



## ABSOLUTELY SUMMING OPERATORS ON SEPARABLE LINDENSTRAUSS SPACES AS TREE SPACES AND THE BOUNDED APPROXIMATION PROPERTY

ÅSVALD LIMA<sup>1\*</sup>, VEGARD LIMA<sup>2</sup>, AND EVE OJA<sup>3</sup>

*Dedicated to the memory of Joram Lindenstrauss*

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ABSTRACT. Let  $X$  be a Banach space and let  $Y$  be a separable Lindenstrauss space. We describe the Banach space  $\mathcal{P}(Y, X)$  of absolutely summing operators as a general  $\ell_1$ -tree space. We also characterize the bounded approximation property and its weak version for  $X$  in terms of the space of integral operators  $\mathcal{I}(X, Z^*)$  and the space of nuclear operators  $\mathcal{N}(X, Z^*)$ , respectively, where  $Z$  is a Lindenstrauss space, whose dual  $Z^*$  fails to have the Radon-Nikodým property.

### 1. INTRODUCTION

A Banach space is called a *Lindenstrauss space* (or an  $L_1$ -predual) if its dual space is isometrically isomorphic to an  $L_1(\mu)$  space for some measure  $\mu$ . The class of Lindenstrauss spaces contains the  $C(K)$  spaces and, more generally, the  $M$ -spaces, but it is a much wider class than the latter (see, e.g., [18], [20], [12], or [19, Part II, Chapter 4]).

The main aims of this paper are to describe absolutely summing operators on Lindenstrauss spaces and to demonstrate how any Lindenstrauss space whose

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\* Corresponding author.

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dual fails the Radon-Nikodým property can be used to characterize the classical bounded approximation property. This naturally leads us to study operators from and to the space  $L_1[0, 1]$ .

In [13], we planted two-trunk trees in a Banach space  $X$  and described the Banach space of absolutely summing operators  $\mathcal{P}(C[0, 1], X)$  from  $C[0, 1]$  to  $X$  as an  $\ell_1$ -tree space on  $X$  of two-trunk trees. In Section 2 of the present paper, we extend this description from  $C[0, 1]$  to an arbitrary separable Lindenstrauss space  $Y$ : the space  $\mathcal{P}(Y, X)$  will be described solely in terms of the space  $X$  itself as a general  $\ell_1$ -tree space on  $X$ . In fact, every separable Lindenstrauss space gives rise to some kind of trees in an arbitrary Banach space  $X$ . In particular, the nice structure of classical Lindenstrauss spaces such as  $C(\Delta)$ , where  $\Delta \subset [0, 1]$  is the Cantor set, or  $C[0, 1]$  helps us to plant nice simple trees such as dyadic trees or two-trunk trees.

Recall that a Banach space  $X$  is said to have the *approximation property* (AP) if there exists a net of finite rank operators  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$ , the identity operator on  $X$ , uniformly on compact subsets of  $X$ . If  $(S_\alpha)$  can be chosen with  $\sup_\alpha \|S_\alpha\| \leq \lambda$  for some  $\lambda \geq 1$ , then  $X$  has the  $\lambda$ -*bounded approximation property* ( $\lambda$ -BAP). According to [16], we say that  $X$  has the *weak  $\lambda$ -bounded approximation property* (weak  $\lambda$ -BAP) if for every Banach space  $Y$  and every weakly compact operator  $T \in \mathcal{W}(X, Y)$  there exists a net of finite rank operators  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$  uniformly on compact sets in  $X$  and  $\limsup_\alpha \|TS_\alpha\| \leq \lambda\|T\|$ .

In [15], we characterized the  $\lambda$ -BAP and the weak  $\lambda$ -BAP in terms of the space of integral operators  $\mathcal{I}(X, C[0, 1]^*)$  and the space of nuclear operators  $\mathcal{N}(X, C[0, 1]^*)$ , respectively. In Section 3, we show that  $C[0, 1]$  can be replaced by any Lindenstrauss space  $Z$  such that  $Z^*$  fails to have the Radon-Nikodým property and we still obtain characterizations of the  $\lambda$ -BAP and the weak  $\lambda$ -BAP. It is well known that  $C[0, 1]^*$  contains  $L_1[0, 1]$  as a subspace (in fact, as an  $L$ -summand), but  $L_1[0, 1]$  is not a dual space. Nevertheless, we prove that in the above-mentioned characterizations,  $C[0, 1]^*$  can be replaced by  $L_1[0, 1]$ .

In Section 4, motivated by the main Theorem of Section 3 (Theorem 3.3) and applying results and ideas from Sections 2 and 3, we shall look at some structure of the spaces  $\mathcal{I}(X, Z^*)$ , where  $Z$  is a Lindenstrauss space, and  $\mathcal{I}(X, L_1[0, 1])$ . In particular, we give reasonable formulas for computing respective integral norms of operators. We also show, e.g., that  $\mathcal{I}(X, L_1[0, 1])$  is an  $L$ -summand in  $\mathcal{I}(X, C[0, 1]^*)$ .

Our notation is standard. We consider Banach spaces over the real field  $\mathbb{R}$ . A Banach space  $X$  will be regarded as a subspace of its bidual  $X^{**}$  under the canonical embedding  $j_X : X \rightarrow X^{**}$ . We denote by  $\mathcal{L}(X, Y)$  the Banach space of all bounded linear operators from  $X$  to  $Y$ . Besides the operator ideal  $\mathcal{P}$  of absolutely summing operators, we also need the ideals  $\mathcal{I}$  and  $\mathcal{N}$  of integral operators and of nuclear operators. Absolutely summing, integral, and nuclear norms of operators are denoted by  $\|\cdot\|_{\mathcal{P}}$ ,  $\|\cdot\|_{\mathcal{I}}$ , and  $\|\cdot\|_{\mathcal{N}}$ , respectively. For  $\mathcal{P}$ ,  $\mathcal{I}$ , and  $\mathcal{N}$ , we refer to the books by Diestel, Jarchow, and Tonge [5], Pietsch [27], and Ryan [28].

2. ABSOLUTELY SUMMING OPERATORS ON A SEPARABLE LINDENSTRAUSS SPACE AS A TREE SPACE

Although separable Lindenstrauss spaces seem not to have a transparent functional representation, they admit a useful description which is due to Lazar and Lindenstrauss [11] and Michael and Pełczyński [21] (see [12] or, e.g., [19, p. 165]).

**Theorem 2.1** (Lazar, Lindenstrauss, Michael, Pełczyński). *Let  $Y$  be a separable Banach space. The following statements are equivalent.*

- (a)  $Y$  is a Lindenstrauss space.
- (b)  $Y = \overline{\bigcup_{n=1}^{\infty} E_n}$  with  $E_n \subset E_{n+1}$  and  $E_n$  isometrically isomorphic to  $\ell_{\infty}^{m_n}$  for every  $n$ .
- (c)  $Y = \overline{\bigcup_{n=0}^{\infty} F_n}$  with  $F_n \subset F_{n+1}$  and  $F_n$  isometrically isomorphic to  $\ell_{\infty}^{m_n}$  for every  $n$  and some  $m_0 < m_1 < m_2 < \dots < m_n < m_{n+1} < \dots$ .

There are important separable Lindenstrauss spaces  $Y$  which can be represented as in (c) in such a way that the spaces  $F_n$  have simple useful bases  $(y_{k,n})_{k=1}^{m_n}$  and the system  $((y_{k,n})_{k=1}^{m_n})_{n=0}^{\infty}$  has a nice tree-like structure. (In fact, as we shall see below, any separable Lindenstrauss space gives rise to some tree-like structure.)

**Example 2.2.** Denote by  $\ell_{\infty}[0, 1]$  the Banach space of bounded functions on  $[0, 1]$ . Consider the system  $((y_{k,n})_{k=1}^{2^n})_{n=0}^{\infty}$  in  $\ell_{\infty}[0, 1]$ , where  $y_{1,0} = \chi_{[0,1]}$ ,  $y_{1,1} = \chi_{[0,1/2]}$ ,  $y_{2,1} = \chi_{[1/2,1]}$ ,  $y_{1,2} = \chi_{[0,1/4]}$ ,  $y_{2,2} = \chi_{[1/4,1/2]}$ ,  $y_{3,2} = \chi_{[1/2,3/4]}$ ,  $y_{4,2} = \chi_{[3/4,1]}$ , and so on, i.e.,  $y_{k,n} = \chi_{[\frac{k-1}{2^n}, \frac{k}{2^n}]}$  for  $n = 0, 1, \dots$  and  $k = 1, \dots, 2^n$ . Then  $((y_{k,n})_{k=1}^{2^n})_{n=0}^{\infty}$  is a dyadic tree in  $\ell_{\infty}[0, 1]$ , since

$$y_{k,n} = y_{2k-1,n+1} + y_{2k,n+1}$$

for all  $n = 0, 1, \dots$  and  $k = 1, \dots, 2^n$ .

Denote  $F_n = \text{span}\{y_{k,n} : k = 1, \dots, 2^n\}$  and  $M = \overline{\bigcup_{n=0}^{\infty} F_n} \subset \ell_{\infty}[0, 1]$ . Since  $\sum_{k=1}^{2^n} y_{k,n} = \chi_{[0,1]}$  and  $\|y_{k,n}\| = 1$ , it easily follows that

$$\left\| \sum_{k=1}^{2^n} \lambda_k y_{k,n} \right\| = \max_{1 \leq k \leq 2^n} |\lambda_k|$$

for all scalars  $(\lambda_k)_{k=1}^{2^n}$ .

Note that we can also consider  $M \subset L_{\infty}[0, 1]$ .

**Example 2.3.** Let  $Y = C(\Delta)$ . Let  $y_{1,0} = \chi_{\Delta}$ ,  $y_{1,1} = \chi_{\Delta \cap [0,1/3]}$ ,  $y_{2,1} = \chi_{\Delta \cap [2/3,1]}$ ,  $y_{1,2} = \chi_{\Delta \cap [0,1/9]}$ ,  $y_{2,2} = \chi_{\Delta \cap [2/9,1/3]}$ ,  $y_{3,2} = \chi_{\Delta \cap [2/3,7/9]}$ ,  $y_{4,2} = \chi_{\Delta \cap [8/9,1]}$ , and so on. Then  $((y_{k,n})_{k=1}^{2^n})_{n=0}^{\infty}$  is a dyadic tree in  $C(\Delta)$ , since we have

$$y_{k,n} = y_{2k-1,n+1} + y_{2k,n+1}.$$

Denoting  $F_n = \text{span}\{y_{k,n} : k = 1, \dots, 2^n\}$ , we have  $C(\Delta) = \overline{\bigcup_{n=0}^{\infty} F_n}$ . Since  $\sum_{k=1}^{2^n} y_{k,n} = \chi_{\Delta}$  and  $\|y_{k,n}\| = 1$ , it easily follows that

$$\left\| \sum_{k=1}^{2^n} \lambda_k y_{k,n} \right\| = \max_{1 \leq k \leq 2^n} |\lambda_k|$$

for all scalars  $(\lambda_k)_{k=1}^{2^n}$ .

**Example 2.4.** Let  $Y = C[0, 1]$ . Let  $F_n$  denote the space of all linear splines on  $[0, 1]$  with knots  $\{k/2^n : k = 0, 1, \dots, 2^n\}$ . As in [13, Example 2.2], let  $(g_{k,2^n})_{k=0}^{2^n}$  be the basis for  $F_n$  defined by the conditions

$$g_{k,2^n}\left(\frac{k}{2^n}\right) = 1 \quad \text{and} \quad g_{k,2^n}\left(\frac{j}{2^n}\right) = 0 \text{ if } j \neq k,$$

i.e.,  $g_{k,2^n}$  are linear B-splines. Denote  $y_{k,n} = g_{k-1,2^n}$ ,  $n = 0, 1, \dots$  and  $k = 1, \dots, 2^n + 1$ . Then  $((y_{k,n})_{k=1}^{2^n+1})_{n=0}^\infty$  is a two-trunk tree in  $C[0, 1]$  (for a definition of a two-trunk tree in a Banach space, see [13] or Remark 2.10 below). We also have  $C[0, 1] = \overline{\bigcup_{n=0}^\infty F_n}$ ,  $\sum_{k=1}^{2^n+1} y_{k,n} = \chi_{[0,1]}$ ,  $\|y_{k,n}\| = 1$ , and

$$\left\| \sum_{k=1}^{2^n+1} \lambda_k y_{k,n} \right\| = \max_{1 \leq k \leq 2^n+1} |\lambda_k|$$

for all scalars  $(\lambda_k)_{k=1}^{2^n+1}$ .

**Example 2.5.** Let  $Y$  be any separable Lindenstrauss space. Reformulating its representation (b) of Theorem 2.1, there exist subspaces  $F_n \subset F_{n+1}$  with  $F_n$  isometrically isomorphic to  $\ell_\infty^{n+1}$  for every  $n = 0, 1, \dots$ . By [21] or [12, p. 179] (see, e.g., [19, p. 166]) there exist bases  $(y_{k,n})_{k=1}^{n+1}$  in  $F_n$  and a triangular matrix  $A = ((a_{k,n})_{k=1}^{n+1})_{n=0}^\infty$  with  $\sum_{k=1}^{n+1} |a_{k,n}| \leq 1$ ,  $n = 0, 1, \dots$  such that

$$y_{k,n} = y_{k,n+1} + a_{k,n} y_{n+2,n+1}$$

for all  $n = 0, 1, \dots$  and  $k = 1, \dots, n+1$ . Moreover

$$\left\| \sum_{k=1}^{n+1} \lambda_k y_{k,n} \right\| = \max_{1 \leq k \leq n+1} |\lambda_k|$$

for all scalars  $(\lambda_k)_{k=1}^{n+1}$ . Such a matrix  $A$  was associated to  $Y$  in [12] and was called a *representing matrix* of  $Y$ . The representing matrix is not uniquely determined. For a study of representing matrices and their connections with underlying separable Lindenstrauss spaces, the reader is referred to [12] (see also [19, pp. 165–169]).

Concerning Examples 2.2 and 2.3 above, let us point out the following connection.

**Proposition 2.6.** *There exists an isometric isomorphism between the spaces  $M$  and  $C(\Delta)$ .*

*Proof.* Denote by  $((\bar{y}_{k,n})_{k=1}^{2^n})_{n=0}^\infty$  the dyadic tree in  $M$  defined in Example 2.2. And let  $((y_{k,n})_{k=1}^{2^n})_{n=0}^\infty$  be the dyadic tree in  $C(\Delta)$  defined in Example 2.3.

We shall denote  $F_n = \text{span} \{y_{k,n} : k = 1, \dots, 2^n\} \subset C(\Delta)$  and  $G_n = \text{span} \{\bar{y}_{k,n} : k = 1, \dots, 2^n\} \subset M$ . For  $n = 0, 1, \dots$ , let  $\theta_n : G_n \rightarrow F_n$  be the linear isometry which carries  $\bar{y}_{k,n}$  to  $y_{k,n}$ ,  $k = 1, \dots, 2^n$ . Then  $\theta_{n+1}|_{G_n} = \theta_n$  because

$$\theta_{n+1}(\bar{y}_{k,n}) = \theta_{n+1}(\bar{y}_{2k-1,n+1} + \bar{y}_{2k,n+1}) = y_{2k-1,n+1} + y_{2k,n+1} = y_{k,n}, \quad k = 1, \dots, 2^n.$$

It follows that  $\theta_m|_{G_n} = \theta_n$  whenever  $m \geq n$ .

We can now define  $\theta : \cup_{n=0}^\infty G_n \rightarrow \cup_{n=0}^\infty F_n$  by  $\theta x = \theta_n x$  whenever  $x \in G_n$  for some  $n$ . The mapping  $\theta$  is well-defined and linear. Clearly  $\theta$  is an isometry. The desired isometric isomorphism will be the extension by continuity of  $\theta$ .  $\square$

Let  $Y$  be a separable Lindenstrauss space with a general structure as in Theorem 2.1 (c) above. Since  $F_n$  is isometric to  $\ell_\infty^{m_n}$ , looking at the isometric copy of the unit vector basis, we see that there exists a basis  $(y_{k,n})_{k=1}^{m_n}$  in  $F_n$  such that

$$\left\| \sum_{k=1}^{m_n} \lambda_k y_{k,n} \right\| = \max_{1 \leq k \leq m_n} |\lambda_k|$$

for all scalars  $(\lambda_k)_{k=1}^{m_n}$ . Extending [12, p. 179] (or [19, p. 165]), we call such a basis of  $F_n$  *admissible*. If  $(y_{k,n})_{k=1}^{m_n}$  is an admissible basis in  $F_n$ , then its coordinate functionals in  $F_n^*$  are of norm one. Hence there exist  $y_{k,n}^* \in B_{Y^*}$ ,  $k = 1, \dots, m_n$ , such that  $((y_{k,n})_{k=1}^{m_n}, (y_{k,n}^*)_{k=1}^{m_n})$  is a biorthogonal system.

We can now describe absolutely summing operators on separable Lindenstrauss spaces and calculate their norms (see Theorems 2.7 and 2.11 below). Recall that a linear operator  $T : Y \rightarrow X$  is said to be *absolutely summing* if there exists a constant  $C \geq 0$  such that

$$\sum_{k=1}^n \|Ty_k\| \leq C \sup \left\{ \sum_{k=1}^n |y^*(y_k)| : y^* \in Y^*, \|y^*\| \leq 1 \right\}$$

for every choice of elements  $y_1, \dots, y_n$  in  $Y$ . The minimum value of the constant  $C$  is called the *absolutely summing norm* of  $T$  and is denoted by  $\|T\|_{\mathcal{P}}$ .

**Theorem 2.7.** *Let  $X$  be a Banach space. Let  $Y = \overline{\cup_{n=0}^\infty F_n}$  be a separable Lindenstrauss space with a structure as in Theorem 2.1 (c). Let  $(y_{k,n})_{k=1}^{m_n}$  be an admissible basis in  $F_n$  and let  $(y_{k,n}^*)_{k=1}^{m_n} \subset B_{Y^*}$  be functionals forming a biorthogonal system together with  $(y_{k,n})_{k=1}^{m_n} \subset Y$ . If  $T \in \mathcal{P}(Y, X)$ , then*

$$Ty = \lim_n \sum_{k=1}^{m_n} y_{k,n}^*(y) Ty_{k,n}$$

for all  $y \in Y$  and

$$\|T\|_{\mathcal{P}} = \sup_n \sum_{k=1}^{m_n} \|Ty_{k,n}\| = \lim_n \sum_{k=1}^{m_n} \|Ty_{k,n}\|.$$

The proofs of Theorem 2.7 and Theorem 2.11 below will develop ideas from our paper [13, proof of Theorem 3.2] and they will use the following (folkloric) lemma (see [13, Lemma 3.1]).

**Lemma 2.8.** *Let  $X$  and  $Y$  be Banach spaces, and let  $T_n \in \mathcal{P}(Y, X)$ . If the sequence  $(T_n)$  is bounded in  $\mathcal{P}(Y, X)$  and for every  $y \in Y$  the limit  $Ty := \lim_n T_n y$  exists, then  $T \in \mathcal{P}(Y, X)$  and  $\|T\|_{\mathcal{P}} \leq \sup_n \|T_n\|_{\mathcal{P}}$ .*

*Proof of Theorem 2.7.* Define  $P_n : Y \rightarrow Y$  by  $P_n = \sum_{k=1}^{m_n} y_{k,n}^* \otimes y_{k,n}$ . Then  $P_n$  is a projection with  $\text{ran } P_n = F_n$  and  $\|P_n\| = 1$ . In fact,

$$\|P_n y\| = \max_{1 \leq k \leq m_n} |y_{k,n}^*(y)| \leq \|y\|.$$

Since we also have  $Y = \overline{\bigcup_{n=0}^{\infty} \text{ran } P_n}$  and  $\text{ran } P_m \subset \text{ran } P_n$  for  $m \leq n$ , the following conditions hold:

$$P_n P_m = P_m \text{ for } m \leq n \quad \text{and} \quad P_n y \rightarrow y \text{ for } y \in Y.$$

Since

$$\|TP_n\|_{\mathcal{P}} \leq \|T\|_{\mathcal{P}} \|P_n\| = \|T\|_{\mathcal{P}}$$

for all  $n$  and  $TP_n y \rightarrow Ty$  for all  $y \in Y$ , it follows from Lemma 2.8 that

$$\|T\|_{\mathcal{P}} \leq \sup_n \|TP_n\|_{\mathcal{P}}.$$

But from  $P_n P_m = P_m$  when  $m \leq n$ , we get

$$\|T\|_{\mathcal{P}} = \sup_n \|TP_n\|_{\mathcal{P}} = \lim_n \|TP_n\|_{\mathcal{P}}.$$

We have  $TP_n = \sum_{k=1}^{m_n} y_{k,n}^* \otimes Ty_{k,n}$ . Hence,

$$Ty = \lim_n TP_n y = \lim_n \sum_{k=1}^{m_n} y_{k,n}^*(y) Ty_{k,n}$$

for all  $y \in Y$ . We also get

$$\|TP_n\|_{\mathcal{P}} \leq \left\| \sum_{k=1}^{m_n} y_{k,n}^* \otimes Ty_{k,n} \right\|_{\mathcal{N}} \leq \sum_{k=1}^{m_n} \|Ty_{k,n}\|.$$

On the other hand,

$$\sum_{k=1}^{m_n} \|Ty_{k,n}\| \leq \|T\|_{\mathcal{P}} \sup_{y^* \in B_{Y^*}} \sum_{k=1}^{m_n} |y^*(y_{k,n})| = \|T\|_{\mathcal{P}} \sup_{y^* \in B_{F_n^*}} \sum_{k=1}^{m_n} |y^*(y_{k,n})|.$$

Since  $(y_{k,n})_{k=1}^{m_n}$  is an admissible basis in  $F_n$ , we get for any  $y^* \in B_{F_n^*}$  that  $\sum_{k=1}^{m_n} |y^*(y_{k,n})| \leq 1$ . Hence,

$$\|TP_n\|_{\mathcal{P}} \leq \sum_{k=1}^{m_n} \|Ty_{k,n}\| \leq \|T\|_{\mathcal{P}},$$

and therefore

$$\|T\|_{\mathcal{P}} = \lim_n \sum_{k=1}^{m_n} \|Ty_{k,n}\|.$$

□

**Definition 2.9.** Let  $Y = \overline{\bigcup_{n=0}^{\infty} F_n}$  be a separable Lindenstrauss space with a structure as in Theorem 2.1 (c) and let  $(y_{k,n})_{k=1}^{m_n}$  be an admissible basis in  $F_n$ ,  $n = 0, 1, \dots$ . Let  $M_n$ ,  $n = 0, 1, \dots$ , denote the matrix whose  $k$ -th row is formed by the coefficients of  $y_{k,n}$  in  $(y_{j,n+1})_{j=1}^{m_{n+1}}$ . The matrix  $M_n$  is of order  $m_n \times m_{n+1}$ . Let  $X$  be a Banach space. A system  $((x_{k,n})_{k=1}^{m_n})_{n=0}^{\infty}$  of elements in  $X$  is called a *tree related to*  $((y_{k,n})_{k=1}^{m_n})_{n=0}^{\infty}$  if for all  $n = 0, 1, \dots$

$$(x_{k,n})_{k=1}^{m_n} = M_n \cdot (x_{j,n+1})_{j=1}^{m_{n+1}}.$$

The corresponding  $\ell_1$ -tree space on  $X$  is defined as

$$\ell_1^{\text{tree}}(X) = \{(z_n)_{n=0}^{\infty} \in \ell_{\infty}(\ell_1^{m_n}(X)) : z_n = M_n \cdot z_{n+1}\}$$

with the norm from  $\ell_\infty(\ell_1^{m_n}(X))$ .

By Definition 2.9,  $((y_{k,n})_{k=1}^{m_n})_{n=0}^\infty$  is a tree related to itself, and  $\ell_1^{\text{tree}}(X)$  is a linear subspace of  $\ell_\infty(\ell_1^{m_n}(X))$  consisting of all trees in  $X$  related to  $((y_{k,n})_{k=1}^{m_n})_{n=0}^\infty$ . Next, we prove that  $\ell_1^{\text{tree}}(X)$  is isometrically isomorphic to  $\mathcal{P}(Y, X)$ , hence  $\ell_1^{\text{tree}}(X)$  is a closed subspace of  $\ell_\infty(\ell_1^{m_n}(X))$ .

*Remark 2.10.* A *two-trunk tree* (introduced and studied in [13]) is precisely a tree related to the system of linear B-splines  $((y_{k,n})_{k=1}^{2^n+1})_{n=0}^\infty \subset C[0, 1]$  from Example 2.4. And the space  $\ell_1^{\text{tree}}(X)$  of two-trunk trees from [13] is the corresponding  $\ell_1^{\text{tree}}(X)$  from Definition 2.9.

**Theorem 2.11.** *Let  $X$  be a Banach space. Let  $Y = \overline{\cup_{n=0}^\infty F_n}$  be a separable Lindenstrauss space with a structure as in Theorem 2.1 (c) and let  $(y_{k,n})_{k=1}^{m_n}$  be an admissible basis in  $F_n$  for  $n = 0, 1, \dots$ . Then  $\mathcal{P}(Y, X)$  is isometrically isomorphic to the  $\ell_1$ -tree space  $\ell_1^{\text{tree}}(X)$  related to  $((y_{k,n})_{k=1}^{m_n})_{n=0}^\infty$  by the mapping*

$$T \mapsto ((Ty_{k,n})_{k=1}^{m_n})_{n=0}^\infty, \quad T \in \mathcal{P}(Y, X).$$

The inverse mapping

$$((x_{k,n})_{k=1}^{m_n})_{n=0}^\infty \mapsto T$$

is given by

$$Ty = \lim_n \sum_{k=1}^{m_n} y_{k,n}^*(y) x_{k,n}, \quad y \in Y,$$

where  $(y_{k,n}^*)_{k=1}^{m_n} \subset B_{Y^*}$  are functionals forming a biorthogonal system together with  $(y_{k,n})_{k=1}^{m_n} \subset Y$ .

*Proof.* Due to Theorem 2.7, it remains to show the claim about the inverse mapping. So let  $z = ((x_{k,n})_{k=1}^{m_n})_{n=0}^\infty \in \ell_1^{\text{tree}}(X)$ . Define  $T_n = \sum_{k=1}^{m_n} y_{k,n}^* \otimes x_{k,n}$ . Then

$$\|T_n\|_{\mathcal{P}} \leq \|T_n\|_{\mathcal{N}} \leq \sum_{k=1}^{m_n} \|x_{k,n}\| \leq \|z\|, \quad n = 0, 1, \dots$$

We want to show that the sequence  $(T_n)_{n=0}^\infty$  converges pointwise in  $\mathcal{L}(Y, X)$ . Since the sequence  $(T_n)_{n=0}^\infty$  is bounded and the functions  $y_{k,l}$ ,  $l = 0, 1, \dots, k = 1, \dots, m_l$ , span a dense subspace of  $Y$ , it suffices to prove that  $\lim_n T_n y_{k,l}$  exists for every  $y_{k,l}$ . By the definition of  $T_l$ , we have  $T_l y_{k,l} = x_{k,l}$  for all  $l = 0, 1, \dots$  and  $k = 1, \dots, m_l$ . Denote the matrix  $M_l = (m_{k,j}^l)$ , so that

$$y_{k,l} = \sum_{j=1}^{m_{l+1}} m_{k,j}^l y_{j,l+1}$$

and

$$x_{k,l} = \sum_{j=1}^{m_{l+1}} m_{k,j}^l x_{j,l+1}$$

for all  $l = 0, 1, \dots$  and  $k = 1, \dots, m_l$ . Since  $T_{l+1} y_{j,l+1} = x_{j,l+1}$ , we get

$$T_{l+1} y_{k,l} = T_{l+1} \left( \sum_{j=1}^{m_{l+1}} m_{k,j}^l y_{j,l+1} \right) = \sum_{j=1}^{m_{l+1}} m_{k,j}^l x_{j,l+1} = x_{k,l}.$$

Since  $T_{l+2}y_{j,l+1} = x_{j,l+1}$ , we have

$$T_{l+2}y_{k,l} = T_{l+2}\left(\sum_{j=1}^{m_{l+1}} m_{k,j}^l y_{j,l+1}\right) = x_{k,l}.$$

Continuing similarly, we get that for each  $n \geq l$

$$T_n y_{k,l} = x_{k,l}, \quad k = 1, \dots, m_l.$$

Hence,  $\lim_n T_n y_{k,l} = x_{k,l}$  for all  $l = 0, 1, \dots$  and  $k = 1, \dots, m_l$ . It follows that  $(T_n)_{n=0}^\infty$  converges pointwise to an operator  $T \in \mathcal{L}(Y, X)$ . By Lemma 2.8,  $T \in \mathcal{P}(Y, X)$  and  $T \mapsto z$  because  $T y_{k,l} = x_{k,l}$ .  $\square$

*Remark 2.12.* Theorems 2.7 and 2.11 can be applied to all Examples above. For instance, one can calculate  $\|T\|_{\mathcal{P}}$  for  $T \in \mathcal{P}(Y, X)$  using the trees  $((y_{k,n})_{k=1}^{m_n})_{n=0}^\infty$  described in the Examples. However, for the representation of  $T \in \mathcal{P}(Y, X)$ , we need to know about functionals on  $Y$  forming biorthogonal systems together with trees. Let us indicate below some appropriate systems of such functionals.

In Example 2.2, we have  $Y = M \subset M[0, 1]$ . We may take  $y_{k,n}^* = \delta_{(k-1)/2^n}$  (Dirac functionals),  $k = 1, \dots, 2^n$ . Then  $\|y_{k,n}^*\| = 1$  and

$$y_{k,n}^*(y_{j,n}) = \delta_{kj}.$$

If we consider  $Y = M \subset L_\infty[0, 1]$ , then we may define the biorthogonal functionals  $y_{k,n}^* \in B_{M^*}$  by

$$y_{k,n}^*(y) = 2^n \int_{\frac{k-1}{2^n}}^{\frac{k}{2^n}} y(t) dt, \quad y \in M.$$

In Example 2.3, we have  $Y = C(\Delta)$ . We may take  $y_{1,0}^* = \delta_{0/3^0}$ ,  $y_{1,1}^* = \delta_{0/3^1}$ ,  $y_{2,1}^* = \delta_{2/3^1}$ ,  $y_{1,2}^* = \delta_{0/3^2}$ ,  $y_{2,2}^* = \delta_{2/3^2}$ ,  $y_{3,2}^* = \delta_{6/3^2}$ ,  $y_{4,2}^* = \delta_{8/3^2}$ , and so on. Then  $\|y_{k,n}^*\| = 1$  and

$$y_{k,n}^*(y_{j,n}) = \delta_{kj}, \quad k, j = 1, \dots, 2^n.$$

In Example 2.4, we have  $Y = C[0, 1]$ . In this case we may take  $y_{k,n}^* = \delta_{(k-1)/2^n}$ ,  $k = 1, \dots, 2^n + 1$ . Then  $\|y_{k,n}^*\| = 1$  and

$$y_{k,n}^*(y_{j,n}) = g_{j-1, 2^n} \left( \frac{k-1}{2^n} \right) = \delta_{jk}.$$

In this special case Theorem 2.11 reduces to [13, Theorem 3.2].

In Example 2.5,  $Y$  is any separable Lindenstrauss space. In [32], Zippin explicitly defined a sequence of functionals  $(\phi_k)_{k=1}^\infty \subset \text{ext } B_{Y^*}$ . It follows from Zippin's results that  $((y_{k,n})_{k=1}^{n+1}, (\phi_k)_{k=1}^{n+1})$  is a biorthogonal system.

### 3. THE $\lambda$ -BAP IN TERMS OF LINDENSTRAUSS SPACES AND OF $L_1[0, 1]$

In [13, Theorems 1.3 and 1.4], we characterized the  $\lambda$ -BAP and the weak  $\lambda$ -BAP in terms of  $C[0, 1]$ . In this section (see Theorems 3.3 and 3.4 below), we shall show that  $C[0, 1]$  can be replaced by many other spaces and we still obtain characterizations of the  $\lambda$ -BAP and the weak  $\lambda$ -BAP. An important feature of these spaces is the failure of the Radon-Nikodým property.

By a well-known theorem of Stegall [31] (see, e.g., [6, p. 198]),  $X^*$  has the Radon-Nikodým property if and only if every separable subspace  $Y$  of  $X$  has a separable dual  $Y^*$ . We shall need a reformulation of this result in terms of ideals. Recall that a closed subspace  $Y$  of  $X$  is an *ideal* in  $X$  if  $Y$  admits a norm-preserving extension operator  $\varphi \in \mathcal{L}(Y^*, X^*)$  (i.e.,  $(\varphi y^*)(y) = y^*(y)$  and  $\|\varphi y^*\| = \|y^*\|$  for all  $y^* \in Y^*$  and  $y \in Y$ ). This is equivalent to the annihilator  $Y^\perp$  of  $Y$  being the kernel of a norm one projection on  $X^*$ .

**Proposition 3.1.** *Let  $X$  be a Banach space. Then  $X^*$  has the Radon-Nikodým property if and only if every separable ideal  $Y$  in  $X$  has a separable dual  $Y^*$ .*

*Proof.* Due to Stegall's theorem, we only need to prove the “if” part. Let  $W$  be a separable subspace in  $X$ . By a result of Heinrich and Mankiewicz [9] or Sims and Yost [29] (see, e.g., [8, p. 138]), we can find a separable ideal  $Y$  in  $X$  such that  $W \subset Y$ . Now,  $Y^*$  is separable and  $W^*$  is a quotient space of  $Y^*$ , so  $W^*$  is separable. Hence,  $X^*$  has the Radon-Nikodým property.  $\square$

**Proposition 3.2.** *Let  $Z$  be a Lindenstrauss space such that  $Z^*$  fails the Radon-Nikodým property. Then  $Z$  is isometrically universal for all separable Banach spaces.*

*Proof.* By Proposition 3.1, there exists a separable ideal  $Y$  in  $Z$  such that  $Y^*$  is not separable. Since  $Y$  is an ideal in a Lindenstrauss space, it is also a Lindenstrauss space (see [7, Proposition 3.4]). Now since  $Y^*$  is non-separable, by a result of Lazar and Lindenstrauss (see [12, Theorem 2.3] or [19, Proposition II.4.18]),  $C(\Delta)$  embeds isometrically in  $Y$ . Since  $C(\Delta)$  is isometrically universal for all separable spaces the result follows.  $\square$

**Theorem 3.3.** *Let  $X$  be a Banach space and let  $\lambda \in [1, \infty)$ . Let  $Z$  be a Lindenstrauss space whose dual space  $Z^*$  fails the Radon-Nikodým property. Then the following statements are equivalent.*

- (a)  $X$  has the  $\lambda$ -BAP.
- (b) For every  $T \in \mathcal{I}(X, Z^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

- (c) For every  $T \in \mathcal{I}(X, L_1[0, 1])$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

**Theorem 3.4.** *Let  $X$  be a Banach space and let  $\lambda \in [1, \infty)$ . Let  $Z$  be a Lindenstrauss space whose dual space  $Z^*$  fails the Radon-Nikodým property. Then the following statements are equivalent.*

- (a)  $X$  has the weak  $\lambda$ -BAP.
- (b) For every  $T \in \mathcal{N}(X, Z^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{N}} \leq \lambda \|T\|_{\mathcal{N}}.$$

(c) For every  $T \in \mathcal{N}(X, L_1[0, 1])$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{N}} \leq \lambda \|T\|_{\mathcal{N}}.$$

*Remark 3.5.* Theorems 1.3 and 1.4 in [15] assert that the equivalences (a)  $\Leftrightarrow$  (b) of Theorems 3.3 and 3.4 hold in the particular case when  $Z = C[0, 1]$ . In the above characterizations of the  $\lambda$ -BAP and the weak  $\lambda$ -BAP, one may, e.g., take  $Z$  to be any separable Lindenstrauss space whose dual space is non-separable, in particular, one may take  $Z = M$  or  $Z = C(\Delta)$ . Comparing characterizations (b) and (c) of the weak BAP and the BAP in Theorems 3.3 and 3.4, it seems to be significant that  $L_1[0, 1]$  is a rather “small” space which is not even a dual space. In (c) of Theorem 3.4,  $L_1[0, 1]$  can be replaced by even a much “smaller” space  $\ell_1$  (see [14, Proposition 4.1]).

In the proof of Theorem 3.3 we shall use the following lemma.

**Lemma 3.6.** *Let  $X$  be a Banach space, let  $Y \subset X$  be an ideal, and let  $\lambda \in [1, \infty)$ . If for every  $T \in \mathcal{I}(X, L_1[0, 1])$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$  pointwise on  $X$  and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}},$$

*then for every  $T \in \mathcal{I}(Y, L_1[0, 1])$  there exists a net  $(S_\alpha) \subset \mathcal{F}(Y, Y)$  such that  $S_\alpha \rightarrow I_Y$  pointwise on  $Y$  and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

*Proof.* Let  $T \in \mathcal{I}(Y, L_1[0, 1])$ , let  $\varphi : Y^* \rightarrow X^*$  be a norm-preserving extension operator, and let  $i_Y : Y \rightarrow X$  be the natural embedding. Since integral operators are weakly compact, we have  $T^{**} = j_{L_1[0, 1]}t$ , where  $t$  denotes  $T^{**}$  considered with values in  $L_1[0, 1]$ . Then (see, e.g., [28, p. 65])  $t \in \mathcal{I}(Y^{**}, L_1[0, 1])$  and  $\|t\|_{\mathcal{I}} = \|j_{L_1[0, 1]}t\|_{\mathcal{I}} = \|T^{**}\|_{\mathcal{I}} = \|T\|_{\mathcal{I}}$ .

Let  $F \subset Y$  be a finite set and let  $\varepsilon > 0$ . We have  $t\varphi^*|_X \in \mathcal{I}(X, L_1[0, 1])$ , so there exists  $S \in \mathcal{F}(X, X)$  with  $\|Sy - y\| < \varepsilon$  for all  $y \in F$  and

$$\|t\varphi^*S\|_{\mathcal{I}} \leq (\lambda + \varepsilon)\|t\varphi^*j_X\|_{\mathcal{I}} \leq (\lambda + \varepsilon)\|T\|_{\mathcal{I}}.$$

Since  $\|t\varphi^*Si_Y\|_{\mathcal{I}} \leq \|t\varphi^*S\|_{\mathcal{I}}$ , we may (simply renaming  $Si_Y$  to  $S$ ) assume that  $S \in \mathcal{F}(Y, X)$ . All we need to show is that there exists  $V \in \mathcal{F}(Y, Y)$  such that  $\|Vy - y\| \leq \varepsilon$  for all  $y \in F$  and

$$\|TV\|_{\mathcal{I}} \leq (1 + \varepsilon)\|t\varphi^*S\|_{\mathcal{I}},$$

because then also

$$\|TV\|_{\mathcal{I}} \leq (1 + \varepsilon)(\lambda + \varepsilon)\|T\|_{\mathcal{I}}.$$

It is known (see, e.g., [28, p. 176]) that for a finite rank operator, acting to a space with the 1-BAP, its integral norm coincides with its projective tensor norm  $\|\cdot\|_{\pi}$ . Hence,  $\|TV\|_{\mathcal{I}} = \|TV\|_{\pi}$  and  $\|t\varphi^*S\|_{\mathcal{I}} = \|t\varphi^*S\|_{\pi}$  in  $Y^* \hat{\otimes}_{\pi} L_1[0, 1]$ .

Denote

$$C = \{TV : V \in \mathcal{F}(Y, Y), \|Vy - y\| \leq \varepsilon, \forall y \in F\} \subset Y^* \otimes L_1[0, 1]$$

and

$$B = (1 + \varepsilon) \|t\varphi^* S\|_{\pi} B_{Y^* \hat{\otimes}_{\pi} L_1[0,1]}.$$

We need to show that  $C \cap B \neq \emptyset$ . Observe that  $C$  is convex and not empty (take, e.g., any projection  $V \in \mathcal{F}(Y, Y)$  onto  $\text{span}(F)$ ).

If  $C \cap B = \emptyset$ , then there exists  $U \in (Y^* \hat{\otimes}_{\pi} L_1[0,1])^* = \mathcal{L}(Y^*, L_1[0,1]^*)$  with  $\|U\| = 1$  such that

$$\inf_{TV \in C} \langle U, TV \rangle \geq (1 + \varepsilon) \|t\varphi^* S\|_{\pi}.$$

Let  $S = \sum_{i=1}^m y_i^* \otimes x_i$ ,  $E = \text{span}(F, (x_i)_{i=1}^m) \subset X$ , and  $H = \text{span}(T^* U y_i^*)_{i=1}^m \subset Y^*$ . Choose  $\eta > 0$  such that  $\|Sy - y\| < (1 + \eta)^{-1} \varepsilon$  for all  $y \in F$ . Using a local characterization of ideals (see, e.g., [26, Corollary 3.3]), there exists an operator  $\psi : E \rightarrow Y$  with  $\|\psi\| \leq 1 + \eta$  such that  $\psi y = y$  for  $y \in E \cap Y$  and  $y^*(\psi x) = (\varphi y^*)(x)$  for all  $y^* \in H$  and  $x \in E$ .

Define  $V_{\psi} = \sum_{i=1}^m y_i^* \otimes \psi x_i \in \mathcal{F}(Y, Y)$ . Then  $V_{\psi} = \psi S$  and for  $y \in F$  we get

$$\|V_{\psi} y - y\| = \|\psi S y - \psi y\| \leq (1 + \eta) \|S y - y\| < \varepsilon.$$

Hence,  $TV_{\psi} \in C$  and therefore

$$\begin{aligned} (1 + \varepsilon) \|t\varphi^* S\|_{\pi} &\leq \langle U, TV_{\psi} \rangle = \sum_{i=1}^m (U y_i^*)(T \psi x_i) = \sum_{i=1}^m (\varphi T^* U y_i^*)(x_i) \\ &= \sum_{i=1}^m (U y_i^*)(t\varphi^* x_i) = \langle U, t\varphi^* S \rangle \leq \|t\varphi^* S\|_{\pi}, \end{aligned}$$

which is a contradiction.  $\square$

*Proof of Theorems 3.3 and 3.4.* The implications (a)  $\Rightarrow$  (b) and (a)  $\Rightarrow$  (c) hold by [14].

(b)  $\Rightarrow$  (a). An examination of the proofs of Theorems 1.3 and 1.4, (b)  $\Rightarrow$  (a), in [15] reveals that they go through if  $C[0,1]$  is replaced by any Banach space  $Z$  which is isometrically universal for all separable Banach spaces and such that  $Z^*$  has the 1-BAP. By Proposition 3.2,  $Z$  is isometrically universal for all separable Banach spaces.  $Z^*$  being an  $L_1(\mu)$  space, has the 1-BAP.

(c)  $\Rightarrow$  (a). 1. We shall show that if (c) of Theorem 3.3 is satisfied, then for every  $T \in \mathcal{I}(X, C[0,1]^*)$  there exists a net  $(S_{\alpha}) \subset \mathcal{F}(X, X)$  such that  $S_{\alpha} \rightarrow I_X$  pointwise and  $\limsup_{\alpha} \|T S_{\alpha}\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}$ . Then [15, Theorem 1.3] or Theorem 3.3, (b)  $\Rightarrow$  (a), will give the result.

By using Lemma 3.6 and [15, Theorem 3.1] (which is a reformulation of [14, Proposition 4.3 and Theorem 2.2] and asserts that  $X$  has the  $\lambda$ -BAP if and only if every separable ideal of  $X$  has the  $\lambda$ -BAP), we can assume that  $X$  is separable.

Let  $T \in \mathcal{I}(X, C[0,1]^*)$ , let  $F$  be a finite subset of  $X$ , and let  $\varepsilon > 0$ . By definition (see, e.g., [5, pp. 95, 97]), there is a factorization

$$X \xrightarrow{b} L_{\infty}(\nu) \xrightarrow{i_1} L_1(\nu) \xrightarrow{a} C[0,1]^*$$

such that  $T = a i_1 b$ ,  $\|a\| = 1$ , and  $\|b\| < \|T\|_{\mathcal{I}} + \varepsilon$  for some probability measure  $\nu$  on  $B_{X^*}$ . Since  $X$  is separable,  $B_{X^*}$  is a separable metric space in the weak\* topology. Thus we may assume that  $L_1(\nu)$  is separable (see, e.g., [1, p. 102]). But then  $L_1(\nu)$  is linearly isometric to  $\ell_1(\Gamma) \oplus_1 L_1[0,1]$ , where  $\Gamma$  is at most countable

(see [10, p. 128]). Thus there exists an isometry (into)  $\psi : L_1(\nu) \rightarrow L_1[0, 1]$ . The image  $\psi(L_1(\nu))$  is an  $L_1$ -space, hence it is complemented by a norm one projection  $R$  (see [10, p. 162]).

We have  $\psi i_1 b : \mathcal{I}(X, L_1[0, 1])$ . Suppose  $S \in \mathcal{F}(X, X)$  with  $\|Sx - x\| \leq \varepsilon$  for all  $x \in F$  and  $\|\psi i_1 b S\|_{\mathcal{I}} \leq (\lambda + \varepsilon)\|\psi i_1 b\|_{\mathcal{I}}$ . Since  $\psi^{-1}R\psi$  is the identity, we get

$$\begin{aligned} \|TS\|_{\mathcal{I}} &\leq \|i_1 b S\|_{\mathcal{I}} = \|\psi^{-1}R\psi i_1 b S\|_{\mathcal{I}} \leq \|\psi^{-1}R\| \|\psi i_1 b S\|_{\mathcal{I}} \\ &\leq (\lambda + \varepsilon)\|\psi i_1 b\|_{\mathcal{I}} \leq (\lambda + \varepsilon)\|b\| \leq (\lambda + \varepsilon)(\|T\|_{\mathcal{I}} + \varepsilon) \end{aligned}$$

which is all we need.

2. For the proof of (c)  $\Rightarrow$  (a) in Theorem 3.4, we shall show that if (c) is satisfied, then for every  $T \in \mathcal{N}(X, \ell_1)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X, X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and  $\limsup_\alpha \|TS_\alpha\|_{\mathcal{N}} \leq \lambda\|T\|_{\mathcal{N}}$ . Then [14, Proposition 4.1] will give (a).

Let  $T \in \mathcal{N}(X, \ell_1)$ . It is well known that  $L_1[0, 1]$  contains a one-complemented copy of  $\ell_1$ . Let  $\psi : \ell_1 \rightarrow L_1[0, 1]$  be an isometry (into) and let  $R$  be a norm one projection onto  $\psi(\ell_1)$ . We have  $\psi T \in \mathcal{N}(X, L_1[0, 1])$ . Let  $(S_\alpha) \subset \mathcal{F}(X, X)$  be a net for  $\psi T$  as in (c). Since  $T = \psi^{-1}R\psi T$ ,

$$\limsup_\alpha \|TS_\alpha\|_{\mathcal{N}} \leq \limsup_\alpha \|\psi T S_\alpha\|_{\mathcal{N}} \leq \lambda\|\psi T\|_{\mathcal{N}} \leq \lambda\|T\|_{\mathcal{N}}$$

as needed.

3. Let us remark that the proof of (c)  $\Rightarrow$  (a) in Theorem 3.4 can also be done similarly to the proof of (c)  $\Rightarrow$  (a) in Theorem 3.3 by factoring  $T \in \mathcal{N}(X, C[0, 1]^*)$  through  $\ell_\infty$  and  $\ell_1$ , and then using that  $\ell_1$  is isometric to a subspace of  $L_1[0, 1]$ .

Indeed, let  $T \in \mathcal{N}(X, C[0, 1]^*)$  and  $\varepsilon > 0$ . It is well known (see, e.g., [5, p. 111]) that there is a factorization

$$X \xrightarrow{b} \ell_\infty \xrightarrow{M_\lambda} \ell_1 \xrightarrow{a} C[0, 1]^*$$

such that  $T = aM_\lambda b$ ,  $\|a\| = 1$ ,  $\|M_\lambda\|_{\mathcal{N}} = 1$ , and  $\|b\| < \|T\|_{\mathcal{N}} + \varepsilon$ .

We have  $\psi M_\lambda b \in \mathcal{N}(X, L_1[0, 1])$ , where  $\psi : \ell_1 \rightarrow L_1[0, 1]$  is an into isometry. An argument similar to the argument we used above in the proof of Theorem 3.3 completes the proof.  $\square$

Concerning Theorems 3.3 and 3.4 and other characterizations of the  $\lambda$ -BAP and the weak  $\lambda$ -BAP (see, e.g., [14], [15], [13], [17], [23]), we should add that by [22] (see [25] for a simple proof), the weak  $\lambda$ -BAP and the  $\lambda$ -BAP are equivalent for a Banach space  $X$  whenever  $X^*$  or  $X^{**}$  has the Radon-Nikodým property. It remains open whether the weak  $\lambda$ -BAP is strictly weaker than the  $\lambda$ -BAP. If they were equivalent, then, by [16], the answer to the long-standing famous open problem (Problem 3.8 in [2]), whether the AP of a dual Banach space implies the 1-BAP, would be “yes”. For a recent survey on bounded approximation properties, see [24].

It is well known that a Banach space  $X$  has the Radon-Nikodým property if  $\mathcal{I}(C[0, 1], X) = \mathcal{N}(C[0, 1], X)$  (as sets) (see, e.g., [3, p. 523]). And,  $X^*$  has the Radon-Nikodým property if  $\mathcal{I}(X, L_1[0, 1]) = \mathcal{N}(X, L_1[0, 1])$  (as sets) (see, e.g., [3, p. 524]). Our Theorem 3.8 below shows that the Radon-Nikodým property can

be tested for by other single spaces than  $C[0, 1]$  (for the Radon-Nikodým property of  $X$ ) or  $L_1[0, 1]$  (for the Radon-Nikodým property of  $X^*$ ).

**Lemma 3.7.** *Let  $X$  and  $Y$  be Banach spaces. If  $\mathcal{I}(X, Y) = \mathcal{N}(X, Y)$  (as sets) and  $Z$  is an ideal in  $X$ , then  $\mathcal{I}(Z, Y) = \mathcal{N}(Z, Y)$  (as sets).*

*Proof.* Let  $\varphi : Z^* \rightarrow X^*$  be a norm-preserving extension operator and let  $T \in \mathcal{I}(Z, Y)$ . Since integral operators are weakly compact, we have (using properties of integral operators as in the proof of Lemma 3.6)  $T^{**}\varphi^*|_X \in \mathcal{I}(X, Y) = \mathcal{N}(X, Y)$ . Write  $T^{**}\varphi^*x = \sum_n x_n^*(x)y_n$ ,  $x \in X$ , where  $\sum_n \|x_n^*\| \|y_n\| < \infty$ . Then for all  $z \in Z$  we get

$$Tz = T^{**}\varphi^*z = \sum_n x_n^*(z)y_n = \sum_n x_n^*|_Z(z)y_n.$$

Thus  $T = \sum_n x_n^*|_Z \otimes y_n \in \mathcal{N}(Z, Y)$ .  $\square$

**Theorem 3.8.** *Let  $X$  be a Banach space and let  $Z$  be a Lindenstrauss space whose dual  $Z^*$  fails the Radon-Nikodým property.*

- (a) *If  $\mathcal{I}(Z, X) = \mathcal{N}(Z, X)$  (as sets), then  $X$  has the Radon-Nikodým property.*
- (b) *If  $\mathcal{I}(X, Z^*) = \mathcal{N}(X, Z^*)$  (as sets), then  $X^*$  has the Radon-Nikodým property.*

*Proof.* (a) By Proposition 3.2,  $C[0, 1] \subset Z$ . But any Lindenstrauss space is an ideal in every “superspace” (see [7, Proposition 3.4]), in particular,  $C[0, 1]$  is an ideal in  $Z$ . Since  $\mathcal{I}(Z, X) = \mathcal{N}(Z, X)$ , by Lemma 3.7, also  $\mathcal{I}(C[0, 1], X) = \mathcal{N}(C[0, 1], X)$ . Hence,  $X$  has the Radon-Nikodým property.

(b) This follows when we apply (a) to  $X^*$ . Indeed, let  $T \in \mathcal{I}(Z, X^*)$ . Then  $T^* \in \mathcal{I}(X^{**}, Z^*)$  and  $T^*j_X \in \mathcal{I}(X, Z^*) = \mathcal{N}(X, Z^*)$ . Hence,  $(j_X)^*T^{**} \in \mathcal{N}(Z^{**}, X^*)$  and  $(j_X)^*T^{**}j_Z \in \mathcal{N}(Z, X^*)$ . But  $(j_X)^*T^{**}j_Z = (j_X)^*j_{X^*}T = T$ .  $\square$

#### 4. THE SPACES $\mathcal{I}(X, Z^*)$ , WITH A LINDENSTRAUSS SPACE $Z$ , AND $\mathcal{I}(X, L_1[0, 1])$

Let  $X$  be a Banach space and let  $Z$  be a Lindenstrauss space. In Theorems 3.3 and 3.4, we characterized the  $\lambda$ -BAP and the weak  $\lambda$ -BAP of  $X$  in terms of  $\mathcal{I}(X, Z^*)$  and  $\mathcal{I}(X, L_1[0, 1])$ , and of  $\mathcal{N}(X, Z^*)$  and  $\mathcal{N}(X, L_1[0, 1])$ , respectively. In particular, the corresponding norms of operators were used. It is rather well known how to calculate nuclear norms in the latter spaces, since  $\mathcal{N}(X, Z^*) = \mathcal{N}(X, L_1(\mu)) = X^* \hat{\otimes}_\pi L_1(\mu) = L_1(\mu, X^*)$ , an  $X^*$ -valued Lebesgue-Bochner space for some measure  $\mu$ , and similarly,  $\mathcal{N}(X, L_1[0, 1]) = L_1([0, 1], X^*)$  (see e.g., [28, pp. 76, 29]). This seems not to be the case for the former spaces. In this section, applying results and ideas from Sections 2 and 3, we shall look at the structure of the spaces  $\mathcal{I}(X, Z^*)$  and  $\mathcal{I}(X, L_1[0, 1])$ . In particular, we shall indicate formulas for computing respective integral norms.

**4.1. Computing norm in  $\mathcal{I}(X, Z^*)$ .** Let  $X$  and  $Z$  be Banach spaces. Using basic properties of integral operators (see, e.g., [28, p. 65]), it is straightforward

to verify that  $\mathcal{I}(X, Z^*)$  is isometrically isomorphic to  $\mathcal{I}(Z, X^*)$  by the mapping  $T \mapsto T^*j_Z$ . Indeed,

$$\|T^*j_Z\|_{\mathcal{I}} \leq \|T^*\|_{\mathcal{I}} = \|T\|_{\mathcal{I}} = \|j_Z^*T^{**}j_X\|_{\mathcal{I}} \leq \|j_Z^*T^{**}\|_{\mathcal{I}} = \|T^*j_Z\|_{\mathcal{I}}$$

meaning that  $\|T^*j_Z\|_{\mathcal{I}} = \|T\|_{\mathcal{I}}$  for all  $T \in \mathcal{I}(X, Z^*)$ . On the other hand, if  $S \in \mathcal{I}(Z, X^*)$ , then

$$S = j_X^*S^{**}j_Z = (S^*j_X)^*j_Z.$$

In the case when  $Z$  is a Lindenstrauss space, by a result of Stegall [30], one has  $\mathcal{I}(Z, X) = \mathcal{P}(Z, X)$  as Banach spaces. Hence, the following is immediate from Theorems 2.7 and 2.11.

**Theorem 4.1.** *Let  $X$  be a Banach space. Let  $Z = \overline{\cup_{n=0}^{\infty} F_n}$  be a separable Lindenstrauss space with a structure as in Theorem 2.1 (c) and let  $(y_{k,n})_{k=1}^{m_n}$  be an admissible basis in  $F_n$  for  $n = 0, 1, \dots$ . Then  $\mathcal{I}(X, Z^*)$  is isometrically isomorphic to the  $\ell_1$ -tree space  $\ell_1^{\text{tree}}(X^*)$  related  $((y_{k,n})_{k=1}^{m_n})_{n=0}^{\infty}$  by the mapping*

$$T \mapsto ((T^*y_{k,n})_{k=1}^{m_n})_{n=0}^{\infty}, \quad T \in \mathcal{I}(X, Z^*),$$

and

$$\|T\|_{\mathcal{I}} = \sup_n \sum_{k=1}^{m_n} \|T^*y_{k,n}\| = \lim_n \sum_{k=1}^{m_n} \|T^*y_{k,n}\|.$$

In Theorem 4.7 below, we shall deduce a similar formula for  $\|T\|_{\mathcal{I}}$  when  $T \in \mathcal{I}(X, L_1[0, 1])$ .

**4.2.  $\mathcal{I}(X, L_1[0, 1])$  as an  $L$ -summand.** The first result concerning the structure of  $\mathcal{I}(X, L_1[0, 1])$ , Theorem 4.5, is that it is an  $L$ -summand in  $\mathcal{I}(X, C[0, 1]^*)$ . The proof relies on a corresponding structure result on  $\mathcal{I}(Z, X) = \mathcal{P}(Z, X)$ , where  $Z$  is a Lindenstrauss space (see Theorem 4.3).

From the definition of the absolute summing norm the following result follows.

**Lemma 4.2.** *Let  $X$  and  $Z$  be Banach spaces and let  $S \in \mathcal{P}(Z, X)$ . Then there exists a separable subspace  $Y \subset Z$  such that  $\|S\|_{\mathcal{P}} = \|S|_Y\|_{\mathcal{P}}$ .*

**Theorem 4.3.** *Let  $Z$  be a Lindenstrauss space and let  $X$  be a Banach space. If  $T \in \mathcal{P}(Z, X)$  and  $P$  is an  $L$ -projection on  $X$ , then*

$$\|T\|_{\mathcal{P}} = \|PT\|_{\mathcal{P}} + \|(I - P)T\|_{\mathcal{P}}.$$

*Proof.* The inequality  $\|T\|_{\mathcal{P}} \leq \|PT\|_{\mathcal{P}} + \|(I - P)T\|_{\mathcal{P}}$  is trivial. In order to prove the converse, by the lemma above, there exists a separable subspace  $Y \subset Z$  such that  $\|PT\|_{\mathcal{P}} = \|PT|_Y\|_{\mathcal{P}}$  and  $\|(I - P)T\|_{\mathcal{P}} = \|(I - P)T|_Y\|_{\mathcal{P}}$ . As in the proof of Proposition 3.1, we may assume that  $Y$  is an ideal in  $Z$ . But then  $Y$  is a separable Lindenstrauss space.

As in Theorem 2.7, we may choose a sequence of admissible bases  $((y_{k,n})_{k=1}^{m_n})_{n=0}^{\infty}$  for  $Y = \overline{\cup_{n=0}^{\infty} F_n}$ . Then, by Theorem 2.7, we get

$$\begin{aligned} \|T\|_{\mathcal{P}} &\geq \|T|_Y\|_{\mathcal{P}} = \lim_n \sum_{k=1}^{m_n} \|Ty_{k,n}\| = \lim_n \left( \sum_{k=1}^{m_n} \|PTy_{k,n}\| + \sum_{k=1}^{m_n} \|(I - P)Ty_{k,n}\| \right) \\ &= \|PT|_Y\|_{\mathcal{P}} + \|(I - P)T|_Y\|_{\mathcal{P}} = \|PT\|_{\mathcal{P}} + \|(I - P)T\|_{\mathcal{P}}. \end{aligned}$$

□

**Proposition 4.4.** *Let  $X$  and  $Y$  be Banach spaces and assume that  $Y$  is one-complemented in its bidual. Let  $P$  be an  $L$ -projection on  $Y$ . Then*

$$\|T\|_{\mathcal{I}} = \|PT\|_{\mathcal{I}} + \|(I - P)T\|_{\mathcal{I}}$$

for every  $T \in \mathcal{I}(X, Y)$ .

*Proof.* Let  $T \in \mathcal{I}(X, Y)$  and let  $\varepsilon > 0$ . Since  $Y$  is one-complemented in its bidual, by [6, p. 235],  $T$  is Pietsch integral. By [6, p. 168],  $T$  admits a factorization through a  $C(K)$  space, where  $K$  is compact Hausdorff. That is, for  $\varepsilon > 0$  there exist a norm one operator  $R : X \rightarrow C(K)$  and an absolutely summing operator  $S : C(K) \rightarrow Y$  such that  $T = SR$  and  $\|T\|_{\mathcal{I}} \leq \|S\|_{\mathcal{P}} \leq \|T\|_{\mathcal{I}} + \varepsilon$ . Since  $\mathcal{P}(C(K), Y) = \mathcal{I}(C(K), Y)$  with equal norms (see [6, pp. 169, 235]), from Theorem 4.3 we get

$$\|S\|_{\mathcal{P}} = \|PS\|_{\mathcal{P}} + \|(I - P)S\|_{\mathcal{P}} = \|PS\|_{\mathcal{I}} + \|(I - P)S\|_{\mathcal{I}}.$$

Hence,

$$\begin{aligned} \|T\|_{\mathcal{I}} + \varepsilon &\geq \|S\|_{\mathcal{P}} = \|PS\|_{\mathcal{I}} + \|(I - P)S\|_{\mathcal{I}} \\ &\geq \|PSR\|_{\mathcal{I}} + \|(I - P)SR\|_{\mathcal{I}} = \|PT\|_{\mathcal{I}} + \|(I - P)T\|_{\mathcal{I}} \end{aligned}$$

so that  $\|T\|_{\mathcal{I}} = \|PT\|_{\mathcal{I}} + \|(I - P)T\|_{\mathcal{I}}$ . □

The dual space  $C[0, 1]^*$  can be identified with the space of regular Borel measures on  $[0, 1]$ . It is well known that  $L_1[0, 1]$  is an  $L$ -summand in  $C[0, 1]^*$ . This comes from the fact that if  $\mu \in C[0, 1]^*$ , then its Lebesgue decomposition  $\mu = \mu_{ac} + \mu_{sing}$  satisfies  $\|\mu\| = \|\mu_{ac}\| + \|\mu_{sing}\|$ . By the Radon-Nikodým theorem, we can write  $d\mu_{ac} = f dt$ , where  $dt$  is the Lebesgue measure on  $[0, 1]$  and  $f \in L_1[0, 1]$ . And,  $\|\mu_{ac}\| = \|f\|$ . The  $L$ -projection  $P$  onto  $L_1[0, 1]$  is given by  $P\mu = f$ . By Proposition 4.4, we now can state the following theorem.

**Theorem 4.5.** *Let  $X$  be a Banach space. Then  $\mathcal{I}(X, L_1[0, 1])$  is an  $L$ -summand in  $\mathcal{I}(X, C[0, 1]^*)$ .*

**4.3. The space  $\mathcal{I}(X, L_1[0, 1])$ .** As in Example 2.2, see Section 2, let

$$y_{k,n} = \chi_{[\frac{k-1}{2^n}, \frac{k}{2^n})}$$

for  $n = 0, 1, \dots$  and  $k = 1, \dots, 2^n$ . While convenient, we consider  $y_{k,n}$  as elements of  $L_1[0, 1]$  or of  $L_\infty[0, 1] = L_1[0, 1]^*$ .

The main result of this subsection is Theorem 4.7. It gives a reasonable formula for computing  $\|T\|_{\mathcal{I}}$  of  $T \in \mathcal{I}(X, L_1[0, 1])$  in terms of  $y_{k,n} \in L_\infty[0, 1]$ . As a by-product, we shall also calculate the norm in  $\mathcal{L}(L_1[0, 1], X)$  (see Theorem 4.6).

Below, we shall use the following notation.

Let  $(h_j)_{j=1}^\infty$  be the Haar basis in  $L_1[0, 1]$ . With the definition as in [4], we have  $h_1 = 1$  and, for  $n = 0, 1, 2, \dots$  and  $i = 1, \dots, 2^n$ ,

$$h_{2^{n+i}} = \chi_{[\frac{2i-2}{2^{n+1}}, \frac{2i-1}{2^{n+1}})} - \chi_{[\frac{2i-1}{2^{n+1}}, \frac{2i}{2^{n+1}})} = y_{2i-1, n+1} - y_{2i, n+1}.$$

Let  $(h_j^*)_{j=1}^\infty$  denote the coordinate functionals of the Haar basis  $(h_j)_{j=1}^\infty$ .

Denote

$$W_n = \text{span}(h_k)_{k=1}^{2^n} = \text{span}(y_{k,n})_{k=1}^{2^n} \subset L_1[0, 1],$$

and let  $P_n : L_1[0, 1] \rightarrow W_n$  be the natural projection in  $L_1[0, 1]$ , associated to the basis  $(h_j)_{j=1}^\infty$ , i.e.,  $P_n = \sum_{j=1}^{2^n} h_j^* \otimes h_j$ . Since the Haar basis is monotone, we have  $\|P_n\| = 1$  for  $n = 0, 1, 2, \dots$

We shall need the following description for the extreme points of  $B_{W_n}$ :

$$\text{ext } B_{W_n} = \{\pm 2^n y_{k,n} : 1 \leq k \leq 2^n\}. \quad (4.1)$$

This comes from the fact that the map  $\theta_n : W_n \rightarrow \ell_1^{2^n}$  defined by  $\theta_n(2^n y_{k,n}) = e_k$  is a linear isometry and  $\text{ext } B_{\ell_1^{2^n}} = \{\pm e_k : 1 \leq k \leq 2^n\}$ .

**Theorem 4.6.** *Let  $V \in \mathcal{L}(L_1[0, 1], X)$ . Then*

$$\|V\| = \sup_{n \geq 0, 1 \leq k \leq 2^n} \|V(2^n y_{k,n})\| = \lim_n \max_{1 \leq k \leq 2^n} \|V(2^n y_{k,n})\|.$$

*Proof.* Let  $V \in \mathcal{L}(L_1[0, 1], X)$ . Then

$$\|VP_n\| \geq \|VP_n(2^n y_{k,n})\| = \|V(2^n y_{k,n})\|.$$

On the other hand, using (4.1), we get

$$\begin{aligned} \|VP_n\| &= \sup_{\|f\| \leq 1} \|VP_n f\| \leq \sup_{\|P_n f\| \leq 1} \|VP_n f\| \\ &= \|V|_{W_n}\| = \sup_{g \in \text{ext } B_{W_n}} \|Vg\| = \max_{1 \leq k \leq 2^n} \|V(2^n y_{k,n})\|. \end{aligned}$$

Hence,

$$\|VP_n\| = \max_{1 \leq k \leq 2^n} \|V(2^n y_{k,n})\|.$$

For  $f \in L_1[0, 1]$  we have  $VP_n f \rightarrow Vf$ . Hence,  $\|V\| \leq \sup \|VP_n\|$ . Since  $\|VP_n\| \leq \|VP_{n+1}\| \leq \|V\|$ , we get

$$\|V\| = \lim_n \|VP_n\| = \sup_{n \geq 0, 1 \leq k \leq 2^n} \|V(2^n y_{k,n})\|.$$

□

In the proof of the next theorem we shall use the following simple formula for the projections  $(P_n)_{n=0}^\infty$ :

$$P_n = \sum_{k=1}^{2^n} y_{k,n} \otimes 2^n y_{k,n}. \quad (4.2)$$

Since  $(2^n y_{k,n})_{k=1}^{2^n}$  is a basis of  $W_n$  and  $(2^n y_{k,n}, y_{k,n})_{k=1}^{2^n}$  (with  $y_{k,n} \in L_\infty[0, 1] = L_1[0, 1]^*$ ) is a biorthogonal system,

$$P_n f = \sum_{k=1}^{2^n} y_{k,n} (P_n f) 2^n y_{k,n}$$

for all  $f \in L_1[0, 1]$ . Hence,

$$P_n = \sum_{k=1}^{2^n} P_n^* y_{k,n} \otimes 2^n y_{k,n}.$$

But  $P_n^* y_{k,n} = y_{k,n}$ , because  $y_{k,n}(h_j) = 0$  whenever  $j > 2^n$ , implying that

$$y_{k,n}(f) = \sum_{j=1}^{2^n} h_j^*(f) y_{k,n}(h_j) = y_{k,n}(P_n f)$$

for all  $f \in L_1[0, 1]$ .

**Theorem 4.7.** *Let  $T \in \mathcal{I}(X, L_1[0, 1])$ . Then*

$$\|T\|_{\mathcal{I}} = \sup_n \sum_{k=1}^{2^n} \|T^* y_{k,n}\| = \lim_n \sum_{k=1}^{2^n} \|T^* y_{k,n}\|.$$

*Proof.* Let  $T \in \mathcal{I}(X, L_1[0, 1]) \subset \mathcal{I}(X, L_1[0, 1]**) = \mathcal{F}(L_1[0, 1], X)^*$ . If  $V = g^* \otimes x \in \mathcal{F}(L_1[0, 1], X)$ , then  $VP_n = P_n^* g^* \otimes x$ . Since

$$\langle T, V \rangle = g^*(Tx) = g^*(\lim_n P_n Tx) = \lim_n (P_n^* g^*)(Tx) = \lim_n \langle T, VP_n \rangle,$$

we have

$$\langle T, V \rangle = \lim_n \langle T, VP_n \rangle$$

for all  $V \in \mathcal{F}(L_1[0, 1], X)$ .

Let  $\varepsilon > 0$ . Choose  $V \in \mathcal{F}(L_1[0, 1], X)$  with  $\|V\| \leq 1$  and choose  $n \in \mathbb{N}$  such that

$$\|T\|_{\mathcal{I}} - \varepsilon < \langle T, VP_n \rangle.$$

By (4.2) we can write

$$\begin{aligned} \langle T, VP_n \rangle &= \langle T, \sum_{k=1}^{2^n} y_{k,n} \otimes V(2^n y_{k,n}) \rangle = \sum_{k=1}^{2^n} (T^* y_{k,n})(V(2^n y_{k,n})) \\ &\leq \|V\| \sum_{k=1}^{2^n} \|T^* y_{k,n}\| \leq \sum_{k=1}^{2^n} \|T^* y_{k,n}\|. \end{aligned}$$

Thus we get

$$\|T\|_{\mathcal{I}} \leq \sup_n \sum_{k=1}^{2^n} \|T^* y_{k,n}\|.$$

On the other hand, by Theorem 2.7,

$$\|T\|_{\mathcal{I}} = \|T^*\|_{\mathcal{I}} \geq \|T^*\|_{\mathcal{P}} \geq \|T^*|_M\|_{\mathcal{P}} = \sup_n \sum_{k=1}^{2^n} \|T^* y_{k,n}\| = \lim_n \sum_{k=1}^{2^n} \|T^* y_{k,n}\|,$$

where  $M \subset L_\infty[0, 1]$  is as in Example 2.3 (see Section 2).  $\square$

We can write  $((y_{k,n})_{k=1}^{2^n})_{n=0}^\infty$  as a sequence  $y_{1,0}, y_{1,1}, y_{2,1}, y_{1,2}, \dots$ . Then  $y_{k,n}$  is the element of number  $2^n + k - 1$ .

**Proposition 4.8.** *For every  $T \in \mathcal{I}(X, L_1[0, 1])$ ,*

$$\lim_n \max_{1 \leq k \leq 2^n} \|T^* y_{k,n}\| = 0.$$

*Proof.* By [5, Theorem 5.19], there exists  $g \in L_1[0, 1]$  such that  $T(B_X) \subset [-g, g]$ , where  $[-g, g]$  is the order interval. We have

$$\|T^*y_{k,n}\| = \sup_{x \in B_X} y_{k,n}(Tx) \leq y_{k,n}(g).$$

Write  $g = \sum_{i=1}^{\infty} a_i h_i$ . Let  $g_m = \sum_{i=1}^m a_i h_i$ . We get  $|y_{k,n}(g - g_m)| \leq \|g - g_m\| \rightarrow_m 0$ . Thus, it suffices to prove that  $y_{k,n}(g_m) \rightarrow_n 0$  for a fixed  $m$ .

Fix  $m$ . If  $1 \leq k \leq 2^n$ , then

$$\begin{aligned} |y_{k,n}(g_m)| &\leq \sum_{i=1}^m |a_i| \int_0^1 |h_i(t)| |y_{k,n}(t)| dt \\ &\leq \sum_{i=1}^m |a_i| \int_0^1 y_{k,n}(t) dt = \frac{1}{2^n} \sum_{i=1}^m |a_i| \rightarrow_n 0. \end{aligned}$$

□

Defining  $\hat{h}_1 = h_1$  and  $\hat{h}_n = 2^{m-1} h_n$ , where  $2^{m-1} < n \leq 2^m$  and  $n \in \mathbb{N}$ , one obtains the normalized Haar basis  $(\hat{h}_n)_{n=1}^{\infty}$  for  $L_1[0, 1]$ . Its coordinate functionals are  $(h_n)_{n=1}^{\infty} \subset L_{\infty}[0, 1]$ .

**Lemma 4.9.** *Let  $Y$  be a Banach space with a basis  $(y_n)_{n=1}^{\infty}$  and with the coordinate functionals  $(y_n^*)_{n=1}^{\infty}$ . If  $T \in \mathcal{I}(X, Y) \subset \mathcal{I}(X, Y^{**}) = \mathcal{F}(Y, X)^*$  and  $V \in \mathcal{F}(Y, X)$ , then*

$$\langle T, V \rangle = \sum_{n=1}^{\infty} (T^* y_n^*)(V y_n).$$

*Proof.* It clearly suffices to prove the claim for  $V = y^* \otimes x \in \mathcal{F}(Y, X)$ . Then we get

$$\begin{aligned} \langle T, V \rangle &= y^*(Tx) = y^*\left(\sum_{n=1}^{\infty} y_n^*(Tx) y_n\right) = \sum_{n=1}^{\infty} (T^* y_n^*)(x) y^*(y_n) \\ &= \sum_{n=1}^{\infty} (T^* y_n^*)(y^*(y_n)x) = \sum_{n=1}^{\infty} (T^* y_n^*)(V y_n). \end{aligned}$$

□

**Corollary 4.10.** *Let  $T \in \mathcal{I}(X, L_1[0, 1])$  and  $V \in \mathcal{F}(L_1[0, 1], X)$ . Then we have*

$$\langle T, V \rangle = \sum_{n=1}^{\infty} (T^* h_n)(V \hat{h}_n) = \sum_{n=1}^{\infty} (T^* h_n^*)(V h_n).$$

*Remark 4.11.* Note that, in general,  $\|V \hat{h}_n\| \not\rightarrow 0$  in Corollary 4.10. Indeed, take  $V = g^* \otimes x$ , where  $x \in S_X$  and  $g^* \in L_{\infty}[0, 1]$  is defined as follows:

$$g^*(t) = \begin{cases} h_3(t) & \text{if } t \in [0, 1/2), \\ h_7(t) & \text{if } t \in [1/2, 3/4), \\ h_{15}(t) & \text{if } t \in [3/4, 7/8), \\ \vdots & \text{and so on.} \end{cases}$$

Then

$$\|V\hat{h}_{2^m-1}\| = |g^*(\hat{h}_{2^m-1})| = \int_0^1 g^*(t)\hat{h}_{(2^m-1)}(t) dt = \int_0^1 h_{2^m-1}(t)\hat{h}_{2^m-1}(t) dt = 1.$$

Hence,  $\|V\hat{h}_n\| \rightarrow 0$  and  $\hat{h}_n \rightarrow 0$  weakly.

**4.4. The Haar basis and  $\mathcal{I}(X, L_1[0, 1])$ .** Let  $n \in \mathbb{N}$ . Both  $(h_k)_{k=1}^{2^n}$  and  $(y_{k,n})_{k=1}^{2^n}$  are bases for  $W_n$ . Thus, there exists a  $2^n \times 2^n$  matrix  $C_n$  such that

$$\begin{pmatrix} h_1 \\ \vdots \\ h_{2^n} \end{pmatrix} = C_n \cdot \begin{pmatrix} y_{1,n} \\ \vdots \\ y_{2^n,n} \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} y_{1,n} \\ \vdots \\ y_{2^n,n} \end{pmatrix} = C_n^{-1} \cdot \begin{pmatrix} h_1 \\ \vdots \\ h_{2^n} \end{pmatrix}.$$

If  $i \leq 2^n$ , then

$$h_i(y_{k,n}) = \int_{(k-1)/2^n}^{k/2^n} h_i(t) dt = \frac{1}{2^n} h_i\left(\frac{k-1}{2^n}\right).$$

Thus we get

$$y_{k,n} = \sum_{i=1}^{2^n} \frac{1}{2^n} h_i\left(\frac{k-1}{2^n}\right) \hat{h}_i = \sum_{i=1}^{2^n} \frac{1}{2^n} \hat{h}_i\left(\frac{k-1}{2^n}\right) h_i. \quad (4.3)$$

It follows that

$$C_n^{-1} = \left( \frac{1}{2^n} \hat{h}_i\left(\frac{k-1}{2^n}\right) \right),$$

where  $k$  is the row number and  $i$  is the column number.

In (4.3) we can apply at points  $(\frac{j-1}{2^n})_{j=1}^{2^n}$  and we get

$$\delta_{kj} = y_{k,n}\left(\frac{j-1}{2^n}\right) = \sum_{i=1}^{2^n} \frac{1}{2^n} \hat{h}_i\left(\frac{k-1}{2^n}\right) h_i\left(\frac{j-1}{2^n}\right).$$

Hence, we get

$$C_n = \left( h_i\left(\frac{k-1}{2^n}\right) \right),$$

where  $i$  is the row number and  $k$  is the column number. Moreover,

$$h_i = \sum_{k=1}^{2^n} h_i\left(\frac{k-1}{2^n}\right) y_{k,n}.$$

Let us give two examples.

$$C_1 = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \quad \text{and} \quad C_1^{-1} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{pmatrix}.$$

$$C_2 = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & 1 & -1 \end{pmatrix} \quad \text{and} \quad C_2^{-1} = \begin{pmatrix} \frac{1}{4} & \frac{1}{4} & \frac{1}{2} & 0 \\ \frac{1}{4} & \frac{1}{4} & -\frac{1}{2} & 0 \\ \frac{1}{4} & -\frac{1}{4} & 0 & \frac{1}{2} \\ \frac{1}{4} & -\frac{1}{4} & 0 & -\frac{1}{2} \end{pmatrix}.$$

From Theorems 4.6 and 4.7 we now get the following formulas which connect the norms of the operators with the entities we used in Corollary 4.10.

**Theorem 4.12.** *Let  $V \in \mathcal{L}(L_1[0, 1], X)$  and  $T \in \mathcal{I}(X, L_1[0, 1])$ . Then*

$$\|V\| = \lim_n \max_{1 \leq k \leq 2^n} \left\| \sum_{i=1}^{2^n} h_i\left(\frac{k-1}{2^n}\right) V \hat{h}_i \right\|, \text{ and}$$

$$\|T\|_{\mathcal{I}} = \lim_n \frac{1}{2^n} \sum_{k=1}^{2^n} \left\| \sum_{i=1}^{2^n} \hat{h}_i\left(\frac{k-1}{2^n}\right) T^* h_i \right\|.$$

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<sup>1</sup> DEPARTMENT OF MATHEMATICS, UNIVERSITY OF AGDER, POSTBOKS 422 LUNDSIDEN, N-4604 KRISTIANSAND S, NORWAY.

*E-mail address:* [Asvald.Lima@uia.no](mailto:Asvald.Lima@uia.no)

<sup>2</sup> AALESUND UNIVERSITY COLLEGE, POSTBOKS 1517, N-6025 ALESUND, NORWAY.

*E-mail address:* [Vegard.Lima@gmail.com](mailto:Vegard.Lima@gmail.com)

<sup>3</sup> FACULTY OF MATHEMATICS AND COMPUTER SCIENCE, UNIVERSITY OF TARTU, J. LIIVI 2, EE-50409 TARTU, ESTONIA; ESTONIAN ACADEMY OF SCIENCES, KOHTU 6, EE-10130 TALLINN, ESTONIA.

*E-mail address:* [eve.oja@ut.ee](mailto:eve.oja@ut.ee)