# Microlocal boundary value problem for regular-specializable systems

By Susumu Yamazaki

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**Abstract.** In the framework of microlocal analysis, a boundary value morphism is defined for solutions to the regular-specializable system of analytic linear partial differential equations. This morphism can be regarded as a microlocal counterpart of the boundary value morphism for hyperfunction solutions due to Monteiro Fernandes, and the injectivity of this morphism (that is, the Holmgren type theorem) is proved. Moreover, under a kind of hyperbolicity condition, it is proved that this morphism is surjective (that is, the solvability).

#### Introduction.

In microlocal analysis, it is one of the main subjects to give an appropriate formulation of the boundary value problems for hyperfunction or microfunction solutions to a system of analytic linear partial differential equations (that is, a coherent (left)  $\mathcal{D}$ -Module, here in this paper, we shall write Module or Ring with capital letters, instead of sheaf of modules or sheaf of rings). We shall recall the previous results:

When we impose the *non-characteristic* condition, we can obtain the following satisfactory results: Suppose that the boundary is real analytic and non-characteristic for the system. Then all the hyperfunction or microfunction solutions have boundary values as hyperfunction or microfunction solutions to the *induced system* on the boundary, and the local or microlocal uniqueness theorem (Holmgren type theorem) hold. Note that in the case of hyperfunction solutions to a differential equation, these results are given by Komatsu-Kawai [Ko-K] and Schapira [Sc1], and in the case of a system, we can prove these facts by means of the theory of *microsupports* (cf. Kashiwara-Kawai [K-K1]). See also Kataoka [Kat] for microlocal boundary value problems in the framework of the theory of *mild microfunctions*.

However, once we release the non-characteristic condition for the system, the problem is much involved; In general, we must impose some regularity condition on the solutions in order to define their boundary values as solutions to the induced system. As this condition, Oaku [Oa1], [Oa2] introduced the sheaf of *F-mild hyper-functions* and of *F-mild microfunctions* as a microlocalization. For the *F-mild* hyperfunction or microfunction solutions to a Fuchsian system in the sense of Laurent-Monteiro Fernandes [L-MF1], we can obtain the local or microlocal uniqueness theorem for boundary value problem (see Oaku [Oa1], [Oa2], and cf. Oaku and Yamazaki [O-Y]).

On the other hand, if we assume the following condition to the Fuchsian system,

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all the hyperfunction solutions have boundary values and a local uniqueness theorem holds as in the non-characteristic case: Suppose that the system is regular-specializable. Then the *nearby-cycle* of the system is defined in the theory of  $\mathcal{D}$ -Modules. definitions of the regular-specializable Q-Module and its nearby-cycle are initiated by Kashiwara [Kas], Kashiwara and Kawai [K-K2] and Malgrange [Mal] for regularholonomic cases. Further the notion of nearby-cycle is extended to the *specializable*  $\mathcal{D}$ -Module (see Laurent [L2], Laurent and Malgrange [L-Ma] and Mebkhout [Me]). Note that we do not have a definition of nearby-cycle for general Fuchsian systems at this stage. After the results by Kashiwara-Oshima [K-O], Oshima [Os1] and Schapira [Sc3], [Sc4], for the hyperfunction solution sheaf to regular-specializable system Monteiro Fernandes [MF1] defined a boundary value morphism which takes values in hyperfunction solutions to the nearby-cycle of the system instead of the induced system. morphism is injective (cf. [MF2]) and gives a generalization of the non-characteristic boundary value morphism. Moreover Laurent-Monteiro Fernandes [L-MF2] redefined this morphism and discussed the solvability under a kind of hyperbolicity condition (the *near-hyperbolicity*). Here we should remark that even in single equation cases, some results due to Tahara [T] can not be recovered by Laurent-Monteiro Fernandes [L-MF2]. However, since this morphism is defined only for hyperfunction solutions, a microlocal boundary value problem is not considered. Therefore in this paper, we shall microlocalize this morphism in the framework of Oaku [Oa3] and Oaku-Yamazaki [O-Y] and extend their result to our case; that is, for the regular-specializable system we shall define a injective boundary value morphism as a microlocalization of the boundary value morphism in the sense of Monteiro Fernandes [MF1], and prove this morphism is surjective under the near-hyperbolicity condition.

We remark that for a Fuchsian system in the sense of Tahara [T], Oaku [Oa3] defined an injective boundary value morphism under additional conditions of characteristic exponents by using a detailed study due to Tahara [T].

The plan of this paper is as follows: In §1, we shall introduce the notation and recall complementary results used in later sections. In §2, we shall define a general boundary morphism for a complex of sheaves under some condition. Further, we shall prove this morphism is isomorphic under the near-hyperbolicity condition in the sense of Laurent and Monteiro Fernandes [L-MF2] (cf. Kashiwara-Schapira [K-S1]). §§3 and 4 are preparations for §5; §3 is an exposition of the regular-specializable  $\mathscr{D}$ -Module. In §4, we recall several sheaves and in particular, a sheaf  $\mathscr{C}_{N|M}$  attached to the boundary on some cotangent bundles in order to formulate our boundary value problem. We remark that roughly speaking,  $\mathscr{C}_{N|M}$  is a microlocalization of the specialization of the sheaf of hyperfunctions. In §5, for any  $\mathscr{C}_{N|M}$  solutions to the regular-specializable system, we shall define a boundary value morphism which takes values in microfunction solutions to the nearby-cycle of the system, and prove this morphism is injective in the zero-th cohomology (this means the microlocal uniqueness theorem). Note that the restriction of our morphism to the zero-section coincides with that in the sense of Monteiro Fernandes [MF1]. Finally §6 is devoted to examples.

We shall end this introduction with the following remarks: The non-characteristic, Fuchsian or regular-specializable conditions are generalized to the higher-codimensional case. If we impose non-characteristic or Fuchsian conditions, we can extend the results

of the one-codimensional case mentioned above to that of the higher-codimensional case in the framework of *F*-mild microfunctions (see Oaku-Yamazaki [O-Y]). On the contrary, if we assume only the regular-specializable condition, we cannot define boundary values for any hyperfunction solution as a natural extension of the boundary values in the sense of Monteiro Fernandes [MF1]. Hence in this case, we need additional conditions on the system in order to obtain an appropriate formulation of the higher-codimensional boundary value problem (cf. Kashiwara-Oshima [K-O] and Oshima [Os2]).

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### 1. Preliminaries.

In this section, we shall fix the notation and recall known results used in later sections. General references are made to Kashiwara-Schapira [K-S2].

We denote by Z, R and C the sets of all the integers, real numbers and complex numbers respectively. Moreover we set  $N := \{n \in Z; n \ge 1\}$  and  $N_0 := N \cup \{0\}$ .

In this paper, all the manifolds are assumed to be paracompact. In general, let  $\tau: E \to Z$  a vector bundle over a manifold Z. Then, set  $\dot{E}:=E\backslash Z$  and  $\dot{\tau}$  the restriction of  $\tau$  to  $\dot{E}$ . Let M be an (n+1)-dimensional real analytic manifold and N a one-codimensional closed real analytic submanifold of M. Let X and Y be complexifications of M and N respectively such that Y is a closed submanifold of X and that  $Y\cap M=N$ . Moreover in this paper, we assume the existence of a partial complexification of M in X; that is, there exists a (2n+1)-dimensional real analytic submanifold L of X containing both M and Y such that the triplet (N,M,L) is locally isomorphic to  $(\mathbf{R}^n \times \{0\}, \mathbf{R}^{n+1}, \mathbf{C}^n \times \mathbf{R})$  by local coordinates  $(z,\tau)=(x+\sqrt{-1}y,t+\sqrt{-1}s)$  of X around each point of X. We say such local coordinates X admissible admissible coordinates we have locally the following relation:

$$N = \mathbf{R}_{x}^{n} \times \{0\} \longrightarrow M = \mathbf{R}_{x}^{n} \times \mathbf{R}_{t}$$

$$\downarrow \qquad \qquad \downarrow \qquad$$

and with these coordinates, we often identify  $T_YX$  and  $T_YL$  with X and L respectively. We shall mainly follow the notation in Kashiwara-Schapira [K-S2]; we denote by  $\tilde{M}_N$  and  $\tilde{L}_Y$  the normal deformations of N and Y in M and L respectively and regard  $\tilde{M}_N$  as a closed submanifold of  $\tilde{L}_Y$ . The projection  $\tau_Y: T_YL \to Y$  induces natural mappings:

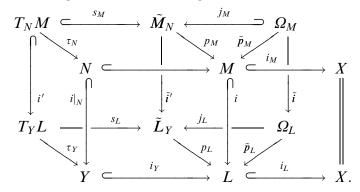
$$T_N^*Y \underset{\tau_{Y\pi}}{\longleftarrow} T_NM \underset{N}{\times} T_N^*Y \xrightarrow{\sim}_{\tau_{Yd}} T_{T_NM}^*T_YL,$$

and by  $\tau_{Yd}$  we identify  $T^*_{T_NM}T_YL$  with  $T_NM\underset{N}{\times}T^*_NY$ . Similarly by natural mappings

$$T_{\tilde{\boldsymbol{M}}_{N}}^{*}\tilde{\boldsymbol{L}}_{Y} \xleftarrow[\boldsymbol{S}_{L\pi}]{} T_{N}\boldsymbol{M} \underset{\tilde{\boldsymbol{M}}_{N}}{\times} T_{\tilde{\boldsymbol{M}}_{N}}^{*}\tilde{\boldsymbol{L}}_{Y} \xrightarrow[\boldsymbol{S}_{Ld}]{\sim} T_{T_{N}\boldsymbol{M}}^{*}T_{Y}\boldsymbol{L},$$

we identify  $T_N M \underset{\tilde{M}_N}{\times} T_{\tilde{M}_N}^* \tilde{L}_Y$  with  $T_{T_N M}^* T_Y L$ .

We have the following commutative diagram:



 $T_YL\setminus T_YY$  has two components with respect to its fiber. We denote by  $T_YL^+$  one of them and represent (at least locally) by fixing admissible coordinates

$$T_Y L^+ = \{(z, t) \in T_Y L; t > 0\}.$$

Moreover set  $T_N M^+ := T_Y L^+ \cap T_N M$ . Define open embeddings f and  $f_N$  by:

$$T_YL^+ \stackrel{f}{\subseteq} T_YL$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$T_NM^+ \stackrel{f_N}{\subseteq} T_NM.$$

Thus we regard  $T_N M^+ \underset{N}{\times} T_N^* Y$  as an open set of  $T_{T_N M}^* T_Y L$ . Moreover f induces mappings:

Hence we identify  $T_{T_NM^+}^*T_YL^+$  with  $T_NM^+\underset{N}{\times}T_N^*Y$ , and  $f_{\pi}$  with  $f_N\times 1$ .

REMARK 1.1. To define  $T_YL^+$  (or  $T_NM^+$ ) by means of admissible coordinates is equivalent to determining a local isomorphism  $\sigma r_{Y/L} \simeq \mathbf{Z}_Y$  (or equivalently  $\sigma r_{N/M} \simeq \mathbf{Z}_N$ ). Here  $\sigma r_{Y/L}$  denotes the relative orientation sheaf.

Let  $\pi_{N,M}: T^*_{\tilde{M}_N}\tilde{L}_Y \to \tilde{M}_N$  and  $\pi_{N|M}: T^*_{T_NM}T_YL \to T_NM$ , be the natural projections. We denote by  $\nu_*(*)$  and  $\mu_*(*)$  the *specialization* and *microlocalization functors* respectively. Let F be an object of  $\mathbf{D}^b(X)$ . Then, by Sato's fundamental distinguished triangle we have

$$Rj_{L*} ilde{p}_L^{-1}i_L^!F|_{ ilde{M}_N}\otimes\omega_{M/L} o R\Gamma_{ ilde{M}_N}(Rj_{L*} ilde{p}_L^{-1}i_L^!F) o R\dot{\pi}_{N,M*}\mu_{ ilde{M}_N}(Rj_{L*} ilde{p}_L^{-1}i_L^!F)\overset{+1}{ o},$$

where  $\omega_{M/L}$  denotes the dualizing complex. Applying the functor  $s_M^{-1}$ , we have

$$\begin{split} s_{M}^{-1}(Rj_{L*}\tilde{p}_{L}^{-1}i_{L}^{!}F|_{\tilde{M}_{N}}) &= i'^{-1}s_{L}^{-1}Rj_{L*}\tilde{p}_{L}^{-1}i_{L}^{!}F = v_{Y}(i_{L}^{!}F)|_{T_{N}M}, \\ s_{M}^{-1}R\Gamma_{\tilde{M}_{N}}(Rj_{L*}\tilde{p}_{L}^{-1}i_{L}^{!}F) &\simeq s_{M}^{-1}\tilde{i}'^{!}Rj_{L*}\tilde{p}_{L}^{!}i_{L}^{!}F \otimes \omega_{Y/L}^{\otimes -1} \simeq s_{M}^{-1}Rj_{M*}\tilde{i}^{!}\tilde{p}_{L}^{!}i_{L}^{!}F \otimes \omega_{N/M}^{\otimes -1} \\ &\simeq s_{M}^{-1}Rj_{M*}p_{M}^{!}i^{!}i_{L}^{!}F \otimes \omega_{N/M}^{\otimes -1} \simeq s_{M}^{-1}Rj_{M*}p_{M}^{-1}i_{M}^{!}F \\ &= v_{N}(i_{M}^{!}F). \end{split}$$

Further, since  $\mu_{\tilde{M}_N}(Rj_{L*}\tilde{p}_L^{-1}i_L^!F)$  is a conic object, it is easy to see that

$$s_M^{-1} R \dot{\pi}_{N,M*} \mu_{\tilde{M}_N} (R j_{L*} \tilde{p}_L^{-1} i_L^! F) \simeq R \dot{\pi}_{N|M*} s_{L\pi}^{-1} \mu_{\tilde{M}_N} (R j_{L*} \tilde{p}_L^{-1} i_L^! F).$$

Hence we obtain the following distinguished triangle:

$$\nu_Y(i_L^!F)|_{T_NM}\otimes\omega_{M/L}^{\otimes -1}\to\nu_N(i_M^!F)\to R\dot{\pi}_{N|M*}s_{L\pi}^{-1}\mu_{\tilde{M}_N}(Rj_{L*}\tilde{p}_L^{-1}i_L^!F)\overset{+1}{\to}.$$

By Kashiwara-Schapira [K-S2, Proposition 4.3.5], we have a natural morphism

$$s_{L\pi}^{-1}\mu_{\tilde{M}_N}(Rj_{L*}\tilde{p}_L^{-1}i_L^!F) \to \mu_{T_NM}(s_L^{-1}Rj_{L*}\tilde{p}_L^{-1}i_L^!F) \otimes \omega_{T_YL/\tilde{L}_Y} \otimes \omega_{T_NM/\tilde{M}_N}^{\otimes -1}$$

$$\simeq \mu_{T_NM}(v_Y(i_L^!F)),$$

and this morphism induces a natural morphism of distinguished triangles:

(see Proposition 4.3 (3)).

Next, we shall recall a general result. Let Z be a complex manifold,  $\tau: E \to Z$  a complex vector bundle, and  $\pi: E^* \to Z$  its dual bundle. Then, as in the real case (see for example Kashiwara-Schapira [K-S2, Section 5.5]) the action of  $C^* := C \setminus \{0\}$  on E induces a natural mapping  $\theta_E: T^*E \to C$ . Set  $S_E^C:=\theta_E^{-1}(0)$ . Let (z,x) be local coordinates of E such that z is coordinates of E and E is written explicitly as E definition of E is a specifically as E definition of E such that E definition of E is definition of E such that E definition of E is written explicitly as E definition of E defi

Proposition 1.2. The category  $\mathbf{D}_{C^{\times}}^{b}(E)$  is the full subcategory of  $\mathbf{D}^{b}(E)$  consisting of objects F such that  $SS(F) \subset S_{E}^{C}$ .

Indeed, the proof in Kashiwara-Schapira [**K-S2**, Proposition 5.4.5] still works in the complex case, and  $\dot{E} \times T^*(\dot{E}/C^\times) = \dot{E} \times S_E^C$ . Hence by the same proof as in Kashiwara-Schapira [**K-S2**, Proposition 5.5.3] we obtain the proposition.

## 2. General boundary values.

In this section, we shall define our boundary value morphism. First, by using admissible coordinates, we set (at least locally)

$$T_Y X^+ := \{(z, \tau) \in T_Y X; \text{Re } \tau > 0\},\$$

and consider the following commutative diagram:

We regard  $T_YL$  as a closed conic subset of  $T_YX$  by  $T_Yi_L$ . Note that both  $T_YL^+ \to T_YL$  and  $T_YX^+ \to T_YX$  are open embeddings. Set  $\tau_X^+ := \tau_X f : T_YX^+ \to Y$ . Using admissible coordinates we define a continuous section  $\sigma: Y \to \dot{T}_YX$  by  $z \mapsto (z; 1)$ . Similarly we define  ${}^t\sigma: Y \to \dot{T}_Y^*X$  by  $z \mapsto (z; 1)$ .

THEOREM 2.1. For any  $F \in \text{Ob} \, \mathbf{D}^{b}(X)$  with  $v_Y(F) \in \text{Ob} \, \mathbf{D}^{b}_{C^{\times}}(T_YX)$ , there exists the following natural isomorphism:

$$f^{-1}v_Y(i_L^!F) \simeq f^{-1}\tau_Y^{-1}\sigma^{-1}v_Y(F) \otimes \omega_{L/X}.$$

PROOF. Recall that by Kashiwara-Schapira [K-S2, Proposition 4.2.5], we have natural morphisms:

$$(T_Y i_L)^{-1} v_Y(F) \otimes \omega_{L/X} \longrightarrow v_Y(i_L^{-1} F) \otimes \omega_{L/X}$$

$$\downarrow \qquad \qquad \circlearrowright \qquad \qquad \downarrow$$

$$(T_Y i_L)^! v_Y(F) \qquad \stackrel{\beta}{\longleftarrow} \qquad v_Y(i_L^! F).$$

Set  $G:=R\tau_{X*}^+f^{-1}\nu_Y(F)\in \operatorname{Ob}\mathbf{D}^{\operatorname{b}}(Y)$ . Since  $\nu_Y(F)\in \operatorname{Ob}\mathbf{D}_{\mathbf{C}^{\times}}^{\operatorname{b}}(T_YX)$ , by Kashiwara-Schapira [K-S2, Proposition 2.7.8], it follows that  $f^{-1}\nu_Y(F)\simeq \tau_X^{+-1}G$ . Hence, we see that  $\sigma^{-1}\nu_Y(F)\simeq \sigma^{-1}f^{-1}\nu_Y(F)\simeq \sigma^{-1}\tau_X^{+-1}G\simeq G$ . In particular, we have

$$f^{-1}(T_Y i_L)^{-1} v_Y(F) \simeq (T_Y i_L)^{-1} f^{-1} v_Y(F) \simeq (T_Y i_L)^{-1} \tau_X^{+-1} G \simeq f^{-1} \tau_Y^{-1} G$$
$$\simeq f^{-1} \tau_Y^{-1} \sigma^{-1} f^{-1} v_Y(F) \simeq f^{-1} \tau_Y^{-1} \sigma^{-1} v_Y(F).$$

Moreover, we have the following chain of isomorphisms:

$$f^{-1}(T_{Y}i_{L})^{!}v_{Y}(F) \simeq f^{!}(T_{Y}i_{L})^{!}v_{Y}(F) \simeq (T_{Y}i_{L})^{!}f^{!}v_{Y}(F) \simeq (T_{Y}i_{L})^{!}f^{-1}v_{Y}(F)$$

$$\simeq (T_{Y}i_{L})^{!}\tau_{X}^{+-1}G \simeq (T_{Y}i_{L})^{!}\tau_{X}^{+!}G \otimes \omega_{T_{Y}X^{+}/Y}^{\otimes -1}$$

$$\simeq f^{!}\tau_{Y}^{!}G \otimes \omega_{T_{Y}X^{+}/Y}^{\otimes -1} \simeq f^{-1}\tau_{Y}^{-1}G \otimes \omega_{T_{Y}L^{+}/Y} \otimes \omega_{T_{Y}X^{+}/Y}^{\otimes -1}$$

$$\simeq (T_{Y}i_{L})^{-1}\tau_{X}^{+-1}G \otimes \omega_{L/X} \simeq f^{-1}(T_{Y}i_{L})^{-1}v_{Y}(F) \otimes \omega_{L/X}.$$

Hence, we obtain the following commutative diagram:

$$f^{-1}\tau_Y^{-1}\sigma^{-1}v_Y(F)\otimes\omega_{L/X}\simeq f^{-1}(T_Yi_L)^{-1}v_Y(F)\otimes\omega_{L/X} \longrightarrow f^{-1}v_Y(i_L^{-1}F)\otimes\omega_{L/X}$$

$$\downarrow^{\wr} \qquad \qquad \downarrow$$

$$f^{-1}(T_Yi_L)^!v_Y(F) \qquad \stackrel{\beta}{\longleftarrow} \qquad f^{-1}v_Y(i_L^!F),$$

which implies that  $\beta$  is an epimorphism.

Next, we shall prove that  $\beta$  is a monomorphism. By taking admissible coordinates, we may assume that  $X = \mathbb{C}^{n+1}$  and  $L = \mathbb{C}^n \times \mathbb{R}$ , hence we identify  $oi_{L/X}$  with  $\mathbb{Z}_L$ . By a distinguished triangle

$$(T_Y i_L)^! \nu_Y(F) \to (T_Y i_L)^{-1} \nu_Y(F) \to (T_Y i_L)^{-1} R \Gamma_{T_Y X \setminus T_Y L} (\nu_Y(F)) \stackrel{+1}{\to},$$

for any  $p \in T_Y L^+$  and  $j \in \mathbb{Z}$ , we have the exact sequences

where W ranges through the family of open subsets of X such that  $p \notin C_Y(X \setminus W)$ . In fact, by the excision we can take the same family of W to calculate the stalk of  $\mathscr{H}^{j+1}v_Y(i_L^!F)$ . Set  $T_YX \setminus T_YL = \Omega^+ \sqcup \Omega^-$ , where  $\Omega^\pm := \{(z,\tau) \in T_YX; \pm \operatorname{Im} \tau > 0\}$ . Hence we have

$$\begin{split} \mathscr{H}^{j}_{T_{Y}X\backslash T_{Y}L}(\nu_{Y}(F))_{p} &\simeq \mathscr{H}^{j}_{\Omega^{+}}(\nu_{Y}(F))_{p} \oplus \mathscr{H}^{j}_{\Omega^{-}}(\nu_{Y}(F))_{p} \\ &\simeq \lim_{\stackrel{\longrightarrow}{V'}} H^{j}(V\cap\Omega^{+};\nu_{Y}(F)) \oplus \lim_{\stackrel{\longrightarrow}{V'}} H^{j}(V\cap\Omega^{-};\nu_{Y}(F)) \\ &\simeq \lim_{\stackrel{\longrightarrow}{V},\stackrel{\longrightarrow}{U^{+}_{V}}} H^{j}(U^{+}_{V};F) \oplus \lim_{\stackrel{\longrightarrow}{V},\stackrel{\longrightarrow}{U^{-}_{V}}} H^{j}(U^{-}_{V};F), \end{split}$$

where V ranges through the fundamental system of conic open neighborhoods of p in  $T_YX$ , and each  $U_V^\pm$  ranges through the family of open subsets of X such that  $C_Y(X\setminus U_V^\pm)\cap \Omega^\pm\cap V=\varnothing$ . We set  $W^\pm:=\{(z,\tau)\in W; \pm {\rm Im}\, \tau>0\}$ . Then

$$\lim_{\stackrel{\longrightarrow}{W}} H^j(W\backslash L;F) = \lim_{\stackrel{\longrightarrow}{W}} (H^j(W^+;F) \oplus H^j(W^-;F)).$$

Thus we can write  $\rho = (\rho_+, \rho_-)$ , where each  $\rho_+$  is the restriction of sheaves:

$$\lim_{\overrightarrow{W}} H^{j}(W^{\pm}; F) \to \lim_{\overrightarrow{V}, \overrightarrow{U_{V}^{\pm}}} H^{j}(U_{V}^{\pm}; F).$$

Suppose that  $(u_+, u_-) \in \lim_{\stackrel{\longrightarrow}{W}} (H^j(W^+; F) \oplus H^j(W^-; F))$  satisfies

$$\begin{split} \rho(u_+,u_-) &= 0 \in \lim_{\stackrel{\longrightarrow}{V,U_V^+}} H^j(U_V^+;F) \oplus \lim_{\stackrel{\longrightarrow}{V,U_V^-}} H^j(U_V^-;F) \\ &\simeq \lim_{\stackrel{\longrightarrow}{V}} (H^j(V \cap \Omega^+;\nu_Y(F)) \oplus H^j(V \cap \Omega^-;\nu_Y(F))). \end{split}$$

Set  $z_0 := \tau_Y(p) \in Y$  and  $V_{\varepsilon} = \{(z, \tau) \in X; |z - z_0| < \varepsilon, 0 < |\tau| < \varepsilon, \operatorname{Re} \tau > -\varepsilon |\operatorname{Im} \tau| \}$  for an  $\varepsilon > 0$ . Then, we can find an  $\varepsilon > 0$  such that  $u_{\pm} = 0 \in H^j(V_{\varepsilon}; F)$  since  $\mathscr{H}^j v_Y(F)$  is  $\mathbb{C}^{\times}$ -conic. Hence it follows that

$$(u_+,u_-)=0\in \varinjlim_W (H^j(W^+;F)\oplus H^j(W^-;F)),$$

namely,  $\rho$  is injective. Thus by Five Lemma, we can show that  $\beta$  is a monomorphism. Therefore, we have

$$f^{-1}\tau_Y^{-1}\sigma^{-1}\nu_Y(F)\otimes\omega_{L/X}\simeq f^{-1}(T_Yi_L)^{-1}\nu_Y(F)\otimes\omega_{L/X}\stackrel{\sim}{\to} f^{-1}\nu_Y(i_L!F).$$

The proof is complete.

THEOREM 2.2. For any  $F \in \mathrm{Ob}\,\mathbf{D}^{\mathrm{b}}(X)$  with  $v_Y(F) \in \mathrm{Ob}\,\mathbf{D}^{\mathrm{b}}_{\mathbf{C}^{\times}}(T_YX)$ , there exists the following natural isomorphism:

$$f_{\pi}^{-1}\mu_{T_{N}M}(v_{Y}(i_{L}^{!}F)) \xrightarrow{\sim} f_{\pi}^{-1}\tau_{Y\pi}^{-1}\mu_{N}(\sigma^{-1}v_{Y}(F)) \otimes \omega_{L/X}.$$

PROOF. By Theorem 2.1 and Kashiwara-Schapira [K-S2, Proposition 4.3.5], we obtain the following chain of isomorphisms:

$$\begin{split} f_{\pi}^{-1} \mu_{T_N M}(v_Y(i_L^! F)) &\simeq \mu_{T_N M^+}(f^{-1} v_Y(i_L^! F)) \simeq \mu_{T_N M^+}(f^{-1} \tau_Y^{-1} \sigma^{-1} v_Y(F)) \otimes \omega_{L/X} \\ &\simeq f_{\pi}^{-1} \tau_{Y \pi}^{-1} \mu_N(\sigma^{-1} v_Y(F)) \otimes \omega_{L/X} \otimes \omega_{T_N M^+/N} \otimes \omega_{T_Y L^+/Y}^{\otimes -1} \\ &\simeq f_{\pi}^{-1} \tau_{Y \pi}^{-1} \mu_N(\sigma^{-1} v_Y(F)) \otimes \omega_{L/X}. \end{split}$$

This proves the theorem.

DEFINITION 2.3. For any  $F \in \mathrm{Ob} \, \mathbf{D}^{\mathrm{b}}(X)$  with  $v_Y(F) \in \mathrm{Ob} \, \mathbf{D}^{\mathrm{b}}_{C^{\times}}(T_YX)$ , by virtue of Theorem 2.2 we define:

$$\begin{split} \beta: f_{\pi}^{-1} s_{L\pi}^{-1} \mu_{\tilde{M}_N}(R j_{L*} \tilde{p}_L^{-1} i_L^! F) &\to f_{\pi}^{-1} \mu_{T_N M}(v_Y(i_L^! F)) \\ &\stackrel{\sim}{\to} f_{\pi}^{-1} \tau_{Y\pi}^{-1} \mu_N(\sigma^{-1} v_Y(F)) \otimes \omega_{L/X}. \end{split}$$

Next, we shall show that  $\beta$  is an epimorphism under the near-hyperbolicity condition due to Laurent-Monteiro Fernandes [L-MF2, Definition 1.3.1]:

DEFINITION 2.4. Let F be an object of  $\mathbf{D}^{b}(X)$ . Then we say F is *near-hyperbolic* at  $x_0 \in N$  (in dt-codirection) if there exist positive constants C and  $\varepsilon_1$  such that

$$SS(F) \cap \{(z, \tau; z^*, \tau^*) \in T^*X; |z - x_0| < \varepsilon_1, |\tau| < \varepsilon_1, 0 < t\}$$

$$\subset \{(z, \tau; z^*, \tau^*) \in T^*X; |t^*| \le C(|y^*|(|y| + |s|) + |x^*|)\}$$

holds by admissible coordinates  $(z,\tau)=(x+\sqrt{-1}y,t+\sqrt{-1}s)$  of X and associated coordinates  $(z,\tau;z^*,\tau^*)=(x+\sqrt{-1}y,t+\sqrt{-1}s;x^*+\sqrt{-1}y^*,t^*+\sqrt{-1}s^*)$  of  $T^*X$ .

Theorem 2.5. Let F be an object of  $\mathbf{D}^b(X)$ . Assume that  $v_Y(F) \in \mathrm{Ob}\,\mathbf{D}^b_{C^\times}(T_YX)$  and F is near-hyperbolic at  $x_0 \in N$ . Then, for any  $p^* = (x_0, t_0; \sqrt{-1}\langle \xi_0, dx \rangle) \in T^*_{T_NM^+}T_YL^+$ , the morphism  $\beta$  induces an isomorphism:

$$\beta: s_{L\pi}^{-1} \mu_{\tilde{M}_N}(Rj_{L*}\tilde{p}_L^{-1}i_L^!F)_{p^*} \to \mu_N(\sigma^{-1}v_Y(F))_{\tau_{Y\pi}(p^*)} \otimes \omega_{L/X}.$$

PROOF. By Theorem 2.2, we may show the isomorphism

$$s_{L\pi}^{-1}\mu_{\tilde{M}_N}(Rj_{L*}\tilde{p}_L^{-1}i_L^!F)_{p^*} \xrightarrow{\sim} \mu_{T_NM}(v_Y(i_L^!F))_{p^*}.$$

By virtue of the inverse Fourier-Sato transformation, it is enough to show that the isomorphism

$$\tilde{s}_L^{-1} v_{\tilde{M}_N} (Rj_{L*} \tilde{p}_L^{-1} R \Gamma_L(F))_{p_0} \xrightarrow{\sim} v_{T_N M} (v_Y (R \Gamma_L(F)))_{p_0}$$

holds at any point  $p_0 = (x_0, t_0; \sqrt{-1}y_0) \in T_{T_NM^+}T_YL^+$ . Here  $\tilde{s}_L : T_{T_NM}T_YL \to T_{\tilde{M}_N}\tilde{L}_Y$  is a natural mapping. Since

$$\tilde{s}_L^{-1} v_{\tilde{M}_N}(R j_{L*} \tilde{p}_L^{-1} R \Gamma_L(F))|_{T_N M^+} \simeq v_{T_N M}(v_Y(R \Gamma_L(F)))|_{T_N M^+} \simeq v_Y(R \Gamma_L(F))|_{T_N M^+},$$

we may assume that  $y_0 \neq 0$ . By taking suitable admissible coordinates, we may assume that  $X = \mathbb{C}^{n+1} \supset L = \mathbb{C}^n \times \mathbb{R}$  and so on with  $x_0 = 0$ . We set as in Bony-Schapira [B-S2]

$$B(0,a) := \{(x,t) \in \mathbf{R}^{n+1}; |x| + |t| < a\}, \quad B'(0,a) := \{x \in \mathbf{R}^n; |x| < a\}.$$

Set  $K_+(a,\delta) := \operatorname{Int} \gamma[B'(0,a) \cup \{(0,a\delta)\}]$ . Here  $\gamma[\cdot]$  means the *convex hull* and  $\operatorname{Int} A$  denotes the *interior* of A. For an open convex cone  $\Gamma' \subset \mathbf{R}^n$ , we set  $\Gamma'_{\varepsilon} := \Gamma' \cap B'(0,\varepsilon)$ . Then, for any  $k \in \mathbf{Z}$  we have

$$\begin{split} \mathscr{H}^k v_{\tilde{M}_N}(Rj_{L*}\tilde{p}_L^{-1}R\Gamma_L(F))|_{\tilde{s}_L(p_0)} &= \lim_{\substack{a,\delta,\Gamma_\varepsilon'\\ a,\delta,\Gamma_\varepsilon'}} H^k(K_+(a,\delta) + \sqrt{-1}\Gamma_\varepsilon';R\Gamma_L(F)), \\ \mathscr{H}^k v_{T_NM}(v_Y(R\Gamma_L(F)))|_{p_0} &= \lim_{\substack{U(a,\delta,\Gamma_\varepsilon')\\ U(a,\delta,\Gamma_\varepsilon')}} H^k(U_+(a,\delta,\Gamma_\varepsilon');R\Gamma_L(F)). \end{split}$$

Here  $\Gamma' \subset \mathbf{R}^n$  ranges through the family of open conic neighborhoods of  $y_0$ ,  $U(a, \delta, \Gamma'_{\varepsilon})$  ranges through the family of open neighborhoods of  $B(0, a) + \sqrt{-1}\Gamma'_{\varepsilon}$  in L, and we set

$$U_+(a,\delta,\varGamma_\varepsilon'):=U(a,\delta,\varGamma_\varepsilon')\cap\{(z,t)\in L; t>0\}.$$

Then the proof of the theorem is reduced to the following proposition.

PROPOSITION 2.6 [cf. [**B-S2**, Lemme 3.2]). Let  $\Gamma' \subset \mathbb{R}^n$  be a conic neighborhood of  $y_0$ . Then there exists a positive constant  $\delta > 0$  satisfying the following: If a and  $\varepsilon$  are sufficiently small positive constants, then for any  $k \in \mathbb{Z}$  there exist  $\varepsilon', \delta' > 0$  and a conic neighborhood  $\Gamma \subset \mathbb{R}^n$  of  $y_0$  such that

$$H^k(K_+(a,\delta')+\sqrt{-1}\varGamma_{\varepsilon'};R\varGamma_L(F))\stackrel{\sim}{\to} H^k(U_+(a,\delta,\varGamma_\varepsilon');R\varGamma_L(F)).$$

PROOF. The proof is very similar to that of [B-S2, Lemme 3.2]. We use the following lemma instead of [B-S2, Théorème 1.1]):

Lemma 2.7 (cf. [**B-S1**, Théorème 2.1]). Let  $\omega \subset \Omega \subset L$  be convex sets such that  $\omega$  is locally compact and  $\Omega$  is an open set. Let G be an object of  $\mathbf{D}^{b}(L)$ . Set

$$A:=\{(z^*,t^*);(z,t;z^*,t^*)\in {\rm SS}(G)\ \ for\ \ some\ \ (z,t)\in \Omega\}.$$

Suppose that if a hyperplane with normal vector in A crosses  $\Omega$ , then this hyperplane always crosses  $\omega$ . Then for any open neighborhood  $\omega' \subset \Omega$  of  $\omega$ , it follows that

$$R\Gamma(\Omega;G) \xrightarrow{\sim} R\Gamma(\omega';G).$$

PROOF OF LEMMA 2.7. Set

$$\Phi := \{ V \subset \Omega; V \text{ is open}, \omega' \subset V, R\Gamma(V; G) \xrightarrow{\sim} R\Gamma(\omega'; G) \}.$$

Then  $\Phi \neq \emptyset$ . Let  $\{V_i\}_{i \in I} \subset \Phi$  be any totally ordered subset. Set  $\tilde{V} := \bigcup_{i \in I} V_i$ . Since L is a Lindelöf space, we can find a subsequence  $\{V_j'\}_{j \in N} \subset \{V_i\}_{i \in I}$  such that  $\tilde{V} = \bigcup_{j \in N} V_j'$  and  $V_j' \subset V_k'$  if  $j \leq k$ . Hence  $\{H^{k-1}(V_j';G)\}_{j \in N}$  satisfies Mittag-Leffler condition for any  $k \in \mathbb{Z}$  since  $H^{k-1}(V_j';G) \simeq H^{k-1}(\omega';G)$  for any  $j \in \mathbb{N}$ . Thus we have  $H^k(\tilde{V};G) \overset{\sim}{\to} H^k(\omega';G)$  (see [K-S2, Proposition 2.7.1]). Hence by induction on k, we see  $\tilde{V} \in \Phi$ . Therefore by Zorn's Lemma, there exists a maximal element  $V \in \Phi$ . Suppose that  $V \neq \Omega$ . Take  $p \in \Omega \setminus V$ . Then instead of Zerner's theorem, we can use the theory of microsupports to prove the existence of  $W \in \Phi$  such that  $p \in W$  (see the proof of [B-S1, Théorème 2.1] and [K-S2, Proposition 5.2.1, Lemma 5.2.2]). Further by the method of proof, we may assume  $R\Gamma(W;G) \overset{\sim}{\to} R\Gamma(V \cap W;G)$ . Thus, we have isomorphisms  $R\Gamma(V;G) \simeq R\Gamma(\omega';G) \simeq R\Gamma(V;G) \simeq R\Gamma(V \cap W;G)$ . Hence, by the distinguished triangle

$$R\Gamma(V \cup W; G) \to R\Gamma(V; G) \oplus R\Gamma(W; G) \to R\Gamma(V \cap W; G) \stackrel{+1}{\to},$$

 $R\Gamma(V \cup W; G) \simeq R\Gamma(\omega'; G)$  holds; that is,  $V \subsetneq V \cup W \in \Phi$ , which is a contradiction.

We end the proof of Proposition 2.6 (cf. also Tahara [T, Lemmata 2.1.1 and 2.1.2]). Recall that  $i_L: L \to X$  is the canonical embedding. By [K-S2, Corollary 6.4.4] we have

$$SS(R\Gamma_L(F)) \subset i_L^{\#}(SS(F)).$$

Thus if  $(0, t_0; z_0^*, t_0^*) \in SS(R\Gamma_L(F)) \cap \{(z, t; z^*, t^*) \in T^*L; |z| < \varepsilon_1, 0 < t < \varepsilon_1\}$ , then by [**K-S2**, Remark 6.2.8] and the near-hyperbolicity condition, we can find a sequence  $\{(z_j; \tau_j; z_j^*, \tau_j^*)\}_{j \in N} \subset \{(z, \tau; z^*, \tau^*) \in T^*X; |t^*| \le C(|y^*|(|y| + |s|) + |x^*|)\}$  such that  $(z_j; t_j; z_j^*, t_j^*) \xrightarrow{j} (0, t_0; z_0^*, t_0^*)$  and  $|s_j| |s_j^*| \xrightarrow{j} 0$ . In particular since  $|s_j| \xrightarrow{j} 0$ , we see

$$SS(R\Gamma_L(F)) \cap \{(z, t; z^*, t^*) \in T^*L; |z| < \varepsilon_1, 0 < t < \varepsilon_1\}$$

$$\subset \{(z, t; z^*, t^*) \in T^*L; |z| < \varepsilon_1, 0 < t < \varepsilon_1, |t^*| \le C(|y^*| |y| + |x^*|)\}.$$

Thus we have only to follow the argument in the proof of [B-S2, Lemme 3.2] to obtain

$$R\Gamma(M_{\eta,\varepsilon};R\Gamma_L(F))\stackrel{\sim}{\to} R\Gamma(U_+(a,\delta,\Gamma_\varepsilon');R\Gamma_L(F)).$$

Here  $M_{\eta,\varepsilon} := \operatorname{Int} \gamma[(B'(0,a) + \sqrt{-1}\Gamma'_{\varepsilon/2}) \cup \{(0,\alpha\delta) + \sqrt{-1}\eta\}]$  for an  $\eta \in \Gamma'_{\varepsilon/4}$  and an independent constant  $\alpha > 0$ . By the same argument as in the proof of Lemma 2.7, we have

$$R\Gammaig(igcup_{\eta\in\Gamma'_{arepsilon/4}}M_{\eta,arepsilon};R\Gamma_L(F)ig)\overset{\sim}{ o} R\Gammaig(U_+(a,\delta,\Gamma'_arepsilon);R\Gamma_L(F)ig).$$

We can find  $\varepsilon', \delta' > 0$  and a conic neighborhood  $\Gamma \subset \mathbf{R}^n$  of  $y_0$  such that

$$K_+(a,\delta') + \sqrt{-1}\Gamma_{\varepsilon'} \subset \bigcup_{\eta \in \Gamma'_{\varepsilon/4}} M_{\eta,\varepsilon}.$$

The proof is complete.

## Regular-specializable systems.

In this section, we shall recall the basic results concerning the regular-specializable D-Module and its nearby-cycle. Although all the contents in this section are wellknown to specialists, we shall give a detailed review for the convenience of the reader. Note that a generalization to the higher-codimensional case is obtained, but we restrict ourselves to the one-codimensional case. We inherit the notation from §1. In particular, Y denotes a one-codimensional complex submanifold of X.

Let  $\mathscr{D}_X$  be the Ring on X of holomorphic differential operators, and  $\{\mathscr{D}_X^{(m)}\}_{m\in\mathbb{N}_0}$ the usual order filtration on  $\mathcal{D}_X$ . Let us recall the definition of the V-filtration:

Definition 3.1. Let  $\mathcal{I}_Y$  be the defining Ideal of Y in  $\mathcal{O}_X$  with a convention that  $\mathscr{I}_{Y}^{j}=\mathscr{O}_{X}$  for  $j\leq 0$ . The *V-filtration*  $\{\mathsf{F}_{Y}^{k}(\mathscr{D}_{X})\}_{k\in \mathbb{Z}}$  (along Y) is a filtration on  $\mathscr{D}_{X}|_{Y}$ defined by

$$\mathsf{F}^k_Y(\mathscr{D}_X) := \bigcap_{j \in \mathbb{Z}} \{ P \in \mathscr{D}_X|_Y; P\mathscr{I}^j_Y \subset \mathscr{I}^{j-k}_Y \}.$$

It is easy to see that by admissible coordinates, this filtration is written as

$$\mathsf{F}_{Y}^{k}(\mathscr{D}_{X}) = \big\{ \sum_{j-i \leq k} P_{ij}(z, \partial_{z}) \tau^{i} \partial_{\tau}^{j} \in \mathscr{D}_{X}|_{Y} \big\}.$$

Let  $\mathscr{D}_{[T_YX]}$  be the subsheaf of  $\mathscr{D}_{T_YX}$  consisting of operators which are polynomials with respect to the fiber variables. Then the associated graded Ring with  $\{F_Y^k(\mathcal{D}_X)\}_{k\in \mathbb{Z}}$  is canonically isomorphic to  $\tau_{X*}\mathcal{D}_{[T_YX]}$ , hence this graded Ring is non-commutative (for details of this filtration, we refer to Björk [Bj], Sabbah [Sab] and Schapira [Sc2]).

We denote by  $\vartheta$  the Euler vector field on  $T_YX$ . Then  $\vartheta$  is characterized by  $\vartheta \varphi = k \varphi$  for any  $\varphi \in \mathscr{I}_Y^k/\mathscr{I}_Y^{k+1}$  and  $k \in \mathbb{N}$ , and  $\vartheta$  can be represented by  $\tau \partial_\tau$  by admissible coordinates.

Definition 3.2. A coherent  $\mathcal{D}_X|_Y$ -Module  $\mathcal{M}$  is said to be regular-specializable (along Y) if there exist locally a coherent  $\mathcal{O}_X$ -sub-Module  $\mathscr{L}$  of  $\mathscr{M}$  and a non-zero polynomial  $b(\alpha) \in C[\alpha]$  such that the following conditions are satisfied:

- (1) \$\mathcal{L}\$ generates \$\mathcal{M}\$ over \$\mathcal{D}\_X\$; that is, \$\mathcal{M} = \mathcal{D}\_X \mathcal{L}\$;
  (2) \$b(\mathcal{D})\mathcal{L} ⊆ (\mathbf{F}\_Y^{-1}(\mathcal{D}\_X) ∩ \mathcal{D}\_X^{(m)})\mathcal{L}\$, where \$m\$ is the degree \$\delta g b\$ of \$b(\alpha)\$.

In what follows, we shall omit the phrase "along Y" since Y is fixed.

REMARK 3.3. (1) Let  $\mathcal{M}$  be a coherent  $\mathcal{D}_X|_Y$ -Module for which Y is noncharacteristic. Then  $\mathcal{M}$  is regular-specializable.

(2) By Kashiwara-Kawai [**K-K2**, Lemma 4.1.5], any regular-holonomic  $f^{-1}\mathcal{D}_X$ -Module is regular-specializable.

Proposition 3.4. (1) A coherent  $\mathcal{D}_X|_Y$ -Module  $\mathcal{M}$  is regular-specializable if and only if the following condition is satisfied: For any local section u of M, there exist a non-zero polynomial  $b_u(\alpha) \in \mathbb{C}[\alpha]$  and  $Q_u \in \mathsf{F}_Y^{-1}(\mathscr{D}_X) \cap \mathscr{D}_X^{(\deg b_u)}$  such that

$$(b_u(\vartheta) + Q_u)u = 0.$$

(2) In an exact sequence of coherent  $\mathcal{D}_X|_Y$ -Modules

$$0 \to \mathcal{M}' \to \mathcal{M} \to \mathcal{M}'' \to 0$$
,

 $\mathcal{M}$  is regular-specializable if and only if both  $\mathcal{M}'$  and  $\mathcal{M}''$  are regular-specializable.

For the proof, see Mebkhout [Me] or Sabbah [Sab].

PROPOSITION 3.5. Let  $\mathcal{M}$  be a coherent  $\mathscr{D}_X|_Y$ -Module. If  $\mathcal{M}$  is regular-specializable, then  $R\mathscr{H}om_{\mathscr{D}_X}(\mathcal{M},\mu_Y(\mathscr{O}_X))$  and  $R\mathscr{H}om_{\mathscr{D}_X}(\mathcal{M},\nu_Y(\mathscr{O}_X))$  are objects of  $\mathbf{D}^b_{C^\times}(T_Y^*X)$  and  $\mathbf{D}^b_{C^\times}(T_Y^*X)$  respectively.

PROOF. Denote by  $C_{T_Y^*X}(\cdot)$  the normal cone along  $T_Y^*X$ . Since the Hamiltonian isomorphism induces isomorphisms  $T^*T_YX \simeq T^*T_Y^*X \simeq T_{T_Y^*X}T^*X$ , we identify these spaces. Then by Kashiwara-Schapira [K-S2, Theorem 6.4.1], for any  $F \in \mathsf{Ob}\,\mathbf{D}^b(X)$  we have:

$$SS(v_Y(F)) = SS(\mu_Y(F)) \subset C_{T_v^*X}(SS(F)).$$

Let  $(z, \tau)$  be admissible coordinates of X and  $(z, \tau; z^*, \tau^*)$  the associated coordinates of  $T^*X$ . As in §1, we use identification  $T_YX = X$  and  $T^*X = T_{T_Y^*X}T^*X$  by means of  $(z, \tau)$ . Then under these coordinates we have (see [K-S2, (6.2.3)]):

Assume that  $\mathcal{M}$  is generated by  $\{u_j\}_{j=1}^J$  over  $\mathcal{D}_X$ . Then by virtue of Proposition 3.4, each  $\mathcal{D}_X u_j$  is regular-specializable. Hence, for each j we can find a non-zero polynomial  $b_j(\alpha)$  and  $Q_j \in \mathcal{D}_X^{(m_j)} \cap \mathsf{F}_Y^{-1}(\mathcal{D}_X)$  such that  $(b_j(\beta) + Q_j)u_j = 0$ , where  $m_j$  denotes the degree of  $b_j(\alpha)$ . Set  $\mathcal{L}_j := \mathcal{D}_X/\mathcal{D}_X(b_j(\beta) + Q_j)$ . Then it follows that each  $\mathcal{L}_j$  is regular-specializable and that there exists an epimorphism  $\bigoplus_{j=1}^J \mathcal{L}_j \to \mathcal{M} \to 0$ . Hence we have

$$\operatorname{char}(\mathscr{M}) \subset \operatorname{char}(\bigoplus_{j=1}^{J} \mathscr{L}_{j}) = \bigcup_{j=1}^{J} \operatorname{char}(\mathscr{L}_{j}).$$

Since the principal symbol of  $b_j(\vartheta) + Q_j$  has the form of  $(\tau \tau^*)^{m_j} + \tau q_j(z, \tau; z^*, \tau \tau^*)$ , we have  $C_{T_v^*X}(\operatorname{char}(\mathscr{L}_j)) = \{(z, \tau; z^*, \tau^*); \tau \tau^* = 0\}$ . Thus we have

$$\begin{split} \mathrm{SS}(R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M},\nu_Y(\mathscr{O}_X))) &= \mathrm{SS}(R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M},\mu_Y(\mathscr{O}_X))) \subset C_{T_Y^*X}(\mathrm{char}(\mathscr{M})) \\ &\subset \bigcup_{i=1}^J C_{T_Y^*X}(\mathrm{char}(\mathscr{L}_j)) = S_{T_Y^*X}^{\mathbf{C}}. \end{split}$$

This proves the proposition by virtue of Proposition 1.2.

We denote by  $\mathscr{C}_{Y|X}^{\mathbf{R}} := \mu_Y(\mathscr{O}_X)[1]$  the sheaf of *real holomorphic microfunctions* on  $T_Y^*X$ . Then, by Proposition 3.5 and the proof in Kashiwara-Schapira [K-S2, Proposition 8.6.3], we obtain the following:

COROLLARY 3.6. For any regular-specializable  $\mathcal{D}_X|_Y$ -Module  $\mathcal{M}$ , there exists the following distinguished triangle:

$$R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M},\mathscr{O}_X)|_Y \to R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M},\sigma^{-1}v_Y(\mathscr{O}_X)) \to R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M},{}^t\sigma^{-1}\mathscr{C}^{\mathbf{R}}_{Y|X}) \overset{+1}{\to}.$$

Let  $\mathcal{M}$  be a coherent  $\mathcal{D}_X|_Y$ -Module. Recall that a V-filtration  $\{\mathsf{F}^k(\mathcal{M})\}_{k\mathbf{Z}}$  is said to be good if there exist (locally) generators  $\{u_j\}_{j=1}^m$  and  $k_j \in \mathbf{Z}$  such that for any  $k \in \mathbf{Z}$ 

$$\mathsf{F}^k(\mathscr{M}) = \sum_{j=1}^m \mathsf{F}_Y^{k-k_j}(\mathscr{D}_X) u_j$$

holds. The following theorem is proved by Kashiwara [Kas] (cf. also Björk [Bj]):

THEOREM 3.7. Set  $G := \{ \alpha \in \mathbf{C}; -1 \leq \operatorname{Re} \alpha < 0 \}$ . Then, for any regular-specializable  $\mathcal{D}_X$ -Module  $\mathcal{M}$ , there exist a unique good V-filtration  $\{ \mathbf{F}_Y^k(\mathcal{M}) \}_{k \in \mathbf{Z}}$  on  $\mathcal{M}$  and a non-zero polynomial  $b_Y(\alpha) \in \mathbf{C}[\alpha]$  such that  $b_Y^{-1}(0) \subset G$  and for any  $k \in \mathbf{Z}$  the following holds:

$$b_Y(\vartheta + k)\mathsf{F}_Y^k(\mathscr{M}) \subset \mathsf{F}_Y^{k-1}(\mathscr{M}).$$

DEFINITION 3.8. Let  $\mathcal{M}$  be a regular-specializable  $\mathcal{D}_X|_Y$ -Module. Under the notation of Theorem 3.7, the *nearby-cycle*  $\Psi_Y(\mathcal{M})$  and the *vanishing-cycle*  $\Phi_Y(\mathcal{M})$  are defined by:

$$\Psi_Y(\mathscr{M}) := \mathsf{F}_Y^{-1}(\mathscr{M})/\mathsf{F}_Y^{-2}(\mathscr{M}),$$

$$\Phi_Y(\mathcal{M}) := \mathsf{F}^0_Y(\mathcal{M})/\mathsf{F}^{-1}_Y(\mathcal{M}).$$

Remark 3.9. Laurent [L2] extended the definitions of nearby and vanishing cycles to the derived category of bounded complexes with (regular-)specializable cohomologies by using the theory of second microlocalization.

Let  $i: Y \to X$  be the natural embedding. The *inverse image* in the sense of  $\mathscr{D}$ -Module is defined by

$$\mathbf{D} \iota^* \mathscr{M} := \mathscr{O}_Y \bigotimes_{\iota^{-1} \mathscr{O}_X}^{\mathbf{L}} \iota^{-1} \mathscr{M} = \mathscr{D}_{Y \to X} \bigotimes_{\iota^{-1} \mathscr{D}_X}^{\mathbf{L}} \iota^{-1} \mathscr{M}.$$

Here  $\mathscr{D}_{Y\to X}:=\mathscr{O}_Y\otimes_{\iota^{-1}\mathscr{O}_X}\iota^{-1}\mathscr{D}_X$  is the *transfer bi-Module*. Then we have (cf. Laurent [L2], Mebkhout [Me] or Sabbah [Sab]):

PROPOSITION 3.10. For any regular-specializable  $\mathscr{D}_X|_Y$ -Module  $\mathscr{M}, \Psi_Y(\mathscr{M}), \Phi_Y(\mathscr{M})$  and each cohomology of  $D\iota^*\mathscr{M}$  are coherent  $\mathscr{D}_Y$ -Modules. Moreover, there exists the following distinguished triangle:

$$\Phi_Y(\mathcal{M}) \xrightarrow{\operatorname{Var}} \Psi_Y(\mathcal{M}) \longrightarrow \mathcal{D}\iota^*\mathcal{M} \xrightarrow{+1}.$$

Here, Var :=  $\varphi(\vartheta)\tau$  with  $\varphi(\zeta) := (e^{2\pi\sqrt{-1}\zeta} - 1)/\zeta$ .

Let  $\dot{\gamma}: \dot{T}_Y^*X \to P_Y^*X := \dot{T}_Y^*X/C^{\times}$  be the natural projection. Denote by  $\mathscr{C}_{Y|X}^{R,f}$  the sheaf of temperate real holomorphic microfunctions on  $T_Y^*X$  (see Andronikof [A] for the

definition). Since  $\mathscr{C}_{Y|X}^{\pmb{R},f}$  has the unique continuation property, Laurent [L2] introduced a subsheaf  $\tilde{\mathscr{C}}_{Y|X}$  of  $\mathscr{C}_{Y|X}^{\pmb{R},f}$  as follows: If  $p^* \in \dot{T}_Y^*X$ , then the stalk  $\tilde{\mathscr{C}}_{Y|X}|_{p^*} \subset \mathscr{C}_{Y|X}^{\pmb{R},f}|_{p^*}$  is consisting of germs which have a continuation to the universal covering of  $\dot{\gamma}^{-1}\dot{\gamma}(p^*)$  with finite determinations. If  $p^* \in T_Y^*Y = Y$ , then set  $\tilde{\mathscr{C}}_{Y|X}|_{p^*} := \mathscr{C}_{Y|X}^{\pmb{R},f}|_{p^*} = \mathscr{B}_{Y|X}|_{p^*}$ .

Remark 3.11. In fact, Laurent defined several sheaves in order to describe the growth condition of holomorphic microfunction solutions to a general specializable  $\mathcal{D}$ -Module (see [L1] and [L2]).

Denote by  $\mathcal{N}_{X|Y}$  the sheaf of *Nilsson class functions* on X along Y and regard as a sheaf on Y. Then the following theorem is proved by Laurent [**L2**] (cf. also Kashiwara-Kawai [**K-K3**]):

THEOREM 3.12. (1) There exists the following exact sequence:

$$0 \longrightarrow \mathscr{O}_X|_Y \longrightarrow \mathscr{N}_{X|Y} \stackrel{Can}{\longrightarrow} {}^t\sigma^{-1}\tilde{\mathscr{C}}_{Y|X} \longrightarrow 0.$$

(2) For any regular-specializable  $\mathcal{D}_X|_Y$ -Module  $\mathcal{M}$ , there exists a natural isomorphism

$$R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M},\tilde{\mathscr{C}}_{Y|X})\stackrel{\sim}{\to} R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M},\mathscr{C}^{\pmb{R}}_{Y|X}).$$

Further there exists the following isomorphism of distinguished triangles:

REMARK 3.13. (1) The isomorphism (Cauchy-Kovalevskaja type theorem)

$$R\mathcal{H}om_{\mathcal{D}_Y}(\mathbf{D}\iota^*\mathcal{M},\mathcal{O}_Y) \simeq R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M},\mathcal{O}_X)|_Y$$

holds for Fuchsian systems in the sense of Laurent-Monteiro Fernandes [L-MF1].

(2) Mandai [Man] extended the definition of boundary values to a general Fuchsian differential equation in the complex domain.

By Corollary 3.6 and Theorem 3.12, we can obtain:

Theorem 3.14. Let  $\mathcal{M}$  be a regular-specializable  $\mathcal{D}_X|_Y$ -Module. Then, a natural morphism  $\mathcal{N}_{X|Y} \to \sigma^{-1}v_Y(\mathcal{O}_X)$  induces the following isomorphism of distinguished triangles:

In particular, there exists the following isomorphism:

$$R\mathcal{H}om_{\mathcal{D}_Y}(\Psi_Y(\mathcal{M}), \mathcal{O}_Y) \simeq R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \sigma^{-1}v_Y(\mathcal{O}_X)).$$

## 4. Several sheaves attached to the boundary.

In this section, we recall several sheaves attached to the boundary due to Oaku [Oa3]. These sheaves will play essential roles for our boundary value problem. Note that in Oaku [Oa3] these sheaves are defined on cosphere bundles. So we shall present equivalent but slightly different definitions on cotangent bundles along the line of Oaku-Yamazaki [O-Y]. We refer to Oaku [Oa3] or Oaku-Yamazaki [O-Y] for the proofs. Although only the higher-codimensional case is treated in Oaku-Yamazaki [O-Y], the same proofs also work as in the one-codimensional case.

We inherit the notation from §2, and we denote by  $\mathcal{O}_X$ ,  $\mathcal{B}_M$  and  $\mathcal{C}_M$  the sheaves of holomorphic functions on X, of hyperfunctions on M and of microfunctions on  $T_M^*X$  respectively. Further, Let  $\mathcal{BO}_L$  be the sheaf of hyperfunctions with holomorphic parameters z on L; that is,

$$\mathscr{B}\mathscr{O}_L := \mathscr{H}^1_L(\mathscr{O}_X) \otimes \mathit{or}_{L/X} \simeq i_L^! \mathscr{O}_X \otimes \mathit{or}_{L/X}[1].$$

DEFINITION 4.1. We set:

$$\begin{split} \mathscr{C}_{N|M} &:= s_{L\pi}^{-1} \mu_{\tilde{M}_N}(Rj_{L*}\tilde{p}_L^{-1}i_L^!\mathcal{O}_X) \otimes \sigma r_{M/X}[n+1], \\ \tilde{\mathscr{C}}_{N|M} &:= \mu_{T_NM}(v_Y(i_L^!\mathcal{O}_X)) \otimes \sigma r_{N/L}[n+1], \\ \tilde{\mathscr{B}}_{N|M} &:= \tilde{\mathscr{C}}_{N,M}|_{T_NM}. \end{split}$$

Remark 4.2. The reader may confuse the sheaf  $\tilde{\mathscr{C}}_{Y|X}$  with the sheaf  $\tilde{\mathscr{C}}_{N|M}$  in §3 because we used a notation similar to each other. However, these sheaves are quite different.

By virtue of the following proposition, we can regard  $\mathscr{C}_{N|M}$  as a microlocalization of  $v_N(\mathscr{B}_M)$ , and  $\mathscr{C}_{N|M}$  as a subsheaf of  $\widetilde{\mathscr{C}}_{N|M}$ :

PROPOSITION 4.3. (1)  $\mathscr{C}_{N|M}$  and  $\widetilde{\mathscr{C}}_{N|M}$  are concentrated in degree zero; that is,  $\mathscr{C}_{N|M}$  and  $\widetilde{\mathscr{C}}_{N|M}$  are regarded as sheaves on  $T_{T_NM}^*T_YL$ .

- (2) A canonical morphism  $s_{N|M}^*: \mathscr{C}_{N|M} \to \widetilde{\mathscr{C}}_{N|M}$  is a monomorphism.
- (3)  $\mathscr{C}_{N|M}|_{T_NM} = v_N(\mathscr{B}_M)$  holds. Further, there exists the following commutative diagram with exact rows on  $T_NM$ :

Note that  $v_Y(\mathcal{BO}_L)$  is concentrated in degree zero.

## 5. Boundary values for regular-specializable system.

We are ready to define our boundary value morphism:

DEFINITION 5.1. Let  $\mathcal{M}$  be a regular-specializable  $\mathscr{D}_X|_Y$ -Module. Then by Proposition 3.5,  $R\mathscr{H}om_{\mathscr{D}_X}(\mathcal{M}, \mathscr{O}_X)$  satisfies the assumption of Theorem 2.2. Thus combin-

ing Definition 2.3 with Proposition 4.3 and Theorem 3.14, we define the morphism  $\beta$  as:

$$\begin{split} \beta: f_{\pi}^{-1}R\mathscr{H}om_{\mathscr{D}_{X}}(\mathscr{M},\mathscr{C}_{N|M}) &\to f_{\pi}^{-1}R\mathscr{H}om_{\mathscr{D}_{X}}(\mathscr{M},\tilde{\mathscr{C}}_{N|M}) \\ &\stackrel{\sim}{\to} f_{\pi}^{-1}\tau_{Y\pi}^{-1}R\mathscr{H}om_{\mathscr{D}_{Y}}(\varPsi_{Y}(\mathscr{M}),\mathscr{C}_{N}). \end{split}$$

By the construction, we can obtain the following Holmgren type theorem:

Theorem 5.2. (1) The morphism  $\beta$  gives a monomorphism

$$\beta^0: f_\pi^{-1} \mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathscr{C}_{N|M}) \rightarrowtail f_\pi^{-1} \tau_{Y_\pi}^{-1} \mathcal{H}om_{\mathcal{D}_Y}(\Psi_Y(\mathcal{M}), \mathscr{C}_N).$$

(2) The restriction of  $\beta^0$  to the zero-section  $T_NM^+$  of  $T_{T_NM^+}^*T_YL^+$  coincides with the boundary value morphism due to Monteiro Fernandes [MF1].

PROOF. (1) follows from the fact that  $s_{N|M}^*: f_{\pi}^{-1}\mathscr{C}_{N|M} \to f_{\pi}^{-1}\tilde{\mathscr{C}}_{N|M}$  is a monomorphism by Proposition 4.3.

(2) Comparing our construction with that of Laurent-Monteiro Fernandes [L-MF2], we easily obtain the desired result.

Remark 5.3. By Theorem 2.1, Proposition 3.5 and Theorem 3.14, for any regular-specializable  $\mathcal{D}_X|_Y$ -Module  $\mathcal{M}$  we have

$$f^{-1}R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \nu_Y(\mathcal{BO}_L)) \simeq f^{-1}\tau_Y^{-1}R\mathcal{H}om_{\mathcal{D}_Y}(\Psi_Y(\mathcal{M}), \mathcal{O}_Y).$$

Next we shall discuss the solvability.

DEFINITION 5.4. Let  $\mathcal{M}$  be a coherent  $\mathscr{D}_X|_Y$ -Module. Then we say  $\mathcal{M}$  is near-hyperbolic at  $x_0 \in N$  (in dt-codirection) if  $R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M}, \mathscr{O}_X)$  is near-hyperbolic in the sense of Definition 2.4. We remark that  $SS(R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M}, \mathscr{O}_X)) = char(\mathscr{M})$ .

REMARK 5.5. As is shown by Laurent-Monteiro Fernandes [**L-MF2**, Lemma 1.3.2], the near-hyperbolicity condition is weaker than the Fuchsian hyperbolicity condition due to Tahara [T] (cf. Bony-Schapira [**B-S2**]).

The following theorem is a direct consequence of Theorem 2.5:

Theorem 5.6. Let  $\mathcal{M}$  be a regular-specializable  $\mathscr{D}_X|_Y$ -Module. Assume that  $\mathcal{M}$  is near-hyperbolic at  $x_0 \in N$ . Then, for any  $p^* = (x_0, t_0; \sqrt{-1}\langle \xi_0, dx \rangle) \in T^*_{T_NM^+}T_YL^+$ ,

$$\beta: R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{C}_{N|M})_{p^*} \to R\mathcal{H}om_{\mathcal{D}_Y}(\Psi_Y(\mathcal{M}), \mathcal{C}_N)_{\tau_{Y\pi}(p^*)}$$

is an isomorphism. In particular,

$$\beta: R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \nu_N(\mathcal{B}_M))_{(x_0, t_0)} \to R\mathcal{H}om_{\mathcal{D}_Y}(\Psi_Y(\mathcal{M}), \mathcal{B}_N)_{x_0}$$

is an isomorphism.

### 6. Examples.

EXAMPLE 6.1. Let  $\mathscr{C}_{N|M}^F$  be the sheaf of F-mild microfunctions on  $T_{T_NM}^*T_YL$ , and set  $\tilde{\mathscr{C}}_{N|M}^A:=\mathscr{H}^n\mu_N(\mathscr{O}_X|_Y)\otimes \mathscr{O}\imath_{N/Y}$  (see Oaku [Oa2], [Oa3], and Oaku-Yamazaki [O-Y]). Let  $\mathscr{M}$  be a regular-specializable  $\mathscr{D}_X|_Y$ -Module. Set  $\mathscr{M}_Y:=\mathscr{H}^0\mathbf{D}\iota^*\mathscr{M}=\mathscr{O}_Y\otimes_{\iota^{-1}\mathscr{O}_X}\iota^{-1}\mathscr{M}$ .

Since  $\mathcal{M}$  is a Fuchsian system in the sense of Laurent-Monteiro Fernandes [L-MF1], by the argument in Oaku-Yamazaki [O-Y] we have the following commutative diagram:

that is, the boundary value morphism

$$\gamma^F: f_{\pi}^{-1} \mathcal{H}om_{\mathcal{Q}_X}(\mathcal{M}, \mathcal{C}_{N|M}^F) \mapsto f_{\pi}^{-1} \tau_{Y\pi}^{-1} \mathcal{H}om_{\mathcal{Q}_Y}(\mathcal{M}_Y, \mathcal{C}_N)$$

and  $\beta^0$  are compatible. In particular, suppose that Y is non-characteristic for  $\mathcal{M}$ . Then, it is known that  $\Psi_Y(\mathcal{M}) \xrightarrow{\sim} D\iota^* \mathcal{M} \simeq \mathcal{M}_Y$  and by Oaku [Oa3, Propositions 2.1, 2.2] (see also Oaku-Yamazaki [O-Y, Proposition 5.1]) we have:

$$\tilde{\gamma}_{N|M}: R\mathscr{H}om_{\mathscr{D}_{X}}(\mathscr{M},\tilde{\mathscr{C}}_{N|M}) \xrightarrow{\sim} \tau_{Y\pi}^{-1}R\mathscr{H}om_{\mathscr{D}_{Y}}(\mathscr{M}_{Y},\mathscr{C}_{N}).$$

In this case we see that  $\beta^0$  is equivalent to the non-characteristic boundary value morphism (see Oaku [Oa3]). In particular, the restriction of  $\beta^0$  to the zero-section  $T_NM^+$  is equivalent to Komatsu-Kawai [Ko-K] and Schapira [Sc1]. In addition, if  $\pm dt \in T_N^*M$  is hyperbolic for  $\mathcal{M}$ , then the nearly-hyperbolic condition is satisfied (cf. Kashiwara-Schapira [K-S1]) and  $\beta$  is an isomorphism.

Example 6.2. Assume that  $X = \mathbb{C}^{n+1}$  by admissible coordinates.

(1) Let  $b(\alpha)$  be a non-zero polynomial with degree m, and  $Q \in \mathscr{D}_X^{(m)} \cap \mathsf{F}_Y^{-1}(\mathscr{D}_X)$ . Set

$$\mathcal{M} := \mathscr{D}_X/\mathscr{D}_X(b(\vartheta) + Q).$$

Then  $\mathcal{M}$  is regular-specializable. Assume that

$$b(\alpha) = \prod_{j=1}^{\mu} (\alpha - \alpha_j)^{\nu_j} \quad (\alpha_i - \alpha_j \notin \mathbf{Z} \text{ for } 1 \le i \ne j \le \mu)$$

(note that  $\sum_{j=1}^{\mu} v_j = m$ ). Then a direct calculation shows that  $\Psi_Y(\mathcal{M}) \simeq \mathcal{D}_Y^m$ , and  $\beta^0$  is equivalent to  $\gamma$  in Oaku [Oa3, Theorem 2.4 and Remark]: Let  $p^* = (x_0, t_0; \sqrt{-1}\langle \xi_0, dx \rangle)$  be a point of  $T_{T_N M^+}^* T_Y L^+$ , and f(x,t) a germ of  $\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{C}_{N|M})$  at  $p^*$ . Then, since  $R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{N}_{X|Y}) \simeq R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \sigma^{-1}v_Y(\mathcal{O}_X))$  by virtue of Theorem 3.14, we can see that as a germ of  $\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \tilde{\mathcal{C}}_{N|M})$  at  $p^*$ , f(x,t) has a defining function

$$F(z,\tau) = \sum_{j=1}^{\mu} \sum_{k=1}^{\nu_j} F_{jk}(z,\tau) \tau^{\alpha_j} (\log \tau)^{k-1}.$$

Here each  $F_{jk}(z,\tau)$  is holomorphic on a neighborhood of  $\{(z,0) \in X; |x_0-z| < \varepsilon, \operatorname{Im} z \in \Gamma\}$  with a positive constant  $\varepsilon$  and an open convex cone  $\Gamma$  such that  $\xi_0 \in \operatorname{Int} \Gamma^\circ$ , where  $\Gamma^\circ$  denotes the dual cone. Then,  $\beta^0(f)$  is equivalent to  $\{\operatorname{sp}_N(F_{jk}(x+\sqrt{-1}\Gamma 0,0)); 1 \le k \le v_j, 1 \le j \le \mu\}$ . Moreover, if the principal symbol of  $b(\theta) + Q$  is written as  $\tau^m P(z,\tau;z^*,\tau^*)$  for a hyperbolic polynomial P at dt-codirection,

then the nearly-hyperbolic condition is satisfied. Note that this operator is a special case of Fuchsian hyperbolic operators due to Tahara [T].

(2) Take an operator  $A(z, \partial_z) \in \mathcal{D}_Y^{(1)}$  at the origin and set  $A^0 := 1$  and  $A^{(j)} := (1/j!)A \circ A^{(j-1)} \in \mathcal{D}_Y^{(j)}$  for  $j \ge 1$ . Let  $p^* = (0, 1; \sqrt{-1} \langle \xi, dx \rangle)$  be a point of  $T_{T_NM^+}^* T_Y L^+$  and set  $p_0 := (0; \sqrt{-1} \langle \xi, dx \rangle) \in T_N^* Y$ . Set

$$P := (\vartheta - \alpha_1)(\vartheta - \alpha_2) - \tau A(z, \partial_z)\vartheta \in \mathscr{D}_X|_Y,$$

where  $(\alpha_1, \alpha_2) \in \mathbb{C}^2$ . Consider  $\mathcal{M} := \mathcal{D}_X/\mathcal{D}_X P = \mathcal{D}_X u$ , where  $u := 1 \mod P$ . Then we see that  $\Psi_Y(\mathcal{M}) \simeq \mathcal{D}_Y^2$  and  $\Phi_Y(\mathcal{M}) \simeq \mathcal{D}_Y^2$ . Let f(x,t) be a germ of  $\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{C}_{N|M})$  at  $p^*$ . We regard f(x,t) as a germ of  $\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \tilde{\mathcal{C}}_{N|M})$  at  $p^*$ . Then:

(i) If  $(\alpha_1, \alpha_2) = (-1, 0)$ , then

$$\Phi_Y(\mathcal{M}) = \frac{\mathsf{F}_Y^0(\mathscr{D}_X)u + \mathsf{F}_Y^1(\mathscr{D}_X)(\vartheta + 1)u}{\mathsf{F}_Y^{-1}(\mathscr{D}_X)u + \mathsf{F}_Y^0(\mathscr{D}_X)(\vartheta + 1)u} = \mathscr{D}_Y[u] + \mathscr{D}_Y[\partial_\tau(\vartheta + 1)u],$$

$$\Psi_Y(\mathcal{M}) = \frac{\mathsf{F}_Y^{-1}(\mathcal{D}_X)u + \mathsf{F}_Y^0(\mathcal{D}_X)(\vartheta + 1)u}{\mathsf{F}_Y^{-2}(\mathcal{D}_X)u + \mathsf{F}_Y^{-1}(\mathcal{D}_X)(\vartheta + 1)u} = \mathcal{D}_Y[\tau u] + \mathcal{D}_Y[(\vartheta + 1)u],$$

and Var:  $([u], [\partial_{\tau}(\vartheta - 1)u]) \mapsto ([\tau u], 0)$ . Hence  $\mathcal{M}_Y \simeq \mathcal{D}_Y[(\vartheta + 1)u] \simeq \mathcal{D}_Y$ . In this case f(x, t) has the following defining function:

$$F(z,\tau) = U_0(z) + \frac{U_{-1}(z)}{\tau} - \sum_{j=1}^{\infty} \frac{A^{(j+1)}U_{-1}(z)}{j} \tau^j - AU_{-1}(z)\log \tau,$$

and  $\beta^0(f(x,t))$  is given by  $\{\operatorname{sp}_N(U_i)(x)\}_{i=-1,0}$  at  $p_0$ . If f(x,t) is F-mild at  $p_0$ , then  $U_{-1}(z)=0$  and  $\gamma^F(f(x,t))=\{f(x,+0)\}=\{\operatorname{sp}_N(U_0)(x)\}.$ 

(ii) If  $(\alpha_1, \alpha_2) = (0, 1)$ , then:

$$\Phi_{Y}(\mathcal{M}) = \frac{\mathsf{F}_{Y}^{1}(\mathscr{D}_{X})u + \mathsf{F}_{Y}^{2}(\mathscr{D}_{X})\vartheta u}{\mathsf{F}_{Y}^{0}(\mathscr{D}_{X})u + \mathsf{F}_{Y}^{1}(\mathscr{D}_{X})\vartheta u} = \mathscr{D}_{Y}[\partial_{\tau}u] + \mathscr{D}_{Y}[\partial_{\tau}^{2}\vartheta u],$$

$$\Psi_Y(\mathscr{M}) = \frac{\mathsf{F}_Y^0(\mathscr{D}_X)u + \mathsf{F}_Y^1(\mathscr{D}_X)\vartheta u}{\mathsf{F}_Y^{-1}(\mathscr{D}_X)u + \mathsf{F}_Y^0(\mathscr{D}_X)\vartheta u} = \mathscr{D}_Y[u] + \mathscr{D}_Y[\partial_\tau \vartheta u],$$

and  $\operatorname{Var}[\partial_{\tau}u] = \operatorname{Var}[\partial_{\tau}^{2}\partial u] = 0$ . Hence  $\mathcal{M}_{Y} \simeq \mathcal{D}_{Y}[u] + \mathcal{D}_{Y}[\partial_{\tau}\partial u] \simeq \mathcal{D}_{Y}^{2}$ . In this case f(x,t) has the following defining function:

$$F(z,\tau) = U_0(z) + \sum_{j=0}^{\infty} \frac{A^{(j)}U_1(z)}{j+1} \tau^{j+1},$$

and f(x,t) is always F-mild. Hence  $\beta^0(f(x,t))$  at  $p_0$  coincides with

$$\gamma^F(f(x,t)) = \{\partial_t^i f(x,+0)\}_{i=0,1} = \{\operatorname{sp}_N(U_i)(x)\}_{i=0,1}.$$

Indeed if  $\tau \neq 0$ ,  $\mathcal{M}$  is isomorphic to  $\mathcal{D}_X/\mathcal{D}_X(\partial_\tau^2 - A(z; \partial_z)\partial_\tau)$  for which Y is non-characteristic.

(iii) If 
$$(\alpha_1, \alpha_2) = (1, 1)$$
, then

$$\Phi_Y(\mathcal{M}) = \frac{\mathsf{F}_Y^2(\mathscr{D}_X)u}{\mathsf{F}_Y^1(\mathscr{D}_X)u} = \mathscr{D}_Y[\hat{\sigma}_\tau^2 u] + \mathscr{D}_Y[\hat{\sigma}_\tau^2 (\vartheta - 1)u],$$

$$\Psi_Y(\mathcal{M}) = \frac{\mathsf{F}_Y^1(\mathscr{D}_X)u}{\mathsf{F}_Y^0(\mathscr{D}_X)u} = \mathscr{D}_Y[\partial_\tau u] + \mathscr{D}_Y[\partial_\tau(\vartheta - 1)u],$$

and Var:  $([\partial_{\tau}^2 u], [\partial_{\tau}^2 (\vartheta - 1)u]) \mapsto (2\pi \sqrt{-1}[\partial_{\tau} (\vartheta - 1)u], 0)$ . Hence  $\mathcal{M}_Y \simeq \mathcal{D}_Y[\partial_{\tau} u] \simeq \mathcal{D}_Y$ . In this case f(x, t) has the following defining function:

$$F(z,\tau) = \sum_{j=0}^{\infty} A^{(j)} U_0(z) \tau^{j+1} - \sum_{j=1}^{\infty} \sum_{k=1}^{j} \frac{A^{(j)} U_1(z)}{k} \tau^{j+1} + \sum_{j=0}^{\infty} A^{(j)} U_1(z) \tau^{j+1} \log \tau,$$

and  $\beta^0(f(x,t))$  is given by  $\{\operatorname{sp}_N(U_i)(x)\}_{i=0,1}$  at  $p_0$ . If f(x,t) is F-mild at  $p_0$ , then  $U_1(z)=0$  and  $\gamma^F(f(x,t))=\{\partial_t f(x,+0)\}=\{\operatorname{sp}_N(U_0)(x)\}.$ 

(iv) If 
$$(\alpha_1, \alpha_2) = (1, 2)$$
, then:

$$\Phi_Y(\mathcal{M}) = \frac{\mathsf{F}_Y^2(\mathscr{D}_X)u + \mathsf{F}_Y^3(\mathscr{D}_X)(\vartheta - 1)u}{\mathsf{F}_Y^1(\mathscr{D}_X)u + \mathsf{F}_Y^2(\mathscr{D}_X)(\vartheta - 1)u} = \mathscr{D}_Y[\partial_\tau^2 u] + \mathscr{D}_Y[\partial_\tau^3 (\vartheta - 1)u],$$

$$\Psi_Y(\mathcal{M}) = \frac{\mathsf{F}_Y^1(\mathcal{D}_X)u + \mathsf{F}_Y^2(\mathcal{D}_X)(\vartheta - 1)u}{\mathsf{F}_Y^0(\mathcal{D}_X)u + \mathsf{F}_Y^1(\mathcal{D}_X)(\vartheta - 1)u} = \mathcal{D}_Y[\partial_\tau u] + \mathcal{D}_Y[\partial_\tau^2(\vartheta - 1)u],$$

and Var:  $([\partial_{\tau}^2 u], [\partial_{\tau}^3 (\vartheta - 1)u]) \mapsto (0, 2A[\partial_{\tau} u])$ . Hence

$$\mathcal{M}_Y \simeq \frac{\mathscr{D}_Y[\partial_{\tau}u] + \mathscr{D}_Y[\partial_{\tau}^2(\vartheta - 1)u]}{\mathscr{D}_YA[\partial_{\tau}u]}.$$

In this case f(x,t) has the following defining function:

$$\begin{split} F(z,\tau) &= \sum_{j=0}^{\infty} A^{(j)} U_2(z) \tau^{j+2} + U_1(z) \tau - \sum_{j=2}^{\infty} \sum_{k=1}^{j-1} \frac{j A^{(j)} U_1(z)}{k} \tau^{j+1} \\ &+ \left( \sum_{j=0}^{\infty} (j+1) A^{(j+1)} U_1(z) \tau^j \right) \tau^2 \log \tau, \end{split}$$

and  $\beta^0(f(x,t))$  is given by  $\{\operatorname{sp}_N(U_i)(x)\}_{i=1,2}$  at  $p_0$ . f(x,t) is F-mild under the condition that  $AU_1(z)=0$ , and in this case  $\gamma^F(f(x,t))$  at  $p_0$  is given by

$$\gamma^{F}(f_{3}(x,t)) = \{\hat{\sigma}_{t}^{i}f(x,+0)\}_{i=1,2} = \{\operatorname{sp}_{N}(U_{1})(x), 2\operatorname{sp}_{N}(U_{2})(x)\}$$

with  $A\partial_t f(x, +0) = A \operatorname{sp}_N(U_1)(x) = 0$ .

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## Susumu Yamazaki

Graduate School of Mathematical Sciences the University of Tokyo 8-1 Komaba 3-chome Meguro-ku, Tokyo 153-8914 Japan

Current address: Department of General Education College of Science and Technology Nihon University 24-1 Narashinodai 7-chome Funabashi-shi, Chiba 274-8501 Japan