# KREIN'S ENTIRE FUNCTIONS AND THE BERNSTEIN APPROXIMATION PROBLEM 

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#### Abstract

We extend two theorems of Krein concerning entire functions of Cartwright class, and give applications for the Bernstein weighted approximation problem.


## 1. The Krein class and functions of bounded type

We start with two classical theorems of Krein concerning entire functions. An entire function $f$ belongs to the Cartwright class if $f$ has at most exponential type, that is, if

$$
\log |f(z)|=O(|z|), \quad|z| \rightarrow \infty
$$

and the logarithmic integral converges:

$$
\int_{-\infty}^{\infty} \frac{\log ^{+}|f(x)|}{1+x^{2}} d x<\infty
$$

Theorem A (Krein [14]). An entire function $f$ belongs to the Cartwright class if and only if the function $\log ^{+}|f|$ has (positive) harmonic majorants in both the upper and the lower half-planes.

An entire function $f$ belongs to the Krein class if its zeros $\lambda_{n}$ are (simple and) real, and $1 / f$ is represented as an absolutely convergent sum of simple fractions

$$
\begin{equation*}
\frac{1}{f(z)}=\sum_{n} \frac{1}{f^{\prime}\left(\lambda_{n}\right)\left(z-\lambda_{n}\right)}, \quad \sum_{n} \frac{1}{\left|f^{\prime}\left(\lambda_{n}\right)\right|}<\infty \tag{1.1}
\end{equation*}
$$

Theorem B (Krein [14]). The Krein class is contained in the Cartwright class.

[^0]For the proofs see also [17]. These two results have numerous applications in operator theory and harmonic analysis (see, for example, [15], [16], [7], [8, Chapter IV], [13, Section VI F]), and were generalized in different directions (see [17, Section 26.4] and [9, Section VI.2]).

Let $E$ be a non-empty closed subset of the real line. In what follows we assume that $E$ is regular for the Dirichlet problem in $\mathbb{C} \backslash E$. A function $f$ that is analytic in $\mathbb{C} \backslash E$ is said to be of bounded type if $\log ^{+}|f|$ has a harmonic majorant in $\mathbb{C} \backslash E$. It is well known that if $f$ and $g$ are of bounded type and $f / g$ is analytic in $\mathbb{C} \backslash E$, then $f / g$ is also of bounded type there (see [21, Chapter VII] and [22, Theorem 19, p. 181]). It is worth mentioning that any function $\varphi$ that is lower semicontinuous in the plane and has a positive harmonic majorant in $\mathbb{C} \backslash E$ satisfies

$$
\begin{equation*}
\int_{E} \varphi^{+}(x) \omega(i, d x, \mathbb{C} \backslash E)<\infty \tag{1.2}
\end{equation*}
$$

We are interested in conditions under which the asssertions of the above two theorems by Krein can be strengthened to guarantee that $f$ is of bounded type in $\mathbb{C} \backslash E$. Note that every polynomial is of bounded type in $\mathbb{C} \backslash E$. Indeed, our conditions on $E$ imply that $E$ has positive capacity, and the identity function in $\mathbb{C} \backslash E$ therefore omits values from a set of positive capacity. Hence, by the Frostman theorem (see [21, Chapter X, Section 2.8] for the case of the unit disc, and use the uniformization argument in the general case), the identity function is of bounded type in $\mathbb{C} \backslash E$, and the statement for polynomials follows immediately.

For a regular set $E \subset \mathbb{R}$ we denote by $\mathcal{M}_{E}(z)$ the symmetric Martin function for $\mathbb{C} \backslash E$ with singularity at infinity, that is, a positive harmonic function in $\mathbb{C} \backslash E$ which vanishes on $E$ and satisfies $\mathcal{M}_{E}(\bar{z})=\mathcal{M}_{E}(z)$. A uniqueness theorem proved by Benedicks [3, Theorems 2 and 3] and Levin [19, Theorem 3.2] asserts that $\mathcal{M}_{E}$ exists and is unique up to a positive multiplicative constant. The function $\mathcal{M}_{E}$, extended to $\mathbb{C}$ by setting $\mathcal{M}_{E} \mid E=0$, is subharmonic in $\mathbb{C}$ and has order at most one and mean type $\mathcal{M}_{E}(z)=O(|z|)$ as $|z| \rightarrow \infty$.

Our first result describes the sets $E$ for which every Cartwright class function is of bounded type in $\mathbb{C} \backslash E$. We say that a set $E \subset \mathbb{R}$ is an Akhiezer-Levin set if the function $\mathcal{M}_{E}$ is of mean type with respect to the order 1 , that is, if

$$
\sigma_{\mathcal{M}_{E}} \stackrel{\text { def }}{=} \limsup _{|z| \rightarrow \infty} \frac{\mathcal{M}_{E}(z)}{|z|}>0
$$

It is worth mentioning that in this case the limit

$$
\sigma_{\mathcal{M}_{E}}=\lim _{|y| \rightarrow \infty} \frac{\mathcal{M}_{E}(i y)}{|y|}
$$

exists, and $\mathcal{M}_{E}(z) \geq \sigma_{\mathcal{M}_{E}}|\operatorname{Im} z|$. The function $\mathcal{M}_{E}$, normalized by the condition $\sigma_{\mathcal{M}_{E}}=1$, is sometimes called the Phragmén-Lindelöf function.

The class of Akhiezer-Levin sets was introduced in [2]. Let us present two equivalent conditions. A set $E \subset \mathbb{R}$ is an Akhiezer-Levin set if and only if either of the following two properties holds:
(1) (Koosis [13, Section VIII A.2]) $\int_{\mathbb{R}} G(t, z) d t<\infty$, where $G$ is the Green function for $\mathbb{C} \backslash E$ and $z \in \mathbb{C} \backslash E$.
(2) (Benedicks [3, Theorem 4]) $\int_{\mathbb{R}} \beta_{E}(t) /(1+|t|) d t<\infty$, where $\beta_{E}(t)$ is the harmonic measure $\omega\left(t, \partial S_{t}, S_{t} \backslash E\right)$ of the boundary of the square $S_{t}=\{z=x+i y:|x-t|<t / 2,|y|<t / 2\}$ with respect to the domain $S_{t} \backslash E$ at the point $t$.
Next we present three metric tests:
(1) (Akhiezer-Levin [2, Section 3.VII], Kargaev [12, Theorem 6(a)]) If $\int_{\mathbb{R} \backslash E} d x /(1+|x|)<\infty$, then $E$ is an Akhiezer-Levin set.
(2) (Schaeffer [23, Lemma 1]) If $E$ is relatively dense with respect to the Lebesgue measure $d m$ (that is, if for some positive $a$ and $b$ and for every $x \in \mathbb{R}$, we have $m(E \cap[x, x+a]) \geq b)$, then $E$ is an AkhiezerLevin set.
(3) (Kargaev [12, Theorem 4]) If $E$ is an Akhiezer-Levin set, then $\int_{\mathbb{R}} \operatorname{dist}(x, E) /\left(1+x^{2}\right) d x<\infty$.
Given a positive symmetric harmonic function $h$ on $\mathbb{C} \backslash E$, set

$$
C=\max \left\{c \geq 0: h-c \mathcal{M}_{E} \text { is non-negative on } \mathbb{C} \backslash E\right\}
$$

and define the function $P I_{E, h}$ (the Poisson integral of a non-negative measure with support on $E$ ), which is non-negative, symmetric, and harmonic on $\mathbb{C} \backslash E$, by

$$
\begin{equation*}
h=P I_{E, h}+C \mathcal{M}_{E} \tag{1.3}
\end{equation*}
$$

Clearly,
there is no $\varepsilon>0$ such that $P I_{E, h} \geq \varepsilon \mathcal{M}_{E}$ on $\mathbb{C} \backslash E$.
The following lemma is possibly known. Since we were unable to find an appropriate reference, we will give a proof in Section 3.

LEMMA 1.1. For every positive symmetric harmonic function $h$ on $\mathbb{C} \backslash E$ we have

$$
P I_{E, h}(i y)=o\left(\mathcal{M}_{E}(i y)\right), \quad|y| \rightarrow \infty .
$$

We next present an extension of Theorem A:
Theorem 1.2. If $E \subset \mathbb{R}$ is an Akhiezer-Levin set, then every function $f$ in the Cartwright class is of bounded type in $\mathbb{C} \backslash E$. Conversely, let $f$ be an entire function of non-zero exponential type belonging to the Cartwright class. If $f$ is of bounded type in $\mathbb{C} \backslash E$, then $E$ is an Akhiezer-Levin set.

Proof. Let $E$ be an Akhiezer-Levin set, and let $f$ be in the Cartwright class and of exponential type $\sigma \geq 0$. We first suppose that $|f(x)| \leq 1$ for $x \in \mathbb{R}$. Applying the Phragmén-Lindelöf principle to the function $\log |f|-\sigma_{1} \mathcal{M}_{E}$ with $\sigma_{1}>\sigma \sigma_{\mathcal{M}_{E}}^{-1}$, in the upper and in the lower half-planes, we conclude that $\log |f|-\sigma_{1} \mathcal{M}_{E}$ is non-positive everywhere in $\mathbb{C}$, and therefore that $\sigma_{1} \mathcal{M}_{E}$ is a positive harmonic majorant for $\log |f|$ in $\mathbb{C} \backslash E$.

In the general case, we use the Beurling-Malliavin multiplier theorem [5]: there exists a function $g$ in the Cartwright class with $(1+|f(x)|)|g(x)| \leq 1$ for $x \in \mathbb{R}$. Applying the previous argument, we obtain that $g$ and $f g$, and hence $f$, are of bounded type in $\mathbb{C} \backslash E$.

Now, let $f$ be an entire function of non-zero exponential type belonging to the Cartwright class. Suppose that $f$ is of bounded type in $\mathbb{C} \backslash E$. Then the function $\log |f(z)|$ has a positive harmonic majorant $h(z)$; without loss of generality we may assume that $h$ is symmetric, i.e., $h(z)=h(\bar{z})$. By (1.3), we have $h=P I_{E, h}+C \mathcal{M}_{E}$. Since the function $f$ has non-zero exponential type, Lemma 1.1 implies that $\mathcal{M}_{E}(i y) \geq c|y|$ for large $|y|$. This implies that $E$ is an Akhiezer-Levin set.

Our next result extends Theorem B. We now assume that $E$ is the union of disjoint closed intervals $I_{m}=\left[a_{m}, b_{m}\right]$ with $\operatorname{dist}\left(0, I_{m}\right) \rightarrow \infty$. Given an interval $I$ we denote its length by $|I|$.

Theorem 1.3. Suppose $f$ is a Krein class function with zeros $\lambda_{n}$ on $E=$ $\cup I_{m}$, and $\left|I_{m}\right| \geq c$ dist $\left(0, I_{m}\right)^{-M}$ for some constants $c>0$ and $M<\infty$. Then $f$ is of bounded type in $\mathbb{C} \backslash E$.

Proof. We need to prove that the function $\sum_{n} 1 /\left[f^{\prime}\left(\lambda_{n}\right)\left(z-\lambda_{n}\right)\right]$ is of bounded type in $\mathbb{C} \backslash E$. Multiplying $f$ by a polynomial with real zeros, if necessary, we obtain

$$
\begin{equation*}
\sum_{n} \frac{1+\left|\lambda_{n}\right|^{M}}{\left|f^{\prime}\left(\lambda_{n}\right)\right|}<\infty \tag{1.5}
\end{equation*}
$$

Without loss of generality, we may assume that the numbers $f^{\prime}\left(\lambda_{n}\right)$ are real. Furthermore,

$$
\begin{equation*}
\sum_{n} \frac{1}{f^{\prime}\left(\lambda_{n}\right)\left(z-\lambda_{n}\right)}=\sum_{j=1}^{2} g_{j}(z)=\sum_{j=1}^{2} \sum_{n} \frac{c_{n, j}}{z-\lambda_{n}} \tag{1.6}
\end{equation*}
$$

where $c_{n, 1} \geq 0, c_{n, 2} \leq 0$, and

$$
\sum_{j=1}^{2} \sum_{n}\left(1+\left|\lambda_{n}\right|^{M}\right)\left|c_{n, j}\right|<\infty
$$

It suffices to verify that each of the functions $g_{j}$ in (1.6) is a function of bounded type in $\mathbb{C} \backslash E$. Consider the function $g_{1}$, say, and represent it as a
sum of two functions,

$$
g_{1}(z)=g_{-}(z)+g_{+}(z)=\sum_{\lambda_{n} \in E_{-}} \frac{c_{n, 1}}{z-\lambda_{n}}+\sum_{\lambda_{n} \in E_{+}} \frac{c_{n, 1}}{z-\lambda_{n}}
$$

where $E_{-}=\bigcup\left[a_{m},\left(a_{m}+b_{m}\right) / 2\right)$ and $E_{+}=\bigcup\left[\left(a_{m}+b_{m}\right) / 2, b_{m}\right]$, so that $E=E_{+} \cup E_{-}$. Let us verify that $g_{+}$is of bounded type in $\mathbb{C} \backslash E$. Indeed, this function is analytic in $\mathbb{C} \backslash E$ and satisfies

$$
\frac{\operatorname{Im} g_{+}(z)}{\operatorname{Im} z}<0, \quad z \in \mathbb{C} \backslash \mathbb{R}
$$

and, for $x \in \mathbb{R} \backslash E$,

$$
g_{+}(x) \geq-\sum_{\lambda_{n} \in E_{+}, \lambda_{n}>x} \frac{2 c_{n, 1}}{b_{m}-a_{m}} \geq-\frac{2}{c} \sum_{n} c_{n, 1}\left(1+\left|\lambda_{n}\right|^{M}\right)=\tau>-\infty
$$

Thus, the image $g_{+}(\mathbb{C} \backslash E)$ omits the ray $(-\infty, \tau)$. Applying the Frostman theorem, we conclude that the function $g_{+}$is of bounded type in $\mathbb{C} \backslash E$. (Alternatively, we could consider the functions $\theta(z)=(z-1) /(z+1), \theta_{1}(z)=$ $(1+z) /(1-z)$, and $\psi=\theta\left(\sqrt{g_{+}-\tau}\right)$. Since $\psi$ has absolute values bounded by one in $\mathbb{C} \backslash E, g_{+}=\left[\theta_{1}(\psi)\right]^{2}+\tau$ is of bounded type there.) The same argument works for $g_{-}$, and we therefore conclude that $g_{1}=g_{-}+g_{+}$is of bounded type in $\mathbb{C} \backslash E$. Similarly, we see that $g_{2}$ is of bounded type in $\mathbb{C} \backslash E$. Hence the same holds for $1 / f$.

REMARK 1.4. Using more information on the Krein class function $f$ we can further weaken our conditions on $\left|I_{m}\right|$ : the assertion of Theorem 1.3 holds if for some $c>0$ and $M<\infty$ and for every zero $\lambda_{n}$ of $f$ we have

$$
\left|I\left(\lambda_{n}\right)\right| \geq \frac{c}{\left(1+\left|\lambda_{n}\right|^{M}\right)\left|f^{\prime}\left(\lambda_{n}\right)\right|}
$$

where $I\left(\lambda_{n}\right)$ is the interval of $E$ containing the point $\lambda_{n}$. It seems plausible that the assertion of Theorem 1.3 holds for any system of intervals of "nonquasianalytically decaying lengths". Namely, we may conjecture that if $f$ is an entire function in the Krein class, of positive exponential type, with zeros $\lambda_{n}$, and if $\varphi$ is a positive Lip 1 function on $\mathbb{R}$, then $f$ is of bounded type in $\mathbb{C} \backslash E$ with

$$
E=\bigcup_{n}\left[\lambda_{n}-\frac{1}{\varphi\left(\lambda_{n}\right)}, \lambda_{n}+\frac{1}{\varphi\left(\lambda_{n}\right)}\right]
$$

if and only if $\int_{\mathbb{R}} \varphi(x) /\left(1+x^{2}\right) d x<\infty$. In some special cases, when the zero set of $f$ is regularly distributed and $\varphi$ satisfies additional regularity assumptions, this statement follows from results of Benedicks [3, Theorem 5].

Combining Theorems 1.2 and 1.3 , we obtain a sufficient condition for $E$ to be an Akhiezer-Levin set.

Corollary 1.5. If $f$ is a Krein class function of positive exponential type with zeros $\lambda_{n}$, and if $M$ is a constant, then the set

$$
E=\bigcup_{n}\left[\lambda_{n}-\frac{1}{\left(1+\left|\lambda_{n}\right|^{M}\right)\left|f^{\prime}\left(\lambda_{n}\right)\right|}, \lambda_{n}+\frac{1}{\left(1+\left|\lambda_{n}\right|^{M}\right)\left|f^{\prime}\left(\lambda_{n}\right)\right|}\right]
$$

is an Akhiezer-Levin set.

## 2. The Bernstein problem on subsets of the real line

Fix a weight $W$, that is, a lower semicontinuous function $W: \mathbb{R} \rightarrow[1,+\infty]$ such that $\lim _{|x| \rightarrow \infty}|x|^{n} / W(x)=0$ for $n \geq 0$. Consider the space $C(W)$ of functions $f$ that are continuous on $\mathbb{R}$ and satisfy $\lim _{|x| \rightarrow \infty}|f(x)| / W(x)=0$, and set

$$
\|f\|_{C(W)}=\sup _{x \in \mathbb{R}} \frac{|f(x)|}{W(x)}
$$

The Bernstein problem consists of determining whether the set $\mathcal{P}$ of all polynomials is dense in $C(W)$. From now on, we suppose that the weight $W$ is finite on a subset of $\mathbb{R}$ having a finite limit point. In this case the polynomials are simultaneously dense, or not dense, in every space $C\left(W_{r}\right)$ with $W_{r}(x)=W(x)(1+|x|)^{r}, r \in \mathbb{R}$ (see [20, Subsection 24]). Denote by $X_{W}$ the set of polynomials $P$ such that $\|P\|_{C(W)} \leq 1$. We define the Hall majorant $M_{W}$ as

$$
M_{W}(z)=\sup \left\{|P(z)|: P \in X_{W}\right\}
$$

Since the function $\varphi \equiv 1$ belongs to $X_{W}$, we have $M_{W}(z) \geq 1$ for $z \in$ $\mathbb{C}$. Furthermore, we have $M_{W}(x) \leq W(x)$ for $x \in \mathbb{R}$, and $\log M_{W}$ is lower semicontinuous in the plane.

General criteria for the density of polynomials in weighted spaces were obtained by Akhiezer-Bernstein and by Pollard and Mergelyan in the early 1950s (see $[1,20,13]$ ). We shall use the following result.

Theorem C. The polynomials are dense in $C(W)$ if and only if one of the following three equivalent conditions holds:
(1) (Akhiezer-Bernstein)

$$
\sup _{P \in X_{W}} \int_{\mathbb{R}} \frac{\log ^{+}|P(x)|}{1+x^{2}} d x=+\infty
$$

(2) (Mergelyan)

$$
\int_{\mathbb{R}} \frac{\log M_{W}(x)}{1+x^{2}} d x=+\infty
$$

(3) (Mergelyan) $M_{W}(z)=+\infty$ for some (all) $z \in \mathbb{C} \backslash \mathbb{R}$.

Another criterion was proposed in [6].

Theorem D (de Branges). The polynomials are not dense in $C(W)$ if and only if there exists an entire function $F$ of zero exponential type, $F \notin \mathcal{P}$, with (simple) real zeros $\lambda_{n}$, such that

$$
\sum_{n} \frac{W\left(\lambda_{n}\right)}{\left|F^{\prime}\left(\lambda_{n}\right)\right|}<+\infty .
$$

Such a function $F$ belongs to the Krein class and satisfies

$$
\sum_{n} \frac{P\left(\lambda_{n}\right)}{F^{\prime}\left(\lambda_{n}\right)}=0
$$

for every polynomial $P$.
In [6] the weight $W$ is assumed to be continuous, but the result holds also for lower semicontinuous weights $W$, see [24].

From now on, we suppose that $W(x)=\infty$ for $x \in \mathbb{R} \backslash E$, where $E$ is a subset of $\mathbb{R}$ of the kind considered in the previous section, i.e., $E=\cup I_{m}$, where the $I_{m}$ are disjoint closed intervals in $\mathbb{R}$ and dist $\left(0, I_{m}\right) \rightarrow \infty$ as $m \rightarrow \infty$. Following Benedicks [4] and Koosis [13, Section VIIIA] we try to solve the Bernstein problem for $C(W)$ in terms of $M_{W} \mid E$, replacing the form $\left(1+x^{2}\right)^{-1} d x$ by the harmonic measure $\omega_{E}(d x)=\omega(i, d x, \mathbb{C} \backslash E)$.

Theorem 2.1. Suppose that $\left|I_{m}\right| \geq c\left(\text { dist }\left(0, I_{m}\right)\right)^{-M}$ for some $c>0$ and $M<\infty$. The polynomials are dense in $C(W)$ if and only if

$$
\begin{equation*}
\int_{E} \log M_{W}(x) \omega_{E}(d x)=+\infty . \tag{2.1}
\end{equation*}
$$

Furthermore, if the polynomials are not dense in $C(W)$, then the function $\log M_{W}$ has a (positive) harmonic majorant in $\mathbb{C} \backslash E$.

Proof. To obtain the result in one direction, we prove that

$$
\begin{equation*}
\log |P(z)| \leq \int_{E} \log ^{+}|P(x)| \omega(z, d x, \mathbb{C} \backslash E), \quad P \in \mathcal{P} \tag{2.2}
\end{equation*}
$$

First, observe that our assumptions on $E$ imply that

$$
\begin{equation*}
\log |y|=o\left(\mathcal{M}_{E}(i y)\right), \quad y \rightarrow \infty . \tag{2.3}
\end{equation*}
$$

Indeed, take a sequence of points $x_{k} \in E$ tending to $\infty$ sufficiently rapidly (for example, $\left|x_{k+1}\right|>2\left|x_{k}\right|$ suffices), and consider the entire function $B(z)=$ $\prod_{k}\left(1-z / x_{k}\right)$. Then $B$ is in the Krein class and satisfies $\log |B(i y)| / \log |y| \rightarrow$ $\infty$ as $y \rightarrow \infty$. By Theorem 1.3, $\log |B|$ has a positive harmonic majorant $h$ in $\mathbb{C} \backslash E$. Using the representation (1.3) for $h$ together with Lemma 1.1 we obtain (2.3).

Applying a standard Phragmén-Lindelöf argument to the subharmonic functions

$$
\log |P(z)|-\int_{E} \log ^{+}|P(x)| \omega(z, d x, \mathbb{C} \backslash E)-c \mathcal{M}_{E}(z), \quad c>0
$$

in the domain $\mathbb{C} \backslash E$, we obtain (2.2).
By (2.2), we have

$$
\log M_{W}(i) \leq \int_{E} \log M_{W}(x) \omega_{E}(d x)
$$

If the last integral is finite, then $M_{W}(i)<\infty$, and by Theorem C the polynomials are not dense in $C(W)$.

Now suppose that the polynomials are not dense in $C(W)$ and therefore not dense in $C\left(W_{r}\right)$, where $r$ will be chosen later. We apply Theorem D to obtain an entire function $F$ in the Krein class, $F \notin \mathcal{P}$, of zero exponential type with zeros $\lambda_{n} \in E$ such that

$$
\begin{equation*}
\sum_{n} \frac{W_{r}\left(\lambda_{n}\right)}{\left|F^{\prime}\left(\lambda_{n}\right)\right|} \leq 1 \tag{2.4}
\end{equation*}
$$

and for every polynomial $P$ and every $z \in \mathbb{C}$,

$$
\sum_{n} \frac{P(z)-P\left(\lambda_{n}\right)}{\left(z-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}=0
$$

Using relation (1.1) we get

$$
\frac{P(z)}{F(z)}=\sum_{n} \frac{P\left(\lambda_{n}\right)}{\left(z-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}
$$

Theorem 1.3 implies that the function $F$ is of bounded type in $\mathbb{C} \backslash E$ and hence, by (1.2),

$$
0 \leq h(z) \stackrel{\text { def }}{=} \int_{E} \log ^{+}|F(t)| \omega(z, d t, \mathbb{C} \backslash E)<\infty
$$

From this and (2.2) we obtain

$$
\begin{aligned}
\log |P(z)| & \leq h(z)+\int_{E} \log ^{+}\left|\sum_{n} \frac{P\left(\lambda_{n}\right)}{\left(t-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}\right| \omega(z, d t, \mathbb{C} \backslash E) \\
\log M_{W}(z) & \leq h(z)+\int_{E} \log ^{+} \sup _{P \in X_{W}}\left|\sum_{n} \frac{P\left(\lambda_{n}\right)}{\left(t-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}\right| \omega(z, d t, \mathbb{C} \backslash E),
\end{aligned}
$$

for $z \in \mathbb{C} \backslash E$, where $X_{W}$ is, as above, the set of polynomials $P$ with $\|P\|_{C(W)} \leq$ 1. Therefore, to complete the proof of the theorem, we need only verify that

$$
\begin{equation*}
\int_{E} \log ^{+} \sup _{P \in X_{W}}\left|\sum_{n} \frac{P\left(\lambda_{n}\right)}{\left(t-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}\right| \omega_{E}(d t)<\infty \tag{2.5}
\end{equation*}
$$

Then, using Harnack's inequality, we conclude that $\log M_{W}$ has a harmonic majorant in $\mathbb{C} \backslash E$, and by (1.2) we see that condition (2.1) does not hold.

Let us prove (2.5). By Jensen's inequality we have, for every $d>0$,

$$
\begin{aligned}
& \frac{1}{d} \int_{E} \log ^{+} \sup _{P \in X_{W}}\left|\sum_{n} \frac{P\left(\lambda_{n}\right)}{\left(t-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}\right|^{d} \omega_{E}(d t) \\
& \quad \leq \frac{1}{d} \log ^{+} \int_{E} \sup _{P \in X_{W}}\left|\sum_{n} \frac{P\left(\lambda_{n}\right)}{\left(t-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}\right|^{d} \omega_{E}(d t)
\end{aligned}
$$

Furthermore, from (2.4) we get, for $0<d<1$,

$$
\begin{aligned}
\sup _{P \in X_{W}}\left|\sum_{n} \frac{P\left(\lambda_{n}\right)}{\left(t-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}\right|^{d} & \leq \sup _{P \in X_{W}} \sum_{n}\left|\frac{P\left(\lambda_{n}\right)}{W_{r}\left(\lambda_{n}\right)}\right|^{d}\left|\frac{W_{r}\left(\lambda_{n}\right)}{\left(t-\lambda_{n}\right) F^{\prime}\left(\lambda_{n}\right)}\right|^{d} \\
& \leq \sum_{n} \frac{1}{\left(1+\left|\lambda_{n}\right|\right)^{r d}\left|t-\lambda_{n}\right|^{d}}
\end{aligned}
$$

Therefore, to prove (2.5) it remains to check that, for some $d$ with $0<d<1$, we have

$$
\begin{equation*}
\sum_{n} \int_{E} \frac{1}{\left(1+\left|\lambda_{n}\right|\right)^{r d}\left|t-\lambda_{n}\right|^{d}} \omega_{E}(d t)<\infty \tag{2.6}
\end{equation*}
$$

Since the numbers $\lambda_{n}$ are the zeros of an entire function of zero exponential type, we have $1+\left|\lambda_{n}\right| \geq c n$ for some $c>0$. Thus, (2.6) follows from an estimate of the form

$$
\begin{equation*}
\int_{E} \frac{1}{|t-\lambda|^{d}} \omega_{E}(d t) \leq C(1+|\lambda|)^{r d-2} \tag{2.7}
\end{equation*}
$$

with a constant $C$ that is independent of $\lambda \in E$ and some $d$ with $0<d<1$.
Our conditions on $E$ imply that, for some $c>0$ and some $M<\infty$, and for every $\lambda \in E$, there exists $\delta$ with $c(1+|\lambda|)^{-M} \leq \delta \leq 10 c(1+|\lambda|)^{-M}$ such that for $I=[\lambda-\delta, \lambda+\delta]$ we have

$$
E \cap I=J_{1} \cup J_{2}
$$

where the intervals $J_{k}=\left[a_{k}, b_{k}\right]$ satisfy $\left|J_{k}\right| \geq c(1+|\lambda|)^{-M}, k=1,2$. The elementary inequality for the harmonic measure

$$
\omega_{E}(d t)=\omega(i, d t, \mathbb{C} \backslash E) \leq \omega\left(i, d t, \mathbb{C} \backslash I_{k}\right) \leq \frac{C d t}{\sqrt{\left(b_{k}-t\right)\left(t-a_{k}\right)}}, \quad t \in J_{k}
$$

shows that for $d=1 / 4$,

$$
\begin{aligned}
\int_{J_{k}} & \frac{1}{|t-\lambda|^{d}} \omega_{E}(d t) \\
& \leq \int_{a_{k}}^{b_{k}} \frac{C d t}{\left(b_{k}-t\right)^{1 / 2}\left(t-a_{k}\right)^{1 / 2}|t-\lambda|^{1 / 4}} \leq C(1+|\lambda|)^{M / 4}, \quad k=1,2
\end{aligned}
$$

Furthermore,

$$
\int_{E \backslash I} \frac{1}{|t-\lambda|^{1 / 4}} \omega_{E}(d t) \leq \sup _{t \in E \backslash I} \frac{1}{|t-\lambda|^{1 / 4}} \leq C(1+|\lambda|)^{M / 4}
$$

Thus, the inequality (2.7) holds with $d=1 / 4$ and any $r \geq M+8$.
Remark 2.2. The same proof shows that the polynomials are not dense in $C(W)$ as soon as (2.1) fails and there exists a sequence $m_{k} \rightarrow \infty$ such that $\left|I_{m_{k}}\right| \geq c\left(\operatorname{dist}\left(0, I_{m_{k}}\right)\right)^{-M}$ for some $c>0$ and $M<\infty$.

Remark 2.3. In the general case when the polynomials are not dense in $C(W)$, every function $f$ in the closure $\operatorname{Clos}_{C(W)} \mathcal{P}$ of the polynomials has an analytic continuation to the entire complex plane and satisfies $|f(z)| \leq$ $M_{W}(z)\|f\|_{C(W)}$ for $z \in \mathbb{C}$. Therefore, under the assumptions of Theorem 2.1, every function from $\operatorname{Clos}_{C(W)} \mathcal{P}$ is of bounded type in $\mathbb{C} \backslash E$.

Remark 2.4. As in Theorem C, condition (2.1) is equivalent to

$$
\sup _{P \in X_{W}} \int_{E} \log ^{+}|P(x)| \omega_{E}(d x)=+\infty
$$

The following examples show that the assertions of Theorem 2.1 are not valid if the condition $\left|I_{m}\right| \geq c\left(\operatorname{dist}\left(0, I_{m}\right)\right)^{-M}$ is not fulfilled.

Proposition 2.5. (a) There exist a weight $W$ and a subset $E$ of $\mathbb{R}$ such that $W(x)=\infty$ for $x \in \mathbb{R} \backslash E$, the polynomials are dense in $C(W)$ and

$$
\int_{E} \log M_{W}(x) \omega_{E}(d x)<\infty
$$

(b) There exist a weight $W$ and a subset $E$ of $\mathbb{R}$ such that $W(x)=\infty$ for $x \in \mathbb{R} \backslash E$, the polynomials are not dense in $C(W)$ and

$$
\int_{E} \log M_{W}(x) \omega_{E}(d x)=+\infty
$$

Proof. (a) Consider a set of disjoint intervals $I_{n}$ such that $\left|I_{n}\right| \leq 1, \exp (n) \in$ $I_{n}$ for $n \geq 1$, and $\omega\left(i, I_{n}, \mathbb{C} \backslash\left(I_{1} \cup I_{n}\right)\right)<n^{-2} \exp (-n)$ for $n>1$. We define a weight $W$ by setting $W \mid I_{n} \equiv \exp \exp (n), n \geq 1$, and defining $W(x)=+\infty$ for $x \notin \cup I_{n}$. By Theorem D , the polynomials are not dense in $C(W)$. Indeed, no entire function $F$ of zero exponential type, with real zeros $\lambda_{n} \rightarrow \infty$, satisfies the condition

$$
\left|F^{\prime}\left(\lambda_{n}\right)\right| \geq c \exp \left(\lambda_{n}\right)
$$

Finally, since $M_{W}(x) \leq W(x)$ for $x \in \mathbb{R}$, we obtain

$$
\begin{aligned}
& \int_{E} \log M_{W}(x) \omega_{E}(d x) \leq \int_{I_{1}} \log W(x) \omega_{E}(d x)+\sum_{n>1} \int_{I_{n}} \log W(x) \omega_{E}(d x) \\
& \leq C+\sum_{n>1} \omega\left(i, I_{n}, \mathbb{C} \backslash\left(I_{1} \cup I_{n}\right)\right) \sup _{I_{n}} \log W \leq C+\sum_{n>1} n^{-2} e^{-n} e^{n}<\infty
\end{aligned}
$$

(b) We use the following bound for the harmonic measure.

Lemma 2.6. Let $I_{n}$ be intervals of length 1 such that $\operatorname{dist}\left(0, I_{n}\right)=(1+$ $o(1)) \exp (n)$ as $n \rightarrow+\infty$, and let $E=\cup I_{n}$. Then there exist constants $\varepsilon>0$ and $C>0$ that are independent of $E$ such that

$$
\omega\left(i, I_{n}, \mathbb{C} \backslash E\right) \geq C \cdot e^{(\varepsilon-1) n}
$$

We postpone the proof of this lemma to the next section.
Fix $\rho$ with $1-\varepsilon<2 \rho<1$, consider the canonical product

$$
B_{\rho}(z)=\prod_{n=1}^{\infty}\left(1-\frac{z}{n^{1 / \rho}}\right)
$$

and define an entire function $F_{\rho}$ of zero exponential type by $F_{\rho}(z)=B_{\rho}\left(z^{2}\right)$. Denote by $\Lambda$ the zero set of $F_{\rho}$, i.e., $\Lambda=\left\{\lambda_{ \pm n}= \pm n^{1 /(2 \rho)}, n \geq 1\right\}$. It follows from a result G. H. Hardy [10] that the function

$$
\frac{B_{\rho}(z)}{z^{-1 / 2} \sin \left(\pi z^{\rho}\right) \exp \left((\pi \cot \pi \rho) z^{\rho}\right)}
$$

tends to a finite non-zero limit as $|z| \rightarrow \infty$ with $|\arg z| \leq \pi / 2$. Put $f(z)=$ $\sin \left(\pi z^{\rho}\right)$ and $g=B_{\rho} / f$. Since $B_{\rho}^{\prime}(\lambda)=g(\lambda) f^{\prime}(\lambda)$ for $\lambda=n^{1 / \rho}, n \geq 1$, we obtain, for some $c>0$,

$$
\begin{aligned}
& \left.\mid B_{\rho}^{\prime}(\lambda)\right) \mid=(c+o(1)) \lambda^{\rho-3 / 2} \exp \left((\pi \cot \pi \rho) \lambda^{\rho}\right), \quad \lambda=n^{1 / \rho}, n \rightarrow \infty \\
& \left|F_{\rho}^{\prime}(\lambda)\right|=(2 c+o(1))|\lambda|^{2 \rho-2} \exp \left((\pi \cot \pi \rho)|\lambda|^{2 \rho}\right), \quad \lambda \in \Lambda,|\lambda| \rightarrow \infty
\end{aligned}
$$

whence

$$
\begin{aligned}
\log \left|F_{\rho}^{\prime}( \pm \exp (t))\right|=\log (2 c)+o(1)+ & (2 \rho-2) t+(\pi \cot \pi \rho) \exp (2 \rho t) \\
& \exp (t) \in \Lambda, t \rightarrow \infty
\end{aligned}
$$

We define an even weight $W$ by

$$
\begin{equation*}
\log W( \pm \exp (t))=(\pi \cot \pi \rho) \exp (2 \rho t) \tag{2.8}
\end{equation*}
$$

Since

$$
\sum_{\lambda \in \Lambda} \frac{W(\lambda)}{|\lambda|^{2}\left|F_{\rho}^{\prime}(\lambda)\right|}<\infty
$$

Theorem D implies that the polynomials are not dense in $C\left(W_{r}\right)$. The even log-convex function $W$ is increasing on the positive half-line. Let us verify that

$$
\begin{equation*}
\log W(x)=(1+o(1)) \log M_{W}(x), \quad x \rightarrow+\infty \tag{2.9}
\end{equation*}
$$

First, without loss of generality, we assume that $W$ is $C^{2}$-smooth. By the convexity of $\varphi=\log W \circ \exp$, we have $\varphi(s)+\varphi^{\prime}(s)(r-s) \leq \varphi(r)$ for every $r$ and $s$. Now fix $t=\log x$. If $\varphi^{\prime}(r)=n \leq \varphi^{\prime}(t)<n+1$, then $\varphi(t) \geq n(t-r)$. Furthermore, for some $\xi \in(r, t)$ we have $\varphi(t)-\varphi(r)=\varphi^{\prime}(\xi)(t-r)$. Since $\varphi^{\prime}(\xi) \leq \varphi^{\prime}(t)<n+1=\varphi^{\prime}(r)+1$, we get $\varphi(t)-\varphi(r)-\varphi^{\prime}(r)(t-r) \leq t-r$, and as a result, $\varphi(r)+\varphi^{\prime}(r)(t-r) \geq(1-1 / n) \varphi(t)$. Therefore, $x^{n} \exp (\varphi(r)-r n) \geq$ $W(x)^{1-1 / n}$; also, for every $y>0$ and $r \in \mathbb{R}$, we have $W(y) \geq y^{n} \exp (\varphi(r)-$ $r n)$. This proves (2.9).

Relations (2.8) and (2.9) imply that

$$
\begin{equation*}
\log M_{W}(x)=(1+o(1))(\pi \cot \pi \rho)|x|^{2 \rho}, \quad|x| \rightarrow \infty \tag{2.10}
\end{equation*}
$$

Choose a sequence of intervals $I_{n}$ with $\left|I_{n}\right|=1$ and dist $\left(0, I_{n}\right)=(1+$ $o(1)) \exp (n), n \rightarrow+\infty$, and set $E=\cup I_{n}$. Apply Lemma 2.6 and add to $E$ a union $E^{\prime}$ of small intervals such that $\Lambda \subset E^{\prime}$ and

$$
\omega\left(i, I_{n}, \mathbb{C} \backslash\left(E \cup E^{\prime}\right)\right) \geq C_{1} \cdot e^{(\varepsilon-1) n}
$$

Then by (2.10),

$$
\begin{aligned}
\int_{E \cup E^{\prime}} & \log M_{W}(x) \omega\left(i, d x, \mathbb{C} \backslash\left(E \cup E^{\prime}\right)\right) \\
\quad \geq & \sum_{n} \omega\left(i, I_{n}, \mathbb{C} \backslash\left(E \cup E^{\prime}\right)\right) \inf _{I_{n}} \log M_{W} \\
\quad \geq & \sum_{n} C_{2} \cdot e^{(\varepsilon-1) n} e^{2 \rho n}=+\infty
\end{aligned}
$$

As corollaries to Theorem 2.1 we obtain some results of Levin and Benedicks. First, suppose that $W|E=\widetilde{W}| E$ for an even log-convex function $\widetilde{W}$ that is increasing on the positive half-line, $W \mid \mathbb{R} \backslash E \equiv+\infty$. Applying Theorem 2.1 and using relation (2.9) for $\widetilde{W}$, we arrive at the following result which is essentially equivalent to a theorem of Levin [18, Theorem 3.23]:

Theorem E. Suppose $W$ is a weight as above and $E$ a set satisfying the conditions of Theorem 2.1. Then the polynomials are dense in $C(W)$ if and only if

$$
\int_{E} \log W(x) \omega_{E}(d x)=+\infty
$$

Benedicks ([4]; see also the discussion in [13, Section VIII A.4]) investigated the weighted polynomial approximation on the sets

$$
\begin{equation*}
E=\cup_{n \in \mathbb{Z}}\left[|n|^{p} \operatorname{sgn} n-\delta,|n|^{p} \operatorname{sgn} n+\delta\right] \tag{2.11}
\end{equation*}
$$

for $p>1$ and $\delta<1 / 2$, and announced the following result:
Theorem F. Suppose that $E$ is a set of the form (2.11) and $W$ is a weight such that $W(x)=+\infty$ for $x \in \mathbb{R} \backslash E$. The polynomials are dense in $C(W)$ if and only if

$$
\sup _{P \in X_{W}} \int_{E} \frac{\log |P(x)|}{1+|x|^{1+1 / p}} d x=+\infty .
$$

In [4] Benedicks gave a proof of the "only if" part of this theorem based on the following estimate of the harmonic measure $\omega_{E}(d x)=\omega(i, d x, \mathbb{C} \backslash E)$ for sets $E$ of the form (2.11):

Lemma 2.7 (Benedicks [4]). If $E$ has the form (2.11), then

$$
\begin{align*}
& \frac{c}{1+|x|^{1+1 / p}} \frac{1}{\sqrt{\delta^{2}-\left(x-|n|^{p} \operatorname{sgn} n\right)^{2}}}  \tag{2.12}\\
& \quad \leq \frac{\omega_{E}(d x)}{d x} \leq \frac{C}{1+|x|^{1+1 / p}} \frac{1}{\sqrt{\delta^{2}-\left(x-|n|^{p} \operatorname{sgn} n\right)^{2}}}
\end{align*}
$$

for $x \in\left[|n|^{p} \operatorname{sgn} n-\delta,|n|^{p} \operatorname{sgn} n+\delta\right]$, where $c$ and $C$ are positive constants that do not depend on $x$ and $n$.

A more accessible reference for the upper bound in (2.12) is [13, Section VIII A.4], where a sketch of the proof is given. We will give a proof for the lower bound in the next section.

Theorem 2.1 together with the lower bound in (2.12) immediately yields the "if" part of Theorem F:

Corollary 2.8. Suppose that $W$ is a weight and $E$ is a set of the form (2.11) such that $W(x)=\infty$ for $x \in \mathbb{R} \backslash E$. If the polynomials are not dense in $C(W)$, then

$$
\int_{E} \frac{\log M_{W}(x)}{1+|x|^{1+1 / p}} d x<\infty
$$

## 3. Harmonic estimation in slit domains

In this section we prove Lemmas 1.1 and 2.6 and the lower estimate in Lemma 2.7.

Proof of Lemma 1.1. Suppose that for a sequence $y_{k} \rightarrow+\infty$ we have

$$
\infty>P I_{E, h}\left(i y_{k}\right) \geq \mathcal{M}_{E}\left(i y_{k}\right)
$$

By Harnack's inequality there exist positive constants $c_{1}$ and $c_{2}$, independent of $k$, such that

$$
\left.\begin{array}{rl}
P I_{E, h}(z) & \geq c_{1} P I_{E, h}\left(i y_{k}\right)  \tag{3.1}\\
\mathcal{M}_{E}(z) & \leq c_{2} \mathcal{M}_{E}\left(i y_{k}\right)
\end{array}\right\}, \quad\left|z-i y_{k}\right|<y_{k} / 2
$$

Let $c_{3}$ be a positive constant and consider the function $u=c_{3} \mathcal{M}_{E}-P I_{E, h}$, which is harmonic on $\mathbb{C} \backslash E$ and satisfies

$$
\begin{equation*}
u(z) \leq-\left(c_{1}-c_{2} c_{3}\right) \mathcal{M}_{E}\left(i y_{k}\right), \quad\left|z-i y_{k}\right|<y_{k} / 2 \tag{3.2}
\end{equation*}
$$

We use the following fact (see Lemma 1 of [23] and Lemma 6 of [3]):

$$
\begin{equation*}
\text { the function } \quad y \rightarrow \mathcal{M}_{E}(x+i y) \quad \text { is increasing for } \quad y \geq 0 \tag{3.3}
\end{equation*}
$$

For the sake of completeness, we give a proof of this result, following [19]. Since the function $\mathcal{M}_{E}$ is positive, harmonic and symmetric in $\mathbb{C} \backslash E$, is subharmonic in the plane and has order at most one and mean type there, the subharmonic version of the Hadamard representation (see [11, Section 4.2]) implies that, for a finite positive measure $\mu$ on $\mathbb{R}$,

$$
\begin{aligned}
\mathcal{M}_{E}(z)=\int_{|t| \geq 1} & \left(\log \left|1-\frac{z}{t}\right|+\frac{\operatorname{Re} z}{t}\right) d \mu(t) \\
& +\int_{|t| \leq 1} \log |t-z| d \mu(t)+c_{1}+c_{2} \operatorname{Re} z, \quad z \in \mathbb{C} \backslash E
\end{aligned}
$$

Property (3.3) now follows immediately.
Using (3.3) and the second inequality in (3.1), we get

$$
\begin{equation*}
u(z) \leq c_{3} \mathcal{M}_{E}(z) \leq c_{2} c_{3} \mathcal{M}_{E}\left(i y_{k}\right), \quad|\operatorname{Re} z|=y_{k} / 2,|\operatorname{Im} z|<y_{k} \tag{3.4}
\end{equation*}
$$

Denote by $H$ the union of two horizontal sides of the domain $S=\{z \in \mathbb{C}$ : $\left.|\operatorname{Re} z|<y_{k} / 2,|\operatorname{Im} z|<y_{k}\right\}$, and by $V$ the union of its two vertical sides. An estimate for the harmonic measure in $S \backslash E$ shows that there exists a positive constant $C$ that is independent of $k$ and $E$ such that

$$
\begin{equation*}
\frac{\omega(z, H, S \backslash E)}{\omega(z, V, S \backslash E)} \geq \frac{1}{C}, \quad|z|<y_{k} / 5 \tag{3.5}
\end{equation*}
$$

To obtain this estimate we use the following claim, which is an easy generalization of a lemma of Benedicks [3, Lemma 7] (see also [13, p.436]): For every square $S_{x, t}=\{z \in \mathbb{C}:|\operatorname{Re} z-x|<t,|\operatorname{Im} z|<t\}$ with horizontal sides $H_{x, t}$ and vertical sides $V_{x, t}$, we have

$$
\begin{equation*}
\omega\left(x+i y, H_{x, t}, S_{x, t} \backslash E\right) \geq \omega\left(x+i y, V_{x, t}, S_{x, t} \backslash E\right), x+i y \in S_{x, t} \tag{3.6}
\end{equation*}
$$

To verify (3.6) we note first that, by symmetry, we have $\omega\left(\cdot, H_{x, t}, S_{x, t}\right)=$ $\omega\left(\cdot, V_{x, t}, S_{x, t}\right)$ on the diagonals. Therefore, applying the maximum principle
to the difference of these functions, we get

$$
\begin{gathered}
\omega\left(r, H_{x, t}, S_{x, t}\right) \leq \omega\left(r, V_{x, t}, S_{x, t}\right), \quad r \in(x-t, x+t), \\
\omega\left(x+i y, H_{x, t}, S_{x, t}\right) \geq \omega\left(x+i y, V_{x, t}, S_{x, t}\right), \quad x+i y \in S_{x, t},
\end{gathered}
$$

and hence

$$
\begin{aligned}
\omega(x & \left.+i y, H_{x, t}, S_{x, t} \backslash E\right) \\
& =\omega\left(x+i y, H_{x, t}, S_{x, t}\right)-\int_{E} \omega\left(r, H_{x, t}, S_{x, t}\right) \omega\left(x+i y, d r, S_{x, t} \backslash E\right) \\
& \geq \omega\left(x+i y, V_{x, t}, S_{x, t}\right)-\int_{E} \omega\left(r, V_{x, t}, S_{x, t}\right) \omega\left(x+i y, d r, S_{x, t} \backslash E\right) \\
& =\omega\left(x+i y, V_{x, t}, S_{x, t} \backslash E\right), \quad x+i y \in S_{x, t}
\end{aligned}
$$

To deduce (3.5), note that the function $\omega(z, H, S \backslash E)$ is positive and continuous in $S \backslash E$. Therefore, for $A=\left\{x \pm i y_{k} / 5:|x| \leq 2 y_{k} / 5\right\}$ we have $\min _{z \in A} \omega(z, H, S \backslash E)>0$. Hence, if $C$ is sufficiently large,

$$
\varphi(z) \stackrel{\text { def }}{=} C \omega(z, H, S \backslash E)-\omega(z, V, S \backslash E) \geq 1, \quad z \in A
$$

For every $z=x+i y$ with $|z|<y_{k} / 5$ consider the square $S_{x, t}$ with $t=y_{k} / 5$, and note that $H_{x, t} \subset A$ and $S_{x, t} \subset S$. Therefore $\varphi\left|H_{x, t} \geq 1, \varphi\right| E \equiv 0$, and $\varphi \mid V_{x, t} \geq-1$, and the estimate (3.6) implies that $\varphi(x+i y) \geq 0$. Thus, property (3.5) is proved.

Now, if $c_{3}$ is sufficiently small, then applying the theorem on two constants to the symmetric harmonic function $u$ in the domain $S \backslash E$ and using (3.2), (3.4), (3.5), and the relation

$$
\limsup _{z \rightarrow w} u(z)=-\liminf _{z \rightarrow w} P I_{E, h}(z) \leq 0, \quad w \in E
$$

we obtain

$$
u(z) \leq 0, \quad|z|<y_{k} / 5
$$

Thus, $c_{3} \mathcal{M}_{E}(z)-P I_{E, h}(z)=u(z) \leq 0, z \in \mathbb{C} \backslash E$, which contradicts (1.4).
Proof of the lower bound in Lemma 2.7.
Step $A$. For $t \geq 0$ denote by $K_{t}$ the square $\{z \in \mathbb{C}:|\operatorname{Re} z-t| \leq$ $t / 2,|\operatorname{Im} z| \leq t / 2\}$. In what follows we use the function $u(z)=\log \mid z+$ $\sqrt{z^{2}-1} \mid$, which is positive and harmonic in $\mathbb{C} \backslash[-1,1]$, vanishes on $[-1,1]$, and satisfies $u(z)=\log |z|+O(1)$ as $|z| \rightarrow \infty$.

The function $v(z)=u\left(C t^{1-1 / p} \sin \pi z^{1 / p}\right)$ vanishes on a closed set $F$ with $K_{t} \cap E \subset F$, for a suitable choice of $C$ (independent of $t$ ). Furthermore, $v$ is non-negative on $K_{t}$ and harmonic on $K_{t} \backslash F$. We estimate the function $z \rightarrow\left|t^{1-1 / p} \sin \pi z^{1 / p}\right|$ using the following inequalities:

$$
\begin{gathered}
\left|t^{1-1 / p} \sin \pi z^{1 / p}\right| \leq \exp \left(C t^{1 / p}\right), \quad z \in K_{t} \\
\left|t^{1-1 / p} \sin \pi t^{1 / p}\right| \geq C n^{p-1}, \quad t=(n+1 / 2)^{p}, n \geq 0
\end{gathered}
$$

The asymptotic relation $u(z)=\log |z|+O(1),|z| \rightarrow \infty$, implies now that, for some positive constant $c$, we have $v(z) \leq c t^{1 / p}$ for $z \in K_{t}$, and $v\left((n+1 / 2)^{p}\right) \geq$ $c \log n, n \geq 0$. Next, we choose $t=(n+1 / 2)^{p}$, and apply the theorem on two constants to the function $v(z)$ in $K_{t} \backslash F$ :

$$
c \log n \leq v\left((n+1 / 2)^{p}\right) \leq \omega\left(t, \partial K_{t}, K_{t} \backslash F\right) \sup _{\partial K_{t}} v \leq c n \omega\left(t, \partial K_{t}, K_{t} \backslash F\right)
$$

Hence, for $t=(n+1 / 2)^{p}, n>1$, we have

$$
\omega\left(t, \partial K_{t}, K_{t} \backslash E\right) \geq \omega\left(t, \partial K_{t}, K_{t} \backslash F\right) \geq c \frac{\log n}{n}
$$

Let $H_{t}$ be the union of the two horizontal sides of $K_{t}$. By the lemma of Benedicks mentioned in the proof of Lemma 1.1 we have

$$
\omega\left(t, H_{t}, K_{t} \backslash E\right) \geq \frac{1}{2} \omega\left(t, \partial K_{t}, K_{t} \backslash E\right)
$$

Therefore, for $n>1$,

$$
\begin{equation*}
\omega\left((n+1 / 2)^{p}, H_{(n+1 / 2)^{p}}, K_{(n+1 / 2)^{p}} \backslash E\right) \geq c \frac{\log n}{n} \tag{3.7}
\end{equation*}
$$

Step $B$. The Green function $G(z, i)$ for $\mathbb{C} \backslash E$ is positive, bounded and harmonic on $\{z:|\operatorname{Im} z|>2\}$. Therefore, applying the Poisson formula in the half-planes $\{z: \pm \operatorname{Im} z>2\}$ we get

$$
\begin{align*}
G(z, i) & \geq \frac{1}{\pi} \int_{-\infty}^{\infty} G(x \pm 2 i, i) \frac{|\operatorname{Im} z|-2}{(|\operatorname{Im} z|-2)^{2}+x^{2}} d x  \tag{3.8}\\
& \geq \frac{c}{|\operatorname{Im} z|}, \quad 3|\operatorname{Im} z| \geq|\operatorname{Re} z| \geq 10
\end{align*}
$$

The inequalities (3.7) and (3.8) imply that for $n>1$,

$$
G\left((n+1 / 2)^{p}, i\right) \geq \omega\left((n+1 / 2)^{p}, H_{\left(n+\frac{1}{2}\right)^{p}}, K_{\left(n+\frac{1}{2}\right)^{p}} \backslash E\right) \inf _{H_{\left(n+\frac{1}{2}\right)^{p}}} G \geq c \frac{\log n}{n^{p+1}}
$$

Set $I_{n}=\left[n^{p}-\delta, n^{p}+\delta\right]$. Since $G(z, i)$ is positive and harmonic on $\{z$ : $\left.\left|z-n^{p}\right| \leq n^{p-1}\right\} \backslash I_{n}$, Harnack's inequality gives

$$
G(z, i) \geq c \frac{\log n}{n^{p+1}}, \quad\left|z-n^{p}\right|=n^{p-1}
$$

Step $C$. Finally, consider the auxiliary function $w(z)=u\left(\left(z-n^{p}\right) / \delta\right)$, which is harmonic on $\mathbb{C} \backslash I_{n}$, vanishes on $I_{n}$, and satisfies $w(z) \leq c \log n$ for $\left|z-n^{p}\right|=n^{p-1}$. Therefore, for $n>1$, we have

$$
G(z, i) \geq \frac{c}{n^{p+1}} w(z), \quad\left|z-n^{p}\right| \leq n^{p-1}
$$

and

$$
\begin{aligned}
\frac{\omega(i, d x, \mathbb{C} \backslash E)}{d x}= & \left.\frac{1}{\pi} \frac{\partial G(x+i y, i)}{\partial y}\right|_{y=0} \\
& \geq \frac{c}{1+|x|^{1+1 / p}} \frac{1}{\sqrt{\delta^{2}-\left(x-|n|^{p} \operatorname{sgn} n\right)^{2}}} d x, \quad x \in I_{n}
\end{aligned}
$$

The proof for the case $n \leq 1$ is analogous.
Proof of Lemma 2.6. As in the preceding proof, we consider the Green function $G(z, i)$ for $\mathbb{C} \backslash E$, which is positive, harmonic and bounded in $\mathbb{C} \backslash$ $(E \cup\{z:|z-i|<1\})$. Set

$$
h_{n}=\int_{0}^{\exp (n)} G(x, i) d x, \quad n \geq 0
$$

Applying the Poisson formula in the lower half-plane we get

$$
G\left(-i e^{n}, i\right) \geq \frac{1}{\pi} \int_{0}^{\exp (n)} \frac{e^{n}}{x^{2}+e^{2 n}} G(x, i) d x \geq c \cdot e^{-n} h_{n}, \quad n \geq 0
$$

By Harnack's inequality, on at least one half of the length of the interval [ $e^{n}, e^{n+1}$ ], we have the bound $G(x, i) \geq c \cdot G\left(-i e^{n}, i\right) \geq c \cdot e^{-n} h_{n}$. Therefore, for some $c>0$, we have $h_{n+1}>(1+c) h_{n}$ for $n \geq 0$. Consequently, $h_{n+1}>$ $c(1+c)^{n}$ for $n \geq 0$. Applying again the Poisson formula, we obtain, for some $\varepsilon>0$,

$$
G(-i x, i)>c \cdot x^{\varepsilon-1}, \quad x \geq 1
$$

Denote by $c_{n}$ the center of the interval $I_{n}$. Arguing as in step C of the preceding proof, we compare $G(z, i)$ with $w(z)=u\left(2\left(z-c_{n}\right)\right)$ in $\left\{z:\left|z-c_{n}\right| \leq\right.$ $\left.e^{n-1}\right\} \backslash I_{n}$ and deduce

$$
\omega\left(i, I_{n}, \mathbb{C} \backslash E\right) \geq c \cdot e^{(\varepsilon-1) n} / n, \quad n \geq 1
$$

REmARK 3.1. To estimate the harmonic measure $\omega_{E}$ from above, we may use Theorem 1.3 or Theorems D and E. In particular, under the conditions of Lemma 2.6, we have

$$
\omega\left(i, I_{n}, \mathbb{C} \backslash E\right) \leq \exp (-c \sqrt{n}), \quad n \geq 1
$$

for some positive constant $c$.
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