such that

$$\begin{aligned} \mathfrak{g}^c &= \mathfrak{n}^+ \oplus \mathfrak{n}^- \oplus \mathfrak{k}^c, \\ [\mathfrak{k}^c, \mathfrak{n}^\pm] &\subset \mathfrak{n}^\pm, \quad [\mathfrak{n}^+, \mathfrak{n}^-] \subset \mathfrak{k}^c, \\ \overline{\mathfrak{n}^+} &= \mathfrak{n}^-, \end{aligned}$$

where  $\overline{\phantom{x}}$  denotes the conjugation of  $\mathfrak{g}^c$  with respect to  $\mathfrak{g}$ . It follows in particular that

$$\mathfrak{n}^+ = \sum_{\alpha \in \Psi} \mathfrak{g}_\alpha, \quad \mathfrak{n}^- = \sum_{\alpha \in \Psi} \mathfrak{g}_{-\alpha}$$

for a subset  $\Psi \subset \Delta$ . For each root  $\alpha \in \Psi$  we can choose a vector  $X_\alpha \in \mathfrak{g}_\alpha$  in such a way that  $\overline{X_\alpha} = X_{-\alpha}$  and  $\varphi(X_\alpha, X_{-\alpha}) = 1$ ,  $\varphi$  being the Killing form of  $\mathfrak{g}^c$ .

Let  $\mathfrak{h}_0$  be the real part of  $\mathfrak{h}^c$ . All roots of  $\mathfrak{g}^c$  and more generally any weight of a finite-dimensional irreducible representation of the reductive Lie algebra  $\mathfrak{k}^c$  relative to the Cartan subalgebra  $\mathfrak{h}^c$  are real-valued on  $\mathfrak{h}_0$  and so are considered as elements of the dual space  $\mathfrak{h}_0^*$  of  $\mathfrak{h}_0$ . We know that there exists a linear ordering in  $\mathfrak{h}_0^*$  such that the roots in  $\Psi$  are all positive. Choosing such an ordering once and for all, let  $\Delta_+$  be the set of all positive roots. Put  $\Theta = \Delta_+ \cap \Delta_k$  and

$$\delta = \frac{1}{2} \langle \Delta_+ \rangle, \quad \delta_k = \frac{1}{2} \langle \Theta \rangle, \quad \delta_n = \frac{1}{2} \langle \Psi \rangle$$

where  $\langle Q \rangle$  denotes the sum of roots belonging to  $Q$  for any subset  $Q$  of  $\Delta$ . Then  $\Delta_+ = \Theta \cup \Psi$  and  $\delta = \delta_k + \delta_n$ .

The Killing form  $\varphi$  defines a positive definite inner product on  $\mathfrak{h}_0$  and this induces in turn a linear isomorphism  $\mathfrak{h}_0^* \cong \mathfrak{h}_0$  which assigns to  $\lambda \in \mathfrak{h}_0^*$  the element  $H_\lambda \in \mathfrak{h}_0$  such that  $\lambda(H) = \varphi(H_\lambda, H)$  for all  $H \in \mathfrak{h}_0$ . Then

$$[X_\alpha, X_{-\alpha}] = H_\alpha$$

for any root  $\alpha \in \Psi$ . We define an inner product in  $\mathfrak{h}_0^*$  by putting

$$\langle \lambda, \mu \rangle = \varphi(H_\lambda, H_\mu)$$

for  $\lambda, \mu \in \mathfrak{h}_0^*$ .

**2. The cohomology groups  $H^{0,q}(\Gamma, X, J_\tau)$ .** We recall some results obtained in [6], [7]. Let  $\tau$  be a representation of the group  $K$  on a finite-dimensional complex vector space  $V$ , and  $J_\tau$  the canonical automorphic factor of type  $\tau$  on the space  $X = G/K$  (Cf. [6], [9]). We denote by  $A^{0,q}(\Gamma, X, J_\tau)$  the vector space of  $V$ -valued  $C^\infty$ -differential forms  $\eta$  of type  $(0, q)$  on  $X$  such that

$$(\eta \circ L_\gamma)_x = J_\tau(\gamma, x)\eta_x$$

for all  $\gamma \in \Gamma$  and  $x \in X$ , where  $L_\gamma$  denotes the transformation of  $X$  defined by  $\gamma$ . Then we get a complex  $\sum_{q \geq 0} A^{0,q}(\Gamma, X, J_\tau)$  with coboundary operator  $d''$ . The cohomology groups of this complex, which were denoted by  $H_{d''}^{0,q}(\Gamma, X, J_\tau)$  in [6] [7], will be here denoted by  $H^{0,q}(\Gamma, X, J_\tau)$  ( $q=0, 1, \dots$ ). The group  $H^{0,q}(\Gamma, X, J_\tau)$  is isomorphic, via the Dolbeault's isomorphism, to the cohomology group  $H^q(M, \mathbf{E}(J_\tau))$  defined in the introduction. Now in the space  $A^{0,q}(\Gamma, X, J_\tau)$  we can introduce a "laplacian" operator  $\square$  in a canonical way and we know that each cohomology class of  $H^{0,q}(\Gamma, X, J_\tau)$  is represented by a unique harmonic form, i.e. a form  $\eta$  such that  $\square\eta = 0$ . The group  $H^{0,q}(\Gamma, X, J_\tau)$  is thus isomorphic to the group  $\mathcal{H}^{0,q}(\Gamma, X, J_\tau)$  formed by the harmonic forms in  $A^{0,q}(\Gamma, X, J_\tau)$ .

The space  $A^{0,q}(\Gamma, X, J_\tau)$  is canonically isomorphic to the space of  $V$ -valued  $q$ -forms  $\eta$  on the manifold  $\Gamma \backslash G$  satisfying the following conditions. An element  $X \in \mathfrak{g}^c$  being a left-invariant complex vector field on  $G$ ,  $X$  projects to a vector field on  $\Gamma \backslash G$  which we write also by  $X$ . Let  $i(X)$  be the operator of taking interior product by  $X$  for differential forms on  $\Gamma \backslash G$ . Then the conditions to be satisfied by the forms  $\eta$  are the followings.

$$(2.1) \quad \begin{cases} \eta \circ R_k = \tau^{-1}(k)\eta & \text{for } k \in K, \\ i(X)\eta = 0 & \text{for } X \in \mathfrak{n}^+, \\ i(Y)\eta = 0 & \text{for } Y \in \mathfrak{k}, \end{cases}$$

where  $R_k$  is the transformation of  $\Gamma \backslash G$  defined by an element  $k \in K$ . Now, there exists a bijection between  $V$ -valued  $q$ -forms satisfying (2.1) and  $V \otimes \Lambda^q \mathfrak{n}^+$ -valued  $C^\infty$ -functions  $f$  on  $\Gamma \backslash G$  which verify

$$(2.2) \quad f(xk) = (\tau \otimes \text{ad}_\dagger^q)(k^{-1})f(x)$$

for  $x \in X$  and  $k \in K$ , where  $\text{ad}_\dagger^q$  is the representation of  $K$  on  $\Lambda^q \mathfrak{n}^+$  induced from the adjoint action of  $K$  on  $\mathfrak{n}^+$ . To be more precise, put  $\Psi = \{\alpha_1, \dots, \alpha_N\}$  and write  $X_i, X_{\bar{i}}$  for  $X_{\alpha_i}, X_{-\alpha_i} (1 \leq i \leq N)$  respectively. Then the function corresponding to a form  $\eta$  is given by

$$f(x) = \sum \eta_{\bar{j}_1 \dots \bar{j}_q}(x) X_{j_1} \wedge \dots \wedge X_{j_q},$$

where

$$\eta_{\bar{j}_1 \dots \bar{j}_q} = \eta(X_{\bar{j}_1}, \dots, X_{\bar{j}_q})$$

and  $j_1, \dots, j_q$  run over integers such that  $1 \leq j_1 < \dots < j_q \leq N$ . We shall denote this function also by  $\eta$  and identify the space  $A^{0,q}(\Gamma, X, J_\tau)$  with the space of  $V \otimes \Lambda^q \mathfrak{n}^+$ -valued  $C^\infty$ -functions satisfying the condition (2.2). If we denote by  $C^\infty(\Gamma \backslash G)$  the space of all complex-valued  $C^\infty$ -functions on  $\Gamma \backslash G$ , the space of all  $V \otimes \Lambda^q \mathfrak{n}^+$ -valued  $C^\infty$ -functions on  $\Gamma \backslash G$  may be identified with the tensor product space  $C^\infty(\Gamma \backslash G) \otimes V \otimes \Lambda^q \mathfrak{n}^+$ . The group  $K$  acts on this space by  $R_k \otimes \tau(k) \otimes \text{ad}_\dagger^q(k) (k \in K)$ , and then  $A^{0,q}(\Gamma, X, J_\tau)$  coincides with the subspace of  $C^\infty(\Gamma \backslash G) \otimes V \otimes \Lambda^q \mathfrak{n}^+$  consisting of all  $K$ -invariant elements.

Each vector field on  $\Gamma \backslash G$  acting on  $C^\infty(\Gamma \backslash G)$  in a natural way, we get a natural representation  $l$  of the Lie algebra  $\mathfrak{g}^C$  in  $C^\infty(\Gamma \backslash G)$ . The restriction of  $l$  to  $\mathfrak{k}$  is denoted by  $l_k$ , and the representations of  $\mathfrak{k}$  induced from the representations  $\tau, \text{ad}_\dagger^q$  of the group  $K$  will be denoted by the same letters. The action of the group  $K$  on  $C^\infty(\Gamma \backslash G) \otimes V \otimes \Lambda^q \mathfrak{n}^+$  defines as its differential the tensor product representation  $l_k \otimes \tau \otimes \text{ad}_\dagger^q$  of the representations  $l_k, \tau, \text{ad}_\dagger^q$  of the Lie algebra  $\mathfrak{k}$ . It follows that an element  $\eta \in C^\infty(\Gamma \backslash G) \otimes V \otimes \Lambda^q \mathfrak{n}^+$  belongs to the subspace  $A^{0,q}(\Gamma, X, J_\tau)$ , if and only if

$$(2.3) \quad (l_k \otimes \tau \otimes \text{ad}_\dagger^q)(Y)\eta = 0$$

holds for all  $Y \in \mathfrak{k}$ .

Let  $\{Y_1, \dots, Y_m\}$  be a basis of  $\mathfrak{k}$  such that  $\varphi(Y_a, Y_b) = -\delta_{ab} (1 \leq a, b \leq m)$ . Then the laplacian operator  $\square$  in  $A^{0,q}(\Gamma, X, J_\tau)$  is induced from the operator, denoted also by  $\square$ , in  $C^\infty(\Gamma \backslash G) \otimes V \otimes \Lambda^q \mathfrak{n}^+$  defined as follows.

$$(2.4) \quad \square = - \sum_{i=1}^N l(X_i)l(X_{\bar{i}}) \otimes \mathbf{1} \otimes \mathbf{1} - \sum_{a=1}^m l(Y_a) \otimes \mathbf{1} \otimes \text{ad}_\dagger^q(Y_a),$$

where  $\mathbf{1}$  denotes the identity operator in each space (See [6], [7], [9]).

### 3. An expression of the laplacian operator.

Let  $C$  be the Casimir

operator of the Lie algebra  $\mathfrak{g}^C$ . This is an element in the enveloping algebra  $U(\mathfrak{g}^C)$  of  $\mathfrak{g}^C$  and, according to our choice of the basis  $\{X_1, \dots, X_N, X_{\bar{1}}, \dots, X_{\bar{N}}, Y_1, \dots, Y_m\}$  of  $\mathfrak{g}^C$ , it is written as

$$C = -\sum_{a=1}^m Y_a^2 + \sum_{i=1}^N (X_i X_{\bar{i}} + X_{\bar{i}} X_i).$$

The operator  $C$  acts on  $C^\infty(\Gamma \backslash G) \otimes V \otimes \Lambda^q \mathfrak{n}^+$  via the canonical action of  $U(\mathfrak{g}^C)$  on the first factor  $C^\infty(\Gamma \backslash G)$ . Analogously we define an element  $C_k \in U(\mathfrak{g}^C)$  as follows.

$$C_k = -\sum_{a=1}^m Y_a^2,$$

and put

$$\tau(C_k) = -\sum_{a=1}^m \tau(Y_a)^2.$$

From now on we assume that the representation  $\tau$  of  $K$  is irreducible. Then  $\tau$  induces an irreducible representation, denoted also by  $\tau$ , of the reductive Lie algebra  $\mathfrak{k}^C$ . Let  $\Lambda$  (resp.  $\Lambda'$ ) be the highest (resp. lowest) weight of  $\tau$  with respect to the ordering in  $\mathfrak{h}_\sigma^*$  chosen in §1. Then we see easily

$$(3.1) \quad \tau(C_k) = \langle \Lambda, \Lambda + 2\delta_k \rangle \mathbf{1}; \quad \tau(H_\lambda) = \langle \Lambda, \lambda \rangle \mathbf{1}$$

for  $H_\lambda$  belonging to the center of  $\mathfrak{k}^C$ .

The formula given in the following lemma is essentially the same as the one of Okamoto-Ozeki [10] established for “ $L^2$ -cohomologies”.

**Lemma 1.** *In the subspace  $A^{0,q}(\Gamma, X, J_\tau) \subset C^\infty(\Gamma \backslash G) \otimes V \otimes \Lambda^q \mathfrak{n}^+$ , we have*

$$(3.2) \quad \square = \frac{1}{2} \{-C + \langle \Lambda, \Lambda + 2\delta \rangle \mathbf{1}\}.$$

Proof. For simplicity, we write  $X$  for  $l(X)$  ( $X \in \mathfrak{g}^C$ ). In the following summations  $a$  runs over  $1, \dots, m$  and  $i$  over  $1, \dots, N$ . We shall use the following formula proved in [7, Lemma 4.1].

$$(3.3) \quad \sum_a \text{ad}_+^q(Y_a)^2 = -\sum_i \text{ad}_+^q([X_i, X_{\bar{i}}]).$$

Now, in the subspace  $A^{0,q}(\Gamma, X, J_\tau)$ , we have

$$\begin{aligned} & 2 \sum_a (Y_a \otimes \mathbf{1} \otimes \text{ad}_+^q(Y_a)) \\ &= \sum_a (Y_a \otimes \mathbf{1} \otimes \mathbf{1} + \mathbf{1} \otimes \mathbf{1} \otimes \text{ad}_+^q(Y_a))^2 - \sum_a Y_a^2 \otimes \mathbf{1} \otimes \mathbf{1} - \mathbf{1} \otimes \mathbf{1} \otimes \sum_a \text{ad}_+^q(Y_a)^2 \\ &= \sum_a (-\mathbf{1} \otimes \tau(Y_a) \otimes \mathbf{1})^2 - \sum_a Y_a^2 \otimes \mathbf{1} \otimes \mathbf{1} + \mathbf{1} \otimes \mathbf{1} \otimes \sum_i \text{ad}_+^q([X_i, X_{\bar{i}}]) \end{aligned}$$

[by (2.3) and (3.3)]

$$\begin{aligned}
 &= \mathbf{1} \otimes \sum_a \tau(Y_a)^2 \otimes \mathbf{1} - \sum_a Y_a^2 \otimes \mathbf{1} \otimes \mathbf{1} \\
 &\quad - \sum_i [X_i, X_{\bar{i}}] \otimes \mathbf{1} \otimes \mathbf{1} - \mathbf{1} \otimes \sum_i \tau([X_i, X_{\bar{i}}]) \otimes \mathbf{1} \quad [\text{by (2.3)}]
 \end{aligned}$$

Note that  $\sum_i [X_i, X_{\bar{i}}] = \sum_{\alpha \in \Psi} H_\alpha = H_{2\delta_n}$ , which belongs to the center of  $\mathfrak{k}^c$ . From (2.4) we get therefore

$$\begin{aligned}
 \square &= -\sum_i X_i X_{\bar{i}} \otimes \mathbf{1} \otimes \mathbf{1} \\
 &\quad - \frac{1}{2} \{ \mathbf{1} \otimes \sum_a \tau(Y_a)^2 \otimes \mathbf{1} - \sum_a Y_a^2 \otimes \mathbf{1} \otimes \mathbf{1} \\
 &\quad \quad - \sum_i [X_i, X_{\bar{i}}] \otimes \mathbf{1} \otimes \mathbf{1} - \mathbf{1} \otimes \tau(H_{2\delta_n}) \otimes \mathbf{1} \} \\
 &= \frac{1}{2} \{ -(\sum_i (X_i X_{\bar{i}} + X_{\bar{i}} X_i) + \sum_a Y_a^2) \otimes \mathbf{1} \otimes \mathbf{1} \\
 &\quad \quad + \mathbf{1} \otimes \tau(C_k) \otimes \mathbf{1} + \mathbf{1} \otimes \tau(H_{2\delta_n}) \otimes \mathbf{1} \} \\
 &= \frac{1}{2} (-C + \langle \Lambda, \Lambda + 2\delta_k \rangle \mathbf{1} + \langle \Lambda, 2\delta_n \rangle \mathbf{1}) \quad [\text{by (3.1)}] \\
 &= \frac{1}{2} (-C + \langle \Lambda, \Lambda + 2\delta \rangle \mathbf{1}).
 \end{aligned}$$

This proves the Lemma.

**4. The theorem.** We shall prove the following

**Theorem.** *The notation and hypotheses being as in the preceding sections, let  $\tau$  be an irreducible representation of  $K$  whose highest weight  $\Lambda$  is a dominant integral form with respect to  $\Delta_+$ . Then*

$$H^{0,q}(\Gamma, X, J_\tau) = (0)$$

for  $q$  satisfying one of the following conditions.

- (I)  $q < q_\Lambda$ , where  $q_\Lambda$  is the number of roots  $\alpha$  such that  $\langle \Lambda, \alpha \rangle > 0$ .
- (II)  $q < r$ , if  $X$  is an irreducible symmetric space of rank  $r$  and unless  $q=0$  nor  $\Lambda=0$ .

As mentioned in the introduction, the case (I) has been proved in [7] (and also in [8]) in a different way, while the case (II) is a slight generalization of a result in [3].

To prove the theorem, we recall first a formula in [8, Part II] which we will apply. Let  $\sigma$  be a representation of  $K$  in a complex vector space  $V_\sigma$ . By an automorphic form of type  $(\Gamma, \sigma, \lambda)$  we mean a  $V_\sigma$ -valued  $C^\infty$ -function  $f$  on  $G$  satisfying the following conditions. (i)  $f(gk) = \sigma(k^{-1})f(g)$  for  $g \in G, k \in K$ , (ii)  $f(\gamma g) = f(g)$  for  $\gamma \in \Gamma, g \in G$ , and (iii)  $Cf = \lambda f$ , where  $\lambda$  is a complex number

depending only on  $\sigma$ . By what we have observed in §§2 and 3, we can identify the space  $\mathcal{A}^{0,q}(\Gamma, X, J_\tau)$  with the space of  $V \otimes \Lambda^q \mathfrak{n}^+$ -valued  $C^\infty$ -functions  $f$  on  $\Gamma \backslash G$  satisfying (2.2) and such that

$$Cf = \langle \Lambda, \Lambda + 2\delta \rangle f.$$

Therefore,  $\mathcal{A}^{0,q}(\Gamma, X, J_\tau)$  may be considered as the space of automorphic forms of type  $(\Gamma, \tau \otimes \text{ad}^q, \lambda)$  with  $\lambda = \langle \Lambda, \Lambda + 2\delta \rangle$ .

Let  $\pi$  be a unitary representation of  $G$  in a Hilbert space  $H_\pi$ . Then  $\pi$  gives rise to representations of the Lie algebra  $\mathfrak{g}$  and of the universal enveloping algebra  $U(\mathfrak{g})$  in  $H_\pi$ , which we shall denote also by  $\pi$ . The operator  $\pi(C)$  is known to be a self-adjoint operator of  $H_\pi$  with a dense domain. Assume now that  $\pi$  is irreducible. There exists then a complex number  $\lambda_\pi$  such that  $\pi(C) = \lambda_\pi \mathbf{1}$ , i.e. that  $\pi(C)u = \lambda_\pi u$  for all  $u$  in the domain of  $\pi(C)$ . On the other hand, the space  $H_\pi$  being considered as a  $K$ -module by the restriction of  $\pi$  to  $K$ , decomposes into a countable sum of irreducible  $K$ -submodules among which each irreducible  $K$ -module occurs with finite multiplicity. So we can define for a representation  $\sigma$  of  $K$  on a finite-dimensional complex vector space  $V_\sigma$ , the intertwining number  $(\pi|K; \sigma)$  as the dimension of the space of all  $K$ -homomorphisms of  $H_\pi$  into  $V_\sigma$ . If  $\sigma$  is irreducible,  $(\pi|K; \sigma)$  is equal to the multiplicity of  $\sigma$  in the restriction of  $\pi$  to  $K$ .

Let now  $\rho$  be the unitary representation of the group  $G$  in the Hilbert space  $L^2(\Gamma \backslash G)$  induced from the action of  $G$  on  $\Gamma \backslash G$ . We know that  $\rho$  decomposes into sum of a countable number of irreducible representations, in which each irreducible representation  $\pi$  of  $G$  enters with a finite multiplicity that we denote by  $m_\pi(\Gamma)$ .

Now, for a representation  $\sigma$  of  $K$ , let  $A(\Gamma, \sigma, \lambda)$  be the space of automorphic forms of type  $(\Gamma, \sigma, \lambda)$ . Then we have obtained the following formula [8, Theorem 3].

$$(4.1) \quad \dim A(\Gamma, \sigma, \lambda) = \sum_{\pi \in D_\lambda} m_\pi(\Gamma) (\pi|K; \sigma^*)$$

where  $\sigma^*$  denotes the representation of  $K$  contragredient to  $\sigma$  and  $D_\lambda$  is the set of irreducible unitary representations  $\pi$  of  $G$  such that  $\pi(C) = \lambda \mathbf{1}$ . Actually this formula is established in [8] for the case that  $\sigma$  is irreducible, but it follows that the same formula holds for any finite-dimensional representation  $\sigma$  of  $K$ , since  $\sigma$  decomposes into a finite sum of irreducible representations. Moreover, if  $\pi^*$  denotes the representation of  $G$  contragredient to an irreducible unitary representation  $\pi$  of  $G$ , we can easily see  $(\pi|K; \sigma^*) = (\pi^*|K; \sigma)$  and that  $\pi(C)$  and  $\pi^*(C)$  are the same scalar multiple of the identity operators. The representation  $\sigma$  of  $G$  in  $L^2(\Gamma \backslash G)$  is self-contragredient, from which it follows that  $m_\pi(\Gamma) = m_{\pi^*}(\Gamma)$  for any irreducible representation  $\pi$  of  $G$ . Combining these results, the formula (4.1) can now be written as

$$\dim A(\Gamma, \sigma, \lambda) = \sum_{\tau \in D_\lambda} m_\pi(\Gamma)(\pi|K; \sigma).$$

Applying this to our case, we get the following formula.

$$(4.2) \quad \dim \mathcal{H}^{0,q}(\Gamma, X, J_\tau) = \sum_{\pi} m_\pi(\Gamma)(\pi|K; \tau \otimes \text{ad}_\tau^q)$$

where  $\pi$  runs over the irreducible unitary representations of  $G$  for which  $\pi(C) = \langle \Lambda, \Lambda + 2\delta \rangle \mathbf{1}$ .

Using this interpretation, we have the following lemma whose proof depends on a computation similar to Parthasarathy’s [11] (See also [3, Lemma 3.7]).

**Lemma 2.** *Assume  $H^{0,q}(\Gamma, X, J_\tau) \neq (0)$ . Then there exists a subset  $Q \subset \Psi$  with cardinality  $q$ , satisfying the following conditions;*

(1) *there exists an irreducible unitary representation  $\pi_\mu$  of  $G$  whose highest weight with respect to the (new) positive root system  $\Delta_+^* = \Theta \cup (-\Psi)$  is  $\mu = \Lambda + \langle Q \rangle$ . That is, there exists a non-zero vector  $v$  in the representation space of  $\pi_\mu$  such that*

$$\begin{aligned} \pi_\mu(X_\alpha)v &= 0 & (\alpha \in \Delta_+^*), \\ \pi_\mu(H)v &= \mu(H)v & (H \in \mathfrak{h}^c). \end{aligned}$$

(2)  $\langle \Lambda, \alpha \rangle = 0$  for  $\alpha \in \Psi - Q$  and  $|\delta_k - \delta_n| = |\delta_k - \delta_n + \langle Q \rangle|$ , where  $|\lambda|^2 = \langle \lambda, \lambda \rangle$  for any  $\lambda \in \mathfrak{h}_0^*$ .

**Proof.** By the assumption and (4.2), there exists an irreducible unitary representation  $\pi$  in a space  $H_\pi$  containing an irreducible  $K$ -module  $U$  intertwining with  $\tau \otimes \text{ad}_\tau^q$ . Let  $\mu$  be the highest weight of  $U$  and  $v$  be the non-zero eigenvector for  $\mu$ . Note that there then exists  $Q \subset \Psi$  such that  $\mu = \Lambda + \langle Q \rangle$ . We know that  $v$  is in the domain of all operators  $\pi(X)$  ( $X \in U(\mathfrak{g}^c)$ ). Since  $\pi(C) = \langle \Lambda, \Lambda + 2\delta \rangle \mathbf{1}$ , we have

$$\begin{aligned} & 2 \sum_i \pi(X_i)\pi(X_{\bar{i}})v \\ &= \sum_i \{ \pi(X_i)\pi(X_{\bar{i}}) + \pi(X_{\bar{i}})\pi(X_i) \} v + \sum_i \pi([X_i, X_{\bar{i}}])v \\ &= \{ \pi(C_k) - \pi(C) + \pi(H_{2\delta_n}) \} v \\ &= \{ \langle \Lambda, \Lambda + 2\delta \rangle - \langle \mu, \mu + 2\delta_k \rangle + \langle \mu, 2\delta_n \rangle \} v && \text{[by (3.1)]} \\ &= \{ |\Lambda + \delta|^2 - |\mu + \delta_k - \delta_n|^2 \} v && \text{[as } |\delta| = |\delta_k - \delta_n| \text{]} \\ &= \{ 2\langle \Lambda, 2\delta_n - \langle Q \rangle \rangle + |\delta_k - \delta_n|^2 - |\delta_k - \delta_n + \langle Q \rangle|^2 \} v && \text{[as } \mu = \Lambda + \langle Q \rangle \text{]}. \end{aligned}$$

Since  $\pi$  is unitary, it follows

$$\begin{aligned} & -2 \sum_i \|\pi(X_{\bar{i}})v\|^2 \\ &= \{ 2\langle \Lambda, 2\delta_n - \langle Q \rangle \rangle + (|\delta_k - \delta_n|^2 - |\delta_k - \delta_n + \langle Q \rangle|^2) \} \|v\|^2, \end{aligned}$$

where  $\|\cdot\|$  denotes the Hilbert norm on  $H_\pi$ . But by the assumption on  $\Lambda$ ,  $\langle \Lambda, 2\delta_n - \langle Q \rangle \rangle \geq 0$  and by a result of Kostant [4],

$$|\delta_k - \delta_n|^2 \geq |\delta_k - \delta_n + \langle Q \rangle|^2.$$

Hence  $\pi(X_{\bar{\tau}})v=0$ ; thus  $\pi$  satisfies the requirement for  $\pi_\mu$  in (1) and simultaneously  $Q$  satisfies (2). Q.E.D.

**Proof of Theorem.** We are now ready to prove the case (I). Assume  $H^{0,q}(\Gamma, X, J_\tau) \neq (0)$ . Let  $Q \subset \Psi$  be as in Lemma 2. Then  $\langle \Lambda, \alpha \rangle = 0$  for  $\alpha \in \Psi - Q$ . Setting

$$Q_\Lambda = \{ \alpha \in \Psi; \langle \Lambda, \alpha \rangle > 0 \},$$

we thus have  $Q_\Lambda \subset Q$ . Hence  $q_\Lambda \leq q$ .

We shall next prove the theorem for the case (II). Under the assumption of (II), the Lie algebra  $\mathfrak{g}^c$  is simple and so there exists a unique root  $\alpha_0 \in \Psi$  which is a simple root with respect to the positive root system  $\Delta_+ = \Theta \cup \Psi$ . If  $\langle \Lambda, \alpha_0 \rangle \neq 0$ , then clearly  $\langle \Lambda, \alpha \rangle > 0$  for all  $\alpha \in \Psi$ , which means  $q_\Lambda = N = \dim_c X$ . Hence, in this case (I) implies the assertion in (II).

To treat the remaining case, i.e. the case  $\langle \Lambda, \alpha_0 \rangle = 0$ , we use a criterion of the unitarizability of representations with highest weights obtained in [3]. Assume again that  $H^{0,q}(\Gamma, X, J_\tau) \neq (0)$ . By Lemma 2, there exists an irreducible unitary representation  $\pi_\mu$  with highest weight  $\mu = \Lambda + \langle Q \rangle$  with respect to the positive root system  $\Delta'_+ = \Theta \cup (-\Psi)$ . To simplify our notation, put  $\delta' = \delta_k - \delta_n$  and  $Q' = -Q \subset -\Psi$ . Then by (2) of Lemma 2,

$$|\delta'| = |\delta' - \langle Q' \rangle|.$$

By Kostant [4], there then exists an element  $s \in W$  such that  $s(-\Delta'_+) \cap \Delta'_+ = Q'$ , where  $W$  is the Weyl group for  $(\mathfrak{g}^c, \mathfrak{h}^c)$ . Note that  $\langle Q' \rangle = \delta' - s\delta'$  and  $l(s) = q$ , where  $l(s)$  is the length of a minimal expression of  $s$  as product of Weyl reflections for simple roots in  $\Delta'_+$ .

Since  $\pi_\mu$  is an irreducible unitary representation with highest weight  $\mu$  with respect to the positive root system  $\Delta'_+$ , we have by [3, Lemma 3.4],

$$\langle \mu, \beta_0 \rangle \neq 0,$$

if  $\mu \neq 0$ , where  $\beta_0$  is the highest root in  $\Delta'_+$ . By what we have seen above,

$$\mu = \Lambda - (\delta' - s\delta')$$

with  $l(s) = q$  and  $s\Delta'_+ \supset \Theta$ .

Now, as we suppose  $\langle \Lambda, \alpha_0 \rangle = 0, \langle \Lambda, \beta_0 \rangle = 0$ . Hence applying [3, Lemma 3.6], we have

$$\begin{aligned} \langle \mu, \beta_0 \rangle &= \langle \Lambda - (\delta' - s\delta'), \beta_0 \rangle \\ &= \langle \Lambda, \beta_0 \rangle + \langle s\delta' - \delta', \beta_0 \rangle \\ &= \langle s\delta' - \delta', \beta_0 \rangle = 0 \end{aligned}$$

when  $q = l(s) < r = \text{rank } X$ . Thus we should have  $q \geq r$  unless  $\mu = 0$ .

We shall see that if  $\langle \Lambda, \alpha_0 \rangle = 0$  and  $\mu = 0$ , then  $\Lambda = 0$  and  $q = 0$ . Since  $s\Delta'_+ \supset \Theta$ ,

$$\langle s\delta' - \delta', \alpha \rangle \geq 0 \quad (\alpha \in \Theta)$$

(see, for example, [3, Lemma 3.5]). But we assume that  $\langle \Lambda, \alpha \rangle \geq 0$  for  $\alpha \in \Theta \cup \Psi$ . Hence if  $\mu = 0$ , i.e. if  $\Lambda = \delta' - s\delta'$ , then

$$\langle \Lambda, \alpha \rangle = 0 \quad (\alpha \in \Theta).$$

Since the center of  $\mathfrak{k}$  is one-dimensional, it follows that there exists a scalar  $c \in \mathbf{C}$  such that  $\Lambda = c\delta_n$ . By [9, p. 96, Corollary], we know

$$\langle \delta_n, \alpha_0 \rangle > 0$$

(actually,  $\langle 2\delta_n, \alpha \rangle = \frac{1}{2}(\alpha \in \Psi)$ ). Hence  $c = \langle \Lambda, \alpha_0 \rangle / \langle \delta_n, \alpha_0 \rangle$  and so  $c = 0$ , because  $\langle \Lambda, \alpha_0 \rangle = 0$ . Thus we have  $\Lambda = 0$ . We have also  $q = 0$ , since  $\mu = \Lambda + \langle Q \rangle = 0$ . We have thus completed the proof for the case (II).

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