

## THE VALUE DISTRIBUTION OF ENTIRE FUNCTIONS OF FINITE ORDER

BY JIAN-YONG QIAO

### 1. Introduction.

In [8] Tsuzuki proved the following

**THEOREM A.** *Let  $f(z)$  be an entire function of order less than one and let  $\{w_n\}$  be an unbounded sequence. Assume that there exists a real number  $\beta$  such that  $0 < \beta < \pi/2$  and all the roots of equations*

$$(1) \quad f(z) = w_n \quad (n=1, 2, \dots)$$

*belong to the sector  $\{z; |\arg z - \pi| \leq \beta\}$ . Then  $f(z)$  is a linear function.*

In [4], [5], [1] Kimura, Kobayashi, Baker and Liverpool improved the above result respectively. In this paper we generalize Theorem A to the following

**THEOREM 1.** *Let  $f(z)$  be an entire function and let  $\{w_n\}$  be an unbounded sequence. Suppose that for some positive integer  $m$ .*

$$(2) \quad \lim_{r \rightarrow \infty} \frac{T(r, f)}{r^m} = 0.$$

*Assume that there exists some  $\varepsilon > 0$  such that all the roots of equations (1) belong to the following set*

$$(3) \quad \bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \varepsilon < \arg z < \frac{2k+1}{m} \pi - \varepsilon \right\}.$$

*Then  $f(z)$  is a polynomial.*

The direction  $\arg z = \theta$  is said to be a limiting direction of the complex set  $E$ , if  $\theta$  is a cluster point of the set  $\{\arg z; z \in E\}$ . As the corollary of Theorem 1 we have

**COROLLARY 1.** *Let  $f(z)$  be an entire function of finite order and let  $\{w_n\}$*

---

Received September 7, 1988; Revised March 22, 1989.

be an unbounded sequence. Assume that  $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$  has only  $k (< \infty)$  distinct limiting directions, then  $f(z)$  is a polynomial of degree at most  $k$ .

In [7] Ozawa proposed the following conjecture:

Let  $f(z)$  be an entire function,  $\{w_n\}$  be an unbounded sequence and  $L_1, L_2, \dots, L_p$  be  $p$  distinct straightlines any two of which are not parallel with each other. Assume that all the roots of equations (1) lie on  $L_1, L_2, \dots, L_p$ . Then  $f(z)$  is a polynomial of degree at most  $2p$ .

By Corollary 1 we deduce the following

**COROLLARY 2.** *Ozawa's conjecture is true.*

A meromorphic function  $F(z)$  is said to have a factorization with left factor  $f$  and right factor  $g$ , if it is expressible in the form  $f(g(z))$ , where  $f$  is meromorphic and  $g$  is entire ( $g$  may be meromorphic when  $f$  is rational).  $F(z)$  is said to be pseudoprime if every factorization of the above form implies that either  $f$  is rational or  $g$  is a polynomial. If  $F(z)$  is pseudoprime when only entire factors are considered in the factorization of the above form, it is called  $E$ -pseudoprime. In this paper we prove the following

**THEOREM 2.** *Let  $F(z)$  be a meromorphic function of order less than  $m$  (a positive integer). Assume that there exist two complex number  $A_1, A_2$  (finite or infinite) such that all the roots of equation  $F(z)=A_j$  ( $j=1, 2$ ) belong to the following set*

$$(4) \quad T_j = \bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \varepsilon < \arg z - \alpha_j < \frac{2k+1}{m} \pi - \varepsilon \right\}$$

for some  $\varepsilon > 0$  and two real numbers  $\alpha_j$  ( $j=1, 2$ ). Then  $F(z)$  is pseudoprime.

In [2] Baker proved the following

**THEOREM B.** *Let  $F(z)$  be an entire function of finite order and let there exist a complex number  $A$  such that the set of the roots of  $F(z)=A$  has only one limiting direction. Then  $F(z)$  is  $E$ -pseudoprime.*

A a corollary of Theorem 2 we improve Theorem B to the following

**COROLLARY 3.** *Let  $F(z)$  be a meromorphic function of finite order and let there exist two distinct complex numbers  $A_1, A_2$  (finite or infinite) such that the set of the roots of  $F(z)=A_j$  ( $j=1, 2$ ) has only finitely many limiting directions. Then  $F(z)$  is pseudoprime.*

Let  $f(z)$  be an entire function and  $f_1(z)=f(z)$ ,  $f_2(z)=f(f(z))$ ,  $\dots$ ,  $f_n(z)$ ,  $\dots$  be its sequence of iterates. Regarding the Fatou set  $F(f)$  of those points of

the complex plane where  $\{f_n(z)\}$  does not form a normal family, Baker proved in [2] the following

THEOREM C. *Let  $f(z)$  be a transcendental entire function and let the set*

$$F(f) - \{z; |\arg z| < \delta\}$$

*be  $\bar{\Delta}$ -bounded for every  $\delta > 0$ , then  $f(z)$  is of infinite order.*

In this paper we improve Theorem C to the following

THEOREM 3. *Let  $f(z)$  be a transcendental entire function and let  $\theta_j$ , ( $j=1, 2, \dots, m$ ) be  $m$  real numbers. Assume that the set*

$$F(f) - \bigcup_{j=1}^m \{z; |\arg z - \theta_j| < \delta\}$$

*is bounded for every  $\delta > 0$ , then  $f(z)$  is of infinite order.*

By Theorem 3 we easily obtain the following

COROLLARY 4. *Let  $f(z)$  be a transcendental entire function of finite order, then  $F(f)$  cannot be contained in any finitely many strip regions.*

**2. Some lemmas.**

To prove our theorems, we need the following lemmas.

LEMMA 1. *Let  $f(z)$  be an entire function with the zeros  $\{z_j\}$  and  $0 < |z_1| \leq |z_2| \leq \dots \leq |z_j| \leq \dots$ . Then for any positive integer  $n$  we have*

$$(5) \quad \left(\frac{f'(z)}{f(z)}\right)^{(n-1)} = (n-1)! \left[ - \sum_{|z_j| \leq r} \frac{1}{(z_j - z)^n} + O\left(\frac{T(er, f)}{r^n}\right) \right] \quad (r \rightarrow \infty).$$

*Proof.* Let  $|z| < r$ , by Poisson-Jensen formula we have

$$\frac{f'(z)}{f(z)} = \frac{1}{2\pi} \int_0^{2\pi} \log |f(re^{i\theta})| \frac{2re^{i\theta}}{(re^{i\theta} - z)^2} d\theta + \sum_{|z_j| \leq r} \left\{ \frac{1}{z - z_j} + \frac{\bar{z}_j}{r^2 - \bar{z}_j z} \right\}.$$

Differentiating this  $n-1$  times we obtain

$$(6) \quad \begin{aligned} \left(\frac{f'(z)}{f(z)}\right)^{(n-1)} &= \frac{n!}{2\pi} \int_0^{2\pi} \log |f(re^{i\theta})| \frac{2re^{i\theta}}{(re^{i\theta} - z)^{n+1}} d\theta \\ &\quad + (n-1)! \sum_{|z_j| \leq r} \left\{ \frac{(-1)^{n+1}}{(z - z_j)^n} + \frac{\bar{z}_j^n}{(r^2 - \bar{z}_j z)^n} \right\}. \end{aligned}$$

We also have

$$(7) \quad \left| \frac{1}{2\pi} \int_0^{2\pi} \log |f(re^{i\theta})| \frac{2re^{i\theta}}{(re^{i\theta}-z)^{n+1}} d\theta \right| \leq O\left(\frac{T(er, f)}{r^n}\right) \quad (r \rightarrow \infty),$$

$$(8) \quad \left| \sum_{|z_j| \leq r} \frac{\bar{z}_j^n}{(r^2 - \bar{z}_j z)^n} \right| \leq O\left(\frac{n(r, f=0)}{r^n}\right) \leq O\left(\frac{T(er, f)}{r^n}\right) \quad (r \rightarrow \infty).$$

By (6), (7) and (8) we deduce (5), Lemma 1 is thus proved.

LEMMA 2. *Let  $\theta_j \in [0, 2\pi)$  ( $j=1, 2, \dots, p$ ) be  $p$  distinct real numbers. then for any constant  $M > 0$  there exists some integer  $m > M$  such that  $\cos m\theta_j > \sqrt{3}/2$  ( $j=1, 2, \dots, p$ ).*

This lemma is Lemma 1.1 of paper [6].

LEMMA 3. *If the conditions of Ozawa's conjecture are satisfied, then the order of  $f(z)$  is finite.*

This lemma is a special case of Theorem 2 of paper [3].

### 3. Proof of the theorems.

*Proof of Theorem 1.* Let  $\omega$  be an  $m$ -th root of unity. Set

$$B_{m-j}(z) = (-1)^j \sum_{1 \leq k_1 < \dots < k_j \leq m} f(\omega^{k_1} z) f(\omega^{k_2} z) \dots f(\omega^{k_j} z),$$

$A_{m-j}(z) = B_{m-j}(z^{1/m})$  is obviously an entire function and it is easily seen that

$$f^m(z) + B_{m-1}(z) f^{m-1}(z) + \dots + B_1(z) f(z) + B_0(z) = 0.$$

Thus the entire algebroid function  $g(z) = f(z^{1/m})$  satisfies the following equation

$$g^m + A_{m-1}(z) g^{m-1} + \dots + A_1(z) g + A_0(z) = 0.$$

Set

$$(9) \quad \varphi_n(z) = w_n^m + w_n^{m-1} A_{m-1}(z) + \dots + w_n A_1(z) + A_0(z).$$

By (3) it is obvious that the zeros  $\{a_{n,j}\}$  of  $\varphi_n(z)$  (which are the zeros of  $g(z) - w_n$ ) all lie in the half plane  $\text{Im } z > 0$ .

Because  $\{w_n\}$  is unbounded, without loss of generality we may assume that  $w_n \rightarrow \infty$  as  $n \rightarrow \infty$  (otherwise consider its some suitable subsequence). Let  $n$  be sufficiently large. It follows from (9) that

$$\begin{aligned} \log \varphi_n(z) &= m \log w_n + \log \left( 1 + \frac{A_{m-1}(z)}{w_n} + \dots + \frac{A_0(z)}{w_n^m} \right) \\ &= m \log w_n + \sum_{j=1}^{\infty} \frac{(-1)^{j-1}}{j} \left( \frac{A_{m-1}(z)}{w_n} + \dots + \frac{A_0(z)}{w_n^m} \right)^j \end{aligned}$$

$$=m \log w_n + \frac{A_{m-1}(z)}{w_n} + O\left(\frac{1}{w_n^2}\right) \quad (n \rightarrow \infty).$$

By this we obtain that

$$(10) \quad \lim_{n \rightarrow \infty} w_n [\log \varphi_n(z)]^{(q)} = A_{m-1}^{(q)}(z) \quad (q=1, 2, \dots).$$

From (2) and (9) it follows that

$$(11) \quad \varliminf_{r \rightarrow \infty} \frac{T(r, \varphi_n)}{r} = 0.$$

By Lemma 1 and (11) we obtain that there exists a sequence  $r_k \rightarrow \infty$  such that

$$(12) \quad (\log \varphi_n(z))' = -\lim_{k \rightarrow \infty} \sum_{|a_{nj}| \leq r_k} \frac{1}{a_{nj} - z}.$$

Taking  $z_0 \in \{z; \text{Im } z < 0\}$  such that  $\varphi_n(z_0) \neq 0$ , by (12) we deduce that

$$\lim_{k \rightarrow \infty} \sum_{|a_{nj}| \leq r_k} \frac{\text{Im}(a_{nj} - z_0)}{|a_{nj} - z_0|^2}$$

is a finite number. Since  $a_{nj} \in \{z; \text{Im}(z) > 0\}$ , we have  $\text{Im}(a_{nj} - z_0) > |\text{Im}(z_0)| > 0$ . From this we know that the following series is convergent

$$\sum_{j=1}^{\infty} \frac{1}{|a_{nj} - z_0|^2}.$$

It tells us that the order of  $N(r, g(z+z_0)=w_n)$  is not larger than 2 for every  $w_n$ . By the second fundamental theorem of algebroid functions we obtain that the order of  $g(z)$  is not larger than 2. This implies that the order of  $\varphi_n(z)$  is not larger than 2. By Lemma 1 we have

$$(13) \quad (\log \varphi_n(z))^{(q)} = -(q-1)! \sum_{j=1}^{\infty} \frac{1}{(a_{nj} - z)^q} \quad (q \geq 3).$$

By (10), (12) and (13) we have

$$(14) \quad A'_{m-1}(z_0) = -\lim_{n \rightarrow \infty} w_n \left( \lim_{k \rightarrow \infty} \sum_{|a_{nj}| \leq r_k} \frac{1}{a_{nj} - z_0} \right),$$

$$(15) \quad A_m^{(q)}(z_0) = -(q-1)! \lim_{n \rightarrow \infty} w_n \sum_{j=1}^{\infty} \frac{1}{(a_{nj} - z_0)^q} \quad (q \geq 3).$$

By (14) we obtain that

$$(16) \quad \lim_{n \rightarrow \infty} |w_n| \sum_{j=1}^{\infty} \frac{1}{|a_{nj} - z_0|^2} \leq \frac{|A'_{m-1}(z_0)|}{|\text{Im } z_0|}.$$

Without loss of generality we may assume that

$$0 < |a_{n1} - z_0| \leq |a_{n2} - z_0| \leq \dots \leq |a_{nj} - z_0| \leq \dots.$$

By (14), (15) and (16) we deduce that for  $q > 2$

$$\begin{aligned}
 (17) \quad |A_{m-1}^{(q)}(z_0)| &\leq (q-1)! \lim_{n \rightarrow \infty} |w_n| \sum_{j=1}^{\infty} \frac{1}{|a_{nj}-z_0|^q} \\
 &\leq (q-1)! \lim_{n \rightarrow \infty} \frac{|w_n|}{|a_{n1}-z_0|^{q-2}} \sum_{j=1}^{\infty} \frac{1}{|a_{nj}-z_0|^2} \\
 &\leq \frac{(q-1)! |A'_{m-1}(z_0)|}{|\operatorname{Im}(z_0)|} \lim_{n \rightarrow \infty} \frac{1}{|a_{nj}-z_0|^{q-2}}.
 \end{aligned}$$

Since  $f(a_{n1}^{1/m})=w_n$  and  $w_n \rightarrow \infty$  as  $n \rightarrow \infty$ , we have  $a_{n1} \rightarrow \infty$  as  $n \rightarrow \infty$ . By (17) we deduce that

$$A_{m-1}^{(q)}(z_0)=0 \quad (q \geq 3).$$

This proves that  $A_{m-1}(z)$  is a polynomial of degree at most two. Thus  $B_{m-1}(z)$  is a polynomial of degree at most  $2m$ . Since

$$-B_{m-1}(z)=f(\omega z)+f(\omega^2 z)+\cdots+f(\omega^{m-1} z).$$

We easily obtain that  $f^{(3m)}(0)=0$ .

For any complex number  $c$ , set  $f_1(z)=f(z+c)$ . Since all the roots of equations  $f(z)=w_n$  ( $n=1, 2, \dots$ ) belong to the set (3), we can easily see that there exists a positive integer  $N$  such that all the roots of equations  $f_1(z)=w_n$  ( $n=1, 2, \dots$ ) belong to the following set

$$\bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \frac{\varepsilon}{2} < \arg z < \frac{2k+1}{m} \pi - \frac{\varepsilon}{2} \right\}$$

for any  $n > N$ . Since  $f_1(z)$  satisfies all the conditions of  $f(z)$ , by the above discussion we have  $f_1^{(3m)}(0)=0$ . Hence  $f^{(3m)}(c)=0$  for any complex number  $c$ . This proves that  $f(z)$  is a polynomial. The proof of Theorem 1 is now complete.

*Proof of Corollary 1.* Set  $\arg z = \theta_j$ , ( $j=1, 2, \dots, k$ ) are the limiting directions of  $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$ . By Lemma 2 there exists a positive integer  $m > \rho_f$  (the order of  $f(z)$ ) such that  $\cos m\theta_j > \sqrt{3}/2$  ( $j=1, 2, \dots, k$ ). Hence all  $e^{i(\theta_j + \pi/2m)}$  ( $j=1, 2, \dots, k$ ) belong to

$$(18) \quad \bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \frac{\pi}{2m} < \arg z < \frac{2k+1}{m} \pi - \frac{\pi}{2m} \right\}.$$

It is easily seen that  $\arg z = \theta_j - \pi/2m$  ( $j=1, 2, \dots, k$ ) are the limiting directions of  $\bigcup_{n=1}^{\infty} \{z; f(e^{-i(\pi/2m)} z) = w_n\}$ . From this we know that there exists a positive integer  $N$  such that all the roots of equations  $f(e^{-i(\pi/2m)} z) = w_n$  belong to the set (18) for any  $n > N$ . By Theorem 1 we deduce that  $f(z)$  is a polynomial. Let  $f(z) = a_q z^q + \dots + a_0$ . Then the roots of  $f(z) = w_n$  should be distributed asymptotically as  $q$  roots of  $a_q z^q = w_n$  for sufficiently large  $n$ . Hence  $q \leq k$ .

The proof of Corollary 1 is now complete.

*Proof of Corollary 2.* By Lemma 3 we see that  $f(z)$  is of finite order. We easily know that the limiting directions of  $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$  only may be  $\arg z = \theta_1, \theta_2, \dots, \theta_{2p}$  which are parallel with  $L_1, L_2, \dots, L_p$  respectively. By Corollary 1 we thus complete the proof of Corollary 2.

*Proof of Theorem 2.* Suppose that  $F(z)=f(g(z))$ , where  $f$  is a transcendental meromorphic function and  $g$  is a transcendental entire function. If  $f(w)-A_1$  has infinitely many zeros  $\{w_n\}$ , then all the roots of  $g(z)=w_n$  ( $n=1, 2, \dots$ ) belong to the set  $T_1$ . Because the order of  $F(z)$  is less than  $m$ , we obviously have

$$\lim_{r \rightarrow \infty} \frac{T(r, g)}{r^m} = 0.$$

By Theorem 1,  $g(z)$  is a polynomial. This is a contradiction. Hence  $f(w)-A_1$  has only finitely many zeros and so does  $f(w)-A_2$ . Thus

$$\frac{f(w)-A_1}{f(w)-A_2} = R(w)e^{h(w)},$$

where  $R(w)$  is rational,  $h(w)$  is entire and nonconstant. It gives us the following equality

$$(19) \quad \frac{F(z)-A_1}{F(z)-A_2} = R(g(z))e^{h(g(z))}$$

By Pólya's theorem we deduce from (19) that  $F(z)$  is of infinite order. This is a contradiction. Hence  $F(z)$  is pseudoprime. The proof of Theorem 2 is complete.

*Proof of Corollary 3.* By the same discussion as in the proof of Corollary 1, we can obtain that  $F(z)$  satisfies all the conditions of Theorem 2 for some positive integer  $m$ . By Theorem 2 we complete the proof of Corollary 3.

*Proof of Theorem 3.* Suppose that  $f(z)$  is of finite order. We choose a sequence  $\{w_n\} \in F(f)$  such that  $w_n \rightarrow \infty$  as  $n \rightarrow \infty$ . Since  $F(f) - \bigcup_{j=1}^m \{z; |\arg z - \theta_j| < \delta\}$  is bounded for any  $\delta > 0$ , and  $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\} \subset F(f)$ , we know that the number of elements of  $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$  which are outside  $\bigcup_{j=1}^m \{z; |\arg z - \theta_j| < \delta\}$  is at most finite. This implies that the limiting directions of the set  $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$  only may be  $\arg z = \theta_1, \theta_2, \dots, \theta_m$ . By Corollary 1 we deduce that  $f(z)$  is a polynomial. This is a contradiction, Theorem 3 is now proved.

Corollary 4 is obtained by Theorem 3.

## REFERENCES

- [ 1 ] I. N. BAKER AND L. S. O. LIVERPOOL, The value distribution of entire functions of order at most one, *Acta Sci. Math.* **41** (1979), 3-14.
- [ 2 ] I. N. BAKER, The value distribution of composite entire function, *Acta. Sci. Math. (Szeged)* **32** (1971), 87-90.
- [ 3 ] A. EDREI AND W. H. J. FUCHS, On meromorphic functions with regions free of poles and zeros, *Acta Math.* **108** (1962), 113-145.
- [ 4 ] S. KIMURA, On the value distribution of entire functions of order less than one, *Kodai Math. Sem. Rep.* **28** (1976), 28-32.
- [ 5 ] T. KOBAYASHI, Distribution of values of entire functions of lower order less than one, *Kodai Math. Sem. Rep.* **28** (1976), 33-37.
- [ 6 ] J. MILES, On entire function of infinite order with radially distributed zeros. *Pacific J. Math.* **81** (1979), 131-156.
- [ 7 ] M. OZAWA, On the solution of the functional equation  $f \circ g = F(z)$ ,  $V$ , *Kodai Math. Sem. Rep.* **20** (1968), 305-313.
- [ 8 ] M. TSUZKI, On the value distribution of entire functions of order less than one, *J. College of Liberal Arts. Saitama Univ.*, **9** (1974), 1-3.

DEPARTMENT OF MATHEMATICS  
HUAIBEI TEACHERS COLLEGE  
(supported by coal industry)  
HUAIBEI, ANHUI PROVINCE  
P. R. CHINA