

# q-PLURISUBHARMONIC FUNCTIONS AND A GENERALIZED DIRICHLET PROBLEM

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## 1. INTRODUCTION

Let  $\Omega$  be a bounded domain in  $\mathbb{C}^n$  and let  $q$  be an integer,  $0 \leq q \leq n - 1$ . A  $C^2$  function  $u$  defined in  $\Omega$  is a  $q$ -plurisubharmonic function in  $\Omega$  if its complex Hessian has  $(n - q)$  nonnegative eigenvalues at each point of  $\Omega$ . An obvious question is whether there is a definition for  $q$ -plurisubharmonic functions which are not necessarily  $C^2$ . Recall that an upper semicontinuous function defined in  $\Omega$  is plurisubharmonic there if it is essentially subharmonic in every complex direction (see [6]). Thus the definition of plurisubharmonic function is reduced to a 1-complex dimensional definition, and the same is true for plurisuperharmonic functions. We give definitions of  $q$ -plurisubharmonic (and  $q$ -plurisuperharmonic) functions in  $\Omega$ , with 0-plurisubharmonic and plurisubharmonic being equivalent. These definitions seem to be very natural for  $\mathbb{C}^n$ , are invariant under biholomorphic coordinate changes on  $\mathbb{C}^n$ , and are equivalent to those mentioned above if a function is actually  $C^2$ .

Let  $D$  be a bounded strictly pseudoconvex domain in  $\mathbb{C}^n$ ,  $n > 1$ , with  $C^2$  boundary, and suppose that  $b$  is a continuous real valued function defined on  $\partial D$ . We can solve the Dirichlet problem to find a harmonic function in  $D$  which assumes the given boundary values. The problem with this solution is that it is not invariant under biholomorphic coordinate changes on  $\mathbb{C}^n$ . In order to remedy this, Bremermann [3] considered the class of all continuous plurisubharmonic functions in  $D$  which are less than or equal to  $b$  on  $\partial D$  and applied Perron's method showing that the upper envelope  $\bar{u}$  of this class exists and takes on the given boundary values. His solution  $\bar{u}$  is plurisubharmonic in  $D$ , invariant under biholomorphic coordinate changes on  $\mathbb{C}^n$ , and if  $C^2$ , satisfies the homogeneous complex Monge-Ampere equation

$$[\partial\bar{\partial}u]^n = \underbrace{\partial\bar{\partial}u \wedge \dots \wedge \partial\bar{\partial}u}_{n \text{ times}} = 0$$

in  $D$ . Later, Walsh [8] showed that  $\bar{u}$  is continuous, and Bedford and Taylor [2] proved that  $\bar{u}$  satisfied their distributional definition of the homogeneous complex Monge-Ampere equation.

Let  $D$  be a strictly  $q$ -pseudoconvex domain in  $\mathbb{C}^n$  with  $C^2$  boundary, and let  $b$  be a continuous function on  $\partial D$ . We prove that the upper envelope of all upper semicontinuous functions on  $\bar{D}$  which are  $q$ -plurisubharmonic in  $D$  and less than

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or equal to  $b$  on  $\partial D$  exists and takes on the boundary values  $b(z)$  on  $\partial D$  if we assume that  $2q < n$  (for  $2q = n$  we give a counterexample). We show that this upper envelope is continuous on  $\bar{D}$ , is  $q$ -plurisubharmonic in  $D$ , and is  $(n - q - 1)$ -plurisuperharmonic in  $D$  (if the envelope is  $C^2$ , these last two conditions imply that it satisfies the homogeneous complex Monge-Ampere equation).

Given a strictly pseudoconvex domain  $D \subset \mathbb{C}^n$  with  $C^2$  boundary, we prove that any continuous plurisubharmonic solution taking on given boundary values  $b(z)$  on  $\partial D$ , and which is also  $(n - 1)$ -plurisuperharmonic must actually be Bremermann's solution. Hence this is also the solution to the distributional complex Monge-Ampere equation defined by Bedford and Taylor.

We also examine a problem similar to one discussed by Chern, Levine, and Nirenberg in [4]. Consider an "annulus" with  $C^2$  boundary where the outer boundary is pseudoconvex (not necessarily strictly pseudoconvex) and the inner boundary is weakly restricted. Assign the boundary values 1 on the outer boundary and 0 on the inner boundary. Using the theory developed in this paper we show that there exists a function which is plurisubharmonic and  $(n - 1)$ -plurisuperharmonic in the annulus, and which takes on the given boundary values. This function is the upper envelope of the plurisubharmonic functions which are less than or equal to 1 on the outer boundary and less than or equal to 0 on the inner boundary. Moreover, this function satisfies the distributional complex Monge-Ampere equation of Bedford and Taylor.

Bremermann also considers his boundary value problem for a pseudoconvex domain  $D$  with  $C^2$  boundary. The treatment of general pseudoconvex domains requires the notion of "Šilov boundary"  $S(D)$  of the function algebra of holomorphic functions in  $D$  which are continuous in  $\bar{D}$ . He shows that his generalized Dirichlet problem is possible for the upper envelope of the plurisubharmonic functions in  $\bar{D}$  that are smaller or equal to the given continuous boundary values  $b(z)$  (at those points of  $\partial D$  where  $b(z)$  is defined) if and only if the boundary values are prescribed on and only on the Šilov boundary  $S(D)$  of  $D$ . Rossi [7] proved that the Šilov boundary is just the closure of the strictly pseudoconvex boundary points for  $D$ .

Suppose we consider our boundary value problem for a  $q$ -pseudoconvex domain  $D$ , with  $2q < n$ , which is not necessarily strictly  $q$ -pseudoconvex. We prove results that suggest that the boundary values should probably be prescribed only on the closure of the strictly  $q$ -pseudoconvex boundary points of  $D$ . In doing so we introduce the notion of  $q$ -holomorphic functions of Basener [1], and relate properties of  $q$ -holomorphic functions to properties of  $q$ -plurisubharmonic functions. These  $q$ -holomorphic functions essentially play the same role in our problem as the holomorphic functions in Bremermann's problem.

Section 2 of this article contains our definition of  $q$ -plurisubharmonic function together with properties of such functions. In section 3 we solve the generalized Dirichlet problem for strictly  $q$ -pseudoconvex domains in  $\mathbb{C}^n$  with  $2q < n$ . In section 4 we consider the uniqueness of solutions to the boundary value problem and the "annulus" problem. Section 5 contains a treatment of the problem for  $q$ -pseudoconvex domains and a development of the relationship of  $q$ -holomorphic functions and  $q$ -plurisubharmonic functions.

2.  $q$ -PLURISUBHARMONIC FUNCTIONS

As we move toward the consideration of our boundary value problem for  $q$ -pseudoconvex domains, we need several definitions and lemmas.

*Definition 2.1.* A function defined in an open set  $\Omega \subset \mathbb{C}$  and with values in  $[-\infty, +\infty)$  is called *subharmonic* if (i)  $u$  is upper semicontinuous in  $\Omega$ , and (ii) for every open ball  $B$  such that  $\bar{B} \subset \Omega$  and every continuous function  $h$  on  $\bar{B}$  which is harmonic in  $B$  and is greater than or equal to  $u$  on  $\partial B$  we have  $u \leq h$  on  $\bar{B}$ .

*Definition 2.2* A function  $u$  defined in an open set  $\Omega \subset \mathbb{C}^n$  with values in  $[-\infty, +\infty)$  is called *plurisubharmonic* if (i)  $u$  is upper semicontinuous in  $\Omega$ , and (ii)  $u$  is subharmonic on the intersection of every 1-dimensional complex plane with  $\Omega$ .

Definitions for *superharmonic* and *plurisuperharmonic* functions can be given by replacing upper semicontinuity by lower semicontinuity in (i) of both definitions and by reversing the inequalities in (ii) of Definition 2.1. Also  $u$  is superharmonic (plurisuperharmonic) if  $-u$  is subharmonic (plurisubharmonic).

*Definition 2.3.* A function  $u$  defined in an open set  $\Omega \subset \mathbb{C}^n$  and with values in  $[-\infty, +\infty)$  is called  $(n-1)$ -*plurisubharmonic* in  $\Omega$  if (i)  $u$  is upper semicontinuous in  $\Omega$ , and (ii) for every open ball  $B$  with  $\bar{B} \subset \Omega$  and every continuous function  $g$  in  $\bar{B}$  which is plurisuperharmonic in  $B$  and greater than or equal to  $u$  on  $\partial B$  we have  $u \leq g$  on  $\bar{B}$ . Actually, we need only require that  $g$  be lower semicontinuous in  $\bar{B}$ .

The following result shows that we need to consider only  $C^\infty$  (or  $C^2$ ) plurisuperharmonic functions in Definition 2.3

LEMMA 2.4. *An upper semicontinuous function  $u$  defined in an open set  $\Omega \subset \mathbb{C}^n$  and with values in  $[-\infty, +\infty)$  is  $(n-1)$ -plurisubharmonic if and only if for every open ball  $B$  such that  $\bar{B} \subset \Omega$  and every  $C^\infty$  function  $g$  on  $\bar{B}$  which is plurisuperharmonic in  $B$  and greater than or equal to  $u$  on  $\partial B$  we have  $u \leq g$  on  $\bar{B}$ .*

*Proof.* The necessary statement of this result is trivial so we concern ourselves with only the sufficient statement. Let  $g'$  be a continuous function on  $\bar{B}$  which is plurisuperharmonic in  $B$  and greater than or equal to  $u$  on  $\partial B$ . Let  $0 \leq \varphi \in C_0^\infty(\mathbb{C}^n)$  be equal to 0 when  $|z| > 1$ , let  $\varphi$  depend only on  $|z_1|, \dots, |z_n|$ , and assume that  $\int \varphi(z) d\lambda(z) = 1$  where  $d\lambda$  is the Lebesgue measure. By Theorem 2.6.3 of [6] it follows that

$$g'_\varepsilon(z) = \int g'(z - \varepsilon\zeta) \varphi(\zeta) d\lambda(\zeta)$$

is plurisuperharmonic, that  $g'_\varepsilon(z)$  is  $C^\infty$  where the distance from  $z$  to the complement of  $B$  is greater than  $\varepsilon$ , and that  $g'_\varepsilon$  increases monotonically to  $g'$  as  $\varepsilon$  decreases to 0. Given  $\delta > 0$  there exists an  $\varepsilon$  sufficiently small so that  $u < g' + \delta/2$  and  $u < g'_\varepsilon + \delta$  on  $\partial B_\varepsilon$ , where  $B_\varepsilon$  is an open ball concentric with  $B$  and with radius equal to the radius of  $B - \varepsilon$ . By hypothesis  $u \leq g'_\varepsilon + \delta$  on  $B_\varepsilon$ . Letting  $\delta$  and  $\varepsilon$  approach 0 we find that  $u \leq g'$  on  $\bar{B}$ .

We can generalize Definition 2.3 to  $q$ -plurisubharmonic functions, where  $0 \leq q \leq n - 1$ .

*Definition 2.5.* A function  $u$  defined in an open set  $\Omega \subset \mathbb{C}^n$  and with values in  $[-\infty, +\infty)$  is called  $q$ -plurisubharmonic in  $\Omega$  if (i)  $u$  is upper semicontinuous in  $\Omega$ , and (ii)  $u$  is  $q$ -plurisubharmonic on the intersection of every  $(q + 1)$ -dimensional complex plane with  $\Omega$ .

If a function  $g$  is continuous in  $\bar{B}$  and plurisuperharmonic in  $B$ , where  $B$  is an open ball whose closure is contained in the intersection of a  $(q + 1)$ -dimensional complex plane with  $\Omega$ , then  $g$  (being independent of  $(n - q - 1)$  variables) remains plurisuperharmonic under biholomorphic coordinate changes on  $\mathbb{C}^n$ . Thus the definition of a  $q$ -plurisubharmonic function is invariant under such coordinate changes.

Of course similar definitions can be given for  $(n - 1)$ -plurisuperharmonic functions and  $q$ -plurisuperharmonic functions in  $\Omega \subset \mathbb{C}^n$ .

**LEMMA 2.6.** *A  $C^2$  function  $u$  defined in an open set  $\Omega \subset \mathbb{C}^n$  and with values in  $[-\infty, +\infty)$  is  $q$ -plurisubharmonic in  $\Omega$  if and only if the complex Hessian of  $u$  has at least  $(n - q)$  nonnegative eigenvalues at each point of  $\Omega$ .*

*Proof.* From the definition of  $q$ -plurisubharmonic function it suffices to prove the following result in  $\mathbb{C}^{q+1}$ . If  $\Omega \subset \mathbb{C}^{q+1}$ , a  $C^2$  function  $u$  is  $q$ -plurisubharmonic in  $\Omega$  if and only if  $u$  has at least 1 nonnegative eigenvalue in its complex Hessian at each point of  $\Omega$ .

Assume that  $u$  has  $(q + 1)$  negative eigenvalues at some point  $z_0 = 0 \in \Omega$ . We may write (assuming  $u$ , its first derivatives, and certain second derivatives vanish at  $z_0$ )

$$u(z) = \sum_{j=1}^{q+1} \lambda_j z_j \bar{z}_j + O(|z|^3),$$

where  $\lambda_j < 0$  for  $j = 1, 2, \dots, q + 1$ . Then there exist a ball  $B$  about 0 such that  $\bar{B} \subset \Omega$  and an  $\varepsilon > 0$  such that  $u(z) < -\varepsilon$  on  $\partial B$  and  $u(0) = 0$ , a contradiction.

To prove the other direction, let  $B$  be an open ball with  $\bar{B} \subset \Omega$ , and let  $g$  be a  $C^\infty$  function on  $\bar{B}$  which is plurisuperharmonic in  $B$  and greater than or equal to  $u$  on  $\partial B$ . Then  $u - g$  is a  $C^2$  function on  $\bar{B}$  whose Hessian has at least one nonnegative eigenvalue. By the maximum principle for such functions (which is easy to prove for  $C^2$  functions),  $u \leq g$  on  $\partial B$  implies  $u \leq g$  on  $\bar{B}$ . An application of Lemma 2.4 yields the desired result.

The following lemma gives us a maximum principle for  $q$ -plurisubharmonic functions. Of course there is an analogous minimum principle for  $q$ -plurisuperharmonic functions.

**LEMMA 2.7.** *Let  $K$  be a compact set in  $\mathbb{C}^n$  and suppose that  $u$  is upper semicontinuous on  $K$  and  $q$ -plurisubharmonic in the interior of  $K$ . Then the maximum of  $u$  on  $K$  is attained on  $\partial K$ .*

*Proof.* Since  $u$  is upper semicontinuous on  $K$ ,  $u$  does take on its maximum on  $K$ . Assume that there is an interior point  $z_0 = 0$  of  $K$  for which  $u(0) > u(z)$  for all  $z \in \partial K$  and assume  $u(0) = 0$ . Intersect  $K$  with a complex plane through  $z_0$  of dimension  $(q + 1)$  and notice that  $u$  is  $q$ -plurisubharmonic in the interior

of this intersection. For this reason we can assume that  $n = q + 1$  and  $K \subset \mathbb{C}^{q+1}$ . Thus we have that  $u(0) = 0$  and  $u(z) < 0$  for all  $z \in \partial K$ . Choose  $\varepsilon > 0$  so that the function  $u_\varepsilon(z) = u(z) + \varepsilon \|z\|^2$ , where  $\|z\| = (z_1 \bar{z}_1 + \dots + z_n \bar{z}_n)^{1/2}$ , satisfies  $u_\varepsilon(z) < 0$  on  $\partial K$ . Since  $u_\varepsilon(0) = u(0) = 0$ , we have that  $u_\varepsilon(z)$  assumes its maximum for  $K$  at some interior point  $p$  in  $K$ . Let  $B$  be an open ball of radius  $r > 0$  and center  $p$  such that  $\bar{B}$  is contained in the interior of  $K$ . A computation yields

$$u_\varepsilon(z) = u(z) + \varepsilon \|z\|^2 = u(z) + \varepsilon \|z - p\|^2 - \varepsilon \|p\|^2 + 2\varepsilon \operatorname{Re}\langle p, z \rangle,$$

where  $\langle \cdot, \cdot \rangle$  is the usual inner product on  $\mathbb{C}^n$ . Since the function  $2\varepsilon \operatorname{Re}\langle p, z \rangle$  is pluriharmonic, it is obvious that the function  $u(z) - \varepsilon \|p\|^2 + 2\varepsilon \operatorname{Re}\langle p, z \rangle$  is  $q$ -pluri-subharmonic in the interior of  $K$ . Thus there exists a point  $z_1 \in \partial B$  such that

$$\begin{aligned} u(p) + \varepsilon \|p\|^2 &\leq u(z_1) - \varepsilon \|p\|^2 + 2\varepsilon \operatorname{Re}\langle p, z_1 \rangle \\ &< u(z_1) + \varepsilon r^2 - \varepsilon \|p\|^2 + 2\varepsilon \operatorname{Re}\langle p, z_1 \rangle. \end{aligned}$$

Thus  $u_\varepsilon(p) < u_\varepsilon(z_1)$ , contradicting the fact that  $u_\varepsilon$  assumes its maximum at  $p$ .

*Remark.* It is clear that in Definition 2.3 we could replace open balls  $B$  such that  $\bar{B} \subset \Omega$  by compact sets  $K$  such that  $K \subset \Omega$ . Also the definition of  $q$ -plurisubharmonic functions is a local definition.

The proof of the next lemma is obvious.

**LEMMA 2.8.** *Let  $\Omega$  be an open set in  $\mathbb{C}^n$ , and let  $u_1$  and  $u_2$  be  $q$ -plurisubharmonic in  $\Omega$  for some  $q$ ,  $0 \leq q \leq n - 1$ . Then  $\max(u_1, u_2)$  is  $q$ -plurisubharmonic in  $\Omega$ .*

If  $\Omega$  is an open set in  $\mathbb{C}$ , then a continuous function  $u$  in  $\Omega$  which is both subharmonic and superharmonic in  $\Omega$  is harmonic there. This suggests the following definition for our theory.

*Definition 2.9.* If  $\Omega$  is an open set in  $\mathbb{C}^n$  and if  $q$  satisfies  $0 \leq q \leq n - 1$ , then a continuous function  $u$  in  $\Omega$  is called  $q$ -complex Monge-Ampere ( $q$ -CMA) in  $\Omega$  if it is both  $q$ -plurisubharmonic and  $(n - q - 1)$ -plurisuperharmonic.

**LEMMA 2.10.** *If  $u$  is a  $C^2$  function in an open set  $\Omega \subset \mathbb{C}^n$ , and if  $u$  is  $q$ -CMA in  $\Omega$ , then  $u$  has at least one zero eigenvalue in its complex Hessian at each point of  $\Omega$ .*

*Proof.* We have that  $u$  is  $q$ -plurisubharmonic in  $\Omega$  for some  $q$ ,  $0 \leq q \leq n - 1$ , and also  $(n - q - 1)$ -plurisuperharmonic in  $\Omega$ . By Lemma 2.6  $u$  has at least  $(n - q)$  nonnegative eigenvalues in its complex Hessian at every point. Of course a corresponding lemma for  $(n - q - 1)$ -plurisuperharmonic functions would show that this Hessian has at least  $(q + 1)$  nonpositive eigenvalues in  $\Omega$ . Hence the complex Hessian of  $u$  has at least 1 zero eigenvalue at each point of  $\Omega$ .

Thus if  $u$  is  $C^2$  and  $q$ -CMA in  $\Omega \subset \mathbb{C}^n$ , then  $u$  satisfies the homogeneous complex Monge-Ampere equation

$$[\partial\bar{\partial}u]^n = \underbrace{\partial\bar{\partial}u \wedge \dots \wedge \partial\bar{\partial}u}_{n \text{ times}} = 0$$

in  $\Omega$ .

3. A GENERALIZED DIRICHLET PROBLEM

We want to consider a boundary value problem for particular domains in  $\mathbb{C}^n$ ,  $n > 1$ . Let  $D$  be a domain in  $\mathbb{C}^n$  with a  $C^2$  boundary. Let  $p \in \partial D$  and  $U$  be an open neighborhood of  $p$  in  $\mathbb{C}^n$ . A  $C^2$  real-valued function  $\rho$  on  $U$  satisfying

$$D \cap U = \{z \in U: \rho(z) < 0\}$$

and  $d\rho \neq 0$  on  $\partial D \cap U$  is a defining function on  $U$  for  $D$ . We let  $L_p(\rho)$  denote the Levi form of  $\rho$  at  $p$ . Let  $\lambda_p, \lambda_z,$  and  $\lambda_N$  denote the number of positive, zero, and negative eigenvalues of  $L_p(\rho)$  respectively.

*Definition 3.1.* The domain  $D$  is *q-pseudoconvex* at the boundary point  $p$  if  $\lambda_N \leq q$  and is *strictly q-pseudoconvex* at  $p$  if  $\lambda_N + \lambda_z \leq q$ . Also  $D$  is (strictly) *q-pseudoconvex* if it is (strictly) *q-pseudoconvex* at each boundary point.

The boundary value problem that we are interested in is the following one. Let  $D$  be a bounded strictly *q-pseudoconvex* domain in  $\mathbb{C}^n$ ,  $n > 1$ , of the form  $D = \{z \in \mathbb{C}^n: \rho(z) < 0\}$ , where  $\rho(z)$  is a  $C^2$  defining function for  $\bar{D}$  which is strictly *q-plurisubharmonic* in a neighborhood of  $\bar{D}$ . Let  $b(z)$  be a continuous function given on  $\partial D$ . We consider the set of all *q-plurisubharmonic* functions in  $D$  which are upper semicontinuous in  $\bar{D}$  and less than or equal to  $b(z)$  on  $\partial D$ . As a consequence of our next result we have that if  $2q < n$ , then the upper envelope of this class of functions exists and takes on the prescribed boundary values  $b(z)$ .

First we give a counter example to show that this may not be true if  $2q \geq n$ . Let  $D \subset \mathbb{C}^2$  be defined as  $D_1 - \bar{D}_2$ , where

$$D_1 = \{z \in \mathbb{C}^2: (z_1\bar{z}_1 + z_2\bar{z}_2)^{1/2} < e\} \quad \text{and} \\ D_2 = \{z \in \mathbb{C}^2: (z_1\bar{z}_1 + z_2\bar{z}_2)^{1/2} < 1\}.$$

Then  $\partial D = \partial D_1 \cup \partial D_2$ , and  $D$  is 1-pseudoconvex in  $\mathbb{C}^2$ . Let

$$b(z) = \begin{cases} 1 & \text{on } \partial D_1 \\ 0 & \text{on } \partial D_2 \end{cases}.$$

Then we can define a  $C^\infty$  1-plurisubharmonic function  $u$  in  $\bar{D}$  such that on each 1-dimensional complex plane through the origin (which we assume is the  $z_1$ -plane for convenience) we have  $u$  is a function of  $|z_1|^2$  such that  $u(1) = 0$  and  $u(e^2) = 1$  and  $-1 \leq u \leq 1$  on  $\bar{D}_1$  with  $0 \leq u \leq 1$  on  $\bar{D}$ . Given  $\epsilon > 0$  and  $\delta > 0$  we can choose such a  $u$  so that  $u(z) \geq 1 - \epsilon$  for  $(z_1\bar{z}_1 + z_2\bar{z}_2)^{1/2} \geq 1 + \delta$ . Thus the upper envelope of such functions takes on the boundary value 1 on  $\partial D_2$ , and our problem does not have a solution.

**THEOREM 3.2.** *Let  $D$  be a domain in  $\mathbb{C}^n$  given by  $D = \{z \in \mathbb{C}^n: \rho(z) < 0\}$ , where  $\rho(z)$  is  $C^2$  in a neighborhood of  $\bar{D}$ . Let  $b(z)$  be a continuous real valued function defined on  $\partial D$ . Then the upper envelope  $\bar{u}(z)$  of the class of all functions which are *q-plurisubharmonic* in  $D$ , upper semicontinuous on  $\bar{D}$ , and less than or equal to  $b(z)$  on  $\partial D$  exists. If  $\partial D$  is strictly *q-pseudoconvex* in a neighborhood of  $p \in \partial D$ , then we have*

$$\liminf_{z \rightarrow p} \bar{u}(z) \geq b(p).$$

If  $D$  is a strictly  $q$ -pseudoconvex domain in  $\mathbb{C}^n$  and  $2q < n$ , we also have

$$\limsup_{z \rightarrow p} \bar{u}(z) \leq b(p)$$

for every boundary point  $p$  of  $D$ .

*Proof.* The family of  $q$ -plurisubharmonic functions in  $D$  which are upper semicontinuous on  $\bar{D}$  and less than or equal to  $b(z)$  on  $\partial D$  is denoted by  $P_q(b(z), D)$ . The fact that the upper envelope  $\bar{u}(z)$  of functions in  $P_q(b(z), D)$  exists follows from the maximum principle of Lemma 2.7.

The first inequality is proved using the arguments of Bremermann [3] with his strictly plurisubharmonic functions replaced by the strictly  $q$ -plurisubharmonic functions.

If  $D$  is a strictly  $q$ -pseudoconvex domain, we define  $U_\varepsilon(z) = \rho(z) - \varepsilon\|z - p\|^2$ , where  $p$  is an arbitrary boundary point, and  $\varepsilon > 0$  is chosen sufficiently small so that  $U_\varepsilon(z)$  is strictly  $q$ -plurisubharmonic in  $\bar{D}$ . Exactly as in Bremermann's proof, we prove that  $\bar{u}(p) \leq b(p)$  by constructing a "barrier" at the point  $p$ , the barrier function being  $W_p(z) = -U_\varepsilon(z)$ . The only difference here is that we must show that  $u(z) - CW_p(z)$  satisfies the maximum principle in  $\bar{D}$ , where  $C > 0$  is a constant.

From the proof of Lemma 2.7, it suffices to show that  $u(z) - CW_p(z) + \varepsilon\|z\|^2$  satisfies the maximum principle in  $\bar{D}$  for  $\varepsilon > 0$ . Assume there exists a point  $z' \in D$  such that

$$u(z) - CW_p(z) + \varepsilon\|z\|^2 < u(z') - CW_p(z') + \varepsilon\|z'\|^2 \quad \text{for all } z \in \partial D$$

and the maximum of the function on  $\bar{D}$  is assumed at  $z'$ . Suppose we can prove that  $u(z) - CW_p(z)$  is  $q$ -plurisubharmonic on the intersection of a  $(q + 1)$ -dimensional complex plane  $H'$  through  $z'$  with an open ball  $B$  with center at  $z'$  and of small radius. Then the proof of Lemma 2.7 will show that there exists a point  $z \in \partial B \cap H'$  such that

$$u(z) - CW_p(z) + \varepsilon\|z\|^2 > u(z') - CW_p(z') + \varepsilon\|z'\|^2,$$

a contradiction.

Since  $CW_p(z)$  is a  $C^2$  strictly  $q$ -plurisuperharmonic function in  $D$ , there exists an open ball  $B$ , of sufficiently small radius and center  $z'$ , and an  $(n - q)$ -dimensional complex plane  $H$  through  $z'$  such that  $CW_p(z)$  is  $C^2$  plurisuperharmonic in  $B \cap H$ . Because  $2q < n$ , we have  $q + 1 \leq n - q$ , and there exists a  $(q + 1)$ -dimensional complex plane  $H'$  through  $z'$  such that  $CW_p(z)$  is plurisuperharmonic in  $B \cap H'$ . Let  $B'$  be an open ball with  $\bar{B}' \subset B \cap H'$ , and let  $g(z)$  be a  $C^\infty$  plurisuperharmonic function in  $B'$  which is continuous on  $\bar{B}'$  and satisfies  $u(z) - CW_p(z) \leq g(z)$  on  $\partial B'$ . Since  $u$  is  $q$ -plurisubharmonic in  $D$ , and  $CW_p(z)$  is plurisuperharmonic in  $B \cap H'$ , we have that  $u(z) - CW_p(z) \leq g(z)$  on  $\bar{B}'$ . Thus  $u - CW_p(z)$  is  $q$ -plurisubharmonic on  $B \cap H'$ .

Our next result concerns properties of the solution  $\bar{u}(z)$  given to us by Theorem 3.2.

**THEOREM 3.3.** *Let  $D$  be a strictly  $q$ -pseudoconvex domain in  $\mathbb{C}^n$  and assume  $2q < n$ . Let  $b(z)$  be a continuous real-valued function defined on  $\partial D$ . Then the upper envelope  $\bar{u}(z)$  of  $P_q(b(z), D)$  is*

- (i)  $q$ -plurisubharmonic in  $D$ ,
- (ii) continuous in  $\bar{D}$ , and
- (iii)  $q$ -CMA in  $D$ .

*Proof.* First we shall prove (i). Let  $B$  be an open ball with closure contained in the intersection of a  $(q + 1)$ -dimensional complex plane with  $D$ . Suppose  $g$  is  $C^\infty$  plurisuperharmonic in  $B$ , continuous on  $\bar{B}$ , and satisfies  $\bar{u}(z) \leq g(z)$  for all  $z \in \partial B$ . Since  $u(z) \leq g(z)$  for all  $z \in \partial B$  and all  $u \in P_q(b(z), D)$ , we have  $u(z) \leq g(z)$  for all  $z \in B$  and all  $u \in P_q(b(z), D)$ . Then  $\bar{u}(z) \leq g(z)$  for all  $z \in B$ , and  $\bar{u}$  is  $q$ -plurisubharmonic in  $D$  if it is upper semicontinuous there. However, this will follow from part (ii) of our result, which is proved using the technique of Walsh [8] with  $q$ -plurisubharmonic functions instead of plurisubharmonic functions.

It remains to prove that  $\bar{u}$  is  $q$ -CMA in  $D$ . To do this we must show that  $\bar{u}$  is  $(n - q - 1)$ -plurisuperharmonic in  $D$ . Since  $\bar{u}$  is continuous in  $\bar{D}$ , we must show only that  $\bar{u}$  satisfies the appropriate minimum principle. Let  $B$  be an open ball whose closure is contained in the intersection of a  $(n - q)$ -dimensional complex plane  $H$  with  $D$ . Suppose  $f$  is a  $C^\infty$  plurisubharmonic function on  $B$  which is continuous on  $\bar{B}$  and satisfies  $f(z) < \bar{u}(z)$  on  $\partial B$ . Assume that the complex plane  $H$  is defined by  $z_1 = 0, z_2 = 0, \dots, z_q = 0$ , so  $f(z)$  is actually  $f(z_{q+1}, \dots, z_n)$ . Let  $f'(z_1, z_2, \dots, z_n)$  be defined by  $f'(z_1, \dots, z_n) = f(z_{q+1}, \dots, z_n) - K(z_1\bar{z}_1 + \dots + z_q\bar{z}_q)$ , where  $K > 0$  is chosen sufficiently large so that  $f'(z) \leq \bar{u}(z)$  on  $\partial D'$ , where  $D'$  is a still to be chosen strictly pseudoconvex domain with  $C^\infty$  boundary and with closure in  $D$  whose intersection with  $H$  is  $B$ . Since  $f'(z)$  has  $(n - q)$  nonnegative eigenvalues in its complex Hessian,  $f'(z)$  is  $q$ -plurisubharmonic on  $D'$  if  $D'$  is chosen appropriately (for example an ellipsoid whose intersection with any  $(n - q)$ -dimensional complex plane parallel to  $H$  results in a smaller ball than  $B$ , the centers of both balls being contained in the same  $q$ -dimensional complex plane normal to  $H$ ). Since  $f'(z) \leq \bar{u}(z)$  on  $\partial D'$ , we find  $f'(z) \in P_q(\bar{u}(z), D')$ . In Lemma 3.4, we will show that if  $D'$  is a strictly pseudoconvex domain in  $D$ , the function  $\hat{u}$  defined as

$$\hat{u}(z) = \begin{cases} \bar{u}(z), & z \in D - D' \\ \bar{u}_{D'}(z) & z \in D', \end{cases}$$

where  $\bar{u}_{D'}(z)$  is the upper envelope of all  $q$ -plurisubharmonic functions in  $D'$  which are upper semicontinuous in  $\bar{D}$ , and less than or equal to  $\bar{u}(z)$  on  $\partial D'$ , is actually equal to  $\bar{u}(z)$  on  $D$ .

Now  $f'(z) \in P_q(\bar{u}(z), D')$ , implying that  $f'(z) \leq \bar{u}_{D'}(z)$  for all  $z \in D'$ , and hence  $f'(z) \leq \bar{u}(z)$  for all  $z \in D'$ . Thus  $f(z) \leq \bar{u}(z)$  on  $B$ , and  $u(z)$  is  $(n - q - 1)$ -plurisuperharmonic in  $D$ .

*Remark.* Theorems 3.2 and 3.3 are valid if  $q$ -plurisubharmonic is replaced everywhere by  $q$ -plurisuperharmonic,  $P_q(b(z), D)$  is replaced by  $S_q(b(z), D)$ , the set of  $q$ -plurisuperharmonic functions in  $D$  which are greater than or equal to  $b(z)$  on  $\partial D$ , and the upper envelope is replaced by the lower envelope.

We now consider the lemma which is mentioned in the proof of Theorem 3.3.

**LEMMA 3.4.** *Let  $D$  be a  $q$ -pseudoconvex domain in  $\mathbb{C}^n$  with  $C^2$  boundary where  $2q < n$ , and let  $b(z)$  be a continuous function defined on  $\partial D$ . Denote by  $\bar{u}(z)$  the upper envelope of the class  $P_q(b(z), D)$ . If  $D'$  is a strictly pseudoconvex domain with  $C^2$  boundary such that  $\bar{D}' \subset D$ , then the function  $\hat{u}(z)$ , defined by*

$$\hat{u}(z) = \begin{cases} \bar{u}(z), & z \in D - D' \\ \bar{u}_{D'}(z), & z \in D', \end{cases}$$

where  $\bar{u}_{D'}(z)$  is the upper envelope of  $P_q(\bar{u}(z), D')$ , is equal to  $\bar{u}(z)$  in  $D$ .

*Proof.* By Theorems 3.2 and 3.3 we have  $\bar{u}(z) = b(z)$  on  $\partial D$ ,  $\bar{u}(z)$  is continuous in  $D$ ,  $\bar{u}_{D'}(z) = \bar{u}(z)$  on  $\partial D'$ , and  $\hat{u}(z)$  is continuous in  $D$ . Also  $\bar{u}(z)$  is  $q$ -plurisubharmonic in  $D$ , and  $\hat{u}(z)$  is  $q$ -plurisubharmonic in  $\{D - \bar{D}'\} \cup D'$ . It is obvious that  $\bar{u}_{D'}(z) \geq \bar{u}(z)$  on  $\bar{D}$ , since  $\bar{u}(z) \in P_q(\bar{u}(z), D')$ . If we can show that  $\hat{u}(z)$  is  $q$ -plurisubharmonic in  $D$ , then  $\hat{u}(z) \in P_q(b(z), D)$  and  $\hat{u}(z) \leq \bar{u}(z)$  on  $D$ . Thus  $\hat{u}(z) = \bar{u}(z)$  on  $D$ , and our result is proved.

It suffices to show that if  $B$  is an open ball with closure contained in the intersection of a  $(q + 1)$ -dimensional complex plane with  $D$ , if  $g$  is a  $C^\infty$  plurisuperharmonic function in  $D$  which is continuous on  $\bar{D}$  and greater than or equal to  $\hat{u}(z)$  on  $\partial B$ , and if  $B$  contains a boundary point  $p$  of  $\partial D'$ , then  $g(z) \geq \hat{u}(z)$  on  $\bar{B}$ . If  $\hat{u}(z_0) > g(z_0)$  for some point  $z_0 \in B \cap \{D - \bar{D}'\}$ , then our maximum principle (Lemma 2.7) implies there exists a point  $z'$  in  $\{\partial B \cup \partial D'\} \cap \{D - D'\}$  such that  $\hat{u}(z') > g(z')$ , a contradiction if  $z' \in \partial B$ . If  $z_0 \in B \cap D'$ , then there exists a point  $z' \in \{B \cup \partial D'\} \cap \{\bar{D}'\}$  such that  $\hat{u}(z') > g(z')$ , a contradiction if  $z' \in \partial B$ . Thus we need only consider the case where  $\hat{u}(z) - g(z)$  has a positive maximum for  $\bar{B}$  at  $z_0 \in \partial D' \cap B$ . We know that  $\bar{u}(z) \leq \hat{u}(z)$  in  $D'$  and  $u(z) = \hat{u}(z)$  in  $D - D'$ , implying that  $\bar{u}(z) - g(z)$  has a positive maximum for  $\bar{B}$  at  $z_0$ , a contradiction since  $\bar{u}$  is  $q$ -plurisubharmonic in  $D$ .

*Remark.* It is interesting that in the statement of Theorem 3.2 we have that if  $\partial D$  is strictly  $q$ -pseudoconvex in a neighborhood of  $p \in \partial D$ , then

$$\liminf_{z \rightarrow p} \bar{u}(z) \geq b(p).$$

However, we need that  $D$  is a strictly  $q$ -pseudoconvex domain in  $\mathbb{C}^n$  to prove

$$\limsup_{z \rightarrow p} \bar{u}(z) \leq b(p).$$

Thus one direction is local and the other is global. If we consider the same boundary value problem as in Theorem 3.2, but with  $P_q(b(z), D)$  replaced by  $P_q^2(b(z), D)$ , the class of  $C^2$  plurisubharmonic functions on  $D$  which are less than or equal to  $b(z)$

on  $\partial D$ , the authors have a proof that the upper envelope exists and takes on the given boundary values. However, we need only that  $\partial D$  is strictly  $q$ -pseudoconvex near  $p \in \partial D$  to show that

$$\limsup_{z \rightarrow p} \bar{u}(z) \leq b(p).$$

for  $\bar{u}$  the upper envelope of  $P_q^2(b(z), D)$ . Thus if we could prove that the  $C^2$   $q$ -plurisubharmonic functions are "dense" in the  $q$ -plurisubharmonic functions, then our boundary value problem is a local one. This is of course true in the case  $q = 0$ .

#### 4. UNIQUENESS AND THE "ANNULUS" PROBLEM

Suppose we consider our boundary value problem of Theorem 3.2 in the case where  $D$  is an open ball in  $\mathbb{C}^3$ . Then there exists a plurisubharmonic solution  $\bar{u}_0$  and a 1-plurisubharmonic solution  $\bar{u}_1$  with the same boundary values  $b(z)$ , since  $D$  is both 0 and 1-pseudoconvex. It is easy to give examples for which  $\bar{u}_0$  and  $\bar{u}_1$  are not equal in  $D$ . However, one may ask if  $\bar{u}_0$  is the only continuous plurisubharmonic solution which is also 2-plurisuperharmonic, or if  $\bar{u}_1$  is the only continuous 1-plurisubharmonic solution which is also 1-plurisuperharmonic. In this direction we state the following conjecture.

*Conjecture 4.1.* Let  $D$  be a strictly  $q$ -pseudoconvex domain in  $\mathbb{C}^n$ ,  $n > 1$  and  $2q < n$ , such that  $D = \{z \in \mathbb{C}^n: \rho(z) < 0\}$ , where  $\rho$  is  $C^2$  and strictly  $q$ -plurisubharmonic in a neighborhood of  $\bar{D}$ . Let  $\bar{u}(z)$  be the upper envelope (of  $P_q(b(z), D)$ ) solution which is  $q$ -plurisubharmonic in  $D$ , continuous in  $\bar{D}$ ,  $q$ -CMA in  $D$  in the sense that  $\bar{u}$  is also  $(n - q - 1)$ -plurisuperharmonic in  $D$ , and takes on prescribed continuous boundary values  $b(z)$  on  $\partial D$ . Then  $\bar{u}$  is the unique solution to this boundary value problem with these properties.

Suppose  $\tilde{u}$  is another solution with the same properties. Obviously,  $\tilde{u} \leq \bar{u}$  on  $D$  and  $\tilde{u} = \bar{u}$  on  $\partial D$ . If we could show that  $\tilde{u} - \bar{u}$  is  $(n - 1)$ -plurisubharmonic in  $D$ , then  $\tilde{u} - \bar{u} \leq 0$  in  $D$  by the maximum principle, and  $\tilde{u} = \bar{u}$  in  $D$ . If  $\tilde{u}$  and  $\bar{u}$  are  $C^2$  in  $D$  (it is shown in [2] that  $\bar{u}$  is not necessarily  $C^2$  in  $D$ ), then it is easy to see that  $\tilde{u} - \bar{u}$  is  $(n - 1)$ -plurisubharmonic in  $D$ .

It is interesting that in case  $D$  is strictly pseudoconvex and  $\tilde{u}$  and  $\bar{u}$  are both plurisubharmonic and  $(n - 1)$  plurisuperharmonic, that we have  $\tilde{u} = \bar{u}$  on  $\partial D$  implies  $\tilde{u} \leq \bar{u}$  on  $D$  since  $\tilde{u}$  is  $(n - 1)$ -plurisuperharmonic and  $\bar{u}$  is plurisubharmonic on  $D$ . Thus we have proved the following result.

**THEOREM 4.2.** *Let  $D$  be a strictly pseudoconvex domain in  $\mathbb{C}^n$  such that  $D = \{z \in \mathbb{C}^n: \rho(z) < 0\}$ , where  $\rho(z)$  is  $C^2$  and strictly plurisubharmonic in a neighborhood of  $\bar{D}$ . If a continuous function  $b(z)$  is given on  $\partial D$ , then there exists one and only one solution (the upper envelope solution  $\bar{u}$ ) which is continuous on  $\bar{D}$ , plurisubharmonic and  $(n - 1)$ -plurisuperharmonic in  $D$ , and equal to  $b(z)$  on  $\partial D$ .*

*Remark.* In [2] Bedford and Taylor give a distributional definition for the complex Monge-Ampere equation which can be applied to continuous plurisubharmonic functions in  $D$ . For a strictly pseudoconvex domain  $D \subset \mathbb{C}^n$ , they find a

solution to their homogeneous complex Monge-Ampere equation which takes on the given boundary values  $b(z)$ . Then by using their minimum principle, they show that their solution is actually Bremermann's upper envelope solution  $\bar{u}$ . In this case, their solution satisfying the homogeneous complex Monge-Ampere equation is equivalent to our continuous solution being in the intersection class of plurisubharmonic and  $(n - 1)$ -plurisuperharmonic functions on  $D$ .

Now we turn our attention to an "annulus" problem. Let  $D_1$  and  $D_2$  be bounded domains in  $\mathbb{C}^n$  such that  $\bar{D}_2 \subset \bar{D}_1$ . Let  $D = D_1 - \bar{D}_2$  and suppose that there exists a function  $\rho_1(z)$  which is  $C^2$  and plurisubharmonic in a neighborhood of  $\partial D_1$  so that  $\partial D_1 = \{z \in \mathbb{C}^n: \rho_1(z) = 0\}$ ,  $D_1 = \{z \in \mathbb{C}^n: \rho_1(z) < 0\}$ , and  $d\rho_1 \neq 0$  on  $\partial D_1$ . Also assume there exists another function  $\rho_2(z)$  which is  $C^2$  in a neighborhood  $\partial D_2$  and such that  $\partial D_2 = \{z \in \mathbb{C}^n: \rho_2(z) = 0\}$ ,  $D_2 = \{z \in \mathbb{C}^n: \rho_2(z) < 0\}$ , and  $d\rho_2 \neq 0$  on  $\partial D_2$ .

**THEOREM 4.3.** *Let  $D$  be defined as above. Then there exists a continuous plurisubharmonic function  $w(z)$  in  $D$  such that  $w(z) = 1$  on  $\partial D_1$ ,  $w(z) = 0$  on  $\partial D_2$ , and  $w(z)$  is 0-CMA in  $D$  in the sense that  $w(z)$  is  $(n - 1)$ -plurisuperharmonic in  $D$ . This solution  $w(z)$  solves the complex Monge-Ampere equation (as in [2]) in  $D$ .*

*Proof.* First we show that there exists a continuous plurisubharmonic function  $f(z)$  in  $D$  such that  $f(z) = 1$  on  $\partial D_1$  and  $f(z) = 0$  on  $\partial D_2$ . Given  $\delta > 0$  sufficiently small, there exists a domain  $D_3$  with  $\bar{D}_2 \subset D_3 \subset \bar{D}_3 \subset D_1$  such that  $\rho_1(z) < 0$  in  $D_1 - D_3$  and  $\rho_1(z) < -\delta$  on  $\partial D_3$ . Now we can multiply  $\rho_1$  by a sufficiently large positive constant  $K$  such that  $K\rho_1(z)$  is less than  $-1$  on  $\partial D_3$ . Then the function  $f(z) = f_1(z) + 1$ , where  $f_1(z)$  is defined as the maximum of  $K\rho_1(z)$  and  $-1$  on  $\bar{D} - D_3$  and as  $-1$  on  $D_3$ , is the desired continuous plurisubharmonic function.

By the maximum principle for plurisubharmonic functions, we know that the upper envelope  $w(z)$  of all plurisubharmonic functions in  $D$  which are less than or equal to 1 on  $\partial D_1$  and less than or equal to 0 on  $\partial D_2$  exists. Since  $f(z)$  is in this class of functions, we have that  $w(z) \geq 1$  on  $\partial D_1$  and  $w(z) \geq 0$  on  $\partial D_2$ .

Now consider the function  $e^{K'\rho_2(z)} - 1$ , where  $K'$  is a positive constant still to be chosen. Now for  $i, j = 1, \dots, n$  we have

$$\frac{\partial^2(e^{K'\rho_2} - 1)}{\partial z_i \partial \bar{z}_j} = K' \frac{\partial^2 \rho_2}{\partial z_i \partial \bar{z}_j} e^{K'\rho_2} + (K')^2 \frac{\partial \rho_2}{\partial z_i} \frac{\partial \rho_2}{\partial \bar{z}_j} e^{K'\rho_2}.$$

Since  $d\rho_2 \neq 0$  on  $\partial D_2$ , we can choose  $K'$  sufficiently large so that  $e^{K'\rho_2} - 1$  is  $(n - 1)$ -plurisubharmonic in a neighborhood of  $\partial D_2$ . This function  $e^{K'\rho_2(z)} - 1$  is a new defining function for  $D_2$ , which for the sake of simplicity we rename  $\rho_2(z)$ . The function  $-\rho_2(z)$  is  $(n - 1)$ -plurisuperharmonic in a neighborhood of  $\partial D_2$  and is positive on the intersection of this neighborhood with  $D$ . Given a  $\delta > 0$  sufficiently small, there exists a domain  $D_3$  with  $\bar{D}_2 \subset D_3 \subset \bar{D}_3 \subset D_1$  so that  $-\rho_2(z) > \delta$  on  $\partial D_3$ . By multiplying  $-\rho_2(z)$  by a sufficiently large positive constant  $L$ , we have that  $-L\rho_2(z) > 1$  on  $\partial D_3$ . Then the function  $g(z)$  defined as the minimum of  $-L\rho_2(z)$  and 1 on  $\bar{D} - D_3$  and as 1 on  $D_3$  is a continuous  $(n - 1)$ -plurisuperharmonic function on  $D$ .

Let  $u \in P_0(b(z), D)$ , where  $b(z) = 1$  on  $\partial D_1$  and  $b(z) = 0$  on  $\partial D_2$ . By the maximum principle for the function  $u(z) - g(z)$  on  $D$ , we have that  $u(z) - g(z) \leq 0$  on  $\bar{D}$ , implying that  $w(z) \leq g(z)$  on  $\bar{D}$ . Thus  $w(z) = 1$  on  $\partial D_1$  and  $w(z) = 0$  on  $\partial D_2$ .

The solution  $w(z)$  also solves the complex Monge-Ampere equation in  $D$  in the sense of Bedford and Taylor [2]. Let  $D'$  be a strictly pseudoconvex domain contained in  $D$  with a  $C^\infty$  boundary. Define the function

$$\hat{w}(z) = \begin{cases} w(z), & z \in D - D' \\ \bar{w}_{D'}(z), & z \in D' \end{cases}$$

analogously to the way we defined  $\hat{u}(z)$  in the proof of Lemma 3.4. As in that proof, it is true that  $w(z) = \hat{w}(z)$  in  $D$ . But  $\hat{w}(z)$  solves the complex Monge-Ampere equation in the Bedford-Taylor sense in  $D'$ , and so  $w(z)$  solves this equation in  $D$ .

*Remark.* The last part of this proof shows that in the case of continuous plurisubharmonic functions our concept of a 0-CMA function is equivalent to a solution of the homogeneous complex Monge-Ampere equation of Bedford and Taylor.

*Remark.* The technique used in the proof of Theorem 4.3 may be useful in finding a solution of the boundary value problem involving the nonhomogeneous complex Monge-Ampere equation with Dirac  $\delta$  right hand side and continuous boundary values. This problem for the unit ball in  $\mathbb{C}^2$  is considered in [2].

*Remark.* Theorem 4.3 and the method developed in its proof may also give an approach to defining the "capacity" of a Stein manifold.

## 5. THE NONLINEAR CAUCHY-RIEMANN EQUATIONS

As mentioned in the introduction, Bremermann points out that for an arbitrary domain of holomorphy  $D \subset \mathbb{C}^n$  the boundary values cannot be prescribed on the whole boundary of  $D$ , but only on the Šilov boundary  $S(D)$  of the function algebra of holomorphic functions in  $D$  which are continuous in  $\bar{D}$ . Also Rossi showed that if  $\partial D$  is  $C^2$ , then the set  $S(D)$  is just the closure of the strictly pseudoconvex boundary points.

We consider a  $q$ -pseudoconvex domain  $D \subset \mathbb{C}^n$  with a  $C^2$  boundary. We prove results which indicate that the boundary values  $b(z)$  for our generalized Dirichlet problem (*i.e.*, taking the upper envelope of all continuous functions in  $\bar{D}$  which are  $q$ -plurisubharmonic in  $D$  and less than or equal to  $b(z)$  on  $\partial D$ ) should be described only on the closure of the strictly  $q$ -pseudoconvex boundary points of  $D$  for  $2q < n$ . In doing this we make use of the  $q$ -holomorphic functions of Basener [1], and we prove results which indicate the relationships of the real part and log of the modulus of such functions to the  $q$ -plurisubharmonic functions and the homogeneous complex Monge-Ampere equation. In addition, we prove results concerning complex fiberings in the sense of Rossi [7] and Freeman [5] for certain boundary points of  $D$  which are not strictly  $q$ -pseudoconvex.

For the remainder of this section  $q$  will be an integer between and including 0 and  $n - 1$  except when we discuss our generalized Dirichlet problem, in which case we assume that  $2q < n$ .

Let  $\Omega$  be an open subset of  $\mathbb{C}^n$  (or more generally  $\Omega$  can be a complex manifold). The following definition is found in [1]. This definition is given for  $C^\infty$  functions, but it and the results found in [1] are certainly true for  $C^2$  functions.

*Definition 5.1.* Let  $f$  be a  $C^\infty$  function defined on  $\Omega$  and  $q$  a nonnegative integer. Then  $f$  is a  $q$ -holomorphic function on  $\Omega$  if  $f$  satisfies

$$\bar{\partial}f \wedge (\partial\bar{\partial}f)^q = \bar{\partial}f \wedge \underbrace{(\partial\bar{\partial}f \wedge \dots \wedge \partial\bar{\partial}f)}_{q \text{ times}} = 0$$

on  $\Omega$ . Thus  $f$  satisfies a system of nonlinear partial differential equations (for  $q > 0$ ) which can be regarded as a generalization of the usual Cauchy-Riemann equations ( $q = 0$ ).

Let  $z = (z_1, \dots, z_n)$  be coordinates for  $\mathbb{C}^n$ . If  $f$  is  $C^\infty$  in  $\Omega$  and  $z_0 \in \Omega$ , we define

$$M_{z_0}^z(f) = \begin{bmatrix} \frac{\partial f}{\partial \bar{z}_1}(z_0) & \dots & \frac{\partial f}{\partial \bar{z}_n}(z_0) \\ \frac{\partial^2 f}{\partial z_1 \partial \bar{z}_1}(z_0) & \dots & \frac{\partial^2 f}{\partial z_1 \partial \bar{z}_n}(z_0) \\ \vdots & & \vdots \\ \frac{\partial^2 f}{\partial z_n \partial \bar{z}_1}(z_0) & \dots & \frac{\partial^2 f}{\partial z_n \partial \bar{z}_n}(z_0) \end{bmatrix}$$

Then Basener has proved the following result.

**PROPOSITION 5.2** [1]. *If  $f \in C^\infty(\Omega)$ , then  $\bar{\partial}f \wedge (\partial\bar{\partial}f)^q = 0$  on  $\Omega$  if and only if*

$$\text{rank } M_{z_0}^z(f) \leq q \quad \text{for all } z_0 \in \Omega.$$

Basener [1] shows that the  $q$ -holomorphic functions define a notion of convexity which is related (at least locally) to  $q$ -pseudoconvexity. Also the collection of  $q$ -holomorphic functions on  $\Omega$  does not form a function algebra if  $q > 0$ .

It is well known that the real part of a holomorphic function in an open set  $\Omega \subset \mathbb{C}^n$  has a zero complex Hessian at every point of  $\Omega$ . The function  $z_1 \bar{z}_2$  is 1-holomorphic in any open set in  $\mathbb{C}^2$ , but its real part does not even satisfy the homogeneous complex Monge-Ampere equation  $[\partial\bar{\partial}(\text{Re } z_1 \bar{z}_2)]^2 = 0$ . However,  $\text{Re}(z_1 \bar{z}_2)$  is a 1-plurisubharmonic function in  $\mathbb{C}^2$ . This leads us to our first result in this direction.

**THEOREM 5.3.** *Let  $\Omega$  be an open subset of  $\mathbb{C}^n$ . If  $f \in C^\infty(\Omega)$  is a  $q$ -holomorphic function in  $\Omega$ , then  $\text{Re } f$  is a  $q$ -plurisubharmonic function in  $\Omega$ .*

*Proof.* We must show that if  $f$  satisfies  $\bar{\partial}f \wedge (\partial\bar{\partial}f)^q = 0$  on  $\Omega$ , then

$$\left[ \frac{\partial^2 \operatorname{Re} f}{\partial z_i \partial \bar{z}_j} \right], \quad i, j = 1, \dots, n,$$

has at least  $(n - q)$  nonnegative eigenvalues on  $\Omega$ . It suffices to prove this for  $n = q + 1$ , since for  $n > q + 1$  we can then proceed as follows. Let  $z_0 \in \Omega$  and diagonalize

$$\left[ \frac{\partial^2 \operatorname{Re} f}{\partial z_i \partial \bar{z}_j} \right], \quad i, j = 1, \dots, n,$$

at  $z_0$ . Suppose fewer than  $(n - q)$  eigenvalues are nonnegative, so that at least  $(q + 1)$  are negative. Pull back to the  $(q + 1)$ -dimensional subspace  $E$  of  $\mathbb{C}^n$  through  $z_0$  spanned by the eigenvectors belonging to these eigenvalues. Here we have

$$\bar{\partial}f|_E \wedge (\partial\bar{\partial}f|_E)^q = 0,$$

but

$$\left[ \frac{\partial^2 \operatorname{Re} f}{\partial z_i \partial \bar{z}_j} \right]$$

restricted to  $E$  has no nonnegative eigenvalues at  $z_0$ .

Thus we want to prove if  $\Omega$  is an open set in  $\mathbb{C}^{q+1}$  and  $f \in C^\infty(\Omega)$  satisfies  $\bar{\partial}f \wedge (\partial\bar{\partial}f)^q = 0$ , then

$$\left[ \frac{\partial^2 \operatorname{Re} f}{\partial z_i \partial \bar{z}_j} \right], \quad i, j = 1, \dots, q + 1,$$

has at least 1 nonnegative eigenvalue at an arbitrary point  $z_0 \in \Omega$ . By Proposition 5.2 we know that the matrix

$$\left[ \frac{\partial^2 f}{\partial z_i \partial \bar{z}_j} \right], \quad i, j = 1, \dots, q + 1,$$

is singular at  $z_0$ . Hence there exists a nonzero  $(q, 0)$ -form  $\alpha$  such that  $\alpha \wedge \partial\bar{\partial}f = 0$  when evaluated at  $z_0$ . Then

$$\begin{aligned} \alpha \wedge \partial\bar{\partial} \operatorname{Re} f \wedge \bar{\alpha} &= \alpha \wedge \partial\bar{\partial} f \wedge \bar{\alpha} + \alpha \wedge \overline{\partial\bar{\partial} f \wedge \bar{\alpha}} \\ &= \alpha \wedge \overline{(\bar{\partial}\partial f \wedge \alpha)} = -\alpha \wedge \overline{(\partial\bar{\partial} f \wedge \alpha)} \\ &= -\alpha \wedge \overline{(\alpha \wedge \partial\bar{\partial} f)} = 0 \end{aligned}$$

at  $z_0$ . Hence the matrix

$$\left[ \frac{\partial^2 \operatorname{Re} f(z_0)}{\partial z_i \partial \bar{z}_j} \right], \quad i, j = 1, \dots, q+1,$$

cannot be definite, and  $\operatorname{Re} f$  is  $q$ -plurisubharmonic in  $\Omega$ .

The following corollary to Theorem 5.3 is a result of the relation

$$\log |f|^2 = 2\operatorname{Re}(\log f),$$

for a  $q$ -holomorphic function  $f$ .

**COROLLARY 5.4.** *Let  $\Omega$  be an open set in  $\mathbb{C}^n$ . If  $f \in C^\infty(\Omega)$  is a  $q$ -holomorphic function in  $\Omega$ , then  $\log |f|$  is a  $C^\infty$ - $q$ -plurisubharmonic function in  $\Omega$  except at the zeros of  $f$ .*

Earlier we gave an example of a 1-holomorphic function  $f$  in  $\mathbb{C}^2$  whose real part failed to satisfy the homogeneous complex Monge-Ampere equation

$$[\partial\bar{\partial}(\operatorname{Re} f)]^2 = 0.$$

However, we find that the following general result is true.

**THEOREM 5.5.** *Let  $\Omega$  be an open set in  $\mathbb{C}^n$ . If  $f \in C^\infty(\Omega)$  is a  $q$ -holomorphic function in  $\Omega$  and if  $2q < n$ , then we have  $[\partial\bar{\partial}(\operatorname{Re} f)]^{2q+1} = 0$  in  $\Omega$ . Moreover,  $\log |f|$  satisfies  $[\partial\bar{\partial}(\log |f|)]^{2q+1} = 0$  in  $\Omega$  except at the zeros of  $f$ .*

*Proof.* Since  $f$  is  $q$ -holomorphic,

$$\left[ \frac{\partial^2 \operatorname{Re} f}{\partial z_i \partial \bar{z}_j} \right], \quad i, j = 1, \dots, n,$$

has at least  $(n - q)$  nonnegative eigenvalues at each point of  $\Omega$  by Theorem 3.3. This also applies to  $-f$  so that

$$\left[ \frac{\partial^2 \operatorname{Re} f}{\partial z_i \partial \bar{z}_j} \right]$$

also has at least  $(n - q)$  nonpositive eigenvalues at each point. Suppose that

$$\left[ \frac{\partial^2 \operatorname{Re} f}{\partial z_i \partial \bar{z}_j} \right]$$

has  $k$  zero eigenvalues at a point  $p \in \Omega$ . This implies that

$$[\partial\bar{\partial} \operatorname{Re} f]^r = 0 \quad \text{for } r > (n - k) \text{ at } p.$$

Counting eigenvalues we have at least  $n - q - k$  positive, at least  $n - q - k$  negative, and  $k$  zero eigenvalues at  $p$ . Thus  $(n - q - k) + (n - q - k) + k \leq n$  and  $(n - k) \leq 2q < 2q + 1$ . Thus  $[\partial\bar{\partial} \operatorname{Re} f]^{2q+1} = 0$ . The statement concerning  $\log |f|$  follows from the equation preceding Corollary 5.4.

Alternatively, we can argue that

$$[\partial\bar{\partial}f]^{q+1} = 0 \quad \text{and} \quad [\partial\bar{\partial}f]^{q+1} = 0$$

imply

$$\begin{aligned} [\partial\bar{\partial}(f + \bar{f})]^{2q+1} &= (\partial\bar{\partial}f + \partial\bar{\partial}\bar{f})^{2q+1} \\ &= \sum_{j=0}^{2q+1} \binom{2q+1}{j} (\partial\bar{\partial}f)^j \wedge (\partial\bar{\partial}\bar{f})^{2q+1-j} = 0. \end{aligned}$$

Let  $D$  be our bounded  $q$ -pseudoconvex domain in  $\mathbb{C}^n$  with  $C^2$  boundary. Recall that a  $q$ -plurisubharmonic function in  $D$  which is upper semicontinuous on  $\bar{D}$  assumes its maximum for  $\bar{D}$  on  $\partial D$ . The next result gives the relationship between the strictly  $q$ -pseudoconvex boundary points of  $D$  and the points on  $\partial D$  where  $q$ -plurisubharmonic functions in  $\bar{D}$  can assume their peak values. A point  $p \in \partial D$  is a *peak point* for the family of  $q$ -plurisubharmonic functions in  $D$  which are upper semicontinuous on  $\bar{D}$  if there is such a function with  $u(p) = 1$  and  $u(z) < 1$  for all points  $z \in \bar{D}$  with  $z \neq p$ . The value  $u(p)$  is the *peak value* of  $u$  on  $\bar{D}$ .

**THEOREM 5.6.** *If  $p \in \partial D$  is a strictly  $q$ -pseudoconvex boundary point of  $D$ , then there exists a  $q$ -plurisubharmonic function  $u$  in  $D$  which is continuous on  $\bar{D}$  such that  $u$  assumes its peak value on  $\bar{D}$  at  $p$ . Conversely, if  $u$  is a  $C^2$   $q$ -plurisubharmonic function in  $\bar{D}$  which peaks at some point  $p \in \partial D$ , then  $p$  must be contained in the closure of the strictly  $q$ -pseudoconvex boundary points of  $D$ .*

*Proof.* Suppose  $p \in \partial D$  is an arbitrary strictly  $q$ -pseudoconvex boundary point of  $D$ . Basener proves in [1] that there exists an open neighborhood  $U$  of  $p$  in  $\mathbb{C}^n$  and a  $q$ -holomorphic function  $f$  in  $U$  such that  $f(p) = 1$  and  $0 < |f| < 1$  in  $U \cap \bar{D} - \{p\}$ . Then by Corollary 5.4.,  $\log |f|$  is a  $C^2$   $q$ -plurisubharmonic function in  $U$  which peaks locally at  $p$ . Suppose  $-\infty < \log |f| < \alpha < 1$  for all  $z \in U \cap \bar{D}$ , where  $\alpha > 0$ . Then the maximum  $u$  of the functions  $\log |f|$  and  $\alpha/2$  is a  $q$ -plurisubharmonic function on  $D$  which is continuous on  $\bar{D}$  and has its peak value at  $p$ .

The converse statement is proved by applying the arguments of Rossi [7] with plurisubharmonic and strictly pseudoconvex replaced by  $q$ -plurisubharmonic and strictly  $q$ -pseudoconvex respectively.

It is interesting that Theorem 5.6 is also true for the modulus of a  $q$ -holomorphic function (with the exception that one direction is only local). The first conclusion in the following theorem is proved by Basener in [1]. The converse statement is proved by replacing  $u$  in the statement of Theorem 3.6 by  $\log |f|$  and applying Corollary 5.4.

**THEOREM 5.7.** *If  $p \in \partial D$  is a strictly  $q$ -pseudoconvex boundary point of  $D$ , then there exists a neighborhood  $U$  of  $p$  in  $\mathbb{C}^n$  and a  $C^2$   $q$ -holomorphic function  $f$  in  $U$  such that the peak value of  $|f|$  on  $\bar{D} \cap U$  is assumed at  $p$ . Conversely, if  $f$  is a  $C^2$   $q$ -holomorphic function in a neighborhood of  $p$  in  $\mathbb{C}^n$  such that  $|f|$  peaks at some point  $p \in \partial D$ , then  $p$  must be contained in the closure of the strictly  $q$ -pseudoconvex boundary points of  $D$ .*

Theorems 5.6 and 5.7 indicate that the boundary values for our generalized Dirichlet problem should be given at most on the closure of the strictly  $q$ -pseudoconvex boundary points for our  $q$ -pseudoconvex domain  $D$ . The following result, the proof of which is a direct analogue of one due to Bremermann, shows that we should not fail to give boundary values on an open neighborhood of a peak point of a function which is  $q$ -holomorphic in a neighborhood of  $\bar{D}$ .

**THEOREM 5.8.** *Let  $D$  be a  $q$ -pseudoconvex bounded domain in  $\mathbb{C}^n$ . Suppose  $p \in \partial D$  is a peak point for the modulus of some function which is  $q$ -holomorphic in a neighborhood of  $\bar{D}$ . If the boundary values  $b(z)$  for our generalized Dirichlet problem are prescribed in such a way that a neighborhood of the point  $p$  is omitted, then the upper envelope of the class of  $q$ -plurisubharmonic functions in  $D$ , which are upper semicontinuous on  $\bar{D}$  and less than or equal to  $b(z)$  on that part of  $\partial D$  where  $b(z)$  is given, does not exist.*

As conjectured by Rossi (and proved for  $n = 2$ ) in [7], it is probably true that in the case that  $D$  is a domain of holomorphy in  $\mathbb{C}^n$  with  $C^2$  boundary, a point  $p \in \partial D$  which is not in the closure of the strictly pseudoconvex boundary points of  $D$  must be contained in a complex variety of complex dimension at least one and contained in  $\partial D$ .

**THEOREM 5.9.** *Let  $D$  be a  $q$ -pseudoconvex domain in  $\mathbb{C}^2$  with  $C^2$  boundary. Let  $p \in \partial D$  and suppose that the Levi form has exactly  $s$  negative eigenvalues and  $t$  zero eigenvalues for all points in an open neighborhood of  $p$  in  $\partial D$ . Then for some sufficiently small neighborhood of  $p$  in  $\mathbb{C}^n$  there exist a complex variety  $M$  of complex dimension  $s$  through  $p$  and contained in  $\bar{D}$  (actually intersecting  $\partial D$  only at  $p$ ) and a complex variety  $N$  of complex dimension  $t$  through  $p$  and contained in  $\partial D$ . Moreover,  $M$  and  $N$  intersect transversally at  $p$ .*

*Proof.* If  $t > 0$  the work of Freeman in [5] assures us that there exists a complex variety  $N$  of dimension  $t$  and an open neighborhood  $U$  of  $p$  in  $\mathbb{C}^n$  such that  $N \cap U \subset \partial D \cap U$  and  $p \in N$ . Choose coordinates for  $\mathbb{C}^n$  and  $\partial D$  at  $p$  so that  $p = 0$  and  $N$  is the  $z_1, \dots, z_t$  plane. Fixing the coordinates  $z_1, \dots, z_t$  at 0, we have a hypersurface ( $\partial D$  restricted by  $z_1 = 0, \dots, z_t = 0$ ) in  $\mathbb{C}^{n-t}$  such that the Levi form on this hypersurface at  $p$  has  $s$  negative and no zero eigenvalues (i.e., this hypersurface is strictly  $s$ -pseudoconvex at  $p$  in  $\mathbb{C}^{n-t}$ ). By Proposition 6 in [1] there exists a complex variety  $M$  of dimension  $s$  and an open neighborhood  $V$  of  $p$  in  $\mathbb{C}^{n-t}$  such that  $M \cap V \subset \bar{D} \cap V$  and  $\partial D \cap (M \cap V) = \{p\}$ . Assume that we have chosen coordinates in  $\mathbb{C}^n$  so that  $M$  is the  $z_{t+1}, \dots, z_{s+t}$  plane. It is obvious that  $M$  and  $N$  intersect transversally at  $p$ .

*Remark.* The following question seems to be a difficult one to answer. Given the hypothesis of Theorem 5.9, does there exist a complex variety of dimension  $(s + t)$  through  $p$  and contained in  $\bar{D}$ ? If so and if  $(s + t) \geq q + 1$ , then by the maximum principle for  $q$ -holomorphic functions in [1],  $p$  cannot be a peak point for such functions.

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