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## SOME RESULTS ON RIGIDITY OF HOLOMORPHIC MAPPINGS

By Yoshihisa Kubota

1. In this paper we study rigidity properties of holomorphic mappings. Let X and Y be complex normed spaces. Let  $D_1$  be a balanced domain in X and  $D_2$  be a bounded convex balanced domain in Y. We consider holomorphic mappings f from  $D_1$  into  $D_2$ . We prove two theorems. One of them is a generalization of the Schwarz lemma, which gives an upper bound for  $\mu_{D_2}(f(x))$ ,  $x \in D_1$ . Here  $\mu_{D_2}$  denotes the Minkowski functional of  $D_2$ . We also discuss the extremal mappings related to the Schwarz lemma. We deduce as a corollary the following fact: if  $f: X \rightarrow Y$  is a holomorphic mapping which satisfies ||f(x)||= ||x|| for all  $x \in X$ , then f is linear. Another theorem gives a lower bound for  $\mu_{D_0}(f(x))$ ,  $x \in D_1$ . Finally we are concerned with the limits of sequences of automorphisms of bounded domains. It is known that if D is a bounded domain in  $C^n$  and if a mapping  $f: D \rightarrow D$  is a pointwise limit of a sequence of automorphisms of D, then f is also an automorphism of D. However, in the case that D is a bounded domain in a complex normed space X the limit  $f: D \rightarrow D$ need not be an automorphism of D. We give a simple counterexample. Using the above two theorems we show that the limit f is one-to-one.

2. We summarize the main notation and terminology used in this paper. Let X be a complex normed space and let D be a domain in X. The Minkowski functional  $\mu_D$  of D is defined by

$$\mu_D(x) = \inf\{t > 0 : t^{-1}x \in D\} \qquad (x \in X).$$

We denote the open ball with center at a and radius r in X by B(a, r). Then we have that  $\mu_{B(0,r)}(x) = r^{-1} ||x||$ .

Let X and Y be complex normed spaces and let D be a domain in X. A mapping  $f: D \to Y$  is said to be holomorphic in D if, corresponding to every  $a \in D$ , there exist a power series  $\sum_{k=0}^{\infty} P_k$  and a positive number  $\rho$  such that f is expressed by

$$f(x) = \sum_{k=0}^{\infty} P_k(x-a) \qquad (x \in B(a, \rho)).$$

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Here  $P_k$  is a continuous k-homogeneous polynomial from X to Y, and the convergence is uniform on B(a, r) for every r with  $0 < r < \rho$ . We use the notation

$$\hat{d}^{k}f(a) = k ! P_{k}$$
 (k=0, 1, 2, ...),

and we call the power series

$$\sum_{k=0}^{\infty} \frac{1}{k!} \hat{d}^k f(a)(x-a)$$

the Taylor series of f at a. We refer to [1], [2] and [6] for further details.

3. There are some generalizations of the Schwarz lemma in complex normed spaces (see, for example, [2], [3], [4] and [5]). We also give a generalization of the Schwarz lemma. We adapt Rudin's proof [8, Theorem 8.1.2] in which only the finite dimensional case is considered.

Let X and Y be complex normed spaces and let  $D_1$  and  $D_2$  be balanced domains in X and Y, respectively. Suppose that  $D_1 \neq X$ . Then there exists a point  $x \in X$  with  $\mu_{D_1}(x) > 0$ . For a holomorphic mapping  $f: D_1 \rightarrow D_2$  we define

$$\hat{\lambda}_f = \inf \left\{ \frac{\mu_{D_2}(df(0)(x))}{\mu_{D_1}(x)} : x \in X, \ \mu_{D_1}(x) > 0 \right\}$$

and

$$\Lambda_f = \sup \left\{ \frac{\mu_{D_2}(\hat{d}f(0)(x))}{\mu_{D_1}(x)} : x \in X, \ \mu_{D_1}(x) > 0 \right\}.$$

Here  $\hat{d}f(0) = \hat{d}^{1}f(0)$  is the linear part of the Taylor series of f at the origin 0 of X.

THEOREM 1. Let X and Y be complex normed spaces. Suppose that

(i)  $D_1$  is a balanced domain in X,

(ii)  $D_2$  is a bounded convex balanced domain in Y,

(iii)  $f: D_1 \rightarrow D_2$  is a holomorphic mapping with f(0)=0. Then

(a)  $\mu_{D_2}(f(x)) \leq \mu_{D_1}(x) \quad (x \in D_1),$ 

- (b)  $\mu_{D_2}(\hat{d}f(0)(x)) \leq \mu_{D_1}(x) \quad (x \in D_1),$
- (c) if  $D_1 \neq X$ , then  $0 \leq \tilde{\lambda}_f \leq \tilde{\Lambda}_f \leq 1$ .

The equality  $\tilde{\lambda}_f = 1$  holds if and only if the equality  $\mu_{D2}(f(x)) = \mu_{D_1}(x)$  holds for all  $x \in D_1$ .

*Proof.* We first note that since  $D_2$  is bounded, convex and balanced,  $\mu_{D_2}$  is a norm on Y and that since  $D_1$  is balanced, the power series

$$\sum_{k=1}^{\infty} \frac{1}{k!} \hat{d}^k f(0)(x)$$

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converges to f uniformly on every compact subset of  $D_1$ . (See [1], Corollary 5.2).

Take a point  $x_0 \in D_1$  with  $\mu_{D_1}(x_0) > 0$ . Put  $y = \mu_{D_1}(x_0)^{-1}x_0$ . Then  $y \in \partial D_1$ . Let  $\varphi$  be a continuous linear functional on Y of norm 1, i.e.,

$$\|\varphi\| = \sup\{|\varphi(x)| : x \in Y, \mu_{D_2}(x) = 1\} = 1.$$

We define the function g by

$$g(\boldsymbol{\zeta}) = \boldsymbol{\varphi}(f(\boldsymbol{\zeta} y)) \qquad (\boldsymbol{\zeta} \in \boldsymbol{C}, |\boldsymbol{\zeta}| < 1).$$

Then g is holomorphic and  $|g(\zeta)| \leq 1$  in  $\Delta = \{\zeta \in \mathbb{C} : |\zeta| < 1\}$ , and g(0)=0. Now applying the classical Schwarz lemma we have

$$|g(\boldsymbol{\zeta})| \leq |\boldsymbol{\zeta}| \qquad (\boldsymbol{\zeta} \in \boldsymbol{\Delta}),$$
$$|g'(0)| \leq 1.$$

Since  $g'(0) = \varphi(\hat{d}f(0)(y)) = \mu_{D_1}(x_0)^{-1}\varphi(\hat{d}f(0)(x_0))$ , these inequalities imply

$$|\varphi(f(x_0))| \leq \mu_{D_1}(x_0),$$
  
 $|\varphi(\hat{d}f(0)(x_0))| \leq \mu_{D_1}(x_0).$ 

The Hahn-Banach theorem assures the existence of continuous linear functionals  $\varphi_0$  and  $\varphi_1$  on Y of norm 1 such that  $\varphi_0(f(x_0)) = \mu_{D_2}(f(x_0))$  and  $\varphi_1(\hat{d}f(0)(x_0)) = \mu_{D_2}(\hat{d}f(0)(x_0))$ . Hence we obtain the inequalites

$$\mu_{D_2}(f(x_0)) \leq \mu_{D_1}(x_0) ,$$
  
$$\mu_{D_2}(\hat{d}f(0)(x_0)) \leq \mu_{D_1}(x_0) .$$

Suppose that  $x_0 \in D_1$  and  $\mu_{D_1}(x_0)=0$ . For every  $\varepsilon > 0$  there is a positive number t such that  $0 < t < \varepsilon$  and  $t^{-1}x_0 \in D_1$ . Considering the function

$$g(\boldsymbol{\zeta}) = \varphi(f(\boldsymbol{\zeta}t^{-1}x_0)) \qquad (\boldsymbol{\zeta} \in \boldsymbol{\Delta}),$$

we have

$$\mu_{D_{0}}(f(x_{0})) \leq t$$
,  $\mu_{D_{0}}(\hat{d}f(0)(x_{0})) \leq t$ .

Hence  $\mu_{D_2}(f(x_0)) = \mu_{D_2}(\hat{d}f(0)(x_0)) = 0$ . Thus (a) and (b) are proved. Now the inequality

$$0 \leq \tilde{\lambda}_f \leq \tilde{\Lambda}_f \leq 1$$

is an immediate consequence of (b).

Suppose that  $\tilde{\lambda}_f=1$ . Then  $\mu_{D_2}(\hat{d}f(0)(x))=\mu_{D_1}(x)$  for all  $x\in X$ . Let  $x_0\in D_1$ . If  $\mu_{D_1}(x_0)=0$ , then  $\mu_{D_2}(f(x_0))=0$  as we have shown. If  $\mu_{D_1}(x_0)>0$ , we consider the function

$$g_1(\zeta) = \varphi_1(f(\zeta y)), \qquad y = \mu_{D_1}(x_0)^{-1} x_0.$$

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Then

$$g_1'(0) = \mu_{D_1}(x_0)^{-1} \varphi_1(\hat{d}f(0)(x_0)) = \mu_{D_1}(x_0)^{-1} \mu_{D_2}(\hat{d}f(0)(x_0)) = 1$$

and so  $g_1(\zeta) = \zeta$  for all  $\zeta \in \Delta$ . Hence

$$\mu_{D_1}(x_0) = g_1(\mu_{D_1}(x_0)) = \varphi_1(f(x_0)) \leq \|\varphi_1\| \mu_{D_2}(f(x_0)) = \mu_{D_2}(f(x_0)).$$

Consequently we obtain that

$$\mu_{D_2}(f(x)) = \mu_{D_1}(x) \qquad (x \in D_1).$$

Conversely, suppose that  $\mu_{D_2}(f(x_0)) = \mu_{D_1}(x_0)$  for some  $x_0 \in D_1$  with  $\mu_{D_1}(x_0) > 0$ . We consider the function

$$g_0(\zeta) = \varphi_0(f(\zeta y)), \qquad y = \mu_{D_1}(x_0)^{-1} x_0.$$

Since  $g_0(\mu_{D_1}(x_0)) = \mu_{D_1}(x_0)$ , we have  $g_0(\zeta) = \zeta$  for all  $\zeta \in \Delta$  and so  $g'_0(0) = 1$ . Hence we obtain

$$\mu_{D_1}(x_0) = \varphi_0(\hat{d}f(0)(x_0)) \leq \mu_{D_2}(\hat{d}f(0)(x_0)).$$

Thus if  $\mu_{D_2}(f(x)) = \mu_{D_1}(x)$  for all  $x \in D_1$ , then  $\tilde{\lambda}_f \ge 1$  and hence  $\tilde{\lambda}_f = 1$ .

Remarks. If  $\mu_{D_2}(f(x_0)) = \mu_{D_1}(x_0)$  for some  $x_0 \in D_1$  with  $\mu_{D_1}(x_0) > 0$ , then  $\tilde{A}_f = 1$ . However,  $\tilde{A}_f = 1$  does not imply that there exists a point  $x_0 \in D_1$  with  $\mu_{D_1}(x_0) > 0$  such that  $\mu_{D_2}(f(x_0)) = \mu_{D_1}(x_0)$ . Indeed, let  $X = Y = c_{00}$  and  $D_1 = D_2 = \{x \in c_{00} : \|x\| < 1\}$ . Here  $c_{00}$  is the vector space of all sequences  $x = (x_1, x_2, \dots, x_n, \dots)$  of complex numbers having only a finite number of non-vanishing terms, with norm

$$\|x\| = \max_n |x_n|.$$

The mapping  $f: X \rightarrow Y$  defined by

$$f:(x_1, x_2, \cdots, x_n, \cdots) \longmapsto \left(\frac{1}{2}x_1, \frac{2}{3}x_2, \cdots, \frac{n}{n+1}x_n, \cdots\right)$$

maps  $D_1$  into  $D_2$  and satisfies f(0)=0 and  $\tilde{A}_f=1$ . But  $\mu_{D_2}(f(x))=\|f(x)\|\neq \|x\|$ = $\mu_{D_1}(x)$  for every  $x \in D_1$  with  $\mu_{D_1}(x)=\|x\|>0$ .

Moreover, we consider the mapping  $g: X \rightarrow Y$  defined by

$$g:(x_1, x_2, x_3, \cdots, x_n, \cdots) \longmapsto (x_1^2, x_1, x_2, \cdots, x_{n-1}, \cdots).$$

Then g maps  $D_1$  into  $D_2$  and satisfies g(0)=0 and  $\tilde{\lambda}_g=1$ . But  $g\neq \hat{d}g(0)$ . Thus  $\tilde{\lambda}_g=1$  does not imply that  $g=\hat{d}g(0)$ .

COROLLARY. Let X and Y be complex normed spaces. If  $f: X \rightarrow Y$  is a holomorphic mapping which satisfies ||f(x)|| = ||x|| for all  $x \in X$ , then f is linear.

*Proof.* Let M be a positive number. By the assumptions it follows that f

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maps B(0, M) into B(0, M) and satisfies f(0)=0 and

$$\mu_{B(0, M)}(f(x)) = \mu_{B(0, M)}(x) \qquad (x \in B(0, M)).$$

Hence by Theorem 1 we have  $\tilde{\lambda}_f = 1$ , and so

$$\mu_{B(0, M)}(\hat{d}f(0)(x)) = \mu_{B(0, M)}(x) \qquad (x \in X).$$

Therefore it follows that the equality

$$||f(x)|| = ||\hat{d}f(0)(x)||$$

holds for all  $x \in X$ . Replacing x by  $\zeta x$  we have

$$\left\|\sum_{k=1}^{\infty} \frac{1}{k!} \zeta^{k-1} \hat{d}^k f(0)(x)\right\| = \|\hat{d}f(0)(x)\| \qquad (x \in X, \zeta \in C).$$

Let  $\varphi$  be a continuous linear functional on Y. Then the function

$$h(\boldsymbol{\zeta}) = \varphi \Big( \sum_{k=1}^{\infty} \frac{1}{k!} \boldsymbol{\zeta}^{k-1} \hat{d}^k f(0)(x) \Big)$$

is holomorphic and bounded in C. Hence the Liouville theorem says that h is constant. Since the dual space  $Y^*$  of Y separates points on Y, it now follows that

$$\sum_{k=2}^{\infty} \frac{1}{k!} \zeta^{k-1} \hat{d}^k f(0)(x) = 0 \qquad (\zeta \in \mathbb{C}).$$

Therefore we conclude that  $f = \hat{d} f(0)$ .

Next we prove a theorem which gives a lower bound for  $\mu_{D_2}(f(x))$ ,  $x \in D_1$ . In our proof the following fact plays an important role.

PROPOSITION. Let X be a complex normed space and D be a convex balanced domain in X. Let  $k_D$  denote the Kobayashi pseudodistance of D. Then

$$k_D(0, x) = \frac{1}{2} \log \frac{1 + \mu_D(x)}{1 - \mu_D(x)}$$

and

$$\{x \in D : k_D(0, x) < \alpha\} = rD, \qquad r = \frac{e^{2\alpha} - 1}{e^{2\alpha} + 1}$$

(See [2], Theorem IV.1.8).

THEOREM 2. Let X and Y be complex normed spaces. Suppose that

- (i)  $D_1$  is a balanced domain in X,
- (ii)  $D_2$  is a bounded convex balanced domain in Y,
- (iii)  $f: D_1 \rightarrow D_2$  is a holomorphic mapping with f(0)=0.

Then

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$$\mu_{D_2}(f(x)) \ge \frac{\mu_{D_1}(x)(\tilde{\lambda}_f - \mu_{D_1}(x))}{1 - \tilde{\lambda}_f \mu_{D_1}(x)} \qquad (x \in D_1).$$

*Proof.* Take a point  $x_0 \in D_1$  with  $\mu_{D_1}(x_0) > 0$ . Put  $y = \mu_{D_1}(x_0)^{-1}x_0$  and define the mapping g from  $\Delta$  into  $D_2$  by

$$g(\boldsymbol{\zeta}) = f(\boldsymbol{\zeta} y) \qquad (\boldsymbol{\zeta} \in \Delta).$$

Since  $D_1$  is balanced, the Taylor series of f at 0

$$\sum_{k=1}^{\infty} \frac{1}{k!} \hat{d}^k f(0)(x)$$

converges to f uniformly on every compact subset of  $D_1$ . Hence we have

$$g(\boldsymbol{\zeta}) = \sum_{k=1}^{\infty} \frac{1}{k!} \boldsymbol{\zeta}^k \hat{d}^k f(0)(y) \qquad (\boldsymbol{\zeta} \in \Delta),$$

and hence we can write

$$g(\boldsymbol{\zeta}) = \boldsymbol{\zeta} h(\boldsymbol{\zeta}) \qquad (\boldsymbol{\zeta} \in \boldsymbol{\Delta}),$$

where h is a holomorphic mapping from  $\Delta$  into Y with the Taylor series

$$h(\zeta) = \sum_{k=1}^{\infty} \frac{1}{k!} \zeta^{k-1} \hat{d}^k f(0)(y)$$

at 0. Let 0 < t < 1. By Proposition and the definition of  $k_{D_2}$  we have that if  $\zeta h(\zeta) \neq 0$ , then

$$k_{D_2}(0, t\zeta h(\zeta)) < k_{D_2}(0, \zeta h(\zeta)) = k_{D_2}(g(0), g(\zeta)) \le \frac{1}{2} \log \frac{1+|\zeta|}{1-|\zeta|},$$

and so

$$t\zeta h(\zeta) \in |\zeta| D_2$$
.

Since  $D_2$  is balanced, this implies that

$$th(\zeta) \in D_2$$
  $(\zeta \in \Delta, \zeta \neq 0).$ 

By Theorem 1,  $h(0) = \hat{d}f(0)(y) \in \overline{D}_2$  and so  $th(0) \in D_2$ . Thus th is also a holomorphic mapping from  $\Delta$  into  $D_2$ . Therefore by Proposition and the definition of  $k_{D_2}$  we have the following two inequalities:

$$\begin{aligned} k_{D_2}(0, th(0)) &= k_{D_2}(0, t\hat{d}f(0)(y)) = \frac{1}{2} \log \frac{1 + t\mu_{D_2}(df(0)(y))}{1 - t\mu_{D_2}(\hat{d}f(0)(y))} \\ &\geq \frac{1}{2} \log \frac{1 + t\tilde{\lambda}_f}{1 - t\tilde{\lambda}_f}, \end{aligned}$$

and

$$k_{D_2}(th(0), th(\zeta)) \leq \frac{1}{2} \log \frac{1+|\zeta|}{1-|\zeta|}.$$

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Moreover, by the triangle inequality we have

 $k_{D_2}(0, th(\zeta)) \ge k_{D_2}(0, th(0)) - k_{D_2}(th(0), th(\zeta)).$ 

Combining these inequalities we obtain

$$k_{D_2}(0, th(\boldsymbol{\zeta})) \geq \frac{1}{2} \log \frac{(1+t\tilde{\lambda}_f)(1-|\boldsymbol{\zeta}|)}{(1-t\tilde{\lambda}_f)(1+|\boldsymbol{\zeta}|)}.$$

Now this inequality and Proposition show that

$$\begin{split} \mu_{D_2}(tg(\zeta)) &= |\zeta| \, \mu_{D_2}(th(\zeta)) = |\zeta| \, \varPhi(k_{D_2}(0, th(\zeta))) \\ &\geq |\zeta| \, \varPhi\left(\frac{1}{2} \log \frac{(1+t\tilde{\lambda}_f)(1-|\zeta|)}{(1-t\tilde{\lambda}_f)(1+|\zeta|)}\right) = \frac{|\zeta|(t\tilde{\lambda}_f - |\zeta|)}{1-t\tilde{\lambda}_f |\zeta|}, \end{split}$$

where  $\Phi(s) = (e^{2s} - 1)/(e^{2s} + 1)$ . Letting  $t \to 1$  and putting  $\zeta = \mu_{D_1}(x_0)$  we obtain the desired inequality

$$\mu_{D_2}(f(x_0)) \ge \frac{\mu_{D_1}(x_0)(\tilde{\lambda}_f - \mu_{D_1}(x_0))}{1 - \tilde{\lambda}_f \mu_{D_1}(x_0)}$$

4. Finally we study the limits of sequences of automorphisms of bounded domains. If D is a bounded domain in  $C^n$  and if  $f: D \rightarrow D$  is a pointwise limit of a sequence  $\{F_n\}$  of automorphisms of D, then f is also an automorphism of D. This follows from the fact that  $\{F_n\}$  has a subsequence  $\{F_{n_k}\}$  which converges to f uniformly on every compact subset of D. (See [7], pp. 78-82). However, in the case that D is a bounded domain in a complex normed space X the limit  $f: D \rightarrow D$  of a sequence of automorphisms of D need not be an automorphism of D. In this section using Theorems 1 and 2 we prove that f is one-to-one.

Let X be a complex normed space and D be a domain in X. The automorphisms of D are the biholomorphic mappings from D onto D. We denote by Aut(D) the group of all automorphisms of D. We begin with a simple example.

*Example.* Let  $X=c_{00}$  and  $D=\{x\in X: ||x||<1\}$ . Define the mappings  $F_n$ ,  $n=1, 2, \dots$ , and f by

$$F_n: (x_1, x_2, x_3, \cdots, x_n, x_{n+1}, \cdots) \longmapsto (x_n, x_1, x_2, \cdots, x_{n-1}, x_{n+1}, \cdots)$$

and

 $f:(x_1, x_2, x_3, \cdots, x_n, \cdots) \longmapsto (0, x_1, x_2, \cdots, x_{n-1}, \cdots).$ 

Then D is a bounded domain in X and  $F_n \in Aut(D)$ ,  $n=1, 2, \dots$ . Moreover,

$$f(x) = \lim_{n \to \infty} F_n(x) \qquad (x \in D).$$

However,  $f \notin \operatorname{Aut}(D)$ .

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We next prove two lemmas. For a holomorphic mapping  $f: D \rightarrow X$  we define

$$\lambda_f(a) = \inf \left\{ \frac{\|\vec{d}f(a)(x)\|}{\|x\|} : x \in X, \ x \neq 0 \right\} \quad (a \in D),$$

and

$$\Lambda_f(a) = \sup \left\{ \frac{\|\hat{d}f(a)(x)\|}{\|x\|} : x \in X, \ x \neq 0 \right\} \quad (a \in D).$$

Here we note that if f maps  $B(0, r_1)$  into  $B(0, r_2)$ , then

$$\lambda_f(0) = \frac{r_2}{r_1} \tilde{\lambda}_f, \qquad \Lambda_f(0) = \frac{r_2}{r_1} \tilde{\Lambda}_f.$$

LEMMA 1. Let D be a bounded domain in X. Let  $F \in \text{Aut}(D)$  and  $a \in D$ . If M, r and  $\rho$  are positive numbers such that  $D \subset B(0, M)$ ,  $B(a, r) \subset D$  and  $B(F(a), \rho) \subset D$ , then

$$\frac{\rho}{2M} \leq \lambda_F(a) \leq \Lambda_F(a) \leq \frac{2M}{r}.$$

*Proof.* Since  $F^{-1} \circ F = F \circ F^{-1} = \text{id.}$ , we have  $\hat{d}F^{-1}(F(a)) \circ \hat{d}F(a) = \hat{d}F(a) \circ \hat{d}F^{-1}(F(a)) = \text{id.}$  Hence it follows that  $\hat{d}F(a) \in \text{Aut}(X)$  and  $\lambda_F(a)\Lambda_{F^{-1}}(F(a)) = 1$ .

Put G(x)=F(x+a)-F(a). Then G maps B(0, r) into B(0, 2M) and G(0) = 0. Hence, by Theorem 1, we obtain

$$\Lambda_F(a) = \Lambda_G(0) = \frac{2M}{r} \tilde{\Lambda}_G \leq \frac{2M}{r}.$$

On the other hand, since  $F^{-1}$  maps  $B(F(a), \rho)$  into B(0, M), we have

$$\lambda_F(a) = \frac{1}{\Lambda_{F^{-1}}(F(a))} \geq \frac{\rho}{2M}$$

LEMMA 2. Let D be a bounded domain in X. Let  $F \in \operatorname{Aut}(D)$ ,  $a \in D$  and  $\lambda_F(a) \geq \lambda_0 > 0$ . Let M and r be positive numbers such that  $B(a, r) \subset D \subset B(0, M)$ . If  $0 < t < (r^2 \lambda_0/4M)$ , then

$$F(B(a, t)) \supset B\left(F(a), \frac{\lambda_0}{2}t\right).$$

*Proof.* Put G(x)=F(x+a)-F(a). Then G maps B(0, r) into B(0, 2M) and G(0)=0. Hence applying Theorem 2 to G we have

$$\|G(x)\| \ge \frac{2M}{r^2} \frac{\|x\|(r^2\lambda_G(0) - 2M\|x\|)}{2M - \lambda_G(0)\|x\|} \qquad (x \in B(0, r)).$$

Since  $\lambda_G(0) = \lambda_F(a) \ge \lambda_0$ , we have

$$\|F(x) - F(a)\| \ge \frac{2M}{r^2} \frac{\|x - a\|(r^2\lambda_0 - 2M\|x - a\|)}{2M - \lambda_0 \|x - a\|} \qquad (x \in B(a, r)).$$

Hence using the inequality  $\lambda_0 \leq \lambda_F(a) \leq \Lambda_F(a) \leq (2M/r)$ , we obtain that if  $||x-a|| \leq (r^2 \lambda_0/4M)$ , then

$$||F(x)-F(a)|| \ge \frac{4M^2 \lambda_0}{8M^2 - r^2 \lambda_0^2} ||x-a|| \ge \frac{\lambda_0}{2} ||x-a||.$$

Since  $F \in \operatorname{Aut}(D)$ , this inequality shows that if  $0 < t < (r^2 \lambda_0/4M)$ , then

$$F(B(a, t)) \supset B\left(F(a), \frac{\lambda_0}{2}t\right).$$

Now we can prove the following theorem.

THEOREM 3. Let D be a bounded domain in X. Suppose that  $f: D \rightarrow D$  is a pointwise limit of a sequence  $\{F_n\}$  of automorphisms of D. Then f is one-toone in D.

*Proof.* Assume that there exist two distinct points  $a_1$  and  $a_2$  in D such that  $f(a_1)=f(a_2)=b$ . Since  $b \in D$ , there is a positive number  $\rho$  with  $B(b, 2\rho) \subset D$ . Hence we can choose a positive integer  $n_0$  such that if  $n > n_0$ , then  $B(F_n(a_1), \rho) \subset D$ , i=1, 2. Take positive numbers M,  $r_1$  and  $r_2$  such that  $B(0, M) \supset D$ ,  $B(a_1, r_1) \subset D$  and  $B(a_2, r_2) \subset D$ . Then, by Lemma 1, we have, if  $n > n_0$ , then

$$\lambda_{F_n}(a_i) \geq \frac{\rho}{2M}$$
 (*i*=1, 2),

and hence, by Lemma 2, if  $n > n_0$  and  $0 < t < (r_i^2 \rho/8M^2)$ , then

$$F_n(B(a_i, t)) \supset B\left(F_n(a_i), \frac{\rho}{4M}t\right) \quad (i=1, 2).$$

On the other hand, we can choose a positive number t and a positive integer n satisfying conditions:

(i)  $0 < t < \min\left\{\frac{r_1^2\rho}{8M^2}, \frac{r_2^2\rho}{8M^2}\right\}$ , and  $B(a_1, t) \cap B(a_2, t) = \emptyset$ , (ii)  $n > n_0$  and  $B\left(F_n(a_1), \frac{\rho}{4M}t\right) \cap B\left(F_n(a_2), \frac{\rho}{4M}t\right) \neq \emptyset$ .

These facts contradict that  $F_n \in \operatorname{Aut}(D)$ . Therefore it follows that f is one-to-one in D.

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