RELATIVE PRYM VARIETIES ASSOCIATED TO THE DOUBLE COVER OF AN ENRIQUES SURFACE

E. Arbarello, G. Saccà & A. Ferretti

Abstract

Given an Enriques surface T, its universal K3 cover $f: S \to T$, and a genus g linear system |C| on T, we construct the relative Prym variety $P_H = \operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C})$, where $\mathcal{C} \to |\mathcal{C}|$ and $\mathcal{D} \to |f^*C|$ are the universal families, v is the Mukai vector (0, [D], 2-2g), and H is a polarization on S. The relative Prym variety is a (2q-2)-dimensional possibly singular variety, whose smooth locus is endowed with a hyperkähler structure. This variety is constructed as the closure of the fixed locus of a symplectic birational involution defined on the moduli space $M_{v,H}(S)$. There is a natural Lagrangian fibration $\eta: P_H \to |C|$ that makes the regular locus of P_H into an integrable system whose general fiber is a (g-1)-dimensional (principally polarized) Prym variety, which in most cases is not the Jacobian of a curve. We prove that if |C| is a hyperelliptic linear system, then P_H admits a symplectic resolution which is birational to a hyperkähler manifold of $K3^{[g-1]}$ -type, while if |C| is not hyperelliptic, then P_H admits no symplectic resolution. We also prove that any resolution of P_H is simply connected and, when g is odd, any resolution of P_H has $h^{2,0}$ -Hodge number equal to one.

1. Introduction

One of the many beautiful features of the Beauville-Mukai integrable systems is that they can be observed and studied from two quite different perspectives. The starting point is a linear system |D| of genus h curves on a K3 surface S. If $\mathcal{D} \to |D| = \mathbb{P}^h$ is the universal family, one can view the Beauville-Mukai integrable system as the relative compactified Jacobian $\pi : J(\mathcal{D}) \to \mathbb{P}^h$, whose fibers are compactified Jacobians of say—degree zero. But the hyperkähler and Lagrangian nature of this fibration is not unveiled until one sees it from the second perspective where $J(\mathcal{D})$ is taken to be the moduli space $M_{v,H}(S)$ of H-semistable rank zero sheaves with Mukai vector v = (0, [D], 1 - h). From this point of view, another aspect comes to the forefront: the choice of a polarization H, which is inherent to the notion of semistability. Its

Received 3/22/2013.

interplay with the Mukai vector v introduces a subdivision of the ample cone of S into chambers, bordered by walls. Varying H in Amp(S) leaves unchanged the birational type of $M_{v,H}(S)$. When H lies on a wall the corresponding moduli space is singular, while moving H away from a wall, into the various adjacent chambers, corresponds to distinct smooth birational models of the same moduli space. When smooth, these moduli spaces are as nice as one can think of: they are irreducible symplectic manifolds, meaning that they are compact, simply connected, and that their $H^{2,0}$ space is one-dimensional, spanned by a non-degenerate (i.e. symplectic) form.

Our task is to study to which extent a similar picture presents itself when Jacobians are substituted with more general abelian varieties. The first natural abelian varieties that come to mind are Prym varieties and the way we make them appear is to start from an Enriques surface T, look at its universal K3 cover $f: S \to T$, and take on S a linear system |D| which is the pull-back, via f, of a linear system |C| on T of genus $g \geq 2$. For each smooth curve $C_0 \in |C|$ we look at the double cover f: $D_0 = f^{-1}(C_0) \to C_0$ and we consider the Prym variety $\operatorname{Prym}(D_0/C_0)$, which is a (q-1)-dimensional abelian variety. If $U \subset |C|$ is the locus of smooth curves we see, right away, a fibration $\mathcal{P} \longrightarrow U \subset \mathbb{P}^{g-1}$ in (g-1)-dimensional, principally polarized, abelian varieties. If ι is the involution on D_0 induced by the two-sheeted covering, the Prym variety $Prym(D_0/C_0)$ can be viewed as the (identity component of the) fixed locus in $J(D_0)$ of the involution $-\iota^*$. The way to compactify \mathcal{P} is now laid out: define the involution $-\iota^*$ on $J(\mathcal{C}) = M_{v,H}(S)$ and take its fixed locus.

When H is ι^* -invariant, the involution ι causes no problem. It acts on S and ι^* acts on the set of H-semistable coherent sheaves on S supported on curves belonging to |D|, preserving all their good properties (rank, H-semistability) and especially their first Chern class, which is the ι^* -invariant class [D].

For the involution "j = -1" matters are more complicated. For a smooth curve $D_0 \in |D|$ the involution j on $J(D_0)$ is given by j([F]) = $[F^{\vee}] = \mathcal{H}om_{D_0}(F, \mathcal{O}_{D_0})$. Thus, for $[F] \in M_{v,H}(S)$, a natural choice is to set $j(F) = \mathcal{E}xt_S^1(F, \mathcal{O}_S(-D))$ (see Lemma 3.7). The $\mathcal{E}xt^1$ functor behaves nicely in families and therefore j induces a well defined morphism of the deformation functor into itself, the Mukai vector is preserved by j, and, at least if the support of F is irreducible, both F and j(F) are H-stable, for any polarization H. Therefore we get a birational "-1" involution: $j : M_{v,H}(S) \dashrightarrow M_{v,H}(S)$ which commutes with ι^* . However, the only way to have it preserve stability is to choose a polarization which is a multiple of D. Taking H = D, we then get a regular map $j : M_{v,D}(S) \to M_{v,D}(S)$, but now $M_{v,D}(S)$ is singular. To define the relative Prym variety, we consider the birational involution

$$\tau = j \circ \iota^* : M_{v,H}(S) \dashrightarrow M_{v,H}(S)$$

and we look at the Lagrangian fibration $M_{v,H}(S) \to |D|$. Over the locus of irreducible curves, this fibration has a zero-section s whose restriction to $|C| \subset |D|$ lands in the fixed locus of τ . We then define the relative Prym variety $P_H = \operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C})$ to be the irreducible component of the closure of fixed locus of τ containing the zero section s. Since the birational involutions j and ι^* are antisymplectic (see Proposition 3.11), a Zariski open subset of P_H has a natural symplectic structure.

Taking a slightly longer view we see that, a priori, there is more leeway in our choices. We could choose another divisor N and define $j_N(F) = \mathcal{E}xt_S^1(F, \mathcal{O}_S(N))$. As long as N is ι^* -invariant and $2\chi(F) =$ $N \cdot D$, we still get a birational involution $j_N : M_{v,H}(S) \dashrightarrow M_{v,H}(S)$ which commutes with ι^* . It is then natural to ask whether it is possible to choose H, N, and v in such a way that j_N preserves H-stability and, at the same time, $M_{v,H}(S)$ is smooth. In Proposition 3.16 we show that this is not possible. The alternative: regularity of the assignment $[F] \mapsto$ $[\mathcal{E}xt_S^1(F, \mathcal{O}_S(N))]$ versus smoothness of $M_{v,H}(S)$ is in the nature of this problem. Moreover, the relative Prym varieties that one can construct out of the involution $\tau_N \circ \iota^* : M_{v,H}(S) \dashrightarrow M_{v,H}(S)$ enjoy, by and large, the same properties of the relative Prym variety we just defined by taking N = -D. Consequently this will be our choice throughout the present paper.

The next observation is that the geometry of the relative Prym variety strongly depends on whether the linear system |C| on the Enriques surface T is *hyperelliptic* or not (cf. Definition A.3).

In the non-hyperelliptic case we show that P_D (and hence P_H) does not admit a symplectic desingularization. This is seen by going to a singular point of P_D that is represented by a polystable sheaf F which splits into a direct sum $F = F_1 \oplus F_2$ of two stable sheaves supported on irreducible and ι -invariant curves D_1 and D_2 respectively. We prove that, locally around the point [F], the relative Prym variety P_D is isomorphic to its tangent cone at [F] and this can be nicely described in terms of the quadratic term of the Kuranishi map (see Proposition 5.1). The result is that, locally around [F], the relative Prym variety P_D looks like the product of a smooth variety times the cone over the degree two Veronese embedding of a projective space $\mathbb{P}W$, with dim $W = D_1 \cdot D_2 = 2C_1 \cdot C_2$. This cone is \mathbb{Q} -factorial and, if dim $W \geq 3$, it is also terminal. When |C|, and therefore |D|, is non-hyperelliptic, we must have $D_1 \cdot D_2 \geq 4$, and therefore P_D has no symplectic resolution.

In the hyperelliptic case, things go in the opposite direction. In this case the "-1" involution j can be nicely described in geometrical terms. The key remark is that, in the hyperelliptic case, not only ι^* but also

j comes from an involution defined on S. Indeed the linear system |D| exhibits S as a two sheeted ramified cover of a rational surface $R \subset \mathbb{P}^h$. If ℓ is the involution associated to this cover, $\ell^* = j$ is the "-1" involution on each J(D), when D is smooth. The composition $k = \ell \circ \iota$ is a symplectic involution on S and k^* coincides with τ as birational maps. Taking $S/\langle k \rangle$ and resolving the eight singular points yields a K3 surface \hat{S} . We then show that, for any ι^* -invariant polarization H, the relative Prym variety $P_{v,H}$ is birational to an appropriate moduli space of sheaves on \hat{S} and is therefore of $K3^{[g-1]}$ -type. We also show that, choosing H appropriately, $P_{v,H}$ is a symplectic resolution of $P_{v,D}$.

In Sections 7 and 8 we prove that the relative Prym variety P shares two fundamental properties with the moduli spaces $M_{v,H}(S)$. The first result is that any resolution of P is simply connected. To show this we prove that the homology of the fibers of the Prym fibration $P \to \mathbb{P}^{g-1}$ is generated by vanishing cycles. The second result is that, at least when the genus g is odd, the (2, 0)-Hodge number of any desingularization of P is equal to one.

Summarizing, the main results that we prove are the following.

Theorem 1.1. Let T be a general Enriques surface and $f: S \to T$ its K3 double cover. Let $C \subset T$ be a primitive curve of genus $g \geq 2$. Set $|D| = |f^{-1}C|$. Set v = (0, [D], 2 - 2g) and let $P_H = \operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C}) \to |C| = \mathbb{P}^{g-1}$ be the relative Prym variety. Then:

i) If |C| is hyperelliptic, P_H is birational to a hyperkähler manifold of K3 [g-1]-type.

ii) If |C| is not hyperelliptic, P_H admits no symplectic resolution.

Theorem 1.2. With the same notation as in Theorem 1.1, any resolution of the singularities of P_H is simply connected and, when g is odd (or |D| is hyperelliptic), has (2,0)-Hodge number equal to 1.

In Section 9, we compute the degree of the discriminant of the Prym-Lagrangian fibration $P \rightarrow |C|$. In the case of a compact hyperkähler manifold this degree has been linked by Hitchin and Sawon to the Rozanski-Witten invariant. Comparing the degree of the discriminant of the Prym fibration with the degrees of the discriminants in hyperkähler cases, we highlight a curious numerological phenomenon arising therein.

Acknowledgments. In our work we benefited from the ideas contained in the articles by Markushevich and Tikhomirov, and Kaledin, Lehn, and Sorger. During the preparation of this manuscript we had very useful conversations with a number of people and notably with D. Huybrechts, J. Kollár, M. Lehn, E. Markman, D. Markushevich, K. O'Grady, E. Sernesi, V. Shende, J. Sawon, and C. Voisin. We thank them all heartedly. The second named author wishes to express her gratitude to her advisor G. Tian for his continuous support, and to M. Lehn and J. Sawon for their strong encouragement in an early stage of this project. In closing, we thank the referee for many useful remarks.

2. Notation

Let T be an Enriques surface, and let

$$(2.1) f: S \to T$$

be the universal cover of T. It is well known that S is a K3 surface. We denote by

$$(2.2) \iota: S \to S$$

the covering involution. The involution ι acts as -1 on the space $H^0(S, \omega_S)$ of global sections of the canonical bundle of S, i.e., ι is an anti-symplectic involution. By a result of Namikawa (Proposition (2.3) of [**37**]) the invariant subspace of the involution ι^* acting on the Néron-Severi group¹ NS(S) is equal to $f^*(NS(T))$. Since the pull-back

$$f^* : \mathrm{NS}(T) \to \mathrm{NS}(S)$$

is injective, we deduce that $f^* NS(T)$ is a rank 10 primitive sub-lattice of NS(S). In particular, the Picard number of S is greater than or equal to 10. It is well known (Proposition (5.6) of [**37**]) that if T is general in moduli, then

(2.3)
$$\operatorname{NS}(S) \cong f^* \operatorname{NS}(T).$$

From now on, when we say that T is general, we mean that (2.3) holds. If T is general, then

$$NS(S) \cong NS(T)(2)$$
.²

Consequently, the square of any class in NS(S) is divisible by 4. In particular, S and T do not contain any algebraic -2 classes, i.e., they do not contain any smooth rational curve. A surface that does not contain any smooth rational curve is called *unodal*.

In this paper C will denote a curve on T, and we will set

$$D := f^{-1}(C).$$

By abuse of notation, we denote by

 $(2.4) \qquad \qquad \iota: D \to D$

the induced covering involution. For any sheaf F on T, we set

(2.5)
$$F' := F \otimes \omega_T.$$

Then $f^*F \cong f^*F'$. If C is a curve with $C^2 \ge 0$, we usually denote by C' a section of $\mathcal{O}(C)'$.

¹We follow Definition 1.1.13 of [23] and for us the Néron-Severi group of a smooth projective variety X is the group of line bundles on X modulo numerical equivalence.

²Given a lattice Λ and a non-zero integer m, we denote by $\Lambda(m)$ the lattice obtained from Λ by multiplying its non-degenerate integral bilinear form by m.

Now suppose that $C \subset T$ is an irreducible curve of genus g. If $g \geq 2$, it follows from the Hodge index theorem that D is connected and thus $f: D \to C$ is a non-trivial étale double cover. In particular,

$$h := g(D) = 2g - 1.$$

We say that a curve on a surface is *primitive*, if its class is primitive in the Néron-Severi group.

If $g \ge 2$, or if C is a primitive elliptic curve, then

$$\dim |C| = \frac{C^2}{2} = g - 1,$$

while if $C = 2C_0$, with C_0 a primitive elliptic curve, then |C| is an elliptic pencil with two multiple fibers. As for the K3 surface S, we have

$$\dim |L| = \frac{L^2}{2} + 1 = h$$

for every line bundle L on S with $L^2 = 2h - 2 \ge 0$.

Consider the covering $f: S \to T$, a curve $C \subset T$ of genus $g \geq 2$, and the induced covering $D \to C$. Observe that ι^* acts on |D|, and that the two invariant subspaces are the (g-1)-dimensional spaces

$$f^*|C|$$
 and $f^*|C'|$.

In the sequel we will drop the symbol f^* and consider |C| and |C'| as subspaces of |D|.

In the Appendix we collect a number of facts about linear systems on K3 and Enriques surfaces that are needed in our study.

3. The relative Prym variety

3.1. Pure sheaves of dimension one. The most natural way to compactify the Jacobian variety of an irreducible curve is to consider the moduli space of rank one torsion free sheaves. On reducible curves, however, there is no canonical moduli space to take and, in order to compactify the Jacobian, one has to choose a polarization. For example, if the curve is reduced, one can just give a positive integer for every irreducible component of the curve. Different components might appear for different polarizations, and hence the resulting moduli spaces depend on such choice. For (possibly reducible) curves with only nodal singularities this was done by Oda and Seshadri in their fundamental paper [**39**]. For a survey see [**1**].

More generally, Simpson [50] showed that it is possible to consider moduli spaces of semistable pure sheaves on any polarized smooth projective variety. Given a sheaf F on a variety X, for every $0 \le d' \le d$, we denote by $T_{d'}(F) \subset F$ the maximal subsheaf of F of dimension d'. A sheaf F on X is said to be *pure of dimension* d if all the associated prime of F have dimension d or, equivalently, if all non-zero subsheaves of F have support that is of dimension d. Compactified Jacobians of arbitrary curves lying on smooth projective surfaces are thus (closed subsets of) special cases of these Simpson moduli spaces.

In this subsection we let (X, H) denote a smooth projective surface together with a polarization H and F a pure sheaf of dimension one on X.

We start by recalling a few important features of pure dimension one sheaves on a smooth surface (cf. [16] for a more detailed discussion). By Proposition 1.1.10 of [16], the sheaf F has homological dimension one, and hence has a two step locally free resolution.

$$(3.1) 0 \to L_1 \xrightarrow{a} L_0 \to F \to 0.$$

The determinantal support of F, denoted by $\operatorname{Supp}_{\det}(F)$, is the curve in X defined by the vanishing of the determinant of $a: L_1 \to L_0$. We have

$$[\operatorname{Supp}_{\det}(F)] = c_1(F),$$

where $[\Gamma]$ denotes the class in $H^2(X)$ of a curve $\Gamma \subset X$. The scheme theoretic support of F is the sub-scheme whose sheaf of ideals is the kernel of the natural morphism $\mathcal{O}_X \to \mathcal{E}nd(F)$. Unless explicitly specified, we will use the word support to refer to the determinantal support. Observe that the determinantal support behaves well in families, whereas the scheme theoretic one does not.

In this case, the notion of Gieseker stability in terms of the reduced Hilbert polynomial translates into the following definition:

Definition 3.1. The slope of F with respect to H is the rational number

$$\mu_H(F) := \frac{\chi(F)}{c_1(F) \cdot H}$$

A sheaf F is H-(semi)stable if and only if (we follow Notation 1.2.5 of [16]) it is pure of dimension one and if, for every quotient sheaf $F \to E$ that is pure of dimension one,

$$\mu_H(F)(\leq)\mu_H(E).$$

Clearly, stability can be formulated also in terms of saturated subsheaves of F, by reversing the inequality in the definition.

By definition, any pure sheaf of dimension one and rank one supported on an integral curve is automatically stable with respect to any polarization. More interesting phenomena arise when the support is non-integral.

Let $\Gamma \subset X$ be the scheme theoretic support of F, and let $\Gamma' \subset \Gamma$ be any subcurve. The restriction $F_{|\Gamma'} := F \otimes \mathcal{O}_{\Gamma'}$ is not necessarily pure of dimension one, so we set

$$(3.2) F_{\Gamma'} := F_{|\Gamma'}/T_0(F_{|\Gamma'})$$

so that $F_{\Gamma'}$ is pure of dimension one.

Lemma 3.2. Let F be a pure sheaf of dimension one and rank one on a reduced curve $\Gamma \subset X$. Then F is H-(semi)stable if and only if for every subcurve $\Gamma' \subset \Gamma$

$$\mu_H(F)(\leq)\mu_H(F_{\Gamma'}).$$

Proof. Let $\alpha : F \to G$ be a quotient subsheaf of pure dimension one, and let Γ' be the support of G. Then α factor through the natural morphism $F \to F_{\Gamma'}$ and the induced morphism $F_{\Gamma'} \to G$ is an isomorphism. q.e.d.

Notation 3.3. Let F be a pure sheaf of dimension one on a smooth surface X, and let $\Gamma = \Gamma_1 + \Gamma_2$ be a decomposition of the support of F in two reduced curves that have no common components. For i, j = 1, 2 and $i \neq j$ we set

(3.3)
$$F^{\Gamma_j} = \ker[F_{|\Gamma_i} \to F_{\Gamma_i}].$$

When no confusion is possible we will use the shorthand notation

(3.4)
$$F_i = F_{\Gamma_i}, \qquad F_j = F^{\Gamma_j}$$

We will need the following lemma.

Lemma 3.4. Let F be a pure sheaf of dimension one on a smooth surface X, and let $\Gamma = \Gamma_1 + \Gamma_2$ be a decomposition of the support of Fin two reduced curves that have no common components. Assume that Γ_1 and Γ_2 meet transversally. Let Λ_F be the subset of $\Gamma_1 \cap \Gamma_2$ where Fis locally free and set $\Delta_F = \sum_{p \in \Lambda_F} p$. Then, for j = 1, 2,

$$F^{\Gamma_j} \cong F_{\Gamma_j} \otimes \mathcal{O}_{\Gamma_j}(-\Delta_F).$$

Proof. For $i, j = 1, 2, i \neq j$, look at the exact sequence

$$(3.5) 0 \to \mathcal{O}_{\Gamma_j}(-\Gamma_i) \to \mathcal{O}_{\Gamma} \to \mathcal{O}_{\Gamma_i} \to 0$$

Tensoring by F we get

$$\operatorname{Tor}^{1}_{\mathcal{O}_{\Gamma}}(F, \mathcal{O}_{\Gamma_{i}}) \to F_{|\Gamma_{j}}(-\Gamma_{i}) \xrightarrow{t} F \to F_{|\Gamma_{i}} \to 0,$$

where the sheaf $\operatorname{Tor}^{1}_{\mathcal{O}_{\Gamma}}(F, \mathcal{O}_{\Gamma_{i}})$ is supported on

$$T_F := \Gamma_1 \cap \Gamma_2 \setminus \Lambda_F.$$

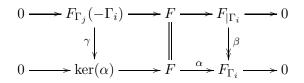
For $p \in T_F$,

$$F_{|\Gamma_i,p} \cong F_{\Gamma_i,p} \oplus \mathbb{C}_p$$
, and $F_{|\Gamma_j}(-\Gamma_i)_p \cong F_{\Gamma_j}(-\Gamma_i)_p \oplus \mathbb{C}_p$.

Since F is pure, the map t factors through a generically injective (and thus injective) map $F_{\Gamma_j}(-\Gamma_i) \xrightarrow{s} F$ which fits into the following exact sequence:

$$0 \to F_{\Gamma_j}(-\Gamma_i) \stackrel{s}{\to} F \to F_{|\Gamma_i} \to 0.$$

Consider the following commutative diagram:



where $\alpha: F \to F_{\Gamma_i}$ is the composition of the restriction $F \to F_{|\Gamma_i|}$ with the natural morphism β . We know that β is an isomorphism at a point p if and only if F is locally free at p. Moreover, γ is injective and

 $\ker(\beta) \cong \oplus_{p \in T_F} \mathbb{C}_p.$

It follows that $\operatorname{Coker}(\gamma) \cong \bigoplus_{p \in T_F} \mathbb{C}_p$, and thus

$$\ker(\alpha) \cong F_{\Gamma_j}(-\Gamma_i) \left(\sum_{p \in T_F} p\right) = F_{\Gamma_j}(-\Delta_F).$$

q.e.d.

3.2. Relative compactified Jacobians. Let (S, H) be a polarized K3 surface, and let

$$v = (0, [D], \chi) \in H^*(S, \mathbb{Z})$$

be a Mukai vector, where

$$\chi = d - h + 1, \quad h = q(D).$$

Following Le Potier [24] and Simpson [50], we consider the moduli space $M_{v,H}(S)$ of *H*-semistable sheaves of pure dimension one with $c_1(F) = [D]$ and $\chi(F) = \chi$. The moduli space $M_{v,H}(S)$ is a 2*h*-dimensional projective variety and by [31] and [5] the smooth locus contains the locus $M_{v,H}^s(S)$ of *H*-stable sheaves.

When no confusion is possible we will simply write:

$$(3.6) M_{v,H} = M_{v,H}(S)$$

Let $[F] \in M_{v,H}$ be a point corresponding to an *H*-stable sheaf. By deformation theory, the tangent space to $M_{v,H}$ at the point [F] is canonically identified with $\operatorname{Ext}^1(F,F)$. Mukai showed in [**31**] that there is a holomorphic symplectic form on $M_{v,H}^s$. On the tangent space $T_{[F]}M_{v,H}^s$ this symplectic form is given by the cup product (3.7)

$$\sigma_M : \operatorname{Ext}^1(F, F) \times \operatorname{Ext}^1(F, F) \longrightarrow \operatorname{Ext}^2(F, F) \stackrel{^{\mathrm{tr}}}{\cong} H^2(S, \mathcal{O}_S)$$
$$= H^0(S, \omega_S)^{\vee} \stackrel{^{\sigma}}{\cong} \mathbb{C}.$$

Following Le Potier [24] we can define the support morphism

(3.8)
$$\begin{aligned} \pi: M_{v,H} \longrightarrow |D| \cong \mathbb{P}^h, \\ F \longmapsto \operatorname{Supp}_{\operatorname{det}}(F) \end{aligned}$$

The fiber of π over a point corresponding to a smooth curve D_0 is nothing but $\operatorname{Jac}^d(D_0)$, the degree d Jacobian of D_0 . If the curve D_0 is not smooth but integral, the fiber of π over the point $[D_0]$ is the compactified Jacobian $\overline{\operatorname{Jac}}^d(D_0)$ whose points represent isomorphism classes of rank one degree d torsion free sheaves on D_0 . More generally, if D_0 is reduced but possibly reducible, the fiber is the compactified Jacobian $\overline{\operatorname{Jac}}^d(D_0)$ parametrizing S-equivalence classes of H-semistable rank one torsion free sheaves on D_0 .

For this reason the moduli space $M_{v,H}$ is sometimes denoted with the symbol

$$\operatorname{Jac}_{H}^{d}(|D|) = M_{v,H}$$

or else with the symbol

$$\operatorname{Jac}_{H}^{d}(\mathcal{D}) = M_{v,H}$$

where $\mathcal{D} \to |D|$ is the universal family.

We recall that a polarization H is called *v*-generic if every H-semistable sheaf of Mukai vector v is automatically H-stable.

Yoshioka [52] proved that if v is primitive and if $\chi \neq 0$, the locus of $[H] \in \operatorname{Amp}(S)$ that are not v-generic is a finite union of hyperplanes, which are called the *walls associated to v*. These walls are described as follows. Let $[F] \in M_{v,H}$ and let D be its support. For any subcurve $\Gamma \subset D$, and any quotient sheaf $F \to G$ with $\operatorname{Supp}(G) = \Gamma$ and $\mu_H(G) = \mu_H(F)$, there is a wall containing [H] defined in $\operatorname{Amp}(S)$ by the equation

$$(\chi(G)D - \chi\Gamma) \cdot x = 0.$$

By definition, when H is v-generic, the moduli space $M_{v,H}$ is smooth. It is an irreducible symplectic manifold of K3^[h]-type.

A simple example of a non-v-generic polarization is the following.

Example 3.5. Let $\chi = -h + 1$ or, equivalently, d = 0. Suppose that D decomposes into the sum $D = D_1 + D_2$ of two integral divisors, with even intersection numbers $D \cdot D_i$, i = 1, 2. Then D is not v-generic. In fact, there exists a sheaf $F = F_1 \oplus F_2$, where F_i is a sheaf on D_i with $\chi(F_i) = -\frac{1}{2}D_i \cdot D$.

One of the beautiful features of the map (3.8) is that it exhibits $M_{v,H}$ (or rather its smooth locus) as a Lagrangian fibration. Over the locus of smooth curves this was proved by Beauville in [6], and for this reason this Lagrangian fibration is called the Beauville-Mukai integrable system. If v and H are such that $M_{v,H}$ is smooth (e.g. if v is primitive and H is general), then by a general theorem of Matsushita [28] every

irreducible component of every fiber is Lagrangian.³ In particular, $\pi : M_{v,H} \to |D|$ is equidimensional. If d = 0, then π has a rational section,

$$(3.9) s: |D| \dashrightarrow \operatorname{Jac}_{H}^{0}(\mathcal{D}),$$

which is defined on an open subset containing the locus of integral curves. Indeed, since any pure sheaf of rank one on an integral curve is stable with respect to any polarization, one can define the section s by assigning to an integral curve $\Gamma \in |D|$ its structure sheaf.

3.3. The relative Prym variety. We recall the classical definition of Prym variety. Let C be a smooth genus $g \ge 2$ curve, and let

$$f: D \to C$$

be an étale double cover. Then D is a smooth curve of genus h = 2g - 1. As usual, let $\iota : D \to D$ be the covering involution. Then ι^* acts on the Jacobian variety $\operatorname{Jac}^0(D)$, and the *Prym variety of* D over C is defined by

(3.10)
$$\operatorname{Prym}(D/C) := \operatorname{Fix}^{\circ}(-\iota^*) = \ker(id + \iota^*)^{\circ} \subset \operatorname{Jac}^0(D),$$

where the superscript $^{\circ}$ stands for the identity component. Following Mumford [**33**], one can also define the Prym variety as the identity component of the kernel of norm map

$$\operatorname{Nm} : \operatorname{Jac}^{0}(D) \longrightarrow \operatorname{Jac}^{0}(C),$$
$$L = \mathcal{O}_{D}\left(\sum a_{i}x_{i}\right) \longmapsto \operatorname{det}(f_{*}L) = \mathcal{O}_{C}\left(\sum a_{i}f(x_{i})\right)$$

or, equivalently, as the image of $(1 - \iota^*)$: $\operatorname{Jac}^0(D) \to \operatorname{Jac}^0(C)$. Since

$$f^*(\operatorname{Nm}(L)) = (1 + \iota^*)L,$$

and ker(Nm) and ker f^* have two connected components (cf. [33] §6), ker(1 + ι^*) has four connected components. In other words,

(3.11)
$$\ker(1+\iota^*) \cong \operatorname{Nm}^{-1}(0) \coprod \operatorname{Nm}^{-1}(\eta),$$

where $\eta \in \operatorname{Pic}^{0}(C)$ is the 2-torsion line bundle defining the double cover $D \to C$. In [**32**] Mumford shows, among other things, that the decomposition in connected components of ker(Nm) is given by

(3.12)
$$\ker(\mathrm{Nm}) = (1 - \iota^*)(\mathrm{Jac}^0(D)) \coprod (1 - \iota^*)(\mathrm{Jac}^1(D)),$$

so that $\operatorname{Prym}(D/C) \cong (1 - \iota^*)(\operatorname{Jac}^0(D))$. The Prym variety is a (g - 1)-dimensional principally polarized abelian variety (cf. [33]). Going

³Following [29] we say that a subvariety Y of a complex manifold X with a holomorphic symplectic form σ is called Lagrangian, if dim $Y = \dim X/2$ and if there is a resolution $r: \tilde{Y} \to Y$ of the singularities of Y such that $r^* \sigma_{|Y}$ is identically zero.

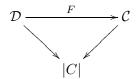
back to our situation, we consider a general Enriques surface ${\cal T}$ and its universal cover

$$(3.13) f: S \to T.$$

We fix a curve $C \subset T$ of genus $g \geq 2$ and we set $D = f^{-1}(C)$, so that $\dim |C| = g - 1$ and $\dim |D| = h$. Set

$$W := f^*|C| \subset |D|.$$

Let $\mathcal{C} \to |C|$ and $\mathcal{D} \to W \cong |C|$ be the universal families relative to the two linear systems. Consider the relative cover



Our aim is to perform the Prym construction for the relative cover F. Of course, we could also do the relative construction starting with the linear system $W' := f^*|C'|$ and everything we will say for W works for W' as well. As in the case of the double cover of a fixed curve, we would like to define the relative Prym variety as the fixed locus of an involution defined on the relative Jacobian $\operatorname{Jac}^0_H(\mathcal{D}) = M_{v,H}$ where, throughout,

$$(3.14) v = (0, [D], -h+1)$$

and H is a suitable polarization. Moreover, this involution should be the composition of a relative version of ι^* with a relative version of "-1", the two involutions should commute, and they should be anti-symplectic so their composition would be a symplectic involution. The only part in this construction which is not straightforward, when not downright impossible, is the construction of the involution "-1".

As we said, the desired involution on $M_{v,H}$ should be the composition of two commuting anti-symplectic involutions. Let us start by describing the first one.

Lemma 3.6. Let T be an Enriques surface. Let S be the covering K3 surface. Let H be a polarization on S, and let $v = (0, [D], \chi)$ where $D = f^*(C)$. There is a birational involution

$$\iota^* : M_{v,H} \dashrightarrow M_{v,H},$$
$$F \mapsto \iota^* F.$$

This birational involution is anti-symplectic and the projection $\pi : M_{v,H} \rightarrow |D|$ is ι^* -equivariant. If H is ι^* -invariant, then ι^* is a regular morphism.

Proof. Since the general point $[F] \in M_{v,H}$ is supported on an irreducible curve, it is stable with respect to any polarization. This shows that ι^* is a birational involution, and it is clear that if H is ι^* -invariant, then the involution is biregular. The ι^* -equivariance of π is obvious.

The symplectic form on $M_{v,H}$ is given by (3.7) and there all morphisms are intrinsic except for the identification $H^0(S, \omega_S)^{\vee} \cong \mathbb{C}$, which is dual to the isomorphism $H^0(S, \omega_S) \cong \mathbb{C}\sigma$. As ι is an anti-symplectic involution, $\iota^*(\sigma) = -\sigma$, and the symplectic form on $M_v(S)$ changes sign under ι^* . q.e.d.

Every component of the fixed locus of ι^* is a Lagrangian subvariety of $M_{v,H}$ with trivial canonical bundle, and their geometry is studied by the second named author in [45].

The second involution is more involved. The basic tool one uses to define it is given by the following lemma.

Lemma 3.7. Let F be a pure sheaf of dimension one on a K3 surface S, and let Γ be the support of F. Then,

$$\mathcal{E}xt^1_S(F,\mathcal{O}_S(-\Gamma))\cong \mathcal{H}om_{\Gamma}(F,\mathcal{O}_{\Gamma}).$$

Proof. Consider the short exact sequence $0 \to \mathcal{O}_S(-\Gamma) \to \mathcal{O}_S \to \mathcal{O}_{\Gamma} \to 0$. Applying $\mathcal{H}om_S(F, \cdot)$ we get

$$0 \to \mathcal{H}om_S(F, \mathcal{O}_{\Gamma}) \to \mathcal{E}xt^1_S(F, \mathcal{O}_S(-\Gamma)) \xrightarrow{u} \mathcal{E}xt^1_S(F, \mathcal{O}_S).$$

Notice, however, that the map u is induced by multiplication by the section defining Γ . Thus u = 0 and $\mathcal{H}om_{\Gamma}(F, \mathcal{O}_{\Gamma}) \cong \mathcal{E}xt^{1}_{S}(F, \mathcal{O}_{\Gamma}(-\Gamma))$. q.e.d.

We set

$$j(F) := \mathcal{E}xt^1_S(F, \mathcal{O}(-D)).$$

A pure sheaf of dimension one on a surface is reflexive (Proposition 1.1.10 of [16]), so that

$$j^2(F) \cong F.$$

The idea is that the assignment j should be the relative version of the involution

$$-1: \operatorname{Jac}^{0}(C) \to \operatorname{Jac}^{0}(C)$$

so that $j \circ \iota^*$ is the relative version of the involution $-\iota^* : \operatorname{Jac}^0(C) \to \operatorname{Jac}^0(C)$ whose fixed locus is the Prym variety. From now on we set

(3.15)
$$\tau := j \circ \iota^*, \quad \text{i.e.} \quad \tau(F) = \mathcal{E}xt^1(\iota^*F, -D)$$

Lemma 3.8. Let \mathcal{F} be a flat family of pure sheaves of dimension one on S parametrized by a scheme B. If $p: S \times B \to S$ denotes the natural projection, then $\mathcal{E}xt^1(\mathcal{F}, p^*\mathcal{O}_S)$ is a flat family of pure dimension one sheaves on S parametrized by B, and for every $b \in B$, there is an isomorphism $\mathcal{E}xt^1(\mathcal{F}, \mathcal{O}_{S \times B})_b \cong \mathcal{E}xt^1(\mathcal{F}_b, \mathcal{O}_S)$.

Proof. The lemma follows from [2] in the following way. First, for every $b \in B$ we have $\mathcal{E}xt^i_S(\mathcal{F}_b, \mathcal{O}_S) = 0$, for i = 0, 2. Then point (ii) of Theorem (1.10) in loc. cit. implies that $\mathcal{E}xt^1(\mathcal{F}, p^*\mathcal{O}_S)$ is flat and that the base change map $\mathcal{E}xt^1(\mathcal{F}, \mathcal{O}_{S \times B})_b \to \mathcal{E}xt^1(\mathcal{F}_b, \mathcal{O}_S)$ is an isomorphism. q.e.d. Let v be as in (3.14). Using Lemma 3.8 the assignment $F \mapsto \tau(F)$ yields a well defined involution

(3.16)
$$\tau: M_{v,H} \longrightarrow M_{v,H}$$

if the following conditions are satisfied:

(3.17) (3.17)
$$\tau^2(F) \cong F,$$

(3.17) (3.17) (3.17) (3.17) $v(\tau(F)) = v(F),$
(3.17) (3.17) (3.17) $v(\tau(F)) = v(F),$
(3.17) (3.17) (3.17) $v(\tau(F)) = v(F),$
(3.17) (3.17) (3.17) $v(\tau(F)) = v(F),$

a) The condition $\tau^2(F) \cong F$ is equivalent to the condition that j and ι^* commute and this happens since D is ι^* -invariant.

b) Here we demand

(3.18)

$$c_1(F) = c_1(j(F)) = c_1(\mathcal{E}xt_S^1(F, \mathcal{O}(-D))), \qquad \chi(F) = \chi(j(F)),$$

The first condition is always satisfied. Indeed, since tensoring by a line bundle does not change the first Chern class of a sheaf supported on a proper subscheme, we may as well replace $\mathcal{O}_S(-D)$ with \mathcal{O}_S . Consider, as in (3.1), a locally free resolution of F so that $c_1(F)$ is the class of the curve defined by the equation det a = 0. Dualizing we get: $0 \to L_1^{\vee} \xrightarrow{a^{\vee}} L_0^{\vee} \to \mathcal{E}xt_S^1(F, \mathcal{O}_S) \to 0$. Since (det a = 0) and (det $a^{\vee} = 0$) define the same subscheme of S, the first equality in (3.30) follows. As far as the second equality is concerned, let us compute Hilbert polynomials. For m >> 0 and for any pure sheaf of dimension one, we have

$$p_{j(F)}(m,H) = \chi(\mathcal{E}xt_{S}^{1}(F,\mathcal{O}(-D+mH)))$$

$$= \dim H^{0}(\mathcal{E}xt_{S}^{1}(F,\mathcal{O}(-D+mH)))$$

$$= \dim \operatorname{Ext}^{1}(F \otimes \mathcal{O}(D-mH),\mathcal{O}_{S})$$

$$= \dim H^{1}(F \otimes \mathcal{O}(D) \otimes \mathcal{O}(-mH))$$

$$= -\chi(F \otimes \mathcal{O}(D) \otimes \mathcal{O}(-mH))$$

$$= -p_{F \otimes \mathcal{O}(D)}(-m,H).$$

In particular we get

(3.20)
$$\chi(j(F)) = -\chi(F \otimes \mathcal{O}(D)) = -\chi(F) - c_1(F) \cdot D$$

When $[F] \in M_{v,H}$ we have $c_1(F) = [D]$ and thus $\chi(j(F)) = \chi(F) = -h + 1$.

c) This is the most delicate and interesting point. Here the polarization H comes to the forefront. The question is: for which choice of Hdoes the functor τ preserve H-semistability? If we only care about the existence of a birational involution

the question we just raised is irrelevant, since any pure sheaf of rank one supported on an irreducible curve is automatically stable with respect to any polarization. Hence τ always exists as a *birational map*, as long as conditions a) and b) are satisfied.

A second remark is that τ certainly preserves *D*-stability. For this it suffices to check that *j* preserves *D*-stability. In fact, on the one hand *j* establishes a bijection between pure dimension one subsheaves of j(F) and pure dimension one quotients of *F* and, on the other, it follows from (3.20) that, given any subsheaf *A* of j(F), the condition $\mu(A) \ge \mu(j(F))$ is equivalent to the condition $\mu(F) \le \mu(j(A))$. Thus we have a well defined involution

(3.22)
$$\begin{aligned} \tau: M_{v,D} &\longrightarrow M_{v,D} \\ [F] &\longmapsto [\tau(F)] \end{aligned} \qquad \qquad v = (0, [D], -h+1) \end{aligned}$$

The drawback of choosing D as the polarization is that D is not v-generic (cf. Example 3.5) and thus the moduli space $M_{v,D}$ is singular. This is the price we have to pay in order to have the involution be a regular morphism. If we only care about a birational involution any choice of H is admissible, in particular a v-generic one. In Subsection 3.5 we will discuss, in a more general setting, what happens when we vary the polarization H. We now come to the central definition of this section.

Definition 3.9. Let T be an Enriques surface and $f : S \to T$ its universal cover. Let C be a smooth curve on T of genus $g \ge 2$. Let $D = f^{-1}(C)$ so that g(D) = 2g - 1. Let v = (0, [D], -h + 1), with h = g(D). Let H be a polarization on S and let τ be the birational involution on $M_{v,H}$ defined by (3.21). The relative Prym variety $\operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C})$ is defined by:

(3.23)
$$\operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C}) = \overline{\operatorname{Fix}^{0}(\tau)} \subset \operatorname{Jac}_{H}^{0}(\mathcal{D}) = M_{v,H}.$$

By this we mean that we first look at the fixed locus of the restriction of τ to an open subset where τ is a regular morphism: then we consider the closure of the irreducible component of this locus containing the zero-section.

When H = D, and τ is regular, there is no need to take the closure. When no confusion is possible we will adopt the shorthand notation:

$$(3.24) P_{v,H} = \operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C}).$$

The cases in which $H \neq D$ and H = D are rather different in nature. In the first case, at least when v is primitive and H is v-generic, we are taking the closure, in a *smooth ambient* space, of a sublocus which is defined in a proper open subset and on whose adherence we have no control. In the second case we are taking the fixed locus of a *regular involution defined in a singular space* and, as we will see, this yields a singular space.

When H = D we have a good control on the singular locus of $P_{v,D}$. The dimension of this singular locus depends on whether the linear system |D| is hyperelliptic or not (cf. Appendix A for the relevant definitions).

Proposition 3.10. Let $P = P_{v,D}$ and let P_{sing} be its singular locus. If |D| is a hyperelliptic linear system then $\operatorname{codim}_P P_{sing} = 2$; if |D| is not hyperelliptic then $\operatorname{codim}_P P_{sing} \ge 4$.

Proof. We can stratify P_{sing} by locally closed subvarieties that are isomorphic to open subsets of products of symmetric power of lower dimensional relative Prym varieties. The maximal dimensional strata of P_{sing} are isomorphic to open subsets (or finite quotients of subsets) of relative Prym varieties of the form $P_{v_1,D} \times P_{v_2,D}$ corresponding to a decomposition $D = D_1 + D_2$. Set $h_1 = g(D_1), h_2 = g(D_2)$. Then $h = h_1 + h_2 + 2\nu - 1$, where $2\nu = D_1 \cdot D_2$. Hence

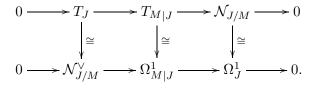
$$\dim(P_{v_1,D} \times P_{v_2,D}) = h_1 - 1 + h_2 - 1 = h - 1 - 2\nu.$$

Since by Corollary A.8 $\nu = 1$ if and only if |D| is hyperelliptic, the proposition follows. q.e.d.

The next proposition shows that the smooth locus of the relative Prym variety $P_{v,H}$ carries a natural symplectic structure.

Proposition 3.11. The birational involution (3.21) τ is symplectic.

Proof. By Lemma 3.6, to prove that τ is symplectic it is enough to prove that j is anti-symplectic. We argue as follows. Set $M = M_{v,H}$, let $D_0 \in |D|$ be a smooth curve, and set $J = \text{Jac}^0(D_0) = \pi^{-1}(D_0)$. Since π is a Lagrangian fibration, the isomorphism $T_M \cong \Omega^1_M$ induced by the symplectic form σ_M yields an isomorphism of short exact sequences,



In particular for a point $x \in J$ we have the isomorphism

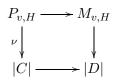
(3.25)
$$T_{D_0}|D| \cong \mathcal{N}_{J/M,x} \cong (T_x J)^{\vee}$$

Since the second isomorphism in (3.25) is given by σ_M , and since j^* acts as the identity on $T_{D_0}|D|$ and as -1 on T_xJ , we conclude that $j^*(\sigma_M) = -\sigma_M$. q.e.d.

206

Since τ respects the fibration $\pi: M_{v,H} \to |D|$, there is a commutative diagram

(3.26)



where, as usual, we identify |C| with $f^*|C| \subset |D|$.

We sum up the results in the following theorem.

Theorem 3.12. The relative Prym variety $P_{v,H}$ is a (2g - 2)dimensional projective variety whose smooth locus $P_{v,H}$ carries a holomorphic two form. This two form is symplectic on a dense open subset and with respect to this symplectic form the morphism

$$\nu: P_{v,H} \to |C|$$

has a natural structure of Lagrangian fibration.

Proof. The first statement follows directly from the proposition above. As for the second, notice that the map ν is a *Lagrangian fibration*, in the sense that it is such on the open locus of $P_{v,H}$ where the symplectic form is defined. The general fiber of this fibration is a principally polarized abelian variety. Indeed, given any smooth curve C in |C| we have:

$$\nu_N^{-1}(C) \cong \operatorname{Prym}(D/C), \qquad D = f^{-1}(C).$$
q.e.d.

We should point out that if H = D then the form is symplectic on the entire smooth locus of $P_{v,D}$ whereas this is not necessarily true if $H \neq D$ (cf. Example 9.7 of [44]).

In Sections 5 and 6 we will analyze the singularities of a relative Prym variety, and answer the natural question of whether they admit a symplectic resolution.

3.4. Prym varieties of singular curves. Looking at the Lagrangian fibrations (3.26), we now describe the fibers of ν over points of |C| corresponding to classes of (mildly) singular curves. As usual, for a curve $C \subset T$ in the linear system |D|, we set $D = f^{-1}(C)$. To fix notation, let $m : \widetilde{C} \to C$ and $n : \widetilde{D} \to D$ be the respective normalizations. Let $\widetilde{f} : \widetilde{D} \to \widetilde{C}$ be the induced double cover and let

$$\widetilde{\iota}:\widetilde{D}\to\widetilde{D}$$

be the corresponding involution. With this notation there is a commutative diagram



3.4.1. The irreducible (nodal) case. Here we consider the case where C and $D = f^{-1}(C)$ are irreducible nodal curves. Notice that in this case, the polarization is irrelevant. Let c_1, \ldots, c_{δ} be the nodes of C, and let $p_1, \iota(p_1), \ldots, p_{\delta}, \iota(p_{\delta}), i = 1, \ldots, \delta$, with $f(p_i) = c_i$, be the nodes of D. For $i = 1, \ldots, \delta$ set

$$\{x_i, y_i\} = n^{-1}(p_i), \text{ so that } \{\widetilde{\iota}x_i, \widetilde{\iota}y_i\} = n^{-1}(\iota p_i).$$

With this notation we have the following proposition.

Proposition 3.13. Let C and $D = f^{-1}(C)$ be irreducible nodal curves. Then $\operatorname{Fix}(\tau) \subset \operatorname{Jac}^0(D)$ has 4 connected components, each of which is isomorphic to a rank δ degeneration of an abelian variety.

Proof. To prove the proposition, we first consider the intersection

$$\operatorname{Fix}(\tau) \cap \operatorname{Jac}^0(D) = \ker(1+\iota)$$

and prove that it has four connected components. Then we prove that these components stay disconnected even after passing to their closure; the last statement of the proposition will be clear from the construction.

For the first step we argue as follows. Since D and C are irreducible and nodal there are natural short exact sequences,

$$0 \to \prod_{i=1}^{\delta} \mathbb{C}_{p_i}^* \times \mathbb{C}_{\iota p_i}^* \to \operatorname{Jac}^0(D) \xrightarrow{n^*} \operatorname{Jac}^0(\widetilde{D}) \to 0,$$
$$0 \to \prod_{i=1}^{\delta} \mathbb{C}_{c_i}^* \to \operatorname{Jac}^0(C) \xrightarrow{m^*} \operatorname{Jac}^0(\widetilde{C}) \to 0.$$

Consider the following commutative diagram with exact rows: (3.27)

Since $\prod_{i=1}^{\delta} \mathbb{C}^*$ is connected and since ker $(1 + \tilde{\iota}^*)$ has four connected components (recall that \tilde{D} and \tilde{C} are smooth), to prove that ker $(1 + \iota^*)$ has four connected components it is sufficient to prove that β is surjective, i.e., that γ is surjective. By Proposition 2.14 of [45], it follows that every ι^* -invariant sheaf on D is the pull-back via f^* of a sheaf on C. It follows that

$$(1 + \iota^*) \operatorname{Jac}^0(D) \subset f^* \operatorname{Jac}^0(C),$$

so that we can consider the following commutative diagram:

Here, the fact that the kernel of the surjection $f^* \operatorname{Jac}^0(C) \to \widetilde{f}^* \operatorname{Jac}^0(\widetilde{C})$ is equal to $\prod_i \mathbb{C}^*$ follows from the fact that the kernel of $\operatorname{Jac}^0(C) \to f^* \operatorname{Jac}^0(C)$ and of $\operatorname{Jac}^0(\widetilde{C}) \to \widetilde{f}^* \operatorname{Jac}^0(\widetilde{C})$ are both equal to $\mathbb{Z}/(2)$. Notice, however, that $\operatorname{Im} \gamma \cong \prod_{i=1}^{\delta} \mathbb{C}^*$, so that the series of inclusions

$$\prod_{i=1}^{\delta} \mathbb{C}^* \subset \ker(\alpha) \subset \prod_{i=1}^{\delta} \mathbb{C}^*$$

is in fact a series of equalities. Thus γ is surjective and, as a consequence, β too is surjective.

To finish the proof, we just need to show that when we take the closure of ker $(1 + \iota)$ in the compactified Jacobian $\overline{\operatorname{Jac}}^0(D)$, the number of connected components does not change. It is well known (cf. for example [**39**]) that, in order to compactify $\operatorname{Jac}^0(D)$, one first compactifies the $(\prod_{i=1}^{\delta} \mathbb{C}_{p_i}^* \times \mathbb{C}_{\iota p_i}^*)$ -bundle over $\operatorname{Jac}^0(D)$ to a $(\prod_{i=1}^{\delta} \mathbb{P}^1 \times \mathbb{P}^1) =$ $(\prod_{s \in \operatorname{Sing}(D)} \mathbb{P}_s^1)$ -bundle over $\operatorname{Jac}^0(D)$. In loc. cit. Oda and Seshadri show that this bundle is the normalization of $\overline{\operatorname{Jac}}^0(D)$. Let us denote this normalization by Z. In order to obtain $\overline{\operatorname{Jac}}^0(D)$ we identify the boundary components of Z in the following way: for any 2δ -uple

$$\Xi = \{\varepsilon_1, \varepsilon'_1, \dots, \varepsilon_{\delta}, \varepsilon'_{\delta}\}, \quad \text{with} \ \varepsilon_i, \varepsilon'_i \in \{1, -1\}, \ i = 1, \dots, \delta,$$

we consider the section

$$s_{\Xi} : \operatorname{Jac}^{0}(\widetilde{D}) \to Z,$$

defined by taking the 0-section of the *j*-th component of $\mathbb{P}_{p_1}^1 \times \mathbb{P}_{\iota p_1}^1 \times \cdots \times \mathbb{P}_{p_{\delta}}^1 \times \mathbb{P}_{\iota p_{\delta}}^1$ if the *j*-th component of Ξ is equal to 1, and taking the ∞ -section if it is equal to -1. The sections corresponding to Ξ and to

 $-\Xi$ (which are both identified to $\operatorname{Jac}^0(\widetilde{D})$) are then glued under a twist by the degree zero line bundle,

$$L_{\Xi} := \mathcal{O}_{\widetilde{D}}\left(\sum_{i} \varepsilon_{i}(x_{i} - y_{i}) + \sum_{i} \varepsilon_{i}' \widetilde{\iota}(x_{i} - y_{i})\right).$$

Now observe that the map ζ in diagram (3.27) sends $(\lambda_1, \ldots, \lambda_{\delta})$ to $(\lambda_1, \frac{1}{\lambda_1}, \ldots, \lambda_{\delta}, \frac{1}{\lambda_{\delta}})$. Sending the λ_i 's to zero, or infinity, it follows that the closure of ker $(1 + \iota^*)$ in the normalization of $\overline{\operatorname{Jac}}^0(D)$ has four connected components, each of which intersects the image of the section s_{Ξ} if and only if

$$\varepsilon_i = -\varepsilon'_i, \quad \text{for } i = 1, \dots, \delta.$$

In this case then, by (3.12), L_{Ξ} belongs to the identity component of $\ker(1+\tilde{\iota}^*)$. It follows that tensoring by L_{Ξ} preserves each connected component of $\ker(1+\tilde{\iota}^*) \subset \operatorname{Jac}^0(\tilde{D})$, and hence we may conclude that the fixed locus of τ on $\operatorname{Jac}^0(D)$ has four connected components. q.e.d.

We highlight the following corollary.

Corollary 3.14. Let C be an integral curve with one node and no other singularity, and let $D = f^{-1}(C)$ be the corresponding integral curve with two nodes. Then Prym(D/C) is a rank one degeneration of an abelian variety.

3.4.2. A reducible case. The following example shows that the situation when C and D are not irreducible is slightly different.

Let us consider the case where the curves $C = C_1 \cup C_2$ and $D = D_1 \cup D_2$ are the union of two smooth components intersecting transversally in δ (resp. 2δ) points. For simplicity we assume $\delta = 1$ so that $C_1 \cdot C_2 = 1$. Set

$$D_1 \cap D_2 = \{p, \iota p\},\$$

and let $\{p_1, \iota p_1\}$ and $\{p_2, \iota p_2\}$ be the pair $\{p, \iota p\}$ viewed on D_1 and D_2 respectively. We consider the case where the polarization is equal to D, so that $\overline{\text{Jac}}_D^0(D)$ is irreducible. Also, for i = 1, 2 let

$$\iota_i: D_i \to D_i$$

be the involution corresponding to the double cover $D_i \to C_i$, and let η_i be the line bundle defining the cover itself. In this case diagram (3.27)

becomes

and gives an exact sequence

$$0 \to \mathbb{C}^* \to \ker(1 + \iota^*) \xrightarrow{\beta} \ker(1 + \widetilde{\iota}^*) \to \ker(\alpha) \to 0,$$

so that to determine the number of connected components of ker $(1 + \iota^*) \subset \operatorname{Jac}^0(D)$ we need to compute ker (α) . Since in the case at hand, $\operatorname{Jac}^0(\widetilde{D}) \cong \operatorname{Jac}^0(D_1) \times \operatorname{Jac}^0(D_2)$, the fixed locus ker $(1 + \widetilde{\iota}^*)$ has 16 connected components. We claim that ker $(1 + \iota^*)$ has 8 connected components. By what we said, this is equivalent to showing that ker $(\alpha) \cong \mathbb{Z}/(2)$. To this end, consider the following diagram:

Suppose for a moment that ker(α) = (0); then α would be an isomorphism and α^{-1} would give a section of the non-trivial covering $f^* \operatorname{Jac}^0(C) \to \tilde{f}^* \operatorname{Jac}^0(\tilde{C})$. This, however, is absurd and hence

$$\ker(\alpha) = \ker(\rho) \cong \mathbb{Z}/(2),$$

and the claim is proved.

Finally we observe that the closure in $\overline{\operatorname{Jac}}_D^0(D)$ of ker $(1 + \iota^*)$ is the union of 4 connected components, each of which is the union of two irreducible components. What we will show is that the closure of every connected component of ker $(1 + \iota^*)$ intersects the closure of exactly one other connected component. Indeed, $\overline{\operatorname{Jac}}_D^0(D)$ is obtained from the \mathbb{C}^* -bundle over $\operatorname{Jac}^0(\widetilde{D}) = \operatorname{Jac}^0(D_1) \times \operatorname{Jac}^0(D_2)$ by first compactifying to a \mathbb{P}^1 -bundle and then gluing the 0 and ∞ sections (both identified with $\operatorname{Jac}^0(\widetilde{D})$), via the twist by line bundle $L := \mathcal{O}_{D_1}(p_1 - \iota p_1) \otimes \mathcal{O}_{D_2}(p_2 - \iota p_2)$. However, by (3.12), the class of $L_1 := \mathcal{O}_{D_1}(p_1 - \iota p_1)$ does not lie in the identity component of ker $(1 + \iota_1^*)$, even though it lies in Nm⁻¹(0) \subset Jac⁰(D_1). It follows that tensoring by L_1 preserves the two fibers Nm⁻¹(0) and Nm⁻¹(η_1) (cf. (3.11)), while interchanging the two components of each fiber. The analogous statement holds for $L_2 := \mathcal{O}_{D_2}(p_2 - \iota p_2)$. We may conclude that tensoring by $L^{\otimes 2}$ preserves every component of ker $(1 + \tilde{\iota}^*) = \ker(1 + \iota_1^*) \times \ker(1 + \iota_2^*)$. The action of tensoring by L thus divides these components in pairs, and within each pair the zero and infinity sections of the two components are identified.

A final remark can be made about the points at infinity of $\operatorname{Fix}(\tau)$. When the parameter λ of the \mathbb{C}^* -bundle ker $(1 + \iota^*)$ goes to zero or infinity the corresponding line bundle tends to a torsion free sheaf which is \mathcal{S} -equivalent to a polystable sheaf of the form $F_1 \oplus F_2$ where, for each i = 1, 2, the sheaf F_i is a stable sheaf supported on D_i .

The previous discussion can be repeated almost word for word in the case in which the two curves C_1 and C_2 meet transversally in $\delta \geq 1$ points. The main difference in the case $\delta \geq 2$ is that $\overline{\text{Jac}}_D^0(D)$ is not irreducible any more. However, one can see (cf. [44]) that among the $2\delta - 1$ components of $\text{Jac}_{H_D}^0(D)$ only one of them, the identity component, contains ker $(1 + \iota^*)$.

Proposition 3.15. Let $C = C_1 \cup C_2$ (resp. $f^{-1}(C) = D = D_1 \cup D_2$) be the union of two smooth components intersecting transversally in δ (resp. 2δ) points. Then $\operatorname{Fix}(\tau) \subset \operatorname{Jac}_D^0(D)$ has 4 connected maximal dimensional components, each consisting in two irreducible components meeting at the boundary of $\operatorname{Jac}_D^0(D)$. Moreover, each connected component of $\operatorname{Fix}(\tau)$ contains points of the type $[F_1 \oplus F_2]$ where for each i = 1, 2 the sheaf F_i is a stable sheaf supported on D_i .

3.5. Changing the involution and the polarization. As we observed after the definition (Def. 3.9) of relative Prym variety, the choice of the polarization H appears to lead to a dichotomy: either the ambient space $M_{v,H}$ is smooth and the involution τ is not regular, or τ is regular and the ambient space is smooth. In this section we will introduce a "twisted" version of the involution τ and prove that, also in this more general setting, the above dichotomy can not be reconciled.

Start with a Mukai vector

$$v = (0, [D], \chi) \in H^*(S, \mathbb{Z})$$

where χ is not necessarily equal to -g(D) + 1.

The first remark is that Lemma 3.7 implies that for any line bundle N on S, we have

$$\mathcal{E}xt^1_S(F,N) \cong \mathcal{H}om_{\Gamma}(F,N \otimes \mathcal{O}_{\Gamma}(\Gamma)).$$

We set

$$j_N(F) = \mathcal{E}xt_S^1(F, N).$$

We now proceed, step by step, exactly as in Subsection 3.3. First of all we notice that $j_N^2(F) \cong F$, then we set

With this notation we have $\tau = \tau_{-D}$. Again, by virtue of Lemma 3.8, we notice that, if conditions a), b), and c) of (3.17) are satisfied with τ replaced by τ_N , then the assignment $F \mapsto \tau_N(F)$ yields a well defined involution

(3.29)
$$\tau_N: M_{v,H} \longrightarrow M_{v,H},$$

Condition a) is satisfied if N is ι^* -invariant. For condition b) we demand

(3.30)
$$c_1(F) = c_1(j_N(F)) = c_1(\mathcal{E}xt_S^1(F,N)), \qquad \chi(F) = \chi(j_N(F)),$$

The first of these two conditions is always satisfied; as for the second, exactly as in (3.31), we have

(3.31)
$$p_{j_N(F)}(m,H) = -p_{F\otimes N^{\vee}}(-m,H),$$

and in particular for any pure sheaf of dimension one,

(3.32)
$$\chi(j_N(F)) = -\chi(F \otimes N^{\vee}) = -\chi(F) + N \cdot c_1(F).$$

When $c_1(F) = D$, then $\chi(j_N(F)) = \chi(F)$ if and only if

Let us take a closer look at the involution τ_N . As for the case of $\tau = \tau_{-D}$, even if condition c) of (3.17) is not satisfied we still have a rational map

$$\tau_N: M_{v,H} \dashrightarrow M_{v,H}.$$

Therefore, the natural question is whether there exists a pair (N, H) satisfying conditions a), b), and c) of (3.17) (making τ_N regular), and such that, moreover, H is v-generic, (making $M_{v,H}$ smooth). In this subsection we show that such a pair *does not exist*. It follows that if we choose H to be v-generic, it is not a priori clear whether the birational map τ_N extends to a regular morphism. In Section 5 we will show that τ_N does not extend when |C| is non-hyperelliptic. In Section 6 we will show that it does when |C| is hyperelliptic.

Proposition 3.16. Let T be an Enriques surface and $f: S \to T$ its universal cover and set $D = f^{-1}C$ for some irreducible curve $C \subset T$. Consider a non-zero integer χ such that $v = (0, [D], \chi)$ is primitive. Suppose that there exists a member $D_1 + D_2$ of $f^*|C| \subset |D|$ that is the union of two integral curves D_1 and D_2 intersecting transversally and whose classes are i^* -invariant. Let N be a line bundle on S, satisfying a) and b) of (3.17). If H is a v-generic polarization on S, then (N, H) does not satisfy c) of (3.17).

Before proving Proposition 3.16 we need to establish the following lemma.

Lemma 3.17. Consider a curve

 $D_1 + D_2$

in S that is the union of two integral curves D_1 and D_2 meeting transversally, and let F be a pure dimension one sheaf on such a curve. Let N be a line bundle on S. Referring to Notation 3.3, we have

$$(j_N(F))_j = j_N(F_j \otimes \mathcal{O}(-\Delta_F)), \ j = 1, 2,$$

where, as in Lemma 3.4, $\Delta_F \subset D_1 \cap D_2$ is the set of nodes where F is locally free.

Proof. Let $i \neq j$, and consider the short exact sequence

$$(3.34) 0 \to F^j \to F \to F_i \to 0.$$

From Lemma 3.4 it follows that

$$F^j \cong F_j \otimes \mathcal{O}(-\Delta_F).$$

Applying $j_N(\cdot)$, we get

$$0 \to j_N(F_i) \to j_N(F) \stackrel{a}{\to} j_N(F_j \otimes \mathcal{O}(-\Delta_F)) \to 0.$$

Moreover, since $j_N(F_j \otimes \mathcal{O}(-\Delta_F))$ is torsion free and supported on D_j , the morphism *a* factors through a surjective morphism

$$(j_N(F))_j \to j_N(F_j \otimes \mathcal{O}(-\Delta_F)).$$

However, this morphism is also injective because it is a generic isomorphism, and thus the lemma is proved. q.e.d.

Clearly, if F is locally free this lemma is just the consequence of the fact that restriction to a subcurve is a group homomorphism of the Picard groups.

Proof of Proposition 3.16. Let g_1 and g_2 be the genera of D_1 and D_2 respectively. Let F be a pure sheaf of rank one on D, and as usual set $F_i = F_{D_i}$, for i = 1, 2. Set

 $\chi = \chi(F), \quad \chi_i = \chi(F_i), \text{ for } i = 1, 2, \text{ and } q = \chi(Q),$

where Q is the cokernel of the natural injection $F \to F_1 \oplus F_2$. Then

$$\Delta_F := \operatorname{Supp}(Q),$$

is the locus of $D_1 \cap D_2$ where F is locally free. Furthermore, let H be a polarization, and set

 $k_1 = H \cdot D_1, \ k_2 = H \cdot D_2$ and $k = H \cdot D = k_1 + k_2.$

By Lemma 3.2, we know that F is H-stable if and only if

$$\begin{cases} \frac{\chi}{k} < \frac{\chi_1}{k_1}, \\ \frac{\chi}{k} < \frac{\chi_2}{k_2}. \end{cases}$$

Equivalently, since $\chi = \chi_1 + \chi_2 - q$, the sheaf F is H-stable if and only if

(3.35)
$$\frac{\chi}{k} < \frac{\chi_1}{k_1} < \frac{\chi}{k} + \frac{q}{k_1}.$$

Now, using Lemma 3.17 and formula (3.32), setting $n = D \cdot N$ and $n_i = D_i \cdot N$, for i = 1, 2, we see that $j_N(F)$ is *H*-stable if and only if

$$-\frac{\chi}{k} + \frac{n}{k} < -\frac{\chi_1}{k_1} + \frac{q}{k_1} + \frac{n_1}{k_1} < -\frac{\chi}{k} + \frac{n}{k} + \frac{q}{k_1}$$

Suppose now that τ_N satisfies condition b) in (3.17), i.e. that N is such that $2\chi(F) = N \cdot D$; then this last string of inequalities becomes

(3.36)
$$\frac{\chi}{k} < -\frac{\chi_1}{k_1} + \frac{q}{k_1} + \frac{n_1}{k_1} < \frac{\chi}{k} + \frac{q}{k_1}$$

On the other hand, if H is v-generic, then

$$m = \frac{\chi}{k}k_1$$

is not an integer. Indeed, if m is an integer, we can set $\chi_1 = m$, $\chi_2 = \chi - m + q$, and find two *H*-stable sheaves F_1 and F_2 supported on D_1 and D_2 respectively, with $\chi(F_1) = \chi_1$ and $\chi(F_2) = \chi_2$. But then the sheaf $F_1 \oplus F_2$ is an *H*-polystable sheaf with Mukai vector v.

Let a be the round down of m, so that we can write m = a + s with 0 < s < 1. Then (3.35) and (3.36) become respectively

$$a + 1 \le \chi_1 \le a + q$$
 and $a + 1 \le -\chi_1 + n_1 + q \le a + q$,

meaning that every χ_1 satisfying the first two inequalities must also satisfy the remaining two. We then get

$$n_1 = 2a + 1.$$

The proposition follows; note that since N is ι^* -invariant, $n_1 = N \cdot D_1$ has to be even. q.e.d.

A few remarks are in order. First of all, relaxing the requirement that H be v-generic, we can indeed find a pair (H, N) satisfying conditions a), b), and c) in (3.17). For example, if $\pm N$ is ample, then we can choose H = N. Second, we highlight a corollary of the proof of Proposition 3.16.

Corollary 3.18. Consider the set up and the notation of Proposition 3.16. Let H be a polarization on S and suppose that there exists a line bundle N on S satisfying conditions b) and c) in (3.17). Then there is

an *H*-polystable sheaf with Mukai vector v of the form $F_1 \oplus F_2$ where for i = 1, 2, $\text{Supp}(F_i) = D_i$ and F_i is *H*-stable.

Proof. Indeed, using the notation as in the proof of Proposition 3.16, one can see that if $D \cdot N = 2\chi$, and if $\chi k_1/k$ is an integer, then forcing (3.35) and (3.36) yields

(3.37)
$$\frac{D \cdot N}{D \cdot H} = \frac{n}{k} = \frac{n_i}{k_i} = \frac{D_i \cdot N}{D_i \cdot H}, \quad \text{for } i = 1, 2.$$

It follows that there exists an *H*-polystable sheaf $F = F_1 \oplus F_2$, as requested, by choosing $\chi(F_i) = \frac{\chi k_i}{k} = \frac{n_i}{2}$. q.e.d.

Notice that for the corollary to be true, we do not really need to require that j_N be a regular morphism, but only that it is regular in a neighborhood of $\pi^{-1}[D_1 + D_2]$.

Of course, one could give a definition of the relative Prym variety based on the involution τ_N , but a word of caution is in order. When $N \neq -D$, in general one can not assume that the image of the rational zero-section of $\operatorname{Jac}^0(|D|) \rightarrow |D|$ lies in the fixed locus of τ_N . As a consequence there is no privileged irreducible component of $\operatorname{Fix}(\tau_N)$. One could then content oneself in saying that any irreducible, maximal dimensional component of $\operatorname{Fix}(\tau_N)$ is a relative Prym variety. Also in this context one could prove that an open subset of the regular part of the relative Prym variety carries a symplectic structure, and also in this context one could prove that, when |D| is non-hyperelliptic, the relative Prym variety admits no symplectic resolution. Thus nothing much is to be gained in putting oneself in this more general context. For this reason and also to lighten the exposition, in what follows we will only consider the case N = -D.

4. Kuranishi families and tangent cones

Let T be an Enriques surface and $f: S \to T$ its universal covering. Let $D = f^{-1}(C)$ for some integral curve $C \subset T$ of genus $g \ge 2$. Consider the Mukai vector v = (0, [D], -h + 1), with h = g(D). Choose a polarization H such that:

There exists a point $[F] \in M_{v,H}$, with $F = F_1 \oplus F_2$, where F_1 and F_2 are two non-isomorphic H-stable sheaves (in particular H is not v-generic).

Polystable sheaves of this type exist (cf. Corollary 3.18) and correspond to the simplest singularities that can appear in $M_{v,H}$. Choose a divisor N satisfying conditions a) and b) in (3.17). In this section we will study the tangent cones to $P_{v,H}$ and to $M_{v,H}$ at singularities of the above type. To simplify we adopt the following notation:

(4.1)
$$M = M_{v,H}$$
, $\tau = \tau_N : M \dashrightarrow M$, $P = P_{v,H} = \operatorname{Fix}^0(\tau)$.

Given a point [F] belonging to M (to P), we denote by

$$\mathcal{M} \subset M$$
 (resp. $\mathcal{P} \subset P$)

a suitable analytic neighborhood of [F] in M (resp. in P). Furthemore, when $[F] \in P$, we will always assume that τ is defined in a neighborhood of [F]. The main example of this situation is the case H = D.

We next recall a few fundamental facts about Kuranishi families.

4.1. Kuranishi families. To begin with, let us look at any point $[F] \in M$, where F is a polystable sheaf. Let us consider a Kuranishi family for F parametrized by a pointed analytic scheme (B, b_0) :

(4.2)
$$\begin{array}{c} \mathcal{F} \\ & \downarrow^{\xi} \\ & S \times B \end{array} \qquad F = \mathcal{F}_{b_0} = \xi^{-1}(b_0).$$

Set

$$G = \mathbb{P}\operatorname{Aut}(F).$$

By the universal property of the Kuranishi family, the group G acts on (B, b_0) and an analytic neighborhood \mathcal{M} of [F] in M may be identified with the quotient of B by G:

$$B \not /\!/ G = \mathcal{M} \subset M_{v,H}.$$

Moreover, by Luna's slice étale theorem, the analytic space B is algebraic in the following sense. Let $Q^{ss} \subset Quot$ be the open subset parametrizing points $x = [\mathcal{O}_X(-kH)^{\oplus m} \to F]$ such that F is H-semistable and such that the induced map $H^0(\mathcal{O}_X^{\oplus m}) \to F(kH)$ on global sections is an isomorphism, and write

$$M = Q^{ss} / \mathbb{P}GL(m).$$

There is a point $x = [\mathcal{O}_X(-kH)^{\oplus m} \to F] \in Q^{ss}$ and a *G*-invariant subscheme $\mathcal{T} \subset Q^{ss}$ passing through x, such that $\mathcal{T} /\!\!/ G \to M$ is étale and B is an analytic neighborhood of x in \mathcal{T} . To study the tangent cones to B at b_0 and of M at [F], it is best to study the completions $\widehat{\mathcal{O}}_{B,b_0}$ and $\widehat{\mathcal{O}}_{M,[F]}$, as these two rings can be efficiently described in terms of the *Kuranishi map*. We follow the notation of [**19**] and of [**25**]. Let $\operatorname{Ext}^2(F,F)_0$ be the kernel of the trace map $\operatorname{Ext}^2(F,F) \to H^2(S,\mathcal{O}_S)$. The Kuranishi map is a formal map

$$\kappa : \operatorname{Ext}^1(F, F) \longrightarrow \operatorname{Ext}^2(F, F)_0$$

starting with a quadratic term: $\kappa = \kappa_2 + \kappa_3 + \cdots$. (For a beautiful and very explicit construction of the Kuranishi family, see the appendix in **[25]**).

The Kuranishi map has the following properties:

a) κ is equivariant under the natural action of G on $\text{Ext}^1(F, F)$ and on $\text{Ext}^2(F, F)_0$. b) $\kappa^{-1}(0)$ is (isomorphic to) a formal neighborhood of b_0 in B, while $\kappa^{-1}(0) /\!\!/ G$ is (isomorphic to) a formal neighborhood of $[F] \in M$.

c) The quadratic part κ_2 of κ , which is called the *moment map*, is given by the cup product

(4.3)
$$\kappa_2 : \operatorname{Ext}^1(F, F) \longrightarrow \operatorname{Ext}^2(F, F)_0$$
$$e \mapsto \kappa_2(e) = \frac{1}{2}e \cup e.$$

From now on we will set

$$Q=\kappa_2^{-1}(0).$$

Suppose now that the point [F] belongs to P. Since we are assuming that F is H-polystable, we have $F \cong \tau(F)$. The symplectic involution τ on M lifts (non-uniquely) to an automorphism on the parameter space B of the Kuranishi family (4.2). Indeed, given such a family and fixing an isomorphism

$$\phi: F \cong \tau(F),$$

we get a new family by applying τ to it:

$$\tau(\mathcal{F}) \qquad \varphi^{-1} : F \xrightarrow{\cong} \tau(F) = \tau(\mathcal{F})_{b_0},$$
$$\downarrow^{\tau(\xi)} \\ S \times B$$

and therefore, by universality, we obtain an automorphism

$$\tau_{\phi}: B \to B,$$

whose first order term is uniquely defined. This automorphism need not be an involution, but it is so at the infinitesimal level since

(4.4)
$$d\tau_{\phi} = d\tau = \tau_* : \operatorname{Ext}^1(F, F) \longrightarrow \operatorname{Ext}^1(F, F)$$

Sometimes, and when no confusion is possible, we will write τ instead of τ_* to indicate the homomorphism (4.4).

Remark 4.1. If *F* is a stable sheaf, the action of τ_* on $\operatorname{Ext}^2(F, F)$ is equal to -1. To see this it suffices to prove that $\tau_*(e \cup f) = -e \cup f$ for $e, f \in \operatorname{Ext}^1(F, F)$. Interpreting the cup product in terms of composition of short exact sequences, we see that $\tau_*(e \cup f) = \tau_*(f) \cup \tau_*(e)$. The fact that τ is symplectic tells us that: $\operatorname{tr}(e \cup f) = \operatorname{tr}(\tau_*(e) \cup \tau_*(f)) =$ $\operatorname{tr}(\tau_*(f \cup e))$. Since $\tau_*(f \cup e) = \lambda f \cup e, \lambda \in \mathbb{C}^*$, and $\operatorname{tr}(e \cup f) = -\operatorname{tr}(f \cup e)$, we get $\lambda = -1$.

4.2. Tangent cones. We now turn our attention to tangent cones. Let $C_{b_0}(B)$ and $C_{[F]}(M)$ denote the tangent cones to B at b_0 and to M at [F], respectively. Again we will assume that F is polystable. For simplicity write

$$C(B) = C_{b_0}(B), \qquad C(M) = C_{[F]}(M).$$

The description of C(B) and C(M) is particularly simple under the condition that the stable decomposition of F

$$(4.5) F = F_1 \oplus F_2$$

has only two non-isomorphic summands. Indeed this implies that

(4.6)
$$\dim \operatorname{Ext}^2(F, F)_0 = 1,$$

that $G = \mathbb{C}^*$, and that B, or better its formal neighborhood at 0, is given by a single equation $\kappa = 0$ and, by point c) above, we have

(4.7)
$$C(B) = Q = \kappa_2^{-1}(0) = \{e \in \operatorname{Ext}^1(F, F) \mid e \cup e = 0\}.$$

On the other hand, by point a), κ and κ_2 must be \mathbb{C}^* -invariant; hence

(4.8)
$$C(M) = Q /\!/ \mathbb{C}^* \subset \operatorname{Ext}^1(F, F) /\!/ \mathbb{C}^*.$$

We use the non-degenerate bilinear form

(4.9)
$$\mu : \operatorname{Ext}^{1}(F_{2}, F_{1}) \times \operatorname{Ext}^{1}(F_{1}, F_{2}) \longrightarrow \mathbb{C}$$
$$(f, f') \longmapsto \mu(f, f') = \operatorname{tr}(f \cup f')$$

to identify $\operatorname{Ext}^1(F_2, F_1)$ with $\operatorname{Ext}^1(F_1, F_2)^{\vee}$ and we write

(4.10)
$$U_1 := \operatorname{Ext}^1(F_1, F_1), \quad U_2 := \operatorname{Ext}^1(F_2, F_2), W := \operatorname{Ext}^1(F_1, F_2), \quad W^{\vee} = \operatorname{Ext}^1(F_2, F_1),$$

We identify $\operatorname{Ext}^2(F, F)_0$ with $\operatorname{Ext}^2(F_1, F_1)$, and $\operatorname{Ext}^2(F_1, F_1)$ with \mathbb{C} (via the trace). Up to the constant factor $\frac{1}{2}$, the moment map (4.3) is given by

(4.11)
$$\operatorname{Ext}^{1}(F,F) = U_{1} \oplus U_{2} \oplus W^{\vee} \oplus W \longrightarrow \operatorname{Ext}^{2}(F,F)_{0} = \mathbb{C}$$
$$e = (a,b,f,f') \longmapsto \kappa_{2}(e) = \mu(f,f').$$

Remark 4.2. Using the notation of [34], p. 520, we may also write the non-degenerate bilinear form (4.9) as a Nakajima's moment map

(4.12)
$$\mu : \operatorname{Hom}(W, \mathbb{C}) \oplus \operatorname{Hom}(\mathbb{C}, W) \longrightarrow \mathbb{C}$$
$$(i, j) \longmapsto \mu(i, j) = ij$$

based on the trivial quiver whose graph consists of a single vertex x and no edges, and the pair of vector spaces attached to x is the pair (V, W) with $V = \mathbb{C}$. We will freely pass from notation (4.9) to (4.12) and vice versa.

The action of \mathbb{C}^* on $\operatorname{Ext}^1(F, F)$ is given by

$$\lambda \cdot (a, b, f, f') = (a, b, f\lambda^{-1}, \lambda f')$$

or, in Nakajima's notation, $\lambda \cdot (i, j) = (i\lambda^{-1}, \lambda j)$. The natural map

$$\operatorname{Hom}(W, \mathbb{C}) \oplus \operatorname{Hom}(\mathbb{C}, W) \longrightarrow \operatorname{End}(W)$$

$$(i,j) \longmapsto ji$$

factors through the action of \mathbb{C}^* and exhibits the quotient of $\operatorname{Hom}(W, \mathbb{C}) \oplus$ $\operatorname{Hom}(\mathbb{C}, W)$ by \mathbb{C}^* as the set $\operatorname{End}_1(W)$ of endomorphisms of W of rank ≤ 1 . Thus,

(4.13)
$$\operatorname{Ext}^{1}(F,F)/\mathbb{C}^{*} \cong (U_{1} \oplus U_{2} \oplus \operatorname{Hom}(W,\mathbb{C}) \oplus \operatorname{Hom}(\mathbb{C},W))/\mathbb{C}^{*} \cong U_{1} \times U_{2} \times \operatorname{End}_{1}(W).$$

By choosing appropriate coordinates on $\operatorname{Ext}^1(F, F)$ and looking at \mathbb{C}^* invariant polynomial functions on this vector space, one may deduce that the equation $k_2 = 0$ of $Q/\mathbb{C}^* \subset \operatorname{Ext}^1(F, F)/\mathbb{C}^*$ is *linear* in the invariant coordinates and is of the form

(4.14)
$$A = 0$$
, where $A \in W \otimes W^{\vee} = \operatorname{End}(W)^{\vee}$.

In particular, Q/\mathbb{C}^* is irreducible and of the same dimension as C(M) so that

$$C(M) = Q/\mathbb{C}^* = U_1 \times U_2 \times \operatorname{End}_{1,A}(W)$$

where

(4.15)
$$\operatorname{End}_{1,A}(W) = \{a \in \operatorname{End}_1(W) \mid A(a) = 0\}.$$

Let us now examine the case in which the point [F], corresponding to the sheaf (4.5), lies in P, so that $F \cong \tau(F)$. Let D_1 and D_2 be the supports of F_1 and F_2 , respectively. From now on we proceed under the assumption that D_1 and D_2 are two ι -invariant integral curves meeting transversally. In particular,

(4.16)
$$\tau(F_i) \cong F_i, \qquad i = 1, 2$$

Under these hypotheses, we wish to describe the tangent cone $C(P) = C_{[F]}(P)$ to P at [F]. We have

(4.17)
$$C(P) = C(M^{\tau}) \subseteq C(M)^{\tau} \subseteq \operatorname{Ext}^{1}(F, F) / \mathbb{C}^{*})^{\tau}$$

where the action of τ on $(\text{Ext}^1(F, F)/\mathbb{C}^*)$ is the one induced by (4.4) and where X^{τ} stands for $\text{Fix}_{\tau}(X)$, the fixed locus of τ in X. We will momentarily see that (4.17) is in fact a series of equalities. Looking at (4.13) we have

(4.18)
$$(\operatorname{Ext}^{1}(F,F)/\mathbb{C}^{*})^{\tau} \cong U_{1}^{\tau} \times U_{2}^{\tau} \times \operatorname{End}_{1}(W)^{\tau}$$

Now

$$\operatorname{End}_1(W)^{\tau} = \{\phi \otimes \tau(\phi) \mid \phi \in W^{\vee}\} / \mathbb{C}^* \subset \operatorname{End}_1(W),$$

and this can be identified with the set $\operatorname{End}_1(W)^s$ of symmetric endomorphisms with respect to the non-degenerate bilinear form on W defined by

$$B(v,w) = \tau^{-1}(v)(w).$$

As is well known, $\operatorname{End}_1(W)^s$ is isomorphic to the affine cone over the degree two Veronese embedding

$$\mathbb{P}W \hookrightarrow \mathbb{P}S^2W.$$

To prove that (4.17) is a sequence of equalities it suffices to show that the rightmost term in (4.17) is irreducible of dimension equal to $g-1 = \dim P$. Since $\operatorname{End}_1(W)^s$ is irreducible we must only care about the dimensionality statement. Let $h_1 = 2g_1 - 1$ and $h_2 = 2g_2 - 1$ be the genera of D_1 and D_2 respectively. The stability of F_i , i = 1, 2, and their τ -invariance (4.16) tell us that $[F_1]$ and $[F_2]$ are smooth points of relative Prym varieties of dimensions $g_1 - 1$ and $g_2 - 1$ respectively (cf. Remark 4.6 below) so that dim $U_i = 2g_i - 2$ for i = 1, 2. It follows that

$$\dim(\operatorname{Ext}^{1}(F,F)/\mathbb{C}^{*})^{\tau} = 2g_{1} - 2 + 2g_{2} - 2 + \dim\operatorname{End}_{1}(W)^{s}$$
$$= h_{1} + h_{2} - 2 + \dim W.$$

We must then compute the dimension of $W = \text{Ext}^1(F_1, F_2)$. From the isomorphism

$$\mathcal{E}xt^1(F_1,F_2) \cong \bigoplus_{p \in D_1 \cap D_2} \underline{\mathbb{C}}_p$$

and from the local to global spectral sequence we get

(4.19)
$$\operatorname{Ext}^{1}(F_{1}, F_{2}) = H^{0}(S, \mathcal{E}xt^{1}(F_{1}, F_{2})) = \mathbb{C}^{D_{1} \cdot D_{2}}.$$

Hence

$$\dim(\operatorname{Ext}^{1}(F,F)/\mathbb{C}^{*})^{\tau} = h_{1} + h_{2} - 2 + D_{1} \cdot D_{2} = \frac{1}{2}D^{2} = h - 1 = \dim P.$$

Remark 4.3. In proving that $C(P) = (\text{Ext}^1(F, F)/\mathbb{C}^*)^{\tau}$ we implicitly proved that the quadratic part of the Kuranishi equation vanishes identically on C(P), i.e. that the form A given in (4.14) vanishes identically on $\text{End}_1(W)^s$. This follows directly from the fact that κ_2 is τ -equivariant and that τ acts as -1 on $\text{Ext}^2(F, F)$ (cf. Remark 4.1), so that

$$A(\phi \otimes \tau(\phi)) = \phi \cup \tau(\phi) = -\tau(\phi \cup \tau(\phi)) = -\phi \cup \tau(\phi) = 0.$$

We summarize the results obtained in this section in the following proposition.

Proposition 4.4. The assumptions and the notation being the ones introduced at the beginning of this section, let H be a polarization such that there exists an H-polystable sheaf $[F] \in M = M_{v,H}$ of the form $F = F_1 \oplus F_2$, with the F_1 and F_2 two non-isomorphic H-stable sheaves. For i = 1, 2, let D_i be the support of F_i . Assume that D_1 and D_2 are integral ι -invariant curves meeting transversely and let h_i be the genus of D_i , i = 1, 2. Set $W = \text{Ext}^1(F_1, F_2)$. Then:

a) The tangent cone $C_{[F]}(M)$ to M at [F] is isomorphic to $\mathbb{C}^{2(h_1+h_2)} \times \text{End}_{1,A}(W)$, where $\text{End}_{1,A}(W)$ is defined by (4.15).

b) Suppose that H is such that $\tau : M_{v,H} \dashrightarrow M_{v,H}$ is biregular in a neighborhood of [F] (e.g., if H = D). If $[F] \in P = P_{v,H}$, then the tangent cone $C_{[F]}(P)$ to P at [F] is isomorphic to $\mathbb{C}^{h_1+h_2-2} \times \operatorname{End}_1^s(W)$, where $\operatorname{End}_1^s(W)$ denotes the set of symmetric (w.r.t. a suitable bilinear form) endomorphisms of W of rank ≤ 1 .

Remark 4.5. We could drop the integrality assumption of D_1 and D_2 and just require that they have no common components. In fact one can check that also in this case (4.19) holds, which is all that matters in the proof of the proposition above.

Remark 4.6. Keeping the notation of Proposition 4.4, set $\chi_i = \chi(F_i)$, $v_i = (0, [D_i], \chi_i)$, $M_i = M_{v_i,H}(S)$, $C_i = D_i/\iota$, and $P_i = P_{v_i,H}(|D_i|/|C_i|)$, i = 1, 2. By hypothesis $[F_i]$ is a smooth point for both M_i and P_i , i = 1, 2 so that [F] is a smooth point in both $M_1 \times M_2$ and $P_1 \times P_2$. Thus we can write the above description of tangent cones in a more intrinsic way:

(4.20)
$$C_{[F]}(M) \cong T_{[F]}(M_1 \times M_2) \times \operatorname{End}_{1,A}(W),$$
$$C_{[F]}(P) \cong T_{[F]}(P_1 \times P_2) \times \operatorname{End}_1^s(W).$$

5. Analysis of singularities and the non-hyperelliptic case

For the next proposition we keep the notation and the hypotheses introduced at the beginning of Section 4. Here we look more closely at the singular points of M and P, whose tangent cones were described in Proposition 4.4. The first result we prove is the following.

Proposition 5.1. Let $[F] \in M$ be a polystable sheaf as in the statement of Proposition 4.4. Suppose that $D_1 \cdot D_2 \geq 3$. If the polystable sheaf [F] lies in P, then, locally around [F], the relative Prym variety P is isomorphic to its tangent cone $C_{[F]}(P)$.

Proof. First of all, recall from formula (4.19) that dim $W = D_1 \cdot D_2$.

Let Z denote the irreducible component of the singular locus of M containing [F]. Then a neighborhood \mathcal{Z} of $[F_1 \oplus F_2]$ in Z is isomorphic to $\mathcal{M}_1 \times \mathcal{M}_2$ where, for $i = 1, 2, \ \mathcal{M}_i \subset \mathcal{M}_i = \mathcal{M}_{v_i,H}$ is a suitable analytic neighborhood of $[F_i]$ and $v_i = v(F_i)$. As in Proposition 4.2 in [25], one can show that the Kuranishi map vanishes identically on $\operatorname{Ext}^1(F_1, F_1) \oplus \operatorname{Ext}^1(F_2, F_2)$ so that under the identification $(\mathcal{M}, [F]) \cong (\kappa^{-1}(0)//G, 0)$, the pointed space $(\mathcal{Z}, [F])$ is identified with $(\operatorname{Ext}^1(F_1, F_1) \oplus \operatorname{Ext}^1(F_2, F_2), 0)$. Consider the natural projection

$$\operatorname{Ext}^{1}(F,F) \to \operatorname{Ext}^{1}(F_{1},F_{1}) \oplus \operatorname{Ext}^{1}(F_{2},F_{2}).$$

Since this projection is G-equivariant, and the action of G on $k^{-1}(0)$ is induced by the linear action on $\text{Ext}^1(F, F)$, the restriction

$$\kappa^{-1}(0) \to \operatorname{Ext}^{1}(F_{1}, F_{1}) \oplus \operatorname{Ext}^{1}(F_{2}, F_{2})$$

is also G-equivariant. It follows that there is an induced morphism (recall that the G-action on the pure part of $\operatorname{Ext}^1(F, F)$ is trivial),

 $p: (\mathcal{M}, [F]) \to (\mathcal{Z}, [F]) \cong (\operatorname{Ext}^1(F_1, F_1) \oplus \operatorname{Ext}^1(F_2, F_2), 0).$

The inclusion $(\mathcal{Z}, [F]) \subset (\mathcal{M}, [F])$ defines a section of p, and that the fiber of p over [F] is identified with $(\kappa^{-1}(0) \cap \operatorname{Ext}^{1}(F_{1}, F_{2}) \oplus \operatorname{Ext}^{1}(F_{1}, F_{2}))//G$.

Now let Σ denote the irreducible component of the singular locus of P containing [F]. Then locally around [F], Σ is isomorphic to $\mathcal{P}_1 \times \mathcal{P}_2 \subset \mathcal{M}_1 \times \mathcal{M}_2$ where, for $i = 1, 2, \mathcal{P}_i$ is a suitable neighborhood of $[F_i]$ in the relative Prym variety $P_i \subset M_i$. From Remark 4.6 we deduce that the tangent cone of P in [F] is locally isomorphic to

(5.1)
$$\mathcal{P}_1 \times \mathcal{P}_2 \times \operatorname{End}_1^s(W).$$

The projection $\mathcal{M} \to \mathcal{M}_1 \times \mathcal{M}_2$ induces a morphism $q: \mathcal{P} \to \mathcal{M}_1 \times \mathcal{M}_2$, and since the morphism induced by p at the level of tangent cones is equivariant with respect to the induced action of τ , the image of q in $\mathcal{M}_1 \times \mathcal{M}_2$ has the same dimension as, and therefore is equal to, $\mathcal{P}_1 \times \mathcal{P}_2$. Thus there is a morphism $q: \mathcal{P} \to \mathcal{P}_1 \times \mathcal{P}_2$. At the level of tangent cones, q is just the projection onto the first two factors in (5.1). In particular, the fibration is flat and is thus a deformation of the central fiber $q^{-1}(0)$. Since the tangent cone to $q^{-1}(0)$ is isomorphic to $\operatorname{End}_1^s(W)$, we can apply the theorem of Grauert (cf. [13] and [8], Theorem 4.4) and conclude that $q^{-1}(0) \cong \operatorname{End}_1^s(W)$. Moreover, since $\operatorname{End}_1^s(W)$ is isomorphic to the cone over the degree two Veronese embedding of $\mathbb{P}W$, it is rigid as soon as dim $W \geq 3$. It follows, as in the previous case, that locally around [F],

$$\mathcal{P} \cong \mathcal{P}_1 \times \mathcal{P}_2 \times \operatorname{End}_1^s(W).$$

The main goal of this section is to prove that when |D| is not a hyperelliptic system the relative Prym variety does not admit a symplectic resolution.

Theorem 5.2. Let T be a general Enriques surface and $f: S \to T$ its universal covering. Let |C| be a non-hyperelliptic linear system of genus g on T. Set $D = f^{-1}(C)$ and v = (0, [D], -h+1), h = 2g + 1 = g(D). The singular variety $P_{v,H} = \operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C})$ defined in Section 3 does not admit a symplectic resolution.

Proof. Using Corollary A.8, we can find a curve in |D| which is the union of two smooth ι -invariant curves D_1 and D_2 meeting transversally in 2ν points. Recall that, from our assumption on C and from the same corollary, it follows that $\nu \geq 2$. We first examine the case H = D. By Corollary 3.18, we can find a D-polystable sheaf

(5.2)
$$F = F_1 \oplus F_2,$$

with $\operatorname{Supp}(F_i) = D_i$ for i = 1, 2. Notice that we can choose F_1 and F_2 to be τ -invariant. We claim that we can moreover choose them so that [F] belongs to the identity component $P_{v,D} = \operatorname{Fix}^0(\tau)$. Indeed, by

Proposition 3.15 *every* maximal dimension component of $Fix(\tau)$ contains a polystable sheaf as in (5.2).

We claim that the singularity of P at [F] is \mathbb{Q} -factorial and terminal (but not smooth). This proves that P does not admit any symplectic resolution.

By Proposition 5.1 above it follows that locally around [F], the relative Prym variety $P_{v,D}$ is isomorphic to the product of an affine space times the space of symmetric endomorphisms of $W = \text{Ext}^1(F_2, F_1)$ of rank ≤ 1 . Moreover,

dim
$$W = 2\nu$$
, with $\nu \ge 2$.

To prove the theorem, it is thus sufficient to prove the claim for $\operatorname{End}_1^s(W)$, in the case when dim $W \ge 4$. The fact that $\operatorname{End}_1^s(W)$ is Q-factorial follows from the fact that it is isomorphic to the quotient of W by $\mathbb{Z}/2\mathbb{Z}$ acting by multiplication by -1. As for the statement on the type of singularity, what we need is contained in Example 1.5 (ii) of [43]. Following the notation of Reid, we have

$$n = 2\nu$$
, and $k = 2$,

so that $b = \nu$ and a = 1. Also notice that the blow up $\operatorname{End}_1^s(W)$ of $\operatorname{End}_1^s(W)$ at the origin is smooth. From Reid's computation, it follows that the discrepancy of the exceptional divisor of the blow up is $\nu - 1$. As $\operatorname{End}_1^s(W)$ is Q-factorial, any resolution factors via the blow up and therefore its discrepancy is bounded from below by the discrepancy of the blow up. In conclusion, the singularity is terminal if $\nu > 1$. Moreover, when $\nu = 1$ the singularity is canonical but not terminal.

By a theorem of Flenner [11], which we can use since by Proposition 3.10 below the codimension of the singular locus of $P_{v,D}$ is greater than or equal to two, we know that the symplectic form extends to a holomorphic form on any smooth resolution of $P_{v,D}$. However, from what we proved above we also know that the top exterior power of this holomorphic form vanishes along some exceptional divisors in any resolution. We may conclude that no resolution of $P_{v,D}$ admits a non-degenerate symplectic form.

We now consider the case of an arbitrary polarization H. This case can be reduced to the case H = D, by claiming that there always exists a point $[F'] \in P_{v,H}$ and a birational morphism $\sigma : P_{v,H} \dashrightarrow P_{v,D}$ which is defined in a neighborhood of [F'] and such that $\sigma([F']) = [F]$. To prove the claim we consider the wall and chamber decomposition of $\operatorname{Amp}(S)$ determined by v. Let $\{U_{\alpha}\}_{\alpha \in A}$ be the set of open chambers whose closure contains D, and let U be the interior of $\bigcup_{\alpha \in A} \overline{U}_{\alpha}$. For every H' belonging to U there is a regular map $\sigma : P_{v,H'} \to P_{v,D}$ (typically one expects, for this map, a positive dimensional fiber over the point [F]). Suppose H does not belong to U. For each $\alpha \in A$ we choose a point $H_{\alpha} \in U_{\alpha} \subset U$ and a point $[F_{\alpha}] \in P_{v,H_{\alpha}}$ mapping to [F] under a rational map $\sigma_{\alpha}: P_{v,H_{\alpha}} \to P_{v,D}$. We may then choose a path from H to H_{α} which only crosses walls not passing through [F]. Each of these crossings correspond to a birational map which is defined in a neighborhood of $[F_{\alpha}]$. q.e.d.

Notice that from the proof of Theorem 5.2, it follows that if $\nu = 1$, then the singularity is canonical and moreover the blow up of the singularity is a (local) crepant resolution. In particular, the pullback of the symplectic form is non-degenerate along that divisor. This case will be studied in the next section.

Remark 5.3. It was proved by Kaledin [17] and by Namikawa [36] that if $w : X \to Y$ is a birational projective morphism from a smooth projective symplectic *n*-fold X to a normal variety Y, then w is a semi-small map, i.e. if Y_i denotes the set of points $y \in Y$ such that $\dim w^{-1}(y) = i$, then $\dim Y_i \leq n - 2i$. This, in particular, implies that if Y is Q-factorial then $\operatorname{codim} \operatorname{Sing}(Y) \leq 2$. From this observation it follows that if we knew by other means that P (notation as above) were normal and Q-factorial at a general singular point, then we could conclude that P has no symplectic resolution.

6. The hyperelliptic case

In this section, we will prove that the degree zero relative Prym variety of a hyperelliptic linear system is birational to a hyperkähler manifold, and we will highlight the cases in which it is a smooth compact hyperkähler manifold and the ones in which it admits a symplectic resolution. The hyperkähler manifolds arising from degree zero relative Prym varieties are all of $K3^{[n]}$ type. As in the preceding sections we will use the following notation (see (3.24)):

$$M = M_{v,H}, \qquad \tau : M \dashrightarrow M, \qquad P = P_{v,H}$$

where v = (0, [D], -h + 1), and where now |D| is a hyperelliptic linear system which is the pull-back, via $f : S \to T$, of a hyperelliptic linear system $|C| = |ne_1 + e_2|$ on T (see Appendix A for the relevant definitions and notation).

The first result we want to prove is that, in the hyperelliptic case, the Prym involution τ on M comes from a bona fide involution on S.

Proposition 6.1. Let $f : S \to T$ and $\iota : S \to S$ be as in (2.1) and (2.2). Let $|C| = |ne_1 + e_2|$ be a hyperelliptic linear system on T, and let $|D| = |f^*(C)|$. Set v = (0, [D], -h + 1), h = g(D). Then there exists a symplectic involution

$$k: S \to S,$$

such that, for any ι^* -invariant polarization H, the birational involution

$$\tau: M \dashrightarrow M$$

defined in Section 3 concides with the birational involution

$$k^*: M \dashrightarrow M$$
$$F \mapsto k^* F.$$

The proof of this proposition consists in defining the involution k. In Appendix A we recall the notation and some basic results regarding the geometry of hyperelliptic linear systems on Enriques and K3 surfaces. Let us then consider a hyperelliptic linear system

$$|C| := |ne_1 + e_2|,$$

where e_1 and e_2 are two primitive elliptic curves such that $e_1 \cdot e_2 = 1$. The linear system has two simple base points (Proposition 4.5.1 of [9]) and defines a degree two map of T onto a degree n-1 surface in \mathbb{P}^n . The two base points are

(6.1)
$$e_1 \cap e'_2$$
, and $e'_1 \cap e_2$, if *n* is odd,

or

(6.2)
$$e_1 \cap e_2$$
, and $e'_1 \cap e'_2$, if *n* is even.

Following the notation in Appendix A, we have

$$E_i = f^{-1}(e_i)$$
, and $E'_i = f^{-1}(e'_i)$, $i = 1, 2$.

Set h = 2n + 1. The genus h linear system $|D| = |nE_1 + E_2|$ is also hyperelliptic, with the g_2^1 cut out by the elliptic pencil $|E_1|$. As in (A.1) consider the morphism

$$\varphi = \varphi_D : S \to R \subset \mathbb{P}^h$$

attached to the linear system |D|. It is a degree two morphism onto a rational normal scroll of degree h - 1. Let us denote by

$$\ell:S\to S$$

the anti-symplectic involution defined by φ . Notice that any curve in |D| is ℓ -invariant, and that ℓ induces the hyperelliptic involution on every smooth member of the linear system. In particular, we notice the well known fact that if D is smooth, ℓ^* acts as -id on $\operatorname{Pic}^0(D)$.

Finally, observe that the sub-linear system

$$(6.3) W = f^*|C| \subset |D|$$

has 4 simple base points that are the inverse image of (6.1) or of (6.2). By the same reasoning, the sub-linear system $W' = f^*|C'| \subset |D|$ has 4 simple base points that are the inverse image of (6.2) or of (6.1) respectively. Denote by $\{w_1, \ldots, w_4\}$ the base points of W and by $\{w'_1, \ldots, w'_4\}$ the base points of W'.

226

Lemma 6.2. The two involutions ℓ and ι commute and their composition

$$(6.4) k = \iota \circ \ell$$

is a symplectic involution with eight fixed points:

(6.5) $\{w_1, \ldots, w_4, w'_1, \ldots, w'_4\}.$

Proof. It is sufficient to prove that for any smooth ι -invariant curve Γ in |D|, the identity $k_{|\Gamma}^2 = id_{\Gamma}$ holds. But this is clear, since $\ell^* = -id$ on $\operatorname{Pic}^0(\Gamma)$ and hence $(k^2)^*$ is the identity on $\operatorname{Pic}^0(\Gamma)$.

Since k is the composition of two anti-symplectic involutions, it is symplectic. As such, k has eight fixed points which we readily describe. For simplicity, let us focus our attention on the two points $\{p,q\} = E'_1 \cap E_2$. By construction, we know that $\iota(p) = q$. Notice, however, that we can choose a smooth curve D in the linear system $|nE_1 + E_2|$ passing through those two points so that $D \cap E_1 = \{p,q\}$. Since $|E_1|$ induces the g_2^1 on D, it follows that $\ell(p) = q$ and thus

$$k(p) = p$$
 and $k(q) = q$.

We can now argue in the same way for the remaining points of (6.5). q.e.d.

This lemma, together the fact that $\ell^* = -1$ on $\operatorname{Pic}^0(D)$ for a smooth curve D, shows that $\tau = k^*$ on a dense open subset of M, thus proving Proposition 6.1.

Remark 6.3. If we choose H = D, so that τ is a morphism, then so is k^* . In fact, in this case, the linear system |D| is k^* -invariant, and hence pulling back via k respects D-stability.

Recall from Section 3, Proposition 3.16, that it is not possible to choose H such that $M = M_{v,H}$ is smooth and the assignment $F \mapsto \mathcal{E}xt^1(F, \mathcal{O}(-D))$ respects stability. It is therefore natural to ask whether there are choices of H such that $M_{v,H}(S)$ is smooth and at the same time k^* is a regular morphism. Equivalently, we ask whether there exists a k^* -invariant ample class which is also v-generic.

To describe the v-generic polarizations, we need to identify the walls.

Lemma 6.4. The equations defining the walls relative to a primitive Mukai vector $v = (0, D, \chi)$, with $D = nE_1 + E_2$, are of the form

(6.6)
$$\frac{sE_1 \cdot x + \epsilon E_2 \cdot x}{nE_1 \cdot x + E_2 \cdot x} \ \chi = m,$$

with m ranging in a finite sets of integers, $\epsilon \in \{0, 1\}$ and $s = 0, \ldots, n-1$.

Proof. Since by assumption D is primitive in NS(S), and since $\chi \neq 0$, the finiteness of the number of walls is proved in Section 1.4 of Yoshioka's paper [**52**]. Each subcurve of |D| belongs to a linear subsystem of type

 $|sE_1 + \epsilon E_2|$, with s ranging from 0 to n, and $\epsilon \in \{0, 1\}$. Up to passing to a residual series, we may assume that $\epsilon = 1$. Clearly, equations (6.6) are satisfied by strictly semistable sheaves F with $[c_1(F)] = [sE_1 + E_2]$ and $\chi(F) = m$. Notice, however, that the above equations are also sufficient for the existence of strictly semistable sheaves. Indeed, consider a smooth curve $\Gamma \in |sE_1 + E_2|$ and a smooth curve $\overline{\Gamma} \in |E_1|$. If equation (6.6) holds for some ample class H = x and some integer m, then we can choose a torsion free H-stable sheaf F_{Γ} on Γ with Euler characteristic equal to m, and an H-semistable sheaf $F_{\overline{\Gamma}}$ of rank (n - s)on $\overline{\Gamma}$ such that $\chi(F_{\overline{\Gamma}}) = \chi - m$. The sheaf $F = F_{\Gamma} \oplus F_{\overline{\Gamma}}$ is then strictly H-semistable. Since the above are all the possible subcurves of |D|, there are no other walls. q.e.d.

We now turn to the question regarding the k^* -invariance of the polarization. With this in mind, we describe the action of k^* on NS(S). Notice that since the involutions ι , ℓ , and k commute, the induced actions on the Néron-Severi group are compatible. For a lattice Λ and an involution ϵ acting on Λ , we denote by Λ^{ε} and by $\Lambda^{-\varepsilon}$ the invariant and anti-invariant sub-lattices, respectively. Note that Λ^{ε} and $\Lambda^{-\varepsilon}$ are primitive in Λ .

Recall that $NS(T) \cong U \oplus E_8(-1)$ and that $f^* NS(T)$ is a primitive 10dimensional sub-lattice of $H^2(S, \mathbb{Z})$. By Proposition A.5, it follows that $NS(R) \cong U$ and that $\varphi^* NS(R) = \langle E_1, E_2 \rangle$ is also primitive in $H^2(S, \mathbb{Z})$. For simplicity we will indicate by NS(T) and by NS(R) their respective pull-backs in NS(S).

Lemma 6.5. $\operatorname{NS}(S)^{k^*} = \langle E_1, E_2 \rangle \oplus (\operatorname{NS}(T)^{\perp})^{k^*}$, so that if T is a general Enriques surface then $\operatorname{NS}(S)^{k^*} = \langle E_1, E_2 \rangle$.

Proof. Since $NS(S)^{\ell^*} = NS(R) = \langle E_1, E_2 \rangle \subset NS(T)$, we have $\langle E_1, E_2 \rangle = NS(T)^{\ell^*} \cong NS(S)^{\ell^*}$. Moreover, by [38], [30], [51],

$$H^2(S,\mathbb{Z})^{k^*} \cong U^{\oplus 3} \oplus E_8(-2)$$
 and $H^2(S,\mathbb{Z})^{-k^*} \cong E_8(-2)$.

Since k^* preserves the (2,0) part of the Hodge decomposition, we have

$$H^2(S,\mathbb{Z})^{-k^*} \subset H^{1,1}(S) \cap H^2(S,\mathbb{Z}) = \mathrm{NS}(S)$$

In particular, since $\langle E_1, E_2 \rangle = \mathrm{NS}(T)^{\ell^*} = \mathrm{NS}(T)^{k^*}$, we have $\mathrm{NS}(S)^{k^*} = \langle E_1, E_2 \rangle \oplus (\mathrm{NS}(T)^{\perp})^{k^*}$.

It follows that if the K3 surface satisfies NS(S) = NS(T), then $NS(S)^{k^*}$ is spanned by the classes of E_1 and E_2 . This certainly happens if T is general (but if the Picard number of S is strictly greater than 10, then there may be k^* invariant classes that do not come from T).

Corollary 6.6. Let T be an Enriques surface. Let $\mathcal{W}_v \subset \operatorname{Amp}(S)$ be the union of all the walls of $v = (0, D, \chi)$. Then

$$\operatorname{Amp}(S)\cap \operatorname{NS}(S)^{k^*}\setminus \mathcal{W}_v\cap \operatorname{NS}(S)^{k^*}$$

is non-empty.

Proof. Since there are finitely many walls, it is sufficient to check that none of the equations (6.6) vanish identically on $NS(S)^{k^*} = \langle E_1, E_2 \rangle$. To this aim, consider $H \in Amp(S) \cap \langle E_1, E_2 \rangle$. Then $H = aE_1 + bE_2$ for some positive integers a and b, so that the restrictions of the equations to $Amp(S) \cap NS(R)$ are

(6.7)
$$\frac{bk + \epsilon a}{bn + a}\chi = m,$$

and these are not identically zero.

Proposition 6.7. Keeping the notation of Theorem 6.1, we can always find an ample divisor H which is k^* -invariant and v-generic. In other words, there exists a polarization H such that $M_{v,H}$ is smooth and such that

$$k^*: M_{v,H} \longrightarrow M_{v,H}$$

is a regular involution. In particular, if $H \in NS(R) \setminus W_v \cap NS(R)$, the a priori birational involution $\tau : M_{v,H} \dashrightarrow M_{v,H}$ extends to a regular morphism and the relative Prym variety $\operatorname{Prym}_{v,H}(\mathcal{D}/\mathcal{C})$ is smooth and symplectic.

Proof. It is sufficient to consider $H \in \operatorname{Amp}(S) \cap \operatorname{NS}(S)^{k^*} \setminus \mathcal{W}_v \cap \operatorname{NS}(S)^{k^*}$ which is non-empty by the above corollary. q.e.d.

Since changing the polarization H does not change the birational class of the relative Prym variety and the above proposition ensures the existence of smooth Prym varieties, we can sum up the results we obtained so far in the following:

Theorem 6.8. Let T be an Enriques surface and $f : S \to T$ the universal covering. Let $|C| = |ne_1 + e_2|$ be a hyperelliptic linear system on T and let $|D| = |f^*(C)|$. Set v = (0, [D], -h + 1), h = g(D). For any polarization H on S, the relative Prym variety $P_{v,H} = \overline{\text{Fix}^0(\tau)} = \overline{\text{Fix}^0(k^*)}$ is birational to a projective hyperkähler manifold.

Corollary 6.9. The singular symplectic variety $P_{v,D}$ admits a symplectic resolution.

Proof. First, recall that D is not v-generic. In fact, since the genus h of D is odd, $\chi = -h + 1$ is even, and hence D lies on the wall with equation

$$\frac{E_2 \cdot x}{nE_1 \cdot x + E_2 \cdot x} \ \chi = 2.$$

It is possible to choose an ample H as in Proposition 6.7 and such that, moreover, it lies in a v-chamber adjacent to the wall (or the intersection

q.e.d.

of walls) where D lies. Under these assumptions, there is a natural projective birational morphism

$$\varepsilon: M_{v,H} \to M_{v,D},$$

which is a resolution of the singularities of $M_{v,D}$. Since ε commutes with both $k^*: M_{v,H} \to M_{v,H}$ and $\tau: M_{v,D} \to M_{v,D}$, there is an induced proper morphism

$$\varepsilon: P_{v,H} \to P_{v,D},$$

which is still birational. Since $P_{v,H}$ is smooth and symplectic, it is a symplectic resolution of the singularities of $P_{v,D}$. q.e.d.

Now, the natural question is to determine the deformation class of these smooth relative Pryms. As one can expect they are birational, and thus by what Huybrechts proves in [15] deformation equivalent, to some moduli spaces of sheaves on the minimal resolution of the quotient of S by k.

To fix notation, let

$$\rho: S \to \overline{S} := S/\langle k \rangle$$

be the quotient morphism. Then \overline{S} is a singular K3 surface with 8 rational double points and we let

$$\eta:\widehat{S}\to\overline{S}$$

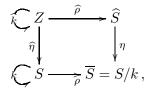
be its minimal resolution. It is well known that \widehat{S} is a K3. Observe that a divisor in |D| is k-invariant if and only if it is ι -invariant. In particular, any D in W is k-invariant so that if we set $\overline{D} = D/k$ there is an obvious bijection $W \cong |\overline{D}|$. The general curve D in W does not contain the points $\{w'_1, \ldots, w'_4\}$ so that for $D \in W$, the double cover $D \to \overline{D}$ ramifies only in $\{w_1, \ldots, w_4\}$ and

$$g(\overline{D}) = \frac{h-1}{2} = g - 1.$$

Moreover, if $D \in W$ is smooth, then so is \overline{D} . In this case, the proper transform

$$\widehat{D} := \eta_*^{-1}(\overline{D}) \subset \widehat{S}$$

is also smooth and isomorphic to \overline{D} . Consider now the following commutative diagram:



where $Z \to S$ is the blow up of the surface at the 8 fixed points of the involution, and $Z \to \hat{S}$ is the double cover ramified along the eight exceptional curves of η . We denote by

$$\widehat{k}:Z\to Z$$

the lift of k to Z so that \hat{k} is an involution on Z whose fixed locus is the union of the exceptional divisors. Let R_1, \ldots, R_4 be the exceptional divisors mapping to $\rho(w_1), \ldots, \rho(w_4)$, and R'_1, \ldots, R'_4 the exceptional divisors mapping to $\rho(w'_1), \ldots, \rho(w'_4)$. Since the general curve in |D|does not pass through w'_1, \ldots, w'_4 and is smooth,

$$(6.8) \qquad \qquad \widehat{D} \cdot R_i = 1$$

and $\widehat{D} \cdot R'_i = 0.$

The general curve Γ in $\hat{\rho}^* |\hat{D}|$ is a smooth double cover of a curve in $|\hat{D}|$, and, via $\hat{\eta}$, maps isomorphically to its image in S. Indeed, $\hat{\eta}$ induces an isomorphism

(6.9)
$$|\Gamma| \supset \widehat{\rho}^* |D| \cong W \subset |D|.$$

Theorem 6.10. Set $w = (0, \hat{D}, -g + 2)$, and let H and \hat{H} be two ample line bundles on S and \hat{S} respectively. There is a rational map

(6.10)
$$\psi: M_{w,\widehat{H}}(S) \dashrightarrow M_{v,H}(S)$$
$$F \mapsto \widehat{\eta}_* \widehat{\rho}^* F,$$

defined on the open set of $M_{w,\widehat{H}}(\widehat{S})$ parametrizing sheaves supported on irreducible curves. This map factors via the inclusion $P_{v,H} \subset M_{v,H}(S)$, and the induced map

(6.11)
$$\phi: M_{w,\widehat{H}}(\widehat{S}) \dashrightarrow P_{v,H}$$

is birational.

Proof. For our purposes, it is enough to restrict our attention to the open subset of $M_{w,\widehat{H}}(\widehat{S})$ parametrizing sheaves with smooth support. Let \mathcal{F} be a family of pure sheaves of dimension one on S with Mukai vector w, supported on smooth curves, and parametrized by a scheme B. Then $\widehat{\eta}_{B*}\widehat{\rho}_B^*\mathcal{F}$ is a flat family of H-stable sheaves. Clearly, $\widehat{\rho}_B^*\mathcal{F}$ is flat over B and by formula (6.8) the support Γ_b of $\widehat{\rho}^*\mathcal{F}_b$ is smooth. If \mathcal{E} is any flat family, parametrized by B, of pure dimension one sheaves on Z, with support on smooth curves belonging to $|\Gamma|$, then $\widehat{\eta}_{B*}\mathcal{E}$ is a flat family of H-stable sheaves with support in W. This defines the rational map (6.10). Since \widehat{k} is a lift of k, the two involutions coincide where $\widehat{\eta}$ is an isomorphism. Let [F] be a point in $M_{w,\widehat{H}}(\widehat{S})$; since $\widehat{\rho}^*F$

$$\widehat{\eta}_*\widehat{\rho}^*F \in \operatorname{Fix}(k^*).$$

Hence ψ factors through the inclusion $P_{v,H} \subset M_{v,H}(S)$. The last assertion to prove is that the induced map $\phi : M_{w,\widetilde{H}}(\widetilde{S}) \dashrightarrow P_{v,H}$ is birational. As above, we assume that $\operatorname{Supp}(F)$ is smooth. First, we show that ϕ is a local isomorphism at [F]. We claim that the induced map on tangent space

(6.12)
$$d\psi : \operatorname{Ext}^{1}_{\widehat{S}}(F,F) \to \operatorname{Ext}^{1}_{Z}(\widehat{\rho}^{*}F,\widehat{\rho}^{*}F) \cong \operatorname{Ext}^{1}_{S}(\widehat{\eta}_{*}\widehat{\rho}^{*}F,\widehat{\eta}_{*}\widehat{\rho}^{*}F)$$

is injective. In fact, given a non-trivial extension $0 \to F \to G \to F \to 0$, we can pull it back to Z to obtain a short exact sequence

(6.13)
$$0 \to \widehat{\rho}^* F \to \widehat{\rho}^* G \to \widehat{\rho}^* F \to 0.$$

If this sequence were split, the same would be true for

$$0 \to \widehat{\rho}_* \widehat{\rho}^* F \to \widehat{\rho}_* \widehat{\rho}^* G \to \widehat{\rho}_* \widehat{\rho}^* F \to 0.$$

However, this sequence is the direct sum of (6.13) and of

$$0 \to \widehat{\rho}^* F \otimes L \to \widehat{\rho}^* G \otimes L \to \widehat{\rho}^* F \otimes L \to 0,$$

where⁴

232

$$L := \frac{1}{2}\mathcal{O}_{\widetilde{S}}(-\sum R_i - \sum R'_i).$$

Since these two exact sequences are non-split by assumption, we get a contradiction. Hence, the induced map (6.12) is injective and ϕ is a local isomorphism. To end the proof of the theorem, we just need to prove that the degree of ϕ is one. It is enough to prove that if F_1 and F_2 are two sheaves on \hat{S} with Mukai vector w, then

 $\hat{\rho}^* F_1 \cong \hat{\rho}^* F_2$, if and only if $F_1 \cong F_2$.

This follows from the projection formula. In fact, if $\hat{\rho}^* F_1 \cong \hat{\rho}^* F_2$, then

$$F_1 \oplus (F_1 \otimes L) \cong \widehat{\rho}_* \widehat{\rho}^* F_1 \cong \widehat{\rho}_* \widehat{\rho}^* F_2 \cong F_2 \oplus (F_2 \otimes L).$$

Since, for i = 1, 2, both F_i and $F_i \otimes L$ are stable and since deg $F_i \otimes L \neq$ deg F_j , i, j = 1, 2, we must have an isomorphism $F_1 \cong F_2$.

q.e.d.

Corollary 6.11. Let $D = f^*C$ and v = (0, [D], -h + 1). If |C| is a hyperelliptic linear system, and H is v-generic and k^* -invariant, the symplectic variety $P_{v,H}$ is an irreducible holomorphic symplectic manifold of type Hilb^{g-1}(K3).

Corollary 6.12. Let $D = f^*C$, v = (0, [D], -h+1), and let H be a non-v-generic polarization. If |C| is a hyperelliptic linear system, then any crepant resolution of $P_{v,H}$ is an irreducible holomorphic symplectic manifold that is of type $\operatorname{Hilb}^{g-1}(K3)$.

⁴Recall that $\sum R_i + \sum R'_i$ is divisible by two in NS(\tilde{S}).

The surface case. In [44], the case of the relative Prym variety associated to a genus 2 linear system $|C| = |e_1 + e_2|$ on an Enriques surface T is studied in greater detail. Here we report the results of that analysis.

Recall that in this case $|C| \cong \mathbb{P}^1$, and that the linear system has two simple base points. If we assume the pair (T, C) to be general (cf. [45]), the linear system $|C| = \mathbb{P}^1$ has exactly 16 irreducible curves with one single node, and 2 reducible curves $e_1 + e_2$ and $e'_1 + e'_2$ that are the union of two smooth elliptic curves meeting transversally in one point.

As usual we look at the 2-sheeted K3 cover $f: S \to T$ and we set $|D| = |f^*C|$ and v = (0, [D], -2). We also choose a v-generic polarization H. We consider the involution $\tau = \tau_{-D} : M_{v,H} \to M_{v,H}$ and our goal is to describe $P_{v,H}$. The following theorem holds.

Theorem 6.13. The relative Prym variety $P_{v,H}$ is an elliptic K3 surface whose singular fibers consist in 16 irreducible curves with one node, and two fibers of type I₄ (i.e. a closed chain of 4 rational curves R_1, \ldots, R_4 with $R_i \cdot R_{1+1} = 1$, $i = 1, \ldots, 3$, and $R_4 \cdot R_1 = 1$).

Proof. The fact that there exist 16 irreducible rational nodal curves follows from Corollary 3.14. To show that there are two fibers of type I_4 we proceed as follows. From Proposition 3.15, applied to $P_{v,D}$, there are two fibers that are the union of two rational curves meeting at two points. These points of intersection correspond to polystable sheaves of type $F_1 \oplus F_2$ and by the analysis carried out in Section 5, the resulting singularities are of type A_1 . The proof follows from the fact that $P_{v,H} \rightarrow$ $P_{v,D}$ is a resolution of singularities. q.e.d.

7. The fundamental group

Let T be a general Enriques surface and $f : S \to T$ its universal covering. Let $C \subset T$ be a smooth, primitive curve of genus $g \ge 2$ and set $D = f^{-1}(C)$. Consider the Mukai vector v = (0, [D], -h + 1), with h = g(D) = 2g - 1.

From Section 6, we know that if |C| is hyperelliptic, then $P_{v,H}$ is either an irreducible symplectic manifold, and thus simply connected, or else has a resolution which is such. We can thus restrict our attention to the non-hyperelliptic case.

Theorem 7.1. Let |C| be a non-hyperelliptic system on a general Enriques surface. Let $P_{v,H}$ be the relative Prym variety associated to |C| (cf. (3.24)). Any resolution $\tilde{P}_{v,H}$ of the singularities of $P_{v,H}$ is simply connected.

We set

(7.1)
$$M = M_{v,H}, \quad P = P_{v,H}.$$

Consider the support morphism

(7.2)
$$\pi: M \longrightarrow |D|$$

and look at its restriction to P:

$$\eta: P \to |C| \cong \mathbb{P}^{g-1}.$$

Let U' be the locus of irreducible curves in |C|, $Z = |C| \setminus U'$, and set

$$P' = \eta^{-1}(U).$$

Let $\gamma: \widetilde{P} \to P$ be any resolution of singularities. Since P' is contained in the smooth locus of P, by [12] 0.7.B (cf. also [22] Proposition 2.10), the natural morphism $\pi_1(P') \to \pi_1(\widetilde{P})$ is surjective and hence to prove the theorem it is enough to prove that

$$\pi_1(P') = \{1\}.$$

Notice that the same reasoning applies to show the simple connectivity of the normalization of P.

We will deduce Theorem 7.1 from the simple connectivity of $M = M_{v,D}$ and from Picard-Lefschetz theory. Similarly to what is done in [27], we will use a theorem of Leibman [26] which we state in a form directly suited to our needs.

Theorem 7.2 (Leibman). Let $p: E \to B$ be a surjective morphism of connected smooth manifolds. Assume p has a section s. Let $W \subset B$ be a closed submanifold of real codimension at least two. Set $U = B \setminus W$ and $E_U = p^{-1}(U)$ and assume that $E_U \to U$ is a locally trivial fibration with fiber F. Consider the exact sequence

(7.3)
$$1 \to \pi_1(F) \xrightarrow{j_*} \pi_1(E_U) \xrightarrow{s_*} \pi_1(U) \to 1.$$

Set $H = \ker(\pi_1(U) \to \pi_1(B))$. Via j_* , consider $\pi_1(F)$ as a normal subgroup of $\pi_1(E_U)$ and let $R = [\pi_1(F), H]$ be the commutator subgroup of $\pi_1(F)$ and H in $\pi_1(E_U)$. Then there is an exact sequence

(7.4)
$$1 \to R \to \pi_1(F) \to \pi_1(E) \stackrel{s_*}{\hookrightarrow} \pi_1(B) \to 1.$$

Notice that the left exactness of (7.3) is a consequence of the existence of the section s. The commutator subgroup R of the statement of the theorem should be understood as generated by elements of type

(7.5)
$$c^{-1}\tilde{\lambda}^{-1}c\tilde{\lambda},$$

where $c \in \pi_1(F)$ and $\tilde{\lambda} = s_*(\lambda)$ is a lifting of $\lambda \in \pi_1(U)$ to $\pi_1(E_U)$.

Before proving Theorem 7.1, let us apply right away Liebman's Theorem to the support morphism

(7.6)
$$\pi: M \longrightarrow |D|.$$

234

To be more precise, we let $\Delta_D \subset |D|$ be the discriminant locus, $V = |D| \setminus \Delta_D$ the locus of smooth curves, and $V' \supset V$ the locus of irreducible curves. We set

$$M_V = \pi^{-1}(V)$$

(and similarly for $M_{V'}$). We restrict π to $M_{V'}$. Over V' the rational section s of (3.9) is defined, and we apply Liebman's Theorem in this context. Recall that the complements of V' in |D| and of $M_{V'}$ in M have codimension greater than or equal to two.

In this situation both $E = M_{V'}$ and B = V' are simply connected and, by the above theorem, we get $R = \pi_1(J(D_0))$ where D_0 is a smooth curve in |D|. To unravel what this means, we first observe that, given $\lambda \in \pi_1(U)$, the element $\tilde{\lambda}^{-1}c\tilde{\lambda}$ is the result of applying the Picard-Lefschetz transformation, attached to the loop λ , to the cycle c:

(7.7)
$$\operatorname{PL}: \pi_1(U,u) \longrightarrow \operatorname{Aut}(H_1(D_0,\mathbb{Z})) = \operatorname{Aut}(\pi_1(J(D_0,\mathbb{Z})))$$
$$[\lambda] \mapsto \{c \mapsto \tilde{\lambda}^{-1}c\tilde{\lambda}\}.$$

To visualize $\pi_1(V)$, take a generic two plane $\Sigma \subset |D|$ and consider the discriminant curve $\Gamma = \Delta_D \cap E$. By a classical theorem of Zariski, $\pi_1(\Sigma \smallsetminus \Gamma) = \pi_1(V)$ ([10, Theorem 4.1.17]). Generators for $\pi_1(\Sigma \smallsetminus \Gamma)$ can be obtained by fixing a smooth point z on the discriminant curve Γ and taking the boundary of a small one-dimensional disk contained in |D| and meeting Γ only in z and transversally there. The family of curves parametrized by this disk is a family of smooth curves acquiring a simple node. Let α_{λ} be the vanishing cycle of this family. It is a classical result that the Picard-Lefschetz homomorphism (7.7) is given by (see, for instance, [3, Section X.9])

(7.8)
$$PL_{\lambda}(c) = c + (c \cdot \alpha_{\lambda})\alpha_{\lambda}.$$

Going back to (7.5) and using additive notation (since $\pi_1(J(C)) = H_1(C,\mathbb{Z})$), we get

(7.9)
$$c^{-1}\widetilde{\lambda}^{-1}c\widetilde{\lambda} = -c + PL_{\lambda(c)} = (c \cdot \alpha_{\lambda})\alpha_{\lambda}.$$

Thus, the simple connectivity of M, i.e. the equality $R = \pi_1(J(D_0))$, simply means that $\pi_1(J(D_0))$ is generated by vanishing cycles, as expected.

Proof of Theorem 7.1. Recall that $V' \subset |D|$ and $U' \subset |C|$ denote the loci of irreducible curves. We also set

$$W^D = V' \setminus V = V' \cap \Delta_D, \quad W = U' \smallsetminus U = \Delta \cap U'.$$

We want to apply Theorem 7.2 to the morphism

$$\eta: P' \longrightarrow U'$$

(throughout, when there is no confusion, we use the same symbol for a morphism and its restrictions). Recall that $\eta : P' \to U'$ has a section

induced by $s: V' \to M_{V'}$. As usual, via $f: S \to T$, we consider |C| as a linear subspace of |D|. Pick a point $u \in U$ corresponding to an unramified two-sheeted cover

$$f: D_0 \to C_0,$$

where $C_0 \subset T$ is a smooth member of |C| while $D_0 = f^{-1}(C_0) \subset S$ is a smooth member of |D|. We also set

$$P_0 = \operatorname{Prym}(D_0/C_0).$$

In the present case the sequence (7.3) is given by

$$0 \to \pi_1(P_0, 0) \to \pi_1(P_U, 0) \to \pi_1(U, u) \to 0,$$

where $P_U = \eta^{-1}(U)$ is the restriction to the smooth locus.

By Proposition A.9, Z is of codimension ≥ 2 in |C| and hence the complement U' is simply connected. Thus, to prove the simple connectivity of P' it suffices to prove that

(7.10)
$$\pi_1(P_0, 0) = [\pi_1(P_0, 0), \pi_1(U, u)]$$

It will be useful to identify the first homotopy group of P_0 with the ι -anti-invariant subspace of $H^1(D,\mathbb{Z})$:

$$\pi_1(P_0, 0) = H^1(D_0, \mathbb{Z})_-.$$

To prove (7.10) we must make explicit the conjugation action of $\pi_1(U', u)$ on $\pi_1(P_0, 0)$. We have a commutative diagram

Let us look at a simple closed loop γ in U going around one of the smooth branches of W. First of all we want to determine the image of $[\gamma]$ in $\pi_1(V, u)$. Let W_{γ} be the local branch of W around which γ goes. A general point p in W_{γ} corresponds to an irreducible curve C_p on the Enriques surface T having one node and no other singularities. It also corresponds to an ι -invariant curve D_p on the K3 surface having exactly two nodes a and b as singularities which, by Lemma A.1, is irreducible. These two nodes are exchanged by the involution; in fact $C_p = D_p/\iota$. Smoothing the node a or the node b corresponds to moving away from p on two smooth local branches of W^D meeting transversally along W_{γ} . These two branches are exchanged by the involution ι .

Intersecting with a 2-dimensional transversal ι -invariant plane Σ , we may assume that locally we have

$$W^D \cap \Sigma \underset{loc}{=} \{ (x, y) \in \mathbb{C}^2 \mid |x| < \epsilon, |y| < \epsilon, \ xy = 0 \}$$

while W_{γ} is the origin. Notice that the restriction of ι to Σ locally interchanges the x and y axes. We may think that the image of γ in V is given by $\gamma(t) = (\epsilon_0 e^{2\pi i t}, \epsilon_0 e^{2\pi i t})$. In

$$V \cap \Sigma \underset{loc}{=} \{ (x, y) \in \mathbb{C}^2 \mid |x| < \epsilon, |y| < \epsilon, xy \neq 0 \}$$

 γ is homotopic to the composition of one loop λ going around the *x*-axis and one loop μ going around the *y*-axis. Since the two branches of W^D meeting in W_{γ} are exchanged by the involution, we may as well assume that $\mu = \iota \lambda$.

In conclusion, there is a system of generators $\{[\gamma_s]\}_{s\in K}$ for $\pi_1(U, u)$ such that, for each s, γ_s is a simple closed loop having the property that, under the inclusion $j: U \hookrightarrow V$, one has

(7.12)
$$j_*([\gamma_s]) = [\lambda_s][\iota\lambda_s]$$

where λ_s is a simple closed loop. We claim that the elements $\{\lambda_s, \iota\lambda_s\}_{s\in K}$ generate $\pi_1(U, u)$. To prove this first observe that, if l is a line in U'meeting W transversally, then there is a surjection $\pi_1(l \setminus l \cap W, u) \to \pi_1(U, u)$. Now move the line l in |D| to get a line m, very close to l, and meeting W transversally. Set $l \cap W = \{x_1, \ldots, x_N\}$ where $N = \deg W$. Then we may set $m \cap W^D = \{y_1, \ldots, y_{2N}\}$. Moreover we may assume that, for $s = 1, \ldots, N$, y_{2s} and y_{2s-1} belong each to one of the two local branches of W^D meeting in the branch of W to which x_s belongs. The claim follows from observing that also $\pi_1(m \setminus m \cap W^D, u) \to \pi_1(V, u)$ is surjective.

Going back to diagram (7.11) we may now identify the action of $[\gamma]$ on $\pi_1(P_0, 0)$ as the action of $[\lambda][\iota\lambda]$ on the ι -anti-invariant subspace $H^1(D,\mathbb{Z})_- \subset H^1(D,\mathbb{Z}) = \pi_1(J(C), 0)$. Let α be a vanishing cycle on D_0 such that (7.8) holds. Recalling that $(\alpha \cdot \iota\alpha) = 0$, we have, as in (7.9),

$$c^{-1}(\widetilde{\lambda}\cdot\widetilde{\iota\lambda})^{-1}c(\widetilde{\lambda}\cdot\widetilde{\iota\lambda}) = -c + P_{\lambda}P_{\iota\lambda}(c) = (c\cdot\iota\alpha)\iota\alpha + (c\cdot\alpha)\alpha = (c\cdot\alpha)(\alpha-\iota\alpha).$$

Let now $\{\lambda_s, \iota\lambda_s\}_{s\in K}$ be as in (7.12) and let α_s be the vanishing cycle on D_0 corresponding to λ_s . Since this is a set of generators for $\pi_1(V, u)$ and since J is simply connected, we may assume that $\{\alpha_s, \iota\alpha_s\}_{s\in K}$ generate $\pi_1(J(D_0), 0) = H_1(D_0, \mathbb{Z})$. In conclusion $[\pi_1(U, u), \pi_1(P_0, 0)]$ is generated by elements of the form

$$(c \cdot \alpha_s)(\alpha_s - \iota \alpha_s), \quad s \in K,$$

where c runs in $H^1(D, \mathbb{Z})_- = \pi_1(P_0, 0)$. Since $\{\alpha_s, \iota\alpha_s\}_{s \in K}$ generate $H_1(D_0, \mathbb{Z})$, the set $\{\alpha_s - \iota\alpha_s\}_{s \in K}$ generates $H^1(D_0, \mathbb{Z})_-$. Thus, in order to prove (7.10), it suffices to prove that for each $s \in K$ there exists $c_s \in H^1(D, \mathbb{Z})_-$ such that $(c_s \cdot \alpha_s) = 1$. For this it suffices to find, for each $s \in K$, a simple closed loop β_s on D such that $((\beta_s - \iota\beta_s) \cdot \alpha_s) = 1$; we will find one such that $(\beta_s \cdot \alpha_s) = 1$ and $(\beta_s \cdot \iota\alpha_s) = 0$. Both α_s and

 $\iota \alpha_s$ are vanishing cycles and by construction there is a curve $C_0 \in |H|$, having exactly two nodes, resulting from the vanishing of α_s and $\iota \alpha_s$, and no other singularities than the two nodes. But C_0 is irreducible and therefore $C \setminus \{\alpha_s, \iota \alpha_s\}$ is connected and β_s can be readily constructed. q.e.d.

8. Computation of $h^{2,0}$

From the last corollary of the preceding section we deduce that, in the hyperelliptic case, the $h^{2,0}$ -number of any desingularization of the relative Prym variety $P_{v,H}$ is equal to 1.

We next examine the non-hyperelliptic case. Fix a general Enriques surface T with its universal cover $f: S \to T$. Fix a non-hyperelliptic genus g system |C| on T and let $D = f^*(C)$, $\chi = -h + 1 = -2g + 2$ and $v = (0, D, \chi)$. In this section we set

$$P = P_{v,H}.$$

Theorem 8.1. Suppose that g is odd. Let \widetilde{P} be any desingularization of P. Then $h^{2,0}(\widetilde{P}) = 1$.

Proof. We first show that $h^{2,0}(\tilde{P}) \leq 1$. Following an idea already used in [27], we construct a dominant rational map

(8.1)
$$\phi \colon \operatorname{Hilb}^{g-1}(S) \dashrightarrow P.$$

Set $V = H^0(C, \mathcal{O}_S(D)^{\vee}$. As S is unodal, the linear system |D| is very ample (cf. Theorem 6.1, (iii) of [46]), so that $S \subset \mathbb{P}V \cong \mathbb{P}^{2g-1}$. After choosing a linearization, the involution ι induces a decomposition V = $V_+ \oplus V_-$ into ± 1 eigenspaces. The Enriques surface T is contained in $\mathbb{P}V_- \cong \mathbb{P}^{g-1}$. We may think of the double cover $f: S \to T$ as obtained by projecting from \mathbb{P}^{2g-1} to \mathbb{P}^{g-1} with the (g-1) linear subspace $\Lambda = \mathbb{P}V_+$ as its center. Consider the open subset U of Hilb^{g-1}(S) consisting of the (g-1)-tuples $\{p_1, \ldots, p_{g-1}\}$ of distinct points on S, such that:

a) The linear span $\Sigma = \langle p_1, \dots, p_{g-1} \rangle$ is (g-2)-dimensional.

b) $\Sigma \cap \Lambda = \emptyset$.

d) If $H_{\Sigma} \subset \mathbb{P}^{2g-1}$ is the linear span of Λ and Σ —which, by b), is a hyperplane—then $D := H_{\Sigma} \cap S$ is a smooth curve.

We have a natural fibration

$$\beta \colon U \longrightarrow \mathbb{P}V_{-}^{\vee}$$
$$\{p_1, \dots, p_{q-1}\} \mapsto H_{\Sigma} \cap \mathbb{P}V_{-}$$

Moreover, we set C = f(D) and we observe that a point $\{p_1, \ldots, p_{g-1}\} \in U \subset \operatorname{Hilb}^{g-1}(S)$ uniquely defines a divisor $\Delta = p_1 + \cdots + p_{g-1}$ on D and therefore, since g is odd, by (3.12), the point $[\Delta - \iota \Delta]$ belongs to P.

Thus one may define a morphism

(8.2)
$$\begin{aligned} \phi \colon U \longrightarrow P \\ \{p_1, \dots, p_{g-1}\} \mapsto [\Delta - \iota \Delta] \end{aligned}$$

This is how the rational map (8.1) is defined. We claim that the rational map ϕ is dominant.

By the way it is defined, the morphism ϕ defined in (8.2) commutes with the two fibrations $\beta: U \to \mathbb{P}V_{-}^{\vee}$ and $p: P \to \mathbb{P}V_{-}^{\vee}$. Moreover, Hilb^{g-1}(S) and P have the same dimension. Thus it suffices to show that the morphism

(8.3)
$$\begin{aligned} \psi \colon D_{g-1} \longrightarrow P\\ \{p_1, \dots, p_{g-1}\} \mapsto [\Delta - \iota \Delta], \end{aligned}$$

where $D = p_1 + \cdots + p_{q-1}$, is dominant. In order to do this we show that the differential is an isomorphism at one point. Here, as usual, D_{q-1} stands for the (g-1)-fold symmetric product of D. The morphism ψ is the composition of the Abel-Jacobi morphism $u: D_{q-1} \to J(D)$ and the projection $1 - \iota: J(D) \to P$. If $\omega_1, \ldots, \omega_{2q-1}$ is a basis of $H^0(D,\omega_D)$ and if the points p_1,\ldots,p_{q-1} are distinct, the rank of u_* at the point $D = p_1 + \cdots + p_{q-1}$ is the rank of the Brill-Noether matrix $(\omega_i(p_i)), i = 1, ..., 2g - 1, j = 1, ..., g - 1$. Let us now assume, as we may, that $\omega_1, \ldots, \omega_g$ are ι -invariant while $\omega_{g+1}, \ldots, \omega_{2g-1}$ are ι -antiinvariant. Then the rank of ψ_* at D is nothing but the rank of the $(g-1) \times (g-1)$ matrix $(\omega_i(p_i)), i = g+1, \dots, 2g-1, j = 1, \dots, g-1.$ But this matrix must be of maximal rank; otherwise the linear span Σ of the points p_1, \ldots, p_{q-1} would intersect the vertex $\Lambda = \mathbb{P}V_+$ contrary to the assumptions. The existence of a dominant rational map from $\operatorname{Hilb}^{g-1}(S)$ implies that, if $\gamma: \widetilde{P} \to P$ is any desingularization of P, then

(8.4)
$$h^{2,0}(\widetilde{P}) \le h^{2,0}(\operatorname{Hilb}^{g-1}(S)) = 1.$$

Let σ be the holomorphic 2-form defined on P_{reg} . In order to prove that (8.4) is an equality, it is enough to show that the pull-back of σ to $\gamma^{-1}(P_{reg})$ extends to \tilde{P} . Let $\nu : \hat{P} \to P$ be the normalization, and let $\hat{\sigma}$ be the pull-back of σ to $\nu^{-1}(P_{reg})$. Using Proposition 3.10 and Hartog's theorem we extend $\hat{\sigma}$ to \hat{P}_{reg} . We reach the conclusion, using again Proposition 3.10 and a theorem of Flenner [11] which guarantees that, given a normal variety X, a resolution of singularities $\alpha : \tilde{X} \to X$, and a holomorphic 2-form ω on X_{reg} , then $\alpha^*(\omega)$ extends to \tilde{X} as soon as $\operatorname{codim}_X X_{sing} \geq 4$.

Remark 8.2. As Voisin pointed out to us, we do not need to use Flenner's theorem to prove that the symplectic form σ extends to any resolution \tilde{P} of P. Indeed the isomorphism between $H^{2,0}(S)$ and $H^{2,0}(M)$ is induced, up to a multiplicative constant, by the correspondence $\Gamma \in$ $\operatorname{CH}_2(S \times M)$, where Γ is the second Chern character of a semi-universal family (see [40]). This correspondence induces one in $\operatorname{CH}_2(S \times \widetilde{P})$ giving a non-zero homomorphism from $H^{2,0}(S)$ to $H^{2,0}(\widetilde{P})$. q.e.d.

9. The discriminant

This section is devoted to the discussion of a numerological curiosity.

The starting point of the story is Hitchin and Sawon's study [14], [47] of the Rozanski-Witten invariant of a compact hyperkähler manifold and the discovery of the following remarkable formula linking the L^2 -norm of the Riemann curvature tensor R of an irreducible compact hyperkähler manifold X of real dimension 2n = 4k with the characteristic number of $\sqrt{\hat{A}}[X]$ coming from the square root of the \hat{A} -polynomial:

$$\frac{1}{(192\pi^2 k)^k} \frac{||R||^{2k}}{(vol(X)^{k-1})} = \sqrt{\widehat{A}}[X].$$

In [49], [48], Sawon considers the case of a compact hyperkähler manifold of complex dimension n which is a Lagrangian fibration $\nu : X \to \mathbb{P}^n$ by principally polarized abelian varieties and looks at the *discriminant* $\Delta \subset \mathbb{P}^n$ (i.e. the set of points where ν is not a smooth morphism). The fibration should have good singular fibers, meaning that the generic singular fiber X_t for $t \in \Delta_{sm} = \Delta \smallsetminus \Delta_{sing}$ is obtained by gluing together the zero and infinity sections of a \mathbb{P}^1 -bundle over a principally polarized abelian variety of dimension n - 1, i.e. a rank one degeneration of an abelian variety. He then proves another remarkable formula:

$$\deg \Delta = 24 \left(n! \sqrt{\widehat{A}}[X] \right)^{\frac{1}{n}},$$

which makes the degree of the discriminant into a deformation invariant (this formula can be generalized to the case of non-principal polarizations). He then proceeds to compute this invariant in the two classical cases considered by Beauville. The first case is the Beauville-Mukai integrable system $X_n = J(|\Gamma|) \to \mathbb{P}^n$ where $|\Gamma|$ is an *n*-dimensional linear system on K3 surface

$$\deg \Delta_{X_n} = 6(n+3).$$

Using Lefschetz pencils, this is an easy Euler-Poincarè characteristic computation. The second case is the generalized Kummer variety K_n , introduced by Beauville, and here the degree of the discriminant is given by

$$\deg \Delta_{K_n} = 6(n+1).$$

We now come to our (singular) Prym Lagrangian fibrations

$$P_n \to \mathbb{P}^n$$

Here as usual we start with an Enriques surface T and its universal covering $f: S \to T$. We take an irreducible curve C of genus g = n + 1

on T and let $D = f^{-1}(C)$, h = g(D), and v = (0, D, -h+1). We let H be a polarization, and consider the relative Prym variety $P_n = \operatorname{Prym}_{v,H,N}$ for some line bundle N. We also assume that |C| contains a Lefschetz pencil. Under these hypotheses we have:

Proposition 9.1. a) If |C| is non-hyperelliptic then

 $\deg \Delta_{P_n} = 6(n+2).$

b) If $|C| = |ne_1 + e_2|$ is hyperelliptic then

$$\deg \Delta_{P_n} = 6(n+3).$$

Proof. Part a) follows once we prove the claim that, under our assumptions, the discriminant locus of ν coincides with the discriminant locus $\Delta_{|C|}$ of the linear system |C| (recall that since we are assuming the existence of a Lefschetz pencil, the discriminant locus of the linear system is reduced). Indeed, if this is the case, an easy Euler characteristic calculation shows that deg $\Delta_{|C|} = 6(g+1)$, so that substituting n = g - 1 we get our result. The claim follows from the fact that there are no reducible curves in codimension one, and from Corollary 3.14.

As far as part b) is concerned, from Section 6 the relative Prym P_n is smooth and of $K3^{[n]}$ -type. The statement follows then directly from Sawon's result. However, it is interesting to compute, by geometrical means, the degree of the discriminant Δ_{P_n} in this case. This is done in [44]. Here we only give a sketch of this analysis. As proved in Proposition A.10, the discriminant locus in the hyperelliptic case is the union of four irreducible components. One must then describe the fibers of $P_n = \operatorname{Prym}_{v,H,N} \to |C|$ over the general point of each of these components. This is done under the assumption that H is a general polarization. Over the general point of Δ_1 and Δ_2 , the Prym variety consists in a closed chain of four irreducible components R_1, \ldots, R_4 , each meeting the successive one (in a cyclic order) transversally along an abelian variety. Since Δ_1 and Δ_2 are hyperplanes, their contribution to the degree of the discriminant is equal to 8. Over the general point of Δ_3 , the Prym variety is the union of two irreducible components meeting transversally along two abelian varieties. Since the degree of Δ_3 is n-1, its contribution to the degree of the discriminant is equal to 2(n-1). Finally, over the general point of Δ_4 the Prym variety is a rank one degeneration of an abelian variety; therefore the contribution of Δ_4 to the degree of the discriminant is equal to its degree, i.e. to 4n + 12. Summing up, we get 6(n + 3). q.e.d.

10. Further remarks

1) It should be remarked that, in the non-hyperelliptic case and for sufficiently high value of the genus, the Prym varieties in the fibers of $P_{v,H} \rightarrow |C|$ are definitely not Jacobians. In fact, to make sure that this is so, according to Mumford's Theorem in section 7 of [**33**], we only have to make sure that C is neither trigonal nor a double cover of an elliptic curve. On the other hand, Corollary 1 in Knutsen and Lopez [**21**] of tells us that the gonality of a general member of |C| is equal to $2\phi(|C|)$. One can choose the linear system |C| to make this number as large as one wishes.

2) Singular points of moduli spaces of sheaves have been extensively studied by Kaledin, Lehn, Sorger, and Zhang, among others [19], [18], [25], [53]. These authors are, by and large, interested in the case of sheaves of rank ≥ 1 . The way they carry out their analysis consists in two basic techniques (with the notation of Section 4):

a) prove that the Kuranishi family is *formal* which implies that B = Q, or else

b) prove directly that there is a local isomorphism $M \supset U \cong Q/G$.

This reduces the local study of M to the study of quotients Q/G; these are far more transparent objects, which are often similar to the *quiver varieties* of Nakajima [**34**], [**35**].

In a forthcoming paper [4], the authors will study the local structure of moduli of rank zero sheaves by settling the question of formality in some cases and by establishing that in some of these cases the quotients Q/G turn out to be *exactly* the quiver varieties of Nakajima.

In the present paper, we only needed to examine Kuranishi families B, which are hypersurfaces in $\text{Ext}^1(F, F)$. In these cases, relations (4.7) and (4.8) hold and, as we saw in Section 4, the local analysis is quite straightforward.

3) When τ is regular, it is natural to look at the quotient M/τ and to ask if it admits a symplectic resolution. It does not. Indeed it is enough to check that M_{reg}/τ has no symplectic resolution. By Lemma 2.11 in Kaledin's paper [17] a symplectic resolution $Z \to M_{reg}/\tau$ would be semismall and this can not be the case since M_{reg}/τ is Q-factorial and the codimension of its singular locus is equal to $2g \ge 4$, when $g \ge 2$. When g = 1, the moduli space M is a K3 surface and τ is a symplectic involution with 8 fixed points.

4) The techniques developed in this paper and in [4] can be used to describe Prym fibrations also for quotients $S \to X = S/\alpha$, where S is a K3 surface and α an anti-symplectic involution with non-empty fixed point set.

Appendix A. Curves on K3 and Enriques surfaces

We keep the notation and the assumptions of Section 2. We start with the following lemma: **Lemma A.1.** Let T be a general Enriques surface, and let $C \subset T$ be an irreducible curve. If the class of C is not divisible by two in NS(T), then $D = f^{-1}(C)$ is irreducible.

Proof. This follows immediately from the fact that, by assumption, $NS(S) = f^* NS(T).$ q.e.d.

Let A and B be two effective classes on T, or on S. By the Hodge index theorem it follows that (cf. [20]) if $A^2, B^2 \ge 0$, then $A \cdot B \ge 0$. Moreover, $A \cdot B = 0$, if and only if $\mathbb{Z}A = \mathbb{Z}B$ in NS(T) and $A^2 = B^2 = 0$. By the Nakai-Moishezon-Kleiman criterion and the Hodge index theorem, it follows that if S and T are unodal, then

$$\operatorname{Amp}(T) = \mathcal{Q}_T^+$$
 and $\operatorname{Amp}(S) = \mathcal{Q}_S^+$,

where Q_T^+ (and Q_S^+) is the connected component of the cone of classes with positive self intersection in NS(T) and NS(S) respectively, containing one ample class. Moreover, for both surfaces the cone of effective curves is equal to the closures of Q_T^+ and Q_S^+ in NS(T) and NS(S) respectively.

Unless otherwise specified, we will denote by e or by e_i primitive elliptic curves on T. Notice that e' and e'_i are also primitive elliptic curves on T. The curves e and e' are called the half-fibers of the elliptic pencil |2e| = |2e'|. The Kodaira classification of the singular fibers of an elliptic fibration implies that e and e' are either smooth or isomorphic to a closed chain of $b \ge 0$ smooth rational curves. It follows that if Tis general, then this forces e and e' to be smooth. We will denote by Eand E_i , respectively, their preimages in S.

The following two definitions play an important role in the whole paper.

Definition A.2 ([7]). Let m be a positive integer. An effective divisor $C \subset T$ is said to be m-connected, if for every decomposition $C = C_1 + C_2$ into the sum of two effective divisors we have $C_1 \cdot C_2 \geq m$. A linear system |L| is said to be m-connected if all its members are m-connected.

Definition A.3 ([46]). A linear system |L| on a K3 or an Enriques surface is said to be hyperelliptic if $L^2 = 2$ or if the associated morphism φ_L is of degree 2 onto a rational normal scroll of degree n - 1 in \mathbb{P}^n .

We now proceed to state a characterization of hyperelliptic linear systems on K3 or Enriques surfaces. We start with the following proposition (cf. Proposition 4.5.1 of [9]).

Proposition A.4 ([9]). Let T be an Enriques surface, and let $C \subset T$ be an irreducible curve with $C^2 \geq 2$. The following are equivalent:

- 1) |C| is a hyperelliptic curve;
- 2) |C| has base points;
- 3) there exists a primitive elliptic curve e such that $C \cdot e = 1$.

By Corollary 4.5.1 of [9] it follows that the general member of a hyperelliptic linear system is a smooth hyperelliptic curve. The following proposition is a collection of results from [46].

Proposition A.5. Let S be a K3 surface and let $D \subset S$ be an irreducible curve, with $D^2 \ge 4$. The following are equivalent:

- 1) |D| is a hyperelliptic;
- 2) the general member of |D| is a smooth hyperelliptic curve;
- 3) there exists an elliptic pencil $|E_1|$ such that $C \cdot E_1 = 2$.

Suppose, moreover, that S is unodal. If one of the above conditions is satisfied, then there exist an integer $n \ge 1$ and a primitive elliptic curve E_2 such that

 $D = nE_1 + E_2$, with $E_1 \cdot E_2 = 2$.

Moreover, the morphism

(A.1)
$$\varphi_D: S \to R \subset \mathbb{P}^{2n+1}$$

is of degree two and maps S onto a rational normal scroll R of degree 2nin \mathbb{P}^{2n+1} , and R is isomorphic to a quadric surface whose two rulings are the images under φ_D of the elliptic pencils $|E_1|$ and $|E_2|$.

Thus, if |C| is hyperelliptic, so is |D|. In particular, if T is general, the class of any hyperelliptic curve C on T is of the form

 $ne_1 + e_2$,

with $n \ge 1$ and $e_1 \cdot e_2 = 1$. And hence we see that a hyperelliptic linear system is 1-connected. It turns out that also the converse holds.

Proposition A.6. Let T be a general Enriques surface and let L be an effective line bundle on T, with $L^2 > 0$. Then |L| contains a member that is the union of two smooth curves meeting transversally in $\nu \ge 1$ points. Moreover, $\nu = 1$ if and only if |L| is hyperelliptic.

Proof. This follows from Corollary 3.2.2 and Proposition 4.3.4 of [9], and the fact that since T is general any linear system with positive self intersection is ample and has no base component. q.e.d.

Corollary A.7. Let T be a general Enriques surface and let L be a line bundle on T. The linear system |L| is hyperelliptic if and only if it is 1-connected.

Corollary A.8. Let T be a general Enriques surface, and let $C \subset T$ be an irreducible curve. Set $D = f^{-1}(C)$. Then, there is a member of $f^*|C| \subset |D|$ that is a union of two smooth ι -invariant curves D_1 and D_2 meeting in 2ν points. Moreover, we have $\nu \geq 2$, unless |D| is hyperelliptic, in which case $\nu = 1$.

Proof. Keeping the notation in the proof of the previous proposition, it is enough to check that the curves $D_1 = f^{-1}(C_1)$ and $D_2 = f^{-1}(C_2)$ are connected. This, however, follows from the fact that in Proposition A.6 one can choose, for i = 1, 2, the curve C_i such that either $C_i^2 > 0$ or C_i is a primitive elliptic curve. q.e.d.

Finally, we have the following proposition.

Proposition A.9. Let X be a general Enriques surface or a K3 surface covering a general Enriques surface, and let L be a line bundle on X with $L^2 > 0$. Then |L| has reducible members in codimension one if and only if |L| is hyperelliptic.

Proof. We will prove the proposition when X is an Enriques surface; the proof for K3 surfaces is analogous. Since the irregularity of the surface is zero, any component of the discriminant parametrizing reducible curve is birational to a product of linear systems. Consider a reducible member $C_1 + C_2$, and set $\nu = C_1 \cdot C_2$. For i = 1, 2, letting g_i be the arithmetic genus of C_i , we have

$$g = g_1 + g_2 + \nu - 1.$$

First assume that if, for some i = 1, 2, the genus g_i is equal to one, the corresponding curve C_i is a primitive elliptic curve. Then dim $|C_i| = g_i - 1$, dim $|C| = \dim |C_1| + \dim |C_2| + \nu$, and $\operatorname{codim}(|C_1| \times |C_2|, |C|) = \nu$. From Corollary A.7 it follows that $\nu \geq 1$ and that $\nu = 1$ if and only if C is hyperelliptic.

Next, consider the case $C_1 \in |se_1| = \mathbb{P}^{\lfloor \frac{s}{2} \rfloor}$ for some primitive elliptic curve e_1 and some integer $s \geq 2$. Then dim $|C| = g_2 - 1 + \nu$. Thus, if $g_2 \geq 2$, we have dim $|C_1| \times |C_2| = \lfloor \frac{s}{2} \rfloor + g_2 - 1$, while dim $|C_1| \times |C_2| = \lfloor \frac{s}{2} \rfloor + \lfloor \frac{t}{2} \rfloor$, if $C_2 = te_2$ with $t \geq 1$. It follows that

$$\operatorname{codim}(|C_1| \times |C_2|, |C|) = \begin{cases} \nu - \lfloor \frac{s}{2} \rfloor, & \text{if } g_2 \ge 2, \\ \nu - \lfloor \frac{s}{2} \rfloor - \lfloor \frac{t}{2} \rfloor, & \text{if } g_2 = 1. \end{cases}$$

In the first case, since $\nu = s\nu'$, with $\nu' \ge 1$ we are done, unless s = 2and $\nu' = 1$. However if s = 2 and $\nu' = 1$, the curve C_2 is hyperelliptic of the form $\nu e_1 + e_2$, with $e_1 \cdot e_2 = 1$, and hence $L = \mathcal{O}_T((\nu + s)e_1 + e_2)$ is hyperelliptic.

As for the second case, we can set $\nu = st\nu'$ and thus we are done unless $\nu' = 1$, s = 2, and t = 1. This means that $C_2 = e_2$, with $e_1 \cdot e_2 = 1$ and, again, $L = 2e_1 + e_2$ is hyperelliptic. q.e.d.

Proposition A.10. Let T be an Enriques surface, and let $|C| = |ne_1+e_2|$ be a genus $g = n+1 \ge 3$ hyperelliptic linear system on T. The discriminant locus $\Delta \subset |C|$ is the union of four irreducible components

 $\Delta_1, \Delta_2, \Delta_3, \Delta_4$. The first two are hyperplanes and their general point parametrizes curves that are the union of two smooth curves meeting transversally in one point. The third component, whose general point parametrizes curves that are the union of two smooth curves meeting transversally in two points, is of degree n - 1. The fourth component is of degree 4n + 12 and it parametrizes singular but irreducible curves. Moreover, its general point corresponds to an irreducible curve with a single node.

Proof. First of all, via a straightforward Euler characteristic count, one shows that the degree of Δ is equal to 6(n+2). It is clear that the two hyperplanes,

$$\Delta_1 := [e_1] \times |(n-1)e_1 + e_2| \quad \text{and} \quad \Delta_2 := [e_1'] \times |(n-1)e_1 + e_2'|,$$

constitute two components of the discriminant locus, and also that they parametrize curves of the form $e_1 \cup \Gamma$ with $\Gamma \in |(n-1)e_1 + e_2|$ (resp. $e'_1 \cup \Gamma$ with $\Gamma \in |(n-1)e_1 + e'_2|$. Secondly, the natural map

$$\phi: \mathbb{P}^1 \times \mathbb{P}^{n-2} = |2e_1| \times |(n-2)e_1 \times e_2| \to \Delta \subset \mathbb{P}^n$$

is just the composition of the Segre embedding $\mathbb{P}^1 \times \mathbb{P}^{n-2} \to \mathbb{P}^{2n-3}$ with the projection $\mathbb{P}^{2n-3} \to \mathbb{P}^n$ induced by the natural map

$$H^{0}(T, \mathcal{O}(2e_{1})) \otimes H^{0}(T, \mathcal{O}((n-2)e_{1}+e_{2})) \to H^{0}(T, \mathcal{O}(ne_{1}+e_{2})).$$

It follows that

$$\mathbb{P}^1 \times \mathbb{P}^{n-2} \to \phi(\mathbb{P}^1 \times \mathbb{P}^{n-2})$$

is finite and generically one to one, and that $\Delta_3 := \phi(\mathbb{P}^1 \times \mathbb{P}^{n-2})$ is a degree n-1 component of Δ whose general point parametrizes curves as in the statement of the theorem. We just need to prove that the remaining part Δ_4 of the discriminant is irreducible. Recall that by definition the rational map $\phi_{|C|}$ associated to the linear system maps T generically 2 : 1 onto a degree n-1 rational surface $R \subset \mathbb{P}^n$, and contracts the curves e_1 and e'_1 . A curve in |C| is singular in the following three cases: if it covers a singular (hence reducible) hyperplane section of R, if it covers a smooth curve that is tangent to the ramification curve, or else if its image contains one of the two points P and Q to which either e_1 or e'_1 is contracted. The ramification curve is described in Theorem 4.5.2 of [9]. It consists of the union of two lines ℓ_1 and ℓ_2 belonging to the ruling that is the image of $|2e_1|$ and of an irreducible curve $B \subset R$. The irreducible curve B has two tacnodes in P and Q and is otherwise non-singular (we are in the unodal case). The preimages, under $\phi_{|C|}$, of the lines through P and Q are the curves in Δ_1 and Δ_2 , respectively. The set of hyperplane sections of R passing through ℓ_1 or ℓ_2 form a set of codimension 2, whereas the set of hyperplane sections of R that are tangent to B form an irreducible divisor $\Delta_4 \subset \mathbb{P}^n$. Moreover, the general hyperplane in this component is tangent to B in just one point and thus corresponds to curves in |C| with only one node and no other singularity. q.e.d.

References

- V. Alexeev, Compactified Jacobians and Torelli map, Publ. Res. Inst. Math. Sci. 40(4):1241–1265, (2004), MR 2105707, Zbl 1079.14019.
- [2] A.B. Altman & S.L. Kleiman, Compactifying the Picard scheme, Adv. in Math. 35(1):50–112, (1980), MR 0555258, Zbl 0427.14015.
- [3] E. Arbarello, M. Cornalba & P.A. Griffiths, *Geometry of algebraic curves*, Volume II, volume 268 of Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences]. Springer, Heidelberg, 2011. With a contribution by Joseph Daniel Harris, MR 2807457, Zbl 1235.14002.
- [4] E. Arbarello & G. Saccà, Singularities of moduli spaces of sheaves on K3 surfaces and Nakajima quiver varieties, (in preparation), 2014.
- [5] I.V. Artamkin, On the deformation of sheaves, Izv. Akad. Nauk SSSR Ser. Mat. 52(3):660–665, 672, (1988), MR 0954302, Zbl 0709.14012.
- [6] A. Beauville, Systèmes hamiltoniens complètement intégrables associés aux surfaces K3, in Problems in the theory of surfaces and their classification (Cortona, 1988), Sympos. Math., XXXII, pages 25–31. Academic Press, London, 1991, MR 1246395, Zbl 0827.58022.
- [7] E. Bombieri, Canonical models of surfaces of general type, Inst. Hautes Etudes Sci. Publ. Math. (42):171–219, (1973), MR 0318163, Zbl 0259.14005.
- [8] C. Camacho & H. Movasati, Neighborhoods of analytic varieties, volume 35 of Monografías del Instituto de Matemática y Ciencias Afines [Monographs of the Institute of Mathematics and Related Sciences], Instituto de Matemática y Ciencias Afines, IMCA, Lima, 2003, MR 2010707, Zbl 1243.32006.
- [9] F.R. Cossec & I.V. Dolgachev, Enriques surfaces. I, volume 76 of Progress in Mathematics, Birkhäuser Boston Inc., Boston, MA, 1989, MR 0986969, Zbl 0665.14017.
- [10] A. Dimca, Singularities and topology of hypersurfaces, Universitext, Springer-Verlag, New York, 1992, MR 1194180, Zbl 0753.57001.
- [11] H. Flenner, Extendability of differential forms on non-isolated singularities, Invent. Math. 94(2):317–326, (1988), MR 0958835, Zbl 0658.14009.
- [12] W. Fulton & E. Lazarsfeld, Connectivity and its applications in algebraic geometry, in Algebraic geometry (Chicago, Ill., 1980), volume 862 of Lecture Notes in Math. pages 26–92. Springer, Berlin, 1981, MR 0644817, Zbl 0484.14005.
- [13] H. Grauert, Uber Modifikationen und exzeptionelle analytische Mengen, Math. Ann. 146:331–368, (1962), MR 0137127, Zbl 0173.33004.
- [14] N. Hitchin, & J. Sawon, Curvature and characteristic numbers of hyper-Kähler manifolds, Duke Math. J. 106(3):599–615, (2001), MR 1813238, Zbl 1024.53032.
- [15] D. Huybrechts, Birational symplectic manifolds and their deformations, J. Diff. Geom., 45:488–513, (1997), MR 1472886, Zbl 01145702.
- [16] D. Huybrechts & M. Lehn, The geometry of moduli spaces of sheaves, Number E 31 in Aspects of Mathematics. Vieweg, 1997, MR 2665168.

- [17] D. Kaledin, Symplectic singularities from the Poisson point of view, J. Reine Angew. Math. 600:135–156, (2006), MR 2283801, Zbl 1121.53056.
- [18] D. Kaledin & M. Lehn, Local structure of hyperkähler singularities in O'Grady's examples, Mosc. Math. J. 7(4):653–672, 766–767, (2007), MR 2372208, Zbl 1160.14006.
- [19] D. Kaledin, M. Lehn, & Ch. Sorger, Singular symplectic moduli spaces, Invent. Math. 164(3):591–614, (2006), MR 2221132, Zbl 1096.14037.
- [20] A.L. Knutsen & A.F. Lopez, A sharp vanishing theorem for line bundles on K3 or Enriques surfaces, Proc. Amer. Math. Soc. 135(11):3495–3498, (2007), MR 2336562, Zbl 1121.14033.
- [21] A.L. Knutsen & A.F. Lopez, Brill-Noether theory of curves on Enriques surfaces, I, The positive cone and gonality, Math. Z., 261(3):659–690, (2009), MR 2471094, Zbl 1161.14022.
- [22] J. Kollár, Shafarevich maps and automorphic forms, M. B. Porter Lectures. Princeton University Press, Princeton, NJ, 1995, MR 1341589, Zbl 0871.14015.
- [23] R. Lazarsfeld, Positivity in algebraic geometry I, volume 48 of A Series of Modern Surveys in Mathematics, Springer, (2004), MR 2095471, Zbl 1093.14501.
- [24] J. Le Potier, Faisceaux semi-stables de dimension 1 sur le plan projectif, Rev. Roumaine Math. Pures Appl. 38(7-8):635-678, (1993), MR 1263210, Zbl 0847.14005.
- [25] M. Lehn, & C. Sorger, La singularité de O'Grady, J. Algebraic Geom. 15(4):753– 770, (2006), MR 2237269, Zbl 1156.14030.
- [26] A. Leibman, Fiber bundles with degenerations and their applications to computing fundamental groups, Geom. Dedicata 48(1):93–126, (1993), MR 1245575, Zbl 0812.57004.
- [27] D. Markushevich, & A.S. Tikhomirov, New symplectic V-manifolds of dimension four via the relative compactified Prymian, Internat. J. Math. 18(10):1187–1224, (2007), MR 2370223, Zbl 1138.14032.
- [28] D. Matsushita, On fibre space structures of a projective irreducible symplectic manifold, Topology 38:79–83, (1999), MR 1644091, Zbl 0932.32027.
- [29] D. Matsushita, Equidimensionality of Lagrangian fibrations on holomorphic symplectic manifolds, Math. Res. Lett. 7(4):389–391, (2000), MR 1783616, Zbl 1002.53050.
- [30] D.R. Morrison, On K3 surfaces with large Picard number, Invent. Math., 75(1):105–121, (1984), MR 0728142, Zbl 0509.14034.
- [31] S. Mukai, Symplectic structure of the moduli space of sheaves on an abelian or K3 surface, Invent. Math. 77(1):101–116, (1984), MR 0751133, Zbl 0565.14002.
- [32] D. Mumford, Theta characteristics of an algebraic curve, Ann. Sci. École Norm. Sup. (4), 4:181–192, (1971), MR 0292836, Zbl 0216.05904.
- [33] D. Mumford, Prym varieties, I, in Contributions to analysis (a collection of papers dedicated to Lipman Bers), pages 325–350. Academic Press, New York, 1974, MR 0379510, Zbl 0299.14018.
- [34] H. Nakajima, Quiver varieties and Kac-Moody algebras, Duke Math. J. 91(3):515–560, (1998), MR 1604167, Zbl 0970.17017.
- [35] H. Nakajima, Lectures on Hilbert schemes of points on surfaces, volume 18 of University Lecture Series, American Mathematical Society, Providence, RI, 1999, MR 1711344, Zbl 0949.14001.

- [36] Y. Namikawa, Deformation theory of singular symplectic n-folds, Math Ann. 319:597–623, (2001), MR 1819886, Zbl 0989.53055.
- [37] Y. Namikawa, Periods of Enriques surfaces, Mathematische Annalen, 270:201– 222, (1985), MR 0771979, Zbl 0536.14024.
- [38] V.V. Nikulin, Finite groups of automorphisms of Kählerian K3 surfaces, Trudy Moskov. Mat. Obshch., 38:75–137, (1979), MR 0544937, Zbl 0433.14024.
- [39] T. Oda & C.S. Seshadri, Compactifications of the generalized Jacobian variety, Trans. Amer. Math. Soc. 253:1–90, (1979), MR 0536936, Zbl 0418.14019.
- [40] K.G. O'Grady, The weight-two Hodge structure of moduli spaces of sheaves on a K3 surface, J. Algebraic Geom. 6(4):599-644, (1997), MR 1487228, Zbl 0916.14018.
- [41] K.G. O'Grady, Desingularized moduli spaces of sheaves on a K3, J. Reine Angew. Math. 512:49–117, (1999), MR 1703077, Zbl 0928.14029.
- [42] K.G. O'Grady, A new six-dimensional irreducible symplectic variety, J. Algebraic Geom. 12(3):435–505, (2003), MR 1966024, Zbl 1068.53058.
- [43] M. Reid, Canonical 3-folds, in Journées de Géometrie Algébrique d'Angers, Juillet 1979/Algebraic Geometry, Angers, 1979, pages 273–310, Sijthoff & Noordhoff, Alphen aan den Rijn, 1980, MR 0605348, Zbl.
- [44] G. Saccà, Phd. Thesis, Princeton, 2013.
- [45] G. Saccà, Relative compactified Jacobians of linear systems on Enriques surfaces, arXiv:1210.7519, 2012.
- [46] B. Saint-Donat, Projective models of K3 surfaces, Amer. J. Math. 96:602–639, (1974), MR 0364263, Zbl 0301.14011.
- [47] J. Sawon, The Rozansky-Witten invariants of hyper-Kähler manifolds, in Differential geometry and applications (Brno, 1998), pages 429–436. Masaryk Univ., Brno, 1999, MR 1708931.
- [48] J. Sawon, Abelian fibred holomorphic symplectic manifolds, Turkish J. Math. 27(1):197–230, (2003), MR 1975339, Zbl 1065.53067.
- [49] J. Sawon, On the discriminant locus of a Lagrangian fibration, Math. Ann. 341(1):201–221, (2008), MR 2377475, Zbl 1141.53079.
- [50] C.T. Simpson, Moduli of representations of the fundamental group of a smooth projective variety, I, Inst. Hautes Études Sci. Publ. Math., (79):47–129, (1994), MR 1307297, Zbl 0891.14005.
- [51] B. van Geemen & A. Sarti, Nikulin involutions on K3 surfaces, Math. Z. 255(4):731–753, (2007), MR 2274533, Zbl 1141.14022.
- [52] K. Yoshioka, Moduli spaces of stable sheaves on abelian surfaces, Math. Ann. 321(4):817–884, (2001), MR 1872531, Zbl 1066.14013.
- [53] Z. Zhang, A note on formality and singularities of moduli spaces, Mosc. Math J. 12 (2012), no. 4, 863–879, 885, MR 3076859.

DIPARTIMENTO DI MATEMATICA GUIDO CASTELNUOVO UNIVERSITÀ SAPIENZA PIAZZALE A. MORO 5 00185 ROMA, ITALY *E-mail address*: ea@mat.uniroma1.it DEPARTMENT OF MATHEMATICS STONY BROOK UNIVERSITY STONY BROOK, NY 11794-3651 *E-mail address*: gsacca@math.princeton.edu

VIA RIMINI 25/2 20142 MILANO, ITALY *E-mail address*: ferrettiandrea@gmail.com