# Comparison theorems for eigenvalues of one-dimensional Schrödinger operators

## By

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### Abstract

The Schrödinger operator  $H = -d^2/dx^2 + V(x)$  on an interval [0, a] with Dirichlet or Neumann boundary conditions has discrete spectrum  $E_1[V] < E_2[V] < E_3[V] < \cdots$ , for bounded V. In this paper, we apply the perturbation theory of discrete eigenvalues to obtain upper bounds for  $\sum_{j=1}^{k} E_j[V]$ , where k is any positive integer. Our results include the following:

(i)  $\sum_{j=1}^{k} E_j[V] \leq \sum_{j=1}^{k} E_j[V_s]$ , where  $V_s(x) = [V(x) + V(a-x)]/2$ , with equality if and only if V is symmetric about x = a/2.

(ii) If V is convex, then the Dirichlet eigenvalues satisfy

$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[0] + \frac{k}{a} \int_0^a V(x) dx$$

with equality if and only if V is constant.

(iii) If V is concave, then the Neumann eigenvalues satisfy

$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[0] + \frac{k}{a} \int_0^a V(x) dx$$

with equality if and only if V is constant.

#### 1. The basic theorem

Let  $\Omega$  be a region in the complex plane, and for each  $z \in \Omega$ , let T(z) be a closed operator with nonempty resolvent set.  $\{T(z)\}$  is called an analytic family of type (A) if the operator domain of T(z) is some set  $\mathcal{D}$  independent of z, and for each  $\varphi \in \mathcal{D}$ ,  $T(z)\varphi$  is a vector-valued analytic function of z ([1], [3]). Suppose that  $\{T(z)\}$  is an analytic family of type (A) in  $\Omega$ . The Kato-Rellich theorem ([3]) asserts that if  $z_0 \in \Omega$  and if  $E(z_0)$  is an isolated nondegenerate eigenvalue of  $T(z_0)$ , then, for z near  $z_0$ , there is a unique point E(z) in the

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spectrum of T(z) near  $E(z_0)$  which is an isolated nondegenerate eigenvalue. Moreover, E(z) is analytic near  $z = z_0$ , and there is an analytic eigenvector u(z) near  $z = z_0$ .

We now consider the eigenvalue problem for one-dimensional Schrödinger operators. Let V(x) be a bounded real-valued function on the interval [0, a], and let H be the selfadjoint operator on  $L^2([0, a])$  given by  $-d^2/dx^2 + V(x)$ with Dirichlet or Neumann boundary conditions. As we know, H has discrete spectrum

$$E_1 < E_2 < E_3 < \cdots$$

with corresponding normalized eigenfunctions  $u_1(x)$ ,  $u_2(x)$ ,  $u_3(x)$ ,.... Also, the  $u_j(x)$  can be chosen so as to be real-valued and to form a complete orthonormal basis for  $L^2([0, a])$ .

In this paper, we shall apply the perturbation theory of discrete eigenvalues to obtain upper bounds for  $\sum_{j=1}^{k} E_j$ , the sum of the k lowest eigenvalues of H, where k is any positive integer. To apply the idea of this theory to eigenvalues, let  $V(\cdot, t)$ ,  $t \in \mathbb{R}$ , be a one-parameter family of bounded potentials, and consider the selfadjoint operator  $H(t) = -d^2/dx^2 + V(x, t)$  on  $L^2([0, a])$ with Dirichlet or Neumann boundary conditions. We assume that H(t) has an analytic continuation to a region  $\Omega$  so that  $\{H(z)\}$  is an analytic family of type (A) in  $\Omega$ . If  $E_j(t)$  is the *j*th eigenvalue of H(t), there is a simple formula for the derivative of  $E_j(t)$ :

(1) 
$$\frac{d}{dt}E_j(t) = \int_0^a \frac{\partial V}{\partial t}(x,t)u_j^2(x,t)dx,$$

where  $u_j(x,t)$  is the normalized eigenfunction corresponding to the eigenvalue  $E_j(t)$ . Here we note the following basic formula for the second derivative of  $E_j(t)$ .

**Theorem 1** (the second-order perturbation formula).

$$\begin{aligned} \frac{d^2}{dt^2} E_j(t) &= \int_0^a \frac{\partial^2 V}{\partial t^2}(x,t) u_j^2(x,t) dx \\ &+ 2 \sum_{n=1, n \neq j}^\infty \frac{1}{E_j(t) - E_n(t)} \left[ \int_0^a \frac{\partial V}{\partial t}(x,t) u_j(x,t) u_n(x,t) dx \right]^2. \end{aligned}$$

*Proof.* See, for example, [2, Chapter 17] or [3, Chapter XII].

The following result, which is an important consequence of Theorem 1, plays a major role in the next section.

**Theorem 2.** If  $(\partial^2 V/\partial t^2)(x,t) \leq 0$ , then

$$\frac{d^2}{dt^2}(E_1 + E_2 + \dots + E_k)(t) \le 0 \qquad \text{for any} \quad k \ge 1.$$

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*Proof.* Since  $(\partial^2 V / \partial t^2)(x, t) \leq 0$ , we have from Theorem 1 that

$$\frac{d^2}{dt^2} E_j(t) \le 2 \sum_{n \ne j} \frac{1}{E_j(t) - E_n(t)} A_{j,n}^2(t),$$

where  $A_{j,n}(t) = \int_0^a (\partial V/\partial t)(x,t) u_j(x,t) u_n(x,t) dx$ . It follows that

(2)  

$$\frac{d^2}{dt^2}(E_1 + E_2 + \dots + E_k)(t) \le 2\sum_{j=1}^k \sum_{n \neq j} \frac{1}{E_j(t) - E_n(t)} A_{j,n}^2(t)$$

$$= 2\sum_{j=1}^k \sum_{n=k+1}^\infty \frac{1}{E_j(t) - E_n(t)} A_{j,n}^2(t)$$

$$\le 0,$$

where we have used the fact that  $A_{j,n}(t) = A_{n,j}(t)$  in the second step.

Theorem 2 indicates that the concavity of  $\sum_{j=1}^{k} E_j(t)$  is connected with the concavity of V(x,t) with respect to t. In fact, there is a natural way of approaching this connection based on the min-max principle and basic facts about concave functions. For the linear case V(x,t) = tV(x), it was shown in [4] (pp. 153–154) that  $\sum_{j=1}^{k} E_j(t)$  is a concave function of t for any  $k \ge 1$ . Here we prove a theorem that is a generalization of this result.

**Theorem 3.** If V(x,t) is concave with respect to t, then, for any  $k \ge 1$ ,  $\sum_{i=1}^{k} E_j(t)$  is a concave function of t.

*Proof.* By the min-max principle ([4, p. 152]),

(3) 
$$\sum_{j=1}^{k} E_j(t) = \inf_{\{\varphi_1, \dots, \varphi_k\}} \sum_{j=1}^{k} \langle \varphi_j, H(t)\varphi_j \rangle,$$

where the infimum is taken over all orthonormal systems  $\{\varphi_1, \ldots, \varphi_k\}$  in  $\mathcal{D} \equiv \mathcal{D}(H(t))$ , the domain of H(t). For simplicity of notation, write  $H(t) = H_0 + V(t)$ . Then, by the concavity of V(t), we have

$$V\left(\sum_{i=1}^{n} \alpha_i t_i\right) \ge \sum_{i=1}^{n} \alpha_i V(t_i)$$

for all  $\alpha_i \ge 0$  with  $\sum_{i=1}^n \alpha_i = 1$ . So,

$$\left\langle \varphi, H\left(\sum_{i=1}^{n} \alpha_{i} t_{i}\right) \varphi \right\rangle \geq \sum_{i=1}^{n} \alpha_{i} \langle \varphi, H(t_{i}) \varphi \rangle$$

for all  $\varphi \in \mathcal{D}$ . This implies that  $\sum_{j=1}^{k} \langle \varphi_j, H(t)\varphi_j \rangle$  is a concave function of t. Since the infimum of any collection of concave functions is concave, we conclude that  $\sum_{j=1}^{k} E_j(t)$  is concave.

### 2. Applications

For a bounded potential V on [0, a], we denote by  $E_j[V]$  the *j*th eigenvalue of the selfadjoint operator  $-d^2/dx^2 + V(x)$  on  $L^2([0, a])$  with Dirichlet [Neumann] boundary conditions.

We begin with a comparison theorem.

**Theorem 4.** If V(x) is a bounded potential on [0, a], then, for any  $k \ge 1$ ,

(4) 
$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[V_s],$$

where  $V_s(x) = [V(x) + V(a - x)]/2$ . The equality holds only if  $V = V_s$ ; i.e., only if V is symmetric about x = a/2.

*Proof.* Consider the one-parameter family of potentials:  $V(x,t) = tV(x) + (1-t)V_s(x)$ . By (1), we have

$$\sum_{j=1}^{k} E'_{j}(t) = \frac{1}{2} \sum_{j=1}^{k} \int_{0}^{a} [V(x) - V(a - x)] u_{j}^{2}(x, t) dx.$$

Note that the potential  $V_s(x)$  is symmetric about x = a/2 with corresponding normalized eigenfunctions  $u_j(x, 0), j = 1, 2, ...$  So,

$$u_{2j-1}(x,0) = u_{2j-1}(a-x,0)$$
 and  $u_{2j}(x,0) = -u_{2j}(a-x,0)$ .

Thus, for each j,  $u_j^2(x,0)$  is symmetric about x = a/2. On the other hand, the potential V(x) - V(a - x) is antisymmetric about x = a/2. It follows that

$$\sum_{j=1}^{k} E'_{j}(0) = \frac{1}{2} \sum_{j=1}^{k} \int_{0}^{a} [V(x) - V(a - x)] u_{j}^{2}(x, 0) dx = 0.$$

Since  $(\partial^2 V/\partial t^2)(x,t) = 0$ , we have by Theorem 2 that  $\sum_{j=1}^k E_j''(t) \leq 0$ . Thus, for any  $t \geq 0$ , we have

$$\sum_{j=1}^{k} E'_{j}(t) \le \sum_{j=1}^{k} E'_{j}(0) = 0.$$

This implies that

$$\sum_{j=1}^{k} E_j[V] = \sum_{j=1}^{k} E_j(1) \le \sum_{j=1}^{k} E_j(0) = \sum_{j=1}^{k} E_j[V_s].$$

Finally, if the equality holds in (4), then  $\sum_{j=1}^{k} E_j(t)$  is constant for  $0 \le t \le 1$  so that  $\sum_{j=1}^{k} E_j''(t) = 0$  for  $0 \le t \le 1$ . Now taking t = 0 and using (2), we see that

$$A_{j,n}(0) = \frac{1}{2} \int_0^a [V(x) - V(a - x)] u_j(x, 0) u_n(x, 0) dx = 0$$

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for  $1 \leq j \leq k$  and  $n \geq k+1$ . Thus, writing f(x) = [V(x) - V(a-x)]/2, we have, for  $1 \leq j \leq k$ ,

$$f(x)u_j(x,0) = \sum_{n=1}^k \left[ \int_0^a f(x)u_j(x,0)u_n(x,0)dx \right] u_n(x,0)$$
$$= \sum_{n=1}^k A_{j,n}(0)u_n(x,0).$$

Since  $u_1(x,0)$  has no zeros in the open interval (0,a), we see that f(x) is continuous on (0,a). Moreover, for each  $x \in (0,a)$ , f(x) is an eigenvalue of the  $k \times k$  matrix  $[A_{j,n}(0)]$ . It follows that f(x) must be constant. Thus, f(x) = f(a/2) = 0. This shows that  $V = V_s$ .

We remark that there is an alternative proof of the inequality (4) based on the min-max principle rather than the second-order perturbation formula and antisymmetry. In fact, by (3), the sum of the k lowest Dirichlet [Neumann] eigenvalues for any potential V is given by

$$\sum_{j=1}^{k} E_j[V] = \inf_{\{\varphi_1, \dots, \varphi_k\}} \sum_{j=1}^{k} \int_0^a [|\varphi_j'(x)|^2 + V(x)|\varphi_j(x)|^2] dx,$$

where the infimum is taken over all functions  $\varphi_1, \ldots, \varphi_k \in C^1$  which satisfy  $\langle \varphi_i, \varphi_j \rangle = \delta_{i,j}$  and the Dirichlet [Neumann] boundary conditions. Thus,

$$\begin{split} \sum_{j=1}^{k} E_{j}[V_{s}] &= \inf_{\{\varphi_{1},...,\varphi_{k}\}} \sum_{j=1}^{k} \int_{0}^{a} \left[ |\varphi_{j}'(x)|^{2} + \frac{1}{2} V(x) |\varphi_{j}(x)|^{2} \\ &+ \frac{1}{2} V(a-x) |\varphi_{j}(x)|^{2} \right] dx \\ &\geq \frac{1}{2} \inf_{\{\varphi_{1},...,\varphi_{k}\}} \sum_{j=1}^{k} \int_{0}^{a} [|\varphi_{j}'(x)|^{2} + V(x) |\varphi_{j}(x)|^{2}] dx \\ &+ \frac{1}{2} \inf_{\{\varphi_{1},...,\varphi_{k}\}} \sum_{j=1}^{k} \int_{0}^{a} [|\varphi_{j}'(x)|^{2} + V(a-x) |\varphi_{j}(x)|^{2}] dx \\ &= \frac{1}{2} \sum_{j=1}^{k} E_{j}[V] + \frac{1}{2} \sum_{j=1}^{k} E_{j}[V] \\ &= \sum_{i=1}^{k} E_{j}[V] \end{split}$$

since the Dirichlet [Neumann] eigenvalues of  $-d^2/dx^2 + V(a-x)$  are the same as those of  $-d^2/dx^2 + V(x)$ .

As an immediate corollary of Theorem 4, we have

**Corollary 5.** If V(x) is a concave potential on [0, a], then, for any  $k \ge 1$ ,

(5) 
$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[0] + kV(a/2)$$

with equality if and only if V is constant.

*Proof.* If V(x) is concave on [0, a], we have  $V_s(x) \leq V(a/2)$  for all  $x \in [0, a]$  so that

$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[V_s] \le \sum_{j=1}^{k} E_j[V(a/2)] = \sum_{j=1}^{k} E_j[0] + kV(a/2).$$

From this and Theorem 4, it follows that the equality occurs in (5) if and only if  $V(x) = V_s(x) = V(a/2)$ . This proves the corollary.

**Remark 1.** The eigenvalues  $E_j[0]$  for the zero potential are well-known. In the Dirichlet case,  $E_j[0] = j^2 \pi^2 / a^2$ . In the Neumann case,  $E_j[0] = (j - 1)^2 \pi^2 / a^2$ .

**Remark 2.** An improvement of Corollary 5 in the Neumann case will be given in Theorem 9.

Now, for bounded V, we consider the one-parameter family of potentials: V(x,t) = tV(x). Then, by Theorem 2, we have  $\sum_{j=1}^{k} E_{j}''(t) \leq 0$  so that

$$\sum_{j=1}^{k} E_j(t) \le \sum_{j=1}^{k} E_j(0) + t \sum_{j=1}^{k} E'_j(0)$$

for all  $t \ge 0$ . In particular, taking t = 1, we get

(6) 
$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[0] + \sum_{j=1}^{k} \int_0^a V(x) u_j^2(x,0) dx$$

Here the normalized eigenfunctions  $u_j(x,0)$  for the zero potential can, for example, be taken as

(7) 
$$u_j(x,0) = \sqrt{2/a}\sin(j\pi x/a)$$

in the Dirichlet case; and

(8) 
$$u_j(x,0) = \begin{cases} \sqrt{1/a} & \text{for } j=1, \\ \sqrt{2/a}\cos[(j-1)\pi x/a] & \text{for } j \ge 2 \end{cases}$$

in the Neumann case.

In the remainder of this section, we shall give two applications of the inequality (6). We first note a useful fact.

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**Lemma 6.** If V(x) is a convex potential on [0, a], then

(9) 
$$2\int_0^a V(x)\sin^2\left(\frac{j\pi x}{a}\right)dx \le \int_0^a V(x)dx$$

for  $j = 1, 2, 3, \ldots$ .

 $Proof. \$  We suppose first that V is differentiable. Then an integration by parts gives

$$2\int_0^a V(x)\sin^2\left(\frac{j\pi x}{a}\right)dx - \int_0^a V(x)dx = -\int_0^a V(x)\cos\left(\frac{2j\pi x}{a}\right)dx$$
$$= \frac{a}{2j\pi}\int_0^a V'(x)\sin\left(\frac{2j\pi x}{a}\right)dx.$$

Since V is convex, V' is monotone increasing on [0, a]. Thus,

$$\int_{0}^{a} V'(x) \sin\left(\frac{2j\pi x}{a}\right) dx = \sum_{n=0}^{j-1} \int_{na/j}^{(n+1)a/j} V'(x) \sin\left(\frac{2j\pi x}{a}\right) dx$$
$$\leq \sum_{n=0}^{j-1} V'\left(\frac{(2n+1)a}{2j}\right) \int_{na/j}^{(n+1)a/j} \sin\left(\frac{2j\pi x}{a}\right) dx$$
$$= \sum_{n=0}^{j-1} V'\left(\frac{(2n+1)a}{2j}\right) \cdot 0$$
$$= 0$$

and (9) follows.

To prove (9) without the assumption that V is differentiable, we introduce the approximate identity  $\{\eta_{\varepsilon}(x)\}$ . Let  $\eta(x)$  be any positive, infinitely differentiable function with support in (-1, 1) so that  $\int_{-\infty}^{\infty} \eta(x) dx = 1$ . Define  $\eta_{\varepsilon}(x) = \varepsilon^{-1} \eta(x/\varepsilon)$  for  $\varepsilon > 0$ . Now, let  $\tilde{V}(x)$  be any continuous extension of V(x) to the whole of  $(-\infty, \infty)$ , and set

$$V_{\varepsilon}(x) = \int_{-\infty}^{\infty} \eta_{\varepsilon}(x-t)\tilde{V}(t)dt.$$

Then

$$\begin{aligned} |V_{\varepsilon}(x) - V(x)| &\leq \int_{-\infty}^{\infty} \eta_{\varepsilon}(x-t) |\tilde{V}(t) - \tilde{V}(x)| dt \\ &\leq \left( \sup_{\{t/|x-t| \leq \varepsilon\}} |\tilde{V}(t) - \tilde{V}(x)| \right) \int_{-\infty}^{\infty} \eta_{\varepsilon}(x-t) dt \\ &= \sup_{\{t/|x-t| \leq \varepsilon\}} |\tilde{V}(t) - \tilde{V}(x)| \end{aligned}$$

so  $V_{\varepsilon} \to V$  uniformly on [0, a]. Also, if  $x, y \in [\delta, a - \delta] \subset (0, a)$  and if  $\varepsilon < \delta$ ,

then, by the convexity of V on [0, a], we have

$$\begin{split} V_{\varepsilon}\left(\frac{x+y}{2}\right) &= \int_{-\infty}^{\infty} \tilde{V}\left(\frac{x+y}{2} - t\right) \eta_{\varepsilon}(t) dt \\ &\leq \int_{-\varepsilon}^{\varepsilon} \frac{1}{2} [V(x-t) + V(y-t)] \eta_{\varepsilon}(t) dt \\ &= \frac{1}{2} [V_{\varepsilon}(x) + V_{\varepsilon}(y)], \end{split}$$

which implies that  $V_{\varepsilon}$  is convex on  $[\delta, a - \delta]$  whenever  $\varepsilon < \delta$ . Since  $V_{\varepsilon}$  is differentiable, the first part of the proof gives

$$2\int_{\delta}^{a-\delta} V_{\varepsilon}(x)\sin^2\left(\frac{j\pi(x-\delta)}{a-2\delta}\right)dx \le \int_{\delta}^{a-\delta} V_{\varepsilon}(x)dx$$

Taking  $\varepsilon \to 0$ , we see that

$$2\int_{\delta}^{a-\delta} V(x)\sin^2\left(\frac{j\pi(x-\delta)}{a-2\delta}\right)dx \le \int_{\delta}^{a-\delta} V(x)dx.$$

Since this is true for all  $\delta$  with  $0 < \delta < a/2$ , (9) is proved in the general case by letting  $\delta \to 0$ .

With this lemma, we can now prove the following result for convex potentials.

**Theorem 7.** If V(x) is a convex potential on [0, a], then, for any  $k \ge 1$ , the Dirichlet eigenvalues satisfy

(10) 
$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[0] + \frac{k}{a} \int_0^a V(x) dx.$$

Moreover, the equality holds if and only if V is constant.

*Proof.* The inequality (10) follows immediately from (6), (7) and Lemma 6. To examine the case of equality, we have from Theorem 4, (6), (7) and Lemma 6 that

$$\sum_{j=1}^{k} E_{j}[V] \leq \sum_{j=1}^{k} E_{j}[V_{s}]$$

$$\leq \sum_{j=1}^{k} E_{j}[0] + \sum_{j=1}^{k} \frac{2}{a} \int_{0}^{a} V_{s}(x) \sin^{2}\left(\frac{j\pi x}{a}\right) dx$$

$$\leq \sum_{j=1}^{k} E_{j}[0] + \frac{k}{a} \int_{0}^{a} V_{s}(x) dx$$

$$= \sum_{j=1}^{k} E_{j}[0] + \frac{k}{a} \int_{0}^{a} V(x) dx,$$

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where  $V_s(x) = [V(x) + V(a - x)]/2$  is also convex. Thus, by Theorem 4 and Lemma 6, equality holds in (10) only when  $V = V_s$  and  $2 \int_0^a V_s(x) \sin^2(j\pi x/a) dx$  $= \int_0^a V_s(x) dx$  for all j = 1, 2, ..., k. To see that these conditions imply that Vis constant, we take j = 1 and note that  $V_s$  is a symmetric single-well potential, i.e.,  $V_s(x) = V_s(a - x)$  and  $V_s$  is monotone decreasing on [0, a/2]. Since  $\sin^2(\pi x/a)$  is symmetric about x = a/2 and monotone increasing on [0, a/2], it follows that

$$2\int_0^a V_s(x)\sin^2\left(\frac{\pi x}{a}\right)dx \le \frac{2}{a}\int_0^a V_s(x)dx\int_0^a \sin^2\left(\frac{\pi x}{a}\right)dx = \int_0^a V_s(x)dx.$$

Moreover, the equality holds here only when  $V_s$  is constant. This together with the condition  $V = V_s$  completes the proof of the theorem.

A fact corresponding to Lemma 6 for concave potentials is given by

**Lemma 8.** If V(x) is a concave potential on [0, a], then

$$2\int_0^a V(x)\cos^2\left(\frac{j\pi x}{a}\right)dx \le \int_0^a V(x)dx$$

for  $j = 1, 2, 3, \ldots$ .

*Proof.* Since V is concave, -V is convex. Hence, by Lemma 6,

$$-2\int_0^a V(x)\sin^2\left(\frac{j\pi x}{a}\right)dx \le -\int_0^a V(x)dx$$

So,

$$2\int_0^a V(x)\cos^2\left(\frac{j\pi x}{a}\right)dx = 2\int_0^a V(x)dx - 2\int_0^a V(x)\sin^2\left(\frac{j\pi x}{a}\right)dx$$
$$\leq \int_0^a V(x)dx.$$

As a final application of our comparison techniques, we prove the following result for concave potentials. This improves the result of Corollary 5 in the Neumann case.

**Theorem 9.** If V(x) is a concave potential on [0, a], then, for any  $k \ge 1$ , the Neumann eigenvalues satisfy

(11) 
$$\sum_{j=1}^{k} E_j[V] \le \sum_{j=1}^{k} E_j[0] + \frac{k}{a} \int_0^a V(x) dx.$$

Moreover, the equality holds if and only if V is constant.

*Proof.* The proof is similar to that of Theorem 7. Since V is concave, so is  $V_s$ . Hence, by Theorem 4, (6), (8) and Lemma 8, we have

$$\begin{split} \sum_{j=1}^{k} E_{j}[V] &\leq \sum_{j=1}^{k} E_{j}[V_{s}] \\ &\leq \sum_{j=1}^{k} E_{j}[0] + \frac{1}{a} \int_{0}^{a} V_{s}(x) dx + \sum_{j=2}^{k} \frac{2}{a} \int_{0}^{a} V_{s}(x) \cos^{2}\left(\frac{(j-1)\pi x}{a}\right) dx \\ &\leq \sum_{j=1}^{k} E_{j}[0] + \frac{k}{a} \int_{0}^{a} V_{s}(x) dx \\ &= \sum_{j=1}^{k} E_{j}[0] + \frac{k}{a} \int_{0}^{a} V(x) dx \end{split}$$

and equality can hold in (11) only when  $V = V_s$  and  $2\int_0^a V_s(x)\cos^2(j\pi x/a)dx = \int_0^a V_s(x)dx$  for all j = 1, 2, ..., k - 1; i.e., only when  $V = V_s$  and  $2\int_0^a V_s(x) \times \sin^2(j\pi x/a)dx = \int_0^a V_s(x)dx$  for all j = 1, 2, ..., k - 1. As in the proof of Theorem 7, these conditions imply that V is constant since  $-V_s$  is a symmetric single-well potential.

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